

**Sex differences modulating serotonergic polymorphisms implicated in the mechanistic pathways of risk for depression and related disorders:  
A mini-review.**

*Sex Modulation of Genes in Depression*

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**Abstract:**

Despite consistent observations of sex differences in depression and related emotional disorders, we do not yet know how these sex differences modulate the effects of genetic polymorphisms implicated in risk for these disorders. In this Mini-Review, we focus on genetic polymorphisms of the serotonergic system to illustrate how sex differences might modulate the neurobiological pathways involved in the development of depression. We consider the interacting role of environmental factors such as early life stress. Given limited current knowledge about this topic we highlight methodological considerations, challenges, and guidelines for future research.

**Significance Statement:**

Depression and related disorders, such as anxiety, conduct disorder, and suicidality, exhibit sex differences. These differences stem from underlying biological differences in men and women, including response to early life stress, sex chromosome expression, and hormonal control. In this Mini-Review, we consider how these known differences might alter the effects of genetic polymorphisms of the serotonergic system. In doing so, we highlight the importance of considering gene-sex and gene-sex-environment interactions in the study of depression and related conditions.

Accepted Article

## Introduction

Major depressive disorder is an issue of global concern. With an estimated lifetime prevalence of 10%, depression is a leading cause of disability in the US and worldwide (Demyttenaere et al., 2004, Kessler et al., 2003, Ustün et al., 2004). Depressed individuals have a 3.4% suicide risk, compared to 0.017% in the general population (Blair-West et al., 1999). Depression frequently co-occurs with other medical and psychiatric conditions, including a 51.2% comorbidity with anxiety, which is associated with slower recovery, higher rates of recurrence, and increased disability (Hirschfeld et al., 2001).

Despite the impact of depression, the development of preventative and remedial interventions is limited by our understanding of the multiple interacting biobehavioral pathways that contribute to the pathogenesis and expression of depression. To address these substantial gaps in knowledge, we might draw on findings regarding sex and genetic polymorphisms as risk factors for depression and related conditions. These findings are relatively consistent, especially compared to the work on biobehavioral factors, and also provide an important foundation for ultimately understanding individual differences in biobehavior.

Heritability data highlight the role of genetic factors in risk for depression and related conditions. Twin studies show that heritability of depression, anxiety, and suicide is estimated at, respectively, 37% (Sullivan et al., 2000), 45% (Stein et al., 2001) and 43% (McGuffin et al., 2010). Single gene variations involved in serotonergic metabolism in particular have been extensively studied for their role in depression. However, these single gene variations may confer depression risk through interactions with environmental factors rather than via their own discrete effects, and these gene-environment interactions may themselves be mediated by sexually dimorphic pathways.

Epidemiological studies have long highlighted sex differences in depression and its related phenomenology. Women have a 21.3% lifetime rate of depression, as compared to 12.7% for men (Kessler et al., 1993). Similarly, women have a higher lifetime rate of generalized anxiety disorder—7.7% as compared to 12.7% for men—as well as higher comorbidity with depression—38.3% as compared to 30% for men (McLean et al., 2011). However, the suicide rate for depressed men is 7% as compared to 1% for depressed women (Blair-West et al., 1999). The heritability of major depression is higher in women (42%) than in men (29%), which suggests modulation of genetic risk by sex (Kendler et al., 2006). Sex differences have also been observed

in the environmental risk factors, such as early life stress (Bale and Epperson, 2015) and in bi-behavioral factors implicated in the mechanisms by which risk for depression is conferred, including personality traits such as neuroticism (Goodwin and Gotlib, 2004) and brain measures such as amygdala reactivity (Williams et al., 2005). Here we focus on the current state of knowledge regarding sex differences in genetic variation implicated in risk for depression and related phenomena.

### **The scope of this review**

Our intention is to provide a focused review and personal view highlighting the potential mechanisms for how sex differences might modulate the effect of genetic polymorphisms in depression and its related conditions (i.e., “gene-sex interactions”), rather than to provide a systematic review of gene-sex interactions. We hope to show the importance of considering sex differences, even when studying the brain at the genetic level.

We focus on major depressive disorder, including also evidence regarding anxiety and externalizing disorders highly comorbid with depression (Hirschfeld et al., 2001) and regarding suicidality given its association with depression (Blair-West et al., 1999). Though we focus our review on the serotonergic system, we do not intend to imply that it is the only system for which gene-sex interactions are relevant to depression and related phenomenology. Rather, we hope this focused review will serve as a template for considering sex differences in other biological genetic pathways implicated in depression.

We propose three potential pathways through which polymorphisms in genes involved in serotonin synthesis, transport, and breakdown may differentially impact behavior as a function of sex. First, we consider sex differences in response to early life stress and the effects of stress on gene-sex interactions involving the serotonin-transporter-linked polymorphic region (5-HTTLPR) in the serotonin transporter gene (*SLC6A4*) which codes for the protein that transports serotonin from the synaptic cleft to the pre-synaptic neuron. Next, we consider the effects of x-linkage, using as an example the monoamine oxidase-A linked polymorphic region (MAOA-LPR) which alters the degradation serotonin and other amine neurotransmitters. Third, we consider how sex hormones interact with gene products, focusing on polymorphisms in two tryptophan hydroxylase isozymes (TPH1 and TPH2) the rate-limiting enzymes in serotonin biosynthesis in the periphery and central nervous system (Zhang et al., 2004). We do not intend to suggest

that these are the only pathways through which gene-sex interactions exert their effect within the serotonergic system, nor that genetic polymorphisms are only modulated by these pathways. Rather, our intention is to illustrate the central role of sex in the biobehavioral effects of polymorphisms involved in the serotonergic system.

For each polymorphism, we have summarized findings of association with depression, its related disorders (anxiety disorders, conduct disorder, and suicide), trait risk factors (neuroticism, harm avoidance, aggression, and impulsiveness), and neurobiological endophenotypes, including amygdala hyperactivation, limbic structure and function, and affective processing (Tables I-III). For all polymorphisms, we included studies with significant gene main effects, or significant gene-environment interactions, in one or both sexes. We classified a finding as showing a sex difference (1) if an allele conferred opposite risk in men and women, (2) if significant main effects emerged only for one sex, or (3) if differing interaction effects emerged. We classified a finding as having no sex difference only if the investigators explicitly tested and ruled out a sex interaction. Studies were classified as having unclear gene-sex interactions if (1) only one sex was studied, (2) sex interactions were not tested in a mixed group, (3) marginally significant sex differences were found, or (4) sex differences were found in measures not included in the table. Due to space constraints, we have limited the listing of the studies performed on the association between 5-HTTLPR and depression to those solely focusing on sex differences. A more complete listing may be found in Karg et al., 2011 or Sharpley et al., 2014. We chose to include studies performed on only one sex, because the risk directionality of some of the alleles may be sex-dependent. For example, while the preponderance of studies point toward MAOA-L conferring risk for conduct disorder in boys, the female-only study performed by Sjöberg et al. in 2007 supports the hypothesis that the other allele, MAOA-H, confers risk in girls. However, future studies, performed in both sexes, are necessary to confirm these associations. Studies that show gene-sex interactions are listed in Table IV, along with sample and effect sizes.

We conclude by highlighting the conceptual and methodological difficulties of studying gene-sex interactions and suggesting directions for future research to advance our understanding of gene-sex interactions, particularly in regard to mechanistic pathways and their translational relevance for developing a neurobehavioral taxonomy for depression and related phenotypes.

## Depression and related conditions

Existing diagnostic categories of clinical depression and related disorders, currently defined by symptom criteria, may in fact comprise an ensemble of multiple underlying dysfunctions that are more cohesive when defined by neurobiobehavioral measures (Williams 2016). These underlying neurobiobehavioral dysfunctions may not map on to symptom-based boundaries but define consistent subtypes present across different diagnoses. Sex differences may be an important consideration for anchoring a neurobiobehavioral understanding of depression. For example, women have been reported to have a tendency to internalize distress and men, to externalize distress (Eaton et al., 2012). Internalizing might reflect the action of particular biobehavioral mechanisms for depressed or anxious outcomes in women, and externalizing might reflect the action of different mechanisms for antisocial or aggressive outcomes in men, such that investigation of sex differences could help disentangle the pathways of genetic risk for “clinical expressions” of depression and emotional dysregulation. In this mini-review we consider these clinical expressions as including depression, anxiety, conduct disorders, and suicidality.

