

Stepwise tailward retreat of magnetic reconnection: THEMIS observations of an auroral substorm

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Auroral stepwise poleward expansions were associated with reconnection stepwise tailward retreat.

This spatially stepwise association is consequence of magnetic flux pile-up.

The stepwise association resolved objections to the Hones poleward leap concept.

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D R A F T

Abstract. Auroral stepwise poleward expansions were clarified by investigating a multiple-onset substorm that occurred on 27 February 2009. Five successive auroral brightenings were identified in all-sky images, occurring at approximately 10 min intervals. The first brightening was a faint precursor. The second brightening had a wide longitude; thus, it represented the Akasofu substorm onset. Other brightenings expanded poleward; thus, they were interpreted to be auroral breakups. These breakups occurred stepwise; that is, later breakups were initiated at higher latitudes. Corresponding reconnection signatures were studied using Time History of Events and Macroscale Interactions during Substorms (THEMIS) satellite observations from between 8 and $24R_E$ down the magnetotail. The Akasofu substorm onset was not accompanied by a clear reconnection signature in the tail. In contrast, the three subsequent auroral breakups occurred simultaneously (within a few min) with three successive fast flows at $24R_E$; thus, these were interpreted to be associated with impulsive reconnection episodes. These three fast flows consisted of a tailward flow and two subsequent earthward flows. The flow reversal at the second breakup indicated that a tailward retreat of the near-Earth reconnection site occurred during the substorm expansion phase. In addition, the earthward flow at the third breakup was consistent with the classic tailward retreat near the end of the expansion phase; therefore, the tailward retreat is likely to have occurred in a stepwise manner. We interpreted the stepwise characteristics of the tailward retreat and poleward expansion to be potentially associated by a stepwise magnetic flux pile-up.

1. Introduction

39 Substorms are an explosive release of energy from the magnetotail into the polar iono-
40 sphere. *Akasofu* [1964] defined substorm onset as a sudden auroral brightening with a
41 wide longitude (i.e., “initial brightening”). This onset is followed by an auroral poleward
42 expansion (i.e., “auroral breakup”) and further auroral activations. However, how this
43 auroral sequence is spatially associated with disturbances in the magnetotail has remained
44 unclear.

45 The near-earth neutral line (NENL) model of substorms assumes that magnetic recon-
46 nections at around $20R_E$ down the tail are the dominant substorm mechanism [*Coppi*
47 *et al.*, 1966; *Atkinson*, 1966; *Hones et al.*, 1973; *Nishida and Nagayama*, 1973; *Russell and*
48 *McPherron*, 1973; *Hones*, 1976; *Baker et al.*, 1996; *Sergeev et al.*, 2012]. Reconnection-
49 associated fast plasma flows tend to be observed in the magnetotail near the time of sub-
50 storm onset [*Hones et al.*, 1984; *Moldwin and Hughes*, 1993; *Nagai et al.*, 1998; *Miyashita*
51 *et al.*, 2009; *Machida et al.*, 2014]. Such flows are almost always observed beyond $25R_E$
52 down the tail by spacecraft near the longitude of auroral breakup, indicating that mag-
53 netic reconnection in the tail is a necessary condition for substorm development [*Ieda*
54 *et al.*, 2008].

55 However, so far the NENL model has not well explained ionospheric disturbances, which
56 are typically more complex, especially during multiple-onset substorms. Auroras and
57 westward electrojet currents (WEJ) often include multiple onsets during the substorm
58 expansion phase [*Pytte et al.*, 1976a; *Rostoker et al.*, 1980]. In addition, auroral poleward
59 expansions sometimes occur stepwise; that is, they start at successively higher and higher

60 latitudes at approximately 10 min intervals [*Kisabeth and Rostoker, 1971, 1974; Wiens*
61 *and Rostoker, 1975; Sergeev and Yahnin, 1979; Aikio et al., 2006*]. It remains unclear in
62 the context of the NENL model how to understand such discrete events.

63 The key to understanding multiple onset substorms is the clarification of the tailward
64 retreat of the neutral line. Classically, the NENL does not move significantly during
65 the substorm expansion phase [*Nishida and Nagayama, 1973*], even with multiple-onsets
66 [*Pytte et al., 1976a*], but suddenly retreats tailward at the beginning of the substorm
67 recovery phase [*Hones et al., 1973; Baumjohann et al., 1999*].

