



THEOREM 1. For any  $R \in \mathbb{N}$  and  $k \geq 2$ , one has

$$\Gamma^*(k, R) \leq 4R^2k^2.$$

We note that when  $R > 4$ , the conclusion recorded in this theorem plainly improves on the aforementioned bound  $\Gamma^*(k, R) \leq R^3k^2$  of Brüdern and Godinho [3]. If  $k$  is even and  $R$  is suitably small in terms of  $k$ , then this is the best known bound on  $\Gamma^*(k, R)$ . Theorem 1 is actually a corollary of a more precise estimate which is somewhat more complicated to state.

THEOREM 2. Suppose that  $\mathbb{K} = \mathbb{Q}_p$ , and that  $k = p^\tau k_0$ , where  $(k_0, p) = 1$ . Then the following statements are true.

(i) If  $p \neq 2$ , then the system (1) of  $R$  diagonal equations of degree  $k$  has a nontrivial solution over  $\mathbb{Q}_p$  provided only that

$$N \geq R^2k^2 + R^2kk_0 \left( \frac{p^\tau - 1}{p - 1} \right) + 2Rk - R^2k.$$

Hence, whenever  $p \neq 2$ , one has  $\Gamma^*(k, R, \mathbb{Q}_p) \leq \frac{3}{2}R^2k^2$ .

(ii) If  $p = 2$ , then the system (1) has a nontrivial solution over  $\mathbb{Q}_p$  provided only that

$$N \geq 4R^2k^2 - R^2kk_0 + 2Rk - R^2k.$$

Therefore, one has  $\Gamma^*(k, R, \mathbb{Q}_2) \leq 4R^2k^2$ .

When  $\mathbb{K}$  is a finite extension of  $\mathbb{Q}_p$ , much less is known. In the case where  $R = 1$ , Birch [2] has recorded the bound  $\Gamma^*(k, 1, \mathbb{K}) \leq (2\tau + 3)^k (m^2k)^{k-1}$ , where  $m = (k_0, p^f - 1)$ , the numbers  $\tau$  and  $k_0$  are as in the statement of Theorem 2, and  $p^f$  is the cardinality of the residue field of  $\mathbb{K}$ . Note that this bound implies that

$$\Gamma^*(k, 1, \mathbb{K}) \leq \left( \frac{2 \log k}{\log 2} + 3 \right)^k k^{3k-3},$$

which is independent of the field  $\mathbb{K}$ , and indeed this appears to be the only such estimate in the literature. If the bound on  $\Gamma^*(k, 1, \mathbb{K})$  is allowed to depend on the degree  $n$  of  $\mathbb{K}$  over  $\mathbb{Q}_p$ , then Dodson [8] has shown that the bound  $\Gamma^*(k, 1, \mathbb{K}) \leq 16n^2k^2(\log k)^2$  holds. If  $\mathbb{K}$  is an unramified extension of  $\mathbb{Q}_p$ , then Dodson notes that his method leads to the bound  $\Gamma^*(k, 1, \mathbb{K}) \leq 36k^2(\log k)^2$ , which is independent of the degree of  $\mathbb{K}$  over  $\mathbb{Q}_p$ . Additionally, Skinner [13] has shown that if  $\mathbb{K}$  is a finite extension of  $\mathbb{Q}_p$  and  $k = p^\tau$  is a power of  $p$ , then the bound  $\Gamma^*(k, 1, \mathbb{K}) \leq k((k+1)^{2\tau+1} - 1) + 1$  holds. (Skinner claims in [13] to prove this bound for all exponents  $k$ , but this is incorrect. The crucial error is in the proof of his Lemma 5, in which he uses Hensel’s lemma to lift  $k$ th power residues modulo the maximal ideal of  $\mathbb{K}$  to  $k$ th powers in  $\mathbb{K}$ . Unfortunately, some of these may be the zero residue, in which case Hensel’s lemma may not be applied.) From the above bounds for one equation, bounds for systems of equations can be derived from statements due to Leep and Schmidt [10]. While proving their second basic inequality, Leep and Schmidt show that one has

$$\Gamma^*(k, R, \mathbb{K}) \leq \Gamma^*(k, 1, \mathbb{K})^R,$$

which provides a bound exponential in  $R$ . Furthermore, as a consequence of [10, Theorem 1] one also has

$$\Gamma^*(k, R, \mathbb{K}) \ll_{k, \mathbb{K}} R^{2^{k-1}},$$

giving a bound polynomial in  $R$ . However, one feels that the correct bounds should be rather smaller than these.