Another collection of depression-related phenomena may be considered “latent expressions” of risk for overt clinical states; these include personality traits, as well as alterations in brain anatomy and functional activity. The personality trait of neuroticism in the Revised NEO Personality inventory, reflecting a dispositional bias toward negative information, is higher in women than men across 26 cultures, with US women scoring 0.51 SD higher than their male counterparts (Costa et al., 2001). These higher levels of neuroticism are thought to be a latent trait that moderates the expression of a greater prevalence of depression in females (Goodwin and Gotlib, 2004). Similarly, trait harm avoidance is associated with panic disorder and general anxiety (Starcevic et al., 1996) and trait impulsiveness, thought to be reflective of low serotonin turnover, is associated with suicide (Fawcett et al., 1997). Likewise, structural and functional alterations of the brain are subject to sex differences that exist on the spectrum of subclinical to healthy brains. Dysfunctional activity in the amygdala and other limbic structures correlate with depression severity, probability of relapse, as well as dysregulated processing of emotionally valenced stimuli, which may reflect a trait risk for depression (for review; Drevets 2000). Healthy women exhibit more persistent amygdala activity in response to fear signals than men (Williams et al., 2005). In regard to neuroanatomy, decreased hippocampal volume, thought to reflect the effects of chronic stress, has been observed both in both male and female depressed patients

(Videbech and Ravnkilde 2004), though hippocampal size and microstructure is altered in men, but not women, with subclinical depression (Spalletta et al., 2014).

### **The serotonin system in men and women**

We focus our review on the serotonergic system because it (1) plays an important role in mood and mood disorders, (2) is widely accepted to be sexually dimorphic, (3) encompasses polymorphisms that have been extensively studied with respect to mood disorders, and (4) is directly relevant to the efficacy of SSRIs, the most commonly used treatments for depression and anxiety, making it an important aspect of individualized treatment and precision medicine. Apart from its role as a neurotransmitter, serotonin also plays a role in brain development by regulating neurite outgrowth, synaptogenesis, and cell survival (Gaspar et al, 2003), all of which have important consequences for neurobiological function.

Sexual dimorphisms within the serotonin system have been known for the past four decades. Males and females exhibit different rates of serotonin synthesis (Nishizawa et al, 1997), different levels of serotonin metabolites (Gottfries et al, 1974), different receptor and transporter binding potentials (Jovanovic et al., 2008), and different SSRI response and tolerance (Kornstein et al., 2000). Furthermore, acute tryptophan depletion, which induces lower mood in recovered depressed patients by temporarily decreasing serotonin levels, leads to larger mood-lowering effects in women than in men (Booji et al., 2002).

Sex differences within the serotonergic system might account on their own for some of the sex differences in genetic risk for depression and related clinically expressed phenomena. In addition, sex differences multiply when serotonergic gene products interact with, regulate, and are modulated by other sexually dimorphic biological pathways. In this review, we will discuss the interface of serotonergic genetic polymorphisms with three such pathways: the effects of early life stress, the effects of sex chromosome differences, and the effects of sex hormones.

### **Potential Pathways of Sex Modulation of Genetic Polymorphisms**

#### **Mechanism: Early Life Stress**

Exposure to early life stress is a risk factor for developing mood and anxiety disorders, due in part to long-term stress response dysregulation, cognitive coping strategies, and neurobio-

logical anatomy (Heim and Nemeroff, 2001). Many genes that have been implicated in depression, including BDNF, COMT, and CRHR1, have more pronounced effects in the context of early life stress (Heim and Binder, 2012).

Psychological and biological responses to stress, particularly early life stress, are sexually dimorphic (reviewed in Bale and Epperson, 2015). Men and women differ in the types of stressors that most impact depression risk (Chu et al., 2013). Though women are more likely to develop a depressive disorder, men may be more susceptible to the immediate neurobiological effects of stress, including stress-related c-fos expression, enhanced fear conditioning, and increased HPA axis response (reviewed in Altemus 2006). Animal work suggest neurobiological mechanisms for the observed sex difference in stress response. For example, male rats exposed to perinatal stress show a period in adolescence of increased neurogenesis, BDNF expression, and spatial learning, which is reduced by adulthood, while female rats exhibit the opposite pattern of decreased neurogenesis in adolescence followed by an increase in adulthood (Loi et al., 2014). In examining three-way interactions between stress, sex, and genotype, it is important to remember that observed differences in subclinical traits, neuroimaging, and neuroanatomy may represent either risk mechanisms, or protective compensatory mechanisms.

### 5-HTTLPR

The 5-HTTLPR polymorphism is associated with the largest body of research regarding sex differences—a recent review of sex differences in 5-HTTLPR included 78 studies (Gressier et al., 2016). The 5-HTTLPR polymorphism consists of 16-repeat long variant and a 14-repeat short variant, which causes decreased SLC6A4 transcription (Lesch et al., 1996). The long variant is further modified by a single nucleotide polymorphism, A/G SNP rs25531, with L(A) variants expressing normally and L(G) variants expressing similar to the S allele (Wendland et al., 2006). In this review, we designate the S and L(G) alleles as low-expressing alleles.

While 5-HTTLPR and gender may modulate depression risk, severity, and suicide risk independently of environmental stress (see Table I) the depressogenic effect of the low-expressing alleles may be potentiated by stressful life events, particularly in early childhood (Caspi et al., 2003). This finding was supported by subsequent meta-analyses ((Karg et al., 2011, Sharpley et al., 2014) though others have yielded negative results (Risch et al., 2009; Munafò et al., 2009). The gene-environment interaction becomes stronger when taking sex into account,



with a majority of studies finding that the low-expressing alleles interact with stress to confer risk more specifically in females (see Table I).

Neuroimaging evidence supports the hypothesis that the 5-HTTLPR polymorphism differentially influences hippocampal, amygdalar, and cortical structure in men and women in the context of early life stress (see Figure 1). However, though a number of studies have established a relationship between low-expressing alleles and amygdala hyperreactivity, no sex differences have been found, as few studies explicitly examined gene-sex interactions (see Table I). Connections between genotype and personality traits provide a mechanism by which 5-HTTLPR may differentially interact with sex to alter preclinical risk factors, independent of early life stress exposure (Table I).

The mechanistic basis of how 5-HTTLPR variation leads to biobehavioral sex differences are still unclear, but multiple lines of evidence illustrate sex-specific effects on serotonin metabolism. 5-HTTLPR genotype interacts with sex to modulate resting state cerebral blood flow in the amygdala (El-Hage et al., 2013) as well as resting state electroencephalography activity (Volf et al., 2015). Depressed women, but not men, exhibit lower levels of serotonin transporter availability relative to their healthy counterparts (Staley et al., 2006). The low-expressing allele is associated with lower 5-HIAA in males and higher 5-HIAA in females, indicative of differing rates of CNS serotonin turnover (Williams et al., 2003). Women homozygous for low-expressing alleles exhibit altered 5-HT<sub>1A</sub> receptor binding, which may indicate either a higher 5-HT<sub>1A</sub> receptor density or a lower level of serotonin with respect to high-expressing allele carriers, a difference not observed in men (Lothe et al., 2009). Tryptophan depletion leads to increased impulsivity in men, and increased caution and mood reduction in women, particularly marked in women homozygous for either allele (Walderhaug et al., 2007). The effect of 5-HTTLPR genotype on women is further underscored by another study showing tryptophan depletion induced mood reductions in women homozygous for the low-expressing alleles, no change in women homozygous for the high-expressing allele, and intermediate effects in heterozygote women, depending on the presence of a family history of depression (Neumeister et al., 2002).

