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Ecological interactions and coexistence are predicted by gene expression similarity in freshwater green algae

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44 overyielding, phylogenetic distance, species interactions, transcriptomics

45 Summary

- 46 1) Phenotypic variation controls the species interactions which determine whether or not species
47 coexist. Long-standing hypotheses in ecology and evolution posit that phenotypic
48 differentiation enables coexistence by increasing the size of niche differentiation. This
49 hypothesis has only been tested using macroscopic traits to date, but niche differentiation,
50 particularly of microscopic organisms, also occurs at the molecular and metabolic level.
- 51 2) We examined how phenotypic variation that arises at the level of gene expression over
52 evolutionary time affects phytoplankton species interactions and coexistence.
- 53 3) We predicted that similarity in gene expression among species would decline with
54 phylogenetic distance, and that reduced similarity in gene expression would weaken
55 competition, increase facilitation, and promote coexistence.
- 56 4) To test this, we grew eight species of freshwater green algae in monocultures and bicultures
57 for 46 days in a lab microcosm experiment. We quantified the strength of species interactions
58 by: 1) fitting Lotka-Volterra models to time-series densities and estimating interaction

59 coefficients, and 2) calculating relative densities that compare species' steady-state densities
60 in biculture to those in monoculture. We used Illumina high throughput sequencing to
61 quantify the expression of 1,253 families of homologous genes, including a set of 17
62 candidate genes that we hypothesized *a priori* to be involved in competition or facilitation.
63 5) We found that closely related species had greater similarity in gene expression than did
64 distantly related species, but as gene expression became more similar, species experienced
65 weaker competition or greater facilitation, and were more likely to coexist. We identified
66 gene functional categories that were uniquely differentially regulated in association with
67 particular species interaction types.
68 6) *Synthesis* – Contrary to common thinking in ecology and evolution, similarity in gene
69 expression, and not differentiation, was associated with weaker competition, facilitation and
70 coexistence.

71 Introduction

72 Understanding biodiversity and species coexistence continues to be a central goal in
73 community ecology. Theories of biodiversity state that niche differentiation among species
74 enables coexistence by weakening competitive interactions that would otherwise lead to
75 competitive exclusion (Chesson, 2000, MacArthur and Levins, 1967, Volterra, 1928, Lotka,
76 1920, Lotka, 1925). Niche differentiation and the strength of interactions among species are
77 determined by phenotypic differentiation among species at lower levels of biological
78 organization. Understanding biodiversity and coexistence therefore requires investigations of the
79 evolution of the phenotypic basis of niche differentiation and the strengths of species
80 interactions.

81 Long held views in biology predict that recently diverged species will be more
82 phenotypically similar to one another ('phylogenetic niche conservatism'), will share similar
83 resource requirements and, in turn, will compete more strongly and be less likely to coexist than
84 species that diverged longer ago (Webb et al., 2002, Ackerly, 2003, Cooper et al., 2010, Wiens et
85 al., 2010, Darwin, 1859). The phenotypic traits considered to date are generally observed at the
86 organismal level and have been chosen because they are thought to mediate species interactions,
87 including competition for resources (e.g. beak shape (Lamichhaney et al., 2015), body shape
88 (Ingram, 2015, Wanek and Sturmbauer, 2015), or body size (Ashton, 2004, Blomberg et al.,
89 2003)). Species also express significant phenotypic variation at the molecular and metabolic

90 level in response to biotic and abiotic environmental stimuli (Tirosh et al., 2006, Grishkevich and
91 Yanai, 2013). Using high throughput sequencing technology, it is now possible to determine how
92 species' phenotypes are differentiated at the level of gene expression, and to determine how gene
93 expression profiles mediate and respond to the presence of other interacting species (Schulze et
94 al., 2016), and in turn influence coexistence.

95 To date, investigations of the molecular basis of species interactions at the level of gene
96 expression have largely focused on host-pathogen, -parasite or -symbiont interactions (Schulze et
97 al., 2016), and to a lesser extent, on facilitative interactions (e.g. Amin et al., 2015). They have
98 uncovered significant transcriptomic changes (i.e. gene expression changes measured across
99 numerous genes) occurring for each species during the interactions (Schulze et al., 2016). These
100 transcriptomic changes can result in important functional changes within the organism, including
101 for example, the production of lysosomes used in cell lysis and macromolecular digestion,
102 changes in the cell cycle or the rate of ribosome production (Wohlrab et al., 2016, Schulze et al.,
103 2016), and the detoxification of secondary metabolites (Arfi et al., 2013). Such changes, though
104 only observable at the molecular level, may be of major importance in determining the strength,
105 type and outcome of species interactions (Schulze et al., 2016).

106 In this study, we systematically investigated how the similarity in gene expression among
107 eight species of freshwater green algae mediates the type, strength and outcome of their
108 interspecific interactions. Interactions among algae are known to be both competitive and
109 facilitative (Venail et al., 2014, Fritschie et al., 2014). Algae experience competition for a limited
110 number of inorganic resources and light (Litchman and Klausmeier, 2008, Hutchinson, 1961),
111 and recent transcriptomic studies of marine phytoplankton have shown complex transcriptomic
112 responses to resource limitation (hundreds of differentially regulated genes) (Dyhrman et al.,
113 2012, Frischkorn et al., 2014). A recent study also showed that two species of co-occurring
114 marine diatoms had functionally unique sets of differentially-regulated genes in response to
115 nitrogen and phosphorus availability, suggesting that they may partition their niches at the
116 metabolic level, enabling coexistence (Alexander et al., 2015). By contrast, relatively little is
117 known about the mechanistic basis of facilitative interactions in algae, although some green
118 algae receive a yield benefit from mixotrophic carbon consumption (Tanoi et al., 2011, Gautam
119 et al., 2013), and some are auxotrophic for particular vitamins (Croft et al., 2006). This suggests
120 that cross-feeding of metabolites or waste products from "leaky" interspecific neighbors may

121 lead to growth rate and yield benefits in the presence of other species. As a result, we
122 hypothesized that species with lower similarity in gene expression across their transcriptomes
123 would compete less strongly and be more likely to coexist due to greater ecological niche
124 differentiation at the molecular and metabolic level (Levy and Borenstein, 2012, Levy and
125 Borenstein, 2013, Lindemann et al., 2016). We also expected that species with lower similarity
126 in gene expression may be more likely to display facilitative interactions and coexistence due to
127 a greater possibility of metabolic complementarity and cross-feeding (Lindemann et al., 2016).

