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**Alcohol Misuse and Co-Occurring Mental Disorders
Among New Soldiers in the U.S. Army**

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On behalf of the Army STARRS collaborators

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ABSTRACT

BACKGROUND: Problem drinking that predates enlistment into military service may contribute to the overall burden of alcohol misuse in the Armed Forces; however, evidence bearing on this issue is limited. The current study examines prevalence and correlates of alcohol misuse among new US Army soldiers.

METHODS: Cross-sectional survey data were collected from soldiers reporting for basic combat training. The survey retrospectively assessed lifetime alcohol consumption and substance abuse/dependence, enabling estimation of the prevalence of lifetime binge drinking and heavy drinking in a sample of 30,583 soldiers and of probable alcohol use disorder (AUD) among 26,754 soldiers with no/minimal lifetime use of other drugs. Co-occurrence of mental disorders and other adverse outcomes with binge drinking, heavy drinking, and AUD was examined. Discrete-time survival analysis, with person-year the unit of analysis and a logistic link function, was used to estimate associations of AUD with subsequent onset of mental disorders and vice versa.

RESULTS: Weighted prevalence of lifetime binge drinking was 27.2% (SE=0.4%) among males and 18.9% (SE=0.7%) among females; respective estimates for heavy drinking were 13.9% (SE=0.3%) and 9.4% (SE=0.4%). Among soldiers with no/minimal drug use, 9.5% (SE=0.2%) of males and 7.2% (SE=0.5%) of females had lifetime AUD. Relative to no alcohol misuse, binge drinking, heavy drinking, and AUD were associated with increased odds of all adverse outcomes under consideration [adjusted odds ratios (AORs)=1.5 to 4.6; $ps<.001$]. Prior mental disorders and suicidal ideation were associated with onset of

51 AUD (AORs=2.3 to 2.8; $ps<.001$); and prior AUD was associated with onset of mental disorders and
52 suicidal ideation (AORs=2.0 to 3.2, $ps<0.005$).

53 **CONCLUSIONS:** Strong bidirectional associations between alcohol misuse and mental disorders were
54 observed in a cohort of soldiers beginning Army service. Conjoint recognition of alcohol misuse and
55 mental disorders upon enlistment may provide opportunities for risk mitigation early in a soldier's
56 career.

57 Introduction

58 Alcohol use disorders (AUDs) are prevalent and impactful in the United States and worldwide,
59 contributing markedly to the global burden of disease (Whiteford et al., 2013). The National
60 Epidemiologic Survey on Alcohol and Related Conditions III (NESARC-III), which surveyed a nationally
61 representative sample of non-institutionalized civilian adults, has documented the high prevalence and
62 morbidity associated with AUDs in the United States (Grant et al., 2015).

63 Alcohol misuse and AUDs are also prevalent among US military personnel. One-fifth of military
64 personnel were classified as heavy drinkers (consuming 5 or more drinks once a week or more) in a
65 recent survey representing the total active force (Mattiko et al., 2011). Population-based health
66 behavior surveys of active duty personnel administered by the US Department of Defense (DoD)
67 revealed significant increases in binge drinking (35% to 47%) and heavy drinking (15% to 20%) from
68 1998-2008 that were highest among those with combat exposure (Bray et al., 2013). A study of mental
69 disorders in a representative sample of 671 Ohio Army National Guard members found that AUD was
70 the most common lifetime disorder, with a prevalence of 44% (Fink et al., 2016a). U.S. military veterans
71 also have a high prevalence (42%) of lifetime AUD (Fuehrlein et al., 2016).

72 Alcohol misuse profoundly impacts servicemembers' individual health and well-being (Waller et
73 al., 2015). In the aforementioned survey of US military personnel representing the total active force, a
74 dose-response relationship was observed between drinking level and serious consequences such as
75 accidents and injuries; and occupational, relational, and legal problems related to alcohol use (Mattiko
76 et al., 2011). Heavy drinking was associated with a particularly marked increase in these adverse
77 consequences. Heavy drinking also has been found to be associated with increased risk for suicidal
78 behaviors among Army soldiers (Mash et al., 2014). Similarly, AUDs were associated with increases over
79 time in depressive and posttraumatic stress symptoms among Ohio National Guard members (Sampson
80 et al., 2015). Beyond impacts on individual health and functioning, alcohol misuse burdens the Armed

81 Forces as an organization, with dose-response relationships observed between level of drinking and
82 productivity loss (Mattiko et al., 2011).

83 These observations underscore the importance of gaining a contemporary, population-based
84 perspective on the extent of alcohol use problems and concomitant adverse outcomes among US
85 military personnel. Estimates derived from the overall active force are informative and actionable;
86 however, they leave unanswered questions regarding potential contributors to the burden of alcohol
87 misuse in the military (e.g., relative roles of selection factors versus experiences related to military
88 service). What is also needed is information pertaining to pre-enlistment experiences and conditions
89 that may shape the mental health needs of servicemembers as they integrate into military culture and
90 encounter military-specific exposures such as deployment.

