

1 **The influence of aerosol hygroscopicity on precipitation intensity during a mesoscale**  
2 **convective event**

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11  
12 **Key Points**

- 13 1. Aerosol composition can affect spatial patterns of precipitation.  
14 2. Hygroscopicity and hydrometeor vertical distributions are sensitive to aerosol  
15 composition and impact precipitation processes.  
16 3. Altering speciated aerosol hygroscopicity can influence the simulation of  
17 precipitation intensity.  
18

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19 **Abstract**

20 We examine how aerosol composition affects precipitation intensity using the  
21 Weather and Research Forecasting Model with Chemistry (version 3.6). By changing the  
22 prescribed default hygroscopicity values to updated values from laboratory studies, we  
23 test model assumptions about individual component hygroscopicity values of ammonium,  
24 sulfate, nitrate, and organic species. We compare a baseline simulation (BASE, using  
25 default hygroscopicity values) with four sensitivity simulations (SULF, increasing the  
26 sulfate hygroscopicity; ORG, decreasing organic hygroscopicity; SWITCH, using a  
27 concentration-dependent hygroscopicity value for ammonium; and ALL, including all  
28 three changes) to understand the role of aerosol composition on precipitation during a  
29 mesoscale convective system (MCS). Overall, the hygroscopicity changes influence the  
30 spatial patterns of precipitation as well as the intensity. Focusing on the maximum  
31 precipitation in the model domain downwind of an urban area, we find that changing the  
32 individual component hygroscopicities leads to bulk hygroscopicity changes, especially  
33 in the ORG simulation. Reducing bulk hygroscopicity (e.g., ORG simulation) initially  
34 causes fewer activated drops, weakened updrafts in the mid troposphere, and increased  
35 precipitation from larger hydrometeors. Increasing bulk hygroscopicity (e.g., SULF  
36 simulation) simulates more numerous and smaller cloud drops and increases  
37 precipitation. In the ALL simulation, a stronger cold pool and downdrafts lead to  
38 precipitation suppression later in the MCS evolution. In this downwind region, the  
39 combined changes in hygroscopicity (ALL) reduces the over-prediction of intense events  
40 ( $>70 \text{ mm d}^{-1}$ ) and better captures the range of moderate intensity ( $30\text{-}60 \text{ mm d}^{-1}$ ) events.

41 The results of this single MCS analysis suggest that aerosol composition can play an  
42 important role in simulating high-intensity precipitation events.

43

#### 44 **Introduction**

45 Atmospheric aerosols can affect clouds, weather and climate, yet their influence  
46 on cloud processes at varying spatial and temporal scales is highly uncertain (Stevens and  
47 Feingold 2009; Boucher et al. 2013; Fan et al. 2016). From the climate perspective,  
48 aerosols can alter the optical properties of the cloud (known as the first indirect effect;  
49 (Twomey 1977)) or change precipitation processes (known as the second indirect effect;  
50 (Albrecht 1989)). On shorter timescales, such as those governing deep convective clouds  
51 and severe weather, studies suggest that aerosols can affect weather events (Andreae et  
52 al. 2004; Tao et al. 2012; Saide et al. 2015). Within the meteorology community, it is  
53 widely accepted that cloud condensation nuclei (CCN) number and size distribution are  
54 dominant in determining aerosol indirect effects (Khain et al. 2000; Dusek et al. 2006).  
55 However, the chemical composition of aerosols also influences its ability to act as CCN  
56 (Ekman et al. 2004; Fan et al. 2007). Specifically, some aerosol components are known to  
57 be extremely effective CCN (e.g., ammonium sulfate; (Easter and Hobbs 1974)), ice  
58 nuclei (IN; e.g., mineral dust; (DeMott et al. 2003)) or light absorbers (elemental carbon,  
59 soot, (Andreae and Gelencsér 2006)). While aerosol composition is studied in detail  
60 within the atmospheric chemistry community, composition effects are not traditionally  
61 included in meteorology models because of limited computing capabilities and the  
62 prioritization of efficiently representing microphysical processes (Ghan and Schwartz  
63 2007; Khain et al. 2015). In many studies, CCN fields are prescribed using a fixed

64 chemical composition of ammonium sulfate (Van den Heever et al. 2006; Carrió et al.  
65 2010; Eidhammer et al. 2014). In this manuscript, we examine the sensitivity of the  
66 simulation of a severe weather event to the representation of chemical composition of  
67 aerosols, with the goal of testing the mechanistic understanding of the role of aerosol  
68 composition on mesoscale convective weather systems (Marinescu et al. 2016; Saleeby et  
69 al. 2016).

70 Because of the favorable synoptic conditions, the United States Central Great  
71 Plains (CGP) frequently experiences convective weather in the form of mesoscale  
72 convective systems (MCSs). MCSs are defined as a complex of thunderstorms that  
73 organize on a scale larger than individual thunderstorms, persist for several hours or  
74 more, and develop a mesoscale circulation. MCSs have precipitation resulting from both  
75 convective and stratiform clouds and contribute to the formation of cirrus anvil clouds,  
76 making them radiatively relevant (Houze 2004; Fan et al. 2012). Additionally, MCSs are  
77 important because they produce intense precipitation that can damage crops and property  
78 as well as cause human injuries and fatalities. For example, from 1980 to 2011, severe  
79 local storms in the United States contributed to 94.6 billion dollars in damage (Smith and  
80 Katz 2013). Therefore it is imperative to understand the atmospheric processes that drive  
81 these systems. Within these thunderstorms, unstable air rises and cloud drops form as the  
82 parcel of air reaches the lifted condensation level (LCL), releasing latent heat. This  
83 release of latent heat contributes to the instability of the air parcel, increasing the parcel's  
84 buoyancy and leading to stronger updraft velocities. Stronger updraft velocities enable  
85 greater vertical development, often reaching temperatures well below freezing, while the  
86 process of collision and coalescence aids in the formation of raindrops. Solid

87 hydrometeors form during this transition into the mid and upper levels of the troposphere,  
88 including graupel (and/or hail), snow, and ice crystals (Dudhia 1996).

89 Aerosols play a complex role in deep convective clouds that constitute MCSs  
90 (Fan et al. 2016) because water may exist in all three phases, requiring an understanding  
91 of both warm and cold clouds. In warm, shallow, and precipitating clouds, increasing the  
92 number of hygroscopic aerosols increases the number of available cloud condensation  
93 nuclei (CCN), leading to smaller droplets and reduced precipitation rates (Rosenfeld  
94 2000; Rosenfeld et al. 2008; Fan et al. 2013; Rosenfeld et al. 2014; Saleeby et al. 2015).  
95 These smaller droplets have been hypothesized to invigorate convection within deep  
96 convective systems as they can be lofted to higher altitudes, cause more mass to freeze,  
97 and release latent heat that further feeds the updraft (Van den Heever et al. 2006; van den  
98 Heever and Cotton 2007; Lebo and Seinfeld 2011, Sheffield et al, 2015). Further,  
99 changes in aerosol concentrations have been shown to affect the macrophysical properties  
100 of cloud systems and the subsequent precipitation patterns (Ntekos et al. 2009; Cerully  
101 et al. 2015; Kawecki et al. 2016). In cold clouds, aerosols affect ice nucleation rates  
102 through heterogeneous freezing processes (e.g., immersion freezing, contact freezing). In  
103 mixed phase clouds, the interaction between warm and cold phases are important as ice  
104 crystals (snow) can grow at the expense of liquid water (cloud drops) via the Wegener-  
105 Bergeron-Findeisen (WBF) process and riming, and influence cloud microphysical  
106 processes (Storelvmo and Tan 2015).

107 In warm clouds, aerosol activation as CCN is the first step in cloud and  
108 precipitation processes and is determined by several factors: the environmental  
109 supersaturation, the size of the aerosol, and the aerosol's composition (Köhler 1936).

110 Aerosol hygroscopicity, a metric that describes the rate of water vapor uptake by an  
111 aerosol, can be measured in the laboratory and is determined by chemical speciation and  
112 dry diameter size. Petters and Kreidenweis (2007) developed the “kappa”  
113 parameterization that uses aerosol hygroscopicity, dry diameter and the environmental  
114 supersaturation to determine whether or not aerosols will activate. This type of  
115 parameterization has been implemented into meteorology-chemistry models such as the  
116 Regional Atmospheric Modeling System (RAMS; (Pielke et al. 1992), (Cotton et al.,  
117 2003)) and Weather and Research Forecasting Model with Chemistry (WRF-Chem;  
118 (Grell et al. 2005)). Because WRF-Chem has been shown to accurately simulate  
119 meteorological and chemical processes of aerosols, Ward and Cotton (2011) used WRF-  
120 Chem simulations to provide cloud droplet number concentration and speciated aerosol  
121 information to RAMS simulations. Using the kappa parameterization, they evaluated  
122 differences between the hygroscopicity in the default WRF-Chem and those from lab-  
123 derived studies and found that organic hygroscopicities were overestimated and most  
124 inorganic species were underestimated with the default WRF-Chem parameterization.  
125 While this work evaluated the changes in hygroscopicity with the use of model-specified  
126 defaults, they did not evaluate the impact of these changes on meteorological processes  
127 and if these processes are sensitive to the spatial heterogeneity of aerosol mass and  
128 composition.

129         Several studies have investigated how aerosol composition can affect short-term  
130 weather on several severity scales. Many of these studies have focused on light  
131 absorbing carbon from biomass burning events, as emissions are large in magnitude and  
132 these aerosols have strong direct (Ackerman et al. 2000), indirect (Wang et al. 2009) and

133 semi-direct effects (Fan et al. 2015). For example, Zhang et al. (2016) found that the  
134 presence of biomass burning aerosols can influence the accuracy of numerical weather  
135 prediction, where observed 2-meter temperatures are lower than simulated due to reduced  
136 surface radiation and altered boundary layer stability. Saide et al. (2015) showed that  
137 smoke from biomass burning in Central America increases the probability of tornadoes in  
138 the US Central Great Plains by lowering the LCL and affecting low-level wind shear.  
139 Other studies of light-absorbing aerosols from anthropogenic sources have been found to  
140 modify and even cause extreme precipitation events (Fan et al. 2015).

141 Here, we explore the role of aerosol hygroscopicity on severe weather events and  
142 expand this evaluation beyond light absorbing aerosols. We simulate a mesoscale  
143 convective system in the Central United States using the WRF-Chem to determine the  
144 sensitivity of precipitation intensity and spatial patterns to aerosol composition. Section 2  
145 describes methods, model parameterizations, and the experimental setup to investigate  
146 the role of aerosol hygroscopicity through a series of sensitivity tests that alter the model-  
147 prescribed kappa values for sulfate, nitrate, and organic species. In section 3, we discuss  
148 the results and Section 4 follows with discussion and conclusions. These sensitivity tests  
149 show that accumulated precipitation patterns and the duration and intensity of  
150 precipitation are sensitive to the representation of aerosol hygroscopicity within the  
151 WRF-Chem model.

152

## 153 **2.0 Methods**

### 154 **2.1 WRF-Chem description**

155 To examine the effects of aerosol hygroscopicity on precipitation intensity during  
156 a severe weather event, we use the WRF Model with online chemistry (WRF-Chem v  
157 3.6; Grell, 2005). We simulate a mesoscale convective system that occurred on May 27,  
158 2013 near Kansas City, MO, described in detail in Kawecki et al. (2016). This system  
159 produced large amounts of rain and severe weather, including hail with diameters equal  
160 to or exceeding 1 inch (2.54 cm) and wind gusts exceeding 58 miles per hour ( $26 \text{ m s}^{-1}$ ).  
161 The model domain is centered on Kansas City, Missouri, a mid-sized city surrounded by  
162 agriculture (Figure 1). This region allows us to examine interactions of several types of  
163 aerosols, including organic aerosols derived from anthropogenic volatile organic  
164 compound (VOC) emissions, ammonium nitrate and ammonium sulfate formed as a  
165 result of agricultural ammonia emissions interacting with the nitrates and sulfates from  
166 urban areas and power generation.

167 The model is configured with a horizontal grid cell resolution of 4 km and 72  
168 vertical levels. While the 4-km horizontal grid size does not likely explicitly resolve this  
169 type of system, this squall line is driven by synoptic scale meteorology that has been  
170 successfully simulated in previous work (Kawecki et al., 2016). Meteorological boundary  
171 conditions are from the NAM-Reanalysis (12 km; (NCEI 2013)) and chemical boundary  
172 conditions are provided from MOZART-GEOS4 simulations (Emmons et al. 2010) and  
173 updated every 6 hours. We use the Morrison microphysics parameterization (Morrison et  
174 al. 2005), which is a two-moment scheme that tracks five hydrometeor species (cloud  
175 drop, raindrop, graupel, snow, ice and water vapor). Radiation is parameterized with the  
176 RRTMG for both long-wave and shortwave radiation schemes (Price et al. 2014).  
177 Boundary layer processes are parameterized using the Yonsei University scheme (Hong



178 et al. 2006), surface layer parameters are resolved using the Monin-Obukhov scheme and  
179 the land surface is parameterized with the Noah land surface model (Ek et al. 2003) with  
180 the urban canopy model implementation. For chemistry, we use the RADM2 gas phase  
181 chemical mechanism (Stockwell et al. 1990) and MADE-SORGAM (Schell et al. 2001)  
182 aerosol model. Anthropogenic emissions are from the 2013 United States Environmental  
183 Protection Agency (EPA) National Emissions Inventory (NEI; EPA 2011) gridded to the  
184 4km model resolution. Biogenic isoprene emissions follow the MEGAN model (version  
185 2; (Guenther et al. 2006)). Photolysis rates and reactions are calculated using the Fast-J  
186 photolysis scheme (Wild et al. 2000). Additional details on the meteorological event  
187 evaluation are described in Kawecki et al. (2016).

188

## 189 **2.2 MADE/SORGAM Aerosol Parameterization**

190 Aerosol size distributions are described by three internally mixed, lognormal  
191 modes (Aitken, accumulation and coarse) in the MADE/SORGAM aerosol mechanism  
192 (Schell et al. 2001). The Aitken and accumulation modes each have 16 chemical species,  
193 including primary un-speciated  $PM_{2.5}$  (“p25”), sulfate, ammonium, nitrate, sodium,  
194 chlorine, elemental carbon, primary organic aerosol (“orgpa”), and eight categories of  
195 secondary organic aerosol (SOA). SOA categories include one from alkanes (“orgalk1”),  
196 one from olefins (“orgole1”), two formed from aromatics (“orgaro1” and “orgaro2”), and  
197 four from biogenic VOC (“orgba1”, “orgba2”, “orgba3”, “orgba4”). The coarse mode  
198 comprises sea salt, soil-derived aerosol, and unspecified primary anthropogenic  
199 emissions, characterized as internally mixed continental aerosol.

200           There are three mechanisms for aerosols to enter one of the aerosol modes:  
201 primary emission, secondary formation, and new particle formation from sulfuric acid  
202 nucleation based on Kulmala et al. (1998). Primary emissions include dust, anthropogenic  
203 emissions of inorganic and organic PM<sub>2.5</sub> in the Aitken and accumulation modes, sea salt  
204 and biomass burning. We note that in the simulations presented here, we do not include  
205 interactive dust (although some anthropogenic dust is emitted from urban areas in the US  
206 NEI), sea salt, or biomass burning emissions. Secondary formation of organic aerosol is  
207 simulated by the SORGAM mechanism, which allows condensation of organic mass  
208 based on estimated product yields (Schell et al. 2001).

