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Elucidating the impact of microbial community biodiversity on pharmaceutical biotransformation during wastewater treatment

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Summary

In addition to removing organics and other nutrients, the microorganisms in wastewater treatment plants (WWTPs) biotransform many pharmaceuticals present in wastewater. The objective of this study was to examine the relationship between pharmaceutical biotransformation and biodiversity in WWTP bioreactor microbial communities and identify taxa and functional genes that were strongly associated with biotransformation. Dilution-to-extinction

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26 of an activated sludge microbial community was performed to establish cultures with a gradient
27 of microbial biodiversity. Batch experiments were performed using the dilution cultures to
28 determine biotransformation extents of several environmentally relevant pharmaceuticals. With
29 this approach, because the communities were all established from the same original community,
30 and using sequencing of the 16S rRNA and metatranscriptome, we identified candidate taxa and
31 genes whose activity and transcript abundances associated with the extent of individual
32 pharmaceutical biotransformation and were lost across the biodiversity gradient. Metabolic genes
33 such as dehydrogenases, amidases, and monooxygenases were significantly associated with
34 pharmaceutical biotransformation, and five genera were identified whose activity significantly
35 associated with pharmaceutical biotransformation. Understanding how biotransformation relates
36 to biodiversity will inform the design of biological WWTPs for enhanced removal of chemicals
37 that negatively impact environmental health.

38

39 **Introduction**

40 Wastewater treatment plants (WWTPs) harness microbes to treat human waste and
41 protect our environment from organic pollutants, nutrients, and pathogens. In addition to
42 conventional pollutants, however, thousands of pharmaceuticals are excreted by humans in intact
43 and metabolized forms, reaching WWTPs before being released into the environment (Kolpin *et*
44 *al.*, 2002). The ability of the microbes in WWTPs to biotransform these chemicals is an area of
45 great interest (Carballa *et al.*, 2004; Castiglioni *et al.*, 2005; Nakada *et al.*, 2006; Kasprzyk-
46 Hordern *et al.*, 2009). Substantial research has advanced our knowledge of pharmaceutical
47 biotransformation pathways (Ellis *et al.*, 2006) and the transformation products formed during
48 treatment (Kern *et al.*, 2010). However, only one study to our knowledge has linked chemical
49 transformation data with wastewater microbial community composition and activity (Helbling *et*
50 *al.*, 2015), and no studies to date have identified specific functions associated with
51 biotransformation to develop predictive relationships between functional characteristics of the
52 microbial community and pharmaceutical biotransformation pathways and extents.

53 Biodiversity is one characteristic of wastewater treatment microbial communities that
54 may impact pharmaceutical biotransformation rates (Johnson *et al.*, 2015). WWTPs harbor
55 extremely diverse microbial communities (Zhang *et al.*, 2011). Mounting evidence from studies

56 of microbial systems suggests a positive relationship between biodiversity and the rates and/or
57 magnitude of community functions (reviewed by Cardinale *et al.*, 2006; Duffy, 2008). Functional
58 redundancy, the concept that taxonomically distinct species have the same ecological function,
59 challenges the idea that changes in biodiversity will directly affect community process rates. This
60 is because processes that are carried out by many taxonomically distinct microorganisms, or
61 broad processes, would not necessarily be impacted by biodiversity losses as the process rate is
62 not limited by the number of species that can perform it. Conversely, narrow processes, or
63 processes performed by few species, would be positively correlated with biodiversity because the
64 process rate is limited by the number of species able to perform the specialized metabolism.

65 In wastewater systems, biodegradation processes may be broad or narrow depending on
66 the compound under consideration. Given the diverse chemical structures of pharmaceuticals,
67 their biotransformation could be catalyzed by either broad or narrow processes. In a study of
68 microbial communities from ten full-scale treatment systems, a positive association between
69 taxonomic and functional biodiversity and the rates of some compounds was observed (Johnson
70 *et al.*, 2015). However, not all compound biotransformation rates in this study exhibited a
71 positive association with biodiversity; those compounds were likely transformed by broad
72 processes. In laboratory-manipulated bioreactors, Pholchan *et al.* (2013) found that communities
73 with greater diversity were associated with a decrease in the removal of a suite of estrogens (17-
74 estradiol (E2), estrone (E1), estriol (E3), and 17 α -ethinylestradiol (EE2)), which was
75 counterintuitive to their hypothesis. They concluded that it was not possible to make blanket
76 statements about the relationship between rare functions and biodiversity. Conversely,
77 Hernandez-Raquet *et al.* (2013) found that dilution-induced reduction in diversity of an activated
78 sludge community resulted in significant reductions in phenanthrene mineralization. These
79 studies collectively show that while positive biodiversity-function relationships may hold for
80 specific pharmaceutical biotransformations and collective pharmaceutical biotransformation,
81 more resolved information, such as the relative activity of specific taxa and/or functions, is
82 needed to understand conflicting patterns observed for individual pharmaceutical compounds.
83 Understanding whether pharmaceutical biotransformations are catalyzed by highly redundant
84 populations, or performed by rare taxa can help us identify the enzymes that catalyze their
85 transformation and exploit opportunities for enhancing biotransformations during wastewater
86 treatment.

87 The goal of this study was to elucidate a more resolved understanding of why and how
88 biodiversity affects pharmaceutical biotransformation by experimentally manipulating the
89 biodiversity of wastewater microbial communities. We manipulated an activated sludge
90 community using a dilution-to-extinction approach to create communities with different levels of
91 biodiversity and directly test the relationship between biodiversity and function (here, defined as
92 pharmaceutical biotransformation). With this approach, because the communities were all
93 established from the same original community, we hypothesized that we could identify taxa and
94 differentially expressed genes that were correlated with pharmaceutical biotransformation. These
95 taxa and genes can serve as predictive biomarkers for pharmaceutical biotransformation and
96 WWTPs could be designed or operated to enhance the activity of these taxa and functions to
97 improve overall pharmaceutical biotransformation by wastewater microbial communities.

