

1 **An Ionosphere Specification Technique Based on**  
2 **Data Ingestion Algorithm and Empirical Orthogonal**  
3 **Function Analysis Method.**

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**Abstract.** A data ingestion method in reproducing ionospheric electron density and total electron content (TEC) was developed to incorporate TEC products from the Madrigal Database into the NeQuick 2 model. The method is based on retrieving an appropriate global distribution of effective ionization parameter ( $Az$ ) to drive the NeQuick 2 model, which can be implemented through minimizing the difference between the measured and modeled TEC at each grid in the local time – modified dip latitude coordinates. The performance of this Madrigal TEC-driven-NeQuick 2 result is validated through the comparison with various International GNSS Services (IGS) global ionospheric maps (GIMs) and ionosonde data. The validation results show that a general accuracy improvement of 30-50% can be achieved after data ingestion. In addition, the empirical orthogonal function (EOF) analysis technique is used to construct a parameterized time-varying global  $Az$  model. The quick convergence of EOF decomposition makes it possible to use the first 6 EOF series to represent over 90% of the total variances. The intrinsic diurnal variation and spatial distribution in the original data set can be well reflected by the constructed EOF base functions. The associated EOF coefficients can be expressed as a set of linear functions of  $F_{10.7}$  and  $A_p$  indices, combined with a series of trigonometric functions with annual/seasonal variation components. The NeQuick TEC driven by EOF modeled  $Az$  shows 10-15% improvement in accuracy over the standard ionosphere correction algorithm in the Galileo navigation system. These preliminary results demonstrate the

26 effectiveness of the combined data ingestion and EOF modeling technique  
27 in improving the specifications of ionospheric density variations.

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## 1. Introduction

28 The Earth's ionosphere is a highly variable region of space that exhibits both climato-  
29 logical variations and weather disturbances. In order to better mitigate the detrimental  
30 effects of the ionosphere on radio propagation and satellite navigation, it is of great im-  
31 portance to provide timely and reliable ionospheric specification and prediction through  
32 utilizing various ionospheric empirical and/or theoretical models. Ionospheric empiri-  
33 cal models, such as International Reference Ionosphere (IRI) [*Bilitza, 2001; Bilitza and*  
34 *Reinisch, 2008*] and NeQuick [*Di Giovanni and Radicella, 1990; Radicella and Leitinger,*  
35 *2001; Nava et al., 2008*], are mainly built on the basis of statistical analysis of large data  
36 sets. Empirical models have the merits of simplicity and accuracy in reproducing the  
37 climatological characteristics of the ionosphere, yet are limited to the way the suitable  
38 function was chosen and the quality of the data that were used. Ionospheric theoretical  
39 models are constructed on the basis of fundamental physical laws (mass balance, energy  
40 balance, heat transfer relations, etc.), and can be run under a much wider set of condi-  
41 tions to test the theories, yet are limited by a lack of accurate estimation of the external  
42 drivers and initial conditions. With the continuous increase of ionospheric measurements  
43 from diverse sources, such as the total electron content (TEC) data from ground-based  
44 Global Navigation Satellite Systems (GNSS) networks, radio occultation data from low-  
45 Earth orbit (LEO) satellites, global digisonde profiles, in situ  $Ne$  measurements, and  
46 ultraviolet (UV) airglow data, it has been realized that the dynamic processes and subtle  
47 variations in the ionosphere could be better specified and predicted through data assimila-

tion/ingestion techniques to incorporate ionospheric observations into background models [e.g. *Nava et al.*, 2011; *Yue et al.*, 2012; *Schunk et al.*, 2014].

Data assimilation and ingestion techniques are usually associated with each other yet not clearly distinguished. For data assimilation, the observations are projected by certain optimization algorithm (e.g. Kalman filter, 3D/4D variational method) into proper global or regional scales to get a best estimation of the external drivers and initial/boundary conditions of the first-principle ionospheric models. For example, Utah State University (USU) constructed a Global Assimilation of Ionospheric Measurements (GAIM), which uses a physics-based Ionosphere Forecast Model (IFM) and a Kalman filter as a basis for assimilating a diverse set of near real-time measurements [*Scherliess et al.*, 2004; *Schunk et al.*, 2004, 2005; *Scherliess et al.*, 2006]. The Jet Propulsion Laboratory and University of Southern California have cooperatively constructed another Global Assimilation Ionospheric Model (JPL/USC GAIM), which uses a traditional Kalman filter method to estimate the three-dimensional density state, and a four-dimensional variational approach (4DVAR) to estimate ionospheric drivers such as neutral winds and the equatorial  $E \times B$  drift [*Pi et al.*, 2003; *Wang et al.*, 2004; *Mandrake et al.*, 2005]. Some studies use sophisticated empirical models to define the a priori state in order to implement data assimilation, such as Ionospheric Data Assimilation Three-Dimensional (IDA3D) [*Bust et al.*, 2004, 2007], Electron Density Assimilative Model (EDAM) [*Angling and Cannon*, 2004; *Angling and Khattatov*, 2006], North American/United States TEC (NATEC/USTEC) [*Fuller-Rowell et al.*, 2006], and China assimilation TEC Model (CNTEC) [*Aa et al.*, 2015, 2016]. Moreover, there are extensive studies that described the development of ionospheric and thermospheric data assimilation models/procedures [e.g., *Pi et al.*, 2009;

71 *Komjathy et al.*, 2010; *Yue et al.*, 2011, 2012; *Lee et al.*, 2012; *Matsuo et al.*, 2012; *Zhu*  
72 *et al.*, 2012; *Schunk et al.*, 2014].

73 However, developing an ionospheric data assimilation model is very complicated with  
74 many trade-offs and approximations. The computational ease and simplicity makes data  
75 ingestion techniques readily accessible to the wide audience of space weather research and  
76 application communities. Generally, data ingestion differs from data assimilation in the  
77 following two aspects: first, instead of using complex physics-based models, data inges-  
78 tion usually uses simplified and parameterized models in terms of a given set of “effective”  
79 driven factors; second, data ingestion usually drives the background model towards exper-  
80 imental data sets by using a simple optimization algorithm such as least-square estimation  
81 to minimize the deviations between experimental and model values, which has the mer-  
82 its of computation efficiency in contrast to time-consuming calculation in an assimilation  
83 process that involves complicated error covariance matrices. There are some studies that  
84 ingested global ionosonde measurements into the IRI empirical model. For example, the  
85 IRI real-time assimilative mapping (IRTAM) incorporate data from the Global Ionospheric  
86 Radio Observatory (GIRO) to adjust the Consultative Committee of International Radio  
87 (CCIR) coefficients [*Galkin et al.*, 2012]. Moreover, some studies adapted the NeQuick em-  
88 pirical model by ingesting GNSS-derived slant TEC [*Nava et al.*, 2006], global ionospheric  
89 maps (GIMs) of vertical TEC [*Nava et al.*, 2005], and COSMIC-derived radio occultation  
90 TEC/Ne [*Brunini et al.*, 2011; *Nava et al.*, 2011]. Although these NeQuick data ingestion  
91 attempts are able to improve the model capability in specifying three-dimensional iono-  
92 spheric  $Ne$  by updating the ionization level parameters  $Az$ , there are a few things that  
93 worth noting: 1) Since preliminary fitting and approximations are needed to generate

vertical TEC GIMs, ingesting these “secondary” data could get complete global  $Ne$  profiles, but with compromises in accuracy and resolution; 2) Ingesting GNSS-derived slant TEC can improve the accuracy of the reconstructed global ionospheric  $Ne$  with acceptable computation, though it is more suitable at a single station or over regional grids. Therefore, in the current study, we will use a modified data ingestion technique to adapt the NeQuick 2 model by ingesting TEC products derived from the Madrigal Database of the Massachusetts Institute of Technology (MIT) Haystack Observatory, then an Empirical Orthogonal Function (EOF) analysis method will be used to give time-dependent specifications of the three-dimensional electron density of the ionosphere. We aim to make this product applicable to precisely reproducing the global ionospheric morphology for scientific study and to providing an alternative ionospheric correction algorithm for GNSS single-frequency users.

The rest of the paper is organized as follows: the NeQuick 2 model and Madrigal TEC data will be briefly introduced in section 2. The data ingestion technique and its validation will be given in section 3. The EOF modeling method and its verification will be presented in section 4 and the conclusions in section 5.

## 2. Description of the model and data

The NeQuick 2 [Nava *et al.*, 2008] is used here as a background model to describe the global distribution of electron density. The NeQuick 2 model is developed at the Aeronomy and Radio propagation Laboratory of the Abdus Salam International Centre for Theoretical Physics (ICTP), Trieste, Italy, and at the Institute for Geophysics, Astrophysics and Meteorology (IGAM) of the University of Graz, Austria. NeQuick 2 describes the vertical profile of bottomside  $Ne$  in terms of a modified DGR profile formulation [Di Giovanni and

116 *Radicella, 1990; Radicella and Leitinger, 2001*], which includes five semi-Epstein functions  
117 with modeled thick parameters to represent the lower and upper parts of the E and F1  
118 layers, as well as the lower part of the F2 layer. The functions are anchored to the  $N_m$   
119 (electron density) and the  $h_m$  (height) of the E, F1, and F2 layer peaks, which can be  
120 either experimentally derived from ionosonde measurement, or modeled as indicated by  
121 *Leitinger et al. [2005]*. The topside ionosphere is represented by a sixth semi-Epstein func-  
122 tion with a height-dependent thickness parameter that can be empirically determined as  
123 described by *Coisson et al. [2006]*. The basic inputs of the NeQuick 2 model are: position,  
124 time and solar flux (or sunspot number); the outputs are the electron density along the  
125 ray-path and the numerically integrated TEC. For more details about NeQuick 2, readers  
126 may refer to *Nava et al. [2008]* and the references therein.

127 The TEC products derived from the Madrigal database are used here for data ingestion,  
128 which are developed at the Massachusetts Institute of Technology (MIT) Haystack Obser-  
129 vatory by using dense networks of worldwide GNSS receivers [*Rideout and Coster, 2006;*  
130 *Vierinen et al., 2016*]. The gridded TEC cover locations where GNSS data are available  
131 and have a resolution of  $1^\circ(\text{latitude}) \times 1^\circ(\text{longitude}) \times 5 \text{ min}$ . Madrigal gridded TEC  
132 is strictly data driven with no postprocess interpolation or fitting that might smooth out  
133 real gradients, which can thus be considered as a suitable TEC source for data ingestion.

### 3. TEC ingestion technique and validation

134 For a given time and location, the TEC value derived from the integration of NeQuick  
135 2 electron density profile varies monotonically as a function of the 10.7 cm solar radio  
136 flux. For the technique presented here, the optimum solar flux that produces the best  
137 TEC value from NeQuick 2 is usually termed as  $A_z$ , which is an effective parameter to



138 represent local ionization level and is calculated by minimizing the Root Mean Square  
 139 Error (RMSE) between the modeled and observational TEC [*Nava et al.*, 2005, 2006]:

$$140 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (TEC_{mod}(Az) - TEC_{obs})^2}, \quad (1)$$

141 Here  $N$  is the number of individual observations during the current interval. Figure 1a  
 142 illustrates the process in calculating the minimum RMSE to derive  $Az$ . As an example  
 143 to quantitatively illustrate the effect of data ingestion, Figure 1c shows the RMSE com-  
 144 parison between NeQuick 2 driven by  $F_{10.7}$  (red) and driven by  $Az$  (black) at a GNSS  
 145 station: BJFS (39.4°N, 115.9°E) during September 02-10, 2017. It can be seen that the  
 146 RMSE calculated via using a modified  $Az$  to drive NeQuick 2 is generally 30-50% smaller  
 147 that the model driven by the observed  $F_{10.7}$ . This partly demonstrates the systematic  
 148 improvements when TEC data are ingested into the NeQuick 2 model.