128 First, we aimed to test whether patterns of gene expression among species tend to diverge
129 over evolutionary time, as represented by relatedness among species on a phylogenetic tree.
130 While relatively little is known about how transcriptomes evolve as species diverge along a
131 phylogeny, some recent investigations lend support to neutral models of evolution (Khaitovich et
132 al., 2005, Khaitovich et al., 2006, Li et al., 2010, Uebbing et al., 2016), which predict that as
133 species become more distantly related, species similarity in gene expression should decline
134 monotonically (see also Brawand et al., 2011, Yang and Wang, 2013). However, other patterns
135 including gene expression conservatism (Liao and Zhang, 2006) and rapid divergence (Whittle et
136 al., 2014) are also observed, and there is currently no general consensus as to how sequence and
137 expression divergence are related. Second, we aimed to determine whether gene expression
138 similarity influences the type (competition versus facilitation) and strength of species interaction
139 and the likelihood of coexistence among species pairs. Specifically, we tested three predictions:
140 1) More distantly related species of freshwater green algae have more distinct patterns of gene
141 expression across their transcriptomes than do closely related species, 2) Species with more
142 distinct patterns of gene expression experience weaker competitive interactions and are more
143 likely to display facilitation, and 3) because of weaker competition and more likely facilitation,
144 species with lower gene expression similarity are more likely to coexist with one another. To test
145 these predictions, we used a microcosm experiment in which we grew each of eight species of
146 freshwater algae either in monoculture or biculture, and we measured species interactions and
147 gene expression using high throughput Illumina RNA sequencing. We found that while gene
148 expression similarity did diverge over evolutionary time, competition grew weaker, and
149 facilitation and coexistence more likely when gene expression patterns among species were more
150 similar.

151 Methods

152 ***Species selection and phylogeny***

153 We selected eight species of freshwater green algae: *Chlorella sorokiniana*, *Closteriopsis*
154 *acicularis*, *Cosmarium turpinii*, *Pandorina charkowiensis*, *Scenedesmus acuminatus*,
155 *Selenastrum capricornotum*, *Staurastrum punctulatum* and *Tetraedron minimum* (Supporting
156 Information Table S1). Cultures were obtained from the University of Texas at Austin or the
157 University of Göttingen (Germany). We chose these algae because they are widespread and
158 abundant in lakes across the United States (Naughton et al., 2015). It was also important that the
159 species were able to grow under laboratory conditions and be morphologically distinguished
160 under the microscope. This subset of species also provided a relatively even cross-section of
161 species from a phylogeny of green algae, and therefore also a good range of phylogenetic
162 distances (Alexandrou et al., 2015). Phylogenetic distance is defined here as the sum of all
163 branch lengths between a group of species on a phylogeny (Faith, 1992, Cavender-Bares et al.,
164 2009, Cadotte et al., 2010), and we estimated the phylogenetic distances for this study based on
165 the molecular phylogeny published by Alexandrou et al. (2015).

166

167 ***Experimental design and sampling***

168 We prepared 108 1L media bottles filled with 1L of modified COMBO growth medium
169 (enriched with 0.1 mM KCl and 30 μ M NH₄Cl final concentrations)(Kilham et al., 1998). We
170 inoculated bottles with either one of the 8 monocultures or one of the 28 possible bicultures at a
171 total initial density of 200 cells·mL⁻¹. Inoculations were conducted in a substitutive design such
172 that each species in a given biculture was inoculated at 100 cells·mL⁻¹. All species compositions
173 were replicated in triplicate. Bottles were then placed on Wheaton® (349000-A) roller racks at
174 20° C under a 16:8 hour light:dark cycle at a light intensity of ca. 81 μ Einstein. We exchanged
175 10% (100 mL) of the culture volume every other day with sterile COMBO starting 4 days after
176 the initial inoculation. We monitored community-level biomass over time by measuring the
177 fluorescence of chlorophyll-a every second day on a well-plate reader (Fluorometer, Synergy H1
178 Hybrid Reader, Biotek). We used the community-level biomass to gauge when the majority of
179 communities had achieved steady-state biomass, as indicated by no further increase in
180 chlorophyll-a fluorescence. We continued exchanges and sampling for one more week after
181 steady state had been achieved for the majority of communities, before terminating the
182 experiment at 46 days after inoculation (see Appendices A and B). Forty-eight days represents

183 between 14 and 36 generations of algal growth for the species used here. Samples for the
184 identification and counting of algae were taken from each bottle every other day until day 30,
185 and then every 4 days until day 46. The samples were preserved by pipetting 250 uL of sugared,
186 buffered Formalin into 1 mL of algae (final concentration of 2%) and densities of algal natural
187 units (cells or colonies) were counted on a FlowCam™ (Fluid Imaging Technologies Inc.).

188

189 *Transcriptomics*

190 On day 46, we took samples from each bottle for mRNA extraction and quantification.
191 We centrifuged between 100 mL and 900 mL of algal culture to obtain a pellet of algal biomass
192 for mRNA extraction. The supernatant was decanted and mRNA was extracted from the algal
193 pellet using the Ambion RNAqueous extraction kit (Life Technologies) following the
194 manufacturer's protocol. RNA was polyA-selected and the libraries were prepared using the
195 Illumina TruSeq RNA Sample Preparation Kit, v2. The RNA was sequenced at the Beijing
196 Genome Institute (BGI; Shenzhen, Guangdong, China) on an Illumina HiSeq2000 sequencer
197 generating 91 basepair (bp) paired-end reads. For detailed descriptions of the methods used for
198 the transcriptome assemblies, read mapping, identification of open reading frames, candidate
199 gene annotations and gene family annotations, see the 'Transcriptomics' section of the
200 Supporting Information.

201

202 *Estimates of species interactions*

203 We used two approaches to estimate the strength of interactions between species in
204 biculture. First, we used the densities of algae at the final time point of the experiment to
205 compare steady state densities of each species in biculture to those in monoculture:

$$206 \quad RDi = Di_{\text{biculture}} / Di_{\text{monoculture}} \quad [1]$$

207 where RDi is the relative density of species i , $Di_{\text{biculture}}$ is the cell density of species i in biculture
208 (cells/mL), and $Di_{\text{monoculture}}$ is the density of species i in monoculture. Due to the substitutive
209 design of our experiment, the expected relative density for each species in biculture was 0.5,
210 assuming that each species has the same impact on an individual of another species as it has on
211 itself. The relative density total of the biculture is then the sum of each species' individual
212 relative density:

$$213 \quad RDT = \sum RDi \quad [2]$$

214 The expected RDT, given that species have the same impact on others as they do on themselves,
215 is 1. An $RDT < 1$ indicates competition, and that interspecific interactions are stronger than
216 intraspecific interactions for at least one species. An $RDT > 1$ indicates that interspecific
217 competition is weaker than intraspecific competition for at least one species, which occurs when
218 species display niche partitioning or facilitation.