98 **Results**

99 *Dilution resulted in communities with different levels of biodiversity*

100 A gradient in microbial biodiversity in an activated sludge community was established
101 using a dilution-to-extinction approach (Szabó *et al.*, 2007; Peter *et al.*, 2010; Philippot *et al.*,
102 2013; Ylla *et al.*, 2013). In this approach, each dilution theoretically removes the least abundant
103 species from the previous culture, resulting in a less diverse subset of the original community.
104 Activated sludge was serially diluted stepwise (1:10) in sterile semi-synthetic sewage media
105 (SSM) to achieve dilution conditions from 10^{-1} to 10^{-7} . After serial dilution, triplicate flasks of
106 the 10^{-2} , 10^{-4} , and 10^{-7} dilutions were allowed to regrow overnight such that all the dilution
107 cultures were a similar abundance. After regrowth, the biomass was pelleted and resuspended in
108 fresh SSM before performing the pharmaceutical biotransformation batch experiments. We
109 quantified the loss of seven pharmaceuticals and normalized the loss of each compound by the
110 biomass concentration and time elapsed between initial and final sample collection for each
111 batch. Biomass samples were collected from each batch for DNA and RNA extractions and
112 sequencing. The 16S rRNA gene and 16S rRNA sequencing data were used to calculate the
113 DNA- and RNA-based taxonomic biodiversity measurements, respectively, and the shotgun
114 metagenomic and metatranscriptomic sequencing data were used to generate the DNA- and
115 RNA-based functional biodiversity measurements, respectively.

116 With increased dilution, there was a decrease in the taxonomic richness of the activated
117 sludge microbial community based on both 16S rRNA gene and 16S rRNA sequencing
118 (ANOVA, $P < 0.05$). Rarefaction curves of unique operational taxonomic units (OTUs; grouped
119 based on sequence similarity of greater or equal to 97%) based on both DNA and RNA versus
120 sequences sampled show distinct clustering of the dilution cultures, with samples from the most
121 diverse culture (10^{-2}) having the greatest number of unique OTUs, followed by the medium-
122 biodiversity culture (10^{-4}), and the low-biodiversity culture (10^{-7}) that plateaued with the lowest
123 number of unique OTUs (Figure 1A and 1C). Differences in DNA-based taxonomic diversity
124 between the dilution cultures are supported by various biodiversity indices based on the 16S
125 rRNA gene sequence data (Table 1).

126 We did not observe significant differences in DNA-based functional richness between the
127 dilution conditions (Figure 1B, Table 1; t test, Bonferroni-adjusted two-sided $P > 0.05$), in
128 contrast to the DNA-based taxonomic richness measurements. Conversely, RNA-based
129 functional richness (Figure 1D) was significantly different between each dilution condition based
130 on pairwise comparisons (t test, Bonferroni-adjusted two-sided $P < 0.05$). Overall, differences in
131 biodiversity measurements were not consistent between DNA and RNA-based approaches, or
132 between taxonomic and functional datasets.

133 ***Taxonomic and functional diversity positively associated with one another***

134 To understand if more unique taxa corresponded to increased functional traits in the
135 wastewater microbial communities, we first tested whether taxonomic richness was positively
136 associated with functional richness. We found that for both the DNA- and RNA-based
137 annotations, there was a significant positive association between taxonomic and functional
138 richness (Figure S2; Spearman, $P < 0.005$). The shape of the data in Figure S2 suggests that the
139 number of unique functions does not increase linearly with the number of unique OTUs and
140 instead the number of functions levels out at high numbers of OTUs. This is consistent with the
141 idea that the most diverse communities are also the most functionally redundant and that the
142 unique OTUs contain many of the same functional genes.

143 ***Carbon oxidation and pharmaceutical transformations show different patterns with dilution***

144 Carbon utilization, a process that is widespread across all forms of life, is a function that
145 we expected to be functionally redundant across all the dilution conditions. Thus, we

146 hypothesized that there would not be a significant difference in specific carbon oxidation rates
147 between the dilution conditions. To test this, dissolved organic carbon was measured in samples
148 across the experiment to determine carbon oxidation rates (Figure S3). Indeed, we found no
149 significant difference between volatile suspended solids (VSS)-normalized carbon oxidation
150 rates across all dilution conditions (ANOVA, $P > 0.64$). In all dilution conditions we found no
151 significant association between specific carbon oxidation rate and taxonomic or functional
152 richness (Spearman, $P > 0.80$), supporting the notion that carbon oxidation is a function that is
153 widespread and redundant in wastewater microbial communities (Franklin and Mills, 2006).

154 In contrast to specific carbon oxidation rate patterns, significant differences between the
155 degree of biotransformation and the dilution condition were observed for 5 of the 7
156 pharmaceutical compounds, with greater extents of biotransformation observed in the most
157 diverse culture (ANOVA, $P < 0.05$; Figure 2). Two compounds did not follow the same pattern as
158 the rest: for glyburide, no significant differences in extent of biotransformation between the
159 dilution cultures were observed as very limited loss of the parent compound occurred; and for
160 erythromycin, the greatest loss was observed in the 10^{-4} condition, though it was not statistically
161 significantly different from the 10^{-2} condition (t test, Bonferroni adjusted $P > 0.05$). Atenolol was
162 the only compound for which the stepwise dilution resulted in a corresponding stepwise
163 reduction in biotransformation. For the other compounds, there was only a significant difference
164 in biotransformation extent between the 10^{-2} and the other dilution conditions.