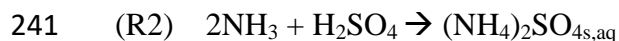
209           The internally mixed aerosol modes are tracked as either clear air particles  
210 (interstitial) or cloud-borne (in-cloud) particles. Interstitial aerosols are activated as cloud  
211 drops based on the maximum supersaturation determined from a Gaussian spectrum of  
212 updraft velocities and internally mixed aerosol properties (i.e. hygroscopicity and  
213 density) within each mode (Chapman et al. 2009). These activated aerosols are then  
214 traced as “in-cloud” aerosol. Aerosols in each mode can also become in-cloud aerosol via  
215 precipitation scavenging, and the in-cloud aerosol can return to interstitial aerosols when  
216 cloud drops and raindrops evaporate. Currently, the MADE/SORGAM parameterization  
217 as implemented in WRF-Chem does not explicitly include the activation of aerosols as  
218 ice nuclei, as the ice nucleation rate is represented as a function of temperature and ice  
219 supersaturation only.

220

### 221 **2.3 Hygroscopicity and implementation of the kappa parameterization**

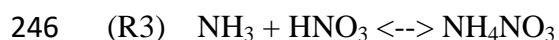
222 In the model configuration used in this study, each aerosol type is assigned a  
223 default hygroscopicity value by WRF-Chem (Table 1). For every grid cell at each time  
224 step, the volume-weighted bulk hygroscopicity is calculated for each of the three modes  
225 for interstitial aerosol. These bulk hygroscopicities are then used to activate a portion of  
226 the aerosols as CCN (or in-cloud aerosol) depending on the environmental  
227 supersaturation (Abdul - Razzak and Ghan 2000). Because the aerosol modes are  
228 internally mixed, it is important to understand the hygroscopicities of all chemical  
229 species. Within the model domain sub-region downwind of Kansas City (Figure 1a), the  
230 most abundant aerosols are sulfate, ammonium, and nitrate (Figure 1), which are all  
231 assigned the same hygroscopicity value (0.5) in the default WRF-Chem (Table 1).  
232 Organic species have a relatively low hygroscopicity (default of 0.14; Table 1), and  
233 elemental carbon is prescribed an extremely low value ( $1.0 \times 10^{-6}$ ).

234 Because of the dominance of inorganic species in the region, the interactions  
235 between sulfate, nitrate and ammonium are key to determining the overall composition  
236 and hygroscopicity of aerosol. Ammonia is an important neutralizing gas in the  
237 atmosphere (Behera et al. 2013), and tends to react quickly with either sulfuric or nitric  
238 acid in the atmosphere to form aerosols. Generally the formation of ammonium sulfate is  
239 favored, and due to its low vapor pressure, this process is essentially irreversible:



242 However, the ratio of ammonium to sulfate is important for determining whether  
243 ammonium will form either ammonium sulfate or ammonium nitrate. Values greater

244 than two encourage reaction with nitrates (Ackermann et al. 1998), where ammonium  
245 nitrate can be formed via a reversible reaction:



247 Laboratory studies show that the hygroscopicity of ammonium depends on whether it is  
248 partitioned with sulfate or nitrate (Petters and Kreidenweis 2007). However, WRF-Chem  
249 default hygroscopicity values are all the same for these three components (Table 1).

250 Organic aerosol formed by the oxidation of aromatics have lab-derived  
251 hygroscopicity values of 0.051 and 0.094 respectively (Petters and Kreidenweis 2007),  
252 while aerosol derived from alkanes has a hygroscopicity value of 0.005 (Virkkula et al.  
253 1999; Raymond and Pandis 2002; VanReken et al. 2005; Petters et al. 2009), a full order  
254 of magnitude lower than the default. Olefin-derived organic aerosol has been observed to  
255 have the highest organic hygroscopicity at 0.19 (Petters and Kreidenweis 2007). The  
256 current default settings in WRF-Chem include organics as one category of “organic  
257 carbon” with a single hygroscopicity that is applied to all SOA of 0.14, which is not  
258 representative of these laboratory values (Ward and Cotton 2011).

259 The default hygroscopicities representing individual components in the standard  
260 version of WRF-Chem have not been explored or tested extensively. As shown above,  
261 several of the organics are misrepresented in the model with higher hygroscopicity than  
262 observed, while the default hygroscopicity of ammonium sulfate and nitrate are lower  
263 than observed and do not account for the partitioning of ammonium. The internal  
264 mixtures of the three aerosol modes depend on the spatial heterogeneity of the aerosols  
265 and their chemical process, and this internal mixture in turn determines the bulk  
266 hygroscopicity and the activating potential of the aerosols. Because the first step in cloud

267 formation is the droplet activation, it is important to test the hygroscopicity assumptions  
268 that drive activation and subsequent precipitation processes through sensitivity  
269 simulations.

270

## 271 **2.4 Experimental Design**

272 To test the simulated precipitation duration and intensity sensitivity to aerosol  
273 hygroscopicity, we run five 60-hour simulations of a severe weather event on May 27,  
274 2013 near Kansas City, MO. In the first simulation, we use the default hygroscopicity  
275 values provided in WRF-Chem (Table 1), hereinafter called the “BASE” case. We then  
276 conduct several sensitivity tests to examine the role of individual species’ hygroscopicity  
277 by systematically changing hygroscopicity values in the MADE/SORGAM data module  
278 in WRF-Chem based on the suggested values in Ward and Cotton (2011) (Table 1). In the  
279 second simulation (SULF), we increase the sulfate hygroscopicity value from 0.5 to 0.71  
280 (Petters and Kreidenweis 2007). For the third simulation (ORG), we change the values of  
281 the SOA formed from anthropogenic precursor VOC to laboratory-tested values (Table 1  
282 for orgalk1, orgaro1, orgaro2, orgole1, orgpa) (Virkkula et al. 1999; VanReken et al.  
283 2005; Petters et al. 2009), which overall reflects a decrease in the hygroscopicity of  
284 organic aerosol species. For the fourth simulation (SWITCH), we incorporate a “switch”  
285 for ammonium. If the ratio of ammonium to sulfate is greater than 2.0, ammonia reacts  
286 with nitrates to form ammonium nitrate, leading to an ammonium hygroscopicity of 0.67.  
287 If the ratio is less than two, the formation of ammonium sulfate is likely, resulting in a  
288 lower hygroscopicity of 0.5. Finally, we conduct a fifth simulation to provide an up-to-  
289 date representation of aerosol hygroscopicity from recent laboratory studies and

290 incorporate all of the changes to the hygroscopicity values from Table 1 (ALL). The  
291 comparison of these simulations and their effects on a severe weather event is described  
292 in Section 3.0 below.

293

## 294 **2.5 Aerosol Simulation Evaluation**

295 The Central Great Plains simulation domain provides a unique blend of  
296 agriculture and industrial emissions (Kawecki et al. 2016). In the continental United  
297 States, ammonia emissions are increasing (Butler et al. 2016), while industrial emissions  
298 are declining (Hand et al. 2012). Additionally, sulfur dioxide (SO<sub>2</sub>) emissions have  
299 substantially decreased since 2002 (Hand et al. 2012), reducing concentrations of sulfur  
300 dioxide (SO<sub>2</sub>) and sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) across the US. Prior model evaluation suggested  
301 that the sulfate concentrations at the model boundaries as provided by the MOZART  
302 chemical boundary conditions simulated too much sulfate as compared to ground-based  
303 observations (Kawecki et al. 2016), and here we remove incoming sulfate and sulfuric  
304 acid at all boundaries to improve our evaluation of simulated sulfate with ground-based  
305 observations from IMPROVE (Malm et al. 1994) observational network (Figure 1). We  
306 note that the IMPROVE site data is the average of May 22 and May 25 2013 samples,  
307 while the model data is the boundary layer average of a 3x3 grid cell average 144 km<sup>2</sup>  
308 region containing the location of the site from May 26 06:00 UTC to May 27 06:00 UTC,

309 Despite the elimination of model boundary sulfur compounds, the model still  
310 shows a slight bias for ammonium sulfate and ammonium nitrate at the two IMPROVE  
311 locations within the model domain (El Dorado Springs, MO; and Lake Seguma, IA;  
312 Figure 1). At the Lake Seguma site, the modeled ammonium sulfate (2.15 µg m<sup>-3</sup>) and

313 ammonium nitrate ( $2.11 \mu\text{g m}^{-3}$ ) are about 1.75 times and 2.85 times greater than  
314 observed, which are  $1.24 \mu\text{g m}^{-3}$  and  $0.74 \mu\text{g m}^{-3}$  respectively. The observed and modeled  
315 organic carbon is similar, with the model slightly lower than the observed value of  $0.67$   
316  $\mu\text{g m}^{-3}$ . Even though there are discrepancies between the observed and modeled aerosol  
317 constituents, the model replicates the balance between ammonium, nitrate, sulfate and  
318 organics in this region. Elemental carbon is lower than observed, likely because biomass  
319 burning emissions are not included.

320  $\text{PM}_{2.5}$  is clearly associated with urban regions (Figure 1a), with plume  
321 concentrations of  $5.5 \mu\text{g m}^{-3}$  originating from Oklahoma City and Tulsa in the  
322 southwestern portion of the domain, and a plume of  $\text{PM}_{2.5}$  ( $5.5 \mu\text{g m}^{-3}$ ) extending  
323 northward from Kansas City in the center of the domain. In comparison with the  
324 IMPROVE sites in the eastern portion of the domain, the model represents the speciated  
325  $\text{PM}_{2.5}$  fairly well at both locations. At the EDS site southeast of Kansas City, the model  
326 simulates similar amounts of ammonium sulfate and organic carbon, with slightly more  
327 simulated than observed for ammonium nitrate ( $0.51 \mu\text{g m}^{-3}$  simulated versus  $0.29 \mu\text{g m}^{-3}$   
328 observed). The model underrepresents elemental carbon, where the observed is about  
329  $0.35 \mu\text{g m}^{-3}$ , approximately 2.5 times that simulated by the model which may be due to  
330 the lack of biomass burning emissions in the model. Though ammonium sulfate and  
331 nitrate is over-predicted in the northern portion of the simulations, the rain event that we  
332 focus on is to the west and south of this model bias, and will not likely affect the  
333 simulation of bulk aerosol hygroscopicity. The chemical composition of the aerosols east  
334 of Kansas City (Figure 1d) is well balanced between ammonium nitrate, ammonium  
335 sulfate and organics. Nitrates and sulfates dominate the mixture with averages of  $1.7 \mu\text{g}$

336  $\text{m}^{-3}$  and 1.65, respectively, while the organics make up most of the remaining fraction  
337 ( $1.0 \mu\text{g m}^{-3}$ ). Generally, the model evaluates well against the limited composition  
338 measurements available within the model domain.

339

### 340 **3.0 Results**

341 We evaluate the changes in precipitation patterns and intensity as simulated by  
342 WRF-Chem due to alterations of aerosol hygroscopicity values. We examine how  
343 changing the individual hygroscopicity values alters precipitation patterns and intensity  
344 (Section 3.1). Next, we evaluate the effect of altering species' hygroscopicities on the  
345 accumulation mode and assess how these changes in hygroscopicity affect the  
346 hydrometeor number concentrations and sizes (Section 3.2). To link the aerosol changes  
347 to dynamics, we discuss the changes to the cold pools and precipitation as a function of  
348 hygroscopicity changes (Section 3.3). Finally, we compare model results to observations  
349 of daily-accumulated precipitation from May 27, 2013 (Daymet and NCEP Stage IV;  
350 (Thornton et al. 2016, Lin 2011)) to assess the realism of these sensitivity tests (Section  
351 3.4)

352

#### 353 **3.1 Meteorological Description and Precipitation Intensity Frequency**

354 An intense rainfall event occurred near Kansas City, MO on May 27, 2013  
355 (Figure 2) as a mesoscale convective system crossed the region, spawning severe weather  
356 with large hail and strong winds. The regions of most intense precipitation as per the  
357 observed data (NCEP Stage IV precipitation (Lin 2011)) occur west and northwest of  
358 Kansas City, in Kansas and Nebraska (Figure 2a). A second region of widespread



359 accumulation occurs to the east and north of Kansas City. Both regions have  
360 accumulations exceeding 100 mm over relatively small areas. Previous work has shown  
361 the model represents this event by approximating the placement and timing of the storm  
362 cells (Kawecki et al. 2016). Figure 2b shows the accumulated precipitation for the BASE  
363 simulation over the 24 hour period, reaching up to 100 mm in the region to the south of  
364 the city and extending eastward along the storm track. The four sensitivity simulations  
365 with altered hygroscopicity (Figures 2c-f) have a pattern similar to the BASE simulation  
366 with a swath of precipitation starting at the junction of the Platt and Missouri Rivers and  
367 continuing southeast. While the domain-averaged accumulated precipitation does not  
368 change substantially with changing hygroscopicity, the spatial distribution of heavy  
369 precipitation does. For example, in the SULF simulation, the maximum precipitation  
370 occurs to the east of Kansas City (Figure 2c), with high values ( $> 100$  mm) covering a  
371 greater spatial extent than the BASE simulation. In the ORG simulation that reduced the  
372 hygroscopicity of organic aerosols, the Kansas City local precipitation maximum is  
373 contiguous to regions of higher precipitation to the east (Figure 2d) with precipitation  
374 amounts exceeding 100 mm. The SWITCH simulation, which allows different  
375 hygroscopicity values of ammonium depending on the partitioning, shows the greatest  
376 change from the BASE simulation with greater precipitation accumulation (Figure 2e).  
377 The region with values exceeding 100 mm is to the north and east of Kansas City and is  
378 larger and more contiguous than in the other simulations. Finally, the ALL (Figure 2f)  
379 simulation, which incorporates all updated hygroscopicity changes, also resembles the  
380 BASE simulation with respect to both precipitation amounts and spatial patterns.

381            Rainfall intensity is a measure of the amount of rain (mm) that falls over a given  
382 amount of time (hour). While rainfall intensity does not determine whether a storm is  
383 classified as a severe threat or not, flooding and flash flooding are imminent dangers  
384 associated with high-intensity rainfall events. According to the American Meteorological  
385 Society (AMS), heavy rain accrues at a rate of more than 8 mm hour<sup>-1</sup>. To examine how  
386 often heavy or high-intensity rainfall (HIR) occurs, we count the number of times each  
387 grid cell has greater than 10 mm hour<sup>-1</sup> during the final 24 hours of the simulations  
388 (Figure 2g-k). In the BASE simulation, there are two main regions where HIR occurs:  
389 (1) in the northwestern quadrant of the domain (40.5 - 41.0°N and 95.0-97.0°W), and (2)  
390 in the eastern portion of the domain around 39.5°N extending from Kansas City to the  
391 east. Like the accumulated precipitation, all four sensitivity simulations show a similar  
392 spatial pattern of HIR occurring along the storm track that moved from the northwest  
393 corner of the domain to the southeast. Generally, the largest HIR values occur  
394 concurrently with the largest values of accumulated precipitation. In the BASE case,  
395 there are only a few grid cells that have HIR exceeding 4 hours (Figure 2g). The SULF  
396 HIR overall pattern matches the BASE case, with more grid cells simulating higher HIR  
397 values especially on the southeastern edge of the system (Figure 2h). In the ORG  
398 simulation (Figure 2i), the southeastern portion of the rain event looks similar to the  
399 SULF simulation with reduced HIR. When including the switch for ammonium nitrate,  
400 the region east of Kansas City has maxima exceeding 8 hours of HIR (Figure 2j), which  
401 coincides with the large amounts of accumulated precipitation in this region (Figure 2d).  
402 Similar to precipitation accumulation, the ALL simulation HIR is similar to the BASE  
403 simulation, with the same pattern and magnitude of HIR (Figure 2k).

404           When averaged over the entire domain, the differences in precipitation occurring  
405 between the simulations are small (not shown). However, when examined spatially, the  
406 differences can be substantial. For example, there is a large increase in accumulated  
407 precipitation east of Kansas City in the SWITCH simulation. The spatial discrepancies  
408 and intensity changes of precipitation associated with altering aerosol hygroscopicity  
409 suggest that mesoscale circulations are most likely being impacted by the aerosol  
410 property changes. To focus on aerosol-driven impacts on the event, we analyze the time  
411 period during the most intense area of the storm near Kansas City, MO [0000 – 1800  
412 UTC 5-27-2013]. Spatially, the region east of Kansas City shows the greatest differences  
413 between the BASE simulations and the hygroscopicity sensitivity simulations (red box;  
414 Figure 1a). Therefore, the following analysis focuses on the rain event downwind of the  
415 urban area (Figure 1a; red box).