The methods used to prove Theorem 2 may also be used to develop a bound for  $\Gamma^*(k, R, \mathbb{K})$  for arbitrary p-adic fields  $\mathbb{K}$ .

**THEOREM 3.** *Let  $\mathbb{K}$  be a finite extension of  $\mathbb{Q}_p$  of degree  $n$ . Suppose that the ramification index of  $\mathbb{K}$  is  $e$ , and that the residue field of  $\mathbb{K}$  contains  $q = p^f$  elements. Suppose that  $k = p^r k_0$ , where  $(k_0, p) = 1$ . Then the system of equations (1) of degree  $k$  has a nontrivial  $\mathbb{K}$ -rational solution provided that*

$$N \geq R^2 k k_0 \left( \frac{q^{2e\tau+1} - 1}{q - 1} \right) - R^2 k + 2Rk.$$

Note that Theorem 3 implies that one has

$$\begin{aligned} \Gamma^*(k, R, \mathbb{K}) &\leq R^2 k k_0 \left( \frac{q^{2e\tau+1} - 1}{q - 1} \right) - R^2 k + 2Rk \\ &\leq R^2 k^{2+2n\tau} \\ &\leq R^2 k^{2+2n(\log k)/(10\log 2)}. \end{aligned}$$

Although this bound is still not as strong as could be desired, and in particular is not independent of the degree of  $\mathbb{K}$  over  $\mathbb{Q}_p$ , it does at least show that one has  $\Gamma^*(k, R, \mathbb{K}) \ll_{k, \mathbb{K}} R^2$ .

We prove these theorems by making a small improvement on the method of Brüdern and Godinho. We begin by employing a suitable normalization process, and then using the idea due to Low, Pitman and Wolff of partitioning the matrix of coefficients of our system into disjoint submatrices which are all nonsingular modulo a generator of the maximal ideal of  $\mathbb{K}$ . We then attempt to find a nonsingular solution of the system modulo a suitably high power of the maximal ideal of  $\mathbb{K}$ . By setting variables corresponding to columns of the same submatrix equal to each other, we obtain a new system of congruences to solve. Our improvement is to now solve this system by restricting our variables to be elements of the Teichmüller set  $T_{\mathbb{K}} = \{x \in \mathbb{K} \mid x^q = x\}$ . Suppose first that  $k$  has the special form  $k = q^r k_0$ . If  $x \in T_{\mathbb{K}}$ , then we have  $x^k = x^{k_0}$ , and so we need only to solve a system of congruences of degree  $k_0$ . We solve these congruences through an extension of a theorem of Schanuel. Finally, we use Hensel's lemma to lift this solution to a  $\mathbb{K}$ -rational solution of (1). Should  $k$  not have the above shape, we show that when solving the system of congruences,  $k$  may be replaced by a different exponent which does have this form, and apply our argument to the resulting set of equations.

This plan is actually employed to prove both Theorem 2 and Theorem 3. The reason why Theorem 2 is not merely a special case of Theorem 3 is that in the general case one needs to use the standard version of Hensel's lemma to lift a solution of a congruence to a solution in  $\mathbb{K}$ . However, the theory of  $k$ th power residues of rational integers leads to a better version of Hensel's lemma when  $\mathbb{K} = \mathbb{Q}_p$ .

### 2. Normalization and preliminaries

In what follows,  $\mathbb{K}$  will be a finite extension of degree  $n$  of the field  $\mathbb{Q}_p$ , with maximal ideal generated by  $\pi$ . The ramification index will be denoted  $e$ , and we set  $f = n/e$  so that  $\pi^e = p$  and the residue field of  $\mathbb{K}$  modulo  $\pi$  has cardinality  $q = p^f$ . Let

$\mathfrak{D}_{\mathbb{K}}$  represent the integers of  $\mathbb{K}$ . Finally, we denote by  $\mathbb{F}_q$  the finite field containing  $q$  elements.