91 Available evidence suggests that mental disorders in general – and substance use problems in
92 particular – commonly emerge prior to servicemembers' enlistment in the Armed Forces. An analysis
93 from the Army Study to Assess Risk and Resilience in Servicemembers (Army STARRS) found that
94 approximately 38% of soldiers with 30-day Substance Use Disorder (SUD; due to alcohol and/or drug
95 misuse) reported onset prior to their enlistment in the US Army (Kessler et al., 2014). More than 40% of
96 Ohio National Guard members with lifetime AUD reported onset of the disorder prior to enlistment
97 (Fink et al., 2016a). These studies established pre-enlistment onset via retrospective self-report. In
98 contrast, a report from the Army STARRS New Soldier Study (NSS; also the basis for the current analysis)
99 estimated prevalence of lifetime SUD (due to alcohol and/or drug use) among new soldiers just starting
100 basic combat training (Rosellini et al., 2015). Lifetime prevalence of SUD in these incoming soldiers was
101 12.6%, which although substantial did not differ significantly from the prevalence (13.9%) observed in a
102 demographically-matched civilian sample. Another survey of US Navy recruits during 2011-2014 found
103 that approximately 40% of those aged 21 and older had engaged in risky drinking prior to beginning
104 their Navy service (Derefinko et al., 2016). Rates were even higher among younger recruits (<21 years
105 old), with more than half endorsing risky drinking and approximately 10% endorsing harmful/hazardous
106 drinking prior to beginning their military service.

107 The present study provides a novel perspective on the burden of alcohol misuse in the military
108 by examining the prevalence and correlates of lifetime alcohol misuse among soldiers entering the US
109 Army. We build on prior investigations of this Army STARRS sample (Rosellini et al., 2015) by focusing
110 specifically on problem drinking (versus SUD) and by examining the full spectrum of alcohol misuse. We
111 estimate the prevalence of lifetime binge drinking and heavy drinking in a representative sample of new

112 Army soldiers, as well as lifetime and 12-month AUD in a subsample with no or minimal lifetime use of
113 other drugs. We also extend prior research by examining prevalence of mental disorders and other
114 adverse outcomes among subgroups of soldiers with histories of binge drinking, heavy drinking, and
115 AUD. Finally, to improve understanding of the nature of associations between alcohol misuse and
116 mental disorders, we utilize age-of-onset data to determine whether AUD is associated with increased
117 risk of subsequent mental disorders, and conversely whether certain mental disorders are associated
118 with increased risk of onset of AUD. These association analyses may elucidate other vulnerabilities and
119 mental health needs of soldiers with pre-enlistment histories of alcohol misuse; and provide information
120 about risk factors for onset of AUD in this population.

121 **Materials and Methods**

122 *Participants and Procedures*

123 Prior reports describe the design and methodology of Army STARRS (Kessler et al., 2013a,
124 Ursano et al., 2014). The New Soldier Survey (NSS) component was administered at three basic training
125 installations during the period of April 2011 to November 2012. Soldiers reporting for Basic Combat
126 Training were surveyed while completing intake procedures. Representative samples of 200-300
127 soldiers per site were selected on a weekly basis to attend a 30-minute informed consent session that
128 covered the study's purposes, procedures, and protections against breach of confidentiality. Nearly all
129 (99.9%) selected soldiers consented to the self-administered questionnaire (SAQ), and 93.5% of those
130 who consented completed the full SAQ. Incomplete SAQs were mainly due to time constraints (e.g.,
131 cohorts arriving late or having to leave early). Of soldiers who completed the SAQ, 77.1% consented to
132 linkage of responses to their Army/DoD administrative records. Recruitment, consent, and data
133 protection procedures were approved by the Human Subjects Committees of all collaborating
134 institutions.

135 As in prior NSS studies (Ursano et al., 2015, Rosellini et al., 2015, Nock et al., 2015), the sample
136 was constrained to respondents whose complete SAQ data were successfully linked to their Army/DoD
137 Administrative records ($n=38,507$). Analyses incorporate a combined analysis weight that adjusts for
138 differential administrative record linkage consent among SAQ completers and includes a post-
139 stratification of these consent weights to known demographics and service traits of the population of
140 soldiers attending Basic Combat Training during the study period. A description of NSS clustering and
141 weighting is available in a previous report devoted to those topics (Kessler et al., 2013b).

142 For this investigation of alcohol misuse, additional sample restriction was necessary (**Figure 1**)
143 because the item assessing consumption of 5 or more alcoholic drinks in one day (i.e., alcohol binges;
144 used to derive binge drinking and heavy drinking variables) was added to the NSS survey partway into
145 data collection. Analyses are therefore based on data from participants in the third and fourth (of 4
146 total) NSS administrations ($n=30,583$). We report prevalence of lifetime binge drinking, heavy drinking,
147 and non-alcohol substance use among these respondents, who for the purposes of this report are
148 referred to as the “overall sample”.

149 The current study aimed to examine the full range of alcohol misuse including Alcohol Use
150 Disorder (AUD); however, the NSS survey did not discriminate between substance use disorder
151 symptoms resulting from alcohol versus other substance use. We therefore conducted analyses of the
152 prevalence and correlates of probable Alcohol Use Disorder (AUD) in a subsample that conservatively
153 excluded respondents who endorsed lifetime use of non-alcohol substances at a frequency of “1-3 times
154 per month” or greater. The subsample of soldiers with no or minimal use of non-alcohol substances
155 ($n=26,754$) is henceforth called the “restricted sample.”