219 Second, we estimated species interactions by fitting Lotka-Volterra competition models
220 to the time-series of each bottle to estimate interaction coefficients. First, we estimated each
221 species' maximum growth rate (r) and carrying capacity (K) by fitting the time-series cell density
222 counts from the 3 replicate monocultures to a logistic growth equation:

$$223 \quad dI/dt = I \cdot r_i \cdot (K_i - I) / K_i \quad [3]$$

224 where I is the density of species i in natural units, r_i is the maximum intrinsic growth rate of the
225 population of species i , and K_i is the carrying capacity, or the density of species i at steady state.
226 We then used the estimates of r and K for each species grown in monoculture to populate
227 parameters of the Lotka-Volterra model for bicultures:

$$228 \quad dI/dt = I \cdot r_i \cdot ((K_i - I - \alpha_{ij} \cdot J) / K_i) \quad [4]$$

$$229 \quad dJ/dt = J \cdot r_j \cdot ((K_j - J - \alpha_{ji} \cdot I) / K_j) \quad [5]$$

230 In this model, α_{ij} and α_{ji} are the interaction coefficients, which represent the per capita impact of
231 species j (i) on an individual of species i (j). For further details of the model-fitting procedures
232 and parameter estimates, as well as examples of the model fits (Fig. S2), see the 'Estimates of
233 species interactions' section of the Supporting Information.

234

235 *Statistical analysis*

236 In order to estimate gene expression similarity between pairs of species (hereafter
237 abbreviated 'GES') we estimated Spearman rank correlations (ρ) between the TPM values
238 (transcripts per kilobase million –i.e. read counts normalized for read length (Wagner et al.,
239 2012)) for the two species in each biculture bottle across all commonly-expressed genes with
240 PANTHER IDs. We also estimated the average of this correlation among all 9 pairwise
241 combinations of the 3 replicate monocultures of both species in a given biculture. In calculating
242 the GES, we considered only genes that were expressed by all species in monoculture (i.e.
243 commonly-expressed genes) so that it would be possible to estimate the level of gene expression
244 similarity among species pairs; it is impossible to compare levels of gene expression for genes

245 not occurring in all species. Lastly, we estimated the log fold change (logFC) of each PANTHER
246 ID in biculture relative to monoculture for each species, and estimated the correlation
247 coefficients of these fold changes for the two species in each biculture bottle. This is a measure
248 of how similarly two species modified their gene expression in biculture relative to monoculture.

249 We then tested whether measures of GES were correlated with variation in phylogenetic
250 distance (PD), species interactions strength (interaction coefficients and RD_{1s}), and coexistence.
251 We estimated long-term coexistence by simulating the Lotka-Volterra model forward for 100
252 days (50 model discrete-time steps) and determining whether both species had positive densities
253 at the end of the model simulations (coexistence = 1, competitive exclusion = 0). We tested
254 whether gene expression correlations were a significant predictor of the likelihood of coexistence
255 and positive species interactions (i.e. negative interaction coefficient = 1, positive interaction
256 coefficient = 0) using logistic regression.

257 Many expressed genes may have little to do with ecological niche differentiation,
258 competitive abilities or facilitation, and may simply be ‘house-keeping’ genes. As a result, we
259 specifically aimed to identify a number of candidate genes that we hypothesized *a priori* to be
260 involved in competitive or facilitative interactions in algae. These included genes related to the
261 ability of algae to compete for nutrients, light, and trace elements, as well as genes that may be
262 related to facilitative interactions via the cross-feeding of sugars and vitamins. While it is
263 generally thought that green algae are solely autotrophic, some green algae have been observed
264 to benefit from mixotrophic carbon metabolism (Tanoi et al., 2011, Gautam et al., 2013, Li et al.,
265 2014) and/or vitamin supplementation (Croft et al., 2006, Giovannoni, 2012). These genes
266 included: 1) Carbonic anhydrase, 2) Iron permease, 3) Light harvesting complexes A & B
267 (LHCAB), 4) Glutamate semialdehyde transferase (GSA), 5) Nitrate reductase, 6) Nitrate
268 transporter, 7) Nitrite reductase, 8) Nitrite transporter, 9) Nitrogen assimilation regulatory protein
269 (NARP), 10) Nitrogen regulatory protein (NRP), and 11) Phosphate transporter. Gene families
270 related to sugar metabolism included: 12) Glucose, 13) Mannose, and 14) Succinate. Gene
271 families related to vitamin production or metabolism included: 15) Biotin B7, 16) Cobalamin
272 B12, and 17) Thiamine B1. When multiple gene sequences were identified within a given gene
273 family, TPM values within the gene family were summed across all genes (see ‘Candidate gene
274 annotation’ in the Supporting Information). We then tested whether expression levels of 17 gene
275 families were able to predict relative density across all species and species combinations using

276 Mantel tests, and across pairwise combinations for a particular focal species using Spearman
277 Rank correlations.

278 We were also interested in determining whether species that experienced facilitation or
279 overyielding were modulating the expression of genes with different gene functions than those
280 species that experienced competition or underyielding. In order to better understand the putative
281 functions of the gene families that were significantly up- or downregulated under different
282 ecological scenarios, we performed a differential expression analysis and mapped the identified
283 genes back to Gene Ontology (GO) terms (for details, see 'Functional annotations of
284 differentially regulated genes' in the Supporting Information). We split the dataset into two, non-
285 mutually exclusive sets of binary ecological categories: first among species that displayed
286 overyielding ($RY_i > 0.5$) versus underyielding ($RY_i < 0.5$), and separately among species that
287 experienced competition ($\alpha_{ij} > 0$) versus facilitation ($\alpha_{ij} < 0$). In total, we created 8 comparisons
288 for each GO category: 2 types of interaction coefficients + 2 types of relative density outcomes,
289 each crossed by 2 types of gene modulation (upregulated or downregulated). Using these
290 comparisons, we aimed to identify the functional differences among differentially regulated
291 genes in our dataset which were uniquely associated with different population-level responses to
292 growth in biculture (i.e. overyielding versus underyielding, or competition versus facilitation).