165 ***Significant associations between functional richness and pharmaceutical biotransformation***
166 ***extent were observed***

Figure 2

167 We observed a significant positive association between DNA- and RNA-based functional
168 richness measurements and scaled pharmaceutical biotransformation extents (Spearman, $P < 0.05$;
169 Figure 3). Notably, RNA-based functional richness was a better predictor of pharmaceutical
170 biotransformation extent than DNA-based functional richness based on Spearman rank
171 correlation coefficient values ($\rho_{\text{RNA-func-richness}} = 0.93$, $\rho_{\text{DNA-func-richness}} = 0.77$). This indicates that
172 expressed genes are better predictors of pharmaceutical biotransformation and supports the
173 notion that metagenomic datasets may mask significant associations with magnitudes of
174 community functions as they include non-expressed traits. The observed functional richness

175 appears to plateau (Figure 3A), particularly between the 10^{-2} and 10^{-4} conditions, whereas the
176 observed taxonomic richness does not (Figure 3B), again indicative of a large degree of
177 functional redundancy in the most diverse microbial communities. We chose to focus on RNA-
178 based taxonomic and functional richness when assessing associations with pharmaceutical
179 biotransformation and to identify lost active OTUs and expressed functional genes across the
180 dilution conditions.

Figure 3

181 ***Differential expression of functional genes suggests potential enzymes associated with***
182 ***biodegradation***

183 After observing that there were significant positive associations between biodiversity and
184 pharmaceutical biotransformation extent, we asked which functions were differentially expressed
185 across the dilution cultures, and specifically which functions were lost? Of the 710,402 genes
186 with predicted functions analyzed from the combined assembly, 15,290 were found to be
187 differentially expressed between the three dilution conditions (Benjamini-Hochberg adjusted
188 $P < 0.05$) and to have matches in the KEGG Orthology database. Differential expression could
189 have been due to differences in transcript abundances, or to gene absence in the different dilution
190 conditions. The majority of the significantly differentially expressed functions were lost with
191 dilution from the 10^{-2} to the 10^{-7} cultures (negative log fold change, Figure S6). For the
192 compounds that were transformed to different extents across the three dilution conditions, the
193 genes that had a lower level of expression in the least diverse culture (10^{-7}) could be responsible
194 for pharmaceutical biotransformation.

195 We sought to establish whether genes that might have been involved in pharmaceutical
196 biotransformation were differentially expressed across the dilution conditions and thus focused
197 on compounds that were transformed to a different extent with increased dilution (atenolol, 17α -
198 ethinylestradiol (EE2), trimethoprim, venlafaxine, erythromycin, and carbamazepine). For all
199 compounds except erythromycin, we further narrowed the list of genes relevant to those
200 compounds by selecting genes only if they were lost with increased dilution. The list of genes
201 was narrowed by focusing on classes of metabolic genes that were predicted to be involved in the
202 compound's biotransformation by the EAWAG-BBD Pathway Prediction System (Ellis *et al.*,
203 2006; EAWAG, 2016). The classes of metabolic genes included were those with functional
204 assignments for genes which transcribe the following enzymes: amidase, nitrilase, transaminase,

205 demethylase, oxidase, hydrolase, dehydrogenase, aminotransferase, monooxygenase,
206 hydroxylases, esterase, lactonase, amidohydrolase, dioxygenase, oxygenase, lactamase, sulfatase,
207 and dimethylaminehydrogenase. Spearman rank correlation tests between the normalized
208 expression of each of the genes that were predicted to be involved in biotransformation and each
209 compound's biotransformation extent was performed to identify genes with expression patterns
210 that were significantly associated with biotransformation extent. The list of statistically
211 significant genes for each compound is given in Table S6.

212 Gene set enrichment analysis was used to understand which KEGG functions were more
213 likely to be positively or negatively associated with biotransformation across all the compounds
214 analyzed. By comparing entire categories of functions, rather than individual genes, the
215 statistical power of the analysis increases. This analysis also allowed us to evaluate which KEGG
216 functional group categories were biomarkers for overall transformation (Table S7), rather than
217 just statistically correlated with transformation of each individual compound. Based on a
218 literature review, there is previous evidence for use of many of the associated genes as
219 biomarkers for aromatic compound degradation. A heat map showing expression of genes
220 annotated with to these KEGG categories across the different dilution conditions is shown in
221 Figure S6.

222 ***Active taxa, or operational taxonomic units (OTUs) that associated with biotransformation*** 223 ***extent were identified***

224 We identified specific OTUs that may have been involved in pharmaceutical
225 biotransformation and were lost across the dilution conditions. Using the 16S rRNA sequencing
226 results, we identified specific OTUs whose activity (abundance of 16S rRNA gene transcripts)
227 significantly associated with individual and collective pharmaceutical biotransformation extents
228 (Table 2). We narrowed the list of significantly associated OTUs to those that were relatively
229 active in the 10^{-2} condition (using a cutoff of 0.5% average relative activity in the 10^{-2} condition).
230 Five OTUs whose activity significantly associated with individual and/or collective
231 pharmaceutical biotransformation extents were identified (Table 2). While this approach does not
232 allow us to definitively conclude that these taxa are involved in biotransformation (e.g., they
233 could simply co-occur with taxa that perform the biotransformation reactions), they can be
234 viewed as a list of useful biomarkers that are predictive of biotransformation. Based on a
235 literature review of the associated OTUs (references provided in Table 2), all have previously

236 been identified in biodegradation studies, either as directly involved in the biotransformation of a
237 pollutant, or identified in systems performing aerobic pollutant degradation, thus supporting their
238 potential importance in catalyzing pharmaceutical biotransformations.