156 *Measures*

157 *Binge Drinking and Heavy Drinking.* Items assessing frequency of alcohol use were adapted
158 from the Composite International Diagnostic Interview (CIDI)(Kessler and Ustun, 2004) for use in Army
159 STARRS. Respondents rated frequency of any alcohol use (1 or more drinks) and of alcohol binges (5 or
160 more drinks). Respondents rated frequency of use for “the times when you used each...substance most
161 often,” using the categories “never,” “less than once a month,” “1-3 days a month,” “1-2 days a week,”
162 “3-4 days a week,” or “every or nearly every day” (coded 0-5 for analysis). The Substance Abuse and
163 Mental Health Services Administration (SAMHSA) definition of binge drinking is “drinking 5 or more
164 alcoholic drinks on the same occasion on at least 1 day in the past 30 days”. Thus, ratings ≥ 2 (“1-3 days
165 a month”) for drinking 5 or more alcoholic drinks were considered positive for lifetime Binge Drinking.
166 The SAMHSA definition of heavy drinking is “drinking 5 or more drinks on the same occasion on each of
167 5 or more days in the past 30 days.” Therefore, ratings ≥ 3 (“1-2 days a week”) for drinking 5 or more
168 alcoholic drinks were considered positive for lifetime Heavy Drinking.

169 *Other (Non-Alcohol) Substance Use.* Survey items also assessed peak lifetime frequency of use
170 of marijuana/hashish; spice (e.g., K2, plant food, fake weed); any other illegal drug (e.g., cocaine,
171 ecstasy, speed, LSD, poppers); prescription stimulants (e.g., Adderall, diet pills, amphetamines);
172 prescription tranquilizers or muscle relaxers (e.g., Ativan, Valium) or sedatives (e.g., Ambien, Quaalude);
173 and prescription pain relievers (e.g., codeine, OxyContin). Items assessing the final three substance

174 types specified that respondents should rate use that occurred “without a doctor’s prescription or more
175 than prescribed or to get high, buzzed, or numbed out.” Nicotine use also was assessed but will be the
176 focus of a separate report. Respondents indicated whether they had used each substance “never,” “less
177 than once a month,” “1-3 days a month,” “1-2 days a week,” “3-4 days a week,” or “every or nearly
178 every day” (coded 0-5 for analysis). Ratings ≥ 2 (“1-3 days a month”) were considered positive for
179 estimating lifetime prevalence of non-alcohol substance use in the overall sample.

180 *Probable Alcohol Use Disorder (AUD).* Diagnosis of SUD was based on self-administered CIDI
181 Screening Scales (CIDI-SC)(Kessler et al., 2013c). Respondents who endorsed any substance use were
182 asked to think about the period of their lives when they used the most alcohol (for those with no
183 lifetime use of other drugs), drugs (for those with no lifetime use of alcohol), or alcohol or drugs (for
184 those with lifetime alcohol and other drug use). Respondents then rated frequency of problems related
185 to substance use as “never,” “less than once a month,” “1-3 days a month,” “1-2 days a week,” “3-4 days
186 a week,” or “every or nearly every day.” Problems related to substance use that were assessed in the
187 NSS were: interference with work, school, or home responsibilities; interpersonal problems; use in
188 situations that could be dangerous to self or others (e.g., when driving or using a weapon); lack of
189 control over use; problems with law enforcement; concern about being unable to use in certain
190 situations; worrying about level of use; feeling a need to cut down or stop use; feeling guilty about use;
191 and needing an eye-opener to relieve withdrawal symptoms. An algorithm that employed respondents’
192 ratings of these items was used to diagnose lifetime SUD, and the diagnosis was validated against
193 structured clinical interviews in a clinical calibration study (Kessler et al., 2013c).

194 SUD data from respondents whose lifetime use of non-alcohol drugs was “never” or “less than
195 once a month” were considered in this study. Given the absence/rarity of non-alcohol drug use in this
196 restricted sample, we inferred that SUD items had been rated in reference to alcohol use and labeled
197 cases of lifetime SUD as “probable lifetime AUD”. To establish past-year AUD, we used a survey item
198 that inquired how many months out of the past 12 respondents had these types of problems related to
199 their substance use. Responses ≥ 1 month were coded positive for probable past-year AUD. Age-of-
200 onset was assessed with an item inquiring how old the respondent was the first time he or she had the
201 reported problems related to substance use. Age-of-onset was used to establish the temporal
202 relationship of AUD to other diagnoses.

203 *Co-occurring mental disorders.* Associations of alcohol misuse with mental disorders were
204 examined. Diagnoses were established using the CIDI-SC and Posttraumatic Stress Disorder (PTSD)
205 Checklist, and validated in a prior study (Kessler et al., 2013c). Mental disorders under consideration for

206 this report were lifetime and past 30-day PTSD, major depressive episode (MDE), generalized anxiety
207 disorder (GAD), and panic disorder (PD); and lifetime mania/hypomania. Ages of onset were assessed
208 and used to determine temporal relationships of these conditions with AUD.

209 *Other co-occurring adverse outcomes.* Suicidal ideation was assessed using a modified version
210 of the Columbia Suicidal Severity Rating Scale (Posner et al., 2011). Co-occurrence of alcohol misuse
211 with lifetime and past 30-day suicidal ideation was considered. Probable lifetime traumatic brain injury
212 (TBI) was assessed and defined as endorsing any lifetime head, neck, or blast injury that was associated
213 with loss or alteration of consciousness or memory lapse (Stein et al., 2015). Finally, the NSS assessed
214 occurrence of (1) any past-year motor vehicle accidents (MVAs) while the respondent was driving, and
215 (2) any past-year MVAs that caused injury or property damage for which the respondent was at fault;
216 these outcomes also were examined in relation to alcohol misuse.