416           During this time period in the focus region, two separate pulses of precipitation  
417 occur, the first from 00:00 to 07:00 UTC and second from 12:00 to 17:00 UTC (Figure  
418 3). Prior to 00:00 UTC, the precipitation accumulated over the focus area is small, as are  
419 the precipitation differences between the simulations. During the first pulse of  
420 precipitation, the BASE simulation accumulates 25 mm of rain. The SULF simulation  
421 also accumulates 25 mm, while the ORG, SWITCH and ALL simulations accumulate 27  
422 mm, 28, mm, and 30 mm, respectively (Figure 3). Greater differences between the  
423 simulations manifest during the second round of intense precipitation (1200 – 1700  
424 UTC). The BASE simulation accumulates an additional 19 mm, SULF accumulates an  
425 addition 25 mm, and the SWITCH and ORG simulations both accumulate an additional  
426 26 mm. The ALL simulation shows the greatest difference in this second pulse and only

427 accumulates an additional 10 mm of precipitation. Overall, the changes to hygroscopicity  
428 affect precipitation patterns and the timing of precipitation, where the second  
429 precipitation pulse is influenced by the first pulse.

430

### 431 **3.2 Changes in Aerosol Hygroscopicity and Microphysics**

432 We examine the hygroscopicity averaged over the region east of Kansas City  
433 (Figure 1a, red box), during the entirety of the intense rain event (0000 – 1800 UTC 5-27-  
434 2013) (Figure 4). The probability density functions (PDF) of hygroscopicity changes  
435 with each sensitivity simulation, demonstrating that the bulk hygroscopicity distribution  
436 is sensitive to changes in individual species' hygroscopicities. While this representation  
437 does not tell us about the aerosol particle size, it explains how the bulk hygroscopicity is  
438 changing within the focus region. The BASE and SULF simulations hygroscopicity have  
439 a peak probability (~15%) at 0.23. While the BASE probability decreases with  
440 increasing hygroscopicity values, the SULF simulation decreases more quickly as  
441 hygroscopicity increases until 0.33, and then increases to 11% for values above 0.38. The  
442 ALL simulation mimics the SULF pattern, though the peak probability is 14% and the  
443 slopes are less steep. In the SWITCH simulation, the hygroscopicity probability is lower  
444 than the BASE, SULF, and ALL simulations between 0.18 – 0.33, yet higher for larger  
445 hygroscopicity values (>0.33). Finally, the ORG simulation shows the most distinct  
446 change in hygroscopicity, with a peak probability of 14% for lower hygroscopicity values  
447 (0.18). These hygroscopicity changes ultimately lead to differences in accumulated  
448 precipitation and the spatial distribution of the precipitation within the focus region  
449 (Figures 2 and 3).

450 Because aerosol hygroscopicity is a key factor in determining how many aerosols  
451 will activate as CCN, we hypothesize that hygroscopicity changes will drive changes in  
452 the microphysical parameters. To examine this, we evaluate hygroscopicity variations  
453 with height during the first and second pulses of precipitation (Figure 5). However, we  
454 note that these values should be interpreted carefully, as hygroscopicity is calculated as a  
455 function of the interstitial aerosol only, meaning aerosol that has been activated is not  
456 included in the calculation. Then, we examine the vertical profiles of hydrometeor size  
457 and number during the two precipitation pulses (Figures 6 and 7).

458

### 459 **3.2.1 Changes during the first precipitation pulse (00:00-09:00 UTC)**

460 During the first pulse, the lowest hygroscopicity values are near the surface and  
461 increase with height until about 6.5km, then decrease with height until 12 km (Figure 5a).  
462 At the surface, the ORG simulation has the lowest hygroscopicity of 0.08, while the  
463 BASE, SULF, SWITCH, and ALL simulations have similar hygroscopicity values of  
464 0.14. The hygroscopicity differences between these simulations increase at around 1.5  
465 km. At the peak hygroscopicity values around 6 km, the SWITCH simulation shows the  
466 greatest hygroscopicity with the ALL simulation showing the lowest hygroscopicity.  
467 Again, we note that these results represent the unactivated or interstitial aerosol.

468 The SULF simulation has a similar surface hygroscopicity value as the BASE  
469 throughout the vertical profile (Figure 5a), yet more cloud drops are activated in this  
470 simulation (Figure 6a), resulting in smaller effective radii (Figure 6a). In contrast, the  
471 SWITCH and ALL simulations have the smallest number of cloud drops (Figure 6a) with  
472 the largest effective radii (Figure 6e). The ORG simulation has fewer cloud drops than

473 the BASE (and SULF) simulations, but the drop size is comparable to the BASE  
474 simulation, larger than the SULF simulation and smaller than the SWITCH and ALL  
475 simulations (Figure 6a and 6e). Overall, the hygroscopicity values drive the number and  
476 size of the cloud drops, which then influence the formation and size of other  
477 hydrometeors. The lower hygroscopicity in the ORG simulation causes fewer cloud  
478 drops, the greater hygroscopicity in the SULF simulation causes more aerosols to activate  
479 at smaller effective radii, and the SWITCH and ALL simulations have larger and fewer  
480 cloud drops (Figure 6a&e), likely driven by the change in ammonium hygroscopicity.

481 The smaller and more numerous cloud drops in the SULF simulation lead to the  
482 fewest number of rain drops (Figure 6b), and a delay in precipitation accumulation  
483 (Figure 3). The SWITCH, SULF, and ORG simulations all present initially suppressed  
484 precipitation, corresponding to the smaller rain drop effective radii and a less efficient  
485 collision and coalescence process. The ALL simulation has the greatest rain drop number  
486 concentrations (Figure 6b), and the sizes are similar to the BASE (Figure 6f), where the  
487 larger number concentrations increase initial precipitation accumulation (Figure 3).

488 At higher altitudes in the mixed-phase region of the cloud, the number and size of  
489 graupel, ice and snow are affected. The more numerous, smaller cloud drops in the SULF  
490 simulation do not autoconvert to rain and freeze as graupel, causing the largest graupel  
491 number concentrations ( $14 \text{ L}^{-1}$ ; Figure 6c), the ALL graupel radius is larger than the  
492 BASE (Figure 6g), due to larger rain and cloud drops freezing. The ALL simulation has  
493 the second lowest number concentrations ( $11 \text{ L}^{-1}$ ; Figure 6c) and largest sizes of graupel  
494 (Figure 6g), corresponding to the larger and more numerous rain drops drops. The ALL  
495 simulation dominates the snow number concentrations ( $16 \text{ L}^{-1}$ ) (Figure 6d), and has the

496 largest effective radii (Figure 6h), likely due to enhanced accretion due to larger cloud  
497 and rain drop and sizes. The larger graupel and snow hydrometeors accrete more  
498 efficiently in the ALL simulation, and melting to larger raindrops, aiding the increased  
499 precipitation.

500 The larger and more numerous hydrometeors in the ALL simulation coincide with  
501 the stronger updrafts in the region (Figure 6i), leading to the precipitation increases in  
502 this simulation (Figure 3). Conversely, the ORG simulation has the weakest updrafts,  
503 which coincides with the smallest, least numerous hydrometeors. The SWITCH and ORG  
504 simulation both accumulate more rain than the BASE simulation, but by different  
505 microphysical mechanisms. The weaker updrafts in the ORG simulation may not be  
506 strong enough to keep the larger hydrometeors aloft, resulting in greater precipitation  
507 accumulation. Whereas the SULF simulation has the same amount of accumulated  
508 precipitation as the BASE, the updrafts are stronger than the BASE simulation in the  
509 coldest regions of the cloud, suggesting the cold production of accumulated precipitation  
510 may be compensating for the smaller and more numerous liquid-phase hydrometeors.

511

### 512 **3.2.2 Changes during the second precipitation pulse (12:00-17:00 UTC)**

513 In the second pulse, hygroscopicity values are similar near the surface up to about  
514 2km, increasing from about 0.08-0.15 at the surface to 0.5 at around 2km (Figure 5b).  
515 The hygroscopicity increase with height suggests an increase in interstitial aerosol,  
516 matching the time period when rain accumulation ceases (Figure 3). With increasing  
517 height from 6km to 13km, ORG has the smallest hygroscopicity values, and ALL has the  
518 greatest values.

519           Because the evolution of the first pulse of precipitation influences the secondary  
520 convection, larger changes in hydrometeor size and number occur in the second  
521 precipitation pulse (Figure 7). During the second pulse of intense precipitation, the  
522 SULF, ORG, and SWITCH simulations all have increased precipitation compared to the  
523 BASE simulation during this time period, while the ALL simulation has decreased  
524 precipitation (Figure 3). As in the first pulse, cloud drop number concentrations are the  
525 greatest in the SULF simulation, the least in the ALL simulation, corresponding to the  
526 smallest (SULF) and largest (ALL) effective radii (Figure 7a&e). The SWITCH  
527 simulation has the greatest rain drop number concentrations (Figure 7b), which coincides  
528 with the largest precipitation enhancement. The ALL simulation has the largest rain  
529 drops (Figure 7f), but only one maximum at 4.5 km, whereas the other simulations have a  
530 secondary maximum at the lower altitude of 1.5 km. This reflects the number of  
531 raindrops reaching the surface as accumulated precipitation, where the SWITCH, SULF,  
532 and ORG simulations all have enhanced number concentrations compared to the BASE  
533 simulation. The BASE simulation has the fewest rain drops at 4.5 km (Figure 7b). By  
534 this time period, the ORG, BASE, and SULF simulations have similar graupel number  
535 concentrations (Figure 7c). The SWITCH has the largest graupel hydrometeors,  
536 suggesting that melting graupel contributes to the enhanced accumulated precipitation.  
537 Whereas the ALL and BASE graupel are approximately the same size, the ALL  
538 simulation has the fewest graupel hydrometeors (Figure 7d&h), peaking at the lowest  
539 altitude (9 km versus 10 km). As during the first pulse, the ALL simulation has the most  
540 snow hydrometeors (Figure 7i), likely persisting from the first pulse of precipitation as a  
541 result of relatively small snow hydrometeor fall speeds. The ORG and SWITCH



542 simulation have the same number concentrations of snow, less than the ALL simulation,  
543 but more than SULF and BASE. The ALL snow hydrometeors tend to be larger than all  
544 other simulations (Figure 7e).

545 The ALL simulation also generally has larger cloud (Figure 7e), rain (Figure 7f),  
546 and snow (Figure 7h) than the other simulations as well as the strongest downdrafts  
547 (Figure 7i). Compared to the BASE, the ORG, SULF, and SWITCH simulations have  
548 increased vertical velocities from 1km to 10km (Figure 7i), which accompany the  
549 increased precipitation (Figure 3). In the SWITCH simulation, the final pulse yields the  
550 largest amount of accumulated rain, which coincides with the greatest number of rain  
551 drops (Figure 7b). These increases and decreases in accumulated precipitation suggest  
552 that several factors are affected by the changes in hygroscopicities. While large-scale  
553 meteorological forcing and local thermodynamic factors are the drivers of this  
554 precipitation event, it is clear that chemical composition can affect the intensity of  
555 hydrometeor formation and rain rates. Examining the microphysics alone does not fully  
556 explain the accumulated precipitation differences, and next we examine cold pools to link  
557 the microphysics to the dynamics.

558 To connect the hygroscopicity to changes in cloud microphysics as demonstrated  
559 in Figures 6 and 7, we evaluated the relationships between hygroscopicity and several  
560 environmental variables, including aerosol number concentration, vertical velocity, and  
561 water vapor mixing ratio (as a proxy for supersaturation). While the relationships  
562 between aerosol number concentration and vertical velocities did not vary much between  
563 the simulations, the joint probabilities between hygroscopicity and water vapor mixing  
564 ratio did show differences between the BASE simulation and four sensitivity tests (Figure

565 8). In the three simulations that ultimately result in increased precipitation (SWITCH,  
566 SULF, and ORG; Figure 8b,d,&e), the probabilities for larger water vapor mixing ratios  
567 with respect to hygroscopicities increase. Specifically, the BASE joint probability  
568 maximum of 8% occurs at  $11 \text{ g kg}^{-1}$ , where as for the SWITCH simulation the probability  
569 increases to over 10% within the same parameter space. This increase is also consistent in  
570 the ORG and SULF simulations. During Phase 2, the distributions broaden for each  
571 simulation. However, the increased probability for greater amounts of water vapor  
572 persists, and is distributed more evenly among the hygroscopicity values. The increase in  
573 water vapor in the SWITCH, ORG, and SULF simulations suggests that the changes to  
574 hygroscopicity affect the uptake of water vapor and lead to additional water vapor in  
575 these simulations. The increased water vapor could increase instability, which ultimately  
576 aids in enhancing precipitation. While this offline analysis indicates that increased water  
577 vapor from the hygroscopicity changes leads to the changes in precipitation, a full  
578 microphysical budget is required to determine whether evaporation from smaller droplets  
579 (as in the SULF simulation) is the cause or if the water is advected. However, the current  
580 implementation of the WRF model does not include a budget of the microphysical  
581 processes as model output, limiting further attribution of how hygroscopicity affects  
582 microphysics.

583

584

### 585 **3.3 Dynamical Effects of Hygroscopicity Changes**

586 Cold pools are evaporatively-cooled regions of downdraft air that spread out  
587 horizontally underneath a precipitating cloud (Engerer et al. 2008) and play an important

588 role in squall lines propagation and convective initiation (Rotunno et al. 1988). Cold pool  
589 strength is a function of hydrometeor phase change, and hydrometeors are directly  
590 affected by aerosol composition (Grant and van den Heever, 2015; Kawecki et al. 2016).  
591 Therefore, we examine the impacts the hygroscopicity changes have on the dynamics via  
592 the changes to the cold pools. Here we use the methodology described in Kawecki et al,  
593 (2016) to examine the cold pool characteristics (Figure 8). During the first pulse there is  
594 little difference between BASE and ALL simulations with respect to the cold pool  
595 (Figure 8a,b,e&f). However, there is increased area of strong winds in the ALL  
596 simulation, indicating more intense precipitation within the region, which corresponds to  
597 the increase in accumulated precipitation (Figure 3). At the beginning of the second  
598 precipitation pulse at 11:00 UTC, the BASE simulates a strong cold pool entering the  
599 sub-region (Figure 8b), while the ALL simulation cold pool encompasses a larger area  
600 than the BASE simulation and persists longer, signifying a stronger cold pool (Figure 8e-  
601 h). The stronger cold pool corresponds to the stronger downdrafts during this time period  
602 (Figure 7i). The larger hydrometeors in the ALL simulation (Figure 6 and 7) lead to  
603 initially more precipitation and the stronger and larger cold pool. This enhanced  
604 precipitation limits the convective instability and weakens the secondary convection of  
605 the second pulse (Figure 3).

606 All three simulations that altered the hygroscopicity of individual species  
607 (SWITCH, ORG, and SULF) correspond with enhanced precipitation later in the  
608 simulation. This is especially true in the SWITCH simulation, where the final pulse  
609 yields the largest amount of accumulated rain. This suggests that several factors are  
610 affected by the changes in hygroscopicities. Initial suppression followed by increased

611 vertical velocities (SULF, ORG) or quicker rainout due to larger drops (Figure 6f) being  
612 able to form more quickly (ALL), are both causes for these differences. While large-scale  
613 meteorological forcing and local thermodynamic factors are clearly the drivers of this  
614 precipitation event, it is clear that chemical composition can affect the intensity of  
615 hydrometeor formation and rain rates.

