

# **MIBG Avidity Correlates with Clinical Features, Tumor Biology, and Outcomes in Neuroblastoma: A Report from the Children's Oncology Group**

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**Abbreviations:**

COG	Children's Oncology Group
HVA	Homovanillic acid
MIBG	Metaiodobenzylguanidine
NET	Norepinephrine transporter
EFS	Event-free survival
LOH	Loss of heterozygosity
MKI	Mitotic karyorrhectic index

OS	Overall survival
VMA	Vanillylmandelic acid
VMAT	Vesicular monoamine transporter

## ABSTRACT

*Background:* Prior studies suggest that neuroblastomas that do not accumulate MIBG on diagnostic imaging (MIBG non-avid) may have more favorable features compared to MIBG avid tumors. We compared clinical features, biologic features, and clinical outcomes between patients with MIBG non-avid and MIBG avid neuroblastoma.

*Procedure:* Patients had metastatic high- or intermediate-risk neuroblastoma and were treated on Children's Oncology Group protocols A3973 or A3961. Comparisons of clinical and biological features according to MIBG avidity were made with chi-squared or Fisher exact tests. Event-free (EFS) and overall (OS) survival compared using log-rank tests and modeled using Cox models.

*Results:* Thirty of 343 patients (8.7%) had MIBG non-avid disease. Patients with non-avid tumors were less likely to have adrenal primary tumors (34.5% vs. 57.2%;  $p=0.019$ ), bone metastases (36.7% vs. 61.7%;  $p=0.008$ ), or positive urine catecholamines (66.7% vs. 91.0%;  $p<0.001$ ) compared to patients with MIBG avid tumors. Non-avid tumors were more likely to be *MYCN* amplified (53.8% vs. 32.6%;  $p=0.030$ ) and had lower norepinephrine transporter expression. Patients with MIBG non-avid disease had a 5-year EFS of 50.0% compared to 38.7% for patients with

MIBG avid disease ( $p=0.028$ ). On multivariate testing in high-risk patients, MIBG avidity was the sole adverse prognostic factor for EFS identified (hazard ratio 1.77; 95% confidence interval 1.04 – 2.99;  $p=0.034$ ).

*Conclusions:* Patients with MIBG non-avid neuroblastoma have lower rates of adrenal primary tumors, bone metastasis, and catecholamine secretion. Despite being more likely to have *MYCN* amplified tumors, these patients have superior outcomes compared to patients with MIBG avid disease.

## INTRODUCTION

Neuroblastoma is a pediatric tumor derived from the sympathetic nervous system. Metaiodobenzylguanidine (MIBG) radiolabeled with  $^{123}\text{I}$  or  $^{131}\text{I}$  plays an important role in imaging and therapy in patients with neuroblastoma.<sup>1-3</sup> MIBG uptake is mediated by the norepinephrine transporter (NET),<sup>4-6</sup> though expression of other transporters has also been shown to correlate with MIBG uptake.<sup>7</sup>

Prior reports showed that some neuroblastomas do not accumulate MIBG, with estimates of approximately 10%.<sup>8-10</sup> The extent to which patients with MIBG non-avid neuroblastoma differ from patients with MIBG avid disease is largely unknown. Previous smaller studies have suggested that these patients are more likely to have low-stage disease and less likely to secrete catecholamines, such as vanillylmandelic acid (VMA) and homovanillic acid (HVA).<sup>11,12</sup> In addition, ganglioneuroma and ganglioneuroblastoma have been reported to be more likely to be MIBG non-avid compared to neuroblastoma histologies.<sup>11</sup> In small case series, clinical outcomes for these patients appear to be favorable.<sup>11,12</sup>

Previous work by our group demonstrated superior event-free survival for patients with MIBG non-avid tumors compared to patients with MIBG avid disease.<sup>10</sup> That comparison focused exclusively on patients with high-risk disease and did not evaluate other potential differences between these groups. The goal of the current study therefore was to extend this analysis to obtain a more comprehensive understanding of patients with MIBG non-avid neuroblastoma, including both intermediate-risk and high-risk patients. We sought to compare clinical features,

tumor biology, and clinical outcomes between patients with MIBG avid and non-avid disease.