Before we can prove the theorems, we must discuss the concept of the normalization of a system of equations. For  $1 \leq j \leq N$ , let  $\mathbf{a}_j$  denote the column in the matrix of coefficients of (1) corresponding to the variable  $x_j$ , and set

$$\Theta(\mathbf{F}) = \prod_{1 \leq i_1 < \dots < i_R \leq R} \det((\mathbf{a}_{i_j})_{1 \leq j \leq R}).$$

By a standard argument involving the compactness of the  $p$ -adic field  $\mathbb{K}$ , we may assume that  $\Theta(\mathbf{F}) \neq 0$ , and we make this assumption throughout this paper. (One may see [7, pp. 572–573] for an example of this argument. As with [7, Lemma 11], which will be quoted shortly, although this fact is written down only for the case  $\mathbb{K} = \mathbb{Q}_p$ , it is not hard to see that it extends to general  $p$ -adic fields by merely replacing occurrences of  $\mathbb{Q}_p$  and  $p$  by  $\mathbb{K}$  and  $\pi$  respectively.) Next, we say that two systems of additive equations with coefficients in  $\mathfrak{D}_{\mathbb{K}}$  are *equivalent* if one can be obtained from the other through a combination of the following three operations:

- (i) replacing a variable  $x_i$  by  $\pi^\alpha x_i$  for some integer  $\alpha$ ;
- (ii) dividing one or more equations by an integral power of  $\pi$ ;
- (iii) taking nonsingular  $\mathfrak{D}_{\mathbb{K}}$ -linear combinations of the equations.

A system  $\mathbf{F}$  is said to be  $\pi$ -normalized if both  $\Theta(\mathbf{F}) \neq 0$  and the power of  $\pi$  dividing  $\Theta(\mathbf{F})$  is less than or equal to the power of  $\pi$  dividing  $\Theta(\mathbf{G})$  for all systems  $\mathbf{G}$  equivalent to  $\mathbf{F}$ . Since any system  $\mathbf{F}$  with  $\Theta(\mathbf{F}) \neq 0$  is equivalent to a  $\pi$ -normalized system, it suffices to show that Theorems 2 and 3 are true for  $\pi$ -normalized systems. The major benefit of working with normalized systems is that they have nice properties when considered modulo  $\pi$ . In particular, we have the following lemma.

LEMMA 1. *A  $\pi$ -normalized system of additive forms can be written (after renumbering the variables) as*

$$F_i = f_i(x_1, \dots, x_r) + \pi g_i(x_{r+1}, \dots, x_N)$$

for  $i = 1, \dots, R$ , where  $r \geq N/k$ , and if  $1 \leq i \leq r$ , then the coefficient of  $x_i$  in at least one of the forms is not divisible by  $\pi$ .

Moreover, if we form any  $s$  linear combinations of  $F_1, \dots, F_R$  (these combinations being independent modulo  $\pi$ ), and denote by  $q_s$  the number of variables that occur in at least one of these combinations with a coefficient not divisible by  $\pi$ , then for each  $s$  with  $1 \leq s \leq R-1$ , we have

$$q_s \geq \frac{sN}{Rk}.$$

*Proof.* After making the changes indicated above, this is [7, Lemma 11]. □

Following Brüdern and Godinho, let  $A$  be the matrix of coefficients of the variables  $x_1, \dots, x_r$ . In [3], they use the idea of Low, Pitman and Wolff [11] of showing when  $\mathbb{K} = \mathbb{Q}_p$  that  $A$  has many disjoint  $R \times R$  submatrices which are nonsingular modulo  $p$ . We repeat this argument here, making the trivial modifications necessary to apply it to general  $p$ -adic fields  $\mathbb{K}$ .

Let  $\mu(d)$  be the maximal number of columns of  $A$  which lie in a  $d$ -dimensional linear subspace of  $\mathbb{F}_q^R$ . Then for  $1 \leq s \leq R$ , we have

$$q_s + \mu(R-s) = r.$$

The idea of Low, Pitman and Wolff was to make use of the following lemma, which is a special case of a theorem of Aigner [1].

LEMMA 2. *Let  $A$  be an  $R \times r$  matrix over a field  $\mathbb{K}$  and let  $m$  be a positive integer. The matrix  $A$  includes  $m$  disjoint  $R \times R$  submatrices which are nonsingular over  $\mathbb{K}$  if and only if we have*

$$r - l \geq m(R - \text{rank}((\mathbf{a}_i)_{1 \leq i \leq l}))$$

for any  $l \leq r$  and  $1 \leq i_1 < i_2 < \dots < i_l \leq r$ .

