

## RESEARCH ARTICLE

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## Contribution of energetic and heavy ions to the plasma pressure: The 27 September to 3 October 2002 storm

## Key Points:

- The contribution of ions of different species to the total plasma pressure in the near-Earth magnetosphere is estimated
- The ability of the Space Weather Modeling Framework to reproduce the plasma pressure during a magnetic storm is tested
- The main source of oxygen ions is located at distances closer than XGSE =  $-13.5 R_E$  during the main phase

## Correspondence to:

E. A. Kronberg,  
kronberg@mps.mpg.de

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E. A. Kronberg<sup>1,2</sup> , D. Welling<sup>3</sup> , L. M. Kistler<sup>4</sup> , C. Mouikis<sup>4</sup> , P. W. Daly<sup>1</sup> ,  
E. E. Grigorenko<sup>5</sup> , B. Klecker<sup>6</sup> , and I. Dandouras<sup>7,8</sup> 

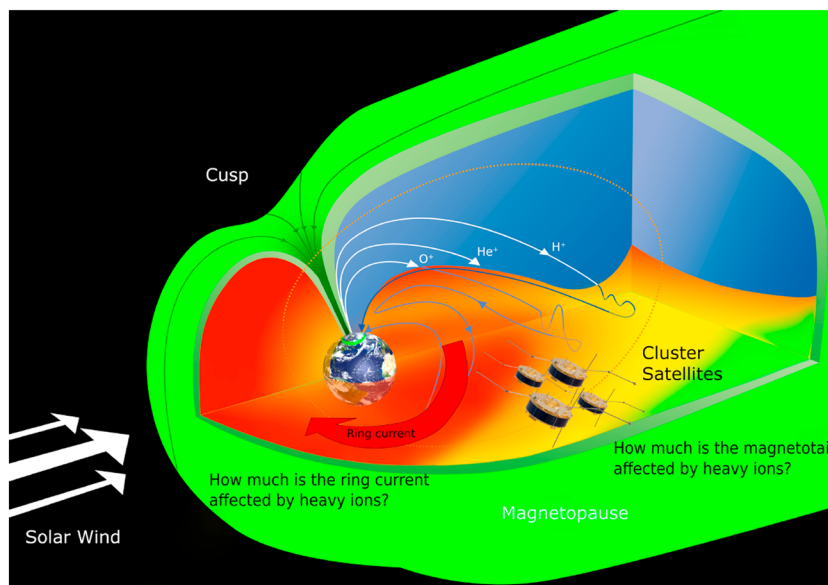
<sup>1</sup>Max Planck Institute for Solar System Research, Göttingen, Germany, <sup>2</sup>Department of Earth and Environmental Sciences, Ludwig Maximilian University of Munich, Munich, Germany, <sup>3</sup>Department of Climate and Space, University of Michigan, Ann Arbor, Michigan, USA, <sup>4</sup>Department of Physics, University of New Hampshire, Durham, New Hampshire, USA, <sup>5</sup>Space Research Institute, Russian Academy of Sciences, Moscow, Russia, <sup>6</sup>Max Planck Institute for Extraterrestrial Physics, Garching, Germany, <sup>7</sup>University of Toulouse, UPS-OMP, UMR 5277, Institut de Recherche en Astrophysique et Planétologie, Toulouse, France, <sup>8</sup>CNRS, IRAP, Toulouse, France

**Abstract** Magnetospheric plasma sheet ions drift toward the Earth and populate the ring current. The ring current plasma pressure distorts the terrestrial internal magnetic field at the surface, and this disturbance strongly affects the strength of a magnetic storm. The contribution of energetic ions ( $>40$  keV) and of heavy ions to the total plasma pressure in the near-Earth plasma sheet is not always considered. In this study, we evaluate the contribution of low-energy and energetic ions of different species to the total plasma pressure for the storm observed by the Cluster mission from 27 September until 3 October 2002. We show that the contribution of energetic ions ( $>40$  keV) and of heavy ions to the total plasma pressure is  $\approx 76$ – $98.6\%$  in the ring current and  $\approx 14$ – $59\%$  in the magnetotail. The main source of oxygen ions, responsible for  $\approx 56\%$  of the plasma pressure of the ring current, is located at distances earthward of XGSE  $\approx -13.5 R_E$  during the main phase of the storm. The contribution of the ring current particles agrees with the observed *Dst* index. We model the magnetic storm using the Space Weather Modeling Framework (SWMF). We assess the plasma pressure output in the ring current for two different ion outflow models in the SWMF through comparison with observations. Both models yield reasonable results. The model which produces the most heavy ions agrees best with the observations. However, the data suggest that there is still potential for refinement in the simulations.

**Plain Language Summary** Magnetospheric plasma sheet ions drift toward the Earth and populate the ring current. The ring current plasma pressure distorts the terrestrial internal magnetic field at the surface and strongly affects the strength of a magnetic storm. The contribution of energetic ions and of heavy ions to the total plasma pressure in the near-Earth plasma sheet is not always considered. In this study, we evaluate the input of these components for the storm observed from 27 September until 3 October 2002 using observations by the Cluster mission. We compare the results with simulations from the Space Weather Modeling Framework which take into account ionospheric ion outflow. We show that neglecting the contribution of energetic ions and of heavy ions to the total plasma pressure can lead to the pressure underestimations of 76–98.6% in the ring current and 14–59% in the magnetotail. We find that it is important to consider heavy ions, especially ionospheric oxygen, and include the energetic part of the ion distribution in the simulations of the ring current and the magnetotail during the magnetic storm.

## 1. Introduction

This study was initiated by the Geospace Environment Modeling (GEM) focus group on “the ionospheric source of magnetospheric plasma.” The aim of this group is to quantify the importance of the ionospheric source in magnetospheric dynamics. Observations from different satellites and modeling results were compared. For this study, the magnetic storm which occurred from 27 September until 3 October 2002 was chosen. This event is a prime opportunity for data-model comparison because of its strength and extensive data availability. During this event, the constellation of spacecraft such as Fast Auroral Snapshot Explorer (FAST), Polar, and Cluster allows us to follow the ionospheric ion distributions from the polar region to the lobes, the plasma



**Figure 1.** Artist's view of the circulation of ionospheric ions in the magnetosphere.

sheet, and eventually to the ring current region. This gives us an opportunity to validate numerical models at different stages of the ionospheric ion circulation.

