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5 **Running Title:** Molecular Profile of a Spinal Oligodendroglioma

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8 4) Precision Medicine; 5) Receptor, Fibroblast Growth Factor, Type 1; 6) Genes, NF1

9

10 **Abbreviations Key:**

AO	Anaplastic Oligodendroglioma
ATRX	Alpha Thalassemia/mental Retardation syndrome X-linked
ERK	Extracellular signal-Regulated Kinases
HGG	High-Grade Glioma
FGFR	Fibroblast Growth Factor Receptor
IDH	Isocitrate Dehydrogenase
MAPK	Mitogen-Activated Protein Kinase
MEK	MAPK/ERK Kinase
MGMT	O-6-Methylguanine-DNA Methyltransferase
MPNST	Malignant Peripheral Nerve Sheath Tumors
NF1 [non-italicized]	Neurofibromatosis type I

11 **ABSTRACT**

12 Pediatric spinal oligodendrogliomas are rare and aggressive tumors. They do not share the  
13 same molecular features of adult oligodendroglioma, and no previous reports have examined the  
14 molecular features of pediatric spinal oligodendroglioma. We present the case of a child with a  
15 recurrent spinal anaplastic oligodendroglioma. We performed whole exome (paired tumor and  
16 germline DNA) and transcriptome (tumor RNA) sequencing, which revealed somatic mutations in

1 *NF1* and *FGFR1*. This data allowed us to explore potential personalized therapies for this patient and  
2 expose molecular drivers that may be involved in similar cases.

3

#### 4 **INTRODUCTION**

5 Spinal cord oligodendrogliomas are very rare tumors, comprising less than five percent of  
6 spinal cord tumors in all ages.<sup>1-5</sup> In children, spinal tumors are even less common, with spinal gliomas  
7 accounting for only 1-3.5% of all pediatric CNS tumors; only a few cases and one case series of two  
8 spinal oligodendrogliomas have been reported in the pediatric population.<sup>3,5,6</sup> While standard  
9 treatment consists of surgical resection, alkylating chemotherapy, and radiation therapy, there is no  
10 consistently-followed regimen given the rarity of pediatric high-grade spinal oligodendrogliomas.

11 The field of neuro-oncology is increasingly moving toward personalized treatment options  
12 for patients. The molecular analysis of adult gliomas by numerous investigators has resulted in the  
13 identification of several cytogenetic markers that confer varying prognostic benefits and therapeutic  
14 responses.<sup>1,7</sup> However, these features (e.g., 1p/19q co-deletion) are less informative in the pediatric  
15 glioma setting.<sup>8</sup> Furthermore, the molecular features of pediatric spinal oligodendroglioma  
16 specifically have not previously been examined. We present a case of a child with a spinal anaplastic  
17 oligodendroglioma (AO) and discuss our investigation of potential targeted agents using tumor  
18 sequencing data.

19

#### 20 **RESULTS**

21 A three-year-old female presented with a two-month history of neck pain, left leg weakness,  
22 asymmetric gait, and right head tilt. Spinal MRI revealed a 6 cm x 1 cm enhancing intramedullary  
23 mass extending from C4-T4 (**Fig. 1A**). She underwent uncomplicated subtotal resection of the

1 primary tumor. Total resection was avoided due to intimate involvement of the tumor with the  
2 spinal cord. Her gait had improved by one week after surgery. Pathology revealed a grade III AO with  
3 a Ki-67 tumor cell proliferative index of 10-25%. FISH was negative for 1p/19q co-deletion. She  
4 underwent adjuvant chemotherapy with 18 cycles of oral temozolomide, but when a three-month  
5 surveillance MRI suggested tumor progression, temozolomide was re-initiated for nine additional  
6 cycles. Surveillance MRIs remained stable for three years from discontinuation of chemotherapy,  
7 when she developed progressive neck pain and weakness in both legs. Repeat MRI revealed tumor  
8 progression as an enhancing lesion spanning C5-T2, with a nodular enhancing focus at C4,  
9 progression of edema to C2-C3, and a syrinx from the lower cervical spine to the conus (**Fig. 1B**).

