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8	HESXI Mutations in Patients with Congenital Hypopituitarism: Variable Phenotypes
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52	
53	Word Count: Abstract (229) and Main Text (3057)
54	Summary
55	<b>Introduction:</b> Mutations in the transcription factor <i>HESX1</i> can cause Isolated Growth
56	Hormone Deficiency (IGHD) or Combined Pituitary Hormone Deficiency (CPHD) with or

57	without Septo-0	Optic Dyspla	sia (SOD).	So far there is no	clear genotype-phenotype

- 58 correlation.
- Patients and Results: We report three different recessive loss-of-function mutations in three
- on unrelated families with CPHD and no midline defects or SOD. A homozygous p.R160C
- 61 mutation was found by Sanger sequencing in two siblings from a consanguineous family.
- These patients presented with ACTH, TSH and GH deficiencies, severe anterior pituitary
- 63 hypoplasia (APH) or pituitary aplasia (PA) and normal posterior pituitary. The p.R160C
- 64 mutation was previously reported in a case with SOD, CPHD and ectopic posterior pituitary
- 65 (EPP). Using exome sequencing, a homozygous p.I26T mutation was found in a Brazilian
- patient born to consanguineous parents. This patient had evolving CPHD, normal ACTH,
- 67 APH and normal posterior pituitary (NPP). A previously reported patient homozygous for
- p.I26T had evolving CPHD and EPP. Finally, we identified compound heterozygous
- 69 mutations in *HESX1*, p.[R159W];[R160H], in a patient with PA and CPHD. We showed that
- 50 both of these mutations abrogate the ability of HESX1 to repress PROP1-mediated
- 71 transcriptional activation. A patient homozygous for p.R160H was previously reported in a
- 72 patient with CPHD, EPP, APH.
- 73 **Conclusion:** These three examples demonstrate that *HESX1* mutations cause variable clinical
- 74 features in patients, which suggests an influence of modifier genes or environmental factors
- on the phenotype.

## Introduction

76

- 77 Congenital hypopituitarism refers to the deficiency of two or more pituitary hormones, and it
- 78 is caused by mutations in one of several genes implicated in pituitary development, such as
- 79 HESX1, OTX2, PROP1, POU1F1, LHX3, LHX4, SOX2, SOX3 and GLI2.<sup>1, 2</sup> In some patients
- 80 the hormone deficiency may present as part of a syndrome with abnormalities in structures
- 81 that share a common embryological origin with the pituitary gland, such as the eye and the
- 82 forebrain.
- 83 HESX1 encodes a paired-like homeobox transcription factor that was first identified in
- embryonic stem cells.<sup>3,4</sup> HESX1 is one of the earliest known markers of the pituitary
- primordium. It can be detected in the anterior forebrain from 7.5 to 8.5 days post coitum
- 86 (d.p.c.) and in the Rathke's pouch from 8.5 to 13.5 days d.p.c. Expression of HESX1 is
- 87 important for the early determination and differentiation of pituitary gland, <sup>5, 6</sup> as well as
- 88 normal forebrain formation in both mice and humans.<sup>7, 8</sup>

A number of autosomal dominant and recessive mutations in *HESX1* have been described in patients with a broad spectrum of phenotypes ranging from isolated growth hormone deficiency (IGHD), combined pituitary hormone deficiencies (CPHD) to septo-optic dysplasia (SOD).<sup>6, 9-11</sup> Magnetic Resonance Images (MRI) also reveal variable effects. The anterior pituitary can be hypoplastic or aplastic, and the posterior pituitary can be ectopic or eutopic. No clear genotype-phenotype correlation is obvious among the cases with *HESX1* mutations, but there is a trend that the recessive mutations cause more severe phenotypes and appear to be fully penetrant while heterozygous mutations may be associated with milder phenotypes and reduced penetrance.<sup>6, 9, 10, 12-14</sup>

The approach of Sanger sequencing of candidate genes has led to the identification of most of the known genetic causes of hypopituitarism. It is clear that hypopituitarism is a genetically heterogeneous condition. The mutations in the reported genes account for less than 20% of the cases. Thus, candidate gene screening has a low detection rate. The recent introduction of massive-parallel sequencing methods now offer the promise of detecting mutations in known candidate genes, as well as the identification of novel genes implicated in congenital hypopituitarism. In this study we report the identification of mutations in *HESX1* in patients from three unrelated families with CPHD without midline defects or SODs. This is among the first examples of applying next-generation sequencing techniques to obtain a molecular diagnosis for hypopituitarism in humans. <sup>15, 16</sup>