The circulation of the ionospheric ions in the magnetosphere is shown in Figure 1. The ions flow from the polar regions of the ionosphere including the auroral region, cusp region, and the polar cap (polar wind). Polar wind and cusp ions flow into the lobe where they either get lost in the solar wind (through the distant tail) or convect into the plasma sheet and get trapped. It is shown by *Haaland et al.* [2015] that during a storm, the polar cap expands and the strength of the ion outflow increases by almost an order of magnitude. Ions from the auroral region enter the plasma sheet directly. The ions in the plasma sheet are accelerated by different mechanisms (for example, by the nonadiabatic Speiser acceleration, which is shown in the figure by wavy line), they drift westward and toward the Earth and lead to the increase of the plasma pressure especially in the ring current region (shown by the red arrow). A more detailed review on the circulation of heavy ions can be found in *Kronberg et al.* [2014].

There are a number of studies on the contribution of ions of different species and energies to the ring current. The contribution of the most representative ionospheric ion, oxygen, to the energy density during storms was estimated to vary from 25% to 47% [*Krimigis et al.*, 1985; *Hamilton et al.*, 1988; *Roeder et al.*, 1996; *Zhao et al.*, 2015]. *Greenspan and Hamilton* [2002] did a statistical study of the contributions of  $O^+$  and  $H^+$  over the energy range from 1.5 to 300 keV/e for 67 magnetic storms. They show that ions of ionospheric origin contribute  $\sim 20\%$  to  $\sim 150\%$  of the ring current energy density of protons during the maximum of the magnetic storms. The contribution of helium is not more than 3% during quiet times [*Pulkkinen et al.*, 2001] and 5% during a magnetic storm [*Hamilton et al.*, 1988]. *Kronberg et al.* [2012] showed that the energy density of oxygen at energies  $>270$  keV can be higher than the energy density of protons at distances between 6 and  $10R_E$  during magnetic storms. For ions with  $\approx 10$  keV, the oxygen contribution is about 20%.

Previous studies still disagree on the partition of the most contributing energies during quiet and stormy times. For example, *Krimigis et al.* [1985] using AMPTE CCE (Active Magnetospheric Particle Tracer Explorer Charge Composition Explorer) observations found that the ring current is dominated by protons at energies  $\approx 100$ – $300$  keV during both quiet and intense storm times. Other studies show that the contribution of energetic ions into the ring current is more significant during quiet times than during storm times [e.g., *Williams*, 1981; *Zhao et al.*, 2015; *Gkioulidou et al.*, 2016]. *Gkioulidou et al.* [2016] indicated that the high-energy ( $>100$  keV) proton component to the ring current pressure shows no correlation with the activity level (*SYM-H* index) and is dominating during nonstorm times. The low-energy ( $<80$  keV) proton component is strongly governed by convective timescales and is very well correlated with the absolute value of the *SYM-H* index, controlling the pressure during storm times. Other studies report different dominant energy ranges, e.g.,  $\approx 210$  keV during quiet times and  $\approx 85$  keV during storm times (observations from Explorer 45

by Williams [1981]) and  $>100$  keV and  $<50$  keV, respectively (recent comprehensive observations by Van Allen Probes by Zhao *et al.* [2015]).

The contribution of energetic protons ( $>40$  keV) and of heavier ions (He and  $O^+$ ) in the total plasma pressure is considered in some models, e.g., the Comprehensive Ring Current Model [Fok *et al.*, 2001] and the ring current-atmosphere interactions model [Jordanova *et al.*, 1997]. In most models of the terrestrial magnetosphere, however, the contribution is not considered. For example, the empiric models for ion plasma parameters by Tsyganenko and Mukai [2003] and Borovsky *et al.* [1998], which are commonly used for boundary conditions in models of the inner magnetosphere, are based on data of ions with energies less than 40 keV. Also, the empirical models of ion fluxes by Denton *et al.* [2015, 2016], which consider ions with  $\sim 1$  eV to  $\sim 40$  keV at geosynchronous orbit without resolving the species, can only be used to estimate the partial plasma pressure. This omission can be justified for ions at energies higher than  $>270$  keV. During an average substorm and at radial distances  $R > 6 R_E$ , the corresponding partial pressure is  $<2\%$  [Kronberg *et al.*, 2015]. However, ions at energies between 40 keV and 270 keV must be considered in models of the ring current. These additional particle populations can increase the ring current plasma pressure which distorts the terrestrial magnetic field. These energies are considered, for example, in the empirical model for electron plasma sheet densities and temperatures by Dubyagin *et al.* [2016].

In this study, we use observations by the Cluster satellites. The advantage of this mission is availability of ion composition measurements, a broad energy range (from  $\approx 40$  eV to 1 MeV), and the orbital coverage of both the ring current and the magnetotail region. For the first time, we estimate the contribution of different ion species at two energy ranges to the plasma pressure both in the magnetotail and the ring current during the development of a magnetic storm. The comparison of two different regions may give us a hint on which mechanisms are effective in populating the ring current and on the location of ion sources. We estimate the contribution of the derived plasma pressure to the *Dst* index and compare it with the observed one. For the first time, we compare the observed plasma pressure in the ring current for this magnetic storm event with simulations of the Space Weather Modeling Framework (SWMF) [Tóth *et al.*, 2005, 2012], which take into account ionospheric ion outflows from two different models. We assess our current capability to reproduce the ionosphere-magnetosphere coupling.

## 2. Instrumentation and Data

The Cluster spacecraft are flying in a tetrahedron-like formation in polar orbit around the Earth since the end of the year 2000. More information about the Cluster mission and its instrumentation is given in Escoubert *et al.* [1997].

The low-energy component of the pressure,  $P_{CIS}$ , for protons, helium, and oxygen at  $\sim 40$  eV/q to 40 keV/q is derived from observations by the Cluster Ion Spectrometry (CIS) using the time-of-flight ion Composition Distribution Function (CODIF) sensor [Rème *et al.*, 2001]. The inflight calibration for protons and heavy ions is continuously updated for CIS [Kistler *et al.*, 2013]. Background contamination in the ring current region is corrected for using a procedure from Moukikis *et al.* [2014].