217 *Socio-demographic and Army service variables.* Models of associations between AUD and PTSD,
218 MDE, GAD, PD, mania/hypomania, and suicidal ideation adjusted for time-varying person-year and
219 education and time-invariant sex, race-ethnicity, religion at the time of the interview, marital status at
220 the time of the interview, parental education, and nativity. All models also adjusted for Army service
221 component (Regular Army, National Guard, or Army Reserve) and site of Basic Combat Training.

222

223

224 *Data Analysis*

225 Weighted prevalence of lifetime binge drinking, heavy drinking, and non-alcohol substance use
226 was calculated in the overall sample ($N=30,583$). Weighted prevalence of lifetime binge drinking,
227 lifetime heavy drinking, lifetime probable AUD, and probable past-year AUD were calculated in the
228 restricted sample of respondents with no or minimal non-alcohol drug use ($n=26,754$). Missing data
229 were rare ($\leq 1.8\%$) and left missing in the analyses. Pearson's χ^2 was used to test for sex differences in
230 the prevalence of alcohol misuse. Supplementary analyses also used Pearson's χ^2 to evaluate
231 differences by race/ethnicity (White, Black, Hispanic, or Other), age group (18-20, 21-23, or 24 and
232 older), and Army service component (Regular Army, Army National Guard, or Army Reserve).

233 In the restricted sample, weights-adjusted logistic regression models were fit to estimate
234 associations of the different types of alcohol misuse (binge drinking, heavy drinking, probable lifetime
235 AUD, and probable past-year AUD) with mental disorders and other adverse outcomes, adjusting for
236 socio-demographic and Army service variables. Each alcohol misuse category was compared to the
237 reference group "No Lifetime Alcohol Misuse".

238 Age-of-onset data were used to create person-year datasets for discrete-time survival analysis
239 (Singer and Willett, 2003) of the associations of (1) temporally prior AUD with subsequent onset of
240 PTSD, MDE, GAD, PD, mania/hypomania, and suicidal ideation; and (2) temporally prior mental
241 disorders/ suicidal ideation with subsequent onset of AUD. The person-year file was limited to 12-33
242 years of age due to exceedingly low prevalence of AUD and mental disorders prior to age 12 and of
243 enlistment after age 33. All models adjusted for socio-demographic and Army service variables and
244 were fit using a logistic link function (Efron, 1988). Survival coefficients were exponentiated to create
245 odds ratios (ORs) with 95% confidence intervals; the interpretation of OR in the discrete time survival
246 models indicates the effect of a given predictor on the hazard. Because NSS data were clustered and
247 weighted, the design-based Taylor series linearization method was used to estimate standard errors.
248 Multivariable significance was examined using design-based Wald χ^2 tests. Two-tailed p values $<.05$
249 were considered significant. All analyses were conducted using the software R Version 3.0.2 (R Core
250 Team, 2013) with the R library *survey* (Lumley, 2004, Lumley, 2012) to estimate the discrete-time
251 survival models.

252 Results

253 *Prevalence of Binge Drinking, Heavy Drinking, and Non-Alcohol Substance Use*

254 **Table 1** shows weighted lifetime prevalence of binge drinking, heavy drinking, and non-alcohol
255 substance use in the overall sample. Binge drinking [$\chi^2(1)=165.96, p<.001$] and heavy drinking
256 [$\chi^2(1)=84.37, p<.001$] were substantially more prevalent among male than female soldiers. Male
257 soldiers also displayed higher prevalence of marijuana use [$\chi^2(1)=8.69, p=.003$] and synthetic marijuana
258 use [$\chi^2(1)=12.72, p<.001$]. **Supplementary Table 1** shows prevalence of binge drinking, heavy drinking,
259 and non-alcohol substance use by race/ethnicity, age group, and service component. Substantive
260 differences included higher prevalence of binge drinking and heavy drinking among soldiers aged 21 and
261 older compared to those aged 18-20. Binge drinking and heavy drinking were also more prevalent
262 among White soldiers than among members of other race/ethnicity groups, with Black soldiers
263 displaying the lowest prevalence. Variation in the proportion of females across race/ethnicity groups
264 may contribute to these differences; among subgroups of soldiers identifying as White, Black, Hispanic,
265 and Other, the proportions of females were 12.1%, 26.9%, 17.9%, and 17.3%, respectively.

266 Remaining results are based on the restricted sample of respondents with no or minimal non-
267 alcohol drug use. **Table 2** shows weighted prevalence of lifetime binge drinking, lifetime heavy drinking,
268 probable lifetime AUD, and probable past-year AUD in the restricted sample. Each type of alcohol
269 misuse was less prevalent among women [$\chi^2(1)=8.52$ to $142.66, ps<.005$]. **Supplementary Table 2**

270 provides prevalence of lifetime binge drinking, lifetime heavy drinking, probable lifetime AUD, and
271 probable past-year AUD by race/ethnicity, age group, and service component in the restricted sample.
272 Race/ethnicity and age group differences for binge drinking and heavy drinking were analogous to those
273 found in the overall sample, though in some cases less pronounced. Probable lifetime AUD was slightly
274 more prevalent among soldiers who identified their race as Other or White than among those who
275 identified as Hispanic or Black; and among those aged 21 and older.