## **METHODS**

### *Patients*

Patients were eligible for the overall analytical cohort if they met either of the following criteria. The high-risk group comprised 306 patients with metastatic high-risk neuroblastoma treated on COG protocol A3973<sup>13</sup> who had MIBG scans that were previously centrally reviewed as part of a prior analysis.<sup>10</sup> The intermediate-risk cohort comprised 37 patients treated on COG protocol A3961<sup>14</sup> and who were coded as having metastatic disease and at least one bone metastasis. Since MIBG scans from A3961 were not available for central review, the latter criterion was included to reduce the likelihood of a false report of MIBG negativity in patients with locoregional disease who underwent tumor resection prior to baseline MIBG scan.

### *Dependent and Independent Variables*

The primary dependent variable of interest was MIBG avidity based upon MIBG scan at initial diagnosis. For patients treated on protocol A3973, baseline MIBG scans were centrally reviewed<sup>10</sup> and the outcome of that review (MIBG avid vs. MIBG non-avid) was used in the current analysis. For patients treated on protocol A3961, data on MIBG avidity were collected at the time of enrollment.

Key independent variables of interest were available from data collected as part of A3961, A3973, and the COG neuroblastoma biology study. Sex, age, primary site, metastatic sites, and secretion of urinary catecholamines (available only for A3973)

were key clinical features. The presence of bone metastases was recorded at diagnosis based upon all available imaging data, with bone scan required at study entry on both A3961 and A3973 protocols. Biological factors included *MYCN* status, ploidy, specific segmental chromosomal aberrations, histologic diagnosis, International Neuroblastoma Pathology Classification<sup>15</sup> category, mitotic karyorrhectic index (MKI)<sup>16</sup> and grade. Event-free survival (EFS) and overall survival (OS) rates were determined as described in the next section.

A subset of 23 patients (18 MIBG avid and 5 non-avid) in the analytical cohort were also included in the Therapeutically Applicable Research to Generate Effective Treatments (TARGET) project and had gene expression data available from Affymetrix HuEx Arrays for secondary analysis for this project, with raw data processing and normalization as previously reported.<sup>17</sup> For presented figures and statistical tests, gene expression data were log base 2 transformed from their raw values. We analyzed mRNA expression levels for genes encoding a panel of membrane transporters [norepinephrine transporter (NET), vesicular monoamine transporter 1 (VMAT1), VMAT2, somatostatin receptor 1 and 2, dopamine receptor D2, electroneutral sodium bicarbonate exchanger 1, monocarboxylate transporter 9, and sodium/potassium/calcium exchanger 5] and encoding enzymes involved in neurotransmitter metabolism (catechol-O-methyltransferase, dopamine beta-hydroxylase, glutamate decarboxylase 1, histidine decarboxylase, phenylethanolamine-N-methyltransferase, tryptophan hydroxylase 1, and tyrosine hydroxylase).

## *Statistical Methods*

Comparisons of the distribution of clinical and biological features according to MIBG avidity were made using chi-squared testing, unless any cell in a contingency table contained < 5 observations in which case Fisher's exact test was used. No correction for multiple testing was performed. EFS was determined using the Kaplan-Meier method<sup>18</sup> as the time from enrollment to first episode of relapse, disease progression, death, or second malignancy, with patients without event censored at time of last follow-up. OS was determined using the Kaplan-Meier method as the time from enrollment to death, with surviving patients censored at time of last follow-up. Differences in EFS and OS rates were assessed with log-rank tests. Cox proportional hazards regression models of EFS and OS were constructed using backward selection methods, with MIBG avidity retained as a fixed variable in all models. The proportional hazards assumption was confirmed by testing time-dependent covariates. These analyses were performed using SAS version 9.3 (SAS Institute, Inc; Cary, NC). Gene expression data were compared using a series of Welch's t-tests performed in R.

