Research utility of noninvasive methods for measurement of cardiac output

Two noninvasive methods of cardiac index (Q) determination, pulsed Doppler echocardiography (Q_{Dop}), and CO_2 rebreathing (Q_{CO_2}) were compared to dye dilution in eight normal volunteers. Measurements of Q were made by dye dilution (Q_{DD}) and the two noninvasive techniques under the following conditions: (1) supine rest, (2) after inflation of cuffs around the thighs, (3) 35-degree head-up tilt, (4) supine rest repeated, (5) during constant isoproterenol infusion, and (6) after an intravenous bolus of propranolol. When mean Q values of the group for each intervention were compared, close agreement was observed between dye dilution and each noninvasive method ($Q_{DOP} = 1.08 \ Q_{DD} - 0.07 \ L/min \cdot m^2$, r = 0.99, SEE = 0.02; $Q_{CO_2} = 0.68 \ Q_{DD} + 0.84 \ L/min \cdot m^2$, r = 0.97, SEE = 0.02). Fair correlations were achieved when all 48 paired observations were analyzed ($Q_{Dop} = 1.00 \ Q_{DD} + 0.17 \ L/min \cdot m^2$, r = 0.89, SEE = 0.17; $Q_{CO_2} = 0.71 \ Q_{DD} + 0.77 \ L/min \cdot m^2$, r = 0.79, SEE = 0.20). However, the Doppler ultrasound technique was significantly more precise in quantitating changes in Q in individual patients. These results demonstrate that estimates of Q can be made with reasonable accuracy by either CO_2 rebreathing or Doppler echocardiography. However, the Doppler technique is a much more accurate means of quantitating acute changes in Q. (CLIN Pharmacol There 1987;41:419-25.)

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Much progress in our understanding of the physiology of the cardiovascular system and the pathophysiology of its disorders has stemmed from hemodynamic research in human subjects. The accurate determination of cardiac output (Q) has been essential for such studies. Until recently, however, the most reliable methods of Q measurement have required right heart catheterization and arterial cannulation. These invasive techniques are associated with some discomfort and morbidity and are not well suited for repeated measures over periods longer than several hours.

Recent technologic advances in Doppler echocardiography have made possible accurate noninvasive estimates of Q. A number of investigators have demonstrated that Q calculated from Doppler measurements of ascending aortic blood flow in resting patients correlates well with values obtained by thermodilution.¹⁻⁵

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Others have shown the usefulness of the Doppler technique in estimating Q changes in response to vasodilators and inotropic agents.⁶⁻⁸

This study was undertaken to further evaluate the ability of Doppler ultrasonography to measure serial changes in Q in human laboratory subjects. In addition, we compared the accuracy of this technique to that of CO₂ rebreathing, a noninvasive means of Q measurement used previously in our laboratory. In contrast to the Fick and dye-dilution methods, in which Q is measured directly, Doppler ultrasonography measures stroke volume (SV); Q is then calculated as a function of heart rate (HR). Validation of the Doppler method then requires demonstration that it is capable of quantitating changes in Q that are independent of HR alterations. For this reason our study was performed in subjects who underwent a series of maneuvers designed to produce a variety of Q and HR changes. Specifically, with isoproterenol we increased both Q and HR; with tilt we decreased Q but increased HR; with propranolol both Q and HR were decreased; and finally with thigh cuff inflation we decreased Q without altering HR. After each intervention, Q was measured by both noninvasive techniques and the results were compared to dye-dilution determinations.

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Intervention	HR (bpm)	Dye dilution (L/min · m²)	Doppler (L/min·m²)	CO ₂ rebreathing (L/min · m²)
Control 1	57.7 ± 1.7	3.04 ± 0.40	3.30 ± 0.56	2.81 ± 0.64
Cuff	58.3 ± 2.1	2.68 ± 0.32	2.87 ± 0.45	2.52 ± 0.36
Tilt	73.8 ± 1.6	2.45 ± 0.34	2.35 ± 0.33	2.74 ± 0.52
Control 2	55.0 ± 1.6	2.93 ± 0.45	3.08 ± 0.47	2.74 ± 0.54
Isoproterenol	64.9 ± 1.4	4.52 ± 0.65	4.75 ± 0.80	3.95 ± 0.81
Propranolol	50.9 ± 2.2	2.49 ± 0.38	2.69 ± 0.37	2.51 ± 0.50

Table I. HR and Q as measured by dye dilution, Doppler, and CO₂ rebreathing with each intervention

Results are expressed as mean ± SE.