276 *Co-occurrence of Alcohol Misuse with Mental Disorders and other Adverse Outcomes*

277 Relative to soldiers with no lifetime alcohol misuse, and adjusting for socio-demographic and
278 Army service variables, the prevalence of *all* adverse outcomes under consideration was significantly
279 higher among soldiers with lifetime binge drinking (AORs=1.53 for lifetime suicidal ideation to 2.08 for
280 lifetime PD; $ps<.001$), lifetime heavy drinking (AORs=1.67 for past-year MVA while driving to 2.61 for
281 lifetime mania/hypomania; $ps<.001$), probable lifetime AUD (AORs=1.74 for past-year MVA while driving
282 to 3.66 for past-month suicidal ideation; $ps<.001$), and probable past-year AUD (AORs=1.99 for past-year
283 MVA while driving to 4.55 for past-month suicidal ideation; $ps<.001$). See **Supplementary Table 3** for
284 full results of these association analyses.

285 **Figure 2** displays prevalence of selected conditions (lifetime PTSD, suicidal ideation, MDE, and
286 PD) by alcohol misuse category. As the figure illustrates, prevalence of adverse outcomes generally
287 increased in a dose-response fashion as severity of alcohol misuse increased. The complete set of
288 comorbidity estimates is provided in **Supplementary Table 4**.

289 Among soldiers with probable lifetime AUD, prevalence of co-occurring lifetime mental
290 disorders ranged from 5.5% (SE=0.6%) for PD to 23.2% (SE=1.1%) for PTSD. Other highly prevalent
291 lifetime outcomes among those with probable lifetime AUD were TBI (64.3% vs. 39.4% of those with no
292 alcohol misuse) and suicidal ideation (23.4% vs. 10.2% of those with no alcohol misuse). Among soldiers
293 with probable past-year AUD, prevalence of co-occurring 30-day mental disorders ranged from 5.6%
294 (SE=0.7%) for PD to 20.2% (SE=1.3%) for PTSD.

295

296 *Associations of Mental Disorders and Suicidal Ideation with Onset of Probable Alcohol Use Disorder*

297 The first set of discrete time-survival models estimated associations of temporally prior PTSD,
298 MDE, GAD, PD, mania/hypomania and suicidal ideation with subsequent onset of probable AUD. After
299 adjusting for socio-demographic and Army service variables, each mental disorder was associated with
300 increased odds of subsequent AUD. Adjusted odds-ratios for the predictors of interest ranged from 2.31
301 for PTSD to 2.85 for mania/hypomania (**Table 3**).

302 *Associations of Probable Alcohol Use Disorder with Onset of Other Mental Disorders*

303 The second set of discrete time-survival models estimated associations of temporally prior AUD
304 with subsequent onset of other mental disorders/suicidal ideation. After adjusting for socio-
305 demographic and Army service variables, probable AUD was associated with increased odds of
306 subsequent PTSD, MDE, GAD, PD, mania/hypomania, and suicidal ideation. Adjusted odds-ratios for
307 AUD ranged from 1.98 for the outcome of suicidal ideation to 3.15 for the outcome of PTSD (**Table 4**).

308 **Discussion**

309 In this study of new soldiers reporting on their alcohol and substance use prior to entering the
310 military, we found that more than 1 in 4 men (27.2%) reported binge drinking and 1 in 7 reported heavy
311 drinking (13.9%); prevalence was lower in women (18.9% and 9.4%, respectively). With the exception of
312 lifetime marijuana use (reported by 8.7% of men and 7.6% of women), endorsement of other drug use
313 was below 4% for both males and females.

314 Prevalence and correlates of probable Alcohol Use Disorder (AUD) were estimated only among
315 soldiers with minimal lifetime use of non-alcohol drugs, a study limitation that is discussed below. Some
316 9.5% of male soldiers and 7.2% of female soldiers in this subgroup met criteria for probable lifetime
317 AUD; with 5.9% of males and 4.7% of females indicating that AUD symptoms were problematic during
318 the past year. The higher prevalence of all forms of alcohol misuse among male soldiers is consistent
319 with evidence from epidemiological surveys indicating that AUDs, binge drinking, and heavy drinking are
320 more common among men than women (Grant et al., 2015, Substance Abuse and Mental Health
321 Services Administration, 2014).

322 The limited available data on alcohol use of new military recruits suggest that the prevalence of
323 lifetime binge drinking observed in our sample of incoming Army soldiers is similar to that of Naval
324 recruits, 26% of whom endorsed “heavy drinking” – which was closest to our definition of Binge Drinking
325 – during the year prior to basic training (Ames et al., 2002). A somewhat higher prevalence was
326 observed in a study of Air Force recruits where 49% of the 78% of recruits who endorsed any alcohol use
327 (i.e., ~38% of the total sample) endorsed binge drinking (Taylor et al., 2007). To our knowledge, other
328 studies of military recruits have not estimated the prevalence of AUDs. However, the prevalence of
329 probable past-year AUD in our restricted sample (5.7%) is similar to that observed in a recent study of
330 Canadian Regular Military Forces Personnel (4.5%) (Rusu et al., 2016).

331 US-representative data from the National Epidemiologic Survey on Alcohol and Related
332 Conditions III (NESARC-III) indicate that the prevalence of lifetime and 12-month AUDs among 18- to 29-
333 year olds is 37% and 27%, respectively (Grant et al., 2015), much higher than the respective estimates
334 from our sample of new soldiers. This seems to indicate that the US Army's efforts to screen out
335 individuals with AUD are, to some extent, successful. However, it should be noted that the NESARC-III
336 comparison is not completely apt, as our estimates of AUD derive from a subsample of new soldiers with
337 no or minimal lifetime use of other drugs. Other important differences between the two studies include
338 the predominance of respondents from the younger end of the 18-29 age spectrum in our sample and
339 differences in the setting (household vs. site of Army basic combat training) and modality (self-
340 administered questionnaire vs. face-to-face interview) of assessment.