Given findings of gene-sex-environment interactions, another important mechanism may be the interaction of 5-HTTLPR genotype and stress. The low-expressing alleles correlate with greater cortisol reactivity to stress in both a mixed group (Way et al., 2010) and a group of girls (Gotlib et al., 2008). Sex also interacts with the 5-HTTLPR polymorphism to predict cortisol

awakening response, ACTH levels after dexamethasone administration, (Wust et al., 2009) diurnal cortisol (Wankerl et al., 2010) and cortisol response to stress (Jabbi et al., 2007). A study of macaques showed a higher ACTH stress response only in females with a history of adversity (Barr et al., 2004). Together, these data provide evidence that 5-HTTLPR confers depression risk through differential susceptibility to stress, with the low-expressing alleles associated with sensitivity to the environment and the high-expressing allele associated with immunity to environmental effects (Paaver et al., 2008; Nilsson et al., 2015). The low-expressing allele predicts increased stress generation in both males and females with low relational security, and decreased stress generation with high relational security (Starr et al., 2013). A similar differential susceptibility model has been shown to underlie the influence of other serotonin system genes in an additive multilocus score (Vrshek-Schallhorn et al., 2015). These findings underscore the need to further research the biological mechanisms of interactions between 5-HTTLPR genotype, sex, and environmental stress.

### **Mechanism: Sex Chromosomes**

A systematic coverage of epigenetic sex differences is beyond the scope of this review. In the current content we highlight a special case of epigenetic modification: X-inactivation of the sex chromosome, the consequences of which are to date not well specified. The choice of which X-chromosome will be inactivated in a given cell is random, creating a mosaic of different cell populations (Migeon et al., 2007). Furthermore, approximately 15% of genes escape inactivation, while an additional 10% show heterogeneous inactivation, creating differences in gene expression levels between males and females, as well as variability among females (Carrel et al., 2005). In addition, the sex-determining region Y (SRY), found only on the male Y-chromosome, plays a role in modulating autosomal gene expression (Wijchers et al., 2010). Given the complexity of gene-sex interactions, it is difficult to conclude with certainty when X-inactivation is a primary factor. However, inheritance patterns in family studies have raised the possibility of sex-linked genes playing a role in depression: maternal grandfather longevity was associated with mental health in a male group, though maternal mental health was not associated, suggestive of an x-linked recessive genetic basis (Vaillant et al., 2005). There are several X-linked genes that have been associated with depression endophenotypes, including HTR2C, though its interactions with sex remain unclear (Avery and Vrshek-Schallhorn, 2016).

### MAOA-LPR

*MAOA* is an x-linked gene that regulates monoamine neurotransmission by degrading serotonin, noradrenaline, and dopamine; *MAOA* knockout mice are characterized by higher levels of serotonin and noradrenaline and increased aggressive behavior (Cases et al, 1995).

In humans, the polymorphic region located upstream of the coding sequence has been widely studied with regard to conduct disorder, which co-occurs with depression and other affective disorders in both children and adults (Puig-Antich et al., 1982; Marriage et al., 1986; Zoccolillo et al., 1992). The polymorphism consists of 2, 3, 3.5, 4, or 5 copies of a repeat sequence, with the rarer 2, 3 and 5 repeats exhibiting lower promoter activity (Sabol et al., 1998; Guo et al., 2008).

Males carrying low activity alleles (MAOA-L) are more likely to develop a conduct disorder, and exhibit increased aggression and impulsivity, particularly in the presence of childhood maltreatment (Table II; reviewed also in Byrd et al., 2014), whereas the high activity allele (MAOA-H) has been associated with increased ventro-lateral prefrontal activity (Cerasa et al., 2008a) and gray matter loss (Cerasa et al., 2008b). In females, however, conduct disorder and aggression has been linked to the high activity alleles (MAOA-H) (Wakschlag et al., 2010; Aslund et al., 2011). MAOA-H also confers risk for depression and anxiety disorders in women specifically (see Table II). Other polymorphisms in *MAOA*, including 1460T, MAOA-CA, and 941T, have also shown sex differences in conferring risk for depression and anxiety (Slopien et al., 2012; Tadic et al., 2003).

Studies on brain structure and activity indicate subclinical sex differences that may explain the opposing findings in the clinical literature (Figure 1). Amygdala activity during emotional face-matching increases with childhood stress in male MAOA-L carriers and decreases with childhood stress in male MAOA-H carriers, with the reverse holding in females (Holz et al., 2016). Amygdala volume, however, was not affected by MAO-A genotype or an interaction effect between genotype and sex (Cerasa et al., 2011). Increased hippocampal activity (Meyer-Lindenberg et al., 2006) and dysregulated vmPFC (Buckholtz et al., 2008) were observed in MAOA-L males but not females (see Figure 1). Studies on personality provide minimal evidence for sex differences (see Table II) with no sex difference seen in trait aggression, reactive aggression, or dACC reactivity to social exclusion (Eisenberger et al., 2007; Kuepper et al., 2013).

Like 5-HTTLPR, MAOA-LPR may exert its effects through sex-dependent differential susceptibility. Sex differences may be due to differential responses to stress, or to sex-dependent methylation patterns, which are themselves also affected by stress. Sex interacts with MAOA genotype to influence both baseline cortisol and subjective stress (Jabbi et al., 2007). Depressed females, particularly those who have experienced early life stress, exhibit lower methylation at the *MAOA* locus (Domschke et al., 2012; Melas et al., 2013). Another possible mechanism of sex difference is the *SRY* element found on the Y chromosome, which activates and regulates *MAOA* transcription (Wu et al., 2009). A more thorough understanding of how sex-dependent methylation and sex-based gene dose effects contribute to the impact of risk polymorphisms may yield further insight into biological mechanisms.

### **Mechanism: Hormones**

There is a strong case to be made for the role of estrogen and other sex steroids as a factor in mood and depression. The highest rates of depression onset in women correspond with major hormonal changes, peaking in puberty, in the post-partum period, and at the age of menopause onset (Joffe et al., 1998). Testosterone has been shown to have antidepressant and anxiolytic effects in both men and women (McHenry et al., 2014) and changes in salivary testosterone over the course of a day correlate with depression and anxiety measures (Granger et al., 2003). Hormones may also affect mood indirectly by altering gene expression, changing the rate of gene transcription, or regulating mRNA stability (Ing 2005). The expression of both serotonin transporter (McQueen et al., 1997) and MAO-A (Gundlah et al., 2002) is regulated by estrogen. Exogenous hormone administration has also been shown to alter the functioning of the serotonergic system: female-to-male transsexuals undergoing androgen treatment have increased serotonin transporter binding, while male-to-female transsexuals undergoing antiandrogen and estrogen treatments have decreased serotonin transporter binding (Kranz et al., 2015).

### **Tryptophan Hydroxylase**

Tryptophan hydroxylase is the rate-limiting enzyme in serotonin synthesis. The TPH2 isozyme is the predominant form in the brain, expressed highly in the serotonergic raphe nuclei (Bach-Mizrachi et al., 2005); however, the TPH1 isozyme is also highly expressed in the amygdala (Zill et al., 2007). Both forms are regulated by both estrogen (Gutknecht et al., 2015; Hiroi

et al., 2006; Hiroi et al., 2013) and testosterone (Goldstein et al., 1992), and both have been linked to depression and related conditions in both men and women (see Table III). The TPH A218C polymorphism is associated with depression in males only (Serretti et al., 2001). Other alleles play a stronger role in conferring risk for depression and anxiety to women in the peripartum phase (Lin et al., 2009; Sun et al., 2004) suggestive of an intermediary role for hormones.

Variation in TPH2 has also been associated with depression and suicide in both men and women, as well as amygdala activity (see Table III). TPH2 variations also exhibit gene-sex interactions, predicting depression (Shen et al., 2011) and panic disorder (Maron et al., 2007) in women, but not men. Adult male -703 T-carriers have a stronger overall startle response, while the effect is reversed in adult females; notably, this finding in females achieves significance only after accounting for menstrual cycle phase, while no sex interaction effects were seen in children or older adults, suggestive of a modulatory role for hormones (Armbruster et al., 2010).