10 She underwent subtotal resection of the recurrent tumor and syrinx decompression via  
11 syringo-subarachnoid shunt placement. Lower extremity weakness improved post-operatively with  
12 no new deficits. Recurrent tumor pathology remained AO, with microvascular proliferation and a Ki-  
13 67 of 4%, IDH mutation negative, O-6-methylguanine-DNA methyltransferase (MGMT) promoter  
14 unmethylated, ATRX preserved, and p53 not overexpressed (**Figs. 1C and 1D**). Restaging studies with  
15 brain MRI and CSF cytologic analysis were unremarkable. Total spine MRI two weeks after surgery  
16 showed decreased size of the syrinx and stable residual tumor. She underwent conformal proton  
17 radiotherapy with 50.4 GyE to the resection bed and vertebral bodies, but she subsequently  
18 developed acute radiation necrosis and back pain, which has responded well to steroids and  
19 bevacizumab.

20 At the time of recurrence, the patient was enrolled on PEDS-MIONCOSEQ, a precision  
21 oncology study involving whole exome (paired tumor and germline DNA) and transcriptome (tumor  
22 RNA) sequencing. Clinically-integrated sequencing was performed according to previous published  
23 methodology.<sup>9</sup> Nucleic acid preparation, high-throughput sequencing, and computational analysis  
24 were performed using standard protocols in our sequencing laboratory in the Michigan Center for  
25 Translational Pathology, which adheres to the Clinical Laboratory Improvement Amendments (CLIA).

1 Sequencing revealed a somatic point mutation and three small deletions in *NF1*, consistent  
2 with biallelic inactivation (**Supplementary Table S1**). There was no evidence of germline *NF1*  
3 alterations, skin lesions, or family history suggestive of Neurofibromatosis type I (NF1). Sequencing  
4 also revealed two somatic activating missense mutations in *FGFR1* (**Supplementary Table S2**). No  
5 mutations in *BRAF* were observed, which are recurrently found in some oligodendrogliomas.<sup>10</sup>  
6 Sequencing results were otherwise unremarkable (**Supplementary Fig. S1**). Results of her tumor  
7 sequencing were discussed in our multidisciplinary CNS precision medicine tumor board –  
8 teleconferenced with clinicians at multiple children’s hospitals – which recommended adjuvant  
9 therapy with a fibroblast growth factor receptor (FGFR) inhibitor and/or a MAPK/ERK Kinase (MEK)  
10 inhibitor, although she was not eligible for any clinical trials using these agents at that time. Therapy  
11 with the FGFR inhibitor ponatinib (off-study) is planned as a maintenance therapy upon clinical  
12 improvement.

1 **DISCUSSION:**

2 The prognosis for pediatric high-grade gliomas (HGG) remains dismal despite multimodal  
3 treatment approaches, and the few reports on outcomes for spinal cord HGG's are also  
4 discouraging.<sup>5,11,12</sup> High-grade oligodendrogliomas in any location are uncommon in children and  
5 have unfavorable outcomes as well.<sup>4,11,12</sup> Only four AO's were confirmed among 250 HGG patients  
6 treated on the CCG-945 Children's Cancer Study Group clinical trial, and four non-  
7 glioblastoma/anaplastic astrocytomas were observed among 107 patients with HGG treated on the  
8 ACNS0126 Children's Oncology Group trial.<sup>11,12</sup> Lundar *et al.* followed 35 children with cerebral  
9 oligodendrogliomas and found five- and 10-year overall survival of only 27% and 18%, respectively,  
10 for the anaplastic tumors.<sup>4</sup> The prognosis of the very few reported pediatric spinal AO is also poor;  
11 Merchant *et al.* reported 2 patients with AO among 11 high-grade pediatric spinal tumor patients  
12 who survived only 29 and 39 months, respectively.<sup>5</sup>

13 The molecular features of adult oligodendroglioma are well described. 1p/19q co-deletion is  
14 found in 50-70% of adult gliomas and confers an improved response to chemotherapy and overall  
15 survival.<sup>1,7</sup> However, this high frequency and survival benefit for co-deletion has not been shown in  
16 pediatric gliomas.<sup>4,8,12,13</sup> Similarly, methylation of the MGMT promoter and *IDH1* mutations are only  
17 rarely seen in pediatric HGG.<sup>14</sup> While these markers are helpful in guiding adult oligodendroglioma  
18 therapy, new molecular markers are needed for management of pediatric oligodendroglioma.

