

1 **Severe Transplant-Associated Thrombotic microangiopathy in Patients with**
2 **Hemoglobinopathies**

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21 **Key words:** Hemoglobinopathies, transplant-associated thrombotic microangiopathy,
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23 **Short running title:** TA-TMA in patients with hemoglobinopathies

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26 **Abbreviation list**

TA-TMA	Transplant Associated Thrombotic Microangiopathy
AKI	Acute Kidney Injury
CKD	Chronic Kidney Disease
HCT	Hematopoietic Cell transplant
SCD	Sickle Cell Disease
HUS	Hemolytic Uremic Syndrome
TTP	Thrombotic Thrombocytopenic purpura
HTN	Hypertension
MAC	Myeloablative conditioning
GvHD	Graft Versus Host Disease
PEF	Pericardial effusion
CRRT	Continuous renal replacement therapy
PRES	Posterior Reversible Encephalopathy Syndrome
Bu/Cy/rATG	Busulfan, cyclophosphamide and rabbit anti- thymocyte globulin
RSV	Respiratory Syncytial virus
CECs	Circulating endothelial cells
ICAM-1	Intracellular adhesion molecule 1
VCAM-1	Vascular cell adhesion molecule 1

eDAMPs	Erythrocyte damage associated molecular patterns
eGFR	Estimated Glomerular filtration rate
LDH	Lactate dehydrogenase
CAE units	Complement Activity enzyme units
CFH	Complement factor H

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28 **Abstract**

29 Incidence and severity of transplant-associated thrombotic microangiopathy (TA-
30 TMA) in patients with hemoglobinopathies receiving hematopoietic cell transplant is
31 unknown. We report the outcomes for two patients with TA-TMA who received eculizumab.
32 A 2.5 year old male with sickle cell disease developed TA-TMA-associated pericardial
33 tamponade, severe hypertension and acute kidney injury two months post-transplant. A
34 seven-year-old female with beta-thalassemia major developed TA-TMA-related AKI, severe
35 hypertension and seizures at six months post-transplant. Both patients progressed to chronic
36 kidney disease (CKD). In patients with hemoglobinopathies, preexisting endothelial
37 dysfunction may place them at greater risk for TA-TMA and subsequent CKD.

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39 **Introduction**

40 Hematopoietic cell transplant (HCT) is a curative option for patients with
41 hemoglobinopathies like thalassemia and sickle cell disease (SCD). These patients have
42 endothelial dysfunction as a result of ongoing hemolysis [1-4]. It is unknown whether this
43 pre-existing pathology places these patients at higher risk of transplant associated thrombotic
44 microangiopathy (TA-TMA), a condition associated with widespread vascular injury.
45 Incidence and severity of TA-TMA in this population undergoing HCT is unknown.

46 TA-TMA belongs to the family of thrombotic microangiopathies including hemolytic
47 uremic syndrome (HUS) and thrombotic thrombocytopenic purpura (TTP). Endothelial
48 injury leads to thrombosis, fibrin deposition, platelet consumption, and microangiopathic
49 hemolytic anemia [5]. Clinical manifestations depend on the predominant organ
50 microcirculation affected. Patients may present with intractable hypertension (HTN), acute
51 kidney injury (AKI), pulmonary hypertension, polyserositis or multi-organ failure. TA-TMA
52 is associated with considerable morbidity and mortality; as affected patients are 4.3 times
53 more likely to develop chronic kidney disease (CKD) and have higher non-relapse mortality
54 rate (43.6% vs 7.8%) than unaffected patients [6]. We describe two children with
55 hemoglobinopathies who developed severe clinical manifestations of TA-TMA post HCT,
56 despite receiving eculizumab therapy