The majority of studies do not address sex differences, but there is evidence from metabolic and animal studies to further support those that have been found in both TPH1 and TPH2. The TPH1 218C allele is associated with decreased plasma TRP in women, but not in men (Porter et al., 2008). TPH2 knockout mice exhibit anxiety- and depression- like behaviors, with males showing increased impulsivity and aggression and females showing increased reactivity to aversive conditions (Gutknecht et al., 2015).

### **Conclusions and considerations for future research**

In giving three examples of how sex modulates genotype to yield differing risk and expression of depression and related conditions, we hope to have made clear the importance of considering sex differences in the context of genetic variation. We expect that there are many yet undiscovered gene-sex interactions, as many of the genetic polymorphisms that have previously been associated with depression have not been explicitly studied with regard to sex differences. More research is needed to clarify the mechanisms through which genes contribute differentially to depression in men and women, and we conclude by raising methodological considerations and guidelines for future research.

Our review of sex differences raises a number of methodological considerations for future research into sex differences. To address them, we must re-evaluate how we conceptualize genetic risk, diagnostic categories, and sex itself. As we have shown, the same genotype can lead

to different conditions in men and women, and alleles that confer risk to one sex may confer protection to the other; risk therefore cannot be directly attributed to a particular allele outside of the context of its effects on biological pathways and its interactions with the environment. We have also seen how similar underlying biological dysfunctions may give rise to different behavioral outputs in men and women. This raises the issue of how to group participants for further experimental studies of gene-sex interactions, when they may be heterogeneous with respect both to diagnosis and genetic risk profile. Another consideration is how to conceptualize sex. Some sex differences, such as those stemming from x-inactivation, arise from the most simplistic, chromosome-based formulation of sex, while others, such as hormones, arise from factors that vary with sex. While this review focuses on the interplay of biological sex and genes, genetic effects might also be modulated by gender, self-identity, and cultural expectations.

It is essential that future research in the genetics of depression explicitly check for interactions with sex and sex-related factors known to be relevant. For example, as some sex differences are dependent on levels of cycling hormones, it is vital to incorporate the hormonal status of female participants. Otherwise, the effect of sexually asymmetric alleles may be diluted to the point of statistical insignificance, or even bidirectionally cancel out. In addition, it is important to check for three-way-interactions between environment, sex, and genotype. The sex differences relevant to depression and related conditions may be obscured by compensatory mechanisms in healthy individuals, as sexual dimorphisms in the brain may in fact exist to prevent, rather than cause, sexually dimorphic behavior in the healthy brain (De Vries 2004). It is possible that some sex differences only emerge in the context of pathology, as in the case of early life stress modulation of gene-sex interactions. Therefore, researchers must be careful in extrapolating sex differences, or lack thereof, in healthy individuals to patients.

Future studies of gene-sex differences may move beyond understanding the basis of genetic risk, toward understand the pathogenic mechanism of disease. Studies that consider multiple time points and longitudinal trajectories, rather than cross-sectional grouping of participants, are needed in order to elucidate the role of sex differences and genetic risk in causal pathways for depression and emotional disorder. Such studies might incorporate intermediate measures such as subclinical manifestations of depression, stress response dysregulation, and personality traits to elucidate the mechanisms by which risk converts to overt psychopathology. Studies on groups of individuals that are biologically homogenous, rather than symptomatically homoge-

nous, may help in isolating the distinct mechanisms of depression pathogenesis that create epidemiological differences between the sexes. Studies that cross traditional diagnostic boundaries may better capture the full range of behavioral output in men and women and better elucidate how sex differences in behavior and psychopathology emerge from similar underlying biology.

Finally, as the ultimate goal of research into depression is to treat individuals, it is vital to extend these considerations of gene-sex interactions into the domain of treatment. Given that men and women exhibit different pathways to pathology, it is also reasonable to expect different pathways to recovery. Research to date has supported the existence of gender differences in the response to pharmacological treatment (Gorman 2006). Understanding the different mechanisms that contribute to psychopathology in men and women will be essential in developing and targeting personalized interventions.

While it is premature to define generalizable rules about these interactions, or even to draw strong conclusions about the examples discussed, we may in the interim outline some central considerations that emerged from reviewing our current state of knowledge. We note that 1) that there is a paucity of information on the impact of sex and gene interactions, 2) that evidence from limited studies do support that there are sex and gene interactions with clinically relevant outcomes, 3) that these differences might be potentiated by environmental stressors, 4) that these gene-sex and gene-sex-environment interactions need to be tested explicitly, 5) that adopting more nuanced conceptions of genetic risk, diagnostic categories, and sex itself will help to clarify these interactions, and 5) that further research utilizing these methodological recommendations will be essential to understand underlying mechanisms. Although by necessity we have focused our review on depression and sex differences related to the serotonergic system, we hope that this review will facilitate a broader consideration of the topic, as there are likely many more differences between sexes relevant to understanding the trajectory of mental disorders.

**Role of Authors**

LMP conceived the scope of this Mini-Review. ANG and LMW developed the intellectual content with LMP and all authors wrote the manuscript.

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**Figure 1 Legend:**

Different effects of serotonergic genotype in the female (top) and male (bottom) brain; AMG: amygdala, HIP: hippocampus

Accepted Article



**Table I. Sex Modulation of 5-HTT-LPR in Depression, Related Disorders, Risk Factors, and Endophenotypes<sup>1</sup>**

Parameter	Depression <sup>2</sup>	Anxiety	Conduct Disorder	Suicide
<i>Independent effects within sexes by allele</i>	<i>S/LG risk</i>	<i>LA risk</i>	<i>S/LG risk</i>	<i>S/LG risk</i>
Significant effects in males	<u>Steffens 2002</u> †, <u>Ancelin 2010</u> , <u>Uddin 2010</u> §*, <u>Li 2013</u> *, <u>Starr 2013</u> †**	<u>Li 2013</u> , <u>Starr 2013</u> †, <u>Sjoberg 2006</u> ‡**, <u>Brummett 2008a</u> ‡**, Carli 2011*, <u>Priess-Groben 2013</u> †**	Furmark 2004, <u>Mizuno 2006</u> , <u>Zhang 2015</u>	<u>Flory 1999</u> , <u>Cerasa 2014</u> , Ming 2015*
Significant effects in females	<u>Steffens 2002</u> , Gonda 2005, <u>Uddin 2010</u> , <u>Elev 2004</u> †, Jacobs 2006*, <u>Sjoberg 2006</u> ‡**, <u>Brummett 2008a</u> ‡**, <u>Aslund 2009</u> †**, <u>Rucci 2009</u> *, <u>Hammen 2010</u> †**, <u>Van Strien 2010</u> *, <u>Beaver 2012</u> †**, Brown 2013*, <u>Ming 2013</u> †**, <u>Priess-Groben 2013</u> †**, <u>Lee 2014</u> †**	<u>Baune 2008</u> †	Melke 2001, Furmark 2004, <u>Mizuno 2006</u> §, Grochans 2015	<u>Maron 2004</u> , Ming 2015*
<i>No effects in gene-sex interactions</i>	***			
<i>Sex difference</i>		Cerasa‡, Flory†, Maron†, Mizuno, Zhang†	Aslund‡, Cadoret‡, Douglas, Sakai†	Bondy Baca-Garcia†, Gaysina†, Hung†, Li†, Limosin†
<i>Did not examine/unclear</i>		Furmark, Grochans, Melke, Ming	Reif	Bellivier, Caspi, Courtet, Du, Gorwood
Parameter	Neuroticism	Harm Avoidance	Aggression	Impulsiveness
<i>Independent effects within sexes by allele</i>	<i>S/LG risk</i>	<i>LA risk</i>	<i>S/LG risk</i>	<i>S/LG risk</i>
Significant effects in males	Lesch 1996, <u>Du 2000</u> , Greenberg 2000, Sen 2004l, Schinka 2004l, <u>Vormfelde 2006</u>	<u>Brummett 2003</u>	Mazzanti 1998, Munafò 2004, Katsuragi 1999, Greenberg 2000, <u>Gelernter 1998</u>	Hallikainen 1999, <u>Cadoret 2003</u> , Beitchman 2006, Haberstick 2006, <u>Verona 2006</u>
Significant effects in females	Lesch 1996, Greenberg 2000, Sen 2004l, Schinka 2004	Mazzanti 1998, Munafò 2004, Katsuragi 1999, Greenberg 2000	<u>Gelernter 1998</u>	Beitchman 2006, Haberstick 2006, <u>Li 2010</u> *
<i>No effects in gene-sex interactions</i>				
<i>Sex difference</i>	Du†, Brummett†, Vormfelde†	Greenberg	Haberstick	Cadoret‡, Paaver, Walderhaug†
<i>Did not examine/unclear</i>	Lesch, Senl	Katsuragi, Mazzanti, Munafò	Beitchman, Hallikainen	Sakado