The contribution of energetic ions at energies  $>40$  keV is calculated from the observations by the Research with Adaptive Particle Imaging Detector (RAPID) [see Wilken *et al.*, 2001] and its Imaging Ion Mass Spectrometer (IIMS). The energy ranges are 27.7 keV to 4 MeV for protons, 138 keV to 3.8 MeV for helium, and 274 keV to 4 MeV for the CNO group [Daly and Kronberg, 2010]. No information about the charge state of the ion species is possible. For simplicity, we will write  $H^+$ ,  $He^+$ , and  $O^+$  for hydrogen, helium, and the CNO group, respectively, in the case of RAPID data. The energetic particle partial pressure for the RAPID measurements is calculated using the formula

$$P_{RAPID}[\text{nPa}] = 4\pi \frac{2}{3} 0.518 \cdot 10^{-8} \sqrt{m[\text{amu}]} \sqrt{E[\text{keV}]} J[\text{cm}^{-2} \text{sr}^{-1} \text{s}^{-1}], \quad (1)$$

where  $m$  is the ion mass in atomic mass units (amu),  $J$  is the integral intensity, and  $E$  is the effective energy calculated as the geometric mean. The validity of the latter assumption is established in Kronberg and Daly [2013].

The first proton RAPID energy channel at 27.7–64.4 keV overlaps with the last CIS energy channels. In order to obtain a continuous spectrum, we truncate the first RAPID channel. The CIS and the RAPID instruments are well cross-calibrated for protons [see Kronberg *et al.*, 2010].

Heavy ions cannot be cross-calibrated because of a large gap between the energy channels (CIS/CODIF measures ions up to  $\approx 40$  keV for both  $\text{He}^+$  and  $\text{O}^+$ , whereas RAPID/IIMS starts to detect  $\text{He}^+$  ions at 138 keV and  $\text{O}^+$  ions at 274 keV). The calibration factors (efficiencies) for RAPID for the heavy ions were derived prior to its launch. The relative efficiency of the heavy ions with respect to protons is assumed to be constant during the mission. As the magnetic storm studied here is observed at the beginning of the mission and the proton measurements by CIS and RAPID show a good agreement, the data on heavy ions should also be reliable.

For the  $\text{He}^+$  and  $\text{O}^+$  observations, the data gap between the CIS/CODIF and the RAPID/IIMS measurements is fitted using a power law, because this gap is in the descending part of the energy spectrum; see, e.g., *Kistler et al.* [1990] for the plasma sheet and *Kistler et al.* [1994] and *Keika et al.* [2016] for the ring current. The power law fit is an approximation that in most cases may lead to an underestimation of the partial pressure. Because we do only a rough estimation of the relative contribution of different species to the ion pressure and because we are not interested in fine structure of the ion spectra, we consider this approximation to be feasible. We refer to the part of the pressure derived from the power law fit together with the pressure derived from the RAPID observations as the energetic pressure or the RAPID pressure.

### 3. Model

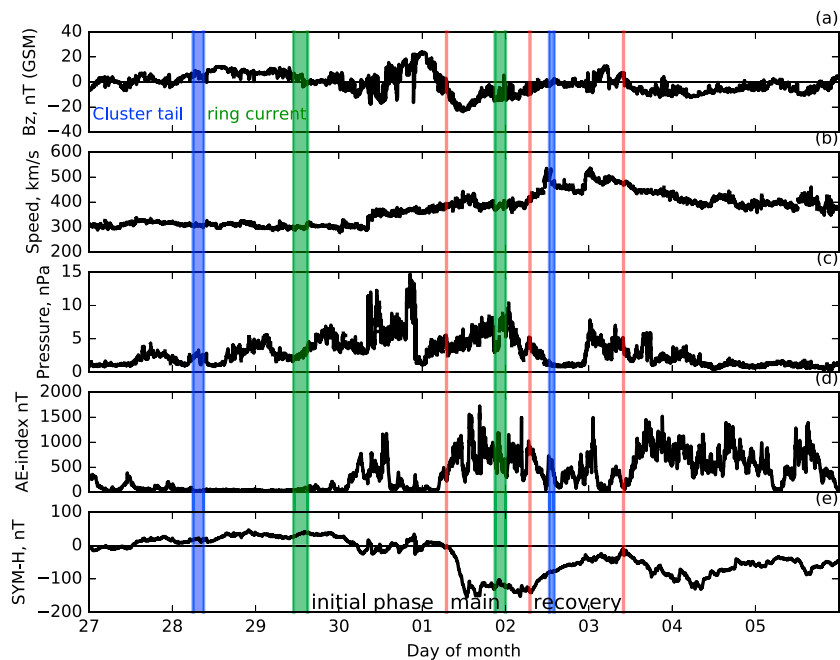
To model this storm, the Space Weather Modeling Framework (SWMF) [*Tóth et al.*, 2005, 2012] is used to combine the Block Adaptive Tree Solar wind Roe-type Upwind Scheme (BATS-R-US) [*Powell et al.*, 1999; *De Zeeuw et al.*, 2000; *Welling and Ridley*, 2010] multispecies magnetohydrodynamic (MHD) model, an ionospheric electrodynamics model [*Ridley et al.*, 2001], and an ionospheric outflow model. Two outflow models are used in this study: the Polar Wind Outflow Model (PWOM) and the Generalized Polar Wind (GPW) model. The SWMF uses observed upstream solar wind and interplanetary magnetic field (IMF) values to drive the MHD model. The density and the radial velocity of  $\text{H}^+$  and  $\text{O}^+$  at the MHD inner boundary (a sphere of  $2.5 R_E$ ) are taken from the results of one of the outflow models. The MHD density and pressure moments are interpolated in time and space to the position of the Cluster 1 spacecraft for data to model comparisons. The model setup and configuration follows that of *Welling et al.* [2016].

The first ionospheric outflow model used in this study is the Polar Wind Outflow Model (PWOM) [*Glocer et al.*, 2009a; *Welling et al.*, 2011; *Glocer et al.*, 2012]. This model solves the gyrotropic transport equations for ions and electrons along many independent magnetic flux tubes. As input, PWOM receives the field-aligned currents (FACs) from other SWMF codes. The topside electron velocity is set assuming charge neutrality and current conservation. Changes in the current flow compress or rarefy the electron fluid, altering the ambipolar field and driving outflow fluxes [*Gombosi and Nagy*, 1989; *Welling et al.*, 2015].