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63 Case Reports

64 Case 1

65 A 2.5 year old African male with severe SCD underwent single-unit, sibling cord
66 blood transplant following myeloablative conditioning (MAC) with busulfan,
67 cyclophosphamide and rabbit anti-thymocyte globulin (Bu/Cy/rATG). Tacrolimus and
68 mycophenolate mofetil were given for graft-versus-host disease (GvHD) prophylaxis. Sixty-
69 eight days post-transplant he presented with acute respiratory distress secondary to
70 pericardial effusion (PEF) with tamponade. He was normotensive with normal blood counts
71 and therapeutic tacrolimus levels. He underwent pericardiocentesis, which did not identify an
72 infectious cause and therefore treatment with high-dose methylprednisone was started. After
73 1 week of therapy he developed recurrent PEF necessitating a pericardial drain, progressive
74 pancytopenia, refractory hypertension (requiring eight anti-hypertensives) and AKI requiring
75 continuous renal replacement therapy (CRRT). Laboratory testing was consistent with TA-
76 TMA (Table I). Despite discontinuing tacrolimus, his symptoms worsened. Therefore, one
77 week later eculizumab therapy was initiated. Dosing schedule was as follows: 1200 mg/week
78 (week 1), 900 mg/week (weeks 2-3), 600 mg/week (weeks 4-8), 300 mg/week (weeks 9-17)
79 and 300 mg/2 weeks (weeks 18-21). Hematological abnormalities normalized and effusion
80 resolved after 4 weeks of therapy. CRRT was discontinued after 2 weeks and nephrotic range
81 proteinuria resolved after 21 weeks. However, he progressed to CKD with HTN (requiring
82 two anti-HTN medications). Currently, he is 30 months post-transplant and has an estimated
83 GFR (eGFR) of 45 ml/min/1.73m² (pre-transplant eGFR 128ml/min/1.73m²).

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86 Case 2

87 A seven-year-old Asian female with β -thalassemia major on chronic transfusion
88 therapy, underwent a 10/10 matched unrelated donor bone marrow transplant following MAC
89 with fludarabine, Bu/Cy/rATG and GvHD prophylaxis with cyclosporine and methotrexate.
90 Post-transplant course was complicated by grade II acute GvHD, posterior reversible
91 encephalopathy syndrome (PRES) with intracranial bleed, gastrointestinal hemorrhage, PEF
92 and AKI. Six months post-transplant she developed worsening hematuria, proteinuria with
93 intractable hypertension (requiring five anti-hypertensive medications). She was started on
94 hemodialysis. Investigations revealed TA-TMA (Table 1). There was minimal response to
95 discontinuation of cyclosporine, therapeutic plasma exchange and basiliximab. A renal
96 biopsy performed showed acute, severe thrombotic microangiopathy (Figure 1) with C4d
97 deposits in glomerular capillary loops and arterioles (not shown). A month later she
98 presented with seizures and brain MRI revealed diffuse white matter abnormality consistent
99 with severe TMA and therefore she was started on eculizumab therapy and received 900
100 mg/week (weeks 1-4) followed by 900 mg/2 weeks (weeks 5- 21). Hematological response
101 was seen after 4 weeks of therapy. She had persistent nephrotic range proteinuria with no
102 evidence of renal recovery. She progressed to CKD with HTN (requiring four anti-
103 hypertensives) and remained dialysis dependent with an eGFR of $35\text{ml}/\text{min}/1.73\text{m}^2$ (pre-
104 transplant eGFR $103\text{ml}/\text{min}/1.73\text{m}^2$). She died 16 months post-transplant due to RSV-related
105 respiratory failure and TA-TMA related acute on CKD.

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109 **Discussion**

110 The vascular endothelium is a dynamic surface, which upon exposure to various
111 stimuli, undergoes changes in gene expression and participates in inflammatory reactions,
112 immunity and thrombosis [7]. Earlier studies in hemoglobinopathies have demonstrated an
113 increase in the number of circulating endothelial cells (CECs) that express surface markers of
114 endothelial activation and injury like soluble intracellular adhesion molecule 1 (ICAM-1) and
115 vascular cell adhesion molecule 1 (VCAM-1) [8,9].

116 Studies in hemoglobinopathies have shown that products of red cell breakdown:
117 plasma free hemoglobin, heme and arginase-1 disrupt endothelial function and drive
118 oxidative inflammatory stress known as erythrocyte damage associated molecular patterns
119 (eDAMPs) [3,4]. These eDAMPs are implicated in the pathogenesis of vascular related
120 complications associated with hemoglobinopathies. Interestingly, there are several reports of
121 SCD patients (HbSS, HbSC and HbS β 0) in non-transplant setting presenting with TTP
122 following SCD crisis [10-11]. These patients had normal ADAMTS13 levels and responded
123 to therapeutic plasma exchange. It is unknown if pre-existing vascular dysfunction
124 influences onset and severity of TA-TMA in patients with hemoglobinopathies undergoing
125 HCT.