## **RESULTS**

### *Incidence of MIBG Non-Avid Disease*

Among patients in the combined cohort of intermediate- and high-risk disease, 30/343 (8.7%) patients had MIBG non-avid disease. Among patients with intermediate-risk disease (protocol A3961), only 1/37 (2.7%) patient had MIBG-non avid disease. Among patients in the high-risk cohort (protocol A3973), 29/306 (9.5%) patients had MIBG non-avid disease.



### *Clinical and Biological Features Differ in MIBG Avid vs. Non-Avid Neuroblastoma*

We first evaluated clinical and biological features in the pooled cohort of patients with intermediate-risk and high-risk neuroblastoma (Table 1). Patients with non-avid tumors were less likely to have adrenal primary tumors or bone metastases compared to patients with MIBG avid tumors. Non-avid tumors were more likely to be *MYCN* amplified. There was no difference in the proportion of patients with ganglioneuroblastoma between MIBG avid and non-avid groups.

We next repeated these analyses exclusively in patients with high-risk neuroblastoma, all of whom had stage 4 disease and centrally reviewed baseline MIBG scans (Table 1). Patients with non-avid tumors were less likely to have adrenal primary tumors, bone metastasis, or positive urine catecholamines.

### *MIBG Avidity is an Adverse Prognostic Factor in Neuroblastoma*

In the pooled cohort with intermediate-risk and high-risk disease, patients with MIBG avid disease had inferior clinical outcomes (Figure 1). Patients with MIBG avid disease had a 5-year EFS of 38.7 +/- 2.9% compared to 50.0 +/- 9.5% for patients with MIBG non-avid disease (Figure 1A; p=0.028). Patients with MIBG avid disease had 5-year OS of 52.2 +/- 2.9% compared to 66.5 +/- 9.1% for patients with non-avid disease (Figure 1B; p=0.104). On multivariate testing, the hazard ratio for an event for patients with MIBG avid tumors was 1.77 (95% confidence interval 0.98-3.19; p=0.059) after adjusting for significant variables (age and ploidy) following backward selection. MIBG avidity was not a significant predictor of overall survival on multivariate testing after adjusting for other significant variables (age, *MYCN* status,

and MKI) following backward selection (hazard ratio for death for MIBG avid patients=1.46 with 95% confidence interval 0.73-2.91; p=0.283).

We next repeated these analyses exclusively in patients with high-risk neuroblastoma. In this cohort, MIBG avidity was an adverse prognostic factor for EFS and OS (Figure 2). Patients with MIBG avid disease had a 5-year EFS of 33.5 +/- 2.9% compared to 48.4 +/- 9.6% for patients with MIBG non-avid disease (Figure 2A; p=0.012). Patients with MIBG avid disease had a 5-year OS of 47.3 +/- 3.1% compared to 65.3 +/- 9.3% for patients with MIBG non-avid disease (Figure 2B; p=0.049).

On multivariate testing in high-risk patients, MIBG avidity was the sole adverse prognostic factor for EFS identified, while *MYCN* status, age, grade, MKI, and ploidy were not prognostic. Patients with MIBG avid disease had a hazard ratio for event of 1.77 (95% confidence interval 1.04-2.99; p=0.034) compared to patients with MIBG non-avid disease. MIBG avidity was not a significant predictor of overall survival on multivariate testing after adjusting for other significant variables (MKI) following backward selection (hazard ratio for death for MIBG avid patients=1.54 with 95% confidence interval 0.78-3.1; p=0.219).