239 **Discussion**

240 Despite a wealth of both pharmaceutical loss data across WWTPs and activated sludge
241 sequencing data, we lack robust datasets that allow us to link the two sets of information. Further
242 studies are needed to generate candidate lists of taxa that might be used as predictive biomarkers
243 for pharmaceutical biotransformation so that we may be able to design and operate WWTPs to
244 enhance biotransformation and address emerging water quality goals. While a previous study
245 looked at the relationship between biodiversity and pharmaceutical biotransformation in
246 WWTPs, they did not go beyond testing associations with whole community biodiversity
247 measurements. In order to gain a more mechanistic understanding of (1) why certain
248 pharmaceuticals have strong positive associations with taxonomic and/or functional biodiversity;
249 and (2) how microbial community structure and activity influences pharmaceutical
250 biotransformation, we need to look at which specific functions and taxa are strongly associated
251 with pharmaceutical loss. In this study, we go beyond bulk biodiversity measurements and
252 identified both functional genes (Table S7) and OTUs (Table 2) whose activity significantly
253 associated with pharmaceutical biotransformation. As the communities were all established from
254 the same original community and diversity manipulations were achieved with a dilution-to-
255 extinction approach, we could examine how the loss of specific functions and taxonomic groups
256 affected pharmaceutical biotransformation. Further, by comparing the relative activity of OTUs
257 and gene across the dilution conditions, we identified OTUs and functional genes whose
258 presence and expression correlated with biotransformation. The dataset generated in this study
259 represents a resource for future studies that seek to link WWTP community structure and activity
260 to pharmaceutical biotransformation.

Table 2

261 ***Taxonomic and functional richness associated with pharmaceutical biotransformation, and***
262 ***RNA-based richness had stronger associations than DNA-based richness measurements.***

263 We saw a strong positive association between both taxonomic and functional biodiversity
264 and overall biotransformation extent (Figure 3), similar to the findings of Johnson et al. (2015).
265 These results are also consistent with microbial communities studied in WWTPs and other

266 environments that showed that communities with more taxa are likely to have more functional
267 traits (Gilbert *et al.*, 2010; Bryant *et al.*, 2012; Johnson *et al.*, 2014). We also observed functional
268 redundancy as the shape of the association between taxonomic and functional genes was not
269 linear (Figure S2), and thus the most diverse communities likely had significant redundancy with
270 respect to functional genes. We chose to use RNA-based functional and taxonomic richness
271 measurements for testing associations with pharmaceutical biotransformation as RNA excludes
272 non-expressed traits and captures the active fraction of the community. We observed differences
273 in biodiversity measurements between DNA- and RNA-based approaches; for example, RNA-
274 based functional richness was significantly different between the dilution conditions, but not
275 significantly different based on DNA (Table 1). This indicated that our RNA-based could
276 potentially capture more pronounced differences in expressed functions between the dilution
277 conditions.

278 We found that pharmaceutical biotransformation rates were significantly associated with
279 both functional and taxonomic richness (Figure 3). This indicates that for the purpose of
280 understanding the relationship between biodiversity and function, amplicon sequencing of the
281 16S rRNA was a sufficient measure of biodiversity to test associations with process rates. This
282 may not hold true in highly functionally redundant microbial communities, where expressed
283 taxonomic and functional diversity are not strongly associated with one another (e.g. Ylla *et al.*,
284 2013). Using 16S rRNA to test relationships with biodiversity is advantageous because amplicon
285 sequencing is more affordable, less computationally intensive because it generates a fraction of
286 the data, and has more developed reference databases compared with functional genes. However
287 only by performing metagenomic and metatranscriptomic sequencing is it possible to test
288 associations with specific genes and generate candidate gene lists that can be used to discover
289 mechanistic links with biotransformation.

290 ***The taxa and functional genes that associated with pharmaceutical biotransformation were***
291 ***consistent with previous research and form a basis for testing causal relationships.***

292 We identified five OTUs whose activity significantly associated with individual
293 compound biotransformation extents (atenolol and/or venlafaxine, Table 2). All the OTUs have
294 previously been identified in biodegradation processes. Helbling *et al.* (2015) also found a
295 significant association between venlafaxine and the activity of *Chryseobacterium*, despite

296 differences in experimental design (fed vs. starved batch conditions) and using different WWTP
297 biomass. This supports the validity of our approach and suggests that the OTUs identified may
298 serve as useful biomarkers across a range of wastewater environments. Further, taxonomic
299 diversity may underpin faster, more resilient, and more robust processes because the different
300 microbial community members have different properties (e.g. substrate affinities, energy, and
301 nutrient requirements, etc.). While many different organisms may express similar genes and are
302 capable of biotransforming pharmaceuticals, specific groups of organisms may be larger
303 contributors to overall transformation rates. For example, Khunjar et al. (2011) found that both
304 ammonia oxidizing bacteria (AOB) and heterotrophs were capable of catalyzing the
305 hydroxylation of EE2, likely using a monooxygenase enzyme, but AOB perform the process
306 much more rapidly than heterotrophs. Thus, understanding “who” is performing the function
307 may be more important than the expression of the relevant functional gene to understanding what
308 controls a biotransformation rate. This may also explain why we saw a strong association
309 between atenolol biotransformation and functional richness. Based on our knowledge of atenolol
310 biotransformation in aerobic systems (hydrolysis of the primary amide, Table S2), we might
311 expect that it would be a relatively broad process. However, if the rate of the primary amide
312 hydrolysis differs highly between taxa, then the positive association between atenolol
313 biotransformation and taxonomic biodiversity would hold, and in turn also be positively
314 associated with functional richness because of the positive association between taxonomic and
315 functional richness. Beyond taxonomic data, we can use metagenomic and metatranscriptomic
316 sequencing to test associations between pharmaceutical biotransformation extent and gene
317 activity and generate candidate gene lists (Table S6) that can be used to discover mechanistic
318 links with biotransformation. In this study, associated genes were extensive, the number of
319 associated KEGG orthologs ranged from 5-156, depending on the compound. Therefore, the data
320 generated from these associations is intended to be hypothesis-generating and elucidate targets
321 for further study. To focus these targets, we used gene set enrichment analysis to identify KEGG
322 functional groups that were statistically associated with pharmaceutical transformations across
323 all of the compounds that had loss of transformation at increased dilution (Table S7 and Figure
324 S6). Twenty-eight of the 39 KEGG functional groups that were significantly associated with
325 pharmaceutical transformation encoded for dehydrogenase enzymes. In addition, many of the
326 significantly associated genes encoded functions that are part of central metabolic pathways such