616

### 617 **3.4 Evaluation against observed precipitation intensity**

618 To understand the realism of these hygroscopicity sensitivity tests, we compare  
619 the PDF of the observed accumulated precipitation values to simulated precipitation in  
620 the selected box (Figure 10). We use the Daymet gridded daily precipitation product at  
621 1km resolution (Thornton et al. 2016), which is a high-resolution daily product of  
622 precipitation to compare with accumulated precipitation from the five model simulations.  
623 Like all gridded datasets, the Daymet dataset has biases. In the Central Plains region, the  
624 Daymet dataset captures average precipitation quite well, with a positive bias of less than  
625 0.25 mm (Behnke et al. 2016). However, for events with greater than 100 mm of  
626 accumulated precipitation, the Daymet dataset has a negative bias of about 40 mm. While  
627 this is actually on the order of 50%, this amount of bias is common with gridded datasets  
628 (Behnke et al. 2016). The observed precipitation intensity shows a peak probability at 30  
629 mm, with low probabilities at the lower accumulated values (< 20 mm, 10%) and high  
630 accumulated values (< 80 mm, <3%). The NCEP Stage IV gridded dataset has a similar  
631 pattern, though it has a greater probability of capturing high-intensity events.

632 The model simulations show a broad range of accumulated precipitation  
633 distributions with several different characteristics than observed (Figure 10). Most of the

634 cases simulate an increased probability of low intensity (<15 mm) and high intensity (>60  
635 mm) events, and lower frequencies at the center of the precipitation distribution. Of the  
636 five simulations, the ALL simulation most closely matches observations, primarily by  
637 reducing amount of both low (<15 mm) and high (> 60 mm) precipitation intensities and  
638 improving the tail ends of the PDF. While the ALL simulation shifts the observed peak at  
639 35 mm to higher values (50 mm), it reproduces the overall shape of the observed  
640 distribution better than the other simulations. The BASE simulation also generally  
641 matches the pattern of the observed PDF, yet misses the observed 35 mm peak  
642 accumulation and simulates greater values at the distribution tails when compared to the  
643 Daymet data. However, when compared to the NCEP Stage-IV data, the BASE  
644 simulation closely captures the distribution of the observed high-intensity precipitation  
645 events. The ORG simulation diverges from the observed PDF, with a large probability of  
646 low-intensity rain events and an increased probability of high-intensity rain events, with  
647 the lowest probability of mid-range events. The SULF and SWITCH simulation PDFs  
648 are similar, with increases in both low and high-intensity events compared to the  
649 observed PDF, and much lower probability of the mid-range events. In the ORG,  
650 SWITCH and SULF simulations especially, this pattern indicates that the model is  
651 simulating too much rainfall in some grid cells and too little rainfall in others in all  
652 simulations. The ALL simulation provides the best evaluation with observations by  
653 improving the number of grid cells with the mid-range rain accumulations compared to  
654 the other simulations. The changes to the aerosol characteristics via hygroscopicity  
655 (Figures 4 and 5) impact the microphysics (Figures 6 and 7), the dynamics (Figure 9),  
656 and thus the precipitation patterns and intensity (Figures 2 and 3). By incorporating lower

657 organic hygroscopicity values and higher hygroscopicity values for sulfate, ammonium  
658 and nitrate that depend on the partitioning of ammonium, the ALL simulation not only  
659 more closely matches the BASE simulation, it also improves the model's ability to  
660 represent observed accumulated precipitation.

661 The improved representation of mid-range intensity precipitation (30 – 60 mm d<sup>-1</sup>)  
662 for this MCS event is a result of how the ALL simulation represents the two pulses of  
663 precipitation (May 27, 00:00 –18:00 UTC). By the end of the first pulse, the initially  
664 enhanced precipitation leads to a stronger, larger cold pool and suppresses the second  
665 pulse of precipitation (Figure 9). As discussed above, the simulations qualitatively agree  
666 with the observations although there are some key differences between the observed radar  
667 and the simulated radar, as discussed in Kawecki et al. (2016). While the ALL  
668 simulation shows better agreement with the observations, we note that there are some  
669 discrepancies with the storm placement that may influence the evaluation of the  
670 accumulated precipitation.

671

#### 672 **4.0 Discussion and Conclusions**

673 We test the default hygroscopicity values in WRF-Chem during a mesoscale  
674 convective system event to understand the role of the hygroscopicity parameterization on  
675 the simulation of high intensity rainfall. We find that updating the model parameters to  
676 laboratory-derived values and including a switch to account for changes in ammonium  
677 hygroscopicity based on partitioning can influence the spatial patterns of precipitation  
678 and the location of precipitation intensity. Changing hygroscopicity for individual aerosol  
679 species alters the accumulation mode bulk hygroscopicity distributions, and these

680 distribution differences drive changes in microphysical characteristics (hydrometeor  
681 number concentrations and sizes) that alter rainfall duration and intensity. The largest  
682 contiguous area of rainfall amounts greater than 100 mm exists in the SWITCH case,  
683 which implies that including the larger hygroscopicity values for ammonium and nitrate  
684 could impact the simulation of storm severity and flooding. Compared to the observations  
685 of daily-accumulated precipitation, the model evaluation improves when all lab-derived  
686 hygroscopicities are included, with the caveat that the gridded dataset has a large negative  
687 bias compared to point observations, and may miss the most extreme precipitation events.  
688 Additionally, even though the simulated aerosol mass qualitatively agrees with  
689 observations, errors in the simulated aerosol are likely confounded with hygroscopicity  
690 changes, and the same improvement in precipitation may not hold for other events and  
691 model simulations.

692         Although there were notable spatial differences of accumulated precipitation  
693 between the simulations, the overall domain average accumulated precipitation are  
694 similar (e.g., variations of less than 15%). Therefore, including updated aerosol  
695 hygroscopicity may not necessarily improve a regional forecast but may improve the  
696 placement and frequency of intense precipitation. This spatial redistribution of high-  
697 intensity precipitation when altering aerosol hygroscopicity values emphasizes the need  
698 for mesoscale models to account for chemical composition coupled with microphysics  
699 and thermodynamics, thereby enabling the chemistry-meteorology feedbacks that control  
700 the spatial distribution of the precipitation.

701         While including more complex representations of aerosol activation as CCN aims  
702 to more closely represent real-world environments, there are limitations in our model

703 simulations. First, the activation of aerosols as CCN does not account for interactions on  
704 the aerosol surface. While the modal and bin representations of aerosol size assume  
705 internally mixed modes and bins, individual aerosols are highly complex, and cannot  
706 accurately account for ageing processes in the atmosphere. Even though the  
707 hygroscopicity is a good metric for activating aerosols that are mostly inorganic (e.g.,  
708 ammonium sulfate, ammonium nitrate, salts), it has trouble representing activation of  
709 aerosols that are mixtures of organic and inorganic species (Good et al. 2010). Often the  
710 kappa method will activate these mixtures at smaller diameters than actually observed,  
711 potentially due to heterogeneous surface interactions where surface tension increases  
712 linearly with decreasing surface concentration (Ruehl et al. 2016).

713         Additionally, the 4km horizontal grid spacing for the simulation implemented in  
714 these simulations likely simulates weaker updrafts and downdrafts than actual updrafts  
715 and downdrafts. This is important to note because aerosol activation as cloud drops  
716 depends on updraft speeds in addition to hygroscopicity and supersaturation. Therefore,  
717 the results of this particular work may not translate across all spatial scales. Furthermore,  
718 we examine a region with a relatively balanced mix of aerosol types (organic and  
719 inorganic). We recognize that in different regions, aerosol types may not be as evenly  
720 distributed, where changes to hygroscopicity values may have a relatively large or small  
721 effect. For example, in a region dominated by organic aerosol, changing the  
722 hygroscopicity of the sulfate aerosol species would have little effect. Finally, different  
723 microphysics schemes can strongly influence precipitation intensity, and we did not test  
724 the hygroscopicity effects with different microphysics schemes. Therefore, the changes in  
725 precipitation demonstrated between simulations may not be consistent between different



726 physics parameterizations. Finally, a major model limitation is the lack of a full  
727 microphysical budget. This limitation prevents a full analysis of how hygroscopicity  
728 changes ultimately influence precipitation amounts.

729       Even with these limitations, moving towards a more realistic modeling approach  
730 is necessary in understanding potential changes in precipitation patterns as a result of  
731 current and future heterogeneity of aerosol sources. For example, (Saide et al. 2016)  
732 found that using a bulk hygroscopicity value of 0.4 for all aerosols potentially changes  
733 the significant tornado parameter, which has implications for severe weather.  
734 Additionally, the implemented switch is based solely on the ratio of ammonium to sulfate.  
735 In regions with relatively large amounts of nitrate and sulfate, such as urban regions, this  
736 may misrepresent ammonium hygroscopicity and therefore lead to unrealistic changes in  
737 the microphysics and precipitation patterns. The current microphysics implementation  
738 does not include aerosols as ice nuclei, which can impact the radiative balance within  
739 deep convection (Fan et al. 2013). We note this is important, especially for dust, which is  
740 documented as efficient ice nuclei (DeMott et al. 2003). While this study focused  
741 primarily on the liquid phase, the solid phase has been shown to affect precipitation  
742 processes and total rainfall amounts (Cheng et al. 2010).

743       Overall, these results suggest that the model treatment of aerosol composition via  
744 the hygroscopicity parameter can affect short-term weather and the simulation of high  
745 intensity events. Including updated hygroscopicity values leads to different realizations  
746 of high intensity precipitation events, and may improve the simulation of these types of  
747 precipitation events. These differences suggest that aerosol hygroscopicity be evaluated  
748 more closely in future model studies in regions with varying aerosol compositions.

749

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756 Deep Blue data archive:  
757 [https://deepblue.lib.umich.edu/data/concern/generic\\_works/cr56n1028?locale=en](https://deepblue.lib.umich.edu/data/concern/generic_works/cr56n1028?locale=en) .

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978

979 **Figure 1:** a) BASE case boundary layer (0-2 km), 24 hour averaged (5-26 06:00 UTC to  
980 5-27 06:00 UTC) total PM 2.5 ( $\mu\text{g m}^{-3}$ ). IMPROVE (solid) and modeled (hatched)  
981 speciated aerosol for b) Lake Seguma, IA (LAKE) and c) El Dorado Springs, MO (EDS).  
982 IMPROVE data is the average of May 22 and 25 2013 samples and modeled data is the  
983 boundary layer average of a 3x3 grid cell average of 144 km<sup>2</sup> region containing the  
984 location of the site. d) Modeled speciated aerosol east of Kansas City in the region of  
985 high precipitation (denoted by the red box in Figure 1a).

986

987 **Figure 2:** Accumulated precipitation for the 24 hour period ending on 5-27 at 18:00 UTC  
988 for the a) NCEP Stage IV observed, b) BASE, c) SULF, d) ORG , e) SWITCH and f)  
989 ALL simulations. Frequency of heavy rain (< 10 mm/hour) occurring over the same 24  
990 hour period for the g) BASE, h) SULF, i) ORG, j) SWITCH, and k) ALL simulations.  
991 The red box in Figure 1a indicates the analysis region in Figures 3-5.

992

993 **Figure 3:** Area averaged (red box: Figure2a) accumulated precipitation from May 27  
994 00:00 -18:00 UTC, for NCEP Stage IV precipitation retrieval (dashed black line), BASE  
995 (solid black line), SULF (red line), ORG (green line), SWITCH (blue line), and ALL  
996 (gray line) simulations.

997

998 **Figure 4:** Probability distribution functions (PDFs) for the five simulations (BASE,  
999 SULF, ORG, SWITCH, ALL) for Accumulation mode bulk hygroscopicity. PDFs are



1000 computed based on the time period ranging from 0000 –1800 UTC, 5-27-2013 in the red  
1001 box denoted in Figure 2a.

1002

1003 **Figure 5:** Area averaged (red box; Figure 2a) vertical profiles of accumulation-mode  
1004 hygroscopicity temporally averaged from a) 0000 – 0900 UTC (Pulse 1) and b) 1000 –  
1005 1800 UTC (Pulse 2), for the BASE (black line), SULF (red line), ORG (green line),  
1006 SWITCH (blue line), and ALL (gray line) simulations.

1007

1008 **Figure 6:** Area averaged (red box; Figure 2a) vertical profiles during Pulse 1 (0000 –  
1009 0900 UTC). The first column are the number concentrations (# kg<sup>-1</sup>) for a) cloud drops  
1010 b) raindrops, c) graupel, and d) snow. The second column has the effective radii for e)  
1011 cloud drop, f) raindrops, g) graupel, and h) snow, and the i) vertical velocity (m s<sup>-1</sup>).  
1012 Simulations are denoted by colors: for BASE (black line), SWITCH (blue line), ALL  
1013 (gray line), ORG (green line), and SULF (red line) simulations.

1014

1015 **Figure 7:** Same as Figure 6, except for the time period 10:00 – 18:00 UTC.

1016

1017 **Figure 8:** Bivariate probability density function of hygroscopicity and water vapor  
1018 mixing ratio in g kg<sup>-1</sup> for phase 1 (a-e), and phase 2 (f-j) for each simulation.

1019

1020 **Figure 9:** 10- meter maximum wind speed (12 m s<sup>-1</sup> contour; solid black line) and the  
1021 lowest level perturbation temperature (K; blue (negative) and red (positive) contours) for

1022 BASE simulation (a-d) and the ALL simulation (e-h). The black dots indicate the location  
1023 of Kansas City, MO.

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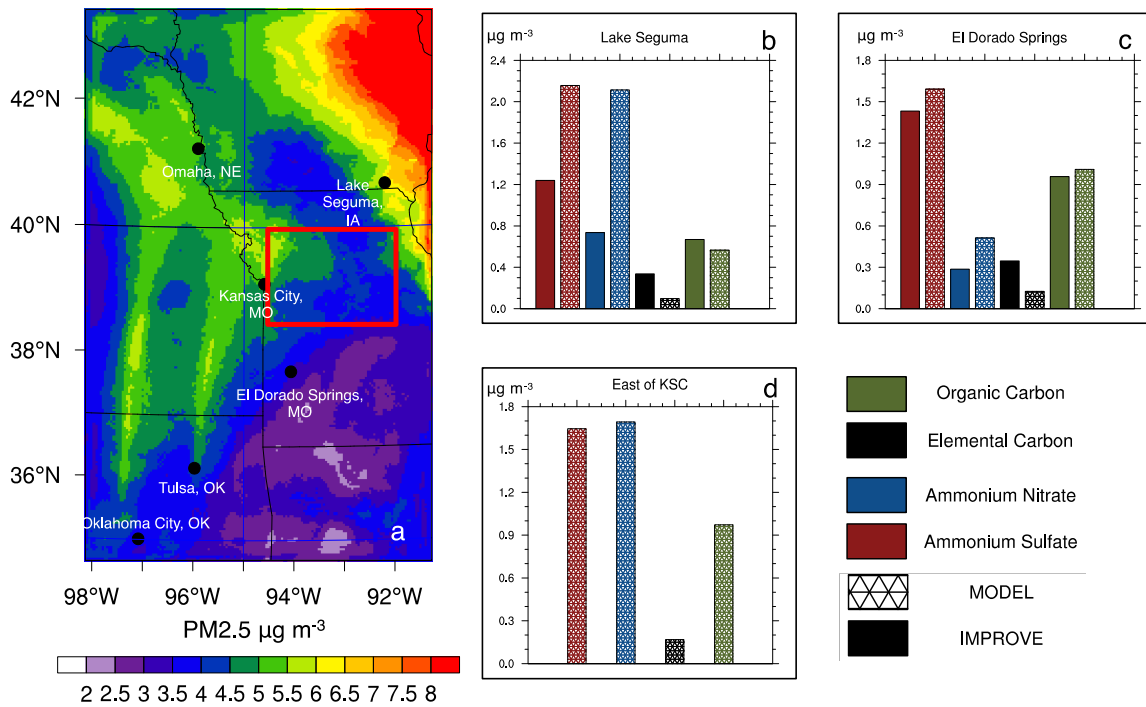
1025 **Figure 10:** Probability density function (PDF) of observed (grey) and modeled (BASE-  
1026 black, SULF-red, ORG-green, SWITCH-blue, ALL – black dashed) 24-hour accumulated  
1027 precipitation calculated over the red box denoted in Figure 2a.