341 Irrespective of the above issues, our findings suggest that 1 of 8 soldiers enter Army service with
342 a history of heavy drinking and – even among those with minimal lifetime drug use – 1 in 11 enter with
343 probable lifetime AUD. This implies that the US army “inherits” an appreciable portion of its overall
344 burden of alcohol misuse. However, the literature strongly suggests that more alcohol misuse emerges
345 as soldiers progress in their careers. Data from the All-Army Study component of Army STARRS
346 indicated that the majority of soldiers with 30-day SUD had post-enlistment onset (Kessler et al., 2014).
347 Substantially higher prevalence of lifetime AUD is observed in surveys that include more experienced
348 military personnel and veterans. AUDs were the most prevalent lifetime disorders among Ohio National
349 Guard members (44%)(Fink et al., 2016a) and Canadian Regular Force members (32%)(Pearson et al.,
350 2014). A contemporary survey of U.S. military veterans revealed that 42% had lifetime AUD and 15%
351 had past-year AUD (Fuehrlein et al., 2016). Finally, there is evidence that deployments are associated
352 with increased risk of new-onset AUDs (Jacobson et al., 2008). More prospective research on alcohol
353 misuse among servicemembers is needed, including studies evaluating the impact of deployment
354 stressors and other factors related to military experiences. We intend to study the longitudinal course of
355 alcohol misuse in future work with the the Army STARRS cohorts.

356 Our psychiatric comorbidity data are consistent with other studies showing a strong association
357 of PTSD with AUDs in US (Marshall et al., 2012) and UK (Head et al., 2016) military samples, and reveal
358 that this association is also observed among Army recruits. Further analysis provided evidence for a
359 bidirectional association between PTSD and AUD. Other studies of the temporal relationship of these
360 disorders have yielded similar findings (Nickerson et al., 2014, Smith et al., 2014), which have been
361 interpreted as supporting both “self-medication” and “substance-induced anxiety” models of PTSD and

362 substance use disorder comorbidity. The particularly strong association of AUD with subsequent PTSD
363 (AOR=3.15) in our analysis suggests assessment of alcohol misuse may be relevant to identifying soldiers
364 at increased risk for PTSD following traumas incurred during military service.

365 Psychiatric comorbidity of AUD is by no means limited to PTSD, and associations of similar
366 magnitude were observed between AUD and other anxiety disorders, mood disorders, and suicidal
367 ideation. These results converge with findings from the general US population, where AUDs display
368 considerable comorbidity with both PTSD and other mental disorders (Grant et al., 2015). Consistent
369 with recent findings in a sample of Ohio National Guard personnel (Fink et al., 2016b), we found that
370 AUDs preceded as well as followed the onset of mental disorders and suicidal ideation. Although not all
371 investigations have found bidirectional relationships between AUDs and mental disorders (Kuo et al.,
372 2006), overall the available evidence suggests that interventions for AUD may help reduce the burden of
373 other mental disorders, and vice versa. For patients with dual diagnosis, consideration should be given
374 to treating both problems simultaneously (Roberts et al., 2015). These patients may benefit from
375 management of comorbid conditions using disorder-specific psychotherapies with or without
376 pharmacotherapy. For example, the psychotherapy Seeking Safety combined with a selective serotonin
377 reuptake inhibitor yielded meaningful benefits for PTSD and AUD symptoms in veterans with both
378 conditions (Hien et al., 2015). Additional research is needed to demonstrate the impact of treatments
379 aimed not only at PTSD, but at the full range of comorbid mental disorders seen among military
380 personnel with AUDs.

381 Finally, several non-psychiatric adverse outcomes were included in the analysis to illustrate
382 other correlates of AUD that may have implications for screening and intervention. Among new Army
383 soldiers, all types of alcohol misuse under consideration were associated with lifetime TBI and past-year
384 MVAs. These findings are perhaps unsurprising given the known cognitive and motor effects of alcohol
385 intoxication and the clustering of health-risk behaviors (Meader et al., 2016). Alcohol misuse –
386 particularly heavy drinking – has previously been linked to serious adverse consequences (an outcome
387 that included injuries and accidents) in military personnel (Mattiko et al., 2011). These results convey
388 the broad impact of alcohol misuse and reinforce the importance of screening, prevention, and
389 intervention efforts.

390 The current results must be interpreted in light of several important limitations. Evaluation of
391 alcohol misuse, substance use, and mental disorders relied on retrospective self-report and is subject to
392 response and recall biases. Respondents may have under-reported alcohol use and mental disorder

393 symptoms, although this bias is typically reduced when confidential self-administration is employed as
394 the mode of assessment (Kessler et al., 2013b). For soldiers who endorsed lifetime use of alcohol and
395 other drugs, the survey did not establish whether reported abuse/dependence symptoms were due to
396 alcohol use, drug use, or a combination of the two. Thus we were limited to examining prevalence and
397 correlates of “probable AUD” among new soldiers with no or minimal lifetime use of other drugs. We
398 applied a conservative exclusion criterion (resulting in exclusion of 12.5% of the overall sample) to
399 increase confidence that cases of SUD remaining in the restricted sample were due entirely to alcohol
400 misuse. A consequential tradeoff is that individuals with polysubstance use disorders – and even AUD
401 accompanied by semi-regular use of other drugs – are not represented.