*Proof.* This is [11, Lemma 1]. One can find in [1] a proof of Aigner's more general result for matroids. □

Observe that this lemma implies that  $A$  has  $m$  disjoint submatrices with determinants not divisible by  $\pi$  if and only if

$$r - \mu(d) \geq m(R - d) \quad \text{for all } 0 \leq d \leq R,$$

and that this is equivalent to the condition

$$q_s \geq ms$$

for  $1 \leq s \leq R$ . Hence, we have the following lemma, which is (after making the obvious changes) [3, Lemma 2].

LEMMA 3. *Suppose that (1) is a  $\pi$ -normalized system written in the form given in Lemma 1. Then the  $R \times r$  matrix  $A$  contains at least  $\lfloor N/(Rk) \rfloor$  disjoint submatrices which are nonsingular modulo  $\pi$ .*

Now that we have dealt with normalization, we need to prove a lemma about solutions of congruences modulo powers of  $\pi$ . This generalizes the result given by Schanuel in [12]. As above, the proof of this lemma differs only trivially from Schanuel's proof, but is given here for completeness.

LEMMA 4. *Suppose that  $\mathbb{K}$  is a finite extension of  $\mathbb{Q}_p$  of degree  $n$ , with maximal ideal generated by  $\pi$ . For  $1 \leq i \leq R$ , let  $F_i$  be a polynomial of degree  $k_i$  in  $N$  variables with coefficients in  $\mathfrak{D}_{\mathbb{K}}$  and no constant term. Finally, let  $T_{\mathbb{K}} = \{x \in \mathfrak{D}_{\mathbb{K}} \mid x^q = x\}$  be the set of Teichmüller representatives of  $\mathfrak{D}_{\mathbb{K}}/(\pi)$ . Then the system of equations*

$$F_i(x_1, \dots, x_N) \equiv 0 \pmod{\pi^{v_i}} \quad (1 \leq i \leq R)$$

has a nontrivial solution in  $T_{\mathbb{K}}^N$  provided that

$$N > \sum_{i=1}^R k_i \frac{q^{v_i} - 1}{q - 1}.$$

*Proof.* For any function  $F \in \mathfrak{D}_{\mathbb{K}}[x_1, \dots, x_N]$ , define  $\Delta F = \Delta^{(1)}F$  by

$$\begin{aligned} (\Delta F)(x_1, \dots, x_N) &= \pi^{-1}(F(x_1, \dots, x_N)^q - F^{(q)}(x_1^q, \dots, x_N^q) \\ &\quad + F^{(q)}(x_1, \dots, x_N) - F(x_1, \dots, x_N)), \end{aligned}$$

where  $F^{(q)}(x_1, \dots, x_N)$  denotes the polynomial obtained by raising each coefficient of  $F$  to the  $q$ th power, and define  $\Delta^{(j)}F = \Delta(\Delta^{(j-1)}F)$  when  $j > 1$ . Note that  $\Delta F$  is a polynomial in  $x_1, \dots, x_N$  of degree at most  $q \cdot \text{deg } F$ . Since the map sending  $x$  to  $x^q$  is

the identity homomorphism on  $\mathbb{F}_q$ , all of the coefficients of the polynomial in parentheses are elements of  $\mathfrak{D}_{\mathbb{K}}$  congruent to 0 modulo  $\pi$ . Hence, all of the coefficients of  $\Delta F$  are in  $\mathfrak{D}_{\mathbb{K}}$ . Furthermore, if  $F(x_1, \dots, x_N) = c$  is a constant polynomial, then we have

$$\Delta F = \Delta c = \pi^{-1}(c^q - c).$$

We wish to show that we have  $c \equiv 0 \pmod{\pi^v}$  if and only if the numbers  $\Delta^{(0)}c, \Delta^{(1)}c, \dots, \Delta^{(v-1)}c$  are all congruent to 0 modulo  $\pi$ , where we set  $\Delta^{(0)}c = c$  for convenience. To see this, note that if  $|\Delta^{(j)}c|_{\pi} < 1$ , where we have normalized so that  $|\pi|_{\pi} = p^{-1/e}$ , then we have

$$|\Delta^{(j+1)}c|_{\pi} = |\pi^{-1}((\Delta^{(j)}c)^q - \Delta^{(j)}c)|_{\pi} = p^{1/e}|\Delta^{(j)}c|_{\pi}.$$

Using this equation inductively, we find that if  $|c|_{\pi} = p^{-\tau/e}$ , with  $\tau > 0$ , then we have  $|\Delta^{(j)}c|_{\pi} = p^{(j-\tau)/e}$  provided that  $|\Delta^{(i-1)}c|_{\pi} < 1$ , and hence  $|\Delta^{(j)}c|_{\pi} < 1$  for  $0 \leq j \leq \tau - 1$ .