68 *Hones et al. [1973]* associated such a sudden tailward retreat with an auroral jump into
69 the polar cap as follows. By the classical definition, the WEJ starts to subside at auroral
70 latitudes ($\sim 65 - 70$ degrees in magnetic latitude; MLAT) around the beginning of the
71 substorm recovery phase. Around this time, *Hones et al. [1973]* observed that the WEJ
72 begins to develop at polar cap latitudes (~ 74 MLAT). They termed this phenomenon
73 the "poleward leap" of the principal current of the auroral WEJ. This poleward leap was
74 interpreted as the ionospheric signature of the tailward retreat of the neutral line [*Hones*
75 *et al., 1973; Hones, 1979, 1992*]. This poleward leap concept completes the ionospheric
76 aspects of the classic NENL model of substorm. In other words, the classic NENL model
77 predicts two auroral breakups, one corresponds to the substorm onset and the other to the
78 poleward leap (i.e., tailward retreat); although, the latter feature has not been appreciated
79 in later studies. Note that the classic NENL model includes only one "poleward leap".

80 However, sometimes more than two discrete poleward expansions of WEJ and auro-
81 ras are observed in the ionosphere during substorms [e.g., *Kisabeth and Rostoker, 1971;*
82 *Sergeev and Yahnin, 1979*]. For this and other reasons, the poleward leap concept has

83 been rejected [e.g., *Rostoker*, 1986; *Craven and Frank*, 1987; *Opgenoorth et al.*, 1994; *El-*
84 *phinstone et al.*, 1996; *Mende et al.*, 1999]. Thus, the NENL model has not been successful
85 in explaining ionospheric disturbances, especially during multiple-onset substorms.

86 The purpose of this study was to clarify reconnection signatures corresponding to step-
87 wise poleward expansions. We studied a multiple-onset substorm with five major bright-
88 enings using satellite and ground-based observations. The results indicate that stepwise
89 poleward expansion is associated with stepwise tailward retreat that starts during the
90 substorm expansion phase. This finding advances the poleward leap concept by allowing
91 stepwise retreat in order to explain more than two breakups even when such breakups
92 start at successively higher and higher latitudes. We further interpreted this spatial asso-
93 ciation as due to stepwise magnetic flux pile-up near the Earth. Such stepwise tailward
94 retreat is probably evident only when auroral poleward expansions are stepwise.

2. Data set

2.1. THEMIS Satellites

95 The primary data for this study were collected by the Time History of Events and
96 Macroscale Interactions during Substorms (THEMIS) mission [*Angelopoulos*, 2008], in-
97 cluding both satellite and ground-based observations. The five identical THEMIS satel-
98 lites were launched on 17 February 2007: TH1, TH2, TH3, TH4, and TH5. We used
99 spin-resolution (~ 3 sec) magnetic field and plasma data. The magnetic field data were
100 from the THEMIS flux gate magnetometer (FGM) [*Auster et al.*, 2008]. Ions and electrons
101 were measured by the top-hat electrostatic analyzer (ESA) [*McFadden et al.*, 2008] and
102 the solid state telescope (SST) [*Angelopoulos*, 2008]. The ESA measures thermal particles
103 from 5 to 25 keV (ions) and up to 32 keV (electrons). The SST measures energetic parti-

cles from 25 keV to 6 MeV (ions) and up to 1 MeV (electrons). The ion velocity moments
were calculated by merging ESA and SST data. The electron pressure was calculated
from ESA electron data.

2.2. Satellite Locations and Coordinates

The aberrated geocentric solar magnetospheric (AGSM) coordinate system was adopted
with an angle of 4° for satellite locations (Figure 1) and data. The Z locations were
also calculated relative to a neutral sheet model [Tsyganenko and Fairfield, 2004]. The
magnetic latitude in degrees (MLAT) and the magnetic local time in hours (MLT) were
calculated in the modified magnetic apex coordinates [Richmond, 1995] for a reference
altitude of 110 km.

The magnetic foot point at 110 km altitude was calculated for the satellites by tracing
a geomagnetic field line using the Tsyganenko 96 (T96) [Tsyganenko and Stern, 1996]
and IGRF-11 [Finlay et al., 2010] models. The T96 input parameters included solar wind
data (the dynamic pressure, B_y , and B_z) and the SYM-H index [Iyemori, 1990], obtained
from the Operating Missions as Nodes on the Internet (OMNI) [King and Papitashvili,
2005] 1-min data. We used SYM-H instead of the Dst index (1 hour resolution) because of
its superior time resolution. We used these parameters after calculating 1-hour backward
running averages from 60 min before the time of interest.