# **Subjects and Methods**

Patients

- Four patients from three unrelated families were recruited in this study (Figure 1, Table 1).
- 112 Two siblings (1.1 and 1.2) in Family 1 were born to consanguineous parents of Middle-
- Eastern origin. Patients 1.1 and 1.2 were initially diagnosed in the Middle East and then
- treated at Great Ormond Street Hospital for Children, London, UK. Patient 2.1 in Family 2
- was born to a Brazilian consanguineous family, and she was diagnosed and treated at the
- 116 Clinical Hospital of the Faculty of Medicine of the University of São Paulo, São Paulo,
- Brazil. Family 3 was diagnosed and treated at Floating Hospital for Children at Tufts
- 118 Medical Center, Boston, MA, USA. 17

119	Patient studies were approved by the ethical committees at each institution. Patient 1.1
120	and 1.2 were approved by a committee functioning according to the 3rd edition of the
121	Guidelines on the Practice of Ethical Committees in Medical Research, issued by the Royal
122	College of Physicians of London. Copies of the MRC recommendations can be obtained from
123	the Medical Research Council. Patient 2.1 was approved by the National Research Ethics
124	Commission (CONEP) and by the Ethics Committee in Research (CEP) from the University
125	of São Paulo, Medical School, São Paulo, Brazil functioning according to the Resolution No.
126	466/2012 which deals with research and testing in humans adopted by the Plenary of the
127	National Health Council (CNS) in 240 <sup>a</sup> ordinary meeting in December 2012. Exome
128	sequencing of de-identified patient samples was approved by the IRBMED at University of
129	Michigan.
130	Exome Sequencing and Variant Calling
131	Patient DNA samples from families 2 and 3 were subjected to whole exome sequencing.
132	Exome capture was performed by the U-M Sequencing Core using the Nimblegen SeqCap
133	EZ Human Exome Library v3.0, targeting a total of 64 Mb of the genome. Paired-end 100-
134	base sequencing data were collected using an Illumina HiSeq2000 system. Exome capture
135	and sequencing for two patients, namely 2.1 and 3.2, were performed in the same batch.
136	BWA v0.5.9 was used to align Illumina reads to the 1000 Genomes Phase 1 reference
137	mapped to GRCh37. Read pairs that mapped to multiple locations were removed; most of
138	these locations contain highly repetitive sequences and are inaccessible to short-read
139	sequencing. PICARD v1.74 was used to remove duplicate read pairs. Variant detection for
140	both SNVs and small indels (<10 nt) were performed by the GATK Haplotype Caller v3.3.
141	Multi-sample joint calling with 688 in-house exome samples was performed to remove the
142	sequencing artifacts.
143	Sanger Sequencing
144	Sanger sequencing was used to analyze the HESX1 gene in patients from Family 1. The
145	variants identified in Family 2 and 3 by exome sequencing were confirmed by Sanger
146	sequencing.
147	SNP Genotyping
148	To detect copy number variation, we performed genotyping on the two DNA samples for
149	patients 2.1 using Illumina's HumanOmniExpressExome_8v1_A at the University of
150	Michigan Sequencing Core.