293 Results

294 Results of our study are consistent with the prediction that more distantly related species
295 are more divergent in their patterns of gene expression. Gene expression was positively
296 correlated for all species pairs, often quite strongly (note that all GES measures were > 0 , Fig. 1).
297 However, the magnitude of these positive correlations tended to decline as phylogenetic distance
298 among species pairs increased (P1, Fig. 1a, $\rho = -0.27$, $P = 0.02$). Although we were primarily
299 interested in gene expression among species when they were interacting, species can also differ
300 in gene expression intrinsically (i.e. in monoculture), or may differ in how they up- or
301 downregulate gene expression in biculture relative to monoculture (estimated as the log fold
302 change in TPM, 'logFC'). Therefore, we also tested how phylogenetic distance was related to the
303 GES of species grown in monoculture and to the GES of logFC. We observed the same general
304 trend between phylogenetic distance and GES, regardless of whether we looked at the GES
305 across monocultures of species pairs (Fig. 1b, $\rho = -0.35$, $P = 0.07$), the GES of the logFC of
306 species grown as bicultures ($\rho = -0.28$, $P = 0.01$), or the similarity of expression of just the

307 candidate genes in biculture ($\rho = -0.21$, $P = 0.07$) or monoculture ($\rho = -0.18$, $P = 0.36$). This set
308 of analyses indicates that, regardless of the conditions in which gene expression was measured,
309 or the particular estimate of gene expression that was used, more distantly related species had
310 greater differences in their patterns of gene expression than more closely related species.

311 Contrary to our second prediction, when species were more similar in gene expression
312 (higher values of GES), competition between them was weaker (Fig. 2 top panels), and for other
313 species facilitative interactions became more common (Fig 2 bottom panels). Increasing GES
314 was associated with a decline in interaction coefficients estimated from Lotka-Volterra models
315 fit to population dynamics (Spearman rank correlation of GES and α_{ij} for: *Closteriopsis*
316 *acicularis* (Fig 2a, $\rho=-0.72$, $P<0.01$), *Tetraedron minimum* (Fig 2b, $\rho=-0.49$, $P=0.04$),
317 *Selenastrum capricornutum* (Fig 2c, $\rho=-0.45$, $P=0.05$), and *Staurastrum punctulatum* (Fig 2d,
318 $\rho=-0.79$, $P<0.01$), non-significant correlations not shown). This trend was also supported when
319 we investigated GES across the transcriptome for species grown separately in monoculture, or
320 when we investigated the GES of candidate genes in biculture or in monoculture (Table 1). This
321 again indicates that regardless of whether we consider a large part of the transcriptome or only a
322 set of genes presumed to be important in species interactions, and regardless of whether we
323 investigated gene expression in biculture or in monoculture, species with more similar patterns of
324 gene expression tended to show weaker competition and in some cases, facilitation. Altogether,
325 13 of the 14 significant correlations between GES and interaction strength were negative, which
326 is significantly greater than expected by chance ($\chi^2 = 10.29$, $P<0.01$).

327 Contrary to our third prediction, we found that GES was also associated with a greater
328 likelihood of coexistence among species pairs. This is illustrated in Fig 3, which shows species
329 pairs in which one or both species had a negative interaction coefficient (red dots), indicating
330 that at least one species benefited from the presence of another species (i.e. facilitation). GES
331 was a significant predictor of both the likelihood of positive species interactions ($\beta = 9.60$, $P =$
332 0.005), as well as the probability of coexistence ($\beta = 9.36$, $P = 0.006$).

333 Instead of being encoded by similarity in expression levels across multiple genes, it is
334 possible that interaction strengths are determined by the expression of individual, functionally
335 important genes. Indeed, when we investigated whether the expression levels of each particular
336 candidate gene were correlated with the interaction strengths of each individual species across
337 bicultures, we found that almost all candidate gene families were negatively correlated with the

338 magnitude of the interaction coefficients of at least one of the eight species (Table 2). Of the 32
339 significant correlations between gene expression and species interaction strength, 30 were
340 negative, which is significantly greater than expected from chance (Table 2, $\chi^2 = 24.5$,
341 $P < 0.0001$). This result indicates that the expression of candidate genes tended to be negatively
342 associated with species interaction strengths overall, indicating weaker competition and more
343 frequent facilitation. When we considered correlations between expression of candidate gene
344 families and RD_i s for individual species (Supporting Information Table S2), 52 of 56 significant
345 and marginally non-significant correlations were positive ($\chi^2 = 41.14$, $P < 0.01$), again indicating
346 that candidate gene expression similarity was generally associated with weaker competition and
347 more frequent facilitation. We found that expression levels of all of the candidate gene families
348 except nitrite reductase and cobalamin were significant predictors of RD_i across species and
349 species combinations (Fig. 4, Supporting Information Table S2). Both the frequency of
350 overyielding ($RD_i > 1$) and the frequency of facilitation ($\alpha_{ij} < 0$) increased as expression levels of
351 the candidate gene families increased (two left most columns in Fig 4).

352 Finally, to identify other potential genes and gene families that may correlate with species
353 interaction strengths, we searched for genes whose expression patterns were differentially
354 regulated in species experiencing different types of interactions. We referenced these differently
355 regulated gene families against the GO annotation database and found 28 Molecular Process
356 (level 3) GO annotations. The majority of these gene functions were differentially regulated in
357 the same fashion (both up or both down) regardless of whether the species experienced
358 competition or facilitation, or whether they experienced over- or underyielding (Fig. S3, pluses
359 and minuses are black and on the same side of the zero line). This suggests that the majority of
360 differentially expressed genes were not contrastingly regulated in a different fashion in species
361 experiencing different types of species interactions, i.e. competition or facilitation. However, six
362 of the 28 Molecular Function annotations were either upregulated when species experienced
363 competition and underyielding but were downregulated when species experienced facilitation
364 and overyielding, or vice versa (annotations are bolded in the legend of Fig. S3, and are indicated
365 by red plus and minus signs being on opposite sides of the zero line). Because these GO
366 annotations were contrastingly regulated among species experiencing different types of
367 interactions, these gene functions may be involved in determining species interaction strengths.
368 Species experiencing facilitation and overyielding tended to upregulate gene functions generally