Aerosol Species	WRF-Chem Value	Ward & Cotton		
SO <sub>4</sub> <sup>-2</sup>	0.5	0.71	1028	
NO <sub>3</sub> <sup>-</sup>	0.5	0.51	1029	
NH <sub>4</sub> <sup>+</sup> (SO <sub>4</sub> )	0.5	0.61	1030	
NH <sub>4</sub> <sup>+</sup> (NO <sub>3</sub> )	0.5	0.67	1031	<b>Tab</b>
Anthra	0.14	0.3	1032	<b>le 1:</b>
OIN	0.14	N/A	1033	Hyg
orgaro1	0.14	0.051	1034	rosc
orgaro2	0.14	0.094	1035	opic
orgalk	0.14	0.005	1036	ity
orgole	0.14	0.19	1037	valu
orgba1	0.14	0.10	1038	es
orgba2	0.14	0.10	1039	assi
orgba3	0.14	0.08	1040	gne
orgba4	0.14	0.08	1041	d
orgpa (orgoin)	0.14	0.073	1042	for
ec	1.0 x 10 <sup>-6</sup>	0.02	1043	aero
seasalt	1.16	1.28	1044	sol
soila	0.1	0.04	1045	type
			1046	s in

1047 the MADE/SORGAM WRF-Chem version 3.6 and the values assigned in Ward and  
1048 Cotton (2011).

1049

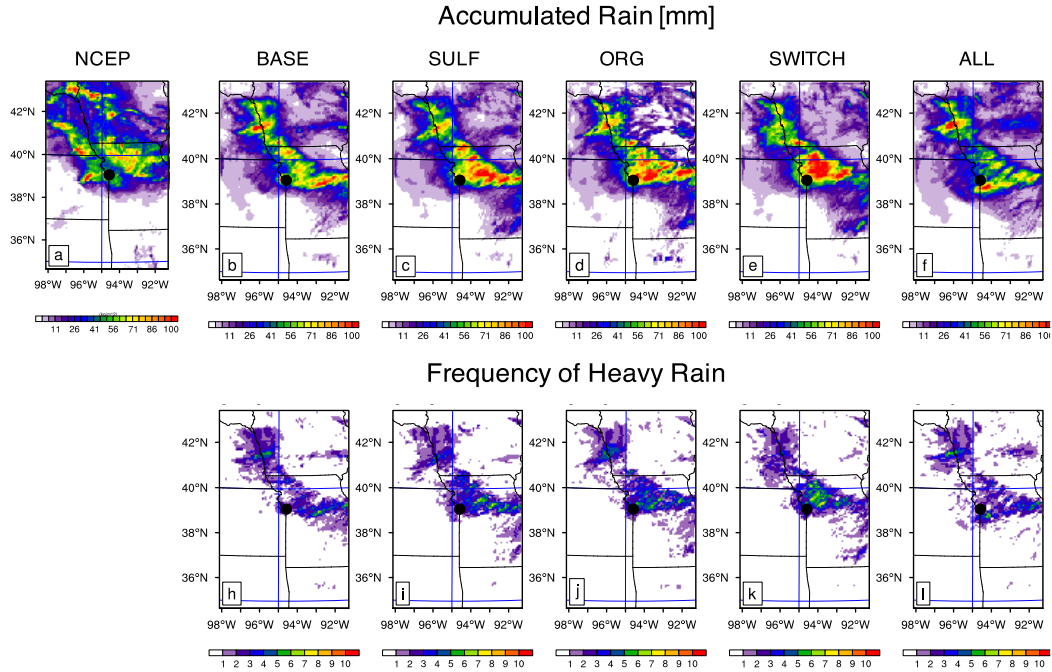
1050 **Figures**



1052

1053 **Figure 1:** a) BASE case boundary layer (0-2 km), 24 hour averaged (5-26 06:00 UTC to  
 1054 5-27 06:00 UTC) total PM 2.5 ( $\mu\text{g m}^{-3}$ ). IMPROVE (solid) and modeled (hatched)  
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 1056 IMPROVE data is the average of May 22 and 25 2013 samples and modeled data is the  
 1057 boundary layer average of a 3x3 grid cell average of 144 km<sup>2</sup> region containing the  
 1058 location of the site. d) Modeled speciated aerosol east of Kansas City in the region of  
 1059 high precipitation (denoted by the red box in Figure 1a).

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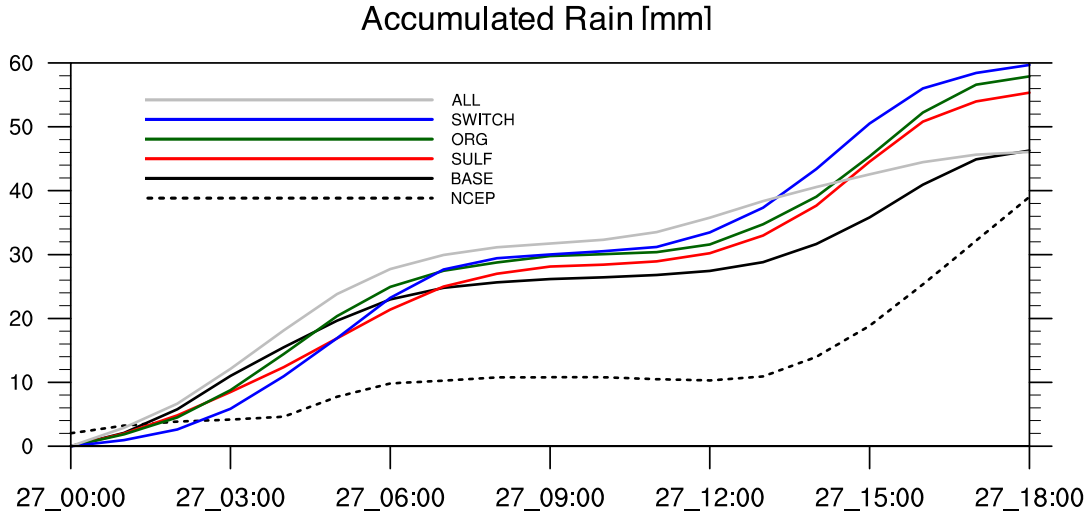
1062 **Figure 2:** Accumulated precipitation for the 24 hour period ending on 5-27 at 18:00 UTC

1063 for the a) NCEP Stage IV observed, b) BASE, c) SULF, d) ORG , e) SWITCH and f)

1064 ALL simulations. Frequency of heavy rain (< 10 mm/hour) occurring over the same 24

1065 hour period for the g) BASE, h) SULF, i) ORG, j) SWITCH, and k) ALL simulations.

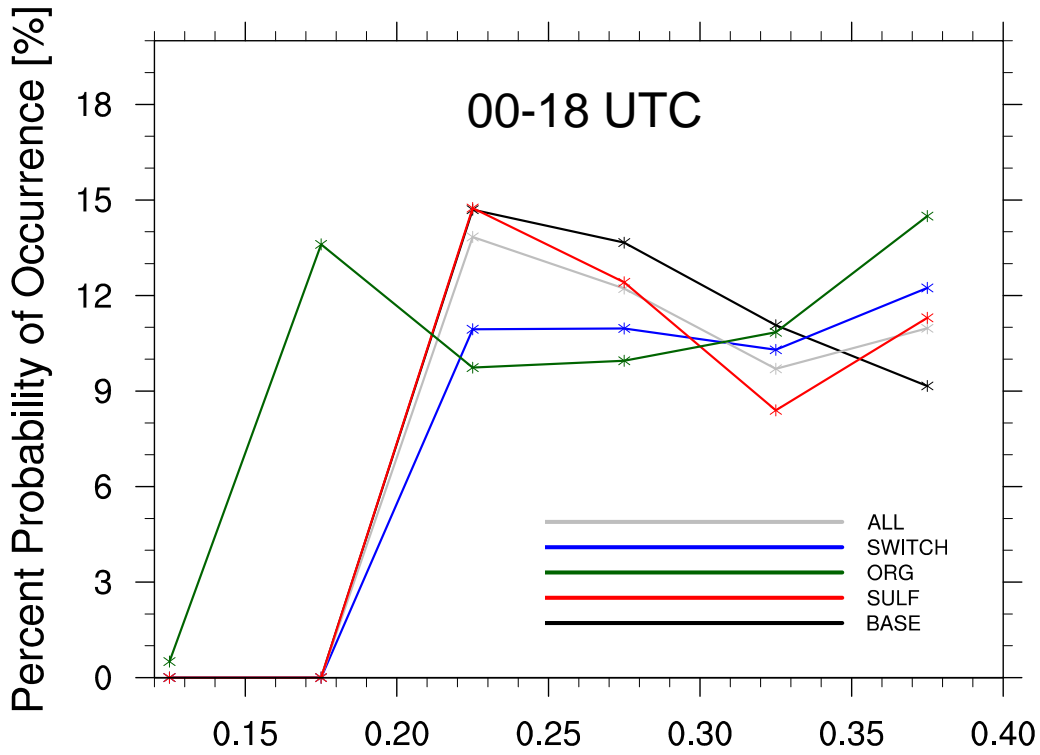
1066 The red box in Figure 1a indicates the analysis region in Figures 3-5.



1067

1068 **Figure 3:** Area averaged (red box: Figure2a) accumulated precipitation from May 27  
 1069 00:00 -18:00 UTC, for NCEP Stage IV precipitation retrieval (dashed black line), BASE  
 1070 (solid black line), SULF (red line), ORG (green line), SWITCH (blue line), and ALL  
 1071 (gray line) simulations.

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1074 **Figure 4:** Probability distribution functions (PDFs) for the five simulations (BASE,  
 1075 SULF, ORG, SWITCH, ALL) for Accumulation mode bulk hygroscopicity. PDFs are  
 1076 computed based on the time period ranging from 0000 –1800 UTC, 5-27-2013 in the red  
 1077 box denoted in Figure 2a.

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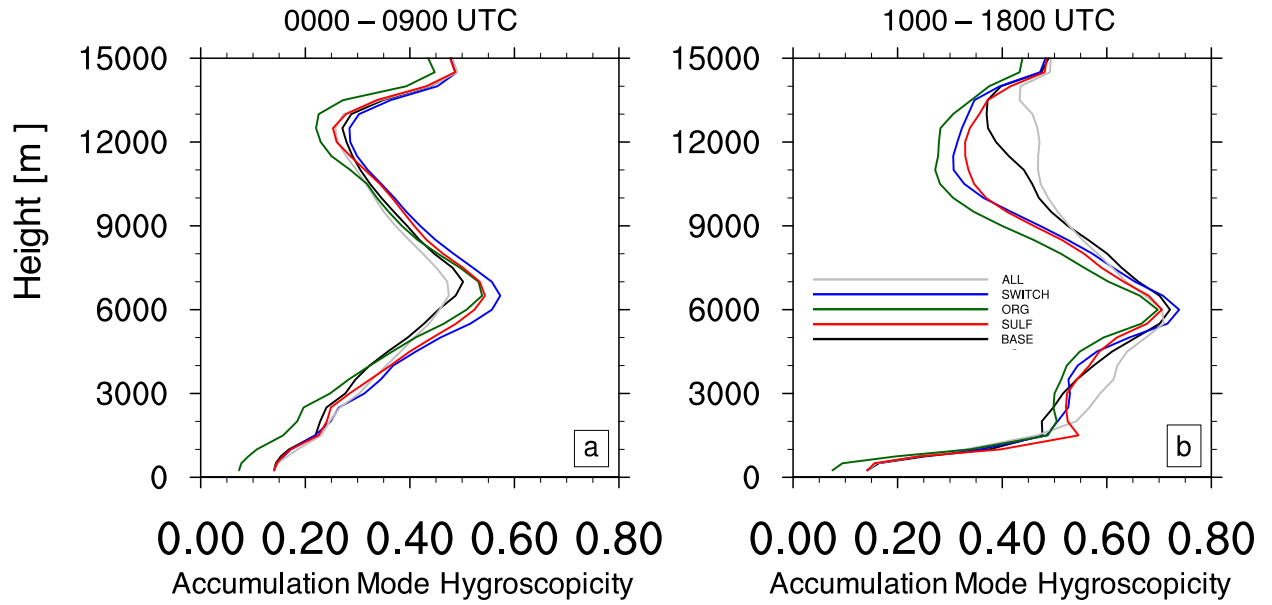
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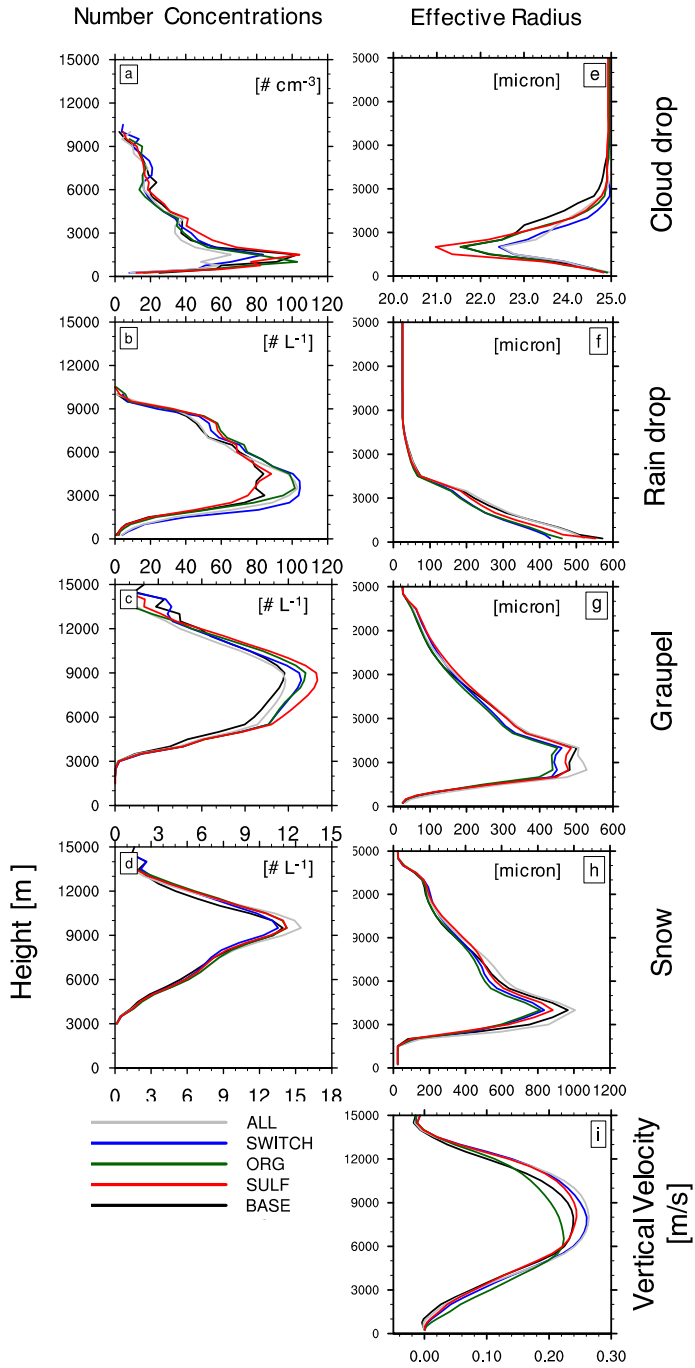


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**Figure 5:** Area averaged (red box; Figure 2a) vertical profiles of accumulation-mode hygroscopicity temporally averaged from a) 0000 – 0900 UTC (Pulse 1) and b) 1000 – 1800 UTC (Pulse 2), for the BASE (black line), SULF (red line), ORG (green line), SWITCH (blue line), and ALL (gray line) simulations.