402 In summary, lifetime alcohol misuse is fairly common among new soldiers entering the US Army.
403 Alcohol misuse ranging from lifetime binge drinking to past-year AUD is associated with an array of
404 mental disorders and other adverse outcomes. Analyses incorporating age-of-onset indicate that AUDs
405 are associated with increased odds of onset of anxiety disorders, mood disorders, and suicidal ideation;
406 and, conversely, that these same problems are associated with subsequent onset of AUD. The
407 substantial associations of AUD with other mental health problems, which appear to be bidirectional in
408 nature, must be considered in assessment and treatment planning. These associations also suggest that
409 efforts to prevent alcohol misuse may decrease the future burden of not just AUD but other mental
410 disorders; similarly, mental health promotion efforts may reduce adverse impacts of alcohol misuse.
411 Conjoint recognition of problematic alcohol use and mental health problems upon a soldier’s entry into
412 the military provides a tremendous opportunity for intervention and risk mitigation, early in a soldier’s
413 military career.

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Figure Captions

Figure 1. Prevalence of lifetime binge drinking, heavy drinking, and drug use was estimated in the sample of survey respondents who consented to linkage of their responses to their Army/DoD administrative records, and who were administered a version of the survey instrument that contained the item assessing peak lifetime frequency of binge drinking (“Overall Sample”). Due to a limitation of the survey design, prevalence of probable Alcohol Use Disorder could only be estimated in a subsample of those soldiers who endorsed no or minimal lifetime use of non-alcohol drugs (“Restricted Sample”).

Figure 2. Weighted prevalence of lifetime posttraumatic stress disorder, suicidal behavior, major depressive disorder, and panic disorder by categories of alcohol misuse. Standard errors range from 0.1% to 1.5%. Estimates were derived from the restricted sample of 26,754 new soldiers with no or minimal lifetime use of non-alcohol drugs. All four co-occurring conditions were more prevalent among soldiers with lifetime binge drinking, lifetime heavy drinking, lifetime probable AUD and past-year probable AUD than among soldiers with no lifetime alcohol misuse (all p 's<.001).

Table 1

Weighted prevalence of lifetime binge drinking, heavy drinking, and non-alcohol substance use in new Army soldiers

	Overall (N=30,583)	Males (n=25,736)	Females (n=4847)
Binge Drinking	25.8% (0.4%)	27.2% (0.4%)	18.9% (0.7%)
Heavy Drinking	13.1% (0.2%)	13.9% (0.3%)	9.4% (0.4%)
Marijuana/hashish	8.5% (0.2%)	8.7% (0.2%)	7.6% (0.5%)
Synthetic marijuana/spice	3.6% (0.1%)	3.8% (0.2%)	2.6% (0.3%)
Any other illegal drug	2.2% (0.1%)	2.3% (0.1%)	2.1% (0.2%)
Prescription analgesics	3.6% (0.1%)	3.6% (0.1%)	3.4% (0.2%)
Prescription stimulants	3.0% (0.1%)	3.0% (0.1%)	3.0% (0.2%)
Prescription tranquilizers	2.1% (0.1%)	2.1% (0.1%)	2.3% (0.2%)

Notes. Values are weighted percentage (standard error). Binge drinking was defined as consuming 5 or more drinks per day “1-3x/month” or more. Heavy drinking was defined as consuming 5 or more drinks per day 1-2x/week” or more. Non-alcohol drug use was considered present if peak lifetime frequency was “1-3x/month” or more. For prescription drugs, survey items specified that respondents should only consider use that occurred without a doctor’s prescription; in excess of the amount prescribed; or to get “high, buzzed, or numbed out”.

Table 2

Weighted prevalence of lifetime binge drinking, heavy drinking, and probable alcohol use disorder among new soldiers with infrequent (<monthly)¹ lifetime use of other substances

	Overall (n=26,754)	Males (n=22,452)	Females (n=4302)
Binge Drinking	20.7% (0.3%)	22.0% (0.4%)	14.3% (0.7%)

Heavy Drinking	9.3% (0.2%)	9.9% (0.2%)	6.4% (0.5%)
Probable lifetime AUD	9.1% (0.2%)	9.5% (0.2%)	7.2% (0.5%)
Probable past-year AUD	5.7% (0.2%)	5.9% (0.2%)	4.7% (0.5%)

Notes. AUD = Alcohol Use Disorder. Values are weighted percentage (standard error). Binge drinking was defined as consuming 5 or more drinks per day “1-3x/month” or more. Heavy drinking was defined as consuming 5 or more drinks per day “1-2x/week” or more.

¹A sensitivity analysis was conducted using a less stringent exclusion criterion for non-alcohol drug use. Respondents whose peak lifetime use of non-alcohol drugs was “1-3x/month” were included in the sensitivity analysis sample, in addition to those whose peak frequency was “Never” and “Less than 1x/month” ($n = 27,620$). Prevalence estimates for Binge Drinking, Heavy Drinking, probable lifetime AUD, and probable past-year AUD were 21.7% (SE=0.3%), 9.9% (SE=0.2%), 10.0% (SE=0.2%), 6.3% (SE=0.2%), respectively (i.e., within 1% of the values reported in this table).