#### *Gene Expression Differs Between MIBG Avid vs. Non-Avid Neuroblastoma*

Of the membrane transporters investigated, only expression for the gene encoding NET differed between MIBG avid vs. non-avid tumors (Figure 3). The median NET mRNA expression was 9.1 (range 6.3-10.1) for MIBG avid tumors vs. 7.6 (range 6.1-8.6) for MIBG non-avid tumors (p=0.052). Expression of genes encoding other

membrane transporters, including VMAT2, did not differ between groups. Likewise, expression of genes encoding enzymes involved in neurotransmitter metabolism did not differ between groups.

## DISCUSSION

In this comprehensive analysis of MIBG non-avid neuroblastoma, we made several observations. We noted for the first time that MIBG non-avid tumors have higher rates of *MYCN* amplification, a finding that may reflect the extent of neural differentiation of these tumors. We also observed a unique pattern of sites of disease involvement for these tumors, with lower rates of adrenal primary tumors and of bone metastatic tumors. Our univariate and multivariate analyses also confirmed reports from smaller studies indicating superior outcomes in MIBG non-avid neuroblastoma.<sup>11,12</sup>

One of our most striking observations was the higher rate of *MYCN* amplification among patients with MIBG non-avid tumors, with the majority of non-avid tumors harboring *MYCN* amplification. Previous work has shown lower levels of NET and vesicular monoamine transporter (VMAT) proteins in *MYCN* amplified tumors.<sup>4,7</sup> Taken together with our current findings, these observations suggest that *MYCN* amplification results in tumor cells that are less likely to express mature neural features, providing a mechanism for their lack of MIBG uptake. Another group has previously reported that, among MIBG-avid tumors, *MYCN* status is not associated with intensity of MIBG uptake.<sup>19</sup> However, *MYCN* amplified tumors have been reported to lead to more focal uptake on MIBG scans, which may have increased the potential for false negative interpretation.<sup>20</sup>

We were able to leverage data from the TARGET initiative to determine whether expression of key genes of interest differ between MIBG avid and non-avid tumors. We demonstrated that NET mRNA expression, but not VMAT2 mRNA expression, is lower in MIBG non-avid tumors. This finding is contrary to prior work by our group that relied on polymerase chain reaction assays for NET mRNA expression and failed to demonstrate a difference in NET gene expression between MIBG avid vs. non-avid tumors.<sup>4</sup> This discrepancy may reflect differences in methodology and/or tumor material between studies. We noted lower rates of urinary catecholamine secretion in patients with MIBG non-avid tumors. These findings suggest that MIBG non-avid tumors may be characterized by general down-regulation of neural features. However, similar levels of expression of genes involved in neurotransmitter metabolism between avid and non-avid tumors are not consistent with this hypothesis. Importantly, though, our sample size with available TARGET data may have limited our ability to detect differences.

MIBG avidity was associated with sites of primary and metastatic disease. Patients with MIBG non-avid tumors were significantly less likely to have adrenal tumors. The etiology for this novel observation is unclear. Previous work focused on evaluation of putative MIBG membrane transporters (NET and VMAT) did not show differential expression of these transporters between adrenal and non-adrenal tumors.<sup>4,7</sup> Our findings also suggest a lower rate of bone metastasis among patients with MIBG non-avid disease. It is not clear if this finding represents a true reduction in bone involvement or an ascertainment issue given differential sensitivity between MIBG vs. bone scans. While bone scans were required at study entry for both A3961 and

A3973, the results of these scans are not available as part of the current analysis.

We note that prior analyses from the International Neuroblastoma Risk Group reported higher rates of *MYCN* amplification among patients with adrenal primary tumors and among patients with bone metastasis.<sup>21,22</sup> Given that the cohort of patients with MIBG non-avid disease was enriched for patients with *MYCN* amplified disease, the lower rates of adrenal primary tumors and of bone metastasis in this group are unanticipated findings and suggest that these observations are not confounded by the association between MIBG avidity and *MYCN* status.