149 In the traditional TEC ingestion method, the above-mentioned technique is either ap-  
 150 plied to all grids of vertical TEC GIMs (normally 2.5° in latitude and 5° in longitude) to  
 151 get a global distribution of  $Az$  maps [e.g. *Nava et al.*, 2005; *Yu et al.*, 2015], or applied to  
 152 slant TEC at a single or multiple GNSS stations to get a scattered  $Az$  distribution that  
 153 might be further interpolated or fitted into regular size [e.g. *Nava et al.*, 2006; *Nigussie*  
 154 *et al.*, 2016]. Both of these techniques focus on obtaining fixed geographical distribution of  
 155  $Az$  to drive NeQuick to get 3D specification of the ionospheric electron density. However,  
 156 the extent of photoionization and ionospheric dynamics are also strongly dependent on  
 157 the geomagnetic field and local time. *Rawer* [1963] proposed a parameter called the mod-  
 158 ified dip latitude  $\mu$  (Modip), which combines the geomagnetic dip  $I$  and the geographic

159 latitude  $\phi$

$$160 \text{Modip} = \arctan\left(\frac{I}{\sqrt{\cos\phi}}\right). \quad (2)$$

161 Thus, in order to consider both the geomagnetic field and the spinning of Earth, the  
 162 current study will use local time-Modip coordinate system to represent the variation of  
 163  $Az$  instead of using simple geographic or geomagnetic coordinates. The grid points are  
 164 spaced  $5^\circ$  in Modip latitude by 0.5 h in local time. For a certain day, the Madrigal TEC  
 165 within each grid will be used to derive the  $Az$  on the basis of the above-mentioned data  
 166 ingestion technique, then global LT-Modip maps of  $Az$  and associated RMSE distribution  
 167 can be generated accordingly. Figure 2a illustrates an example of the  $Az$  distribution  
 168 on September 02, 2017 ( $F_{10.7} = 120$ ). Figures 2b and 2c show the associated RMSE  
 169 after and before TEC ingestion, respectively. It can be seen from Figure 2a that  $Az$  has  
 170 relatively large values around the auroral zone and equatorial ionization anomaly (EIA)  
 171 regions. This might be due to the fact that NeQuick 2 is only using solar radio flux as  
 172 the effective driver, as well as that the NeQuick 2 model does not include the effect of  
 173 ionization enhancement around 125 km due to particle precipitation. So in this case, the  
 174 model may be expected to underestimate the electron density around the auroral and EIA  
 175 regions, which need to be compensated via the enhancement of  $Az$ .

176 Furthermore, the following characteristics on modip latitude distribution can also be  
 177 seen from the figure. First, for the equatorial and low latitude regions ( $\sim 30^\circ\text{S}$ - $30^\circ\text{N}$ ), the  
 178  $Az$  values are generally lower than  $F_{10.7}$ . Similar to the EIA, there are double-peak struc-  
 179 tures near the EIA crest both for  $\text{RMSE}_{Az}$  ( $\sim 10$  TECU) and  $\text{RMSE}_{F_{10.7}}$  ( $\sim 20$  TECU),  
 180 while the RMSE values around the equator are much lower. Second, for mid-to-high lat-  
 181 itude bands, there is a hemispheric asymmetry in the  $Az$  distribution especially around

182 the auroral zones, with the northern hemisphere exhibiting relatively larger  $Az$  values  
183 (close to real  $F_{10.7}$ ) than those of the southern hemisphere. This might be ascribed to  
184 relatively higher numbers of GNSS observations in the northern hemisphere both in the  
185 Madrigal database and used to construct the NeQuick 2 model. It could also be that the  
186 performance difference of the model was due to the effect of seasonal and hemispheric  
187 variation of precipitation in the auroral zone. Moreover, the RMSE have relatively lower  
188 values for mid-to-high latitude bands, while the polar regions have lower  $Az$  and RMSE  
189 values.

190 Figure 2d shows a scatter plot of the comparison between  $RMSE_{Az}$  and  $RMSE_{F_{10.7}}$ ,  
191 while the color represents the percentages ratio of  $RMSE_{Az}$  to  $RMSE_{F_{10.7}}$ . Through  
192 adjusting  $Az$  values, the data ingestion method generally reduced the errors around 50%  
193 since most of the points have green-to-blue colors. Another important thing worth noting  
194 is that the local time variation of  $Az$  is usually ignored in the past ingestion method, where  
195  $Az$  at a fixed location will be updated by using 24 hours of data and then expressed as a  
196 sole function of Modip latitude. Thus the diurnal variation of errors was smoothed out to  
197 a great extent in this way. However, it can be seen from Figure 2a that the optimized  $Az$   
198 has an obvious local time variation pattern. For equatorial and low latitude regions,  $Az$   
199 has relatively large values around the local noon sectors, which could be attributed to the  
200 EIA enhancement. For mid-to-high latitude regions,  $Az$  has maximum values around local  
201 night hours, which might indicate that NeQuick 2 tends to underestimate (overestimate)  
202 ionosphere electron density during nighttime (daytime) around these latitudinal regions,  
203 since NeQuick 2 did not include the effects of ionization enhancement around 125 km due  
204 to particle precipitation.

205 With the availability of the optimized  $Az$  distribution map, the local ionization level  
206 for different locations and times can be derived correspondingly, and the Madrigal-driven  
207 NeQuick 2 results can then be generated by using derived  $Az$  as model inputs. Figure 3  
208 gives an example of the reproduced global 3D electron density in latitude/height slices, F2  
209 layer critical frequency (foF2), and the vertical TEC map at 0230 UT on 02 September  
210 2017. It can be seen that the large-scale features of ionosphere such as the EIA and  
211 hemispheric asymmetry could be reasonably reproduced. Since TEC measurements were  
212 used for data ingestion, it is expected that comparisons with TEC would be better than  
213 comparisons with electron density profiles.

### 3.1. Comparison with IGS GIMs

214 In order to verify the validity of the data ingestion technique, the TEC GIMs provided  
215 by International GNSS Services (IGS) are used here to make a comparison. Currently,  
216 there are five IGS ionospheric analysis centers routinely providing TEC GIMs by us-  
217 ing ever-growing measurements from dense GNSS receivers. These centers include the  
218 Center for Orbit Determination in Europe (CODE), European Space Agency (ESA), Jet  
219 Propulsion Laboratory (JPL), Polytechnical University of Catalonia (UPC), and Chinese  
220 Academy of Sciences/Wuhan University (CAS/WHU). The TEC GIMs of CODE and  
221 ESA are modeled by using a series of Spherical Harmonic (SH) functions up to degree  
222 and order of 15 [*Feltens and Schaer, 1998; Schaer, 1999; Feltens, 2007*]. JPL adopted  
223 a grid-based modeling method to represent the TEC by using a linear composition of  
224 bi-cubic splines with 1280 spherical triangles [*Mannucci et al., 1998; Komjathy et al.,*  
225 *2005*]. The approaches used by UPC are similar to those of JPL, while UPC modeled  
226 the ionospheric TEC variation over each station separately by using a rectangular grid of

227 two layers [*Hernández-Pajares et al.*, 1999, 2009]. CAS GIMs are generated by using a  
228 function-based plus grid-based approach that combine the Spherical Harmonic functions  
229 and the generalized Trigonometric Series functions [*Li et al.*, 2015].

230 As a preliminary verification of the data ingestion method, around 10% of the Madrigal  
231 TEC data set was selected as a control group (i.e., not used for data ingestion). Then  
232 the IGS GIMs and data ingestion TEC results were compared with the control group,  
233 respectively. Figure 4 shows the histogram statistics of the comparison on 02 September  
234 2017. The data ingestion errors ( $\text{TEC}_{NEQ(Az)} - \text{TEC}_{Madrigal}$ ) exhibit a nearly unbiased  
235 Gaussian distribution with relatively low mean value (0.22 TECU) and standard deviation  
236 (2.14 TECU). The different IGS errors are generally more skewed and dispersed with larger  
237 standard deviation values.

238 In order to get a more comprehensive comparison under different solar and geomagnetic  
239 activities, Figure 5 displays the RMSE results of IGS GIMs and data ingestion TEC  
240 with respect to the Madrigal control group during the time interval from August 25 to  
241 September 10, 2017. The temporal variation of  $F_{10.7}$  and 3-hour ap index for this interval  
242 are shown in Figure 5a. The  $F_{10.7}$  gradually increased from  $\sim 80$  to 140, then decreased to  
243 107. Meanwhile, the ap index has two peaks of 207 and 236, which correspond to a double  
244 main phase of an intense geomagnetic storm on 07-08 September 2017. Thus this time  
245 interval covers varied levels both for solar and geomagnetic activities, which is a suitable  
246 period to test the effectiveness of the data ingestion technique. Figure 5b shows that the  
247 RMSE of the data ingestion technique is generally smaller (around 1 TECU) than those  
248 of IGS GIMs, while all products have relatively large errors during the storm time. One  
249 thing worth noting is that the differences between data ingestion results and IGS GIMs

could be caused by systematic errors that are generated by different processing algorithms of biases correction. The GIMs of JPL and UPC have larger mean bias:  $\sim 3$  TECU and  $\sim 2.5$  TECU, respectively, while those of ESA ( $\sim 2.2$  TECU), CODE ( $\sim 1.8$  TECU) and CAS ( $\sim 1.5$  TECU) are relatively smaller. For more details about the bias of different IGS GIMs, readers may refer to *Li et al.* [2015] and *Hernández-Pajares et al.* [2009]. Also, the control group might not be strictly “independent” due to possible interference from surrounding measurements. Therefore, this initial comparison might indicate the effectiveness of data ingestion technique, though further comparisons are still needed.

### 3.2. Comparison with Ionosonde data

Ionospheric foF2 measured by ground-based ionosonde can be considered as a suitable reference to verify the data-ingestion results. Six ionosonde stations at different latitude/longitude locations were used to make the comparison. Figure 6 shows the comparisons of ionosonde foF2 measurements with those calculated via NeQuick 2 driven by  $F_{10.7}$  (red) and  $Az$  (blue) during August 25 to September 10, 2017. The RMSE, correlation coefficient, as well as the geographic coordinates are marked in the figure. Generally, the data ingestion results had relatively lower RMSE values and higher correlation coefficients, which illustrate that the ability of NeQuick 2 in reconstructing the foF2 is also improved after the Madrigal TEC are ingested into the model.

## 4. EOF modeling of $Az$

The data ingestion is basically a now-casting method of measurement update. Considering the forecasting needs of ionospheric correction for navigation and communication customers, it is of great importance to construct a time-dependent model of  $Az$  so that

270 the spatiotemporal variability after previous TEC ingestion could be extracted and pa-  
271 rameterized to make a prediction for future use. In this study, the Empirical Orthogonal  
272 Function (EOF) analysis technique, also known as Principal Component Analysis (PCA)  
273 method, was used to build this time-dependent  $Az$  model. The EOF technique is a statis-  
274 tical procedure, capturing the most significant components of the variability in the original  
275 data set, which is implemented by using an orthogonal transformation to decompose the  
276 original data set into a series of uncorrelated base functions, with each succeeding base  
277 function accounting for as much residual variance as possible [Jolliffe, 1990]. The merit  
278 of the EOF technique is that it converges quickly, which makes it possible to succinctly  
279 represent the majority of the original variances by using only a few base functions and  
280 associated coefficients. For more details about the mathematical explanation of the EOF  
281 analysis method, readers may refer to *Dvinskikh* [1988] and *Singer and Dvinskikh* [1991]  
282 and the references therein.