Parameter	Amygdala Hyperactivation	Limbic Structure	Limbic Function	Affective Processing
<i>Independent effects within sexes by allele</i>	<i>S/LG (More Activity)</i>	<i>LA (More Activity)</i>		<i>S/LG risk</i> <i>LA risk</i>
Significant effects in males	Hariri 2002, Furmark 2004, Heinz 2005, Bertolino 2005, Canli 2005a, Canli 2005b, Canli 2008, Hariri 2005, Heinz 2007, Dannlowski 2007, Dannlowski 2008, Munafò 2008l, Furmark 2009, Gillihan 2011, Kobiella 2011, Klucken 2013a*, Klucken 2013b, Klucken 2015, Lemogne 2011*, Alexander 2012*	Canli 2005a, Pezawas 2005, Frodl 2008, Scherk 2009, Eker 2011, Kobiella 2011, Selvaraj 2011, <b>Price 2013</b> , Little 2014, Liu 2015, Jaworska 2016, Frodl 2010*, <b>Everaerd 2012*</b> , Rabl 2014*	Heinz 2005, Pezawas 2005, Canli 2008, Fortier 2010, Surguladze 2008, Holmes 2010, Kobiella 2011, Hermann 2012, El-Hage 2013, Klucken 2013b, Klucken 2015, <b>Zhang 2015</b> , Alexander 2012*, Klucken 2013a*	Brocke 2006, Herrmann 2007, Stein 2009, Beevers 2010a, Beevers 2010b, <b>Nikolova 2011*</b>
Significant effects in females	Hariri 2002, Furmark 2004, Hariri 2005, Bertolino 2005, Canli 2005a, Canli 2005b, Canli 2008, Dannlowski 2007, Dannlowski 2008, Munafò 2008l, Furmark 2009, Furman 2011, Gillihan 2011, Kobiella 2011, Drabant 2012, Klucken 2013b, Klucken 2015, Lemogne 2011*	Canli 2005a, Pezawas 2005, Frodl 2008, Scherk 2009, Eker 2011, Kobiella 2011, Selvaraj 2011, <b>Everaerd 2012</b> , <b>Price 2013</b> , <b>Cerasa 2014</b> , Little 2014, Liu 2015, Jaworska 2016, Frodl 2010*, Rabl 2014*	Heinz 2005, Pezawas 2005, Canli 2008, Fortier 2010, Surguladze 2008, Holmes 2010, Kobiella 2011, Drabant 2012, Hermann 2012, El-Hage 2013, Klucken 2013b, Klucken 2015	Neumeister 2002, <b>McCaffery 2003*</b> , <b>Grabe 2005*</b> , Brocke 2006, <b>Jabbi 2007*</b> , Herrmann 2007, <b>Brummett 2008b</b> , Stein 2009, Beevers 2010a, Beevers 2010b, <b>Jabbi 2007</b> , <b>Walderhaug 2007§</b> , <b>Antypa 2011</b> , Markus 2011
<i>No effects in gene-sex interactions</i>	Hariri 2002, Hariri 2005, Klucken 2013b, Klucken 2015a, Kobiella	Canli 2005a, Kobiella, Little, Rabl, Scherk	Klucken 2013b, Klucken 2015, Kobiella	Beevers 2010a, Beevers 2010b, Brocke, Stein
<i>Sex difference</i>		Cerasa‡, Everaerd, Price‡	El-Hage 2013, Zhang 2015†	Antypa, Brummett‡, Grabe‡, Jabbi‡, McCaffery, Nikolova‡, Walderhaug‡
<i>Did not examine/unclear</i>	Alexander, Bertolino, Canli 2005a, Canli 2005b, Canli 2008, Dannlowski 2007, Dannlowski 2008, Drabant, Furman, Furmark 2004, Furmark 2009, Gillihan 2011, Heinz 2005, Heinz 2007, Klucken 2013a, Lee, Lemogne, Munafòl	Eker, Frodl 2008, Jaworska, Liu, Pezawas, Selvaraj	Alexander, Canli 2008, Drabant, Fortier, Heinz, Hermann, Holmes, Klucken 2013a, Pezawas, Surguladze	Herrmann, Neumeister

<sup>1</sup> This tabular summary only reflects studies with positive findings in one or both sexes.

<sup>2</sup> Due to space constraints, a listing of all studies on the main effect of 5-HTTLPR have been omitted. Refer to Karg et al., 2011 and Sharpley et al., 2014 for more complete meta-analyses.

*No effects in gene-sex interactions:* Denotes studies in which gene-sex interactions were tested and not found

*Sex difference:* Denotes studies in which (†) significant effects emerged for only one sex, (‡) significant effects emerged in opposite directions by sex, or interaction effects were different. **Bold Underlining** denotes studies that observed sex differences.

*Did not examine/ Unclear:* Denotes studies in which (1) only one sex was studied, (2) sex interactions were not ruled out, (3) marginally significant sex differences were found, or (4) sex differences were found in measures not included in the table.

*Conduct disorder:* Includes measures of delinquency and other externalizing symptoms when applicable

*Limbic structure:* Includes volumetric and other anatomical measures of limbic components. Because the directionality of risk assignment in these measures is not always clear, the alleles have been merged.

*Limbic function:* Includes functional connectivity, steady-state effects, and measures of limbic activity excluding amygdala hyperactivation. Because the directionality of risk assignment in these measures is not always clear, the alleles have been merged.

\* Denotes significant effects arising in the context of stress or early life adversity

§ Denotes specifically the SL genotype

‡ Denotes meta-analyses

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Table II. Sex Modulation of MAOA-LPR in Depression, Related Disorders, Risk Factors, and Endophenotypes<sup>1</sup>