Our finding that patients with MIBG non-avid tumors have superior clinical outcomes confirms prior smaller studies that suggested this pattern.<sup>11,12</sup> We extended those prior observations by noting that MIBG avidity was the sole adverse prognostic factor for EFS among patients with high-risk disease. This finding is perhaps even more striking given that the cohort of patients with MIBG non-avid tumors was enriched with patients with *MYCN* amplification, an important adverse prognostic factor.<sup>23</sup> The mechanism by which MIBG avidity impacts survival is unknown and cannot be addressed by our available data. One hypothesis is that MIBG non-avid tumors show less neural differentiation and are therefore more sensitive to the cytotoxic agents that form the cornerstone of neuroblastoma therapy. Using conventional INPC grading, we were not able to detect a difference in grade between MIBG avid and non-avid tumors as nearly all tumors were undifferentiated or poorly differentiated.

Our study benefited from a relatively large group of patients with MIBG non-avid disease, a rare subset of neuroblastoma. MIBG avidity status was centrally

reviewed for the majority of patients and for all high-risk patients. The observation that MIBG non-avid patients have superior EFS was reported previously for the high-risk cohort.<sup>10</sup> We extended that and prior observations by evaluating not just clinical outcomes, but also baseline clinical and biological factors. We also acknowledge some important limitations of our work. In order to be more confident in the accuracy of MIBG avidity status, we focused exclusively on patients with metastatic disease. The extent to which our findings will generalize to patients with low-risk, localized intermediate-risk, or localized high-risk disease is unknown. In addition, scans from patients with intermediate-risk disease were not available for central review. Finally, only a limited number of patients had data available from the TARGET initiative. Further study of biological differences between MIBG avid and non-avid tumor cells and associated microenvironment is needed.

Our findings provide important new information about the complex interaction between MIBG avidity, *MYCN* amplification, and sites of disease involvement. In addition, these patients had superior outcomes in the context of this retrospective analysis, though outcomes remain unsatisfactory even for patients with MIBG non-avid disease. The COG plans to validate this finding prospectively as part of an upcoming phase 3 clinical trial for patients with newly diagnosed high-risk neuroblastoma. If validated, future studies may consider alternative treatment approaches that reflect the unique biology of these tumors. As new imaging tools to quantify extent of MIBG avidity become available, future studies may also explore differences based upon extent of MIBG avidity among patients with MIBG avid disease.

## **CONFLICT OF INTEREST**

The authors have no relevant disclaimers or disclosures.

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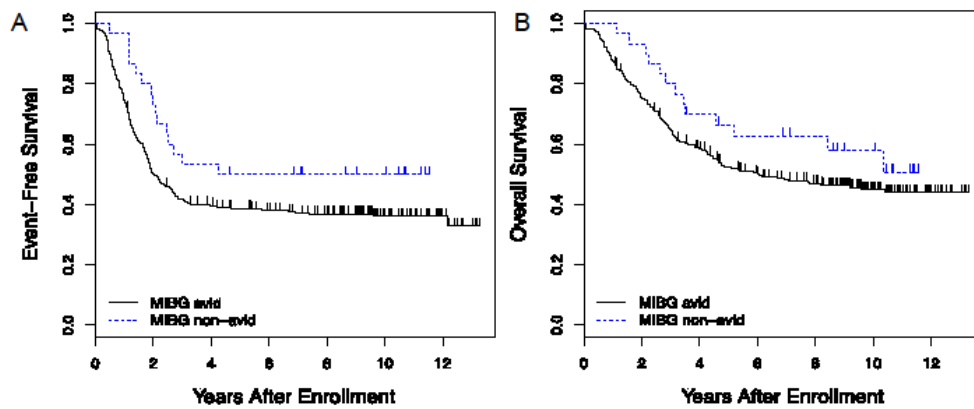
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## FIGURE LEGENDS