283 In order to get a balance between capturing the variances as much as possible and  
284 making ionospheric “weather” prediction, the  $Az$  data set needed an appropriate time  
285 length. Using multiple years of  $Az$  data to do EOF decomposition would generate the  
286 maximum variances, yet making this model a “climatological” one. On the other hand, if  
287 the time length of  $Az$  data was too short, then it would be unlikely to extract effective base  
288 functions with enough variances to make the forecast. Thus in the current study, after  
289 above-mentioned Madrigal TEC ingestion, a moving data set of  $Az$  ratio (i.e.  $Az/F_{10.7}$ )  
290 with a time length of 81-days was reorganized into the following matrix  $Az_{ratio}(LT, \text{modip},$   
291  $d)$ , in which  $LT$ ,  $\text{modip}$ , and  $d$  stand for the local time (48 grids), modified dip latitude  
292 (36 grids), and day of year (81 days), respectively. This  $Az_{ratio}$  data set could then be

decomposed into EOF base functions and associated coefficients:

$$Az_{ratio}(LT, modip, d) = \sum_{i=1}^N EOF_i(LT, modip) \times Coef_i(d), \quad (3)$$

where  $EOF_i(LT, modip)$  is the  $i_{th}$  EOF base functions that vary with local time and modified dip latitude, which represent the diurnal fluctuation and spatial distribution of original data set.  $Coef_i(d)$  is the associated  $i_{th}$  coefficient that indicates the temporal variation of original data set.  $N$  is the total number of EOF decomposition series. The order of the EOF series is ranked according to their variance, and the variances contributed by the first 6 EOF series are listed in Table 1. Since 91.77% of the total variance in the original data set can be reproduced via the first 6 EOF series, so it is an effective and efficient modeling method to use only six EOF base functions and coefficients to represent most of the variation in the original data set.

Figure 7 shows the modip latitude and local time distribution of the first 6 EOF base functions. Take EOF 1 to EOF 3 as example, EOF 1 appears to represent the most dominant feature of global spatial and temporal variation of the original data set, which is day-to-night variability as well as high-latitude-to-low-latitude difference due to solar ionization. EOF2 mainly displays hemispheric asymmetries, which can be attributed to the summer-to-winter annual variation induced by the uneven solar EUV illumination. EOF 3 captures mostly auroral and high latitude variations, which can be ascribed to Joule heating and auroral precipitation under the influence of geomagnetic activity. EOF 4 – EOF 6 have similar distribution features but with more small-scale variations. The physical meaning of these EOF components are not always apparent, particularly for high order ones whose contributions to the overall variance in the data are often very small. Figure 8 shows the temporal variation of the first 6 EOF coefficients. The corresponding



<sup>316</sup>  $F_{10.7}$  is also plotted in Figure 8a for comparison. The  $Coeff_1$  and  $F_{10.7}$  are roughly anti-  
<sup>317</sup> correlated, which indicate that there are certain variations in the original data set that  
<sup>318</sup> are dependent on solar activity. In order to further investigate the intrinsic dependence  
<sup>319</sup> of  $Az_{ratio}$  on solar and geomagnetic activity, Table 2 gives the correlation values of the  
<sup>320</sup> first 6 EOF coefficients with respect to  $F_{10.7}$  and daily Ap index. The correlation between  
<sup>321</sup>  $Coeff_1$  and the  $F_{10.7}$  index is -0.96, while all coefficients more or less have some correlation  
<sup>322</sup> with Ap index. This shows that the local ionization parameter  $Az$  changes mainly as a  
<sup>323</sup> function of solar activity, while geomagnetic activity also plays a non-negligible role in  
<sup>324</sup> affecting it.

<sup>325</sup> Therefore, the first 6 EOF coefficients could be parameterized and modeled as follows:

$$<sup>326</sup> Coef_i(d) = F_{SG}^i \times F_{time}^i, \quad (4)$$

<sup>327</sup> where  $F_{SG}^i$  represents the effects of solar and geomagnetic activity, and  $F_{time}^i$  refers to  
<sup>328</sup> annual/seasonal variations. These two parameters can be expressed as follows:

$$<sup>329</sup> F_{SG}^i = a_i + b_i F_{10.7}(d) + c_i Ap(d), \quad (5)$$

$$<sup>330</sup> F_{time}^i = d_i + e_i \cos\left(\frac{2\pi d}{365.25}\right) + f_i \sin\left(\frac{2\pi d}{365.25}\right) + g_i \cos\left(\frac{2\pi d}{81}\right) + h_i \sin\left(\frac{2\pi d}{81}\right), \quad (6)$$

<sup>331</sup> where  $a-h$  are amplitudes of various terms in the above equations and can be calcu-  
<sup>332</sup> lated via a multiple linear regression analysis method. Thus the EOF coefficients can be  
<sup>333</sup> expressed as a parameterized function of  $F_{10.7}$  and Ap index, which can be reconstructed  
<sup>334</sup> with observed or predicted  $F_{10.7}$  and Ap index. Figure 8 also shows an example of re-  
<sup>335</sup> constructed EOF coefficients as dashed lines, which agrees well with the original solid  
<sup>336</sup> lines. In this way, for the time-window of 81 days, the naturally decomposed EOF base  
<sup>337</sup> functions and artificially fitted coefficients can be combined with each other to generate

338 modeled  $Az$  values, which can then be used to drive the NeQuick 2 model to make a short  
339 term prediction of  $Ne$  and TEC for the next day (or even longer). This whole procedure  
340 of data ingestion and EOF modeling can be rolled over with a moving time-window.

341 In order to verify the effectiveness of the EOF modeling technique, the  $Az$  modeling  
342 method of the Galileo navigation system is introduced here to make a comparison. In the  
343 Galileo ionospheric correction algorithm, the  $Az$  values of the previous day are expressed  
344 as a 2<sup>nd</sup> order polynomial function of Modip:  $Az = a_0 + a_1 \times modip + a_2 \times modip^2$ , then  
345 the set of 3 coefficients are calculated and broadcasted in the navigation file so that the  
346 ionospheric delay at a specific frequency for the next day can be corrected using NeQuick  
347 driven by reconstructed  $Az$  parameters [Bidaine and Warnant, 2011]. Figure 9 shows the  
348 temporal variation of RMSE comparison between NeQuick TEC driven by EOF modeled  
349  $Az$  (solid line) and that driven by polynomial fitted  $Az$  (dashed line) during the time  
350 period of August 25 – September 10, 2017. The NeQuick RMSE driven by the EOF  
351 modeled  $Az$  are generally smaller than those of the polynomial fitted  $Az$  with an average  
352 improvement of  $\sim 10\text{--}15\%$ . Both of which have larger errors around the geomagnetic  
353 storm time, which is consistent with those indicated in Figure 5. Moreover, considering  
354 the polynomial method needs fewer parameters transmitted to the users, it is still more  
355 functional in real application.

## 5. Conclusion

356 In this paper, a data ingestion technique is described to incorporate the Madrigal TEC  
357 data into the NeQuick 2 model. The global LT-modip distribution map of the effective  
358 ionization parameter ( $Az$ ) was estimated accordingly through this ingestion procedure,  
359 then the NeQuick 2 model could be driven by an  $Az$  map to reproduce ionospheric param-

360 eters, such as  $Ne$ , TEC, NmF2, hmF2, etc. In general, the performance of the Madrigal  
361 TEC-driven-NeQuick 2 can reduce the errors around 30-50% compared with those be-  
362 fore data ingestion and it can capture more subtle ionospheric features. The accuracy of  
363 the ingestion results are further validated through comparison with various IGS GIMs,  
364 and the statistical analysis demonstrates that the data ingestion results have slightly  
365 lower RMSE ( $\sim 1$  TECU) and bias than those of IGS GIMs. A further comparison with  
366 ionosonde data shows that the ability of NeQuick 2 to reproduce the foF2 is also improved  
367 after data ingestion. Moreover, the EOF technique is used to construct a time-dependent  
368 model of  $Az$ . The intrinsic diurnal variation and spatial distribution of the original data  
369 set can be well represented by EOF base functions, and 90% of the total variances can  
370 be well captured by using the first 6 EOF series. The associated EOF coefficients can  
371 be expressed as a combination of 1) linear functions of  $F_{10.7}$  and Ap index to show the  
372 dependence on solar/geomagnetic activity, and 2) a series of trigonometric functions with  
373 different periods to represent annual/seasonal variation components. In comparison with  
374 the Galileo ionospheric correction algorithm, the accuracy of TEC prediction by using  
375 the EOF modeled  $Az$  is improved to some extent ( $\sim 10-15\%$ ) though both results have  
376 large deviations for a short period during the storm recovery phase. These preliminary  
377 results indicate the effectiveness of this data ingestion and EOF modeling technique in  
378 bringing certain systematic improvement of ionosphere now-cast/forecast, while further  
379 modification could still be needed in the future to make this product more robust for both  
380 scientific study and space weather applications.

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401 Geophysics, Chinese Academy of Sciences, China Meteorology Administration, Centro di  
402 Ricerche Sismologiche, Système d'Observation du Niveau des Eaux Littorales (SONEL),  
403 RENAG : REseau NATional GPS permanent, GeoNet - the official source of geological  
404 hazard information for New Zealand, GNSS Reference Networks, Finnish Meteorological  
405 Institute, and SWEPOS - Sweden.

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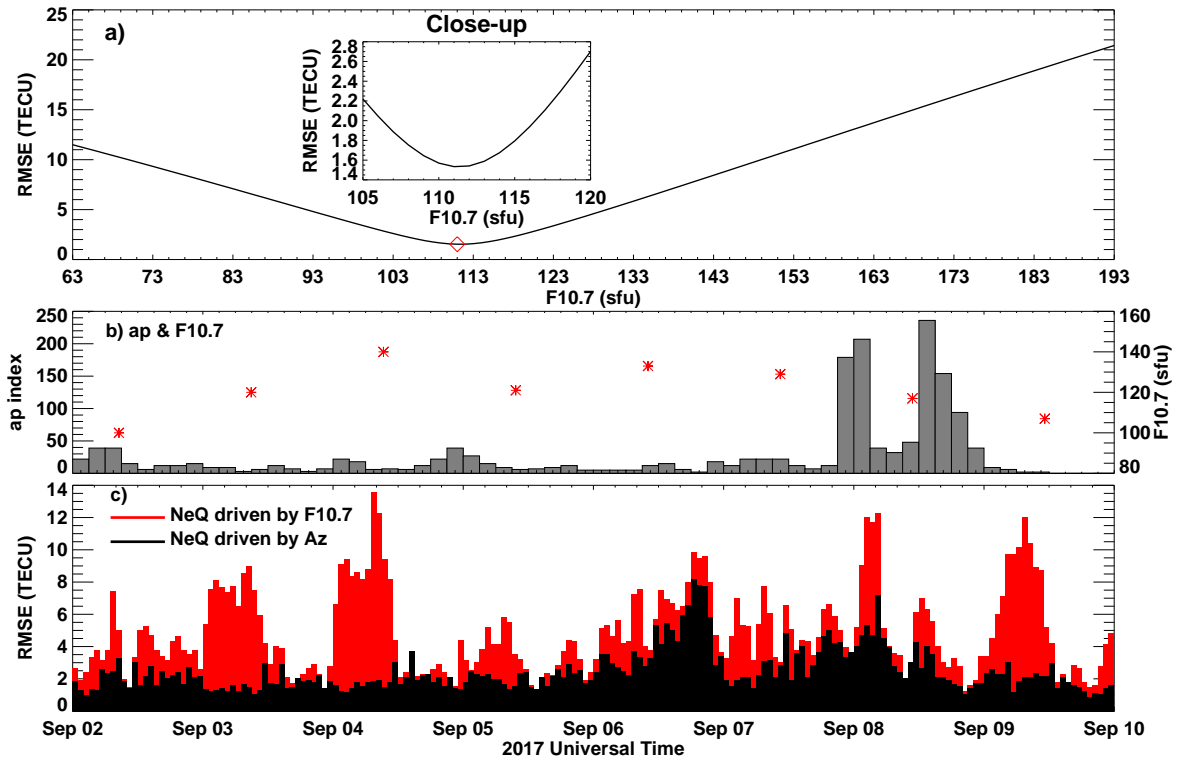
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**Table 1.** Variances of the first 6 EOF series.