The second model is the Generalized Polar Wind (GPW) model [*Schunk and Sojka*, 1989; *Sojka and Schunk*, 1997; *Barakat and Schunk*, 2006]. Below an altitude of 1200 km, GPW solves the same fluid equations as PWOM. Above this altitude, however, a fully kinetic particle-in-cell (PIC) approach is taken. This allows GPW to take into account many acceleration mechanisms, including the effects of wave-particle acceleration and collisions [*Barakat and Schunk*, 2001]. Unlike PWOM, GPW is run independently of the SWMF and is later used as input for the MHD code. It utilizes the observed geomagnetic indices and empirical electric fields as input (for details, see *Barakat et al.* [2015]). Because of the additional acceleration mechanisms included in GPW, it tends to produce faster and denser outflows compared to the PWOM model, as well as more polar cap and dayside outflow [*Welling et al.*, 2016]. These source populations advect deeper down the tail in the magnetosphere, where they are more effectively heated in the plasma sheet compared to PWOM populations [*Yu and Ridley*, 2013; *Welling et al.*, 2016].

PWOM and BATS-R-US are brought to a pseudo steady state using the solar wind conditions present at the start of the simulation time line. Because GPW only uses geomagnetic indices as input, it was started earlier to build realistic conditions at the time of the SWMF simulation start.

We have decided that the simulation start is on 1 October at 00:00 UT for a few reasons. We typically start runs a few hours before storm sudden onsets. This poses a challenge for starting the simulation of our event: we can either start with the arrival of the storm sudden commencement at  $\approx 08:00$  UT on 30 September or wait until after the two pressure pulses that mark the beginning of the storm on the same day until  $\approx 22:00$  UT (see Figure 2). However, the Solar Wind Electron Proton Alpha Monitor (SWEPAM) data are not available before the two solar wind density peaks. The simulations are also costly, and adding an additional day to the current



**Figure 2.** Solar wind and geomagnetic activity during the magnetic storm from 27 September to 5 October 2002: (a) interplanetary magnetic field (IMF) south-north component,  $B_z$ ; (b) the solar wind speed; (c) the solar wind dynamic pressure; (d) the AE index; and (e) SYM-H index. The vertical green and blue bars indicate the time periods considered further in Figures 4 and 5 for the tail (blue) and ring current regions (green). The red vertical lines outline the start of the main phase of the magnetic storm, start of the recovery phase, and its end.

simulation would greatly extend the time to perform the simulation. These factors together drove our decision to start the simulation on 1 October.

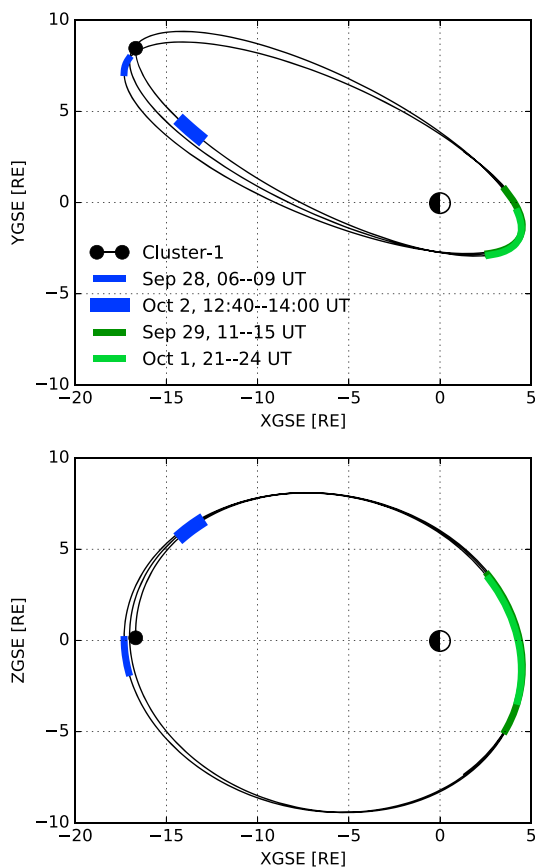
We do not include an inner magnetospheric model in the simulations. On the dayside, the inclusion of an inner magnetospheric model, such as the Rice Convection Model, would push the results to warmer temperatures and pressures. However, the effect of magnetic shielding would limit this effect: as inner magnetosphere pressure builds, the field stretches via force balance, and closed drift paths are relegated to low L shells. The hotter plasma is therefore more likely to drift out of the inner magnetosphere before it reaches the dayside.

### 4. Observations

The measurement of the change of the horizontal component of the terrestrial magnetic field, represented by the SYM-H index, is shown in Figure 2e. This is an intensive ( $SYM-H \approx -150$  nT) and long-lasting magnetic storm associated with powerful solar wind dynamic pressure pulses (Figure 2c) and negative excursion of the IMF  $B_z$  magnetic field component associated with a coronal mass ejection (Figure 2a). This event is also discussed in Haaland et al. [2015] and Kistler et al. [2010].

The trajectory of Cluster 1 during this event is shown in Figure 3.

We calculate the plasma pressure for the selected time intervals before the magnetic storm, during its main phase, and during the recovery phase. We choose time periods that include spacecraft crossings of the neutral plane. The blue and green vertical bars in Figure 2 show time intervals for which pressure calculations were performed and shown in Figures 4 and 5. The locations of the spacecraft during the time intervals for which the plasma pressure is calculated are highlighted by blue and green bars in Figure 3. In Table 1, we present the percentage of the particular partial pressure (different ion species and energy) to the total plasma pressure including all species and energies close to the neutral plane (20–40 min of observations around the crossing). To see the development of every particular partial pressure, we compare the pressures during the storm with those before the magnetic storm onset. The corresponding ratios are listed in Table 2. We list the relative contribution of low-energy to energetic pressures in Table 3.