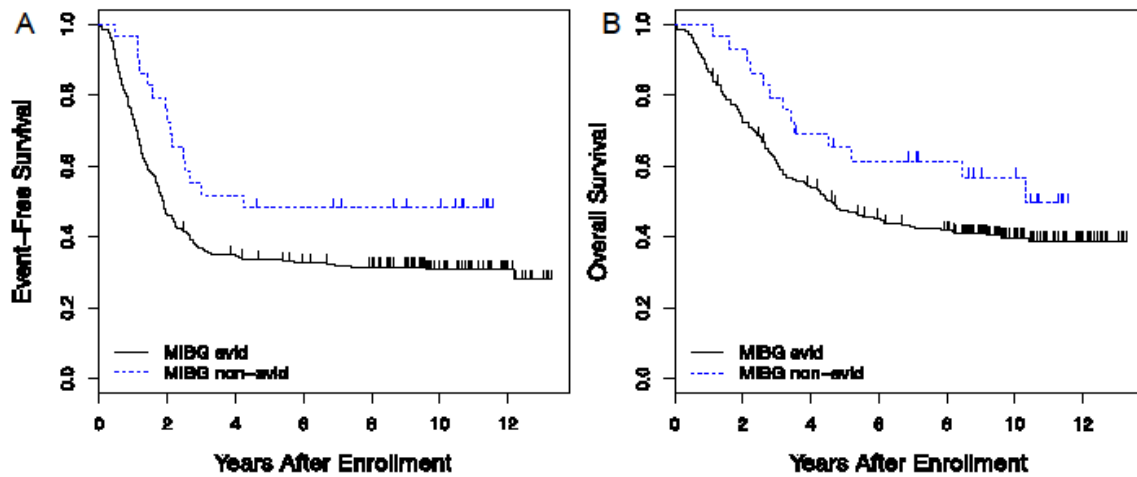
**Figure 1A.** Kaplan-Meier estimates of event-free survival for patients with intermediate and high-risk neuroblastoma according to MIBG avidity (n=313 avid and 30 non-avid; p=0.028). **B.** Kaplan-Meier estimates of overall survival for patients with intermediate and high-risk neuroblastoma according to MIBG avidity (n=313 avid and 30 non-avid; p=0.104).



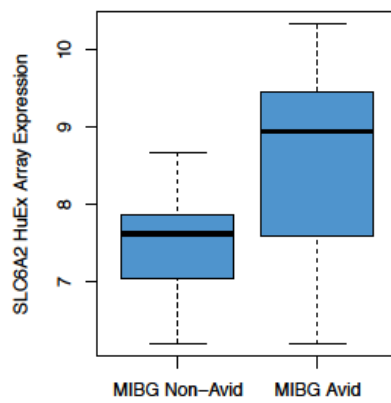
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**Figure 2A.** Kaplan-Meier estimates of event-free survival for patients with high-risk neuroblastoma according to MIBG avidity (n=277 avid and 29 non-avid; p=0.012).

**B.** Kaplan-Meier estimates of overall survival for patients with high-risk neuroblastoma according to MIBG avidity (n=277 avid and 29 non-avid; p=0.049).



**Figure 3.** Distribution of norepinephrine transporter mRNA expression between patients with MIBG avid (n=18) and non-avid (n=5) tumors. Data are presented as log base 2 values of normalized expression data.