2.3. All-sky Imager and Ground Magnetometer

Ionospheric signatures were obtained by the THEMIS Ground-Based Observatories
(GBO) [Mende et al., 2008]. GBO consists of about 20 white-light all-sky imagers (3 sec
resolution) [Donovan et al., 2006] and magnetometers (0.5 sec resolution) [Russell et al.,

124 2008] deployed near the auroral zone of the North American continent and Greenland. We
125 also used magnetometers operated by the Technical University of Denmark (DTU), Cana-
126 dian Array for Realtime Investigations of Magnetic Activity (CARISMA), Magnetometer
127 Array for Cusp and Cleft Studies (MACCS), Geophysical Survey of Canada (GSC), and
128 the United States Geological Survey (USGS).

129 We used two ground stations imagers: Narsarsuaq (NRSQ, 65.4 MLAT, 61.2°N,
130 314.6°E) in southern Greenland and Sanikiluaq (SNKQ, 66.1 MLAT, 56.5°N, 280.8°E)
131 in eastern Canada (Figure 2). Auroral images from Kuujuaq (KUJ) in eastern Canada
132 were not used owing to cloud cover.

3. Observations

3.1. All-sky Images and Keogram

133 Figures 3 and 4 show five auroral brightenings, which started at 0213:36, 0219:36,
134 0225:00, 0237:21, and 0245:21 UT. Brightenings were visually identified using the original
135 3-sec resolution images, with subjective accuracy to approximately 9–15 seconds.

3.1.1. Precursory Brightening and Akasofu Initial Brightening

137 The first brightening was initiated at 0213:36 UT at [23.5 MLT, 66.0 MLAT] (Figures
138 3a and 4c), but at this point was faint and difficult to identify without comparison with
139 other images. Subsequently, the brightening expanded westward and spanned between
140 23.0 and 23.6 MLT 2 min later (Figure 3b), when auroras were at their brightest. Since
141 this brightening was relatively weak and subsequently faded (Figure 3c) within a few
142 minutes, we classified it as a precursory brightening.

143 The second brightening, which was initiated at 0219:36 UT (6 min after the first bright-
144 ening) at [23.0 MLT, 66.0 MLAT] (Figures 3d and 4d), quickly expanded longitudinally,

145 spanning approximately 22.6–23.4 MLT 1 min later (Figure 3e), and approximately 21.9–
146 23.4 MLT 2 min later (Figure 3f). Since this brightening occurred nearly simultaneously
147 (within a few minutes) across a wide longitude, we interpreted it to be the “initial bright-
148 ening”, used to define the substorm onset by *Akasofu* [1964].

149 *Akasofu* [1964] showed that a substorm expansion phase onset is defined by two stages:
150 a sudden brightening wide in longitude (0–5 min after onset) and poleward expansion
151 (5–10 min after onset). This sudden brightening (substorm onset in *Akasofu* [1964]) is
152 traditionally referred to as the initial brightening; however, it is not necessarily the first
153 observed brightening in an event. We refer to this initial brightening as the Akasofu initial
154 brightening to avoid confusion with the first brightening.

155 Such wide brightening may exhibit bead-like longitudinally separated structures; how-
156 ever, in this case these were not clear, possibly because the camera line-of-sight directions
157 to the brightening were parallel to the brightening arc. Alternatively, auroral beads may
158 not always be included in the Akasofu initial brightening.

159 **3.1.2. Auroral Breakups**

160 The third brightening occurred at 0225:00 UT (Figures 3h and 4c), 5 min after the
161 second brightening. This brightening was initiated at [23.5 MLT, 65.9 MLAT], and the
162 area west of this MLT brightened almost simultaneously (e.g., 23.0 MLT) within the period
163 of uncertainty for the identifications (\sim 9–15 sec). The aurora also expanded poleward
164 (Figures 3i–k); thus, this brightening was classified as an auroral breakup. The poleward
165 edge of the auroras expanded poleward to 69.3 MLAT, and subsequently returned to 68.5
166 MLAT, where they faded at 0236:00 UT (Figure 4c).

167 The fourth brightening occurred at 0237:21 UT (Figures 3l and 4a), 12 min after the
168 previous brightening. It was initiated at [0.3 MLT, 67.2 MLAT], and rapidly expanded
169 westward to reach TH3 (23.5 MLT) within 1 min (Figure 3m). This brightening also
170 expanded poleward (Figure 3n); thus, it was also classified as a breakup in this study.
171 During the westward expansion of this brightening, corresponding brightenings were ob-
172 served locally at 68.1 MLAT at 23.9 MLT (Figure 4b), and at 68.5 MLAT at 23.5 MLT
173 (Figure 4c) within 1 min. These three initiation latitudes were within ~ 0.2 degrees of
174 the end-time latitude of poleward edge of the previous breakup (Figures 4a–c), and were
175 1.1–2.6 degrees higher than the start-time latitude of the previous breakup, depending on
176 the MLTs.

