# Gradient Nonlinearity Correction to Improve Apparent Diffusion Coefficient Accuracy and Standardization in the American College of Radiology Imaging Network 6698 Breast Cancer Trial

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**Purpose:** To evaluate a gradient nonlinearity correction (GNC) program for quantitative apparent diffusion coefficient (ADC) measurements on phantom and human subject diffusion-weighted (DW) magnetic resonance imaging (MRI) scans in a multicenter breast cancer treatment response study

Materials and Methods: A GNC program using fifth-order spherical harmonics for gradient modeling was applied retrospectively to qualification phantom and human subject scans. Ice-water phantoms of known diffusion coefficient were scanned at five different study centers with different scanners and receiver coils. Human in vivo data consisted of baseline and early-treatment exams on 54 patients from four sites. ADC maps were generated with and without GNC. Regions of interest were defined to quantify absolute errors and changes with GNC over breast imaging positions.

**Results:** Phantom ADC errors varied with region of interest (ROI) position and scanner configuration; the mean error by configuration ranged from 1.4% to 19.9%. GNC significantly reduced the overall mean error for all sites from 9.9% to 0.6% (P = 0.016). Spatial dependence of GNC was highest in the right-left (RL) and anterior-posterior (AP) directions. Human subject mean tumor ADC was reduced 0.2 to 12% by GNC at different sites. By regression, every 1-cm change in tumor ROI position between baseline and follow-up visits resulted in an estimated change of 2.4% in the ADC early-treatment response measurement.

**Conclusion:** ĠNC is effective for removing large, system-dependent errors in quantitative breast DWI. GNC may be important in ensuring reproducibility in multicenter studies and in reducing errors in longitudinal treatment response measures arising from spatial variations in tumor position between visits.

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Diffusion-weighted imaging (DWI) is a noninvasive technique used to evaluate tissue cellularity and microstructure. Studies in patients with breast cancer have demonstrated that DWI can improve the diagnostic accuracy of MRI when combined with dynamic contrast-enhanced (DCE) magnetic resonance imaging (MRI) data, and can also provide information on early treatment-related changes in tumors in patients undergoing neoadjuvant (presurgical)

chemotherapy (NAC).<sup>2</sup> There is interest in establishing MRI biomarkers of treatment response, and such potential markers are currently being evaluated in clinical trials of conventional and targeted therapy. In order for DWI-based MRI biomarkers to be used robustly for monitoring treatment response, it is necessary to identify, characterize, and correct sources of measurement variation that may impact the quantitation of MRI-measured biomarkers.<sup>3</sup>

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A significant source of bias in diffusion measurement is the inherent nonlinearity of the imaging gradients in MRI systems. 4-7 This gradient nonlinearity (GN) is a wellknown characteristic of the gradient design, and results in a nonlinear and systematic spatial distortion of the encoded image known as gradient warping or "gradwarp". 8,9 The magnitude of GN generally increases with distance from the magnet isocenter and can be efficiently described by just a few spherical harmonics coefficients.<sup>8,9</sup> Gradwarp correction, a feature offered by all clinical MRI vendors, interprets these coefficients to perform the necessary local image interpolation and scaling needed to create a spatially accurate MR image. However, GN also affects diffusion-encoding, resulting in a systematic and spatially-dependent bias of the diffusion-encoding b-value or b-matrix. 10 These errors in diffusion-encoding will result in spatially dependent inaccuracy of diffusion measurements. The extent of GN varies between MRI systems from the same vendor <sup>7</sup> and between systems from different vendors, 11 and this directly results in lower concordance between measurements in multisite studies and worsened reproducibility in monitoring longitudinal changes if multiple scanner configurations are used. In bilateral breast DWI, large spatial offsets from isocenter are inherent to the prescribed field-of-view (FOV) and vary significantly between different receiver coil designs; hence breast DWI is highly susceptible to bias resulting from GN. As an example, regional variations in apparent diffusion coefficient (ADC) of breast tissue recently reported by Partridge et al 12 may be attributed in part to GN effects.

Correction of GN effects in DWI works by accounting for nonlinearity of the gradient fields during calculation of ADC. Early work on GN included correction in single-directional ADC imaging,<sup>5</sup> and in multi-directional diffusion tensor imaging where the full diffusion tensor was used.4 However, the recent increase in demand for quantitative, large FOV diffusion imaging in oncology 13,14 has focused primarily on DWI acquisitions that typically use only one to three gradient-encoding directions, which are insufficient to solve for the entire diffusion tensor. Hence, simplified DWI-optimal GN correction techniques have recently been developed 6,7 that allow for correction without need to acquire the full tensor dataset. Additionally, the work from Tan et al 7 found it was necessary to also correct for confounding spatially varying signal bias effects in order to demonstrate improved accuracy and interscanner reproducibility of ADC. Such effects include the concomitant field arising from dual-spin-echo diffusion preparation sequences, 15 and image distortion related to eddy-current and susceptibility. Therefore, GN correction (GNC) schemes that account for both limiteddirectionality DWI and other confounding nonlinearity effects will be needed to provide accurate and reproducible DWI biomarkers.

GN effects are of particular concern in longitudinal, multicenter trials such as treatment response trials for breast cancer. Interscanner variability exists even between different model scanners from the same manufacturer, and will present difficulties when attempting combined quantitative analysis across the trial. Furthermore, while spatially dependent GN effects can be mitigated by choosing metrics based on percent change in ADC over time rather than absolute value at a given timepoint, the spatial variability of the GN bias will still result in errors in these measurements due to positional changes between visits. The purpose of this study was to evaluate a GNC program for ADC measurements on phantom and human subject DW MRI scans in a multicenter breast cancer treatment response study.