Author

**TABLE 1** Clinical and biological features according to MIBG avidity.

Variable	Full Cohort				High-Risk Cohort Only			
	All Patients (n = 343)	MIBG Non-Avid (n = 30)	MIBG Avid (n = 313)	p-value	All Patients (n = 306)	MIBG Non-Avid (n = 29)	MIBG-Avid (n = 277)	p-value
Male	195	20 (66.7%)	175 (55.9%)	0.256	182	19 (65.5%)	163 (58.8%)	0.486
Female	148	10 (33.3%)	138 (44.1%)		124	10 (34.5%)	114 (41.2%)	
Age ≥18 months	272	25 (83.3%)	247 (78.9%)	0.568	272	25 (86.2%)	247 (89.2%)	0.545*
Age <18 months	71	5 (16.7%)	66 (21.1%)		34	4 (13.8%)	30 (10.8%)	
Adrenal primary	176	10 (34.5%)	166 (57.2%)	0.019	155	10 (35.7%)	145 (56.6%)	0.035
Other primary sites	143	19 (65.5%)	124 (42.8%)		129	18 (64.3%)	111 (43.4%)	
Thoracic primary	17	3 (10.3%)	14 (4.8%)	0.193*	13	3 (10.7%)	10 (3.9%)	0.125*
Other primary sites	302	26 (89.7%)	276 (95.2%)		271	25 (89.3%)	246 (96.1%)	
Bone metastasis	204	11 (36.7%)	193 (61.7%)	0.008	167	10 (34.5%)	157 (56.7%)	0.022
No bone metastasis	139	19 (63.3%)	120 (38.3%)		139	19 (65.5%)	120 (43.3%)	
Bone marrow metastasis	218	15 (50.0%)	203 (64.9%)	0.106	191	15 (51.7%)	176 (63.5%)	0.211
No bone marrow metastasis	125	15 (50.0%)	110 (35.1%)		115	14 (48.3%)	101 (36.5%)	
MYCN Amplified	98	14 (53.8%)	84 (32.6%)	0.030	98	14 (56.0%)	84 (37.8%)	0.078
MYCN Non-Amplified	186	12 (46.2%)	174 (67.4%)		149	11 (44.0%)	138 (62.2%)	
Diploid	152	17 (65.4%)	135 (53.6%)	0.249	132	16 (64.0%)	116 (53.7%)	0.328
Hyperdiploid	126	9 (34.6%)	117 (46.4%)		109	9 (36.0%)	100 (46.3%)	
LOH/Aberration at 1p	25	2 (33.3%)	23 (39.7%)	1.000*	22	2 (33.3%)	20 (43.5%)	1.000*
No 1p LOH/Aberration	39	4 (66.7%)	35 (60.3%)		30	4 (66.7%)	26 (56.5%)	
Aberration at 11q	19	1 (20.0%)	18 (35.3%)	0.652*	16	1 (20.0%)	15 (35.7%)	0.648*
No 11q Aberration	37	4 (80.0%)	33 (64.7%)		31	4 (80.0%)	27 (64.3%)	
Neuroblastoma	221	20 (80.0%)	201 (81.0%)	0.718*	197	19 (79.2%)	178 (79.5%)	0.730*
Ganglioneuroblastoma	22	3 (12.0%)	19 (7.7%)		22	3 (12.5%)	19 (8.5%)	
Other	30	2 (8.0%)	28 (11.3%)		29	2 (8.3%)	27 (12.0%)	

Unfavorable histology	220	21 (91.3%)	199 (83.3%)	0.550*	218	21 (95.5%)	197 (96.6%)	0.565*
Favorable histology	42	2 (8.7%)	40 (16.7%)		8	1 (4.5%)	7 (3.4%)	
High MKI	70	11 (50.0%)	59 (31.6%)	0.083	69	11 (52.4%)	58 (34.9%)	0.119
Low/Intermediate MKI	139	11 (50.0%)	128 (68.4%)		118	10 (47.6%)	108 (65.1%)	
Un-/Poorly differentiated	200	19 (86.4%)	181 (91.0%)	0.447*	179	18 (85.7%)	161 (90.4%)	0.450*
Differentiating	21	3 (13.6%)	18 (9.0%)		20	3 (14.3%)	17 (9.6%)	
Catecholamine +	Not Evaluated**				260	18 (66.7%)	242 (91.0%)	<0.001
Catecholamine -					33	9 (33.3%)	24 (9.0%)	

\*Fisher's exact test.

\*\*Data not collected for intermediate-risk patients, so variable only analyzed for high-risk cohort.