Pulse 1: 00-09 UTC



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**Figure 6:** Area averaged (red box; Figure 2a) vertical profiles during Pulse 1 (0000 – 0900 UTC). The first column are the number concentrations ( $\# \text{ kg}^{-1}$ ) for a) cloud drops b) raindrops, c) graupel, and d) snow. The second column has the e) vertical velocity (m

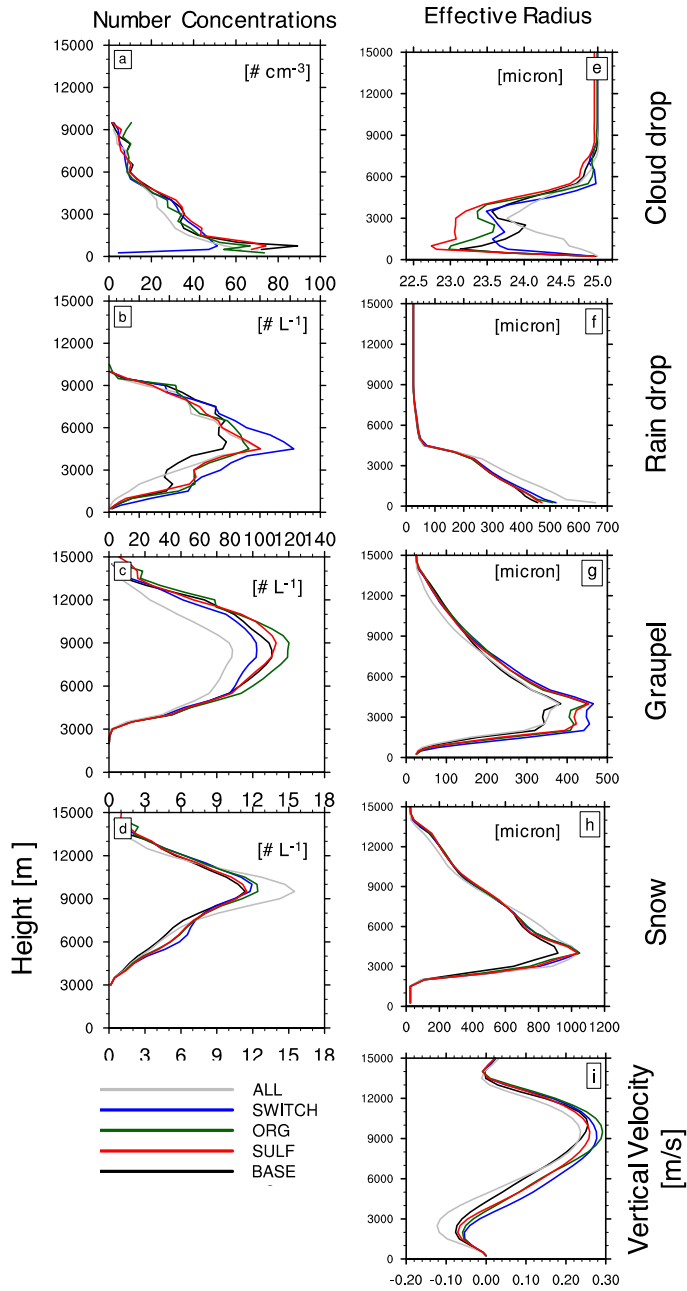
1104 s-1) and effective radii for f) cloud drop, g) raindrops, h) graupel, and i) snow.

1105 Simulations are denoted by colors: for BASE (black line), SWITCH (blue line), ALL

1106 (gray line), ORG (green line), and SULF (red line) simulations.

1107

Pulse 2: 10-18 UTC

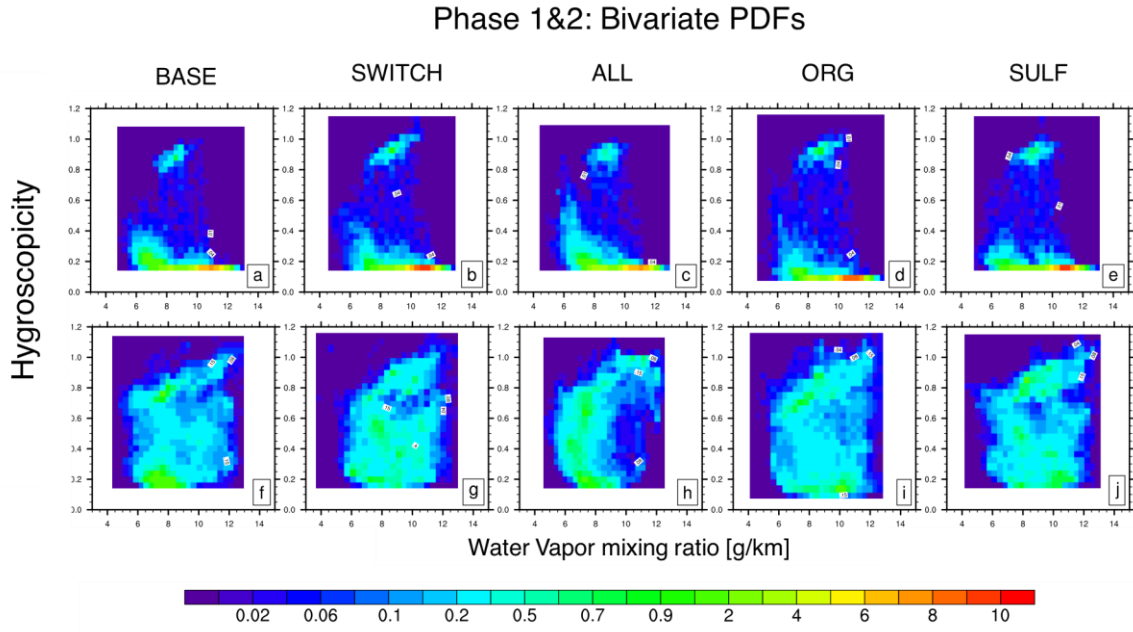


1108

1109

1110 **Figure 7:** Same as Figure 6, except for the time period 10:00 – 18:00 UTC.

1111



1112

1113 **Figure 8:** Bivariate probability density function of hygroscopicity and water vapor

1114 mixing ratio in  $\text{g kg}^{-1}$  for phase 1 (a-e), and phase 2 (f-j) for each simulation.

1115

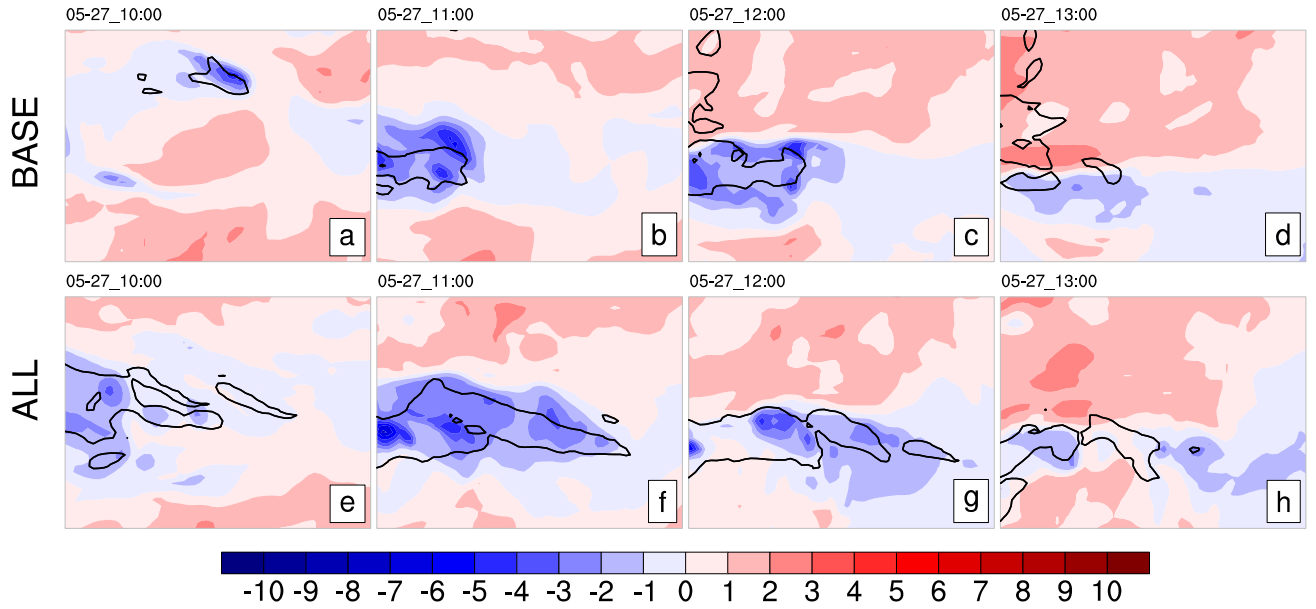
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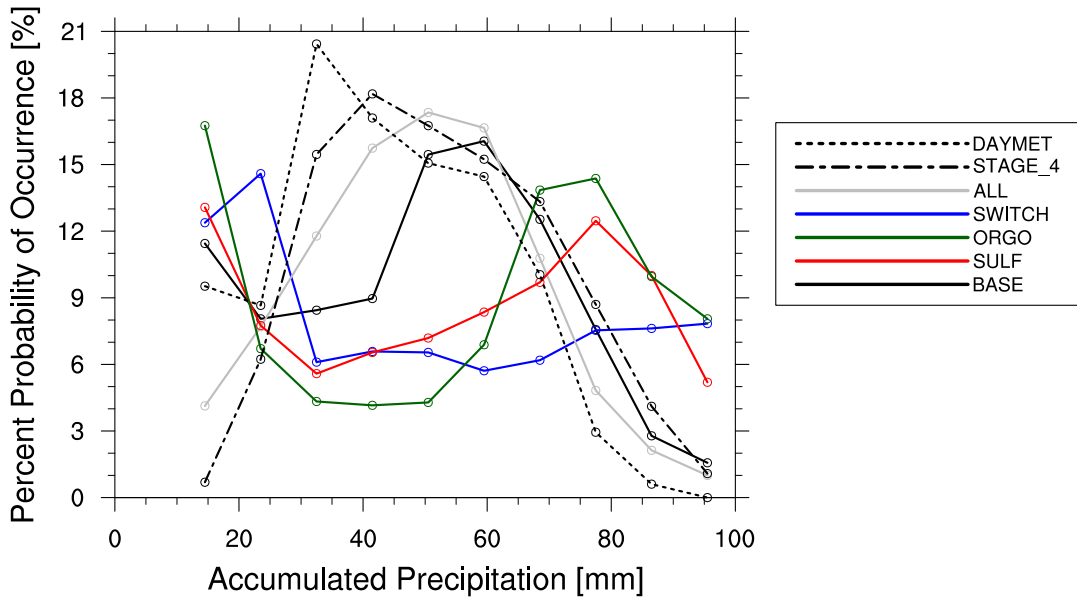
1119

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1121  
1122  
1123  
1124  
1125  
1126

**Figure 9:** 10- meter maximum wind speed ( $12 \text{ m s}^{-1}$  contour; solid black line) and the lowest level perturbation potential temperature (K; blue (negative) and red (positive) contours) for BASE simulation (a-d) and the ALL simulation (e-f). The black dots indicate the location of Kansas City, MO.



1127

1128 **Figure 10:** Probability density function (PDF) of observed (grey) and modeled (BASE-  
 1129 black, SULF-red, ORGO-green, SWITCH-blue, ALL – black dashed) 24-hour accumulated  
 1130 precipitation calculated over the red box denoted in Figure 2a.

1131

1132

1133

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1135

Figure 1.

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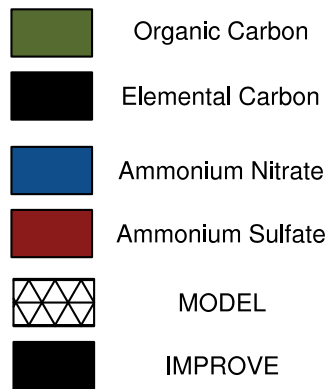
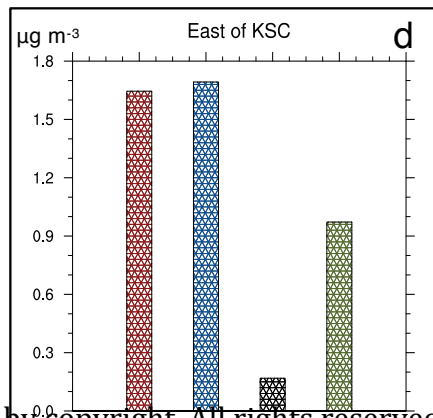
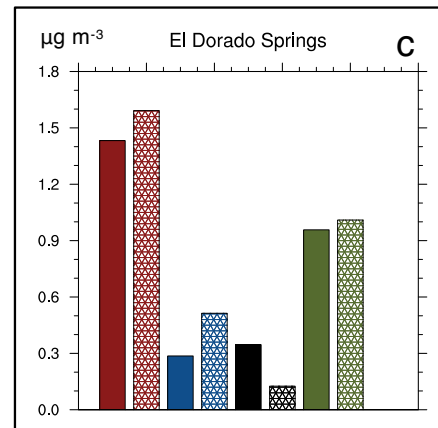
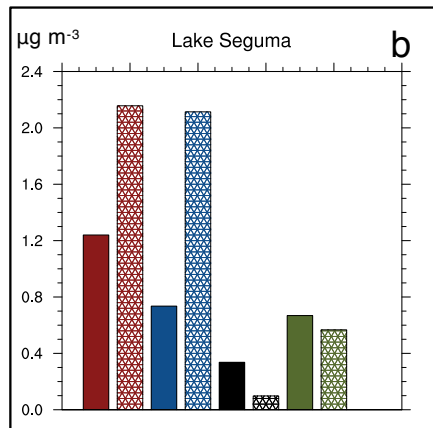
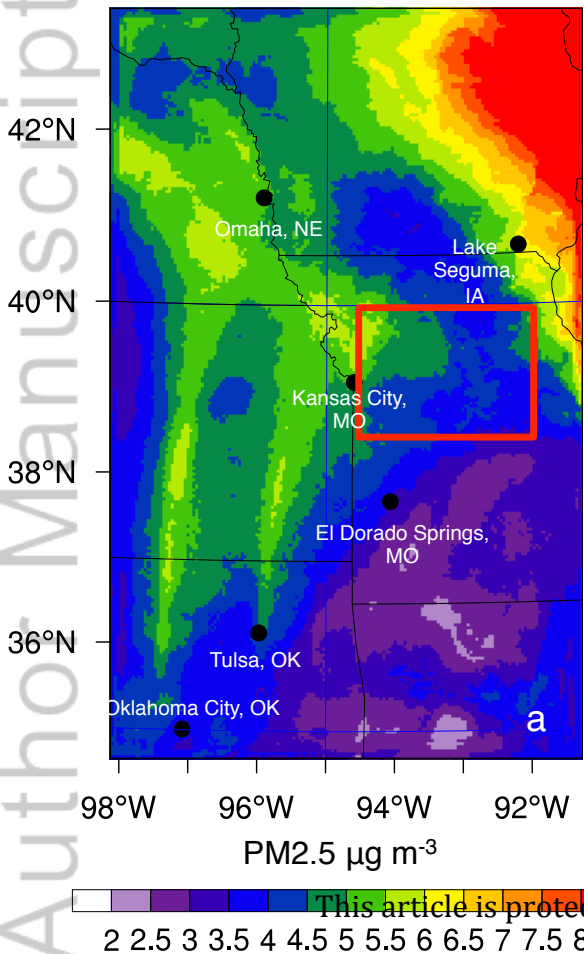
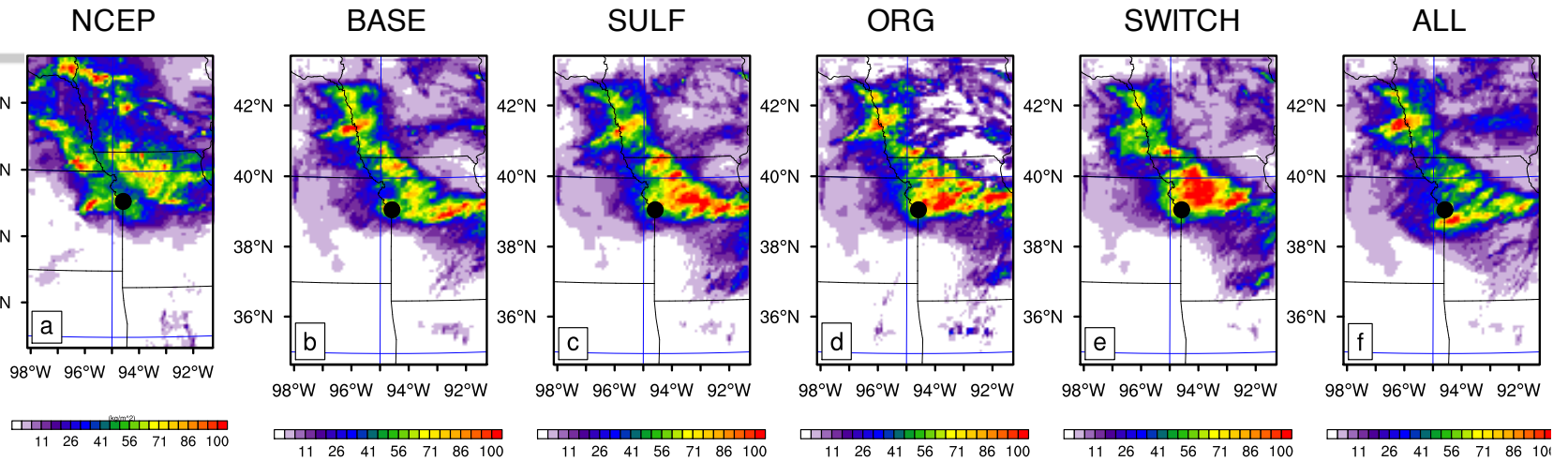