327 as oxidative phosphorylation, amino acid metabolism, and the TCA cycle. These associations
328 were likely significant because these KEGG categories represented those that associated with
329 transformation of all the compounds. Genes catalyzing more specific initial transformations were
330 associated with the transformation of individual compounds. For example, the gene encoding for
331 subunit C of the ammonia monooxygenase gene was associated with EE2 biotransformation
332 (Benjamini-Hochberg adjusted $P=0.025$, $\rho=0.69$, adjusted for multiple comparisons), as we
333 would expect given our knowledge of the transformation pathway (Khunjar *et al.*, 2011). In
334 addition, genes encoding amidase enzymes were associated with atenolol transformation
335 (Helbling *et al.*, 2010) (Benjamini-Hochberg adjusted $P<0.03$, $\rho>0.78$). The consistency of these
336 results with other previous studies provides some validity to our approach. While the direct
337 involvement of the enzymes encoded by these genes was not validated experimentally, the
338 dataset of significantly associated genes (Table S6) provides insight to potentially important
339 functional genes in pharmaceutical biotransformation. After initial biotransformation reactions,
340 pharmaceutical compounds are eventually broken down into central intermediates, which may
341 explain the increased expression of genes that encode general metabolic pathway functions. This
342 is consistent with previous studies that observed increased expression of genes in related to
343 amino acid metabolism, TCA cycle, and oxidative phosphorylation in microbial processes
344 degrading organic contaminants (Annweiler *et al.*, 2000; Li *et al.*, 2012).

345 ***Enhancing biodiversity in wastewater treatment plants could enhance overall***
346 ***biotransformation of pharmaceuticals.***

347 For those compounds for which we saw no significant difference between loss and
348 dilution condition (e.g. erythromycin), increased biodiversity is not likely a successful strategy
349 for achieving enhanced removal. Interestingly, the biotransformations of most the compounds
350 studied (5 of 7) were narrow processes, as their extent of loss decreased with increased dilution
351 (Figure 2). One way to enhance the loss of compounds that undergo these narrow
352 biotransformation processes may be to design WWTPs to support diverse microbial communities
353 and harness specific low abundance community members. Recent studies suggest that certain
354 WWTP operational parameters, such as solids retention time (Vuono *et al.*, 2016), dissolved
355 oxygen conditions (Stadler and Love, 2016), and concentration and composition of dissolved
356 organic matter (Li *et al.*, 2014) can all influence the degree of microbial biodiversity and the
357 extent of micropollutant removal. Further research is needed to understand the relative

358 importance of these operational parameters and environment conditions and others on WWTP
359 microbial biodiversity and pharmaceutical biotransformation.

360 In conclusion, we observed significant positive associations between biodiversity of
361 WWTP microbial communities and pharmaceutical biotransformation. By linking gene
362 expression and relative activity with individual pharmaceutical biotransformation extents, our
363 work goes beyond testing associations between biodiversity measurements and pharmaceutical
364 biotransformation to identify metabolic genes and OTUs which can be potentially used as
365 biomarkers for biotransformation. Metabolic genes such as dehydrogenases, amidases, and
366 monooxygenases were significantly associated with pharmaceutical biotransformation. Five
367 genera were identified whose activity significantly associated with pharmaceutical
368 biotransformation (Table 2) and previous studies support their potential involvement in
369 catalyzing biotransformation. Further experimentation is needed to conclusively link those
370 functions and taxa to biotransformation reactions. The strong positive association between
371 biodiversity and pharmaceutical biotransformation extent has implications for the design and
372 operation of WWTPs to increase pharmaceutical removal. Specifically, creating niche
373 environments that support the growth of diverse microbial communities could result in better
374 overall performance with respect to pharmaceutical removal. Understanding the factors that drive
375 biodiversity and enhance the activity of key populations involved in biotransformation is needed
376 to harness the benefits of biodiversity for wastewater treatment.

377 **Experimental Procedures**

378 *Experimental design and biodiversity manipulation*

379 An 8 L grab sample of activated sludge mixed liquor was collected from the aeration
380 basin of the Ann Arbor WWTP, a facility that performs nitrification and moderate biological
381 phosphorus removal. Biodiversity manipulations were achieved using a dilution-to-extinction
382 approach. Dilution-induced reductions in diversity has been used in numerous previous studies to
383 understand structure-function relationships in mixed microbial communities (e.g. Franklin and
384 Mills, 2006; Hernandez-Raquet *et al.*, 2013; Philippot *et al.*, 2013). Before serial dilution,
385 disaggregation of macroflocs in the activated sludge sample was achieved by blending
386 approximately 500 mL of mixed liquor in an industrial blender (Waring Commercial Blender,
387 Model 516L31) at maximum speed for 10 minutes. After blending, stepwise dilutions (1:10)

388 were performed by transferring 100 mL into 900 mL of sterile semi-synthetic sewage media
389 (SSM) to achieve dilution conditions from 10^{-1} to 10^{-7} . The SSM was comprised of filtered (0.22
390 μm Stericup, Millipore, Darmstadt, Germany) and autoclaved primary effluent collected from
391 the Ann Arbor WWTP (detailed in Section 1.1 of the Supporting Information). Chemical oxygen
392 demand (COD) was determined in the filtered and sterilized primary effluent using Standard
393 Methods (2005), and then supplemented with carbon (a mixture of peptone, meat extract, humic
394 acid) and ammonium chloride to achieve a final concentration of 1,850 mg/L as COD and 30
395 mg-N/L as ammonium. The COD concentration was selected in order to maintain “fed”
396 conditions throughout a significant portion of the batch experiment. After serial dilution,
397 triplicate flasks of 200 mL of the 10^{-2} , 10^{-4} , and 10^{-7} dilutions were allowed to regrow
398 (approximately 14 hours) in an incubator-shaker at 20°C. Regrowth was performed to allow each
399 of the dilution cultures to reach a similar abundance and biomass was pelleted and resuspended
400 in fresh SSM prior adding the pharmaceutical compounds and initiating the biotransformation
401 batch experiments.