METHODS

Study group. The study group consisted of eight normal male volunteers ranging in age from 22 to 30 years. All had normal physical examinations, and none had any history of pulmonary or cardiovascular disease. Each subject read and signed a detailed informed consent form.

Protocol. The study protocol was approved by the Human Use Committee at the University of Michigan. After introduction to the laboratory, subjects were placed supine on a tilt table and catheters were inserted. Pressure cuffs were applied loosely around the thighs and, when required, pressured by a rapid inflator (D. E. Hokanson, Inc., Issaquah, Wash.).

Measurements of Q were then made by dye dilution, Doppler ultrasonography, and CO₂ rebreathing under the following conditions: control 1: 15 minutes after insertion of catheters; cuff: 10 minutes after inflation of thigh cuffs to 60 mm Hg; tilt: 10 minutes after deflation of thigh cuffs and after assuming a 35-degree head-up tilt position; control 2: 10 minutes after returning to supine position; isoproterenol: during a constant intravenous infusion of isoproterenol titrated to produce an increase in HR of about 10 bpm (10 to 15 mEq/kg/min in most cases); and propranolol: 30 minutes after administration of 0.2 mg/kg propranolol intravenously.

Doppler and rebreathing recordings were made by a single operator who was unaware of the dye-dilution results. Similarly, one investigator performed all of the dye-dilution measurements using a standard technique. Each dye dilution, Doppler, and rebreathing tracing was assigned a random number and interpreted blindly. All measurements were made in triplicate by each of the three methods and mean values were calculated.

Doppler measurements. A range-gated, pulsed Doppler system (ATL MK600 Adaptive Doppler Ultrasound; Advanced Technology Laboratories, Inc.,

Belleville, Wash.) was used to measure Q. Measurements of blood flow velocity in the ascending aorta were made with a small 3 MHz transducer positioned in the suprasternal notch. With the exception of small adjustments made during head-up tilt, the same sampling depth was used for each set of observations in an individual subject. Analysis of returning Doppler signals was performed by the instrument every 10 msec by fast Fourier transform, and the data were plotted with time along the x axis, frequency shift along the y axis, and the prevalence of frequency components in shades of gray. With the assumption that blood flow was parallel to the ultrasound beam, instantaneous velocity was calculated from the Doppler equation: $V = cf_d/2f_o$, where c = the speed of sound in tissue (1540 cm/sec), f_d = Doppler frequency shift, and f_0 = transmitted ultrasound frequency (3 MHz).

Spectral images were recorded on dry silver paper and were analyzed by a method similar to that described by Elkayam et al. The flow-velocity integral (FVI), or the area under a flow-velocity curve, was estimated by the equation FVI = 0.57 (PFV) (ET) +0.9 cm. This relation was derived by regression analysis of planimetered areas as a function of the products of PFV and ET in a separate series of curves (r = 0.98). The average FVI of five consecutive beats was calculated for each tracing.

The cross-sectional area (CSA) of the aorta was calculated from the diameter (D) as CSA = $\pi D^2/4$. The diameter was measured from a standard 2-D long-axis parasternal view at a point just distal to the sinus of Valsalva. Images were frozen during systole (on the peak of the T wave), and measurements were made with electronic calipers using an inner-to-inner wall convention. The CSA used in calculating Q values for each patient was the arithmetic mean of three separate determinations. It was assumed that the dimensions of the ascending aorta remained constant through-

Table II. Correlation coefficients for each patient relating Q values measured by dye dilution to values measured by Doppler and CO₂ rebreathing

Patient	Doppler	CO₂ rebreathing
P. P.	0.99	0.94
D. L.	0.97	0.95
J. G.	0.96	0.80
D. S.	0.98	0.97
R. Q.	0.91	0.86
J. S.	0.98	0.81
D. W.	0.87	0.84
P. H.	0.99	0.92
Mean	0.96	0.89

out the study (i.e., that they were not changed by the interventions used to alter hemodynamics).

HR was determined from a simultaneously recorded ECG.

Q was calculated as the product of FVI, CSA, and HR divided by body surface area (BSA).