Suppose that  $c \equiv 0 \pmod{\pi^v}$ . Then  $|\Delta^{(0)}c|_{\pi} \leq p^{-v/e}$ , and the above statement implies that  $|\Delta^{(j)}c|_{\pi} < 1$  for  $0 \leq j \leq v-1$ . We therefore have  $\Delta^{(j)}c \equiv 0 \pmod{\pi}$  whenever  $0 \leq j \leq v-1$ . Conversely, suppose that  $\Delta^{(0)}c, \Delta^{(1)}c, \dots, \Delta^{(v-1)}c$  are all congruent to 0 modulo  $\pi$ . Then the equation displayed above holds for each  $j$  with  $0 \leq j \leq v-2$ , and we find inductively that  $|c|_{\pi} = p^{(1-v)/e}|\Delta^{(v-1)}c|_{\pi} \leq p^{-v/e}$ , whence  $c$  is congruent to 0 modulo  $\pi^v$ .

Now suppose that  $a_1, \dots, a_N$  are elements of  $T_{\mathbb{K}}$ . Then since  $a_j^q = a_j$ , we have  $F^{(q)}(a_1^q, \dots, a_N^q) = F^{(q)}(a_1, \dots, a_N)$ , and hence

$$\begin{aligned} (\Delta F)(a_1, \dots, a_N) &= \pi^{-1}[F(a_1, \dots, a_N)^q - F(a_1, \dots, a_N)] \\ &= \Delta(F(a_1, \dots, a_N)). \end{aligned}$$

Therefore, for such elements, one has  $F(a_1, \dots, a_N) \equiv 0 \pmod{\pi^v}$  if and only if  $(\Delta^{(j)}F)(a_1, \dots, a_N) \equiv 0 \pmod{\pi}$  for  $0 \leq j \leq v-1$ .

In view of the above discussion, solving the system of equations

$$F_i(x_1, \dots, x_N) \equiv 0 \pmod{\pi^{v_i}} \quad (1 \leq i \leq R)$$

nontrivially with variables in  $T_{\mathbb{K}}$  is equivalent to nontrivially solving the system of congruences

$$(\Delta^{(j)}F_i)(a_1, \dots, a_N) \equiv 0 \pmod{\pi} \quad (1 \leq i \leq R, 1 \leq j \leq v_i).$$

This is a system of  $v_1 + \dots + v_R$  congruences modulo  $\pi$ , where for each  $i$  we have  $v_i$  congruences of degrees at most  $k_i, k_i q, \dots, k_i q^{v_i-1}$ . By the Chevalley–Warning theorem (see [14]), we may do this provided that

$$N > \sum_{i=1}^R \sum_{j=0}^{v_i-1} k_i q^j = \sum_{i=1}^R k_i \left( \frac{q^{v_i} - 1}{q - 1} \right),$$

which is our desired bound.  $\square$

Now that we have a lemma allowing us to solve congruences modulo powers of  $\pi$ , we need one more lemma which tells us that we may ‘lift’ such a solution to a solution of an equation over  $\mathbb{K}$ . Therefore, we give one form of Hensel’s lemma.



That is,

$$s \geq Rk_0 \left( \frac{q^{2e\tau+1} - 1}{q - 1} \right) - R + 2. \tag{5}$$

Writing  $\tau = \alpha f + \beta$  with  $0 \leq \beta < f$ , we see that since the elements  $x$  of  $T_{\mathbb{K}}$  have the property that  $x^q = x$ , any solution of the system (4) is also a solution of the system

$$\sum_{l=0}^{s-2} c_{i,l} y_l^{k_0 p^{(s+1)l}} + \sum_{j=R(s-1)+1}^{Rs} b_{i,j} y_j^{k_0 p^{(s+1)l}} \equiv 0 \pmod{\pi^{2e\tau+1}} \quad (1 \leq i \leq R). \tag{6}$$

We now set  $x_{lR+1} = \dots = x_{(l+1)R} = y_l^{p^{f-\beta}}$  for  $0 \leq l \leq s-2$ , and  $x_j = y_j^{p^{f-\beta}}$  when  $R(s-1)+1 \leq j \leq Rs$ . Since we have

$$(y_l^{p^{f-\beta}})^k = y_l^{k_0 p^{(s+1)l}},$$

the vector  $(x_1, \dots, x_r)$  is then a solution of the system (3).