402 ***Pharmaceutical biotransformation batch experiments***

403 The compounds selected for investigation in this study included: atenolol, EE2,
404 trimethoprim, venlafaxine, carbamazepine, glyburide, and erythromycin (the compound selection
405 process and additional information about each compound is provided in Section 1.2 of the
406 Supporting Information). Batch reactor experiments were initiated after the 14-hour regrowth
407 period by pelleting the biomass via centrifugation and transferring the re-suspended dilution
408 cultures into 500 mL bottles containing SSM and pharmaceuticals at a target initial concentration
409 of 10 $\mu\text{g/L}$ each. The bottles were prepared by first drying methanol stocks containing a mixture
410 of the compounds. Once dry, the pharmaceuticals were resuspended in SSM by stirring for one
411 hour. At each dilution level (10^{-2} , 10^{-4} , 10^{-7}) triplicate batch reactors with a 350 mL starting
412 volume were prepared. A control batch reactor was also prepared with a mixture of the biomass
413 from each dilution level inactivated with sodium azide (0.2 % w/v) (Kim *et al.*, 2005). Every 24
414 hours, an additional 2 mL of 100 g/L sodium azide stock solution was added to the control batch
415 reactor to maintain abiotic conditions. Beginning and endpoint 20-mL samples were collected
416 from each batch reactor corresponding to time points of 30 minutes and 4 days after initiation,
417 respectively, for pharmaceutical quantification. After collection, samples were spiked with

418 deuterated analogs of the target compounds to achieve a target concentration of 2.5 $\mu\text{g/L}$ each,
419 filtered through a 0.3 μm glass fiber filter (Sterlitech, Kent, WA), and stored at 4°C until analysis
420 (less than 24h after collection). 10 mL samples were collected at time points of approximately 30
421 min, 4h, 8h, 12h, 24h, and 48h and filtered through 0.3 μm glass fiber filter (Sterlitech) to
422 determine dissolved organic carbon concentrations (TOC Analyzer, Shimadzu, Kyoto, Japan).
423 Total and volatile suspended solids (TSS/VSS) concentrations were determined according to
424 Standard Methods (2005) at the beginning and end of the experiment (96 hours) for each batch
425 reactor (Table S5). The average volatile suspended solids concentration between the beginning
426 and endpoint was used to normalize all carbon oxidation rate and pharmaceutical transformation
427 extent data (calculation details are provided in Section 2.2 of the Supporting Information).
428 Transformation extents were also normalized by the amount of time elapsed between the initial
429 and final sample collections.

430 Pharmaceutical concentrations were determined via on-line pre-concentration followed
431 by high performance liquid chromatography and high resolution mass spectrometry (details in
432 Section 1.3 of the Supporting Information). Background concentrations of pharmaceuticals were
433 considered negligible as compared to the spiked concentrations based on reported values in
434 wastewater influents (e.g. Joss *et al.*, 2005; Nakada *et al.*, 2006) and previous characterization of
435 Ann Arbor WWTP influent (Stadler *et al.*, 2014). Quantification was performed using a matrix-
436 matched calibration curve (Figure S1). Pharmaceutical biotransformation extents and percent
437 loss for each compound were calculated based on the change between the initial and final
438 samples.

439 ***DNA and RNA sample collection, library preparation, and sequencing***

440 Duplicate 15 mL samples from each batch reactor were collected for DNA analysis from
441 each of the triplicate dilution cultures between 4h20min and 5h40min after initiating the batch
442 experiments. The biomass was pelleted via centrifugation at 4°C and 6,200 x g for 5 minutes, the
443 supernatant was discarded, and the pellet was stored at -80°C until DNA extraction. Duplicate 15
444 mL samples were collected for RNA analysis from each of the triplicate dilution cultures
445 between 5h30min and 7h50min after initiating the batch experiments to get a representative
446 sample of microbial activity at a time when residual organic carbon and pharmaceutical
447 concentrations were detectable. The biomass was pelleted via centrifugation at 4°C and 6,200 x g

448 for 5 minutes, the supernatant was discarded, and the pellet was re-suspended in 2 mL of
449 RNALater (Qiagen, Valencia, CA) and stored at -80°C until RNA extraction.

450 DNA and RNA extraction were performed as described in the Supporting Information
451 (Section 1.4). Amplicon sequencing of the 16S rRNA gene and cDNA were performed on
452 Illumina MiSeq (Illumina Inc., San Diego, CA) using universal primers F515 (5'-
453 GTGCCAGCMGCCGCGGTAA-3') and R806 (5'- GGACTACHVGGGTWTCTAAT-3') for
454 bacteria and archaea targeting the V4 region of the 16S rRNA gene (Kozich *et al.*, 2013). DNA
455 samples were prepared for shotgun metagenomic sequencing at the University of Michigan DNA
456 Sequencing Core (details provided in Section 1.5 of the Supporting Information) and sequenced
457 on a 100-cycle paired end run on a HiSeq 2500 (Illumina). RNA samples were prepared for
458 shotgun metatranscriptomic sequencing by first enriching the mRNA from the total RNA
459 extracts using the MICROBExpress Bacterial mRNA Enrichment Kit (Invitrogen, Carlsbad, CA)
460 based on previous studies (He *et al.*, 2010; Mettel *et al.*, 2010). Individual libraries were
461 prepared for each sample as for the DNA samples and the samples were multiplexed using
462 sample-specific adaptors on a single lane of a HiSeq Flow Cell (Illumina). Sequencing analysis
463 procedures, parameters, and subsampling depths are provided in Section 1.6 of the Supporting
464 Information.