369 associated with transcription (e.g. DNA/RNA binding molecules) and energy metabolism (Fig.
370 S3, GO annotation #17, #26 and #27). More specifically, annotations for these GO terms, using
371 the AmiGO2 portal (amigo.geneontology.org) and restricting search results to only those derived
372 from Viridiplantae and with experimental evidence for gene function, included Ribulose-1,5-
373 biphosphate carboxylase/oxygenase (RuBisCo), a key enzyme in the Calvin cycle, as well as
374 pyruvate dehydrogenase kinase and succinate-CoA ligase, enzymes involved in the production of
375 Acetyl-CoA and the Citric Acid Cycle. By contrast, species experiencing competition and
376 underyielding tended to upregulate genes associated with molecular transport, both within the
377 cell and across cell membranes (Fig. S3, GO annotation #7, #21 and #22). For example, GO
378 terms 0022857 and 0022892 (Fig S3, #21 and #22) identified as highly abundant in cultures
379 experiencing negative ecological interactions (competition or density under-yielding) contained
380 annotations to nitrate, ammonium, sugar, silicon, magnesium, and other metal transporters.

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381 Discussion

382 In this study, we investigated whether patterns of gene expression among freshwater
383 green algae tend to diverge over evolutionary time, and in turn, whether gene expression
384 similarity among species predicts the type, strength and outcome of species interactions in terms
385 of coexistence. Consistent with our first prediction and with previous studies on plants and
386 mammals (Yang and Wang, 2013, Brawand et al., 2011), we found that as species diverge from
387 one another along a molecular phylogeny, their similarity in gene expression tends to decline.
388 This finding holds regardless of whether we investigated similarity of genes expressed among
389 species pairs in monoculture or biculture, and whether we considered all genes or just genes
390 thought to be responsible for species interactions. Contrary to our second prediction, as gene
391 expression similarity increased, interaction strengths tended to decline, indicating weaker
392 competition and more frequent facilitation. Moreover, counter to our third prediction, species
393 with greater similarity in gene expression tended to be more likely to coexist.

394 A fundamental assumption of our original hypothesis is the idea that as species' gene
395 expression diverges over evolutionary time, greater differences in gene expression cause species
396 to become more ecologically niche differentiated, which weakens the impact of competition and
397 enables coexistence. This idea, sometimes referred to as “phylogenetic limiting similarity”,
398 “phylogenetic niche conservatism”, or “evolutionary character displacement”, has been widely
399 supported and adopted in both ecology and evolution (Violle et al., 2011, MacArthur and Levins,
400 1967, Pfennig and Pfennig, 2009, Davies et al., 2007, Grant and Grant, 2006, Schluter, 2000).
401 However, evidence for these hypotheses is not universal (Kraft et al., 2015, Kunstler et al., 2012,
402 Best et al., 2013, Venail et al., 2015), and in particular they are unsupported for freshwater green
403 algae (Narwani et al., 2013, Venail et al., 2014, Fritschie et al., 2014, Naughton et al., 2015,
404 Alexandrou et al., 2015). Our findings here support the opposite trend: while more distantly
405 related species have greater differences in gene expression, species with greater similarity in
406 gene expression are more likely to experience weakened competition and coexistence. Clearly
407 these hypotheses are then either incorrect or incomplete for the species and interactions
408 investigated here. While niche differences among species are necessary to mitigate the negative
409 influence of competitive interactions and stabilize long-term, stable coexistence (Chesson, 2000,
410 Narwani et al., 2013, Adler et al., 2007), contemporary coexistence theory tells us that the
411 outcome of competition ultimately depends on a balance between two things – 1) relative fitness

412 differences among species, which define their competitive inequalities and lead to competitive
413 exclusion, and 2) their niche differences, which overcome competitive inequalities and stabilize
414 coexistence (Chesson, 2000, Narwani et al., 2013, Godoy et al., 2014, Adler et al., 2007). If
415 transcriptomic differences accumulated over evolutionary time contribute more on average to
416 relative fitness differences than niche differences, then they would tend to limit coexistence, not
417 promote it (Hillerislambers et al., 2012, Mayfield and Levine, 2010, Godoy et al., 2014). In this
418 paper however, we did not directly estimate niche and fitness differences, and therefore we
419 currently do not have evidence to directly support this hypothesis, but it is consistent with our
420 results. Furthermore, our data suggest that similarity in expression across the many shared genes
421 in the transcriptomes of these species are important for coexistence, and not just the expression
422 levels of a few candidate genes. This may lend support to the idea that some phenotypes, i.e.
423 those related to ecological fitness, are “degenerate” or generated by multiple molecular or
424 genetic pathways rather than deterministically by single pathways (Greenspan, 2012).

425 Niche differences are necessary in order for competing species to show long-term stable
426 coexistence, and because our analysis shows that competition weakens with gene expression
427 similarity, and not differences, the transcriptomic basis of niche differentiation must lie either in
428 the expression of genes that were excluded from our analysis (i.e. non-commonly expressed
429 genes), or in the expression of particular genes or gene families with particular functions (e.g.
430 Alexander et al., 2015). It has been previously proposed that many genes in the genome are
431 genes that all species need to express in order to survive and reproduce in a given environment –
432 termed the “core genome” (Cordero and Polz, 2014). These genes are likely to encode essential
433 metabolic and house-keeping functions (Cordero and Polz, 2014). Similarity in the expression of
434 these genes would reflect similarity in the ecological fitness of species in this environment.
435 Genes related to niche differentiation may then be rare (i.e. not observed in all species or
436 populations), part of the “flexible genome” (genes that display turnover in response to local,
437 negative frequency-dependent selection), and would allow species to evolve unique phenotypes
438 and functionalities over time (Cordero and Polz, 2014). This possibility has already been
439 supported for some microbial taxa (Cordero and Polz, 2014), but not yet algae. Unfortunately,
440 logistical constraints in our study limited further investigation of genes whose expression were
441 not detected in all species. We were not able to distinguish low-level expression from the
442 complete absence of expression because we did not implement RNA spikes in the sequencing

443 experiment (they come at the high cost of sequencing depth of actual samples). However, our
444 analysis of genes that were differentially regulated in bicultures did identify particular gene
445 functions that were contrastingly regulated in competition and facilitation (Fig. S3). This analysis
446 lends some support to the idea that niche differences and facilitative interactions may be
447 determined by the expression of a limited number of genes and gene families, rather than by
448 differentiation of expression over the whole transcriptome.