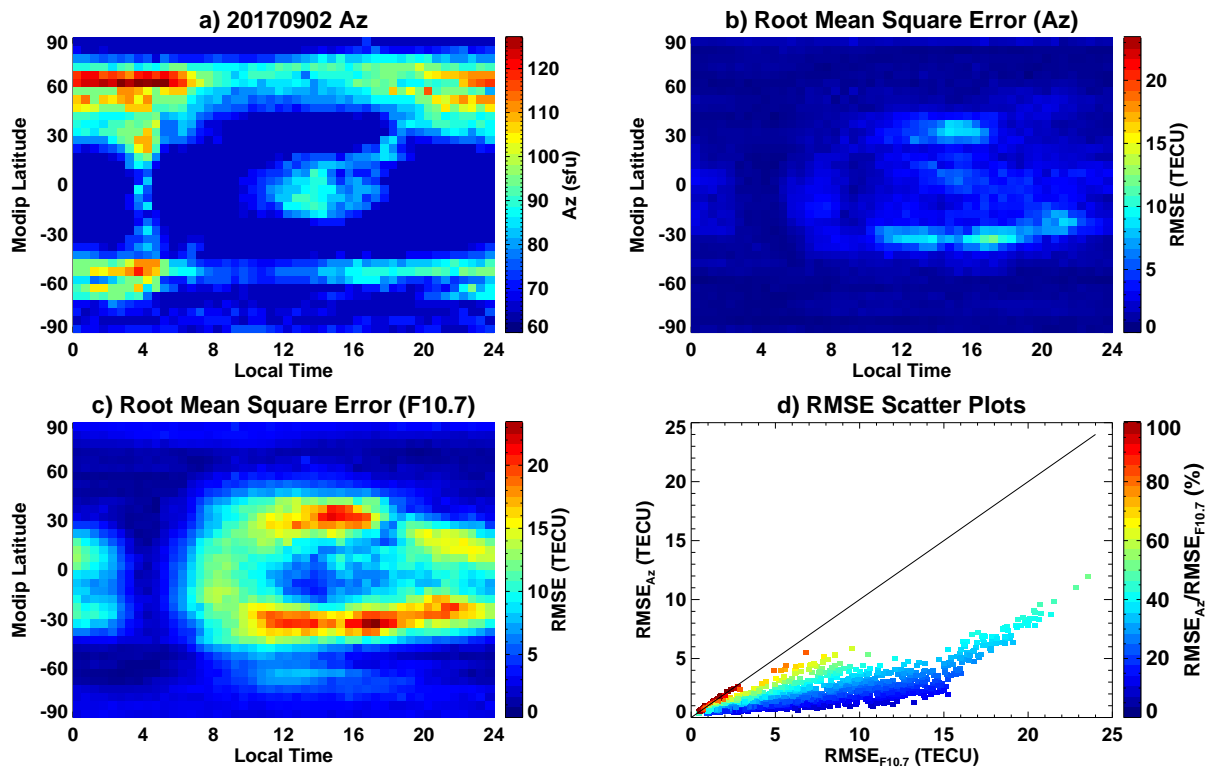
EOF Series	Variances (%)	Cumulative Variances (%)
EOF 1	76.11	76.11
EOF 2	6.18	82.29
EOF 3	3.75	86.04
EOF 4	2.74	88.78
EOF 5	1.70	90.48
EOF 6	1.29	91.77

**Table 2.** Correlation of the first 6 EOF coefficients with respect to  $F_{10.7}$  and Ap index.

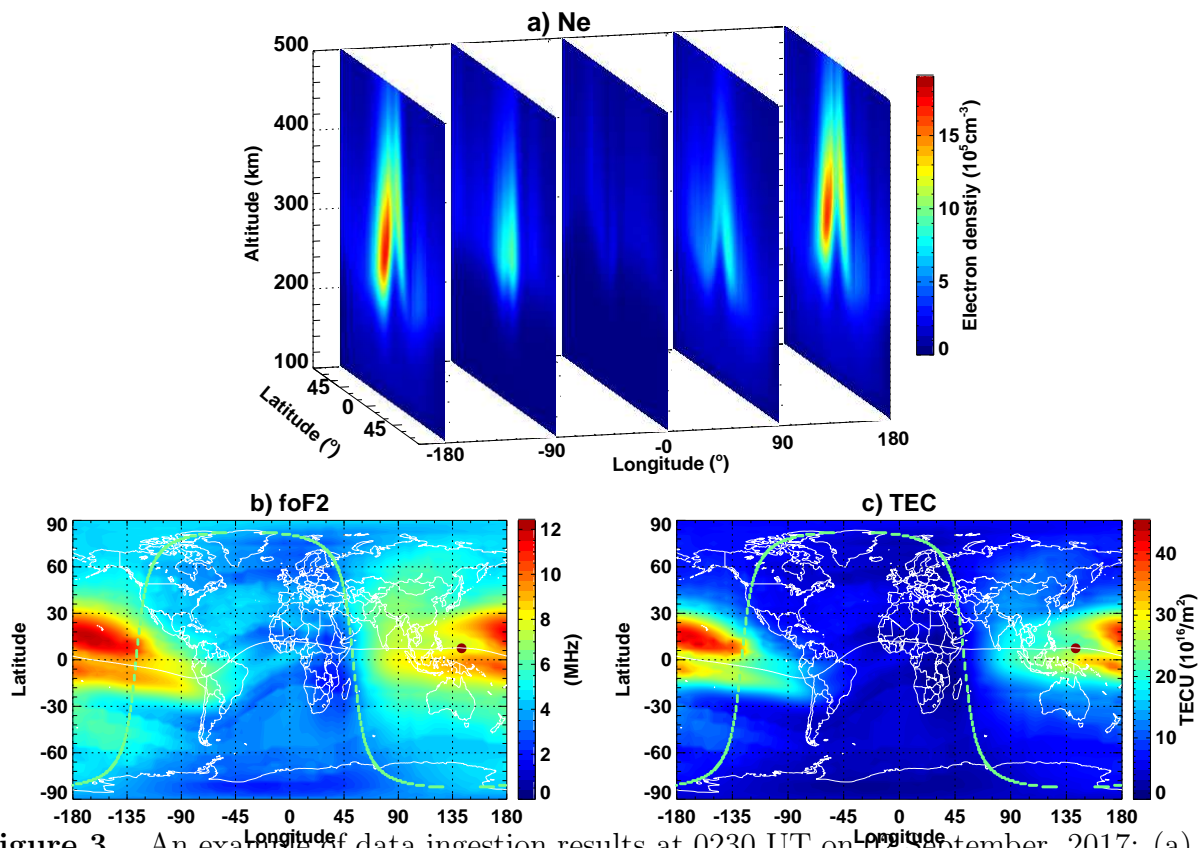
Correlation	$F_{10.7}$	Ap
Coef 1	-0.96	-0.31
Coef 2	0.01	0.36
Coef 3	0.11	-0.08
Coef 4	0.10	0.27
Coef 5	0.08	0.49
Coef 6	-0.06	0.16



**Figure 1.** (a) A schematic diagram of RMSE variation with respect to  $F_{10.7}$ . The minimum error that corresponds to  $Az$  is marked with a diamond. (b) Temporal variation of ap index and  $F_{10.7}$  during September 02-10, 2017. (c) An example of RMSE comparison between NeQuick 2 driven by  $F_{10.7}$  (red) and driven by  $Az$  (black) at BJFS station ( $39.4^{\circ}\text{N}$ ,  $115.9^{\circ}\text{E}$ ) during this interval.

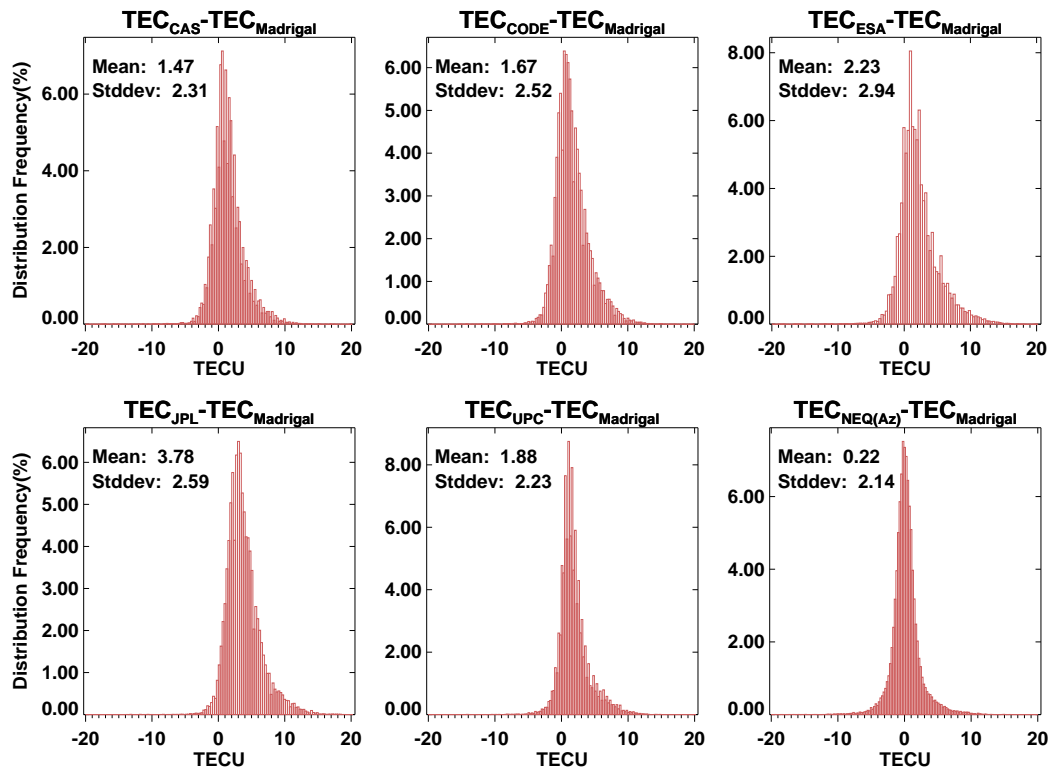


**Figure 2.** (a) An example of Modip-LT distribution of  $Az$  on September 02, 2017 after ingesting Madrigal TEC into NeQuick 2 model. (b) Distribution of RMSE after data ingestion. (c) Distribution of RMSE before data ingestion. (d) Scatter plot of  $RMSE_{Az}$  versus  $RMSE_{F10.7}$ , while the color represent the ratio of RMSE after and before data ingestion.



**Figure 3.** An example of data ingestion results at 0230 UT on 02 September, 2017: (a) global 3D electron density distribution; (b) F2 layer critical frequency (foF2); (c) vertical TEC map. The terminator, subsolar point, and geomagnetic equator are also marked in the maps.





**Figure 4.** Histogram comparison of data ingestion results and IGS GIMs with respect to Madrigal TEC on 02 September 2017.