19 Molecular analysis of our patient's tumor displayed *NF1* loss-of-function mutations *FGFR1*  
20 activating mutations. Mutations in the tumor suppressor gene *NF1* are classically associated with  
21 *NF1*, a germline disorder characterized by neurofibromas, malignant peripheral nerve sheath tumors  
22 (MPNST's), optic pathway gliomas, and cutaneous findings.<sup>15</sup> *NF1* codes for neurofibromin, which  
23 promotes inactivation of Ras, downregulating the Ras-Mitogen-activated protein kinase (MAPK)  
24 pathway.<sup>16</sup> Loss-of-function mutations in neurofibromin result in up-regulation of the Ras-MAPK  
25 pathway and increased cellular proliferation. Sporadic, *NF1* somatic mutations have been observed

1 by sequencing in many tumor types.<sup>17</sup> Interestingly, patients with a diagnosis of NF1 (germline *NF1*  
2 loss) have a slightly higher incidence of intramedullary spinal tumors.<sup>18</sup> This raises the question of  
3 whether glial precursor cells in the spine are predisposed to malignant transformation with *NF1* loss,  
4 whether somatic or germline.

5 The FGFR family is comprised of five receptor tyrosine kinases that function in wound  
6 healing, angiogenesis, and cellular proliferation through their interaction with fibroblast growth  
7 factors.<sup>19</sup> FGFR1 interfaces with several pathways, including Ras-MAPK.<sup>19</sup> Sporadic *FGFR1* mutations  
8 have been observed in many tumor histologies, including two medulloblastoma, one low-grade  
9 glioma, and three glioblastoma cases.<sup>17</sup>

10 Given the lack of known effective therapies for the recurrent spinal AO in this child and the  
11 molecular leads to the oncogenesis of her tumor from the sequencing, targeted therapies are a  
12 consideration. In particular, inhibition of the Ras-MAPK pathway could be accomplished at the sites  
13 of both observed somatic mutations (**Fig. 2**). This could be done upstream via FGFR1 inhibition (e.g.,  
14 ponatinib), or downstream via MEK inhibition (e.g., trametinib, which is actively being studied in  
15 other *NF1*-deficient tumors).<sup>20</sup>

16 Given that 64% of the sequenced tumor fraction expressed an *FGFR1* mutation and only 20%  
17 expressed alterations in *NF1*, we will initiate ponatinib for this patient. Ponatinib displays moderate  
18 CNS penetration and has published use in the pediatric population.<sup>21</sup> Future combination therapy  
19 with trametinib will be considered if the patient tolerates ponatinib therapy and does not display  
20 objective response. Response will be assessed by determining if the patient shows reduction in  
21 tumor size on surveillance brain imaging (a decrease of <25% of the largest diameters of measurable  
22 lesions and no evidence of new lesions) and remains without worsening tumor-related symptoms.

1 In conclusion, we report genomic data for a case of pediatric spinal AO, a rare and aggressive  
2 tumor. The targetable mutations discovered in this child's tumor point to potential personalized  
3 therapies for her, and suggest molecular drivers that may be involved in similar cases.