449 One of the more surprising and interesting results of our study is that many algal species
450 experience facilitation in biculture, and that facilitation was associated with a greater likelihood
451 of coexistence. Facilitation does not necessarily lead to coexistence in the Lotka-Volterra model
452 of competition, although it has been shown that positive species interactions can increase the
453 likelihood of coexistence among species in more explicit models of resource competition (Gross
454 2008). Consistent with estimates from prior studies (Venail et al., 2014, Fritschie et al., 2014),
455 almost a third of all possible species interactions resulted in an increase in density at steady state,
456 relative to monoculture. Despite their prevalence, facilitative and co-operative interactions are
457 understudied in phytoplankton, with the vast majority of theory and empirical research in algal
458 ecology being focused on competitive and predatory interactions (Tilman, 1982, Huisman and
459 Weissing, 1995, Schippers et al., 2001, Passarge et al., 2006, Benincà et al., 2009, Litchman and
460 Klausmeier, 2001, Klausmeier et al., 2004, Litchman and Klausmeier, 2008). As a result, little is
461 known about the mechanism by which facilitative interactions might occur. In this study, we
462 identified several molecular process gene functions that were preferentially up- or downregulated
463 in different categories of ecological interactions. These gene functions provide clues as to how
464 competitive and facilitative interactions differ at the molecular level. In particular, transporter
465 gene transcripts for a variety of nutrients including nitrate, sugars, and other micronutrients were
466 highly abundant in cultures experiencing negative ecological interactions, consistent with the
467 notion that these species are competing for inorganic resources. By contrast, cultures
468 experiencing positive interactions expressed a higher abundance of genes associated with the
469 core cellular metabolism (e.g., the Citric Acid Cycle) and carbon fixation through the Calvin
470 cycle, and a relatively low abundance of genes associated with the acquisition of nutrients. This
471 suggests that facilitated and overyielding species generate growth and yield benefits from a boost
472 in core metabolism.

473

474 *Caveats and suggestions for future work*

475 Our study offers new insight into the transcriptomic changes that are associated with
476 phytoplankton species interactions and coexistence; but the study is not without limitations. First,
477 for methodological reasons, our transcriptome-wide analysis of gene expression only allowed
478 comparisons of genes that were expressed in all monocultures. Future work would benefit from
479 the use of RNA spikes to determine detection limits and enable comparisons of absolute
480 expression levels. This would allow an investigation of the relative roles of the expression of
481 shared genes versus uniquely-expressed genes in determining species interactions, and in
482 particular niche differentiation and facilitation among species. Second, we measured gene
483 expression at the final time point of the competition experiment, however, tracking changes in
484 gene expression through time would allow comparisons of gene expression between the
485 exponential growth phase, the onset of density-dependence, and the full effects of competition.
486 Gene expression changes over time would allow comparisons of molecular basis of resource-
487 unlimited growth versus density-dependent growth in the presence of conspecific versus
488 interspecific neighbors. Third, it is important to keep in mind that our inference of gene functions
489 were, by necessity, based on transitive annotations. That is, we inferred the functions of genes of
490 interest by finding a gene with similar sequence (a homologous sequence) that is already
491 annotated. These reference annotations may also have been inferred in a similar way and so on,
492 leading to a daisy chain of annotations (Iliopoulos et al., 2003). At some point along the chain,
493 the gene's function was tested in the lab, but it may have been in a very distantly related
494 organism. Final validation and confirmation of gene functions in any particular organism must
495 still be achieved using gene knock-out or knock-down experiments. Fourth, as always, future
496 studies would benefit from a greater biological replication. We were limited to three replicates in
497 this study, but due to the plasticity and variability in gene expression responses, we recommend
498 up to 10 biological replicates in the future to increase statistical power and the insure against
499 library failure.

500 Lastly, our study is obviously limited in its scale and complexity. While the use of
501 microcosms allowed us to control the environment and directly investigate associations between
502 species interactions and patterns of gene expression, the homogenous, artificial and simplified
503 nature of our study limits our broader conclusions about the occurrence or prevalence of similar
504 phenomena in nature. For example, does gene expression similarity predict interaction strength

505 or coexistence of three or more species? Does the relationship between gene expression
506 similarity and coexistence change in patchy, heterogeneous or fluctuating environments? All but
507 one of the species pairs in our study have been known to co-occur in natural lakes across the U.S.
508 according to the 2007 EPA National Lakes Assessment Survey (Naughton et al., 2015), but it is
509 possible that spatial and temporal heterogeneity in climate and resource availability are more
510 important in these natural systems than the strength of species interactions. Species gene
511 expression responses to natural environmental variation may also be important in determining
512 interaction strength and coexistence (e.g. Alexander et al., 2015). The role of temporal and
513 spatial biotic and abiotic environmental complexity on gene expression, species interactions and
514 coexistence is an exciting area for future follow-up research.

515 In conclusion, in contrast to the widely-held notion that phenotypic similarity leads to
516 competitive exclusion, we found that similarity in gene expression among species across the
517 transcriptome tends to lead to weaker competition, more likely facilitation and greater
518 coexistence. This suggests that the expression of the majority of commonly-expressed genes is
519 required for basic survival and fitness in a particular environment, while niche differences and
520 facilitative interactions may be encoded by just a few, or possibly rare genes. We identified gene
521 functions to be investigated directly for their role in determining different types of species
522 interactions in the future.

523 Authors' contributions

524 AN, KJF, CD, THO and BJC designed the study, AN, KJF and BJC performed the research,
525 MA performed the phylogenetic analysis, BB performed the transcriptomic analysis, AN
526 performed the modeling and statistical analysis, AN wrote the manuscript, and all authors
527 contributed substantially to the editing.

528

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537

538 Data accessibility statement

539 The data and pipelines supporting the transcriptomics results in this paper are available on
540 Github (<https://github.com/bastodian>). All other data and scripts are available on the Data
541 available from the Dryad Digital Repository (Narwani et al., 2017).

Tables

Table 1. Effects of gene expression similarity (GES) on interaction coefficients. Values in each cell indicate the size of the Spearman rank correlation coefficient (ρ) between GES and the interactions coefficients (α_{ij}) of individual species in biculture. Negative correlation coefficients with α_{ij} s indicate that GES was negatively associated with the strength of competition and, in some cases, positively associated with facilitation (negative α_{ij} s). GES values were measured either in monoculture or in biculture, and either across the shared transcriptome, or only the 17 candidate genes. † indicates the correlation is significant with $0.05 < P < 0.1$; * indicates the correlation is significant at $P \leq 0.05$.