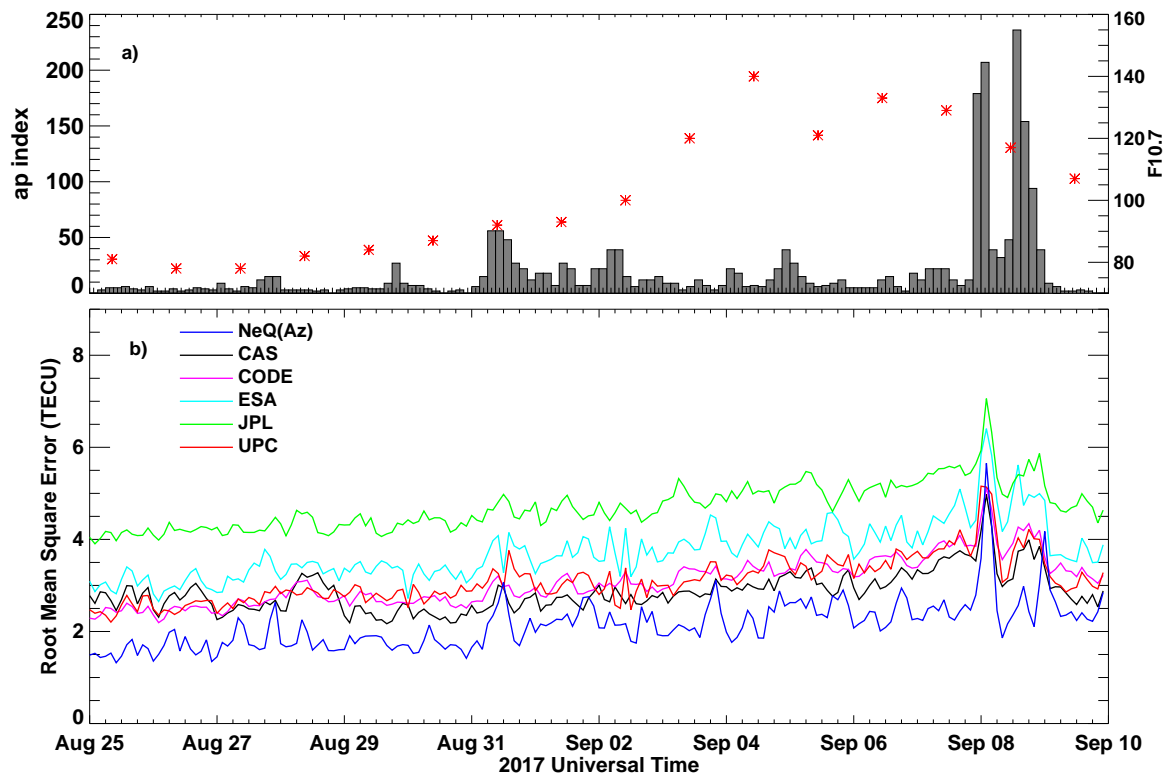
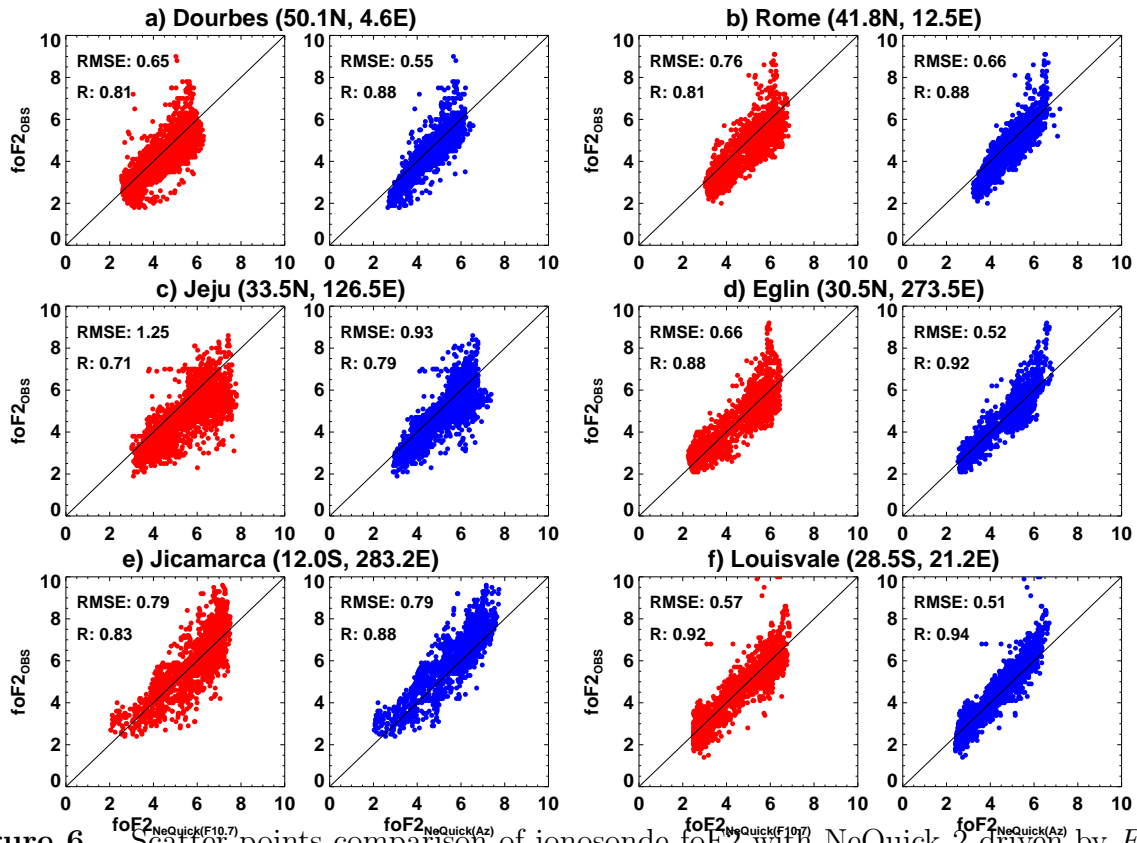
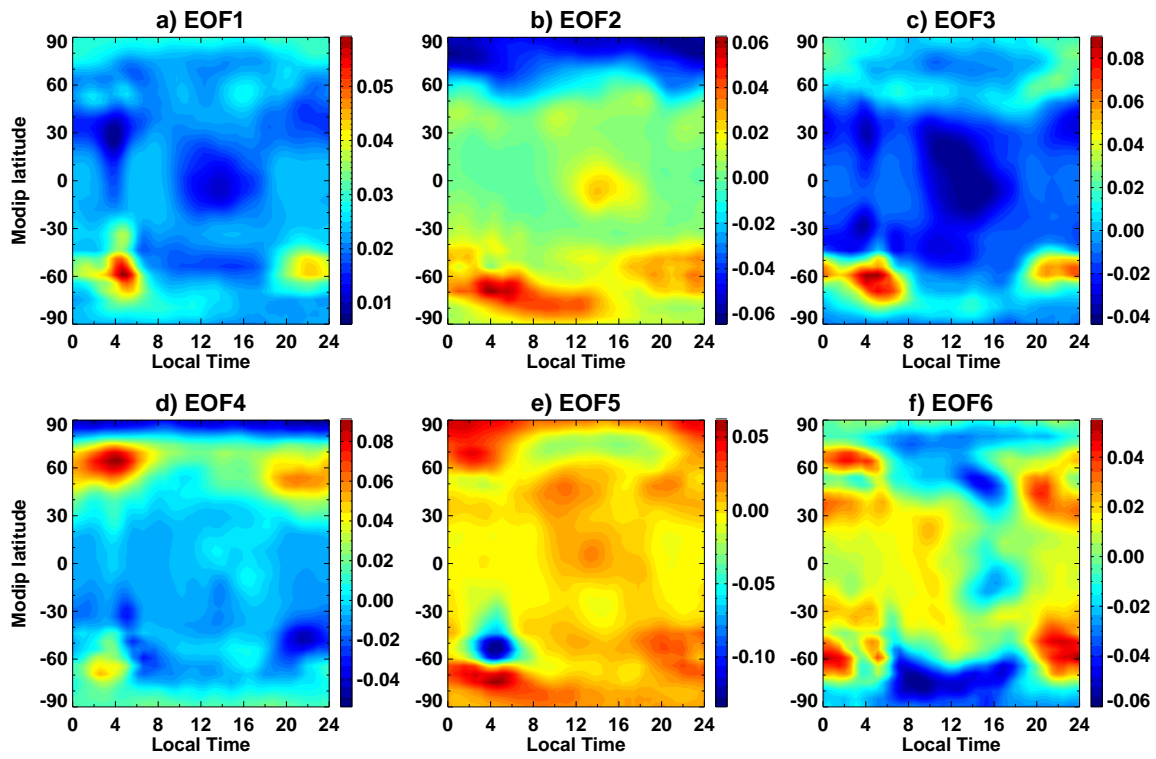


Figure 5. (a) Temporal variation of ap index and  $F_{10.7}$  from August 25 to September 10, 2017.

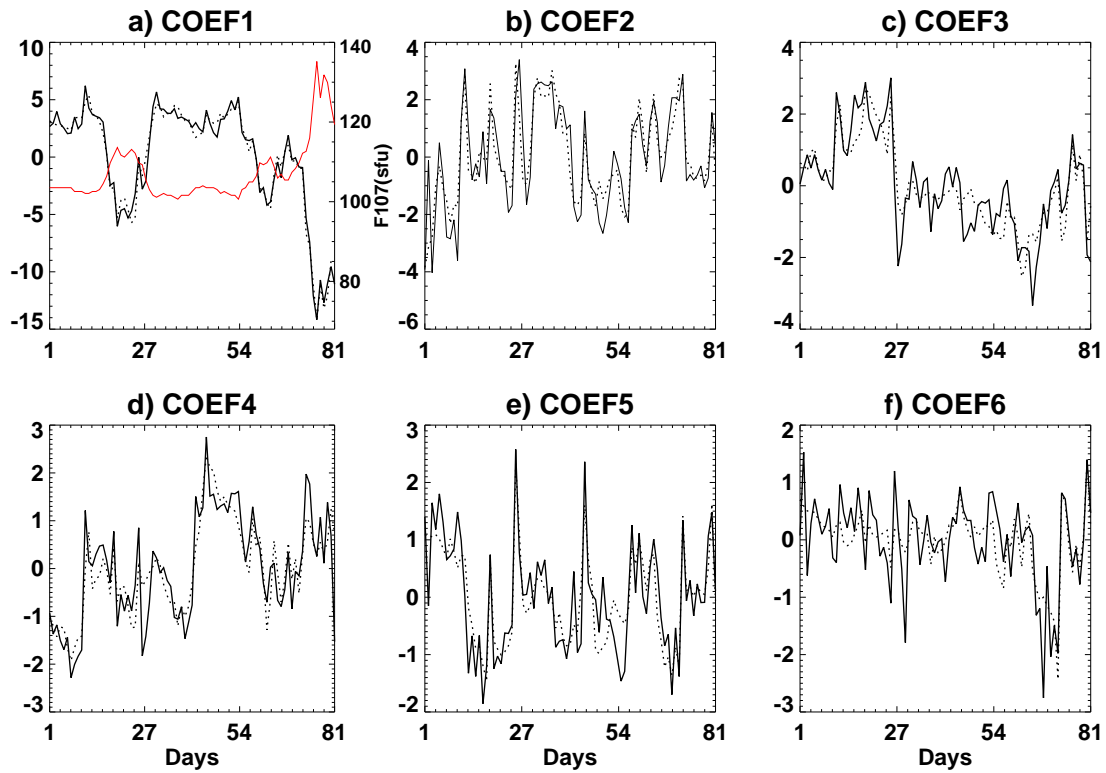
(b) RMSE variation for different TEC GIMs and NeQuick 2 driven by  $Az$ .



**Figure 6.** Scatter points comparison of ionosonde foF<sub>2</sub> with NeQuick 2 driven by  $F_{10.7}$  (red) and Az (blue) at 6 ionosonde stations.