**Figure 3.** The orbit of the Cluster 1 satellite in XY and XZ GSE planes on 29 September at 11:00–15:00 UT.

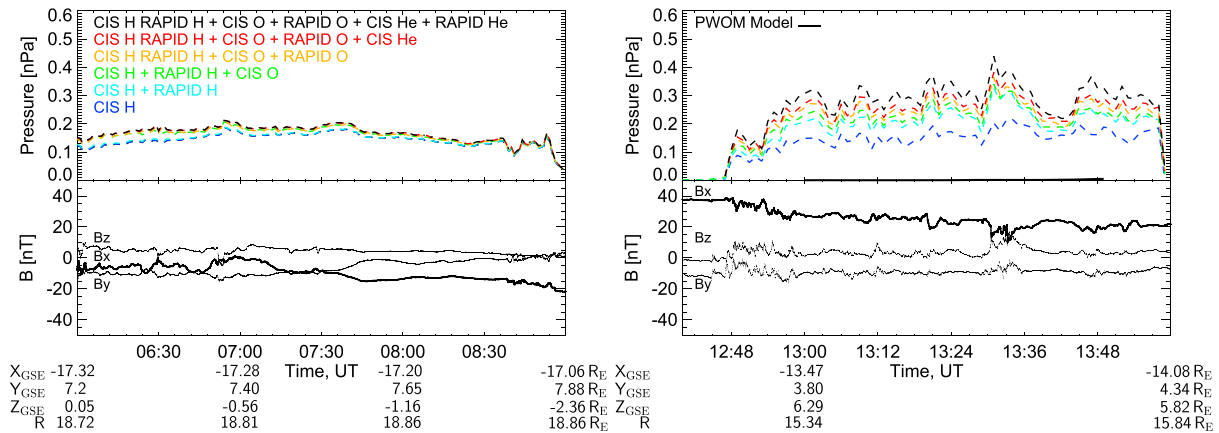
ing of the line colors is the same as described in Figure 4. The pressure calculations close to the neutral plane are done for the time period from 12:40 to 13:00 UT (see Tables 1–3). The prestorm ring current is mainly ( $\approx 82.9\%$ ) populated by energetic protons with energies  $> 40$  keV. The difference in the low-energy and energetic pressures (according to CIS and RAPID measurements, respectively) becomes more prominent toward the neutral plane. Here our estimations of the contribution of helium during quiet time are higher than those of *Pulkkinen et al.* [2001]. In the study of *Pulkkinen et al.* [2001], the highest energy considered in the calculations is 200 keV, however. If we limit our energy range by this value, we get the same result.

The third bar in Figure 2 (green colored) represents the main phase of the magnetic storm (1 October). The passage of Cluster 1 is almost identical (just a bit shorter) to the one at the prestorm time shown in Figure 3. However, the plasma pressure is significantly different during this time period (see Figure 5, right). The total pressure close to the neutral plane increases  $\approx 2$  times at  $L \approx 5$  between 22:10 and 22:30 UT. The relative amount of protons measured by CIS in the ring current is 1.4% during prestorm time and 23.8% during the main phase.

The low-energy proton pressure increases  $\approx 34$  times during the storm phase. Energetic protons dominate the ring current before the magnetic storm (see Table 2). During the storm, low-energy protons dominate. This may be related to the activation of a plasmaspheric source, enhanced transport from the polar cap (which brings ions closer to the Earth [e.g., *Li et al.*, 2013]), or transport from the solar wind during the magnetic storm. We cannot distinguish between these sources of protons. At the same time, the energetic proton pressure decreases by a factor of  $\approx 0.27$  during the magnetic storm (see Table 2). This can in part be explained by the short/long lifetimes of the low-energy/energetic protons due to charge exchange. Therefore, energetic protons stay in the ring current for a long time during quiet period, whereas low-energy protons get lost.

Figure 4 (left column) shows the plasma pressure and the magnetic field during the prestorm time (28 September), marked by the first blue bar in Figure 2 when Cluster 1 was in the magnetotail plasma sheet at  $XGSE \sim -17 R_E$ . The data collected during the recovery phase shown in Figure 4 (right column) is discussed later. The partial pressure calculations are done for different species and at different energies (low energy and energetic); see Figure 4 (top left). Figure 4 (bottom left) shows the magnetic field components: the thick line represents  $B_x$ , the bottom line represents  $B_y$ , and the top line represents  $B_z$  in GSE. This is a very quiet central plasma sheet. According to CIS  $H^+$  observations close to the neutral plane, the partial pressure of the low-energy population is  $P_{H^+ CIS} = 86\%$  between 06:45 and 07:15 UT (see Table 1). We note that in the near-Earth magnetotail, there are almost no energetic particles ( $\leq 1.5\%$ ) and about 8.9% low-energy oxygen ions, which is already a significant fraction (see Table 1).

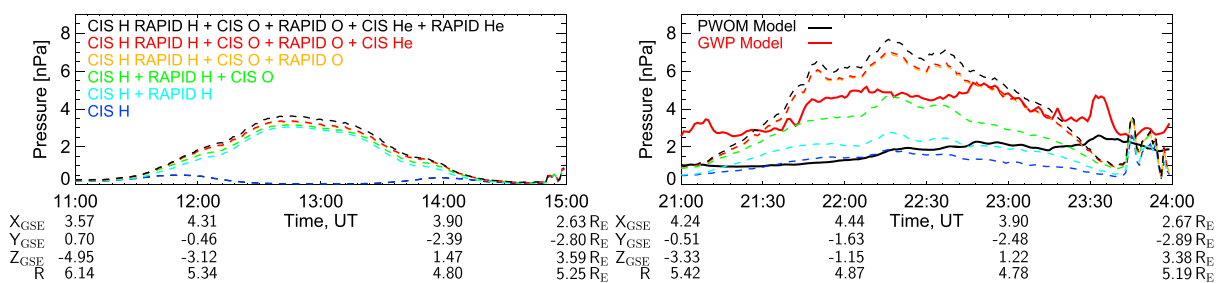
The second bar in Figure 2 (green colored) represents the prestorm time period (on 29 September) when Cluster 1 was in the ring current region. The satellite crossed the neutral plane at the dayside during this time. The partial pressure calculations for this time period are shown in Figure 5 (left). The mean-



**Figure 4.** (top) The partial pressures of the protons, oxygen, and helium at energy bands from 40 eV to 40 keV and >40 keV and corresponding three magnetic field components in the tail region (left) for the time period before the magnetic storm on 28 September at 06:00–09:00 UT and (right) during the recovery phase of the magnetic storm on 2 October from 12:40 to 14:00. The meanings of the colors are as follows: dark blue dashed line is partial pressure using only CIS H<sup>+</sup> observations, light blue is using CIS H<sup>+</sup>+RAPID H<sup>+</sup>, green is using CIS H<sup>+</sup>+RAPID H<sup>+</sup>+CIS O<sup>+</sup>, yellow is using CIS H<sup>+</sup>+RAPID H<sup>+</sup>+CIS O<sup>+</sup>+RAPID O<sup>+</sup>, red is using CIS H<sup>+</sup>+RAPID H<sup>+</sup>+CIS O<sup>+</sup>+RAPID O<sup>+</sup>+CIS He<sup>+</sup>, and black is using CIS H<sup>+</sup>+RAPID H<sup>+</sup>+CIS O<sup>+</sup>+RAPID O<sup>+</sup>+CIS He<sup>+</sup>+RAPID He<sup>+</sup>. (top right) The black solid line indicates the plasma pressure derived from the SWMF/PWOM model for the recovery phase of the magnetic storm. The pressure is not 0 but has low values because virtual Cluster is still in the lobe. The magnetospheric geometry is not good enough for this part of the simulation. Therefore, the SWMF is missing the plasma sheet encounter.