CO₂ rebreathing measurements. Q measured by the rebreathing technique was calculated from the following equation:

$$Q = (\dot{V}_{CO_2}/[C\bar{v}_{CO_2 - CACO_2}])/BSA$$

where Q = cardiac output in liters per minute, \dot{V}_{CO_2} = CO_2 production in milliliters per minute STP, $C\,\overline{v}_{CO_2}$ = CO_2 content of mixed venous blood in milliliters per liter, and Ca_{CO_2} = CO_2 content of arterial blood in milliliters per liter.

Instantaneous determinations of PCO₂ were made with a Hewlett-Packard Model 47210A Capnometer (Hewlett-Packard Co., Medical Products, Waltham, Mass.) connected in series with the mouthpiece of the rebreathing apparatus.

 $\dot{V}_{\rm CO_2}$ was calculated as the product of minute ventilation, determined by a timed collection, and the mean concentration of $\rm CO_2$ in expired gas.

 $C \overline{v}_{CO_2}$ was measured indirectly by the equilibration rebreathing method initially described by Collier et al.⁹

 Ca_{CO_2} was derived from the PCO_2 measured at endtidal expiration.

A standard CO₂ dissociation curve for oxygenated blood was used to convert partial pressures to CO₂ content.¹⁰

Studies were considered acceptable if end-tidal PCO₂ varied by 1 mm Hg on the three breaths preceding the rebreathing procedure and if a "plateau" was achieved within 15 seconds from the start of rebreathing.

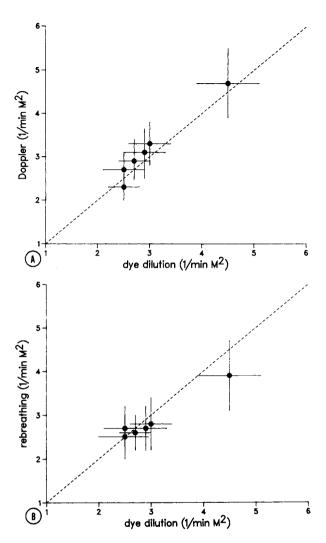


Fig. 1. Relationship between mean Q values during each intervention as measured by dye dilution and (A) Doppler or (B) CO₂ rebreathing. The dashed line represents the line of identity.

Dye-dilution measurements. Dye-dilution measurements of cardiac output were made by a technique that has been described previously in detail. In brief, a polyethylene PE-50 catheter (Clay Adams, Division of Becton Dickinson and Co., Parsippany, N.J.) was introduced percutaneously into an antecubital vein and advanced into the right atrium form delivery of indicator dye (Cardio-Green; Hynson, Westcott and Dunning, Inc., Baltimore, Md.). A 2-inch 18-gauge plastic cannula was inserted into the left brachial artery to allow continuous withdrawal of blood through an Electronics for Medicine densitometer (Honeywell Corporation, Van Nuys, Calif.). Cardiac output curves and lead II

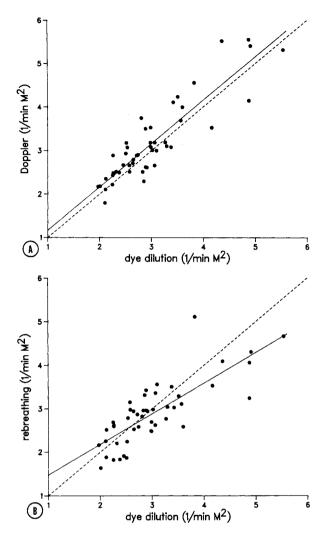


Fig. 2. Relationship between each Q value measured by dye dilution and (A) Doppler or (B) CO₂ rebreathing. The least-squares regression line (solid) and line of identity (dashed) are shown.

of the ECG were recorded on the thermal recorder. Dye curves were calibrated by the addition of known quantities of dye to aliquots of blood that were drawn through the densitometer.