151	<u>Plasmids</u>
152	pCMV6-Entry-human HESX1 (Myc-DDK-tagged) was purchased from OriGene (Cat. No.
153	RC210107, OriGene Technologies, MD). The c.475C>T and c.479G>A changes were
154	introduced into the HESX1 cDNA sequence by using QuickChange II XL Site-Directed
155	Mutagenesis Kit (Cat. No. 200521, Stratagene). The HESX1 cDNA sequences have been
156	checked to confirm that except for c.475C>T and c.479G>A, no other mutations were
157	incorporated. The pGL3-(P3) <sub>6</sub> E4 firefly luciferase reporter, pcDNA3.1(-)-human PROP1
158	have been described and used previously. 11 pcDNA3.1(-) and pRL-TK renilla luciferase
159	reporter vectors were from Invitrogen and Promega, respectively.
160	Cell culture and Transfections
161	COS-7 cells were cultured in DMEM supplemented with 10% fetal bovine serum and 1%
162	penicillin-streptomycin. Transient transfections were carried out using FuGENE6 (Promega).
163	following the manufacturer's protocol with modifications. Briefly, 1 x 10 <sup>5</sup> cells were seeded
164	into each well on a 24-well plate 24 hours before transfection. Cells were transfected with 10
165	ng of pRL-TK renilla luciferase vector (Promega) to control for transfection efficiency and
166	200 ng of (P3) <sub>6</sub> E4 firefly luciferase reporter. <sup>11</sup> The DNA concentration of the other
167	transfected plasmids varied depending on experimental protocol as indicated in the figure
168	legends, but the total amount of DNA transfected per well was normalized to 400 ng by
169	addition of the appropriate amount of empty expression vector. Cells were incubated and
170	collected 48 hrs later and assayed for luciferase activity using the dual-luciferase protocol on
171	GLOMAX 96 Microplate Luminometer (Promega).
172	
173	Results
174	Clinical Characteristics
175	Patients 1.1 and 1.2 are consanguineous siblings who presented with hypoglycaemic seizures
176	in the neonatal period and complete ACTH, TSH, GH and prolactin deficiencies
177	(undetectable cortisol, free thyroxine 2.42 pmol/L in 1.2, TSH <0.005 in 1.2, undetectable
178	IGF1 and GH 0.01 mU/L in 1.2, and prolactin <11 mU/L in 1.2). MRI revealed severe
179	anterior pituitary hypoplasia (APH) and eutopic posterior pituitary. In both siblings,
180	thyroxine and hydrocortisone were commenced in the neonatal period and growth hormone
181	was commenced at the age of one year. The older sibling had hydrocephalus and required

182	pubertal induction at the age of 12 years. The younger sibling, currently 6 years old, is too
183	young to assess the hypothalamo-pituitary-gonadal axis. (Figure 1, Table 1)
184	Patient 2.1 was the daughter of first-degree cousins. She first presented with short
185	stature (height 125.9 cm, -6.0 standard deviation score (SDS)) at 17.2 years of age, with a
186	weight of 32.6 kg (1.0 SD for stature age). Her bone age was delayed by 4 years. Her mid-
187	parental height (MPH) was 150 cm (-2.03 SDS). Clinical investigations revealed GH and
188	gonadotropin deficiencies (peak GH <0.1 ng/ml, FSH 1.3 mU/mL, LH 0.7 mU/mL) and
189	tertiary hypothyroidism (Free T4 0.81 ng/dL, basal TSH 5.7 mU/mL with late response to
190	TRH stimulation). She was treated with recombinant human GH and thyroxine. Puberty was
191	induced at 21.4 years old and her final height was 151.2 cm (-1.83 SDS), well within her
192	target height range. She has not as yet developed ACTH deficiency. MRI of the pituitary
193	gland showed a normal stalk, anterior pituitary hypoplasia and a eutopic posterior pituitary.
194	(Figure 1, Table 1)
195	Patient 3.2 was born to a non-consanguineous pedigree with a previously affected sister
196	(Figure 1). Detailed early clinical course of this patient has previously been reported. 17
197	Briefly, patient 3.2 is a Caucasian boy. His older sister (3.1) developed hypoglycaemia and
198	died on the first day of life. A postmortem examination revealed absence of the anterior
199	pituitary and atrophy of the adrenal glands. At eight hours of age, patient 3.2 became
200	lethargic and cyanotic, and had a generalized seizure. Because of the similarity in
201	presentation to that of his sister, a presumptive diagnosis of hypopituitarism was made, and
202	he was treated with glucose and hydrocortisone. He was subsequently treated for
203	hypothyroidism and growth hormone deficiency, and he achieved normal developmental
204	milestones. He failed to develop secondary sexual characteristics as a teenager. His
205	luteinizing hormone (LH), follicle stimulating hormone (FSH), and testosterone
206	concentrations remained in the prepubertal range on repeated tests. MRI confirmed absence
207	of the anterior pituitary and presence of the posterior pituitary gland. His final height was
208	174 cm. Several years later, at the age of 30 years, he died after developing severe
209	gastroenteritis with vomiting and diarrhoea. (Figure 1, Table 1)
210	Genetic Analysis
211	Sanger sequencing was performed to identify the homozygous p.R160C mutation in <i>HESX1</i>

in both patient 1.1 and 1.2. (Figure 1)