4  
5 **CONFLICT OF INTEREST STATEMENT:**

6 The authors report no disclosures or conflicts of interest.

7  
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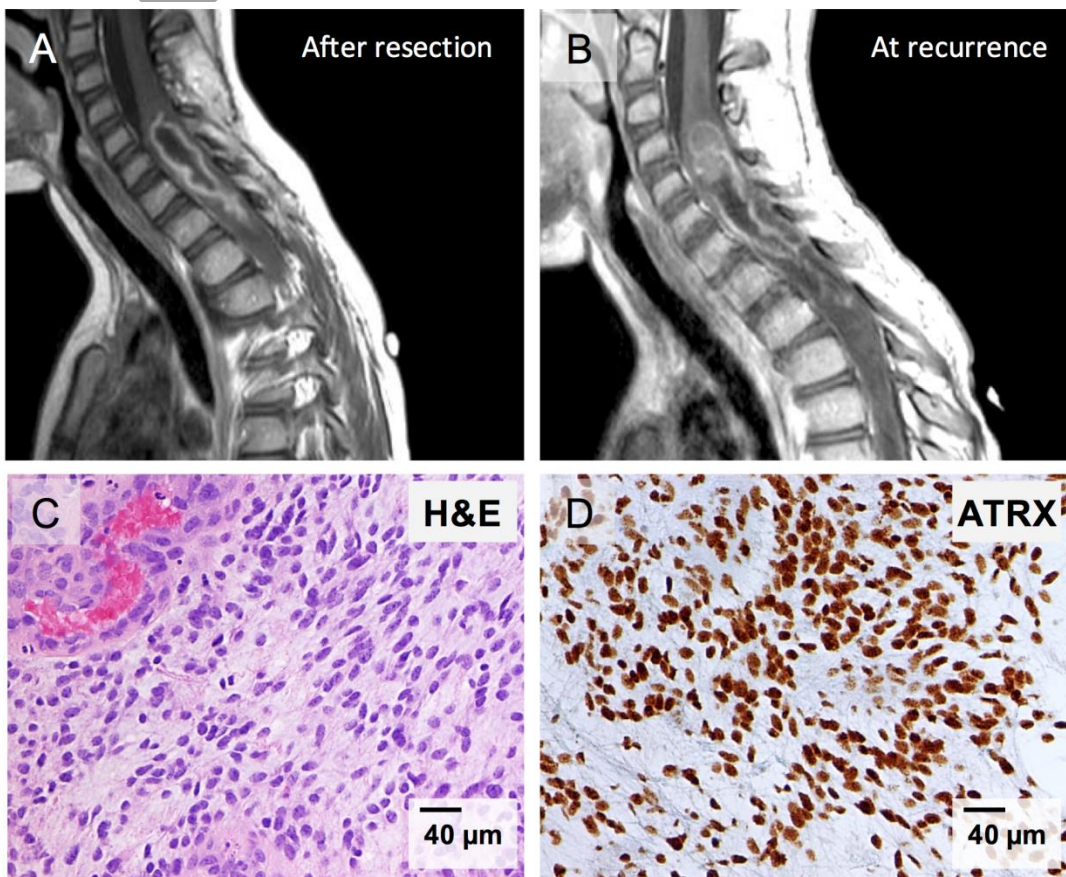
19

20 **LEGENDS:**

21 **Figure 1: Spinal Oligodendroglioma Imaging and Pathology**

22 **1A)** Spinal MRI, sagittal image, T1 series post-contrast, illustrating tumor after initial  
23 resection. **1B)** Spinal MRI, sagittal image, T1 series post-contrast, showing recurrent disease in the  
24 cervical spine, primarily in the C4-T2 region. **1C)** Tumor parenchyma shows histologic findings on