Parameter	Depression		Anxiety		Conduct Disorder		Suicide	
	L Risk	H Risk	L Risk	H Risk	L Risk	H Risk	L Risk	H Risk
<i>Independent effects within sexes by allele</i>								
Significant effects in males	Cicchetti 2007*	<u>Yu 2005a</u> , <u>Lung 2011</u> , <u>Adkins 2012</u>	<u>Voltas 2015</u>	Liu 2013, Reif 2014	Kim-Cohen 2006, Reif 2007, <u>Guo 2008</u> , Stetler 2014, Tiihonen 2015, Caspi 2002*, Foley 2004*, Nilsson 2006*, Widom 2006*, <u>Frazzetto 2007*</u> , Nilsson 2007*, Edwards 2010*, <u>Wakschlag 2010*</u> , <u>Aslund 2011*</u> , Derringer 2010*, <u>Nilsson 2011*</u>			<u>Du 2002</u>
Significant effects in females	<u>Huang 2009</u> , Cicchetti 2007*, <u>Melas 2013*</u>	<u>Schulze 2000</u> , <u>Yu 2005a</u> , <u>Rivera 2009</u> , <u>Nikulina 2012*</u>	<u>Maron 2004</u>	<u>Deckert 1999</u> , <u>Samochowiec 2004</u> , <u>Maron 2005</u> , <u>Reif 2012</u> , Liu 2013, Reif 2014, <u>Voltas 2015</u>		Tiihonen 2015, Caspi 2002*, Widom 2006*, Ducci 2008*, <u>Prom-Wormley 2009</u> , Derringer 2010*	Sjoberg 2007*, Nilsson 2008*, <u>Wakschlag 2010*</u> , <u>Aslund 2011*</u> , <u>Nilsson 2011*</u>	
<i>No effects in gene-sex interactions</i>						Tiihonen, Widom		
<i>Sex difference</i>	Adkins, Huang, Lung†, Melas†, Nikulina†, Rivera†, Schulze†, Yu		Deckert†, Maron 2004†, Maron 2005†, Reif 2012†, Samochowiec†, Voltas†		Aslund‡, Frazzetto, Guo 2008†, Nilsson 2011‡, Prom-Wormley†, Wakschlag‡		Du†	
<i>Did not examine/unclear</i>	Cicchetti		Liu, Reif 2014		Caspi, Derringer, Ducci, Edwards, Foley, Kim-Cohen, Nilsson 2006, Nilsson 2007, Nilsson 2008, Reif, Sjoberg, Stetler			
Parameter	Neuroticism		Harm Avoidance		Aggression		Impulsiveness	
	L Risk	H Risk	L Risk	H Risk	L Risk	H Risk	L Risk	H Risk
<i>Independent effects within sexes by allele</i>								
Significant effects in males		<u>Elev 2003</u>			Eisenberger 2007, Kuepper 2013, Weder 2009*, Beaver 2013, Gorodetsky 2014*	Manuck 2000, Beitchman 2004, Gorodetsky 2014	Stetler 2014, <u>Huang 2004*</u> , Enoch 2010*	Manuck 2000
Significant effects in females				Yu 2005b	Eisenberger 2007, Kuepper 2013, Weder 2009*	<u>Verhoeven 2012</u>	Kinnally 2009, Kinnally 2009*, Enoch 2010*	
<i>No effects in gene-sex interactions</i>						Eisenberger, Kuepper, Weder		
<i>Sex difference</i>	Eley†					Verhoeven†		Huang
<i>Did not examine/unclear</i>			Yu			Beaver, Beitchman, Gorodetsky, Manuck	Enoch, Kinnally, Manuck, Stetler	

Parameter	Amygdala Hyperactivation		Limbic Structure	Limbic Function	Affective Processing	
<i>Independent effects within sexes by allele</i>	<i>L (More Activity)</i>	<i>H (More Activity)</i>			<i>L Risk</i>	<i>H Risk</i>
Significant effects in males	<u>Meyer-Lindenberg 2006</u> , Buckholtz 2008, Denson 2014, <u>Holz 2016*</u>	<u>Holz 2016</u>	<u>Meyer-Lindenberg 2006</u>	Fan 2003, <u>Meyer-Lindenberg 2006</u> , Passamonti 2006, Eisenberger 2007, Buckholtz 2008, Passamonti 2008, Alia-Klein 2009, Dannowski 2009, <u>Williams 2009</u> , Denson 2014, Lei 2014, Reif 2014, Clemens 2015, <u>Holz 2016*</u>	Brummett 2008c, Alia-Klein 2009, Bouma 2012	Buckholtz 2008, Reif 2014
Significant effects in females	Lee 2008a, <u>Holz 2016</u>	<u>Holz 2016*</u>	<u>Meyer-Lindenberg 2006</u>	Fan 2003, Eisenberger 2007, Lee 2008a, Dannowski 2009, <u>Williams 2009</u> , Reif 2014, Clemens 2015, <u>Holz 2016*</u>	Bouma 2012	<u>Jabbi 2007</u> , <u>Chen 2013</u> , <u>Wakschlag 2010*</u> , Reif 2014
<i>No effects in gene-sex interactions</i>				Eisenberger, Fan		
<i>Sex difference</i>	Holz‡, Meyer-Lindenberg†		Meyer-Lindenberg†	Holz‡, Meyer-Lindenberg†, Williams	Chen†, Jabbi, Wakschlag†	
<i>Did not examine/unclear</i>	Buckholtz, Denson, Lee			Alia-Klein, Buckholtz, Clemens, Dannowski, Denson, Lee, Lei, Passamonti 2006, Passamonti 2008, Reif 2014	Alia-Klein, Bouma, Brummett, Buckholtz, Reif	

<sup>1</sup> This tabular summary only reflects studies with positive findings in one or both sexes.

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*Sex difference*: Denotes studies in which (†) significant effects emerged for only one sex, (‡) significant effects emerged in opposite directions by sex, or interaction effects were different. **Bold Underlining** denotes studies that observed sex differences.

*Did not examine/ Unclear*: Denotes studies in which (1) only one sex was studied, (2) sex interactions were not ruled out, (3) marginally significant sex differences were found, or (4) sex differences were found in measures not included in the table.

*Conduct disorder*: Includes measures of delinquency and other externalizing symptoms when applicable

*Limbic structure*: Includes volumetric and other anatomical measures of limbic components. Because the directionality of risk assignment in these measures is not always clear, the alleles have been merged.

*Limbic function*: Includes functional connectivity, steady-state effects, and measures of limbic activity excluding amygdala hyperactivation. Because the directionality of risk assignment in these measures is not always clear, the alleles have been merged.

\* Denotes significant effects arising in the context of stress or early life adversity

**Table III. Sex Modulation of TPH1 and TPH2 in Depression, Related Disorders, Risk Factors, and Endophenotypes<sup>1</sup>**

Parameter	Depression		Anxiety		Conduct Disorder		Suicide	
	TPH1	TPH2	TPH1	TPH2	TPH1	TPH2	TPH1	TPH2
<b>Independent effects within sexes by gene<sup>2</sup></b>								
Significant effects in males	<b>Serretti 2001</b> , Eley 2004, Gizatullin 2006, Jokela 2007*, Viikki 2010	Zill 2004b, Zhang 2005, Zhou 2005, Van Den Bogaert 2006, Anttila 2009, Tsai 2009, Gao 2012, Nobile 2009*, Ma 2015*		Zhou 2005, Campos 2011			Nielsen 1994, Nielsen 1998, Rujescu 2003, Bellivier 2004I, Galfalvy 2009, Gizatullin 2006, Li 2006, Gonzalez-Castro 2014	Mann 1997, Zill 2004a, Zhou 2005, Ke 2006, Lopez de Lara 2007, Yoon 2009, Grohmann 2010, Perez-Rodriguez 2010, Zhang 2010
Significant effects in females	Eley 2004, Sun 2004, Gizatullin 2006, Jokela 2007*, Viikki 2010	Zill 2004b, Zhang 2005, Zhou 2005, Van Den Bogaert 2006, Anttila 2009, Lin 2009, Tsai 2009, <b>Utge 2010</b> , <b>Shen 2011</b> , Fasching 2012, Gao 2012, Nobile 2009*, Mandelli 2012*, Ma 2015*	Sun 2004	Zhou 2005, <b>Maron 2007</b> , <b>Kim 2009</b> , Lin 2009, Campos 2010			Rujescu 2003, Bellivier 2004I, Galfalvy 2009, Gizatullin 2006, Li 2006, Zaboli 2006, Gonzalez-Castro 2014	Mann 1997, Zill 2004a, Zhou 2005, Ke 2006, Lopez de Lara 2007, Yoon 2009, Grohmann 2010, Perez-Rodriguez 2010, Zhang 2010
<b>No effects in gene-sex interactions</b>	Eley, Viikki							Lopez de Lara
<b>Sex difference</b>	Serretti†	Shen†, Utge		Kim†, Maron†				
<b>Did not examine/unclear</b>	Gizatullin, Jokela, Sun	Anttila, Fasching, Gao, Lin, Ma, Mandelli, Nobile, Tsai, Van Den Bogaert, Zhang, Zhou, Zill	Sun	Campos, Lin, Zhou			BellivierI, Galfalvy, Gizatullin, González-Castro, Li, Nielsen 1994, Nielsen 1998, Rujescu, Zaboli	Grohmann, Ke, Mann, Perez-Rodriguez, Zhang, Zhou, Zill, Yoon
Parameter	Neuroticism		Harm Avoidance		Aggression		Impulsiveness	
	TPH1	TPH2	TPH1	TPH2	TPH1	TPH2	TPH1	TPH2
<b>Independent effects within sexes by gene</b>								
Significant effects in males		Lehto 2015	Andre 2013	Reuter 2007, Gutknecht 2007	New 1998, Manuck 1999, Rujescu 2002, Staner 2002, Koh 2012	Perez-Rodriguez 2010	New 1998, Staner 2002, Galfalvy 2009	<b>Stoltenberg 2012</b>
Significant effects in females		Lehto 2015	<b>Keltikangas-Järvinen 2007</b> , Andre 2013	Reuter 2007, Gutknecht 2007	Manuck 1999, Rujescu 2002, Staner 2002, Koh 2012	Perez-Rodriguez 2010, Yang 2010	Staner 2002, Galfalvy 2009	
<b>No effects in gene-sex interactions</b>					Koh, Rujescu, Staner		Staner	
<b>Sex difference</b>			Keltikangas-Järvinen†					Stoltenberg†
<b>Did not examine/unclear</b>		Lehto	Andre	Gutknecht, Reuter	Manuck, New	Perez-Rodriguez, Yang	Galfalvy, New	