212

213	Whole exome sequencing (WES) was performed on the genomic DNA samples from
214	patients 2.1 and 3.2. Alignment of the reads and variant calling were performed as described
215	in Methods. For this study, we concentrated on potentially damaging SNVs (nonsense,
216	missense, stop loss, splicing change, frameshift, etc.) and small indels (<10 nt). Filtering
217	steps were made according to following criteria: reading depth of variants ( $\geq 10$ ), minor allele
218	frequency in ExAC, ESP and 1000G public databases (≤1% for homozygous variants and
219	$\leq$ 3% for compound heterozygous variants), prediction that the variant has a deleterious effect
220	on the gene function by at least one software program, RVIS percentile (≤75%), (CADD
221	Phred score (≥15) and GERP++ score (≥4) (Supplement Figure 1). Sanger sequencing was
222	used to confirm the candidate variants.
223	Because patient 2.1 is from a consanguineous family, the most compelling variants are
224	located in the runs of homozygosity (ROH) regions and transmitted in a recessive inheritance
225	pattern. We identified two rare, homozygous variants in the ROH regions: rs28936416
226	(c.77T>C, p.I26T) in <i>HESX1</i> and rs141318879 (c.888G>A, p.M296I) in <i>HMGCLL1</i> . A
227	CPHD patient homozygous for c.77T>C, p.I26T in HESX1 gene was previously reported, and
228	the variant was shown to impair the transcriptional repression properties of HESX1. <sup>11</sup>
229	HMGCLL1 gene encodes an isoenzyme of human HMG-CoA lyase and is located in the
230	endoplasmic reticulum (ER). 18 There is no report on variants in <i>HMGCLL1</i> gene causing
231	hypopituitarism. Patient 2.1 was also compound heterozygous for six genes (ANGPTL1,
232	EPHA1, CDCC88B, AGAP2, FASN, MBD1) which carry at least one allele passing all the
233	filtering criteria, but none of them is located in the ROH regions of patient 2.1's genome. No
234	variants were detected in other known genes for CPHD or IGHD. Therefore, HESX1
235	c.77T>C, p.I26T is the most-likely pathogenic variant for the phenotype in patient 2.1.
236	Patient 3.2 was the second affected child in a family with non-consanguineous parents.
237	Therefore, we first considered a recessive inheritance pattern. We did not detect any
238	homozygous variants, but eight compound heterozygous variants were found in four genes on
239	the autosomal chromosomes that passed through the filtering steps. These four genes are
240	HESX1, AK9, H6PD, and CCDC168. Among them, only mutations in HESX1 are known to
241	cause CPHD and/or SOD. The two variants we found in <i>HESX1</i> are c.475C>T, p.R159W
242	and c.479G>A, p.R160H. We verified that the two variants are truly in trans using the
243	Integrative Genomics Viewer (IGV) of individual reads. <sup>19</sup> Both p.R159W and p.R160H
244	variants reside in the homeobox domain of the HESX1 protein. A homozygous c.479G>A,

245	p.R160H change was previously reported to cause CPHD. <sup>20</sup> This is the first report of the
246	variant c.475C>T, p.R159W in a CPHD patient. The minor allele frequency (MAF) of the
247	p.R159W change is less than 0.002% (ExAC database), which means this variant is
248	extremely rare in the general population. All of the prediction software programs we used
249	(SIFT, PolyPhen-2, Mutation Taster, Mutation Assessor and FATHMM) predict that the
250	p.R159W change has a deleterious effect on HESX1 function. Thus, the compound
251	heterozygous variants p.[R159W];[R160H] are the most likely pathogenic causes for the
252	phenotype of patient 3.2.