Now, we must lift this solution to a solution of (2) over  $\mathbb{K}$ . Since the matrix of coefficients of the second summation in (6) is nonsingular modulo  $\pi$ , at least one of the  $y_l$  with  $0 \leq l \leq s-2$  must be nonzero modulo  $\pi$ . Without loss of generality, suppose that  $y_0 \not\equiv 0 \pmod{\pi}$ . Consider the matrix  $B_0$  of coefficients of the variables  $x_1, \dots, x_R$  in (2). Recall that this matrix is nonsingular modulo  $\pi$ , and hence nonsingular. One may therefore apply elementary row operations to the system (2) which transform  $B_0$  into a diagonal matrix. Since we have set  $x_{sR+1} = \dots = x_N = 0$ , when we look at the resulting system modulo  $\pi^{2e\tau+1}$  we obtain a system

$$\begin{aligned} b_{1,1} x_1^k + b_{1,R+1} x_{R+1}^k + \dots + b_{1,r} x_r^k &\equiv 0 \pmod{\pi^{2e\tau+1}} \\ \vdots & \\ b_{R,R} x_R^k + b_{R,R+1} x_{R+1}^k + \dots + b_{R,r} x_r^k &\equiv 0 \pmod{\pi^{2e\tau+1}}, \end{aligned} \tag{7}$$

which is equivalent to (3). Since elementary row operations do not change the determinant of a matrix, the image of  $B_0$  under this transformation is still nonsingular modulo  $\pi$ , and so  $b_{1,1} b_{2,2} \dots b_{R,R} \not\equiv 0 \pmod{\pi}$ . Finally, because we have only taken linear combinations of equations, our solution of (3) is also a solution of (7).

We now wish to find  $\zeta_1, \dots, \zeta_R \in \mathbb{K}$  such that we have

$$\begin{aligned} b_{1,1} \zeta_1^k + b_{1,R+1} x_{R+1}^k + \dots + b_{1,r} x_r^k &= 0 \\ \vdots & \\ b_{R,R} \zeta_R^k + b_{R,R+1} x_{R+1}^k + \dots + b_{R,r} x_r^k &= 0. \end{aligned} \tag{8}$$

If we consider  $x_{R+1}, \dots, x_r$  to be fixed, then we have a system of  $R$  equations, each in only one variable  $\zeta_i$ , and the variable in each equation is different. Hence, we may use Hensel's lemma on each equation separately. If, for  $1 \leq i \leq R$ , we set

$$G_i(t_i) = b_{i,i} t_i^k + (b_{i,R+1} x_{R+1}^k + \dots + b_{i,r} x_r^k),$$

then we have  $G_i(x_i) \equiv 0 \pmod{\pi^{2e\tau+1}}$ , whence

$$|G_i(x_i)|_{\pi} < p^{-2\tau},$$

and

$$|G'_i(x_i)|_{\pi} = |k b_{i,i} x_i^{k-1}|_{\pi} = |k|_{\pi} = p^{-\tau}.$$

Hence, for each value of  $i$ , we have

$$|G_i(x_i)|_{\pi} < |G'_i(x_i)|_{\pi}^2.$$



Therefore, we may apply Lemma 5 to see that the desired  $\zeta_i$  all exist, and we have found a  $\mathbb{K}$ -rational solution of (8). When combined with our having set  $x_{r+1} = \dots = x_N = 0$ , this provides us with a  $\mathbb{K}$ -rational solution of the system (2). Finally, since the systems (2) and (1) are equivalent, there is a nontrivial  $\mathbb{K}$ -rational solution of (1).