## MATERIALS AND METHODS

#### **GNC** in DWI

GNC in diffusion imaging is typically described as a correction of the derived diffusion metrics, such as ADC and fractional anisotropy (FA). These diffusion metrics can in turn be derived from the diffusion tensor **D**, which is a three-by-three matrix with six independent scalar components:

$$\mathbf{D} = \begin{bmatrix} D_{xx} & D_{xy} & D_{xz} \\ D_{xy} & D_{yy} & D_{yz} \\ D_{xz} & D_{yz} & D_{zz} \end{bmatrix}. \tag{1}$$

Conventionally, **D** is solved by a system of linear equations relating **D** to the diffusion-encoded signal  $S_i$ , the nondiffusion-encoded signal  $S_0$ , the encoding scalar b-value b, and the normalized 3D gradient vector  $\mathbf{g_i}$ . Without GNC,  $\mathbf{g_i}$  is the same for every pixel. In diffusion tensor imaging (DTI), six or more  $\mathbf{g_i}$  gradient vectors are used to acquire the resulting set of signals  $S_i$ , sufficient to mathematically solve for **D**. With GNC,  $\mathbf{g_i}$  varies on a pixel-by-pixel basis, and can be obtained by decoding the spherical harmonics to obtain the pixel-based gradient vector  $\mathbf{g_i'}$ . In this setting, the familiar Stejskal-Tanner equation  $\ln(Si/So) = -b_iD$  can be written in matrix form to solve for **D**:

$$\ln\left(S_i/S_0\right) = -\mathbf{b}\mathbf{g}_i^{\prime T}\mathbf{D}\mathbf{g}_i^{\prime}. \tag{2}$$

However, in DWI where as few as just one gradient vector may be used, there are insufficient gradient directions or equations to solve for  $\mathbf{D}$ . Hence, GNC in one-directional DWI reverts to the scalar version of the Stejskal-Tanner equation, whereby the GN-modified b-value  $\mathbf{b}'_i$  is effectively:

$$b_{i}' = b_{i} \frac{\|\mathbf{g}_{i}'\|^{2}}{\|\mathbf{g}_{i}\|^{2}}.$$
 (3)

Therefore, the b-value correction of Eq. (3) may be used in nontensor-based DWI, where fewer than six gradient vector directions are available. Another situation where the scalar b-value correction may apply is when only trace (also known as combined) diffusion images are available, whereby diffusion images with the

Site	Gradient system	Rx coil <sup>a</sup>	Echo time (msec)	Echo spacing (μs)	Image dimension (pixels)	FOV <sup>b</sup> center in L/R (-/+) direction (mm)	FOV <sup>b</sup> center in A/P (-/+) direction (mm)	Individual directions available
1	A	8ch, Sentinelle	68.5	664	160×160	-0.1	-67.3	Yes
1	В	8ch, Sentinelle	95.4	912	160×160	-0.1	-67.3	Yes
2	С	8ch, Sentinelle	98.3	748	160×160	+2.6	-81.1	No
3	С	8ch, GE	116.3	884	256×256	+6.2	-2.4	No
4	A	8ch, GE	99.3	784	256×256	+7.2	+17.9	No
5	A	8ch, GE	96	664	160×160	+0.3	+10.5	No

same b-value from multiple gradient directionalities are combined to form a single image and the individual diffusion images are not stored for postprocessing. The b-value correction may also be used to directly obtain a b-value-corrected signal:

$$S'_{i} = S_{0} \exp\left(\log\left(\frac{S_{i}}{S_{0}}\right) \frac{b_{i}}{b'_{i}}\right)$$

$$= S_{0} \exp\left(\log\left(\frac{S_{i}}{S_{0}}\right) \frac{\|\mathbf{g}_{i}\|^{2}}{\|\mathbf{g}'_{i}\|^{2}}\right). \tag{4}$$

Alternatively, in three-direction DWI a system of linear equations can still be used to estimate the diffusion tensor. This is done by limiting the number of eigenvalues (or singular values) in the eigenvalue (or singular value decomposition) of Eq. (2) to three, instead of using all six eigenvalues as in the DTI case. In cases where multiple b-values are used in DWI, the number of eigenvalues is limited to the number of gradient directions per b-value (one to three).

In this work, a GNC program (GE Global Research, Niskayuna, NY) <sup>7</sup> using fifth-order spherical harmonics coefficients was used to retrospectively generate ADC maps from the acquired diffusion images. Confounding effects on the diffusion signal due to concomitant field resulting from the use of dual-spin-echo diffusion preparation <sup>15</sup> were also accounted for. In cases of three-directional DWI data where diffusion data from individual gradient directions were available, Eq. (2) was applied (limiting the eigenvalues to three in the case of three-directional DWI). In cases where only the trace (combined) diffusion data was available, the b-value correction of Eq. (3) was used. Equation (4) was also used to generate b-value-corrected images.

# Study Imaging Sites and Equipment Configurations

Five imaging sites participating in the ACRIN 6698 substudy of the I-SPY 2 TRIAL (http://ispy2.org) and using GE Healthcare MRI equipment were included in this study. All imaging studies were performed on 1.5T HDx scanners (GE Healthcare, Waukesha, WI) using receive-only breast coils. Details of the equipment

configurations for the five sites are given in Table 1. Three different gradient system configurations were represented (A through C) with different maximum gradient amplitudes (G<sub>max</sub> = 40mT/m (A), 22mT/m (B), 33mT/m (C)) and maximum gradient slew rates (SR = 150 mT/m/ms (A), 77 mT/m/ms (B), 120 mT/m/ms (C)).Different G<sub>max</sub> and SR, as well as differences in the site-specific acquisition protocols, result in different echo times (TE) and echo spacing (ESP), as shown in Table 1, and result in different distortion effects due to the EPI readout. Two different eight-channel receiver coil models were used across the five sites, a Sentinelle model (Invivo, Gainesville, FL) and a GE model (GE Healthcare). The primary difference between coils was the position of the center of the FOV in the anterior-posterior (AP) direction with respect to the magnet isocenter. Equipment configurations for the human scans were similar to those used for the phantom studies (Table 2). Site 2 was not included in the human studies for this work as no patients had been scanned on GE Healthcare scanners.