Figure 2.

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# Accumulated Rain [mm]



# Frequency of Heavy Rain

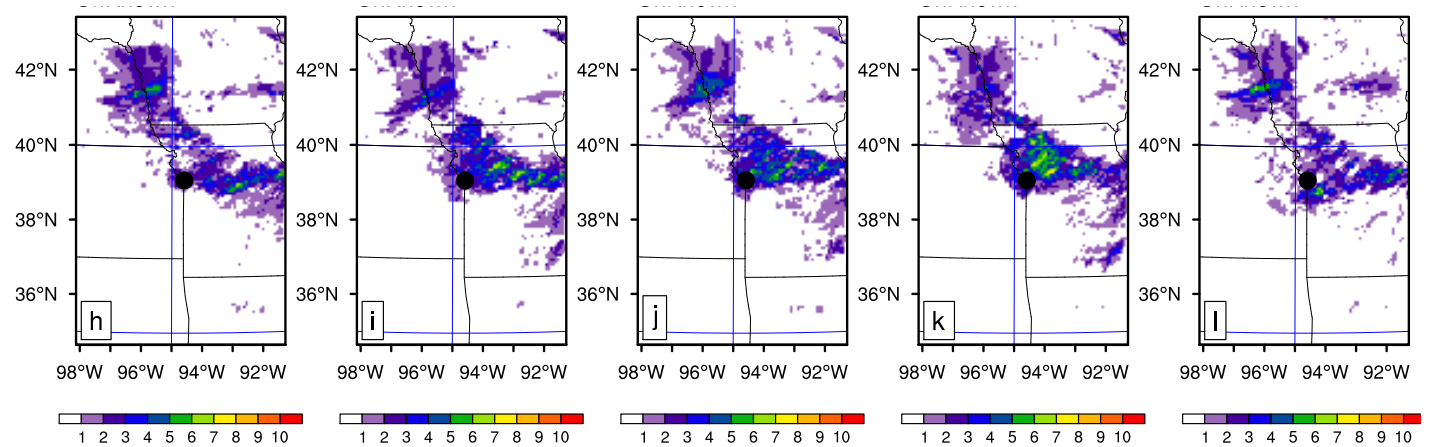


Figure 3.

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# Accumulated Rain [mm]

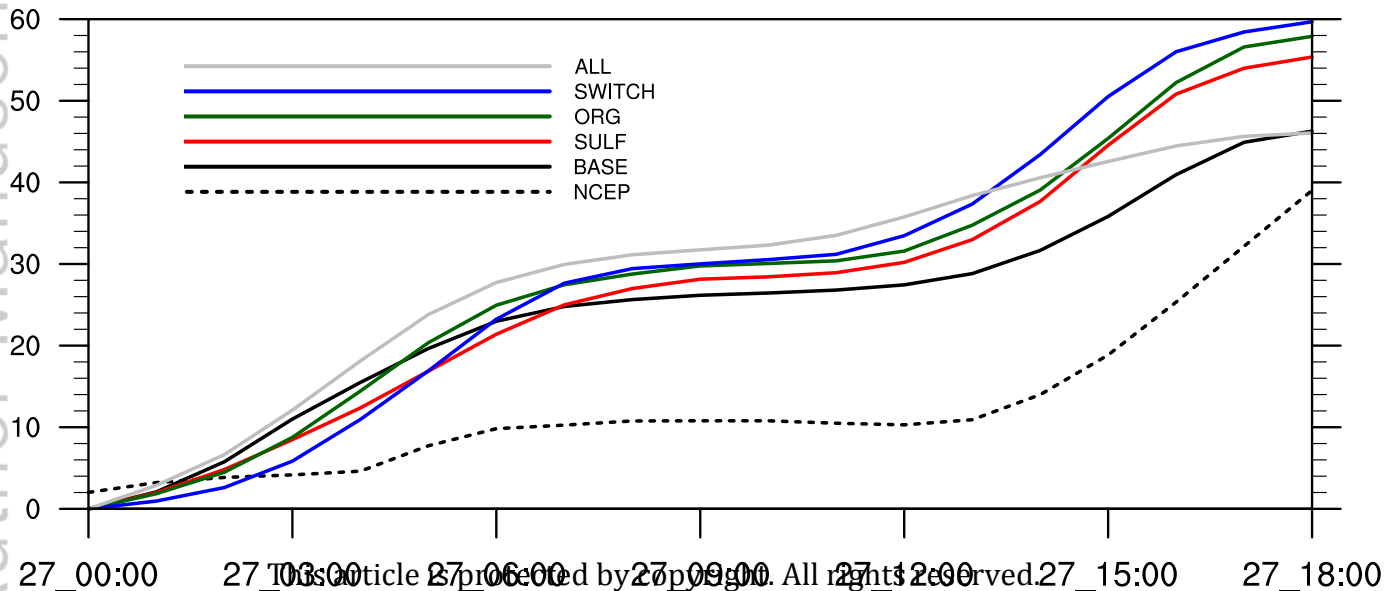
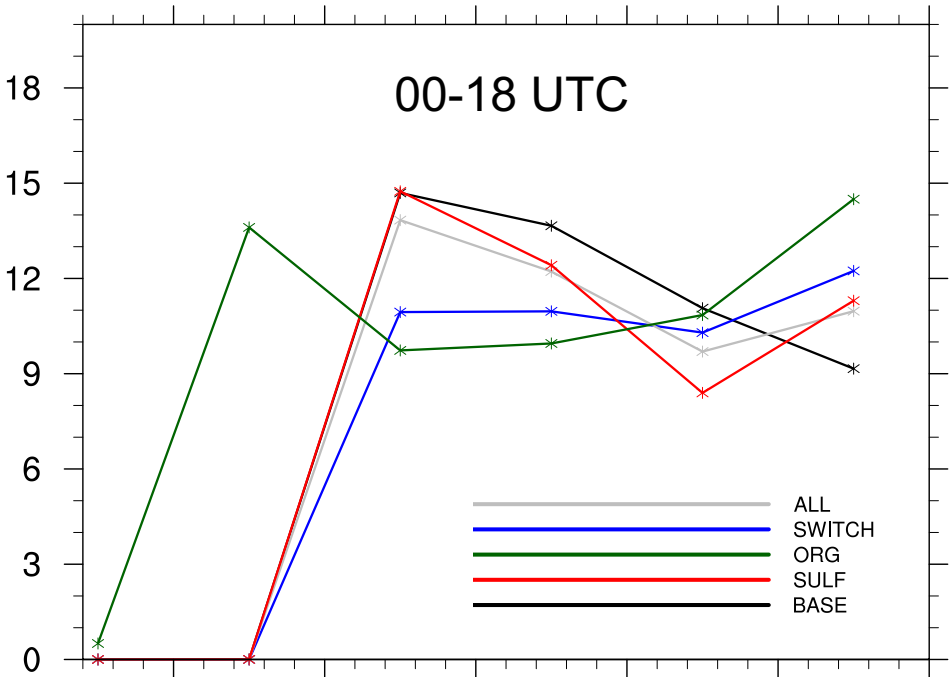


Figure 4.

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Percent Probability of Occurrence [%]

00-18 UTC



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Figure 5.

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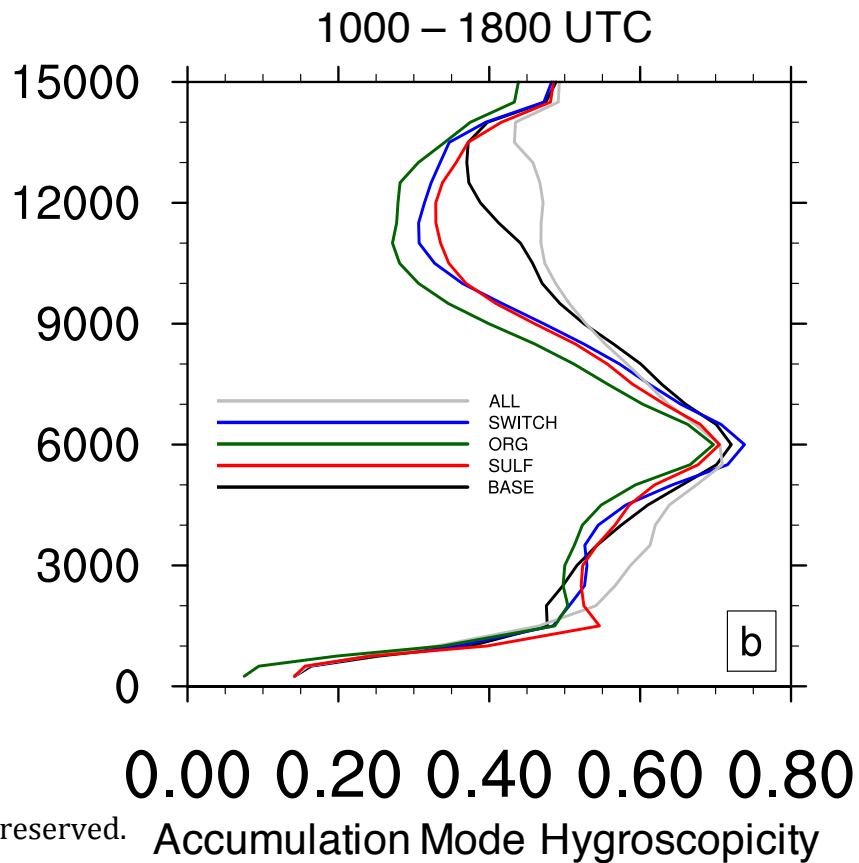
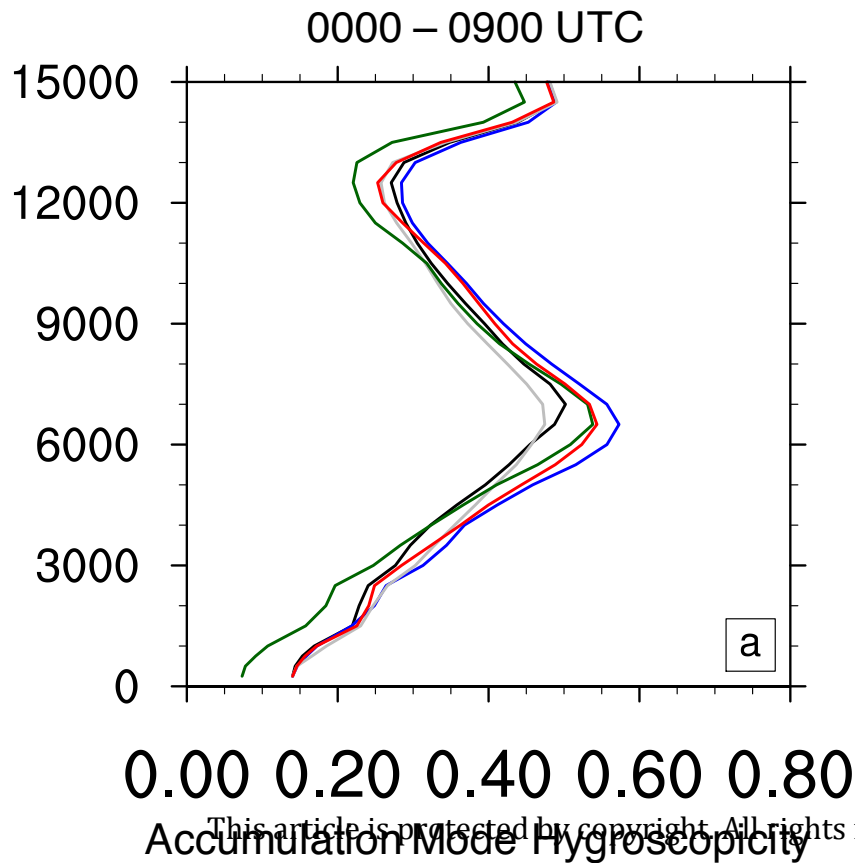




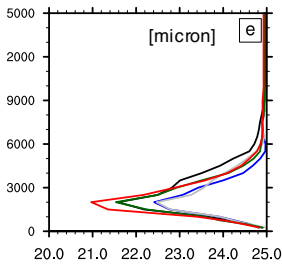
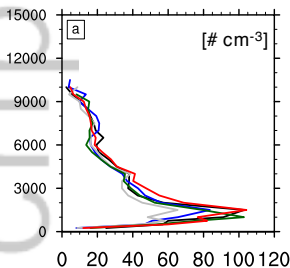
Figure 6.