Hence, there is a nontrivial solution of (1) provided only that the lower bound given in (5) holds. However, by Lemma 3, this bound will hold if

$$\left\lceil \frac{N}{Rk} \right\rceil \geq Rk_0 \left( \frac{q^{2e\tau+1} - 1}{q - 1} \right) - R + 2,$$

which is true provided that

$$N \geq R^2 k k_0 \left( \frac{q^{2e\tau+1} - 1}{q - 1} \right) - R^2 k + 2Rk,$$

which is the desired bound.

#### 4. The proof of Theorem 2

Throughout this section, we will assume that  $\mathbb{K} = \mathbb{Q}_p$ . While one could trivially obtain a bound on the value of  $N$  needed in this case by setting  $e = f = 1$  and  $q = p$  and applying Theorem 3, the following version of Hensel's lemma, which is a consequence of the theory of  $k$ th power residues of rational integers, makes it possible to do better.

LEMMA 6. *Suppose that  $p^\tau \parallel k$ , and define  $\gamma = \gamma(k, p)$  by*

$$\gamma = \begin{cases} 1 & \text{if } \tau = 0 \\ \tau + 1 & \text{if } \tau > 0 \text{ and } p > 2 \\ \tau + 2 & \text{if } \tau > 0 \text{ and } p = 2. \end{cases}$$

*Then if the congruence*

$$ax^k + b \equiv 0 \pmod{p^\gamma}$$

*with  $ab \not\equiv 0 \pmod{p}$  is soluble, then the equation*

$$ax^k + b = 0$$

*has a nonzero solution in  $\mathbb{Q}_p$ .*

A proof of this result can be found in [4, p. 36].

If  $\gamma = 1$ , then  $p \nmid k$ , and the following result of Brüdern and Godinho shows that the theorem is true in this case.

LEMMA 7. *Let  $p$  be a prime,  $p \nmid k$ , and  $N \geq Rk(R(k, p-1) - R + 2)$ . Then the system of equations (1) admits a nontrivial solution in  $\mathbb{Q}_p$ .*

*Proof.* This is [3, Theorem 3]. □

In the other cases, we proceed as in the proof of Theorem 3, except that we use Lemma 7 instead of the standard version of Hensel's lemma (Lemma 5). In particular, we need to solve the system (4), except that the congruences are now modulo  $p^\gamma$ . If  $p \neq 2$ , then  $\gamma = \tau + 1$ , and by Lemma 4 we can solve this system with elements of the Teichmüller set provided that

$$s \geq Rk_0 \left( \frac{p^{\tau+1} - 1}{p - 1} \right) + 2 - R.$$

Therefore, as above, it is enough to have

$$\left\lfloor \frac{N}{Rk} \right\rfloor \geq Rk_0 \left( \frac{p^{\tau+1}-1}{p-1} \right) + 2 - R,$$

and this is true provided that

$$\begin{aligned} N &\geq Rk \left( Rk_0 \left( \frac{p^{\tau+1}-1}{p-1} \right) + 2 - R \right) \\ &= R^2k^2 + R^2kk_0 \left( \frac{p^{\tau}-1}{p-1} \right) + 2Rk - R^2k, \end{aligned}$$

as desired. Note that if  $R \geq 2$ , then this bound is strictly less than  $\frac{3}{2}R^2k^2$ . Moreover, when  $R = 1$ , one can use the bound  $N \geq k^2 + 1$  due to Davenport and Lewis [5] to show that the bound  $N \geq \frac{3}{2}R^2k^2$  also suffices in this situation. Hence, this bound suffices whenever  $p \neq 2$ .

In the final case, we have  $p = 2$  and  $\gamma = \tau + 2$ . Then we wish to solve the system (4), again with the congruences now being modulo  $p^\gamma$ . By Lemma 4, this can be done provided that

$$s \geq Rk_0(2^{\tau+2} - 1) + 2 - R.$$

Again, it suffices to have

$$\left\lfloor \frac{N}{Rk} \right\rfloor \geq Rk_0(2^{\tau+2} - 1) + 2 - R,$$

and this is true provided that

$$\begin{aligned} N &\geq Rk(Rk_0(2^{\tau+2} - 1) + 2 - R) \\ &= 4R^2k^2 - R^2kk_0 + 2Rk - R^2k, \end{aligned}$$

as desired. It is trivial to see that this lower bound cannot be greater than  $4R^2k^2$ . Therefore, if  $N \geq 4R^2k^2$ , then the system (1) has a nontrivial  $\mathbb{Q}_2$ -rational solution.

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