# Phantom Design and ACRIN 6698 DWI Quality Control Procedure

Breast-sized diffusion phantoms were constructed from 1.5-L, 11 cm diameter cylindrical plastic containers. A single 2.9 cm diameter thin-walled plastic measurement tube filled with distilled water was thermally insulated at both ends and held vertically within each container by closed-cell foam support rings affixed to the bottom and removable top of the container (Fig. 1). A pair of phantoms and phantom preparation instructions were provided to each site for the purpose of completing standardized qualification quality control (QC) scans for the ACRIN 6698 trial (see http:// www.acrin.org/6698\_protocol.aspx for protocol details). At least 1 hour prior to scanning, the space surrounding the measurement tube was filled with a mixture of crushed ice or ice cubes and water. Each phantom was placed in a foam insulation sleeve and plastic bag to keep condensate away from MRI components. Once at thermal equilibrium at  $\sim 0^{\circ}$ C, water within the measurement tube will have a known diffusion coefficient of  $1.1 \times 10^{-3}$ mm<sup>2</sup>/s.<sup>16</sup>

The diffusion protocol for all phantom scans was a three orthogonal-direction DWI with four b-values of 0, 100, 600, and

TABL	TABLE 2. Equipment Configurations for Human Study											
Site	Gradient System	Rx Coil	Echo Time (ms)	Echo Spacing (µs)	Slice Thickness (mm)	High b-values (s/mm <sup>2</sup> )	Subjects	Image Dimension	Individual directions available			
1	A	8ch, Sentinelle	67.9	648	4	100,600,800	28	160×160	Yes			
3	С	8ch, GE	94.6	764	5	800	5	192x192	No			
4	A	8ch, GE	96.5	704	5	100,600,800	11	128×128	No			
5	A	8ch, GE	61.5	620	5	100,600,800	10	192×192	No			

800 s/mm², using a single-shot EPI sequence. Thirty 4-mm thick axial slices with a 32 cm FOV were acquired providing full coverage of the phantoms. Software and clinical workflow differences between the five sites resulted in minor variations in how the acquisition protocol was performed. The scanner software employed at sites 2, 3, and 4 required three separate dual b-value scans of b = (0,100), (0,600), and (0,800) s/mm², acquired consecutively at the same TE, while sites 1 and 5 used a single acquisition four b-value protocol. Also, the individual diffusion images from all three directions were available from Site 1, while Sites 2–5 provided only combined (trace) images.

The ACRIN 6698 DWI QC phantom scan procedure required four sequential DWI acquisitions over  $\sim 12$  minutes, which were analyzed at ACRIN Core Labs (ACRIN and University of Michigan) for site certification. Stability in ADC values measured over this interval was evidence that the phantom achieved thermal equilibrium. For the current study, selected protocol compliant scans from thermally stable acquisitions from each site were analyzed retrospectively.

#### **Human Studies**

The effects of GNC on human subject ADC measurements were evaluated using DWI data from the I-SPY 2 study collected at sites using GE scanners. I-SPY 2 enrolled patients with locally advanced breast cancer, screened to identify those with high risk of recurrence according to the Mammaprint 70-gene signature <sup>17</sup> and receptor status (estrogen receptor and Her2). All patients gave informed consent following explanation of the planned procedures.

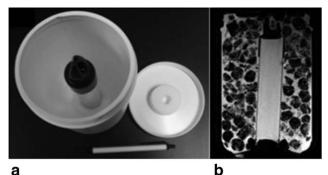


FIGURE 1: Breast diffusion phantom: (a) unfilled phantom showing measurement tube, (b) typical central axial  $T_1$ -weighted MRI showing the center tube surrounded with an ice/water mixture.

Subjects received up to four MRI studies: pretreatment (MR1), early-treatment (MR2, following 3 weeks of Paclitaxel), interregimen (MR3, after 12 weeks Paclitaxel), and presurgery (MR4, following all NAC treatment). The MR1 and MR2 visits were used in this study evaluating GN effects. Fifty-four patients, mean age  $\sim\!50$  years (age range 32–71 years, excluding patients from Site 4 for which date of birth data were not available), with analyzable diffusion scans at both MR1 and MR2 were included, as indicated in Table 2.

All patients enrolled in the I-SPY 2 TRIAL receive a bilateral DWI scan in addition to standard  $T_2$ -weighted and 3D high spatial resolution (DCE) imaging. Those enrolled in the ACRIN 6698 substudy (patients from Sites 1, 4, and 5) were scanned with the four b-value protocol (b = 0, 100, 600, and 800 s/mm²) as described in the previous section. Patients from Site 3, which was not participating in ACRIN 6698, received a single dual b-value DWI scan (b = 0, 800 s/mm²) as prescribed by the I-SPY 2 protocol. Differences between the phantom and human DWI protocols included variations of the image dimension (Sites 3, 4, and 5) and a significant reduction in TE (Site 5) as shown in Tables 1 and 2. The FOV for human scans ranged from 30–38 cm in order to provide full bilateral coverage of the breasts.

### Analysis

MRI DICOM images were transferred to a local analysis archive, either directly from local PACS or from other sites via the TRIAD program (ACRIN, Philadelphia, PA). All processing except the GNC program was done with in-house software written in the IDL (Exelis Visual Information Solutions, Boulder, CO) programming language. Monoexponential uncorrected ADC maps were generated for each diffusion acquisition, using a linear least-squares fit to the log of the signal intensity for the four b-value series and a simple two-point calculation for two b-value series. Special processing was required for the human subject scans from Sites 2 and 4, where multiple-series matched two b-value acquisitions  $[(0,100 \text{ s/mm}^2), (0,600 \text{ s/mm}^2), (0,800 \text{ s/mm}^2)]$  were used to acquire a four b-value dataset. These series were automatically identified and the DICOM headers were automatically checked to ensure that no changes to the pertinent scan parameters, other than b-value, were made between the individual series. The multiple-series scans were combined into a single four b-value series consisting of all b>0 images and a single average T2 image created from the three b = 0 images. DICOM attributes were then