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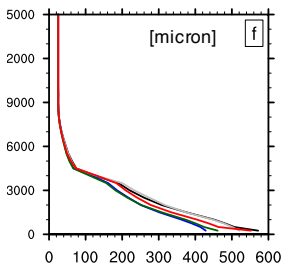
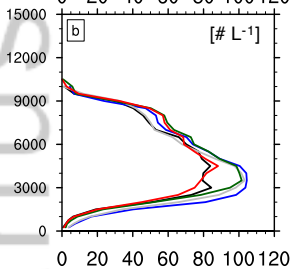
# Pulse 1: 00-09 UTC

Number Concentrations

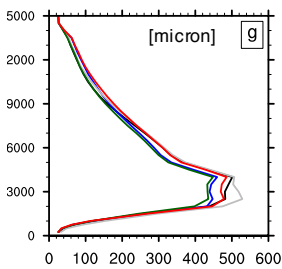
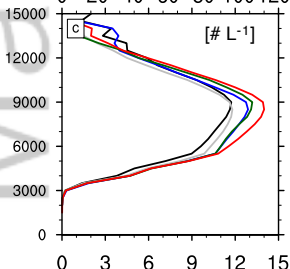
Effective Radius



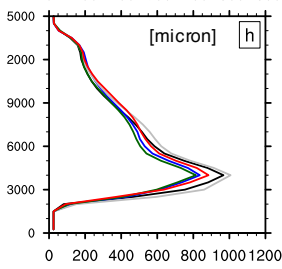
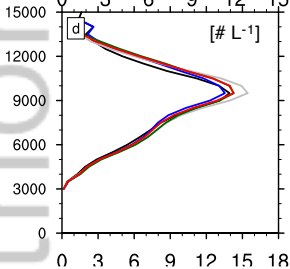
Cloud drop



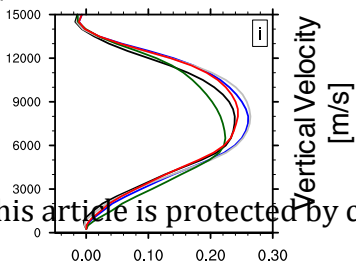
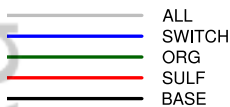
Rain drop



Graupel



Snow



Vertical Velocity  
[m/s]

Figure 7.

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# Pulse 2: 10-18 UTC

Number Concentrations

Effective Radius

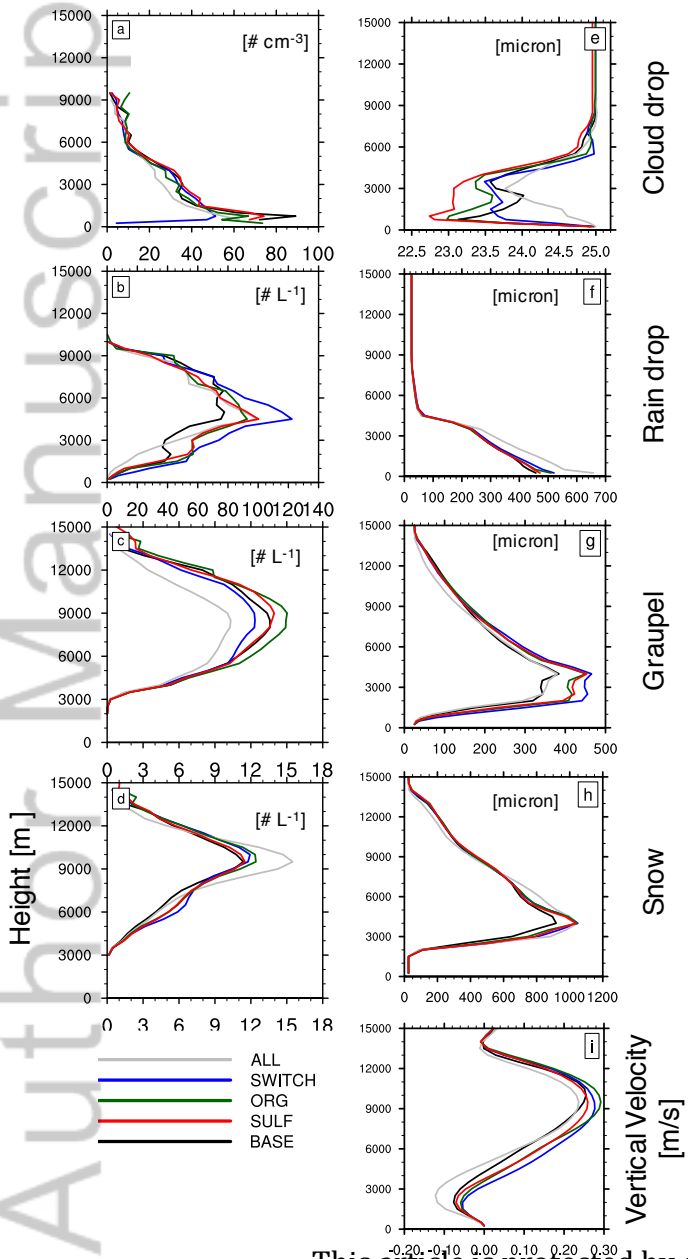


Figure 8.

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# Phase 1&2: Bivariate PDFs

BASE

SWITCH

ALL

ORG

SULF

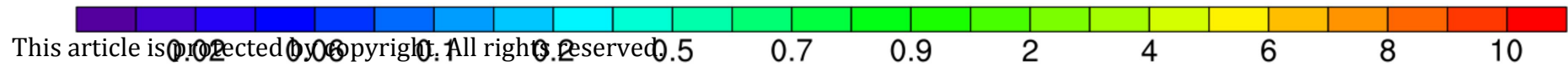
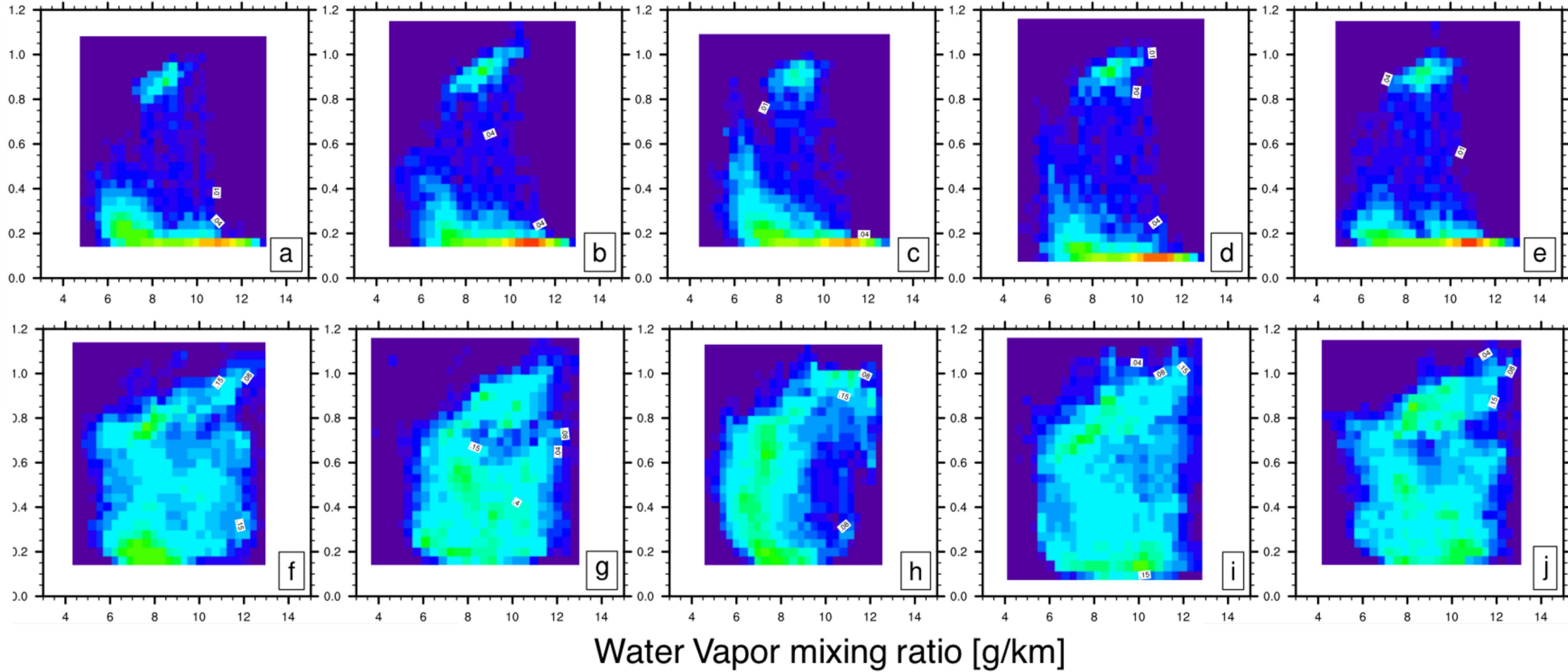
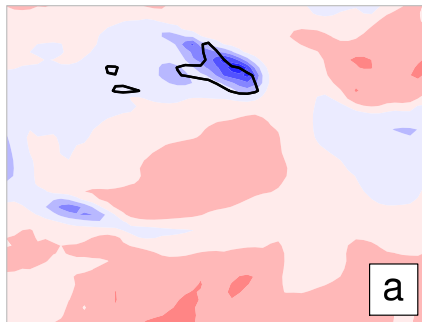


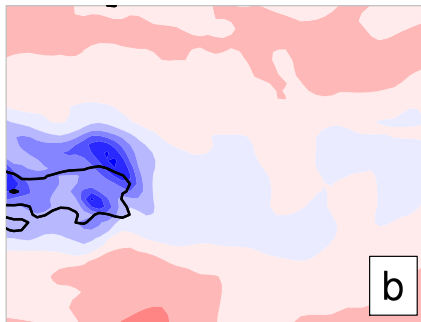
Figure 9.

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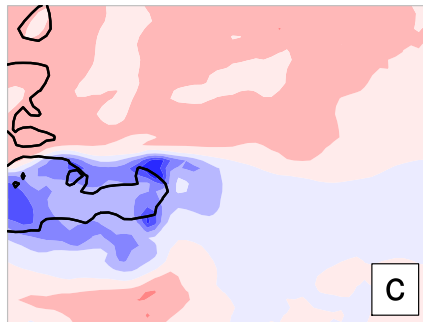
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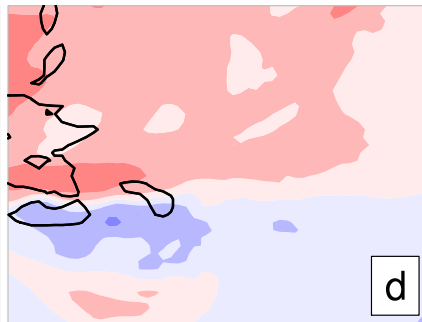
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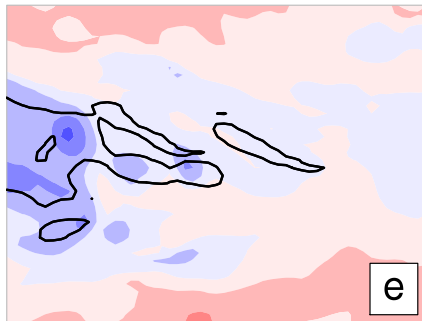
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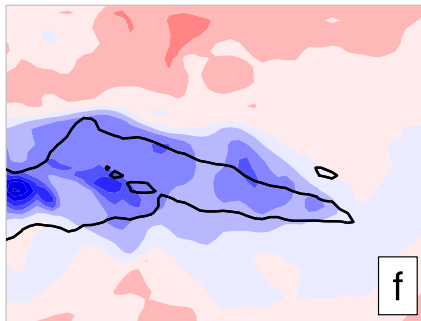
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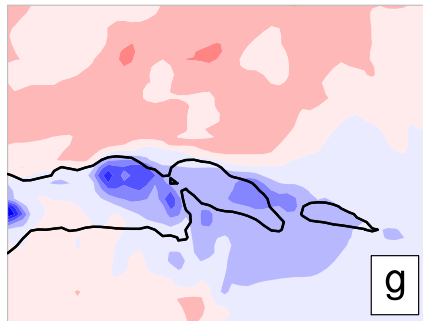
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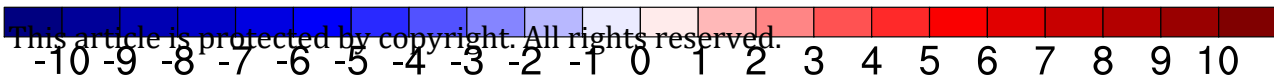
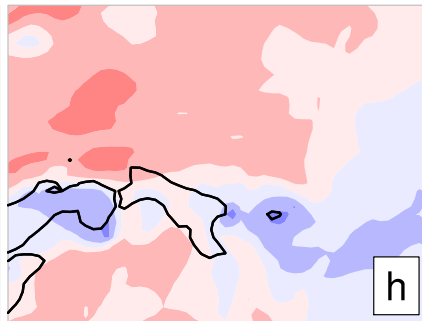
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05-27\_12:00



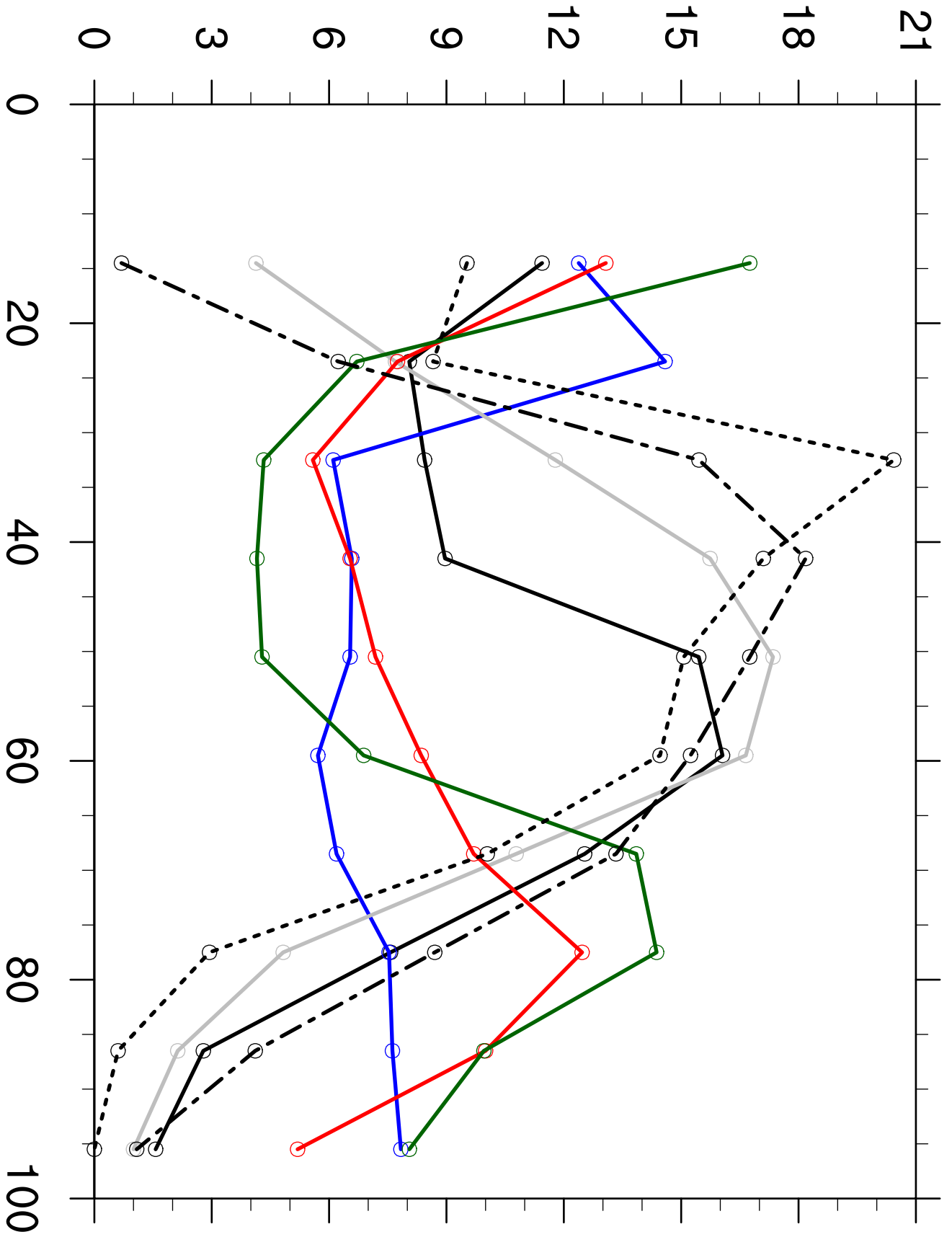
05-27\_13:00







# Percent Probability of Occurrence [%]



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