Data analysis. Regression and correlation analyses were used to compare Q values obtained from the non-invasive Doppler and rebreathing methods, with Q values obtained from the dye-dilution method for both individual and group measurements. Sample size estimates were calculated by solving (for what amounts to the smallest) N given the difference between the means and SDs of these differences and setting the α and β errors at a specified level ($\alpha = 0.05$; $\beta = 0.2$). 12

RESULTS

Technically satisfactory rebreathing studies and Doppler flow tracings were obtained in all eight patients. Table I lists the mean HR and Q values obtained by the three methods under each condition. Strong correlations were obtained between dye-dilution and both the Doppler (r=0.99) and CO_2 rebreathing (r=0.97) methods, as illustrated in Fig. 1. The slightly weaker correlation with rebreathing was the result of significant overestimates of Q by this technique during head-up tilt; when the tilt values were not considered, r=0.99. Doppler values were, on average, slightly greater than those determined by dye dilution. In contrast, Q was somewhat underestimated in the supine position by the rebreathing method.

Fig. 2 is a graph of all 48 observations in the eight patients, plotting dye-dilution Q values against (A) Doppler and (B) rebreathing estimates. Dye-dilution and Doppler measurements were related to the following equation: $Q_{Dop} = 1.00 \ Q_{DD} + 0.71 \ L/min \cdot m^2$. Although a close correlation was observed (r = 0.89), substantial differences were noted in some individual measurements, as reflected by the SEE of 0.17 L/min · m². Similar analysis of paired dye-dilution and rebreathing Q determinations resulted in the following relationship: $Q_{CO_2} = 0.71 \ Q_{DD} + 0.77 \ L/min \cdot m^2$. The correlation with dye dilution was weaker (r = 0.79) and the scatter was greater (SEE = 0.20 L/min · m²) with this technique than with the Doppler method.

As illustrated in Table II, there was a close correlation between Doppler and dye-dilution values in every subject; r was 0.96 or greater in six of the eight patients and averaged 0.96. Correlation coefficients with rebreathing ranged from 0.80 to 0.97 and averaged 0.89.

To assess the utility of Doppler echocardiography and CO_2 rebreathing in clinical research, we calculated the sample sizes required for these methods to detect the changes produced by each intervention. These results are displayed in Table III. In every case, for both the dye-dilution and Doppler methods, sample sizes of six or less were sufficient to establish a change in mean Q with 95% confidence. However, only the relatively large increase resulting from isoproterenol infusion could have been established with such a small group using CO_2 rebreathing. Rebreathing measurements during tilt were unreliable, and large sample sizes would have been required to demonstrate a change in response to cuff inflation or β -blockade.

Table III. Mean Q changes in response to cuff inflation, tilt, isoproterenol, and propranolol as measured by
each method, with calculated sample sizes needed to detect changes at the 5% level

Intervention	Dye dilution $(L/min \cdot m^2)$	$\begin{array}{c} Doppler \\ (L/min + m^2) \end{array}$	CO_2 rebreathing $(L/min \cdot m^2)$
Cuff			
Mean Q change	-0.36*	-0.43*	-0.18
SD	0.22	0.21	0.42
Sample size	<5	<5	33-40
Tilt			
Mean Q change	-0.59*	-0.95*	-0.06
SD	0.37	0.36	0.38
Sample size	<5	<5	>101
Isoproterenol			
Mean Q change	1.59*	1.67*	1.21*
SD	0.64	0.49	0.71
Sample size	<5	<5	<5
Propranolol			
Mean Q change	-0.45*	-0.39*	-0.22
SD	0.29	0.22	0.42
Sample size	5	<5	22-27

Estimated sample sizes are based on each technique's mean change and SD, with α set at 0.05 and β set at 0.2. *P < 0.005

DISCUSSION

Q can be measured accurately by invasive techniques such as indicator dilution or thermodilution. Since these methods are associated with some discomfort and morbidity, however, their usefulness in experimental medicine has been limited to laboratory studies in relatively small numbers of patients. In view of the importance of Q assessment in studies of human physiology and clinical pharmacology, a reliable noninvasive method of measuring this parameter would be a valuable investigational tool.

This study was designed to compare the accuracy of CO₂ rebreathing and Doppler ultrasonography in assessing acute hemodynamic changes in human laboratory subjects. As illustrated in Table I, the physiologic and pharmacologic interventions we employed resulted in a wide range of hemodynamic alterations. Infusion of isoproterenol and propranolol resulted in changes in Q that paralleled those in HR, head-up tilt induced an increase in HR but a fall in Q, and thigh cuff inflation produced in a decrease in Q with a minimal alteration of HR.