126 TA-TMA is a multisystem disease characterized by vascular injury, variable clinical
127 presentation and predominant renal involvement [12]. Diagnosis is based on clinical criteria
128 published by Blood and Marrow Clinical Trial Network [13]. These criteria may be absent in
129 early stages of TA-TMA as noted in our patients, leading to diagnostic confusion especially
130 in the presence of co-existing morbidities such as GvHD and infections [14].

131 HTN, elevated lactate dehydrogenase (LDH), and proteinuria are early markers for
132 TA-TMA [14]. PEF is seen in 30% patients with TA-TMA and may be the only
133 manifestation as seen in patient 1 [15]. During transplant conditioning regimens,

134 opportunistic infections, GvHD and immunosuppressive agents initiate and aggravate
135 endothelial damage, the pathological hallmark of TA-TMA [12]. Increased levels of markers
136 of endothelial damage like von Willebrand factor antigen, thrombomodulin, plasminogen
137 activator inhibitor 1, ICAM-1, and CECs have been reported in TA-TMA [12,16].

138 Recent studies have shown complement activation and dysregulation in the
139 pathogenesis of TA-TMA [17,18]. Eculizumab a monoclonal antibody against the
140 complement component C5, blocks formation of membrane attack complex and is an
141 effective treatment for TA-TMA Normalization of intravascular hemolysis indices and
142 proteinuria to non-nephrotic range as originally described by Jodele et al was used to guide
143 eculizumab therapy in both patients [19]. Additionally, in patient1, hemolytic complement
144 activity: CH50 (a surrogate marker of complement activation) levels were monitored twice
145 weekly during therapy. Levels were kept ≤ 4 complement activity enzyme (CAE) units
146 as this has been shown to correlate with therapeutic eculizumab levels of $> 99\mu\text{g/ml}$ [19].
147 Therapy was tolerated and there were no infusion related side effects or severe bacterial
148 infections in both patients. We recommend the recent dosing schedule suggested by Jodele et
149 al utilizing CH50 and sC5-9 b levels to monitor response and guide frequency and duration of
150 eculizumab therapy [20].

151 While both our patients had severe TA-TMA with a poor renal response, it is possible
152 that eculizumab therapy prior to overt clinical manifestations may have prevented CKD. As
153 evidenced in our first patient, long term monitoring of kidney functions with control of HTN
154 is essential, given the potential for some renal recovery.

155 **Conclusion**

156 We conclude that patients with hemoglobinopathies may be pre-disposed to TA-
157 TMA, given pre-existing endothelial dysfunction and subsequent vascular injury sustained

158 during HCT. Future clinical trials utilizing HCT for hemoglobinopathies should evaluate the
159 utility of early markers of endothelial damage to diagnose TA-TMA. This will help to
160 determine the role of pre-emptive eculizumab therapy prior to the onset of overt clinical
161 manifestations and prevention of CKD.