465 *Statistical analyses*

466 Differences in pharmaceutical biotransformation extents and taxonomic and functional
467 diversity measurements between the dilution conditions were tested with ANOVA. In addition,
468 post-hoc analysis using two-sided *t* tests were used to perform pairwise tests of
469 biotransformation extents and pairwise tests of taxonomic and functional diversity measurements
470 between the different dilution conditions. Bonferroni corrections were used to account for
471 multiple comparisons in the post-hoc analyses (Abdi, 2007). We also assessed associations
472 between the collective extents of pharmaceutical biotransformation and biodiversity by scaling
473 each compound's normalized extent of loss (mean of 0, standard deviation of 1) (Zavaleta *et al.*,
474 2010). Two-sided Spearman rank correlation was used to test associations between biodiversity
475 measurements and pharmaceutical biotransformation extents. Metatranscriptomic mapped reads
476 were analyzed using the Bioconductor DESeq2 package based on the negative binomial model

477 (Love *et al.*, 2014). More information about the statistical analysis used is given in the
478 Supplementary Information (Section 1.7).

479 **Experimental Data**

480 Raw metagenomic and metatranscriptomic reads are publically available via MG-RAST (project
481 ID 12795). Raw 16S rRNA gene and transcript data is publically available via NCBI (project ID
482 PRJNA319442). Assembled metagenomes are available via the Joint Genome Institute (Taxon
483 Object ID 3300005080).

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488 School of Graduate Studies.

489 **Conflict of Interest**

490 The authors declare no conflict of interest.

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- 607

608 **Table and Figure Legends**

609 **Table 1.** Biodiversity indices based on 16S rRNA gene, 16S rRNA, metagenomic, and
610 metatranscriptomic sequencing of biomass from the dilution cultures. The same letter indicates
611 treatments without significant differences based on pairwise comparisons (*t* test, Bonferroni-
612 adjusted two-sided $P > 0.05$). Reported values are averages and standard deviations of triplicate
613 batches.

614 **Table 2.** Phylogenetic assignments of OTUs with relative activities that significantly associated
615 with pharmaceutical biotransformation extents.

616 **Figure 1.** Rarefaction plots for the dilution cultures based on 16S rRNA gene and 16S rRNA
617 sequencing (taxonomic) and metagenomic and metatranscriptomic sequencing (functional).
618 Dilution conditions are shown in black (10^{-2}), dark grey, dotted (10^{-4}) and light grey, dashed (10^{-7}).
619).

620 **Figure 2.** Average pharmaceutical loss (disappearance of the parent compound, $n=3$) normalized
621 to volatile suspended solids concentration for each dilution condition (black: 10^{-2} ; dark grey
622 hatch: 10^{-4} ; light grey: 10^{-7}). The asterisk (*) by the compound name indicates a significant
623 difference among the group means (ANOVA, $P < 0.05$). The same letters above the bars indicate
624 treatments without significant differences between biotransformation extent (*t* test, Bonferroni-
625 adjusted two-sided $P > 0.05$). Error bars represent standard deviations of triplicate batches.

626 **Figure 3.** Relationship between richness and pharmaceutical biotransformation extent. Left (A)
627 represents the functional richness, and right (B) represents taxonomic richness. Each diamond or
628 circle represents a different pharmaceutical compound. Open diamonds and circles represent
629 DNA-based richness and filled diamonds and circles represent RNA-based richness. The 10^{-7}
630 dilution condition (least diverse) is represented in light grey, the 10^{-4} condition is in grey, and
631 the 10^{-2} condition (most diverse) is in black. The average transformation extents across all
632 compounds are shown with a black line (DNA-based richness) and a cross (RNA-based
633 richness). Reported P -values and ρ (rho) values (Spearman rank correlation coefficients) are
634 based on a two-sided Spearman rank correlation test.

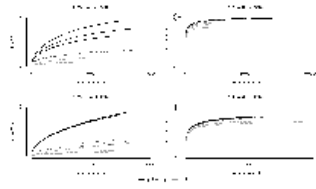
Table 1. Biodiversity indices based on 16S rRNA gene, 16S rRNA, metagenomic, and metatranscriptomic sequencing of biomass from the dilution cultures. The same letter indicates treatments without significant differences based on pairwise comparisons (t test, Bonferroni-adjusted two-sided $P > 0.05$). Reported values are averages and standard deviations of triplicate batches.

Biodiversity index	Dilution condition		
	10^{-2}	10^{-4}	10^{-7}
DNA			
Taxonomic richness (unique OTUs)	311 ± 63.0 ^A	123 ± 6.66 ^B	51.0 ± 20.8 ^C
Chao1 extrapolated taxonomic richness	358 ± 67.8 ^A	136 ± 3.75 ^B	64.1 ± 23.4 ^C
Shannon taxonomic diversity	2.67 ± 0.189 ^A	2.02 ± 0.0619 ^B	1.37 ± 0.0594 ^C
Pielou taxonomic evenness	1.05 ± 0.0547 ^A	0.944 ± 0.0239 ^{A,B}	0.773 ± 0.0902 ^B
Functional richness (unique functional genes)	4600 ± 45.0 ^A	4560 ± 27.0 ^A	4130 ± 221 ^A
Chao1 extrapolated functional richness	4760 ± 12.9 ^A	4720 ± 46.9 ^A	4240 ± 181 ^B
Shannon functional diversity	7.64 ± 0.0103 ^A	7.60 ± 0.00258 ^B	7.55 ± 0.00905 ^C
Pielou functional evenness	2.08 ± 0.00332 ^A	2.07 ± 0.00308 ^A	2.08 ± 0.00823 ^A
RNA			
Taxonomic richness (unique OTUs)	512 ± 9.54 ^A	190 ± 21.7 ^B	109 ± 35.9 ^B
Chao1 extrapolated taxonomic richness	983 ± 61.9 ^A	354 ± 31.7 ^B	208 ± 84.4 ^B
Shannon taxonomic diversity	2.71 ± 0.0407 ^A	1.95 ± 0.0406 ^B	1.62 ± 0.122 ^C
Pielou taxonomic evenness	0.906 ± 0.0211 ^A	0.767 ± 0.00654 ^B	0.710 ± 0.102 ^{A,B}
Functional richness (unique functional genes)	3930 ± 20.3 ^A	3820 ± 20.1 ^B	3420 ± 106 ^C
Chao1 extrapolated functional richness	4220 ± 24.1 ^A	4140 ± 53.6 ^A	3650 ± 115 ^B
Shannon functional diversity	6.51 ± 0.0590 ^A	6.56 ± 0.120 ^A	6.53 ± 0.0369 ^A
Pielou functional evenness	1.80 ± 0.0175 ^A	1.82 ± 0.0310 ^A	1.83 ± 0.00627 ^A