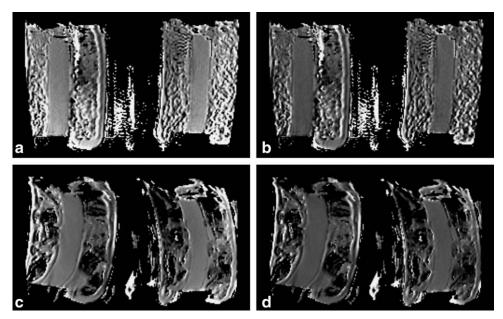


FIGURE 2: ADC maps of ice-water phantoms from Site 1 (a,b) and Site 5 (c,d). Left images (a,c) are original data, right images (b,d) from GNC data. Window/level settings are matched for all four images at  $1.0 \times 10^{-3}$  mm<sup>2</sup>/s window and  $1.2 \times 10^{-3}$  mm<sup>2</sup>/s level. For the Site 1A configuration, GNC resulted in a noticeable change in ADC level and homogeneity, as evidenced by the lower overall ADC and the reduction in ADC gradient from top to bottom in the center tube as seen in (b) as compared to (a). Site 5 images are more typical, showing little visual evidence of GN effects other than a general decrease in intensity in the ADC map when calibrated window/level settings are used. As seen here, the degree of spatial distortion varied between sites.

set to allow processing of the combined series by both the ADC mapping software and the GNC program. Since no significant deviation from monoexponential diffusion behavior was expected in free water, the phantom scans from these sites were analyzed as individual two b-value acquisitions and the  $b=0,\!800~\text{s/mm}^2$  series results are presented here.

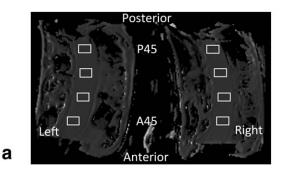
Spatial variations in phantom ADC maps were evaluated with line intensity profiles and ROI analysis. To determine the AP direction (y-axis) variations in ADC, line profiles (of 5-voxel width) were obtained along the center of the inner phantom tubes. In addition, four rectangular ROIs,  $\sim 1.5 \times 1.0 \text{ cm}^2$ , were evenly distributed in the AP direction along the middle slice of each phantom image. Phantom and breast coil geometries restricted phantom positioning to a vertical orientation, thereby limiting evaluation of variations in the superior-inferior (SI) direction (zaxis). SI variations in absolute ADC error over a ±1 cm range were evaluated with small (1 cm diameter) circular ROIs placed on all slices intersecting the central phantom tube. ADC error could not be found in the ice+water region outside the center tube due to the lack of homogeneous, artifact-free regions. In images where there was sufficient signal in the outer volume, the circular ROIs were extended to map the GNC effects at larger SI offsets by calculating the percent change in measured ADC with GNC.

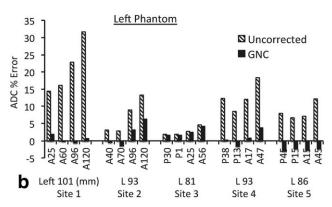
For the human subject scans, areas of tumor were initially identified on subtraction images from the DCE acquisition. As image misregistration did not allow for direct transfer of ROIs from the DCE to the diffusion images, tumor ROIs were drawn manually by trained research associates with 2–5 years of experience, following a standard operating procedure defined by members of the ACRIN 6698 study protocol team. ROIs were defined on the diffusion scans as regions hyperintense on the high-b value DWI and hypointense on the ADC map. The DCE images were

used for reference to ensure correct anatomical location within the breast. Regions of tumor necrosis were excluded based on high intensity on the ADC maps. ROIs were drawn on contiguous slices to include all tumor volume, and these single-slice regions were combined into a single 3D whole-tumor ROI. ROI positions were characterized by a single 3D centroid (center-of-gravity) position relative to magnet isocenter and an ROI extent along each axis. ROIs for scans from MR1 and MR2 were generated independently. The whole-tumor ROI for each diffusion series was evaluated for mean, median, standard deviation (SD), and SD/mean for ADC maps with and without GNC. In addition, all patients for each equipment configuration were analyzed together to generate normalized combined ADC histograms for all ROI voxels from all patients at MR1 and MR2 for each equipment configuration, with and without GNC, using a bin size of  $1 \times 10^{-5}$  mm<sup>2</sup>/s. Early treatment response in ADC ( $\Delta ADC_{ET}$ ), defined as the percent change in mean tumor ADC between MR1 and MR2, was calculated for both uncorrected and GNC ADC data.

### Statistical Analysis

Statistical analysis was performed in R (R Core Team (2014). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL http://www.R-project.org). A Wilcoxon signed rank test was used to assess the change in phantom ADC error with GNC within and between configurations. Paired and two-sample *t*-tests were used on the human subject data to evaluate differences between GNC and uncorrected tumor ADC measurements and  $\Delta$ ADC<sub>ET</sub> within and between configurations. Pearson's correlation was used to assess the strength of relationship between GNC changes in  $\Delta$ ADC<sub>ET</sub> with changes in the ROI position between visits. All tests were performed two-sided with significance level alpha = 0.05.





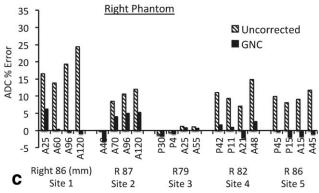


FIGURE 3: ROI analysis of original and GNC phantom ADC maps from five imaging sites: (a) typical ROI placement (Site 5 image shown); (b,c) mean ROI ADC error (percent difference from the known value of 1.1  $10^{-3}$  mm²/s) for left and right phantoms, respectively. Hatched bars show the original data ADC, solid bars show the GNC data ADC. Labels for each pair of data points give the anterior/posterior position of the ROI in mm, while the subtext gives the mean right/left offset of the four ROIs. AP positions illustrate the more vertically centered position for the coil used at Sites 3–5, while the generally smaller RL offsets in the right phantom may be due to image distortions. Site 1 results shown are for gradient system A.