Our results demonstrate that close correlations between indicator dilution and noninvasive measurements of Q can be attained. Comparison of dye-dilution and Doppler determinations for all 48 measurements resulted in a correlation coefficient of 0.89. These data

are comparable to the published results of other series measuring SV or Q from the suprasternal notch, including those by Huntsman et al³ (r = 0.94; n = 110 in 45 patients), Rose et al³ (r = 0.92; n = 16), and Goldberg et al.² (r = 0.91; n = 16). Results of previous studies designed to assess the reliability of CO₂ rebreathing in measuring resting Q are variable. Many authors have reported close agreement with direct Fick or dye-dilution measurements during exercise but poor correlations at rest. ¹³⁻¹⁶ More recently, especially with the use of the Collier equilibration technique for the estimation of mixed venous PCO₂, other investigators have obtained accurate results in resting, supine patients. ^{17.18} Our correlation coefficient of 0.79 was similar to results noted previously in our laboratory. ¹⁹

When mean Q values were calculated for each condition in our study, close agreement was observed between dye dilution and both noninvasive techniques. However, rebreathing measurements made in the tilt position were considerably higher than expected, probably because of alterations of the physiologic dead space and ventilation-perfusion relationships produced by postural changes.^{20,21}

In theory, Doppler ultrasonography should be particularly well suited to evaluating changes in Q in individual patients. The measurement of aortic CSA is a source of significant error in the calculation of absolute

values of SV or Q. Studies in humans indicate that this area is dependent on intra-aortic pressure and changes by 5% to 15% during the cardiac cycle. 22,23 Moreover, small errors in measurement of the aortic diameter are compounded when this dimension is squared in the calculation of area. If one assumes that the dimensions of the aorta remain constant during the period of observation, relative changes in Q can be assessed without consideration of the CSA. In our study group a strong correlation was found between dve dilution and Doppler determinations of Q in each of the eight subjects, with a mean r value of 0.96. The expected directional changes in Q were confirmed in every case. Thus even though errors in CSA measurement may have resulted in significant overestimation or underestimation of Q in some subjects, relative changes in Q were determined with great accuracy.

The results with CO₂ rebreathing were less satisfactory. Although relative changes in response to cuff inflation, isoproterenol, and propranolol were accurately quantitated by this method for the group as a whole, significant error was often observed in individual measurements.

The principal objective of this study was to assess the utility of different methods of Q measurement in clinical research. Since noninvasive techniques involve less risk and discomfort than dye dilution or Fick measurements, they generally meet with greater acceptance by human subjects. To be useful in experimental work, however, these methods must be capable of demonstrating, with statistical confidence, changes in Q in a small group of patients. In our experience, comparable sample sizes were required for the Doppler and dyedilution methods to establish Q alterations in response to physiologic and pharmacologic maneuvers. With CO₂ rebreathing, however, larger groups were required to prove a change with three of the four interventions.

Several features of our methodology and study population affect the applicability of these results. Our measurements were performed in healthy, cooperative young men at rest, and factors influencing the ease of Doppler measurements in other subjects might adversely affect the precision of Q determinations. Doppler estimates of aortic flow are technically difficult in moving patients, for example, and for this reason CO₂ rebreathing may be a more accurate method of assessing the response of Q to exercise. In addition, we cannot exclude the possibility that propranolol and isoproterenol caused regional changes in the ventilation/perfusion ratio, resulting in rebreathing measurement errors that would not be seen with agents having no effect on

bronchiolar tone. However, it should be noted that rebreathing measurements of responses to propranolol and isoproterenol were no less accurate than those of changes produced by thigh cuff inflation.

In summary, pulsed Doppler echocardiography and CO₂ rebreathing are useful techniques for the noninvasive measurement of Q. However, calculation of absolute values for Q by the Doppler method necessitates accurate measurement of the aortic cross-sectional area. Since this potential source of error does not complicate comparisons of serial measurements of O in individual subjects, the Doppler method is particularly well suited to measuring acute Q changes. CO2 rebreathing can provide reasonably accurate estimates of resting Q in healthy subjects. In our laboratory, however, measurements of changes in O in individual patients are less reliable. These findings suggest that both noninvasive methods may be useful in human hemodynamic studies, especially when applied to a group of subjects. However, Doppler echocardiography is a much more accurate means of quantifying Q responses to pharmacologic and physiologic maneuvers.

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