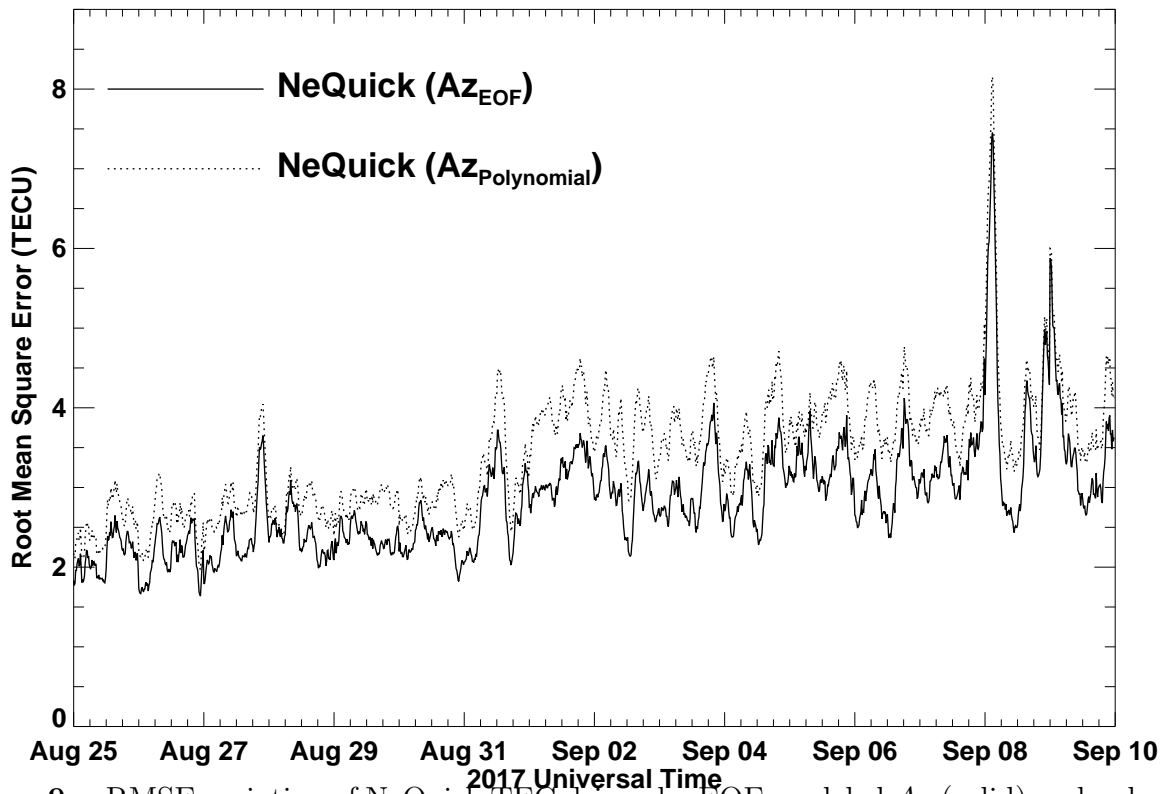


**Figure 7.** The modip latitude and local time distribution of the first 6 EOF base functions through decomposition of  $Az/F_{10.7}$ .

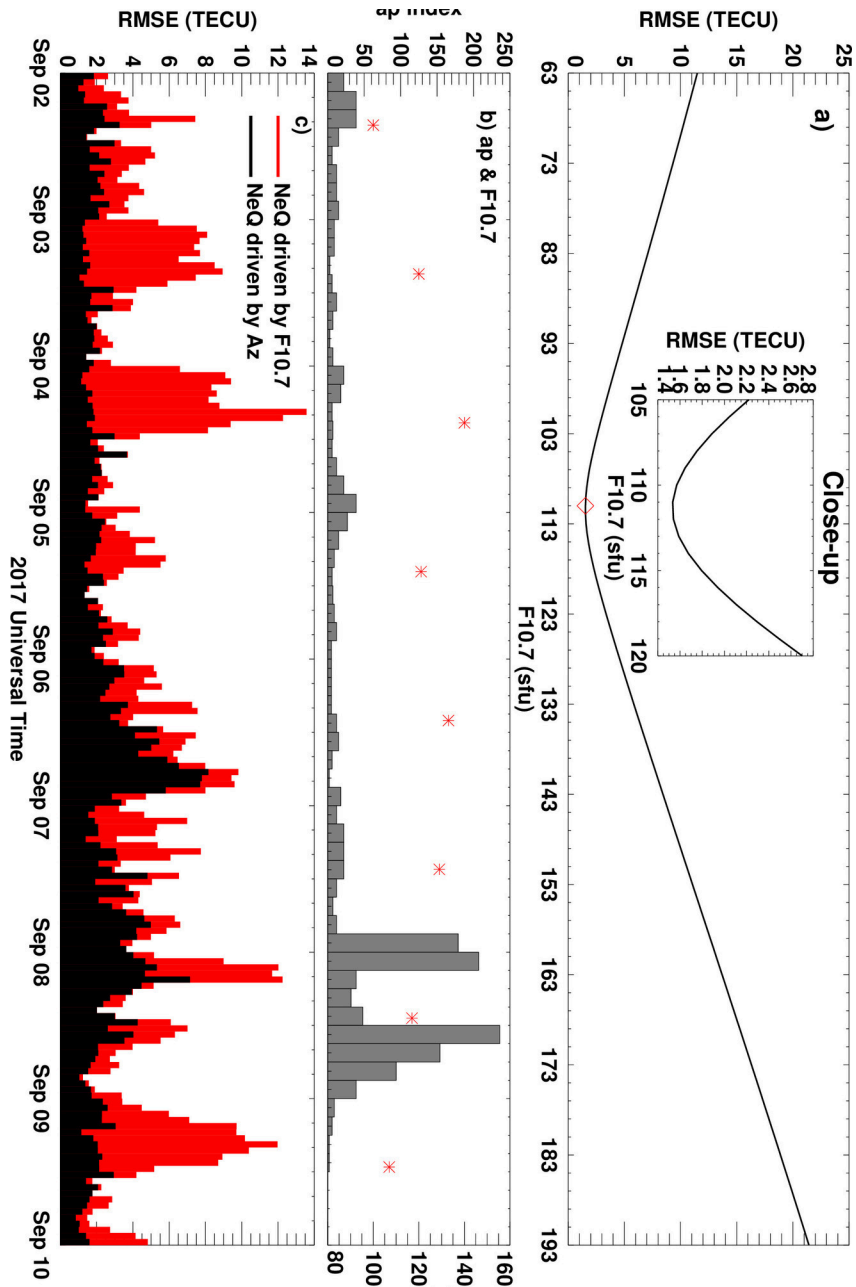


**Figure 8.** Temporal variation of the first 6 EOF coefficients through decomposition of  $Az/F_{10.7}$ .

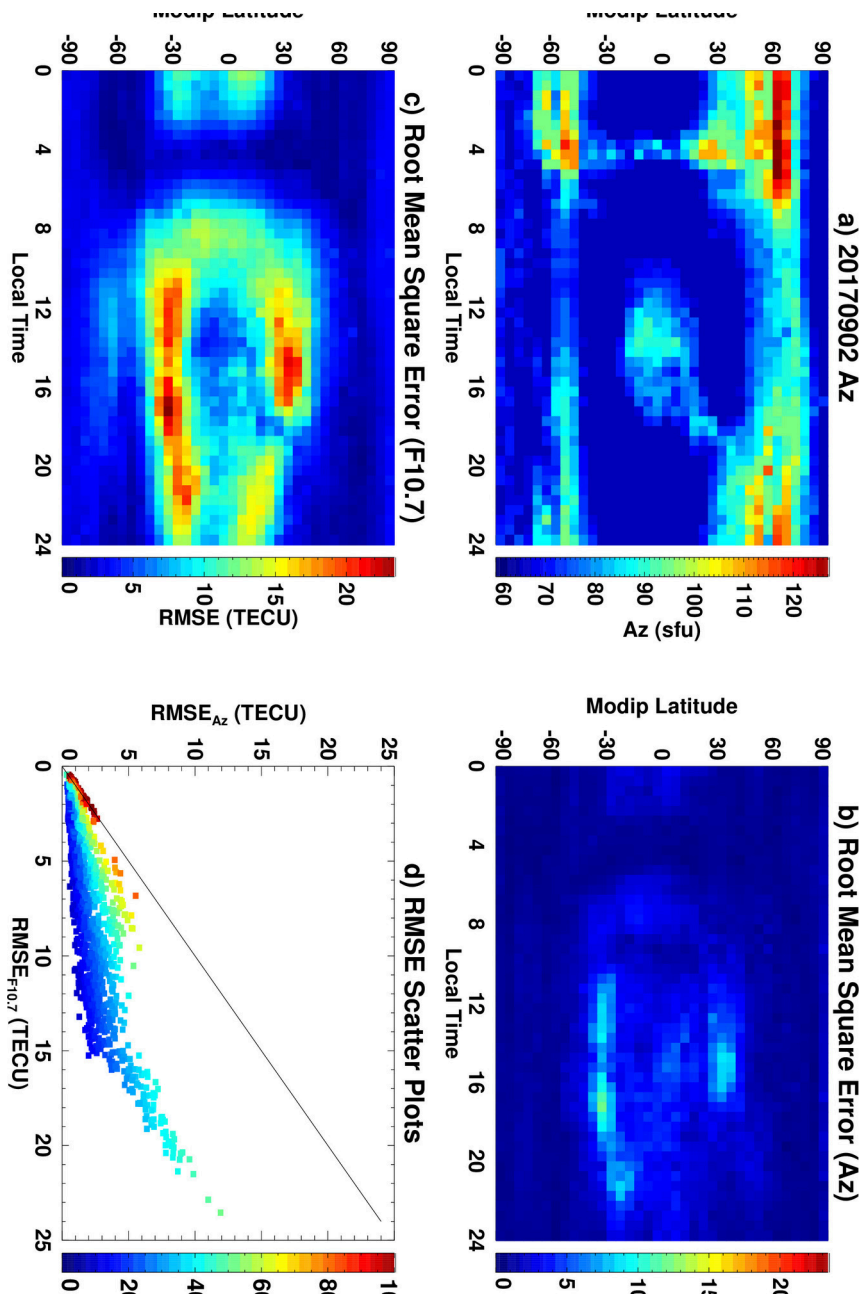
The observational  $F_{10.7}$  (red) and modeled coefficients (dashed) are also marked.



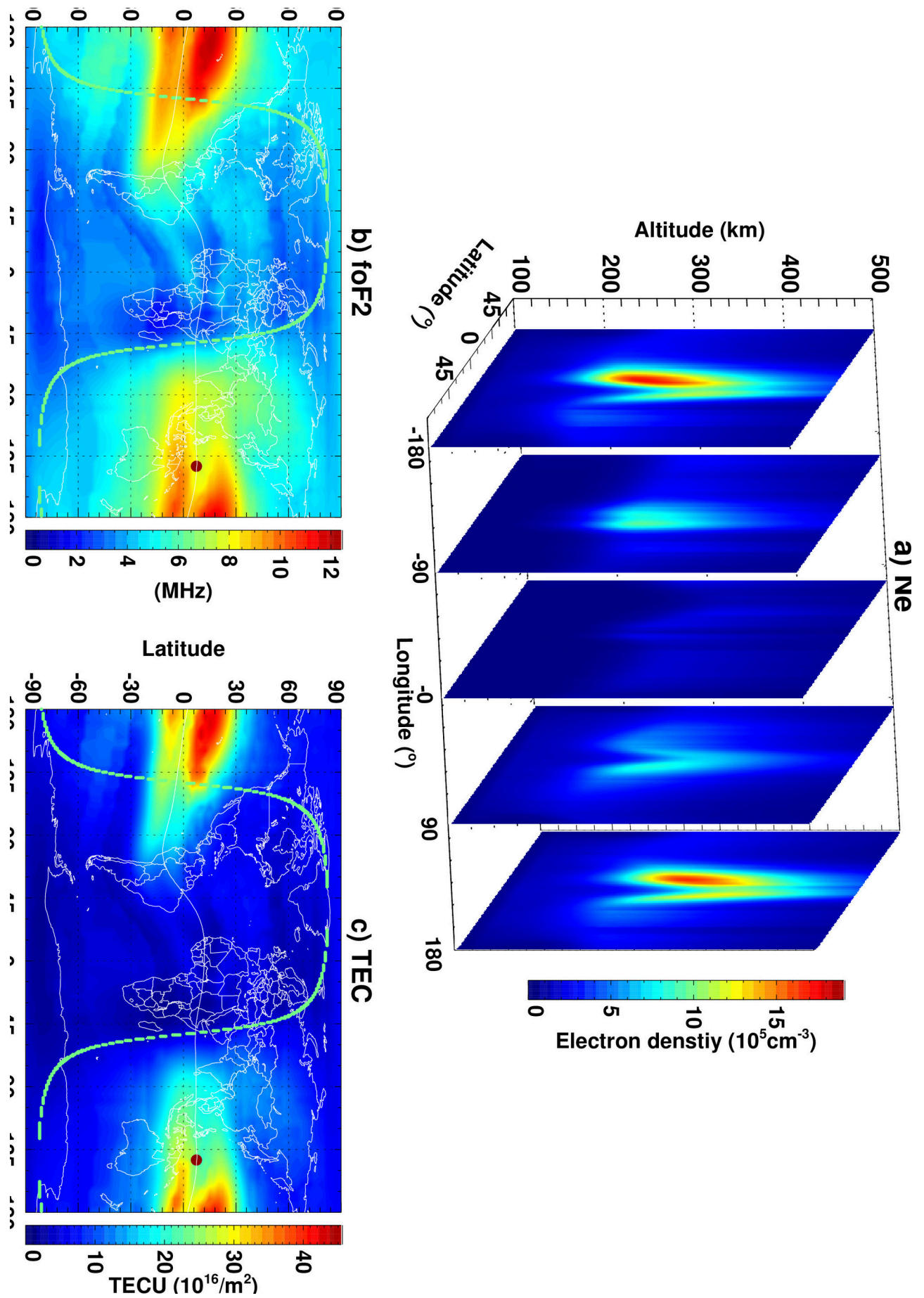
**Figure 9.** RMSE variation of NeQuick TEC driven by EOF modeled  $Az$  (solid) and polynomial fitted  $Az$  (dashed) during the time period from August 25 to September 10, 2017.