### **RESULTS**

#### **Phantom Results**

Typical phantom ADC maps from two sites without and with GNC are shown in Fig. 2. Identical window and level intensity settings, corresponding to  $1.0 \times 10^{-3}$  mm<sup>2</sup>/s window and  $1.2 \times 10^{-3}$  mm<sup>2</sup>/s level, were used for all four images. Qualitative differences were noted between uncorrected and GNC maps from Site 1 (Fig. 2a,b), with distinct global decrease in ADC with GNC along with elimination

of the gradient towards higher ADC values in the anterior end of the phantom. Effects of GNC were subtler on the other configurations, generally not visible in qualitative comparisons unless display window and level settings were carefully set to enhance the decrease in ADC with GNC. The degree of image distortion varied considerably between sites, as illustrated in the figure.

Figure 3a shows typical ROIs drawn at different AP positions in the two ice-water phantoms, with ADC results plotted in Fig. 3b (left phantom) and Fig. 3c (right phantom). Site 1 results shown are for the higher speed gradient system A, as described below. The ADC errors (percent difference from 1.1\*10<sup>-3</sup> mm<sup>2</sup>/s) were reduced by GNC in all sites and in 37 of 40 ROIs. The larger offset from isocenter in the AP direction when using the Sentinelle coil resulted in a greater increase in uncorrected error in the more anterior ROIs at Sites 1 and 2 when compared to Sites 3-5 using the GE coil. Mean percent error across all eight ROIs and paired Wilcoxon signed rank test results for each equipment configuration are given in Table 3. Site-to-site differences in the magnitude of ADC error before correction were large; ranging from Site 1 with 19.9% mean error, to site 3 with 1.4% mean error. The range of mean ADC error was reduced by GNC to a maximum 3.9% (Site 1B) to -2.0% (Site 5). Table 4 shows results for the intersite Wilcoxon signed rank tests. The maximum site-to-site difference in the estimated mean value for the ADC error was 18% for uncorrected data, reduced to 3.9% after GNC.

The Site 1 scanner was a dual gradient mode model that allowed for gradient strength/slew-rate performance and gradient nonlinearity effects to be compared on the same MRI scanner (gradient systems A and B). Figure 4a,b shows corrected ADC maps from the left phantom (left offset = 10 cm) for gradient systems A and B, respectively. The system B map appears to have more distortion and increased ADC variability. Figure 4c,d shows the ADC profiles along the AP direction for the two gradient modes with and without GNC for the left and right phantoms, respectively. While the system B displays smaller ADC errors using uncorrected images, the higher speed system A mode showed much lower errors after correction. There were also more artifacts with gradient mode B due to the longer echo time and echo spacing, as illustrated by the oscillatory behavior of the ADC plot for the left phantom between positions A10 and A50. As the shorter TE images were judged superior for clinical image quality, all further investigations were limited to gradient mode A.

Due to the phantom geometry only a relatively small (~2 cm) range of positions within the central tube was testable against a known diffusion value in the superior–inferior (z-axis) direction. Where sufficient signal was present in the outer phantom region the relative change in ADC with GNC was measured over a larger 7.2 cm range. Figure 5a,b

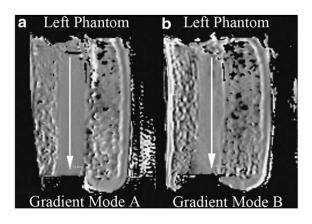
TABLE 3. Phantom ROI Measures: Paired Wilcoxon Sum Rank Test on Percent ADC Error in 8 Rectangular ROIs											
		Uncorre	cted	GNC							
	Est. mean ADC error	Lower 95% CI	Upper 95% CI	P-value	Est. mean ADC error	Lower 95% CI	Upper 95% CI	P-value			
Site 1A	19.9	15.02	25.50	0.008	0.9	-0.58	3.36	0.641			
Site 1B	9.1	6.15	12.15	0.008	3.9	-0.31	7.76	0.055			
Site 2	7.4	2.93	11.95	0.016	2.3	-1.44	5.29	0.109			
Site 3	1.4	-0.18	3.26	0.078	1.1	-0.52	2.90	0.250			
Site 4	11.7	8.54	15.17	0.008	0.8	-0.99	2.64	0.383			
Site 5	9.1	7.32	10.98	0.008	-2	-2.7	-1.08	0.008			

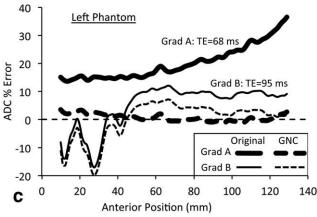
shows sample images with ROIs from two slices in the left phantom from Site 1A (original data, no GNC); Fig. 5b showing the small signal voids from the solid phase crushed ice. Figure 5c shows the mean ADC percent error by site for ROI 2L (center ROI, left phantom) for each of the six slices measurable in the center tube (slice positions -1.0 cm to 1.0 cm, 4 mm slice thickness). The residual errors for the Site 2 data were due mainly to artifacts in the images and derived ADC maps. As seen in Fig. 5c, both Site 4 and 5 had apparent oscillations in ADC between slices, the magnitude of which sometimes was comparable to the GNC correction. Due to the small number of slices, statistical tests of this oscillation were inconclusive (eg, Wilcoxon sum rank test comparing odd to even slices: P = 0.1, 90% confidence interval  $[CI] = [0.686 \ 2.241]$  for Site 4). Figure 5d shows the variation in the percent change of ADC with GNC across an 18 slice (7.2 cm) range for Sites 1A, 2, 4, and 5. Sites 1A, 4, and 5, all running gradient system A, had comparable changes in ADC correction percentage over this range of z-axis positions; the GNC effect on ADC typically decreasing 1.4% to 2.5% between z=0 and  $z=\pm 3$  cm, as shown by the curvature of the plots in Fig. 5d. Site 2 (gradient system C) showed less variation with z position, with a maximum change of 0.9% over the same range of positions.