# **Functional Studies**

253

254	HESX1 acts as a transcriptional repressor by suppressing the activity of PROP1. <sup>9, 11, 21</sup>
255	Mutations in either the homeodomain or the engrailed homology (eh) domain of HESX1
256	impair this repressive ability. 9, 11 To test if p.R159W and p.R160H substitutions affect the
257	repressive ability of HESX1, Cos-7 cells were transiently transfected with plasmids
258	expressing PROP1, normal HESX1 (HESX1-WT), HESX1-p.R159W and HESX1-p.R160H.
259	These expression vectors were co-transfected with the reporter construct (pGL3) containing 6
260	tandem paired homeodomain consensus DNA binding sites (P3) <sub>6</sub> upstream of the E4
261	promoter activating the expression of firefly luciferase gene. As expected, PROP1 activated
262	reporter gene expression, and HESX1-WT, HESX1-p.R159W and HESX1-p.R160H have no
263	effect on transcription when tested individually (Figure 2). When equal amounts of HESX1-
264	WT were co-transfected with PROP1, PROP1 activation was repressed by ~50%. Neither
265	HESX1-p.R159W nor HESX1-p.R160H were able to repress PROP1 activity. Transfection
266	of equal amounts of HESX1-p.R159W and HESX1-p.R160H together with PROP1 were
267	carried out to mimic the compound heterozygous status of the HESX1 mutations in patient
268	3.2. The combination of p.R159W and p.R160H was also unable to repress PROP1
269	activation (Figure 2a). This lack of repression is not due to different expression levels of
270	HESX1 proteins, as HESX1-WT, HESX1-p.R159W and HESX1-p.R160H were expressed at
271	comparable levels in the cells as determined by Western Blot analysis (Figure 2b).

# Discussion

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273

Congenital hypopituitarism (CPHD) occurs in 1:4,000 to 10,000 births, and the molecular aetiology is unknown for the majority of these patients, especially the sporadic cases.<sup>22</sup>

Mutations in *HESX1* apparently account for 8% or less of CPHD cases.<sup>22, 23</sup> Mutations were initially described in patients with SOD, and later in patients presenting with non-syndromic hypopituitarism.<sup>6, 9, 11, 24</sup>

In this study, we identified a homozygous *HESX1* p.R160C mutation by Sanger sequencing in two CPHD patients without SOD from a consanguineous Middle Eastern pedigree. This p.R160C mutation was previously described in two siblings with CPHD and SOD from consanguineous patients of Pakistani origin.<sup>6</sup> The p.R160C change is located in the homeodomain, and EMSA analysis showed that it abrogates DNA binding, consistent with loss of function.<sup>6,9</sup> The discrepancy in the presence of SOD in the two families with the same mutation suggests the effects of other genes, environment, or chance in enhancing or suppressing the severity of the phenotype.

We used exome sequencing to identify a homozygous p.I26T mutation in *HESX1* in a Brazilian patient who was born to consanguineous parents, and presented with CPHD and a eutopic posterior pituitary lobe. This phenotype differs from that previously reported for a homozygous p.I26T mutation in an unrelated Brazilian patient from consanguineous parents. That patient had ACTH deficiency and an ectopic posterior pituitary gland. The p.I26T mutation is located in the engrailed homology domain, which is required for recruitment of the co-repressor TLE1, and functional analysis revealed that the mutation decreases the repressive function of the protein. The mutation p.I26T has an allele frequency at 0.002% in the general population and none in homozygous state (data from ExAC).

We identified a compound heterozygous *HESX1* mutation p.[R159W];[R160H] in a patient with CPHD, including aplastic anterior lobe but no SOD, using exome sequencing, and we demonstrated that each of these mutations impairs the repressive function of HESX1. In previous studies, two patients from different consanguineous families were reported to be homozygous for *HESX1* p.R160H, but no functional studies were done.<sup>20, 25</sup> Those patients presented with hypoplastic anterior pituitary with deficiencies of GH, TSH, ACTH and prolactin.