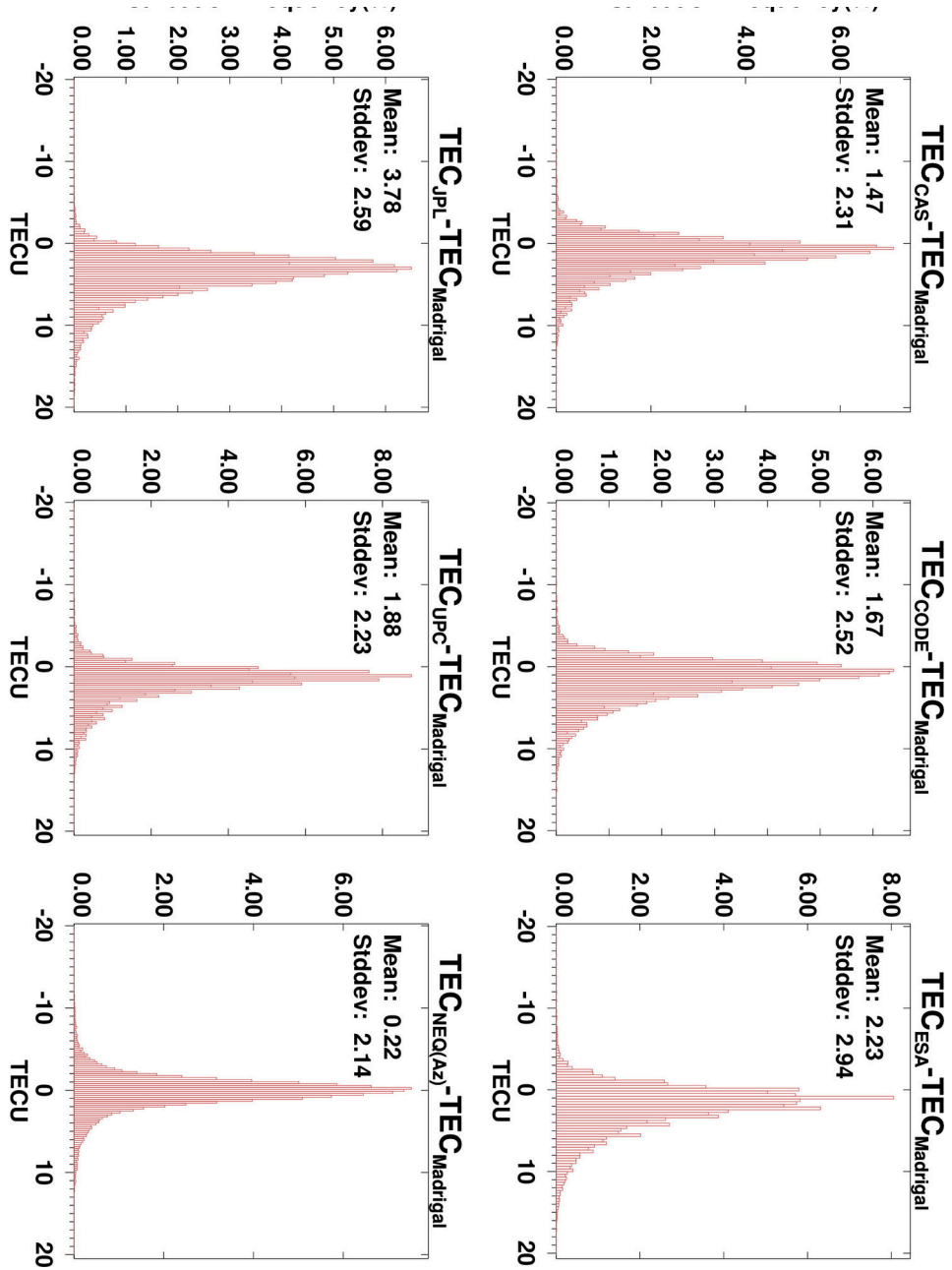
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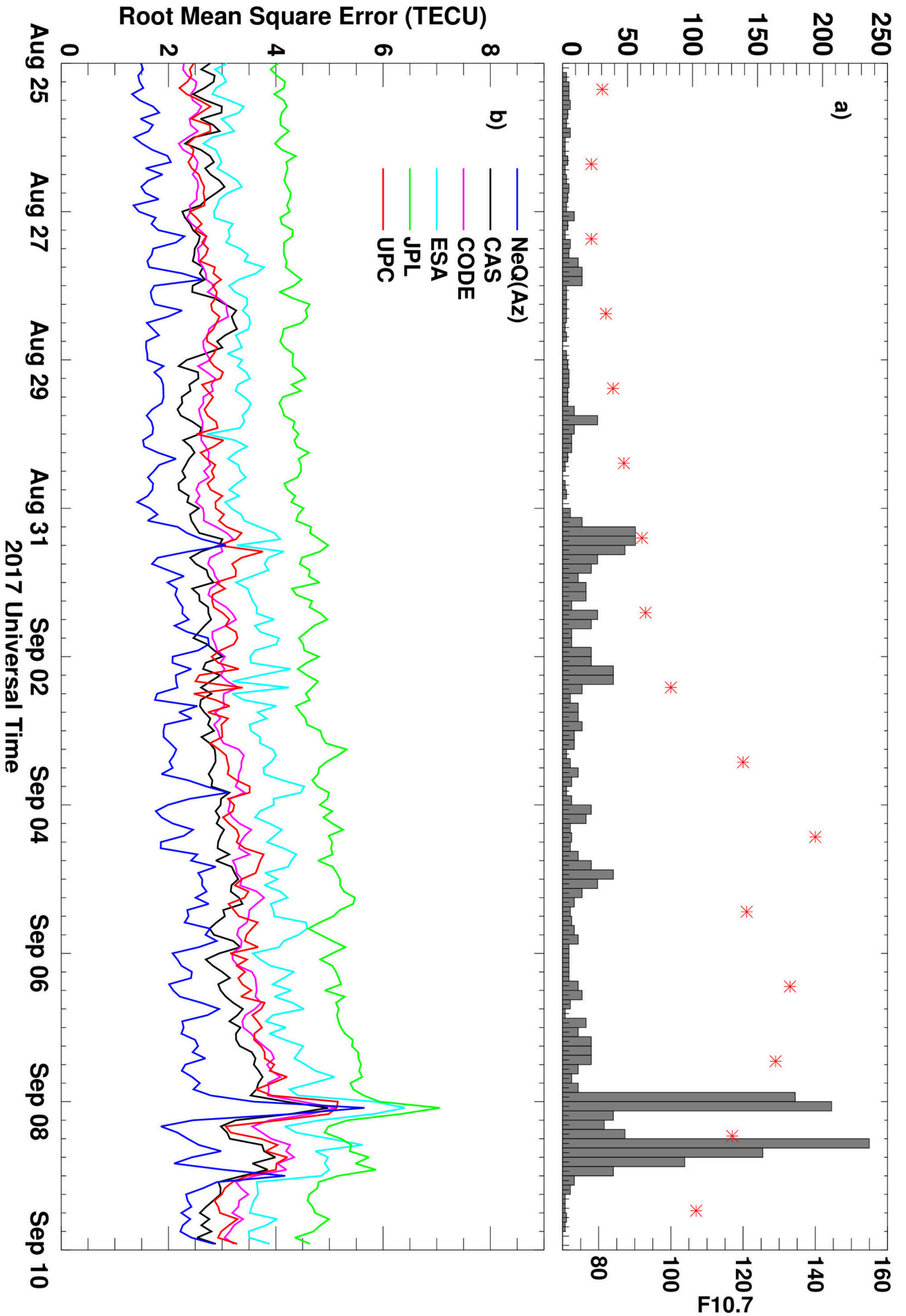