The most dramatic increase during the storm, however, is associated with oxygen ions. O<sup>+</sup> ions with energies < 40 keV (>40 keV) contribute  $\approx 26\%$  ( $\approx 29.5\%$ ) of the total pressure. In total, ionospheric ions (O<sup>+</sup>) contribute about 55.5% (and with helium this is about  $\approx 64.9\%$ ) of the total pressure. This is somewhat higher than the previous estimations of the ion contributions for oxygen (up to 47%) and helium (5%) discussed in section 1. This can again be explained by the wider energy range considered in our study and by the importance of the contribution of energetic ions. The numbers imply that simulations of the geospace environment will be wrong if ionospheric (oxygen) ions are neglected.

The results of SWMF simulations coupled with PWOM are represented by the solid black line in Figure 5. It agrees with the partial pressure,  $P_{CIS}$ , of protons with < 40 keV. The model underestimates the plasma pressure by a factor of  $\leq 3.5$ . This outflow model delivers too small ion densities which are not reliable for the use as boundary conditions. This is one of the reasons that can explain the observed discrepancy in the plasma pressure. The simulations of the model coupled with GPW are represented by the solid red line in Figure 5. This model shows good agreement with the partial pressure including CIS H<sup>+</sup>+RAPID H<sup>+</sup>+CIS O<sup>+</sup> components. The model with the GWP input reproduces the plasma pressure within a factor of  $\approx 2$ . This model does not include helium ions. It, however, overestimates the pressure in the regions at higher latitudes (before 21:30 UT and after 23:20 UT). The overestimation at higher latitudes is possibly caused by an overproduction of oxygen in the GWP model. The discrepancy in the region close to the neutral plane is associated with the omission of the cusp source and nonadiabatic acceleration mechanisms in the plasma sheet.



**Figure 5.** The partial pressures of protons, oxygen, and helium at energy bands from 40 eV to 40 keV and >40 keV in the ring current region (left) for the time period before the magnetic storm on 29 September at 11:00–15:00 UT and (right) during the main phase of the magnetic storm on 1 October from 21:00 to 24:00. The black and red solid lines indicate the plasma pressure derived from the SWMF/PWOM and SWMF/GPW models for the main phase of the magnetic storm.

**Table 1.** The Contribution of the Different Ion Species at Different Energies to the Total Pressure in Percent (%)

|   | $P_{H^+CIS}$ | $P_{H^+RAPID}$ | $P_{O^+CIS}$ | $P_{O^+RAPID}$ | $P_{He^+CIS}$ | $P_{He^+RAPID}$ |
|---|--------------|----------------|--------------|----------------|---------------|-----------------|
| 28 September 2002 at 07:00–07:30 UT: before storm, tail         | 86.1%        | 1.2%           | 8.9%         | 0.03%          | 3.5%          | 0.27%           |
| 29 September 2002 at 22:00–22:30 UT: before storm, ring current | 1.4%         | 82.9%          | 3.1%         | 4.6%           | 0.9%          | 7.1%            |
| 1 October 2002 at 12:40–13:00 UT: main phase, ring current      | 23.8%        | 11.3%          | 26%          | 29.5%          | 1.3%          | 8.1%            |
| 2 October 2002 at 13:00–13:40 UT: recovery phase, tail          | 51.2%        | 21.2%          | 5.4%         | 6.7%           | 4.7%          | 10.8%           |

The fourth bar in Figure 2 (blue colored) represents the recovery phase of the storm (2 October). The partial plasma pressure and the magnetic field observations in the magnetotail for this time period are shown in Figure 4 (right column). The satellites were located at XGSE  $\simeq -13.5 R_E$  (see Figure 3). The satellite was approaching the plasma sheet center but did not cross it. Therefore, we consider only the closest approach to the current sheet neutral plane (from 13:00 to 13:40 UT) in the pressure calculations. During this time period, the sudden increase of the ion intensities is associated with an energetic particle injection accompanied by magnetic field dipolarizations that are reflected in the growth of the  $B_z$  component at  $\simeq 12:48$ , 13:10, and 13:29 UT. The preferential increase of the energetic ion flux during dipolarizations was discussed extensively by *Moebius et al.* [1987] and *Kistler et al.* [1990]. *Kistler et al.* [1992] show that the pressure (energy density) is carried by the higher energy ions during injections. They also suggest that the increase of the plasma pressure results from the conservation of pressure equilibrium and does not necessarily indicate that local changes in the field are accelerating the particles. During the recovery phase, energetic oxygen and helium ions dominate over those at low energies (the ratios of  $P_{CIS}/P_{RAPID}$  are 0.82 and 0.44, respectively; see Table 3) in agreement with *Kistler et al.* [1992]. During this phase we observe an efficient with respect to the prestorm magnetotail acceleration of heavy ions (oxygen and helium) as well as of protons. The magnetic field in Figure 4 (bottom right) shows turbulent fluctuations which are not seen in the quiet magnetic field observed before the magnetic storm (Figure 4, bottom left). The energetic particle pressure changes by a factor of  $\simeq 28$  and 357 compared to the low-energy part, which basically stays the same at least for the protons and oxygen (see Table 2). The strongest increase of the energetic ion intensities is associated with dipolarization events with signatures of magnetic field turbulence (at  $\simeq 12:48-12:58$  and  $\simeq 13:29-13:40$  UT). During these events, the sum of the magnetic and plasma pressures is enhanced (not shown). An effective acceleration of ions by turbulence during magnetic field dipolarizations can lead to strong ion intensity increases [*Grigorenko et al.*, 2017]. If we divide the ratios of energetic oxygen and helium from Table 2 with those of the protons, we obtain  $\simeq 12$  and  $\simeq 2.3$ . This implies that the acceleration mechanism is mass/charge dependent. In contrast to *Kistler et al.* [1992], we suggest that the ion acceleration plays an important role in the increase of the ion pressure during this event. Therefore, it is important to include the nonadiabatic ion acceleration in the plasma sheet in models or, alternatively, include energies  $>40$  keV in models of boundary conditions in the near-Earth plasma sheet.