#### **Human Subject Results**

MRI-visible tumor volumes varied greatly between patients, as evidenced by ROI volumes from 0.69 cc to 291 cc at visit MR1 (median = 7.94 cc) and from 0.17 cc to 110 cc at MR2 (median = 5.61 cc). Maximum in-plane extent (RL or AP direction) varied from  $\sim$ 1 cm to 11 cm. Figure 6

	Uncorrected						GNC					
Sites	$\Delta$ mean <sup>a</sup>	Δlocation <sup>b</sup>	Lower 95% CI	Upper 95% CI	P-value	Δmean	Δlocation	Lower 95% CI	Upper 95% CI	P-value		
1A to 2	12.5	12.6	8.7	16.2	0.008	1.4	-2.0	-5.6	2.9	0.383		
1A to 3	18.5	18.0	14.2	23.3	0.008	0.2	-1.0	-2.7	3.3	0.461		
1A to 4	8.2	6.7	4.4	12.0	0.008	0.1	0.1	-2.8	3.2	0.945		
1A to 5	10.8	10.4	6.6	16.1	0.008	2.8	2.0	1.5	5.8	0.008		
2 to 3	6.0	5.7	1.2	9.9	0.008	1.2	1.3	-2.0	4.6	0.313		
2 to 4	4.3	-4.0	-9.4	0.1	0.055	1.6	1.6	-2.4	4.9	0.250		
2 to 5	1.7	-1.8	-6.6	1.8	0.441	4.3	3.9	0.2	7.5	0.039		
3 to 4	10.3	-10.0	-13.8	-6.7	0.008	0.3	0.3	-1.6	2.7	0.844		
3 to 5	7.6	-7.4	-9.3	-6.1	0.008	3.0	3.0	1.7	4.4	0.008		
4 to 5	2.6	2.8	-0.1	5.0	0.055	2.7	2.8	0.8	4.7	0.016		





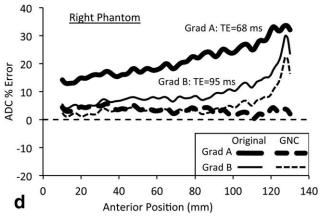
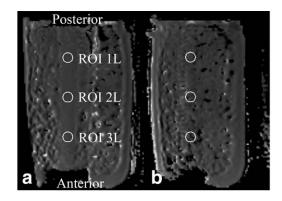
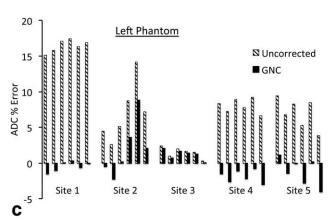


FIGURE 4: Comparison of gradient modes A and B on the Site 1 scanner. (a,b) Left phantom GNC ADC maps for the two gradient modes. Increase in distortion and artifacts are visible in the slower speed gradient B image. (c,d) ADC error profiles along posterior-to-anterior lines as indicated by the arrows in (a,b) for left (c) and right (d) phantoms. Uncorrected (solid lines) and GNC (dashed lines) are shown for gradient system A (thick lines) and B (thin lines).

illustrates the range of tumor ROIs analyzed, from a relatively small solid tumor (Fig. 6a) to a large tumor with necrotic core (Fig. 6b). Figure 6c,d shows maps of the corresponding percent changes in ADC with GNC for these two exams. Despite the large extent of the tumor in Fig. 6b, which results in a large variation in size of the GNC effect across the ROI, the relative spread of the ADC distribution as measured by the standard deviation divided by the mean





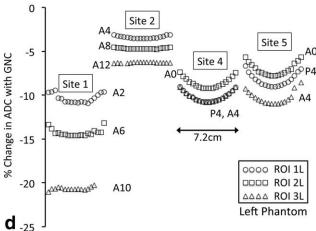


FIGURE 5: Superior-inferior variations in GNC in the ice water phantom. Axial slice images of the left phantom showing circular ROIs (a) 1.2 cm superior offset at edge of the center tube and (b) 3.2 cm superior offset in the mixed ice-water region. (c) Percent error in ADC for the middle ROI, left phantom, for six slices (2.4 cm) within the central tube for each site. Uncorrected data are shown in cross-hatched bars, GNC data in solid bars. (d) Variation in percent change in ADC with GNC across 18 slices (7.2 cm) for each ROI in the left phantom for Sites 1, 2, 4, and 5. Site 3 used larger blocks of ice, resulting in large signal voids preventing z-axis correction measurements outside of the central tube. Labels give AP position of the ROI. Circles, squares, and triangles represent ROIs 1L, 2L, and 3L, respectively.

did not change much with GNC (original data: mean (SD) =  $1257 \ 307 \ \text{mm}^2/\text{s}$ , SD/mean = 0.24; GNC data: mean (SD) =  $1100 \ 272 \ \text{mm}^2/\text{s}$ , SD/mean = 0.25). This was

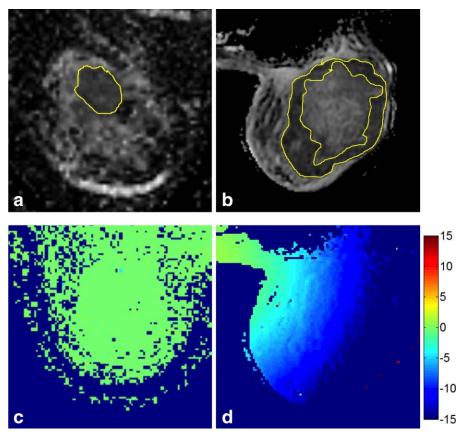


FIGURE 6: Sample slice images with tumor ROIs showing (a) a small solid tumor (Site 3, GE coil, ROI volume = 4.27 cc, size =  $2.36 \times 2.64 \times 1.5$  cm<sup>3</sup>, in-plane position = 8.68L, 1.22A) and (b) a large ring-shaped tumor around a necrotic core (Site 1, Hologic coil, ROI volume = 291 cc, size =  $10 \times 11.5 \times 8.9$  cm<sup>3</sup>, in-plane position = 9.9R, 3.8A). (c,d) The corresponding color-coded maps of the percentage change in ADC resulting from GNC.

true for almost all of the cases investigated, indicating that for individual cases biological variations across the whole-tumor ROI used in this study appear to be larger than the GNC size variations.