Parameter	Amygdala Hyperactivation		Limbic Structure		Limbic Function		Affective Processing	
<i>Independent effects within sexes by gene</i>	TPH1	TPH2	TPH1	TPH2	TPH1	TPH2	TPH1	TPH2
Significant effects in males		Brown 2005, Canli 2005c, Canli 2008, Furmark 2009		Inoue 2010, Yoon 2012		Canli 2008, Hermann 2012*		Herrmann 2007, <b>Armbruster 2010</b> , Perez-Rodriguez 2010, Yoon 2012
Significant effects in females	Lee 2009	Brown 2005, Canli 2005b, Canli 2008, Lee 2008b, Furmark 2009		Inoue 2010, Yoon 2012		Canli 2008, Hermann 2012*		Herrmann 2007, <b>Armbruster 2010</b> , Perez-Rodriguez 2010, Yoon 2012
<i>No effects in gene-sex interactions</i>				Inoue				
<i>Sex difference</i>								Armbruster‡
<i>Did not examine/unclear</i>	Lee	Brown, Canli 2005c, Canli 2008, Furmark, Lee		Yoon		Canli, Hermann		Herrmann, Perez-Rodriguez, Yoon

<sup>1</sup> This tabular summary only reflects studies with positive findings in one or both sexes.

<sup>2</sup> As both TPH1 and TPH2 have multiple polymorphisms implicated in depression and related disorders, we segregated our analysis by gene, rather than by risk allele.

*No effects in gene-sex interactions*: Denotes studies in which gene-sex interactions were tested and not found

*Sex difference*: Denotes studies in which (†) significant effects emerged for only one sex, (§) significant effects emerged in opposite directions by sex, or interaction effects were different. **Underlining** denotes studies that observed sex differences.

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*Limbic structure*: Includes volumetric and other anatomical measures of limbic components. Because the directionality of risk assignment in these measures is not always clear, the alleles have been merged.

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\* Denotes significant effects arising in the context of stress or early life adversity

**Table IV.** Studies Reporting Gene-Sex Interactions in Depression, Related Disorders, Risk Factors, and Endophenotypes

Authors	F/M	Parameter	Finding	Effect
<b>5-HTTLPR</b>				
Ancelin et al., 2010	1040/752	Depression	S interacts with lipid levels in males	p = .02
Antypa et al., 2011	186/59	Facial emotion recognition	SS females recognize negative emotions at lower intensity	p < 0.05
Aslund et al., 2009	717/765	Depression	SS interacts with maltreatment in females	p = 0.034
Aslund et al., 2013	714/753	Delinquency	Interacts with high SES in L males, S females; with low SES in LL males, SS females	p = .022
Baca-Garcia et al., 2002	214/178	Suicide attempts	S associated in females	p = 0.02
Baune et al., 2008	194/146	Depression	L associated with melancholic depression in females	p = 0.05
Beaver et al., 2012	924/778	Depressive symptoms	SS interacts with stress in females	p < .05
Brummett et al., 2003	129/73	Neuroticism	S protective in males	p < 0.01
Brummett et al., 2008a	160/55	Depression	S interacts with stress in females; L interacts with stress in males	p < 0.003
Brummett et al., 2008b	31/41	Negative affect (tryptophan infusion)	LL associated in males; SS associated in females	p = .013
Cadoret et al., 2003	59/39	Conduct disorder; aggression	L associated in males; S associated in females	p < .05
Cerasa et al., 2014	76/62	Anxiety; Amygdala volume	SS associated with anxiety and increased volume in females, protective in males	p = 0.01; 0.002
Douglas et al., 2011	567/814	Antisocial personality disorder	S interacts with life events in females	p < 0.001
Du et al., 2000	109/77	Neuroticism	S associated in males	p = 0.018
El-Hage et al., 2013	42/39	Resting cerebral blood flow in amygdala	Higher in S males	p < 0.03
Eley et al., 2004	220/157	Depression	S associated in females	p = 0.03
Everaerd et al., 2012	221/136	Hippocampal volume	S associated with decrease in women; S interacts with childhood adversity in males	p = 0.023; 0.007
Flory et al., 1999	135/135	Anxiety	L associated in males	p = 0.03
Gaysina et al., 2006	219/175	Suicide attempts	L associated in females	p = 0.002
Gelernter et al., 1998	132/190	Harm Avoidance	S associated in males; S protective in females	p = 0.04
Grabe et al., 2005	676/300	Mental/physical distress	S interacts with unemployment and chronic disease in females	p < 0.001
Hammen et al., 2010	214/132	Depression	S interacts with stress in females	p = .01
Hung et al., 2011	63/105	Suicide attempts	L associated in males	p = 0.012
Jabbi et al., 2007	31/33	Cortisol stress response	SS associated with larger response in females	p < 0.006
Lee et al., 2014	960/258	Depression	S associated in females	p = 0.015
Li et al., 2010	1144/1054	Antisocial behavior	SS interacts with maltreatment in females	p < 0.01
Li et al., 2013	453/577	Suicide attempts; depression	S associated in males with low family support; S protective in males with high family support	p < .05
Limosin et al., 2005	52/48	Suicide attempts	S associated in males	p = 0.05
Maron et al., 2004	18/11	CCK-4-induced panic attacks	Lower rate in S females	p = 0.03
McCaffery et al., 2003	191/191	Cardiovascular response	Greater response in SS women	p < .05
Ming et al., 2013	131/121	Depressive symptoms	S interacts with stress in females	p < 0.0001
Mizuno et al., 2006	59/45	Anxiety	SL associated in females; SS associated in males	p < .05
Nikolova et al., 2011	27/33	Reward response	S associated with stress-related reduction in males	p = 0.002
Paaver et al., 2008	261/222	Impulsivity	S interacts with family relations in females	p = 0.036
Price et al., 2013	25/26	Hippocampal volume	S increases volume in females, decreases volume in males	p < .03
Priess-Groben et al., 2013	129/180	Depressive symptoms	Life stress and MAOA-L interacts with S in females and L in males	p = 0.009
Rucci et al., 2009	147/75	Depression	L decreases manic/hypomanic component in females	p = 0.012
Sakai et al., 2010	254/213	Conduct problems	S associated in females	p < 0.05
Sjoberg et al., 2006	119/81	Depression	S confers risk in females and protection in males	p = 0.018; 0.032
Starr et al., 2013	217/137	Depression	S interacts with security in males	p = 0.05
Steffens et al., 2002	194/95	Depression	SS associated in males	p = 0.02
Uddin et al., 2010	560/524	Depressive symptoms	SL protective in females; SL protective in males only with deprivation	p = .03; .04
Van Strien et al., 2010	153/133	Emotional eating	S moderates depression and emotional eating in females	p < .01