The total ion pressure in the tail close to the neutral plane has grown by a factor of  $\simeq 1.6$  between the two time periods. However, the low-energy proton pressure is approximately the same (see Table 2). Consideration of only low-energy protons (without energetic ions and other species) will underestimate the pressure by  $\simeq 49\%$  during the recovery phase.

The modeling (black line along the time axis) fails to describe the magnetotail observations because the SWMF misses the plasma sheet encounter and virtual Cluster is still in the lobe. It is known that modern magnetospheric models still have difficulties to predict the position of the magnetotail during disturbed times. The predicted plasma pressures are very low and correspond with the pressures in the lobe region.

Low-energy and energetic  $O^+$  make approximately equal contributions to the pressure in the ring current region, independent of the geomagnetic disturbance level (3.1% and 4.6% during quiet time; 26% and 29.5% during disturbed time; see Table 1). The same is not true in the tail region, where during storm times the

**Table 2.** Ratio of the Partial Plasma Pressures Before and During the Storm

|   | $P_{H^+CIS}$ | $P_{H^+RAPID}$ | $P_{O^+CIS}$ | $P_{O^+RAPID}$ | $P_{He^+CIS}$ | $P_{He^+RAPID}$ |
|---|--------------|----------------|--------------|----------------|---------------|-----------------|
| Ratio for the tail plasma sheet during/before | 0.96         | 28.4           | 0.99         | 357            | 2.19          | 65.7            |
| Ratio for the ring current during/before      | 33.96        | 0.27           | 17.06        | 2.09           | 2.7           | 2.28            |



**Table 3.** Ratio of the Low-Energy to Energetic Pressures of Different Species Before and During the Storm

|   | H <sup>+</sup> | O <sup>+</sup> | He   |
|---|----------------|----------------|------|
| Tail plasma sheet                           |                |                |      |
| Before P <sub>CIS</sub> /P <sub>RAPID</sub> | 71             | 295            | 13.1 |
| During P <sub>CIS</sub> /P <sub>RAPID</sub> | 2.4            | 0.82           | 0.44 |
| Ring current                                |                |                |      |
| Before P <sub>CIS</sub> /P <sub>RAPID</sub> | 0.02           | 0.67           | 0.13 |
| During P <sub>CIS</sub> /P <sub>RAPID</sub> | 2.1            | 0.87           | 0.16 |

contribution of energetic O<sup>+</sup> to the total pressure increases by a factor of  $\approx 223$ . The discrepancy in the ratios implies that ion sources earthward of XGSE  $\approx -13.5 R_E$  are important for the ring current dynamics.

We assess the effectiveness of the ion acceleration by comparing the ratios of the low-energy to energetic pressures before and during the magnetic storm in Table 2. We calculate the following ratio for H<sup>+</sup>, He<sup>+</sup>, and O<sup>+</sup>:

$$(P_{\text{RAPID during}}/P_{\text{RAPID before}})/(P_{\text{CIS during}}/P_{\text{CIS before}}).$$

The ratios are  $\approx 30$ ,  $\approx 360$ , and  $\approx 30$  for the tail part and  $\approx 0.08$ ,  $\approx 0.12$ , and  $\approx 0.84$  for the ring current. This shows that the acceleration processes strongly dominate the energy distribution of the magnetotail plasma population. In the ring current, we observe a decrease of the proton plasma pressure between times before and during the magnetic storm (see Figure 5). This means that energetic protons accelerated in the magnetotail were removed from the ring current during the magnetic storm, for example due to a change of closed drift paths to lower L shells. Here we assume that the ratio of low-energy and energetic pressures in the tail during the recovery phase is at least as high as during the main phase, because the low-energy population does not react strongly to the *Dst* index [Kronberg *et al.*, 2012; Maggiolo and Kistler, 2014]. This leads to a decrease of the ratio. The anticorrelation of the energetic particle content with the main magnetic storm phase was also shown by Gkioulidou *et al.* [2016]. The plasma pressure of low-energy ions in the magnetotail is approximately the same before the magnetic storm and during the recovery phase. Therefore, the magnetotail cannot be a significant source of low-energy particles. This again implies that there is an effective low-energy ion source earthward of XGSE  $\approx -13.5 R_E$ . The relation between sources and sinks deserves more extensive attention in future studies.

## 5. Discussion

Particle inflow, reflected by an increase of the plasma pressure, leads to increase of magnetic field distortion. We estimate if the depression of the magnetic field, as reflected in the *SYM-H* index,  $\Delta B \approx -140$  nT (values of the *Dst* index on 29 September at  $\approx 13:00$  (36 nT) and 1 October at  $\approx 22:15$  (−104 nT) are used), corresponds to a change of the plasma pressure. For this we use the Dessler-Parker-Scopke expression [Dessler and Parker, 1959; Scopke, 1966]:

$$\Delta B = -\frac{2U_R B_E}{3U_M}, \quad (2)$$

where  $U_R$  is the total energy of all ring current particles,  $U_M$  is the total energy of the main Earth's dipole field above its surface, and  $B_E$  is the magnetic field on the Earth's surface at the equator. The total energy of all ring current particles can be calculated as follows:

$$U_R = 3/2\Delta PV, \quad (3)$$

using  $PV = nkT$ , where  $n$  is the plasma density,  $k$  is the Boltzmann constant,  $T$  is the plasma temperature,  $P$  is the plasma pressure, and  $V$  is the volume of the ring current. The magnetic energy is estimated as  $U_M = 4\pi B_E^2 R_E^3 / (3\mu_0)$ , where  $B_E = -\mu_0 M / (4\pi R_E^3) = 3.11 \cdot 10^{-5}$  T,  $R_E$  is the radius of the Earth,  $\mu_0$  is the magnetic permeability, and  $M = 8 \cdot 10^{22}$  A m<sup>2</sup> is the magnetic moment of the Earth. We calculate the volume of the ring current using equation (2.10) from Lyons and Williams [1984] and assuming that the ring current is located between L shells 2.5 and 7 [Vallat *et al.*, 2005; Grimald *et al.*, 2012; Liemohn *et al.*, 2015]. If we use only low-energy

pressure from protons  $P_{\text{CIS H}^+}$  (blue dashed lines) and take  $\Delta P \simeq 1.5$  nPa, we get the magnetic field depression of about  $-9$  nT ( $\simeq 3$  times less than predicted; see discussion later). Using the total plasma pressure  $P_{\text{CIS+RAPID}}$  (black dashed lines) and taking  $\Delta P \simeq 4$  nPa, we get  $\simeq -24$  nT. The pressure of electrons at this region is small (maximum of  $0.2$  nPa which would produce an additional  $\simeq 1.2$  nT estimated from equation (3)). Data from the PEACE instrument [Johnstone *et al.*, 1997] are used for this estimation. Therefore, the contribution of the ring current particles (symmetric current) to the *SYM-H* index is  $-24$  nT +  $1.2$  nT =  $\simeq -22.8$  nT.