Species/ Gene expression correlation	All genes in biculture	All genes in monoculture	Candidate genes in biculture	Candidate genes in monoculture
<i>Chlorella sorokiniana</i>	0.11	-0.25	-0.47*	-0.52*
<i>Closteriopsis acicularis</i>	-0.72*	-0.76*	0.21	0.37
<i>Cosmarium turpinii</i>	0.23	0.16	0.42	0.59*
<i>Pandorina charkowiensis</i>	-0.27	-0.14	-0.44*	-0.29

<i>Scenedesmus acuminatus</i>	-0.26	-0.45*	-0.35	-0.69*
<i>Selenastrum capricornutum</i>	-0.45*	0.009	0.22	-0.15
<i>Staurastrum punctulatum</i>	-0.72*	-0.31	-0.009	-0.38[†]
<i>Tetraedron minimum</i>	-0.49*	-0.41[†]	-0.55*	0.07

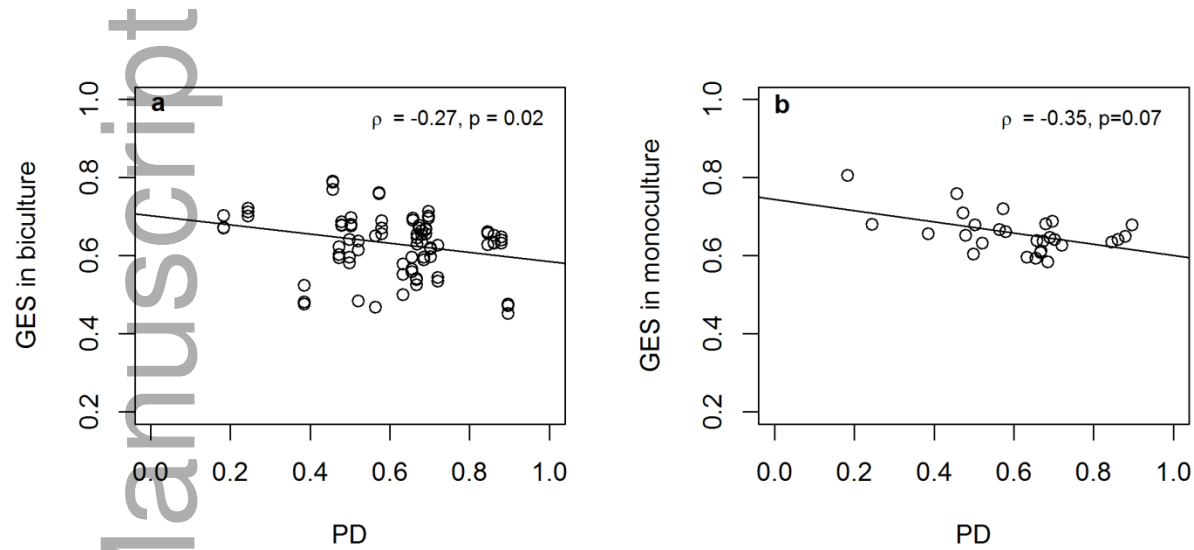
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542 **Table 2.** Spearman rank correlation coefficients between expression levels of individual gene families (TPM) in individual species
543 when in bicultures with the size of their interaction coefficients. The first 11 genes were proposed due to their ability to impact
544 resource acquisition and metabolism. Genes 12-14 were chosen due to their role in vitamin production or metabolism and their
545 potential ability to mediate facilitative interactions. Genes 15-17 were chosen due to their role in organic sugar production or
546 metabolism and their potential ability to mediate heterotrophic / facilitative interactions. † indicates the correlation is marginally non-
547 significant with $0.05 < P < 0.1$; * significant at $P \leq 0.05$.

Gene/ Gene family	Chlorella	Closteriopsis	Cosmarium	Pandorina	Scenedesmus	Selenastrum	Staurastrum	Tetraedron
1. Carbonic Anhydrase	-0.21	-0.12	-0.08	-0.16	0.25	-0.71*	NA	0.14
2. Glutamate Semialdehyde Aminotransferase	NA	-0.21	0.11	NA	-0.72*	-0.51*	0.003	-0.12
3. Iron Permease	0.28	0.26	NA	-0.23	-0.46*	-0.58	NA	0.17
4. Light Harvesting Complex AB	0.59*	0.01	0.02	-0.10	-0.36	-0.28	-0.66*	0.06
5. Nitrogen Assimilation	0.18	0.25	NA	NA	-0.21	NA	NA	0.13

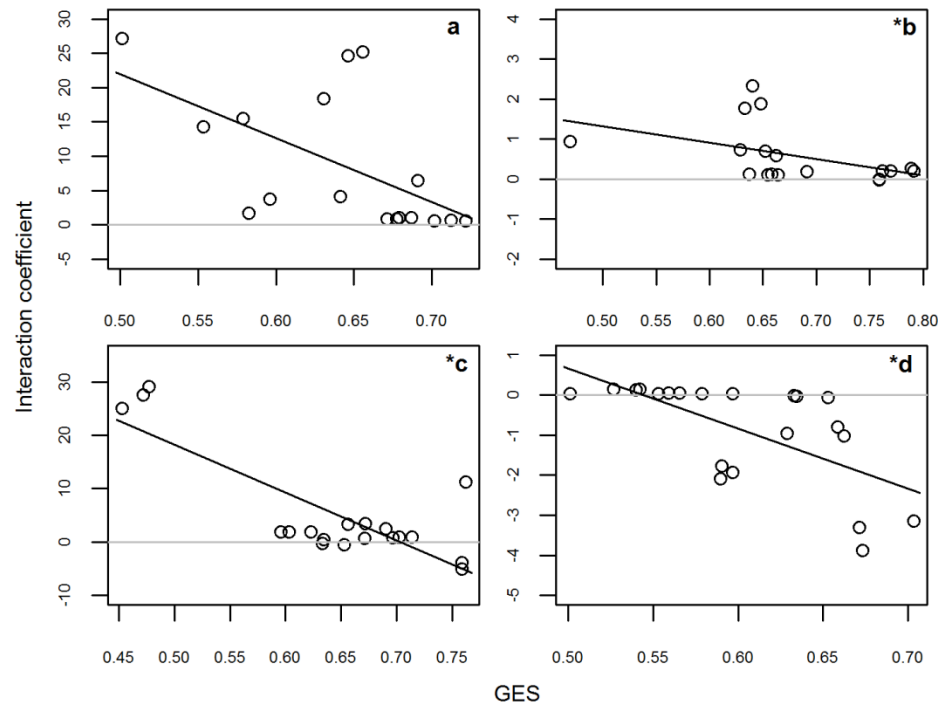
Regulatory Protein								
6. Nitrate Reductase	0.15	0.19	0.24	-0.30	-0.74	-0.52*	-0.46*	-0.06
7. Nitrate Transporter	-0.63*	0.24	0.23	0.12	0.15	-0.45*	-0.50*	0.39
8. Nitrite Reductase	0.19	NA	0.35	-0.560*	NA	-0.50*	-0.53*	-0.37
9. Nitrite Transporter	0.01	0.05	0.26	-0.16	0.04	-0.51*	NA	0.16
10. Nitrogen Regulatory Protein	-0.01	0.24	0.22	-0.14	-0.19	-0.42[†]	-0.01	0.04
11. Phosphate Transporter	-0.11	0.06	0.17	-0.15	-0.47*	-0.53*	-0.05	0.13
12. Biotin, vitamin B7	0.23	0.09	0.20	-0.21	0.01	-0.65*	0.08	0.21