162 **Conflicts of Interest:** None

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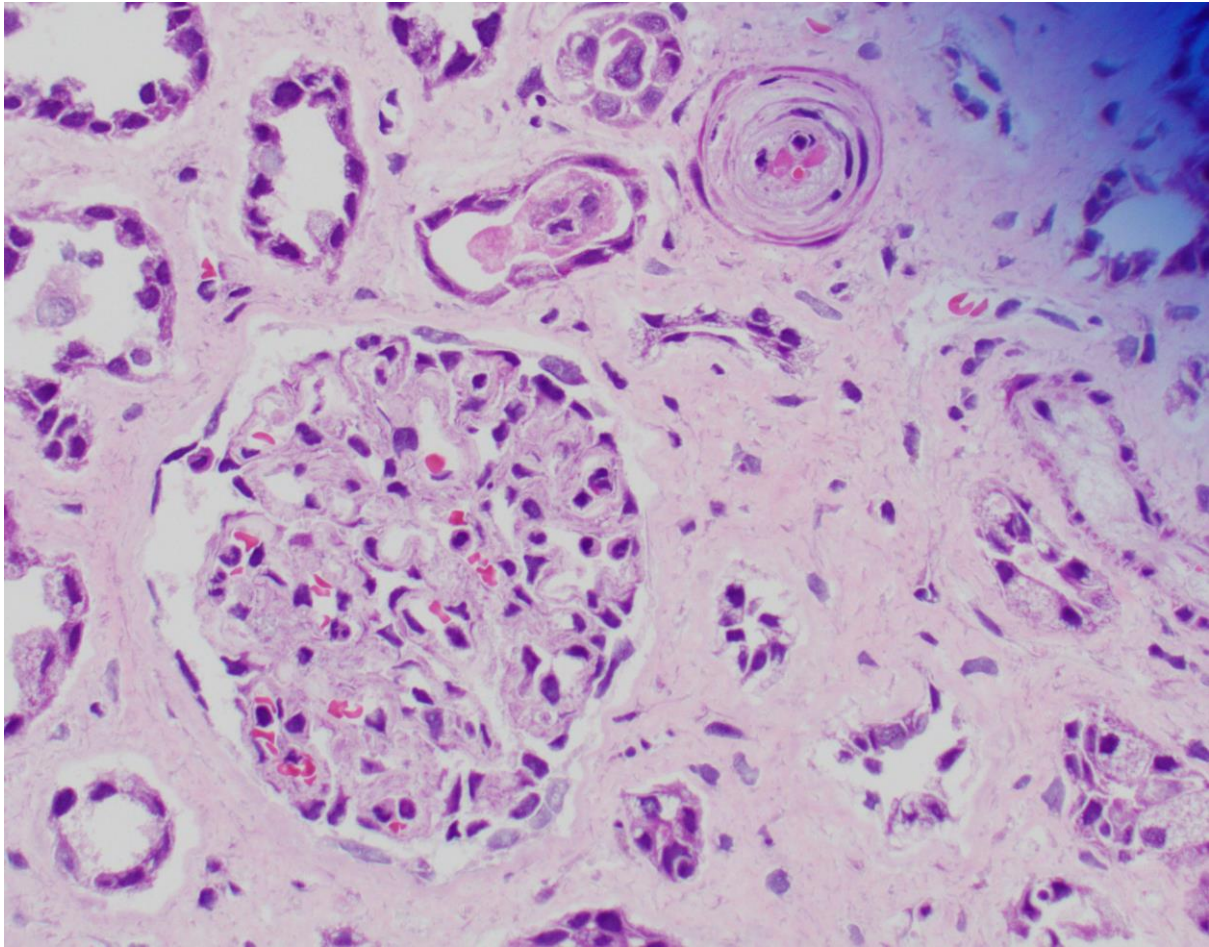
216 **LEGEND List:**

217 **Figure. 1. Renal Biopsy:** Glomeruli showing evidence of acute TMA in glomerular
218 capillary loops with marked vascular congestion, mesangiolysis and erythrocyte fragments.

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223 **TABLE 1: Laboratory Values at Diagnosis**

Test	Patient 1	Patient 2
LDH	2926 (350 - 850 U/L)	1121 (140 - 280 U/L)
Hemoglobin	8 (11.5 - 13.5 gm/dl)	7.4 (11.9 - 15 gm/dl)
Reticulocyte count	6 (0.4 - 1.8%)	4.6 (0.5 - 2%)
Platelet counts	114,000 cells/mm ³	42,000 cells/mm ³
Haptoglobin	30 (33 - 171 mg/dl)	<20 (30 - 200 mg/dl)
Peripheral schistocytes	Rare to absent	3+

Urine protein	324 (< 100 mg/dl)	300 (<100 mg/dl)
Urine protein creatinine ratio	14.14 (0.19 mg of protein/mg of creatinine)	20.7 (0.2 mg of protein/mg of creatinine)
sC5-9b at diagnosis	139 (74 - 244 ng/ml)	Not tested
ADAMTS13 level	70 (>66%)	65 (>66%)
Factor B	21.1 (13.3 - 31.5 mg/dl)	25.8 (12.7-27.8 mg/dl)
Factor I	3.7 (2.4 - 4.9 mg/dl)	4.95 (2.9 - 5.8 mg/dl)
Factor H	65.6 (37- 68 mg/dl)	32.1 (16 - 41 mg/dl)
Factor H antibody	Negative	Negative
C3	178 (86 - 184 mg/dl)	112 (90 - 180 mg/dl)
C4	56 (16 - 59 mg/dl)	Not tested
CH50	221 (101- 300 CAE U/ml)	201 (60 – 144 CAEU/ml)
Renal biopsy	No	Yes, TMA changes

224 LDH, Lactate Dehydrogenase; CAE units, Complement Activity enzyme units; Normal

225 values for age are in parenthesis.

226