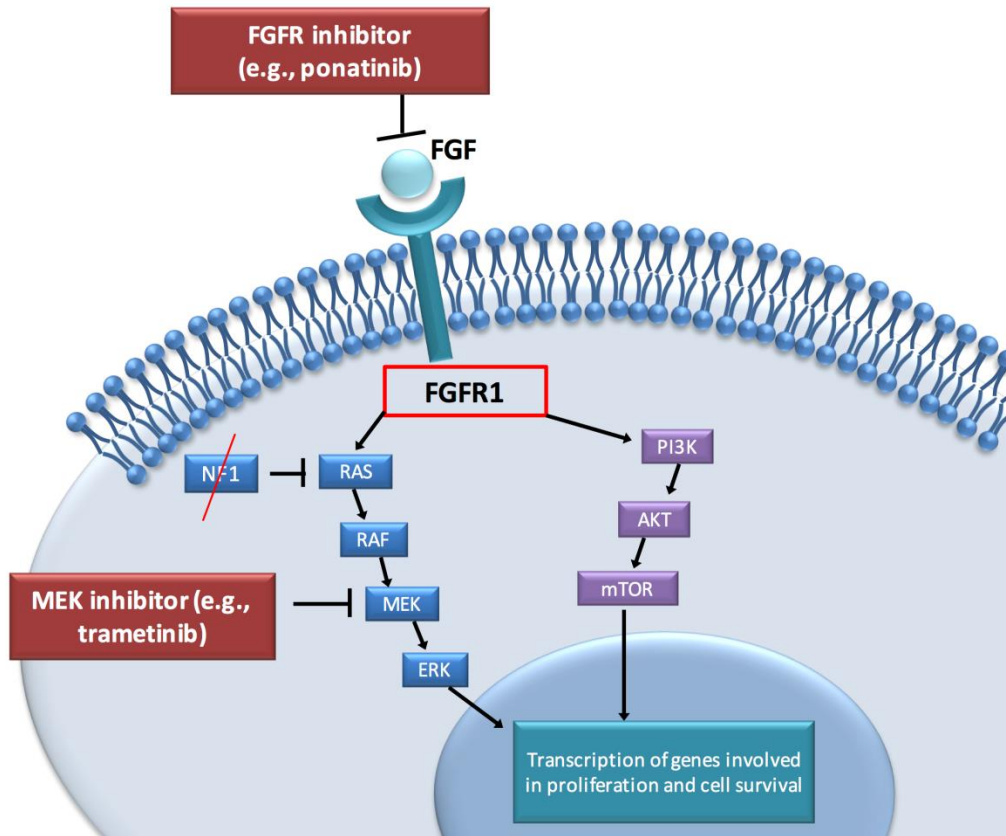
1 hematoxylin and eosin stain consistent with grade III anaplastic oligodendroglioma: focal high  
2 density of round to spindled cells; many cells with perinuclear halos; scattered dark and angulated  
3 anaplastic nuclei; two large areas of microvascular proliferation at the left side of the figure; and  
4 microcysts filled with mucin are prominent near the lower right corner of the figure. **1D)** ATRX  
5 protein is preserved in nuclei of vascular cells and neoplastic cells (ATRX loss is frequently seen in  
6 *ATRX*-mutated astrocytoma).



7

## 8 **Figure 2: Proposed Targeted Inhibitors of the Ras-MAPK Pathway**

9 Neurofibromin loss-of-function and FGFR1 activation in this tumor are the two identified  
10 potential drivers of oncogenesis, and inhibitors of either pathway are attractive targeted therapy  
11 agents. Upstream inhibition of the Ras-MAPK pathway at the level of FGFR1 can be achieved with  
12 the tyrosine kinase inhibitor, ponatinib. Downstream inhibition of the Ras-MAPK pathway can be  
13 achieved with the MEK inhibitor, trametinib.



1

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1 **Supplementary Figure S1: Tumor Copy Number Profile and LOH Plot**

2 Copy number profile revealed no remarkable change in the genome, with absence of copy  
3 gains, copy losses, and copy neutral loss of heterozygosity (LOH).

4

5 **Supplementary Table S1: Sequence Analysis—Observed Mutations and Indels**

6 Somatic mutations and insertions/deletions observed for *FGFR1* and *NF1* on chromosomes 8  
7 and 17, respectively, are presented. 32% of the tumor variant fraction contained a TTT→CTA base  
8 change in the *FGFR1* gene, and 10% of the tumor variant fraction contained a C→G base change in  
9 the *NF1* gene. Additionally, in *NF1*, a frameshift deletion and two non-frameshift deletions were  
10 observed at 3%, 2%, and 3% tumor variant frequency, respectively.

11 Abbreviations: AA: Amino Acid; FPKM: Fragments Per Kilobase of transcript per Million  
12 mapped reads; COSMIC: Catalogue of Somatic Mutations in Cancer; fs: frameshift, del: deletion.

13

14 **Supplementary Table S2: Sequence Analysis Summary**

15 Notable mutations were observed in *FGFR1* and *NF1*, amounting to probable biallelic  
16 inactivation of *NF1* and activation of the FGFR1 protein, but otherwise no remarkable genomic  
17 changes or germline findings were observed.