Other factors may also influence the *SYM-H* index. These are the magnetopause currents, the near-Earth cross-tail current, the partial ring current, and the induction currents [Kamide and Chian, 2007].

The change of the *SYM-H* index due to the magnetopause current can be estimated using the expression  $a\sqrt{p_{\text{sw}}^{\text{dyn}}}$ , where  $\sqrt{p_{\text{sw}}^{\text{dyn}}}$  is the solar wind dynamic pressure and  $a$  is a coefficient. We take  $a = 3.63/(\text{nPa})^{1/2}$ , because this storm has occurred during a southward IMF [O'Brien and McPherron, 2000]. The solar wind dynamic pressure can be estimated from Figure 2c; we use  $p_{\text{sw}}^{\text{dyn}} = 5$  nPa. Therefore, the magnetopause current will produce a magnetic field compression of  $\simeq 8$  nT. The total contribution of the partial ring current and the near-Earth cross-tail currents to the magnetic field depression is  $(-16 + 0.29 \cdot \text{SYM-H})/1.3$  [Kamide and Chian, 2007]. Therefore, it is  $\simeq -37$  nT using the observed *SYM-H* =  $-110$  nT in Figure 2e.

If we include the induction currents, then the total magnetic depression has to be multiplied by a factor 1.3 [Kamide and Chian, 2007]. Therefore, utilizing our estimations above, we add the contributions of the ring current particles, the magnetopause current, the partial current, and near-Earth cross-tail current and multiply the result by a factor 1.3:  $1.3 \times (-22.8$  nT +  $8$  nT  $- 37$  nT). The result is  $\simeq -68$  nT, which is less than observed. It is possible that the contribution of the magnetotail current is about 50% according to Alexeev *et al.* [1996] and Dremukhina *et al.* [1999]. However, our estimations agree well with the prediction by Kamide and Chian [2007] that the symmetric ring current produces a magnetic field depression of  $(-4.6 + 0.27 \cdot \text{SYM-H})/1.3$ , which is  $-26$  nT. The consideration of ion components ( $\text{O}^+$  and  $\text{He}^+$ ) in addition to protons and an extended energy range (including  $>40$  keV) significantly improves the comparison.

We assumed that all ions detected in the  $\text{O}^+$  channels of the CODIF and RAPID instruments are indeed  $\text{O}^+$ . However, given the finite mass resolution of these instruments,  $\text{N}^+$  ions could also be part of this population.  $\text{N}^+$  ions have been observed to take substantial proportions in the ring current population during strong geomagnetic storms [Hamilton *et al.*, 1988].

## 6. Conclusions

In this study, we compared the contribution of different ion species and energies to the plasma pressure in the ring current and the magnetotail before and during the magnetic storm from 27 September until 3 October in 2002 for the first time. The results show that before the storm has initiated (28 September), the contribution of energetic ions to the total pressure is negligible in the tail plasma sheet, less than  $\simeq 2\%$ . The contribution of low-energy heavy ions is about 12%. However, as the storm evolves toward the main and recovery phase, the contribution of energetic and heavy ions to the pressure of the plasma sheet becomes significant,  $\simeq 49\%$ , and cannot be neglected. They must be included in models for ion plasma parameters of the plasma sheet. The contribution of energetic ions to the pressure of the ring current is significant before ( $\simeq 95\%$ ) and during ( $\simeq 49\%$ ) the magnetic storm. We demonstrate that heavy ions play a dominant role in the plasma pressure, contributing about 65% during the main phase. The main source of oxygen ions, responsible for  $\simeq 56\%$  of the plasma pressure of the ring current, is located at distances earthward of XGSE  $\simeq -13.5 R_E$  during the main phase of the storm.

Our estimations of the contribution of the ring current particles in the *Dst* index agree well with observations and demonstrate the importance of taking the ion composition into account and using an extended energy range.

Our study is in agreement with the work of Williams [1981]; Zhao *et al.* [2015]; Gkioulidou *et al.* [2016] which shows that ions at different energy ranges exhibit different dynamics during quiet geomagnetic times and magnetic storms. Low-energy ions with  $<40$  keV play a negligible role in the ring current pressure during the geomagnetically quiet phase. We found that ion pressures below and above 40 keV contribute approximately equally to the total pressure during the magnetic storm. This is in agreement with studies by Zhao *et al.* [2015] and Gkioulidou *et al.* [2016]. We show that ions accelerated in the magnetotail do not contribute significantly

to the ring current at the dayside during the main phase. In future works it is important to compare the contributions at different locations and during different phases of the magnetic storm, as it changes significantly during the storm's course (private communication with K. Keika).

It is necessary to consider heavy ions, especially ionospheric oxygen, in simulations of the terrestrial magnetosphere during disturbed times. In particular, empirical models for the plasma parameters used as input for inner magnetospheric models should be derived using observations of heavy ions and not their proxies. The models should also include the energetic part of the ion distribution in the calculations and, ideally, nonadiabatic acceleration mechanisms. The reproduction of the *Dst* index can be successful during the main phase of the magnetic storm [see, e.g., *Glocer et al.*, 2009b], as many energetic particles are lost, likely because closed drift path shrinks. However, during the recovery phase these particles must be considered, because they likely fill the ring current with energetic particles.

In this study we test the ability of the SWMF to reproduce the ion plasma pressure in the magnetosphere during this magnetic storm for the first time. As our and previous studies show the importance of ionospheric ions, we used two models of ion outflow in the SWMF simulations. The results show that the model with the GWP input reproduces the plasma pressure within a factor of  $\approx 2$ , and it underestimates the plasma pressure within a factor of  $\approx 3.5$  with the PWOM input. Overall, the GWP shows better results due to the inclusion of different acceleration processes. Because the magnetic field model fails to reproduce the tail dynamics, pressure estimations in the magnetotail were not relevant. This study shows that the SWMF reasonably reproduces the plasma pressure in the ring current. However, the modeling of the magnetosphere-ionosphere coupling can be improved especially in the magnetotail region. Additionally, the modeling results may somewhat underestimate the pressure because not all sources of ions, as, e.g., the cusp, are taken into account.

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