Normalized combined ADC histograms for Sites 1, 4, and 5 all showed a noticeable GNC effect, although the Site 5 effect was small, while Site 3 showed essentially identical ADC distributions with and without GNC. Statistical results for the changes in ADC with GNC for each configuration and between configurations are given in the top section of Table 5, for the baseline MR1 visit. All sites showed a statistically significant decrease in ADC with GNC (P < 0.01), but the reduction at Site 3 was too small to be of practical significance  $(-0.2\% = -2.2*10^{-6} \text{ mm}^2/\text{s})$ . MR2 data is not shown but was very similar, with a maximum change with GNC of -12% ( $-146*10^{-6}$  mm<sup>2</sup>/s) for Site 4. Little change in the relative widths (SD/mean) of the tumor ADC distributions was seen with GNC, although Sites 1 and 5 did have small percentage decreases at both visits: Site 1 SD/mean changed -1.7% and -5.7%, Site 5 -2.3% and -6.4%, for MR1 and MR2, respectively. However, Site 4 SD/mean increased slightly, 1.3% at MR1 and 2.9% at MR2, and Site 3 showed no change. Intersite comparisons of the percent change in ADC with GNC are given

in the second section of Table 5. The GNC effect at Site 3, running gradient system B, was significantly different from each of the 3 sites running gradient system A.

Results from t-tests on the difference in mean early treatment response in ADC (ΔADC<sub>ET</sub>) with and without GNC are given in the third section of Table 5. Mean  $\Delta ADC_{ET}$  across all patients was effectively unchanged by GNC: a 9.42% (SD = 15.7) increase in ADC using uncorrected data compared to 9.41% (SD = 15.2) with GNC (P = 0.96, 95% CI = [-0.58, 0.56]), and no site showed a statistically significant change with GNC. However, there were changes of up to approximately ±4% (mean (SD) absolute change 1.66% (1.40%)) in individual patient ΔADC<sub>ET</sub> values with GNC due to shifts in the tumor ROI position or extent between MR1 and MR2. Figure 7a shows the difference in  $\Delta ADC_{ET}$  with and without GNC plotted against the difference in the in-plane ROI centroid position (ie, off-axis distance from isocenter) between the two visits. A linear regression (dotted line) led to an estimated increase of 2.36% (95% CI = [2.05, 2.67]) in measured  $\Delta ADC_{ET}$ for each +1 cm change in position of the tumor ROI between visits. The positional changes observed were relatively small (mean (SD) change 0.66 (0.54) cm) so that expected changes based on the regression would typically

TABLE 5. Human Subject Resu	ılts			
Mean ADC MR1 (x10 <sup>-6</sup> mm <sup>2</sup> /s) paired t-test by site	Estimated Amean with GNC	Lower 95% CI	Upper 95% CI	<i>P</i> -value
Site 1A	-135.6	-155.6	-115.5	$4.7*10^{-14}$
Site 3	-2.3	-3.6	-0.9	0.0096
Site 4	-130.5	-179.6	-81.4	0.0001
Site 5	-91.4	-126.1	-56.6	0.0003
% change ADC with GNC inter-site comparison 2 sample <i>t</i> -test	Difference in estimated means (%)	Lower 95% CI	Upper 95% CI	P-value
Site 1A to Site 3	11.08	-12.46	-9.71	$5.1*10^{-16}$
Site 1A to Site 4	-0.14	-3.84	4.12	0.9407
Site 1A to Site 5	-4.04	1.24	6.84	0.0079
Site 3 to Site 4	10.94	-14.78	-7.11	$8.2*10^{-5}$
Site 3 to Site 5	7.04	-9.63	-4.45	0.0002
Site 4 to Site 5	-3.90	-0.44	8.25	0.0751
ΔADCET (% change) paired <i>t</i> -test by site	Estimated Δmean with GNC	Lower 95% CI	Upper 95% CI	P-value
All patients	-0.01	-0.58	0.56	0.94
Site 1A	0.14	-0.78	1.07	0.75
Site 3	-0.04	-0.16	0.07	0.35
Site 4	-0.86	-2.03	0.30	0.13
Site 5	0.54	-0.77	1.85	0.37

not be higher than  $\sim$ 4%. The corresponding estimated Pearson's correlation of r = 0.91 (95% CI: 0.85, 0.95) indicates that position shifts of the tumor ROI can explain the bulk of the GNC variation in measured  $\Delta ADC_{ET}$ . A similar analysis of change in  $\Delta ADC_{ET}$  versus z-axis (S-I direction) position changes between visits led to an estimated 0.02% (CI -0.31, 0.36) increase in measured  $\Delta ADC_{ET}$  for each +1 cm change in the z-axis, shown in Figure 7b (mean (SD) z-axis position change 1.51 (1.29) cm). The corresponding estimated correlation was r = 0.02 (95% CI -0.26, 0.30), altogether indicating that GN changes due to z-axis shifts in position have at most a limited effect on ADC treatment response measures. Note that all percentage changes in  $\Delta ADC_{ET}$  given refer to absolute changes in the ΔADC<sub>ET</sub> measurement, not percentage changes of that measurement.