Table 3
Associations of temporally prior mental disorders with subsequent onset of alcohol use disorder among new soldiers with infrequent (<monthly) lifetime use of other substances ($n=26,754$)

	Adjusted Odds Ratio	95% Confidence Interval	<i>p</i> value
Posttraumatic Stress Disorder	2.31	1.91-2.79	<.001
Major Depressive Disorder	2.70	2.28-3.21	<.001
Generalized Anxiety Disorder	2.73	2.32-3.21	<.001
Panic Disorder	2.66	1.97-3.60	<.001
Mania/hypomania	2.85	2.39-3.39	<.001
Suicidal Ideation	2.58	2.29-2.90	<.001

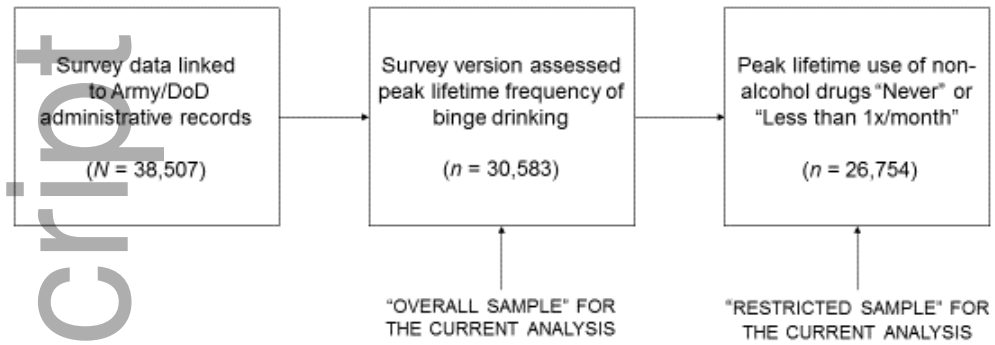
Note. Results are from six separate discrete-time survival models of onset of alcohol use disorder, with the row label indicating the predictor of interest. Odds ratios reflect adjustment for socio-demographic and Army service variables.

Table 4

Associations of temporally prior alcohol use disorder with subsequent onset of other mental disorders among new soldiers with infrequent (<monthly) peak lifetime use of other substances ($n=26,754$)

	Adjusted Odds Ratio	95% Confidence Interval	<i>p</i> value
Posttraumatic Stress Disorder	3.15	2.67-3.72	<.001
Major Depressive Disorder	2.92	1.56-5.46	<.001
Generalized Anxiety Disorder	2.24	1.76-2.84	<.001
Panic Disorder	2.12	1.27-3.53	0.004
Mania/hypomania	2.68	1.88-3.81	<.001
Suicidal Ideation	1.98	1.51-2.59	<.001

Note. Results are from separate discrete-time survival models of onset of the six different mental disorders, with alcohol use disorder as the predictor and the row label indicating the outcome in question. Odds ratios reflect adjustment for socio-demographic and Army service variables.



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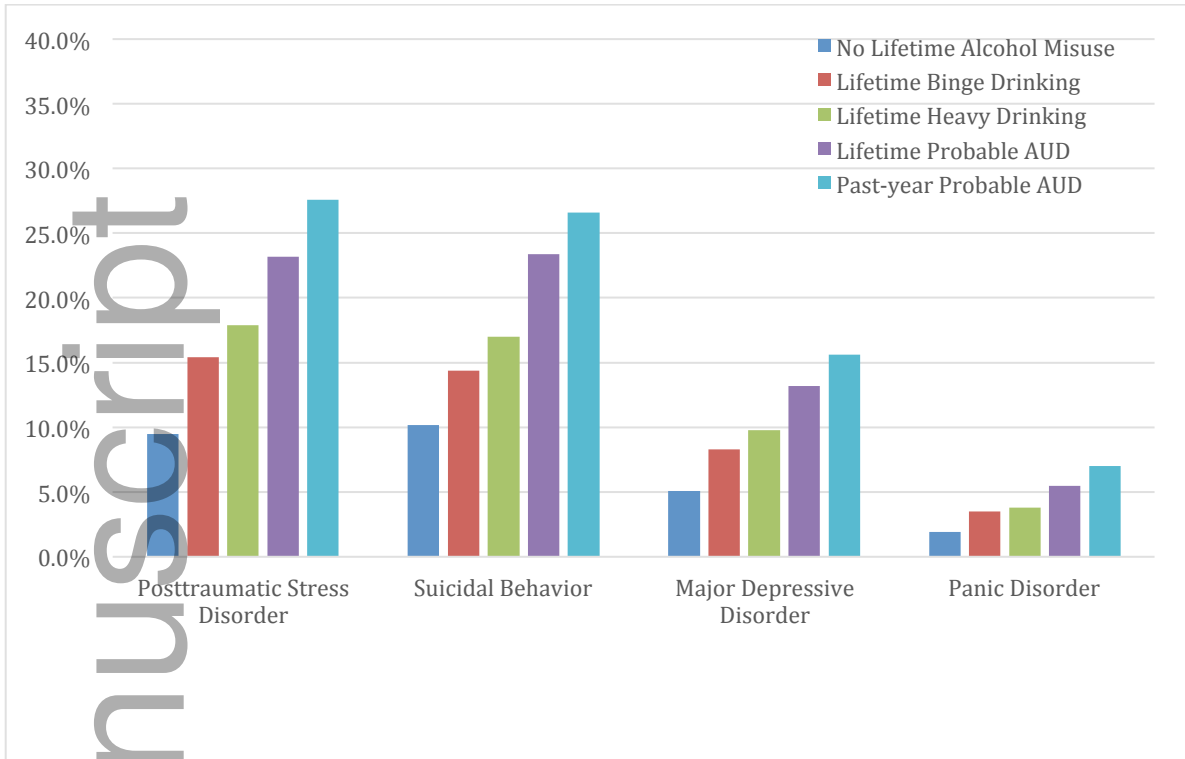


Figure 2

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