13. Cobalamin, vitamin B12	0.01	-0.21	0.08	-0.38[†]	-0.77*	-0.60*	-0.46*	-0.00
14. Thiamine, vitamin B1	0.08	0.14	0.12	-0.20	-0.80*	-0.57*	-0.43[†]	-0.10
15. Glucose	0.30	0.11	0.19	-0.22	-0.47*	-0.45[†]	-0.25	0.18
16. Mannose	-0.63*	0.22	0.12	NA	-0.48*	-0.68*	-0.20	0.16
17. Succinate	0.41[†]	0.10	0.14	-0.33	0.23	-0.28	0.02	-0.10



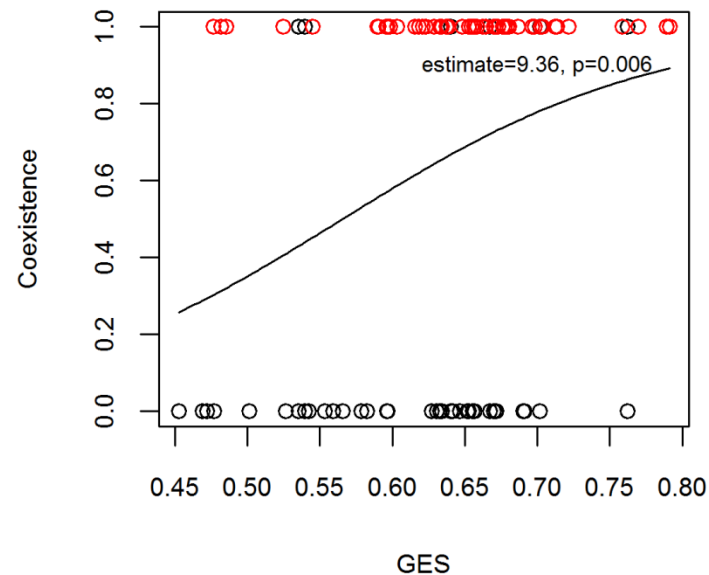
549

550**Fig. 1.** The relationship between phylogenetic distance (PD) among species pairs and the gene expression similarity (GES) of all
551commonly expressed genes across two species in (a) biculture (N=84), and b) monoculture (N=28). Values in each panel are the
552Spearman rank correlations (ρ) and the p-value (p).



553
 554 **Fig. 2.** Species interaction strengths, measured as Lotka-Volterra interaction coefficients (α_{ij}), as a function of gene expression
 555 similarity (GES) across all genes expressed in bicultures. Interaction coefficients indicate the per capita size and direction of the
 556 impact of individuals of another species in biculture on individuals of the focal species relative to the per capita impact of the focal
 557 species on itself. Positive interaction coefficients indicate competitive interactions (a negative effects on steady-state density) and
 558 negative coefficients indicate facilitative interactions (a positive effects on steady-state density). The focal species in each panel is: **a)**
 559 *Closteriopsis acicularis* (N=17), **b)** *Tetradron minimum* (N=18), **c)** *Selenastrum capricornutum* (N=19), **d)** *Staurastrum punctulatum*
 560 (N=21). The horizontal grey line indicates an interaction coefficient value of zero. Above this line, species interactions are
 561 competitive, and below it they are facilitative. In the top two panels, higher values of gene expression similarity are associated with

562 weaker levels of competition, indicated by the decline in the size of the interaction coefficients (all are positive). In the bottom two
563 panels, the interaction coefficients change from positive to negative, indicating a switch from competition to facilitation with
564 increasing GES. Significant correlations between the gene expression correlation coefficients and the competition coefficients at
565 $P \leq 0.05$ are indicated by an asterisk in the panel label. All interaction coefficients were sign-square-root transformed to aid visual
566 interpretation in the figures.



567
568 **Fig. 3.** Coexistence (0=no, 1=yes) as a function of gene expression similarity (GES) across all genes in biculture (N=80). Coexistence
569 was estimated by using fitted interaction coefficients to simulate Lotka-Volterra models forward 100 days or 50 time steps and
570 determining whether both species would have non-zero densities at the end of the simulation. Points in red are species pairs in which

571one or both species had a negative interaction coefficient, indicating that the species benefited from the presence of the other species
572(i.e. facilitation). GES was a significant predictor of both coexistence ($\beta = 9.36$, $P = 0.006$), and the likelihood of positive species
573interactions ($\beta = 9.60$, $P = 0.005$).

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575 **Fig. 4.** Heat map representing natural log-transformed TPM values for the 17 candidate gene families in monocultures and all
576 biculture combinations as well as natural log-transformed relative densities of each species (RDi) in biculture, and the presence (dot)
577 or absence (no dot) of overyielding and facilitation (negative competition coefficients). Gene families which were significant
578 predictors of relative density according to the Mantel tests ($P \leq 0.05$) are indicated in bold font, and the strength of the correlation
579 coefficient is indicated in parentheses.

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