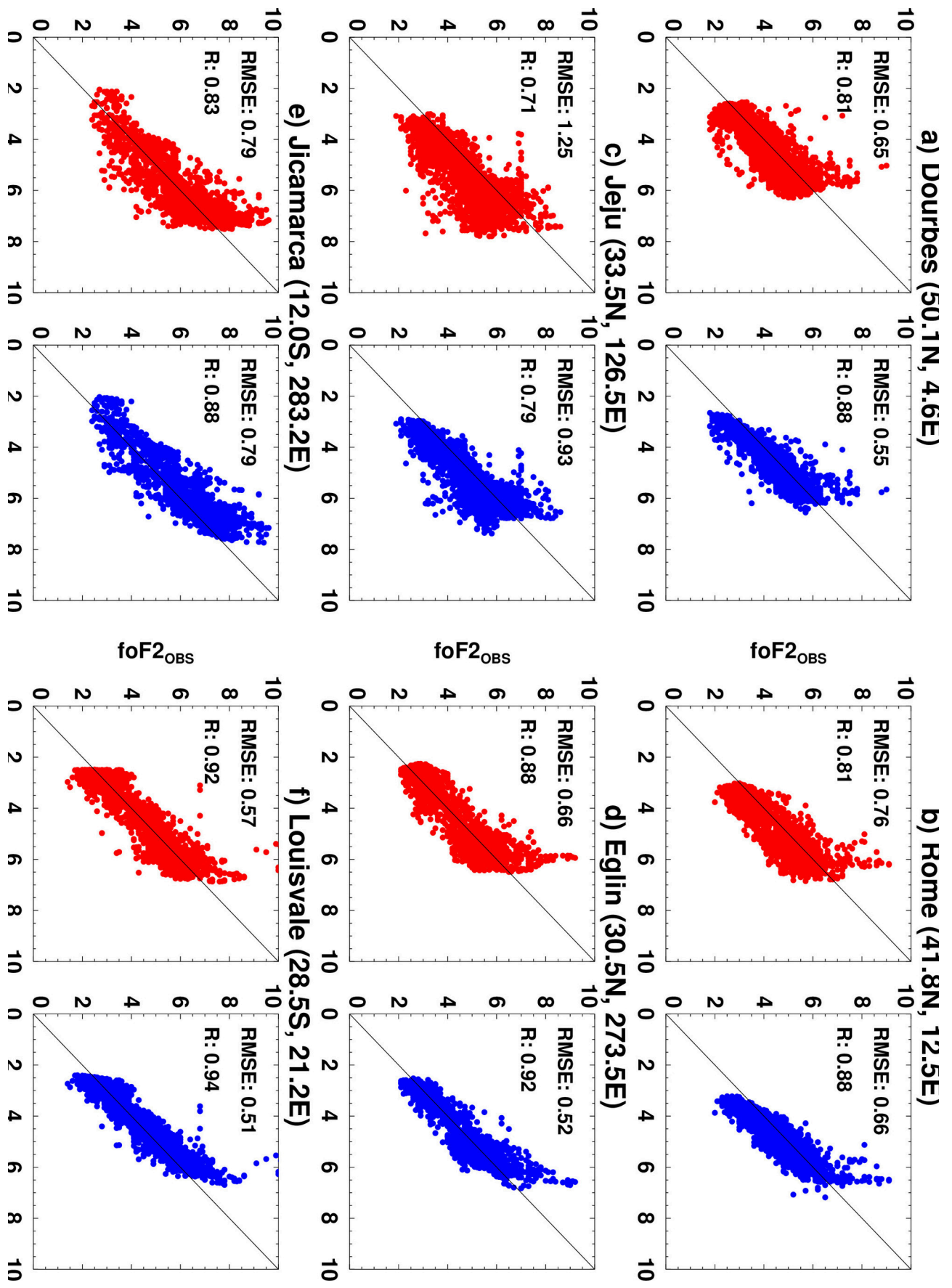
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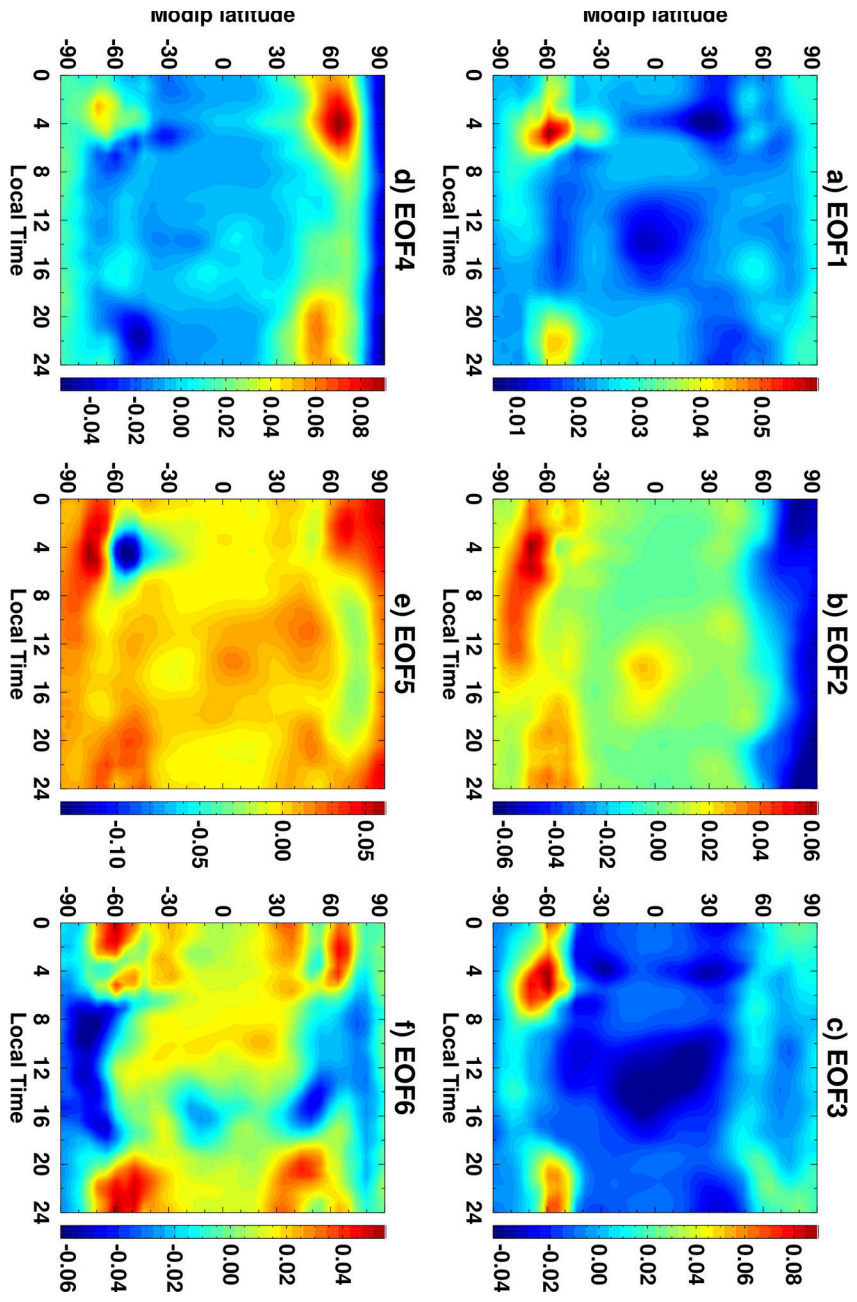
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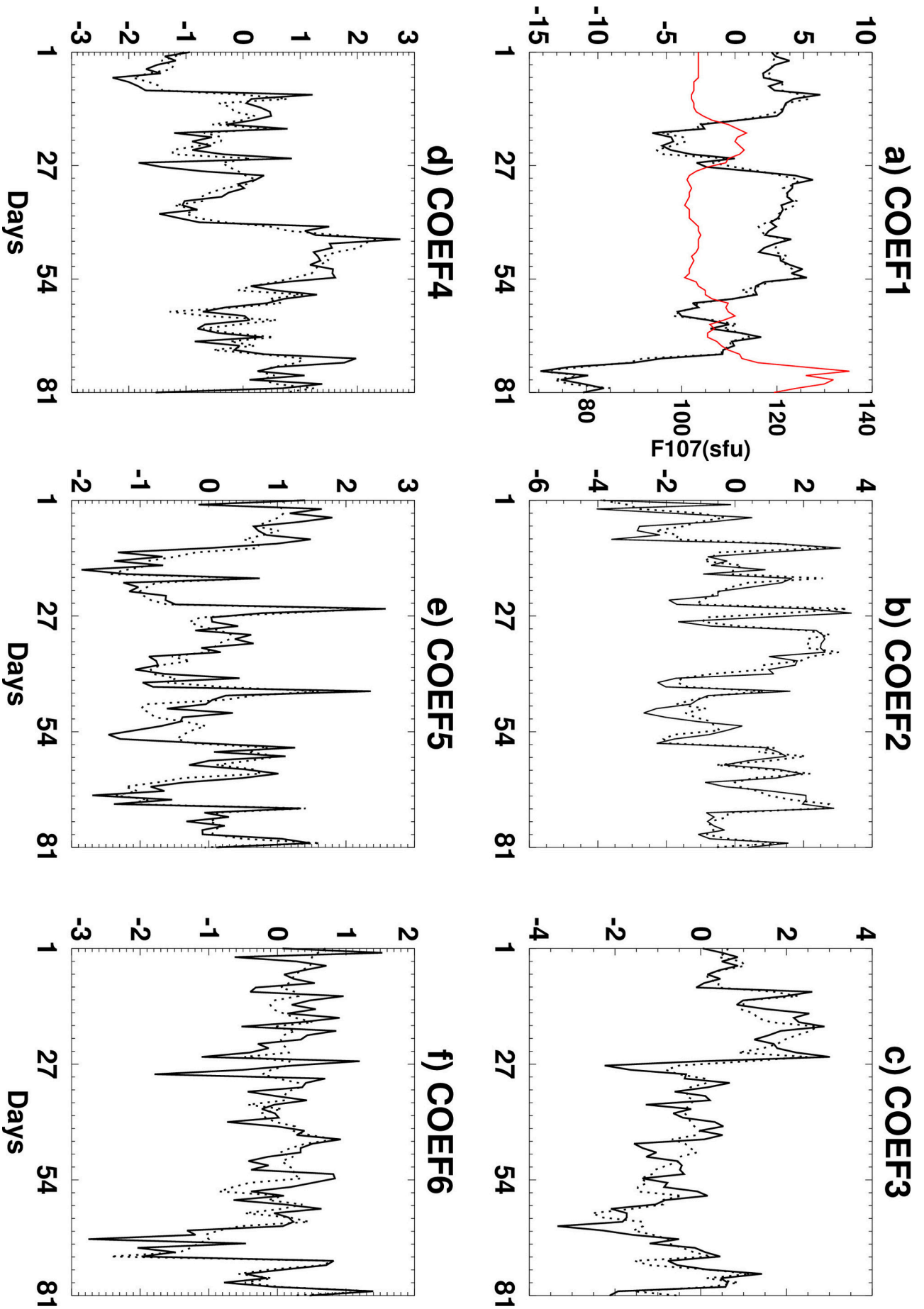
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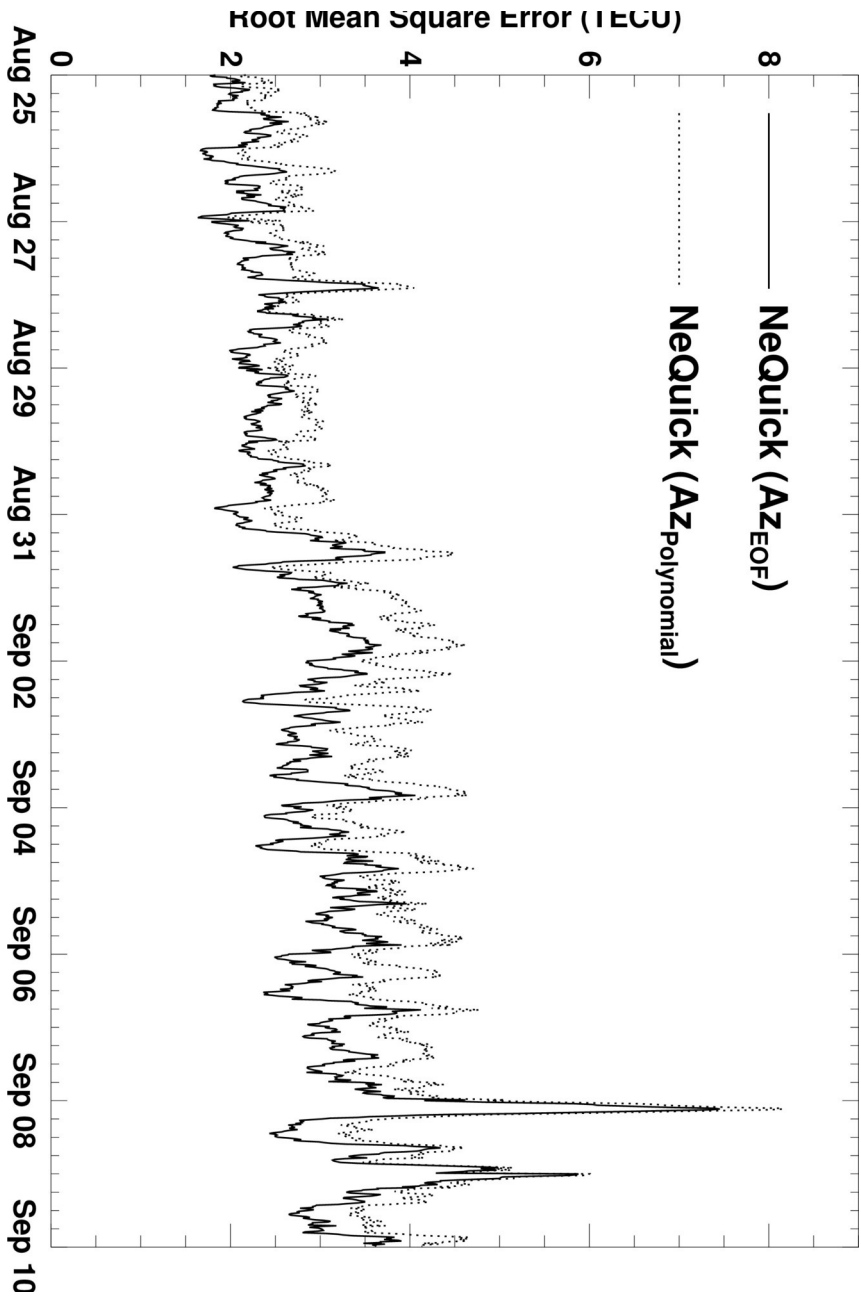


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