Genetically engineered mice provide an opportunity to assess the variability in presentation amongst individuals of identical genotype through generation of large cohorts and the effects of different genetic backgrounds by outcrossing to different inbred strains. A comparison of genetically engineered mice homozygous for the  $Hesx1^{null}$ ,  $Hesx1^{R160C}$  and  $Hesx1^{I26T}$  mutant alleles suggested that the p.R160C mutation is a null allele, and the p.I26T

is a hypomorph. <sup>26</sup> Despite efforts to normalize the genetic background, there was some variability in presentation amongst animals with the same genotype. 5% of homozygous Hesx1<sup>null</sup> mice have profound abnormalities that include absent telencephalic vesicles, eyes, olfactory placodes and Rathke's pouches. The majority of  $Hesx1^{R160C/R160C}$  and  $Hesx1^{I26T/I26T}$ mice had eye defects and enlarged and bifurcated anterior pituitaries. Telencephalic defects were detected in nearly 80%  $Hesx1^{R160C/R160C}$  mice, but not in  $Hesx1^{I26T/I26T}$  mice. Interestingly, neither Hesx1<sup>R160C/R160C</sup> nor Hesx1 <sup>126T/126T</sup> mice were deficient in the induction and differentiation of hormone-producing cells, although pituitary function could not be assessed because of lethality.<sup>26</sup> The phenotypic variability among mice with the same genotype could be due to chance or the action of epigenetic or environmental factors that affect how the mutations express themselves phenotypically. 27 Alternatively, the residual genetic variation in other genes and/or pathways may modify the severity of phenotypes.<sup>28</sup> The completely sequenced genomes of inbred mouse strains and the international Collaborative Cross (CC) project in mice would largely facilitate the mapping of the modifier genes. 

To explain the phenotypic variation in humans, we need more comprehensive information about the patients' phenomes and genomes. To discover genetic modifiers of a Mendelian trait by WES, an extreme phenotype study design and/or large sample sizes are required to achieve the statistical power that is needed.<sup>29</sup> WES provides the ability to detect potential disease causing variants in the coding regions across the genome. Given that CPHD is a rare condition in the population and the known variants and genes only account for a minority of the cases, WES will improve the overall detection rate for CPHD mutations. In a cohort of 23 unrelated CPHD patients currently undergoing WES at University of Michigan, only the 2 cases reported in this study were found to harbor pathogenic mutations in a known gene. This detection rate is about 8.7%, which is higher than any other study screening for *HESX1* mutations. WES obviously offers the advantage of identifying novel causes of CPHD and the potential for elucidating multi-genic mechanisms similar to those that have been observed in mice.<sup>28</sup>

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  - **Supplementary Material Legend:**

465

Supplemental Figure 1: Variant discovery and analysis. It shows the multi-stage filtering 466 467 strategy and the number of single nucleotide variants and insertion/deletions (SNVs + Indels) remaining at each stage. 468

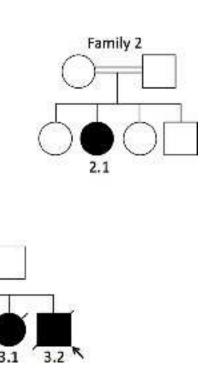
Table 1: Summary of the clinical phenotypes and MRI findings of CPHD/SOD

Patient	Sex	Clinical	Affected	MRI	HESX1	Ref
		symptoms	hormones	findings	mutation	
IV-4 and	Female	CPHD and	ACTH,	APH, EPP,	p.R160C	5 & 9
IV-5	and	SOD	GH, TSH,	ONH,		
	male		LH, FSH	hypoplasia		
				of the		
				corpus		
	5			callosum		
1.1 and	Both	Hydrocephalus	ACTH,	APH/PA,	p.R160C	This study
1.2	females		TSH, GH	NPP		
IV-1	Female	Short stature	ACTH,	APH, EPP	p.I26T	10
	_		GH, TSH,			
	3		LH, FSH			
2.1	Female	Short stature	GH, TSH,	APH, NPP	p.I26T	This study
	>		LH, FSH			
3.2	Male	Lethargic and	ACTH,	PA, PP is	p.[R159W];[R	This study
		cyanotic 8	GH, TSH,	present and	160H]	
		hours after birth	LH, FSH	functioning		

### patients with HESX1 mutations related with this study

Abbreviations: CPHD, combined pituitary hormone deficiency; SOD, septo-optic dysplasia; ONH, optic nerve hypoplasia; ACTH, adrenocorticotropin; FSH, follicle-stimulating hormone; GH, growth hormone; LH, luteinizing hormone; TSH, thyroid-stimulating hormone; APH, anterior pituitary hypoplasia; PA, pituitary aplasia; PP, posterior pituitary; EPP, ectopic posterior pituitary; NPP, normal posterior pituitary; NA, not available.

# Family 1



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Family 3