Table 2. Phylogenetic assignments of OTUs with relative activities that significantly associated with pharmaceutical biotransformation extents.

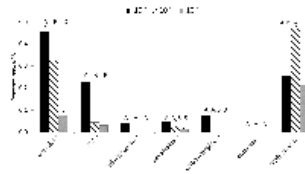
Phylum	Class	Order	Family	Genus	Compound(s)	Literature supporting role in biotransformation
Proteobacteria	Betaproteobacteria	Neisseriales	Neisseriaceae	Vogesella	atenolol, venlafaxine, collective	Pérez-Pantoja et al., 2010; Arroyo-Caraballo and Colon-Burgos, 2000
Bacteroidetes	Flavobacteriia	Flavobacteriales	Weeksellaceae	Cloacibacterium	atenolol, collective	Amorim et al., 2013; Allen et al., 2006
Proteobacteria	Betaproteobacteria	Burkholderiales	Comamonadaceae	Acidovorax	atenolol, venlafaxine	Martinková and Křen, 2010
Bacteroidetes	Flavobacteriia	Flavobacteriales	Weeksellaceae	Chryseobacterium	atenolol, venlafaxine	Helbling et al., 2015; Jobanputra et al., 2011; Takenaka et al., 2013
Bacteroidetes	Flavobacteriia	Flavobacteriales	Flavobacteriaceae	Flavobacterium	atenolol, venlafaxine, collective	Crawford and Mohn, 1985; Tweel et al., 1988

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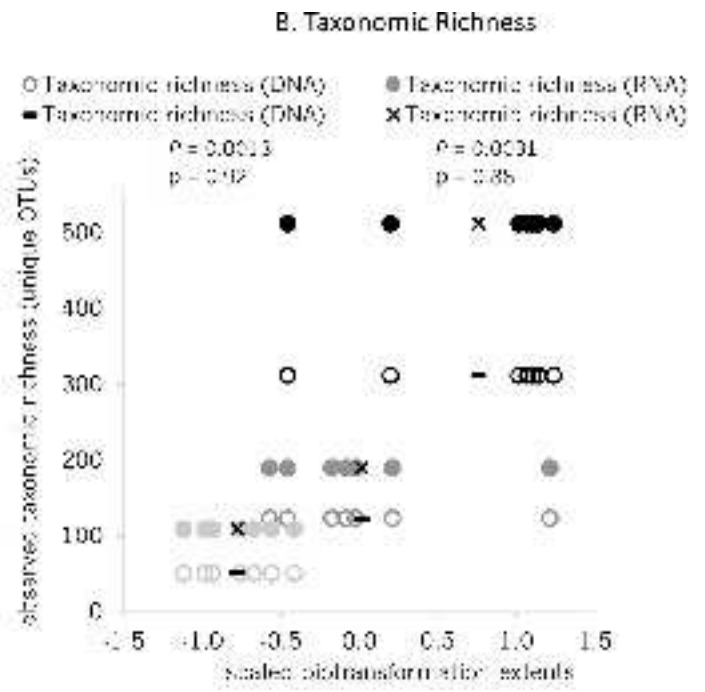
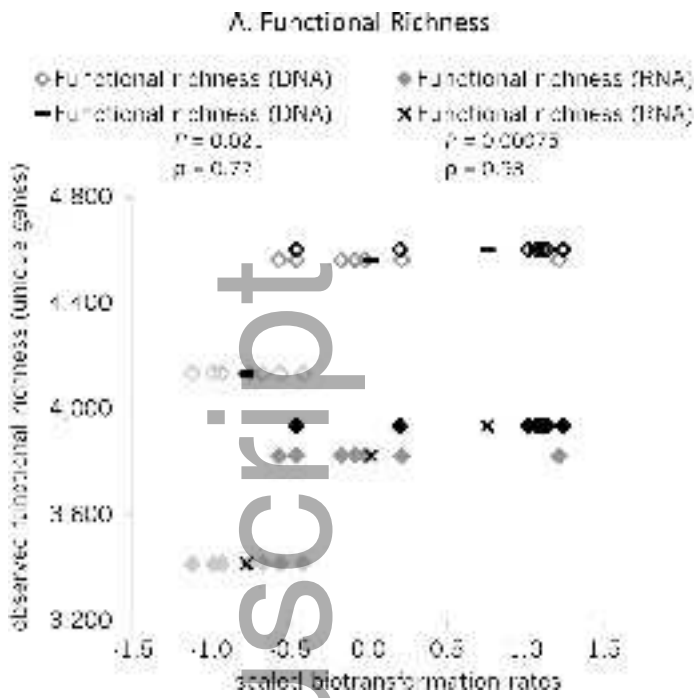


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