### **DISCUSSION**

In this study we examined the effects of GNC on ADC measurements in a breast cancer multisite trial setting using phantom and human MRI data. Using a known ADC refer-

ence phantom (water at 0°C), we found a wide range of errors in uncorrected scans. These errors were highly dependent on the gradient system and measurement position. In geometries typical for clinical breast imaging the uncorrected errors in ADC increased with distance from the magnet isocenter, ranging from over 30% to less than 1%. The ADC errors were in almost all cases positive, implying a higher true b value than the nominal programmed b value for the acquisition. This was expected, given the large lateral offsets (8–10 cm) for all ROIs examined and the known GN trend to increase gradient strength with increased lateral or vertical displacement from the isocenter. In 37 of 40 phantom ROI locations GNC reduced ADC error, in many cases dramatically, indicating a robust correction for GN errors.

Large lateral offsets are unavoidable for breast imaging in horizontal bore clinical MRI scanners, but offsets from isocenter in the AP direction can also be sizeable and dependent on the breast coil used. A recent study of normal breast using DTI found variations in breast tissue ADC along the AP direction, 12 which parallel our observations of

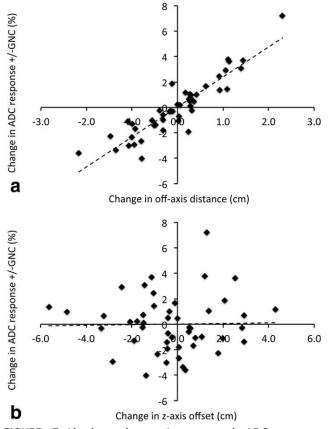


FIGURE 7: Absolute change in measured ADC response ( $\Delta ADC_{ET}$  = percent change of the mean tumor ADC between MR1 and MR2) with application of GNC plotted versus difference in (a) the off-axis (combined AP and RL) distance and (b) the z-axis (SI) distance from magnet isocenter of the respective MR1 and MR2 ROIs. On average, each +1 cm change in off-axis position of the tumor ROI is seen to result in an apparent increase in the measured ADC response of 2.36% (CI 2.05, 2.67). No significant changes were observed due to changes in z-axis position.

increasing ADC with increasing anterior offset in the phantom scans. This effect was seen most clearly in data from sites using the Sentinelle coil, which positioned the phantom more anterior (~6 cm) than the GE coil. In all cases GNC effectively removed these variations, leaving no apparent systematic trends in ADC error with AP position. It was not clear in our study whether the observed difference in AP position between the two coil types was only due to phantom-specific positioning differences or would carry over into human imaging. The observed difference in mean AP tumor ROI position for the in vivo scans, ~1.2 cm, was much smaller than the 6 cm difference observed in the phantom scans, but the relatively small sample size and large variability in breast size and tumor position within the breast do not allow us to draw any conclusions about systematic biases in positioning between the two coil designs.

Longitudinal (superior/inferior) direction offsets appear to be the least significant source of GN errors of the three axes in breast DWI. While the phantom geometry did not allow precise mapping of ADC error beyond about  $\pm 1$  cm from the isocenter in the SI direction, we did map the GNC effect out to  $\pm 3.2$  cm and observed a maximum change in the ADC correction factor from GNC of 2.5% in this range. Given the limited extent of SI-direction available for measurement, our observations were consistent with that reported elsewhere on other scanners. Qualitatively, we observed that the GNC correction was also able to restore ADC uniformity in the SI direction.

We found marked differences in the system characteristics between the two gradient modes on the "dual-speed" scanner, illustrating tradeoffs that must be made in system design between speed and linearity, and thereby in imaging use between qualitative image quality and quantitative accuracy. While gradient system A, with higher SR and G<sub>max</sub>, provided clearly superior qualitative image quality by allowing lower TE and ESP to be prescribed, the high GN errors resulted in a failure of the qualification standards for the ACRIN 6698 study. In contrast, gradient system B provided sufficient quantitative ADC accuracy, but did not provide sufficient image quality for clinical application with the specified study diffusion protocol. Application of GNC allowed the use of system A gradients at this site for quantitative studies, in fact reducing the ADC errors in the phantom studies to the lowest level of all equipment configurations investigated.

Our human studies demonstrated the magnitude of GN effects in breast imaging, and also the high degree of variability between scanner configurations. The effects resulting from spatial offsets in the RL and AP directions dominated those of offsets in the SI direction, in particular when longitudinal changes in ADC were measured. We did not observe a reduction in the relative spread of ADC values on an individual-ROI basis, even in cases of extended ROIs with a large spread in GNC values, nor on a site-basis. This would indicate that the intrasubject and intersubject ADC variability is large compared to the GNC effects. 18 This may be partly explained by our use of whole tumor multislice ROIs, versus single-slice tumor ROIs which have been used in other studies evaluating ADC in breast cancer. 19,20 Further work is under way on optimizing ROI definition for treatment response studies, which could better demonstrate the improvements in accuracy obtained with GNC. Although our study focused on absolute ADC measurement, we note that GN effects may also be of significant interest in relative measurement, eg, when normalizing diseased tissue ADC to normal tissue ADC, or for diagnostic tests for malignancy.

A limitation of this study is the relatively small number of gradient systems tested due primarily to the software restriction to GE scanners. Further work with either manufacturer-independent correction schemes, or with proprietary GNC programs from the other manufacturers of

MRI scanners, is needed to fully map out the extent of the variability in GN ADC errors across multicenter clinical breast cancer trials. Extension to higher field strengths is also needed due to the increasing use of 3.0T scanners for breast imaging. In addition, this study looked only at a single type of ROI definition for measuring tumor ADC change. The effects of GNC on the measurement of change in ADC with treatment with different ROI definition parameters still need to be investigated.

In conclusion, this study illustrates the benefits of applying GNC to multicenter breast diffusion studies for more accurate quantification of ADC. If GNC is not available, the results show the need in longitudinal studies to avoid switching between scanner configurations, including receiver coil and multiple gradient modes, for sequential exams on a given patient. GNC will need to be applied in order to eliminate a significant source of error when combining results from multiple sites for analysis.

### **CONFLICT OF INTEREST**

ETT and LM are employees of General Electric Company; TC is co-inventor of IP assigned to and managed by the University of Michigan. No other authors have any conflicts of interest to declare.

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