Verona et al., 2006	56/55	Aggression	SS interacts with acute stress in males	p < .05
Volf et al., 2015	109/101	Resting EEG	SL associated with more power in women	p = 0.041
Vormfelde et al., 2006	98/97	Neuroticism	L protective in males	p = 0.049
Walderhaug et al., 2007	44/39	Mood (tryptophan depletion)	SL protective in females	p = 0.019
Walderhaug et al., 2010	14/38	Impulsivity	S associated in males	p = 0.033
Zhang et al., 2015	158/104	Anxiety; Functional connectivity	SS associated in males	p = 0.006; < 0.005
<b>MAOA-LPR</b>				
Adkins et al., 2012	987/922	Depression	H males experience increased distress in late adolescence	p < .05
Aslund et al., 2011	882/943	Delinquency	L interacts with maltreatment in males; H interacts with maltreatment in females	p < 0.001
Buckholtz et al., 2008	63/60	Amygdala activity; Functional connectivity	S associated with dysregulated amygdala activity, increased vmPFC connectivity in males	p < 0.01; < 0.008
Chen et al., 2013	193/152	Happiness	L associated in females	p = 0.002
Deckert et al., 1999	254/145	Panic disorder	H associated in females	p = 0.001
Du et al., 2002	39/97	Depressed suicide	H associated in males	p = 0.012
Eley et al., 2003	76/41	Neuroticism	H associated in males	p < 0.01
Frazetto et al., 2007	153/82	Physical aggression	L interacts with life events in males	p = 0.009
Guo et al., 2008	1324/1200	Delinquency	L associated in males	p = 0.008
Holz et al., 2016	53/72	Activity in amygdala and hippocampus	Increased with life events in male L, decreasing in male H; reversed in females	p = 0.008; 0.005
Huang et al., 2004	424/342	Impulsivity	L interacts with abuse in males	p = 0.038
Huang et al., 2009	281/309	Depression	L associated with severe depression in females	p = 0.041
Jabbi et al., 2007	31/33	Baseline cortisol	H associated with higher cortisol in females	p < 0.009
Lung et al., 2011	567/410	Depression	H associated in males	p = 0.041
Maron et al., 2004	18/11	CCK-4-induced panic attacks	Higher rate in L females	p = 0.007
Maron et al., 2005	286/87	Panic disorder with agoraphobia	H associated in females	p = 0.016
Melas et al., 2013	993/675	Depression	L interacts with childhood adversity in females	p = 0.006
Meyer-Lindenberg et al., 2006	72/70	Limbic volume and activity	L associated with amygdalar, hippocampal, cingulate activity, orbitofrontal volume in males	p < 0.05
Nikulina et al., 2012	280/295	Dysthymia	H interacts with life stress in females	p < .05
Nilsson et al., 2011	735/851	Adolescent alcohol consumption	H associated in females; L associated in males	p = 0.006; < 0.001
Prom-Wormley et al., 2009	721/578	Conduct disorder	H associated in females	p = 0.05
Reif et al., 2012	1636/739	Panic disorder	H associated in females	p = 0.006
Rivera et al., 2009	884/344	Depression	H associated in females	p < 0.05
Samochowiec et al., 2004	225/78	Anxiety disorders	H associated with panic attacks and generalized anxiety disorder in females	p < 0.05
Schulze et al., 2000	170/77	Depression	H associated in females	p = 0.029
Verhoeven et al., 2012	332/100	Aggression	H associated in females	p = 0.03
Voltas et al., 2015	143/85	Anxiety	H associated in females; L in males	p = .026; .031
Wakschlag et al., 2010	99/77	Conduct disorder; hostile attribution bias	L associated with conduct disorder in males; H associated with CD and bias in females	p = 0.03; 0.002; 0.04
Williams et al., 2009	69/141	Emotion-processing event related potentials	Differing response localization between L males and females	p < 0.05
Yu et al., 2005a	236/205	Depression	H associated in females; smaller effect in males	p = 0.008
<b>TPH1 and TPH2</b>				
Keltikangas-Järvinen et al., 2007	186/155	Harm avoidance	TPH1 haplotype (A218C A and A779C A) interacts with childhood environment in females	p = 0.002
Serretti et al., 2001	851/573	Depression	TPH1 A218C A protective in males	p = 0.016
Armbruster et al., 2010	228/219	Startle response	Higher in TPH2 -703 G/G females; higher in T males	p = 0.043; 0.039
Kim et al., 2009	272/183	Panic disorder	TPH2 rs4570625 T protective in females	p = 0.041
Maron et al., 2007	375/141	Panic disorder	TPH2 rs1386494 G protective in females	p = 0.01
Shen et al., 2011	278/90	Depression	TPH2 haplotype (rs4290270 A and rs7305115 A) associated in females	p = 0.001
Stoltenberg et al., 2012	309/168	Impulsivity	TPH2 rs1386483 A associated in males	p = 0.018
Utge et al., 2010	967/687	Clinical manifestations of depression	TPH2 rs12229394 associated with depression accompanied by fatigue in females	p = 0.005

F/M: Numbers of females and male participants

p: Reflects main effects in studies with positive findings only in one sex, or gene-sex interaction effects when examined

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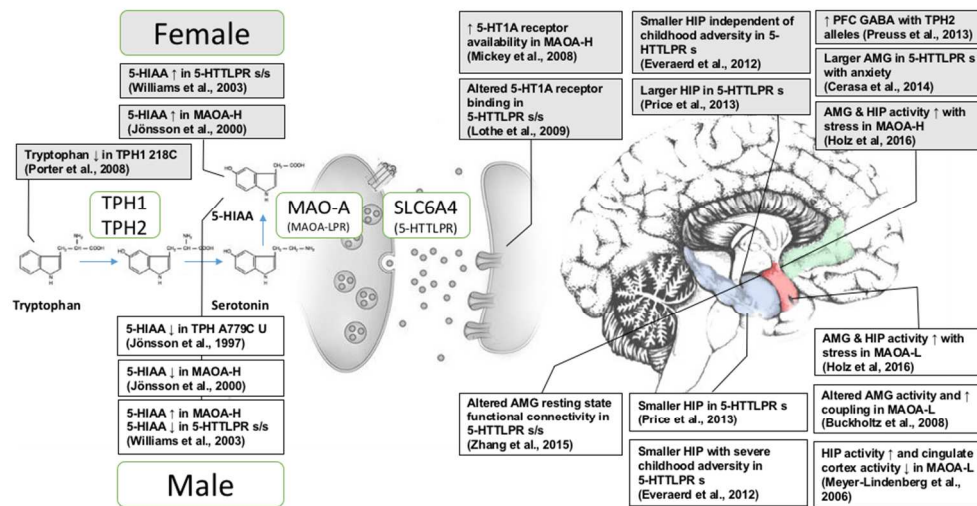


Figure 1. Different effects of serotonergic genotype in the female (top) and male (bottom) brain; AMG: amygdala, HIP: hippocampus

Figure 1

89x47mm (300 x 300 DPI)

Accepted

### Studies Showing Sex Differences in the Effect of Serotonergic Polymorphisms

Serotonin Transporter 5-HTTLPR	17	14	20	48
Monoamine Oxidase A MAOA-LPR	8	13	10	30
Tyrosine Hydroxylase Polymorphisms	3	2	3	8
	Depression	Related Disorders	Risk Factors Endophenotypes	Total

65x38mm (300 x 300 DPI)

Accepte

**The effects of polymorphisms in serotonin transporter, monoamine oxidase a, and tyrosine hydroxylase genes on depression, clinical expressions, and latent risk factors are modulated by sex differences.**

Accepted Article