177 The fifth brightening occurred at 0245:21 UT (Figures 3o and 4b), 8 min after the
178 previous one. This brightening was initiated at about [23.9 MLT, 68.5 MLAT], but also
179 spanned a wide range of longitudes, at least 23.2–1.5 MLT within 1 min (Figure 3p),
180 starting at 68.0 MLAT at 0.3 MLT (Figure 4a), and at 69.1 MLAT at 23.5 MLT (Figure
181 4b). These brightenings also expanded poleward (Figures 3q and 3r) and thus were
182 considered to represent breakup in this study. This third breakup started within ~ 0.1
183 degrees of the end-time latitude of the poleward edge of the previous breakup, and was
184 0.4–0.8 degrees higher than the start-time latitude of the previous breakup, depending on
185 MLTs. This third breakup included an auroral activation at 72 MLAT (Figure 3r), which
186 was presumably close to the polar cap boundary.

187 In summary, auroral breakups repeated at approximately 10 min intervals. The next
188 breakup tended to occur near the end-time poleward edge of the previous breakup. In

189 other words, later breakups were initiated at higher latitudes; that is, auroral breakups
190 occurred stepwise.

3.2. THEMIS Satellite Observations

191 Figures 5–9 show THEMIS satellite observations of the magnetotail. In summary, the
192 THEMIS satellite observations showed that the tail reconnections did not correspond to
193 the Akasofu initial brightening but to the auroral breakups. A flow reversal was observed
194 at the second breakup before the third breakup, indicating that the tailward retreat of
195 the neutral line was initiated during the substorm expansion phase.

196 All five THEMIS satellites typically stayed within the plasma sheet, since the plasma
197 beta was typically greater than 0.1 for all satellites, including TH1 (Figure 5), TH2 (Figure
198 6a), TH3 (Figure 6b), TH4 (Figure 7a), and TH5 (Figure 7b). TH1 and TH4 tended to
199 remain located in the central plasma sheet (CPS), deep within the plasma sheet, while
200 TH2 and TH5 were often located at the plasma sheet boundary layer (PSBL), close to
201 the tail lobe.

3.2.1. Precursory Brightening (0213:36 UT, 23.5 MLT)

203 At the initiation of the precursory brightening, no fast flow was observed by the five
204 THEMIS satellites (Figure 8a). TH5 (Figure 7b, 23.0 MLT, $X = -8R_E$) was located
205 near the lobe and observed a quasi-periodic (~ 3 min) oscillation in the magnetic field,
206 predominantly in the Y component, with an amplitude of about 2 nT. This magnetic
207 oscillation started at 0213 UT and continued for at least three cycles until 0225 UT, when
208 the first breakup was initiated. The plasma flow also oscillated predominantly in the Y
209 component with an amplitude of 20 km/s (too low to see in Figure 7b).

210 Magnetic oscillations in the tail are sometimes suggested to manifest as ballooning mode
 211 instability in association with substorm onset [*Cheng and Lui, 1998; Saito et al., 2008*].
 212 The magnetic oscillations in the tail (Figure 7b) were accompanied by the precursory
 213 brightening at 0213:36 UT; thus, the start of magnetic oscillations do not necessarily
 214 mark a substorm onset.

215 3.2.2. Akasofu Initial Brightening (0219:36 UT, 23.0 MLT)

216 The longitudes of the second brightening (i.e., “Akasofu initial brightening”) were close
 217 to the foot points of TH4 (22.9 MLT) and TH5 (23.0 MLT). However, neither satellite,
 218 located at $X = -8.2R_E$, observed significant flows or dipolarizations (Figure 8). In
 219 particular, TH4 observed no plasma flow, although TH4 was located deep inside the CPS,
 220 as seen in the high (> 10) plasma beta (Figure 7a). In addition, no flow was observed
 221 at the TH3 location (23.4 MLT, $X = -9.6R_E$) either. Therefore, it is likely that no
 222 convective earthward fast flows occurred in the plasma sheet near the Akasofu initial
 223 brightening (23.0 MLT).

224 TH2 (Figure 6a, 23.9 MLT, $X = -19R_E$) and TH1 (Figure 5, 23.8 MLT, $X = -24R_E$)
 225 were located ~ 0.5 MLT hours east from the eastern edge of the Akasofu initial brighten-
 226 ing (21.9–23.4 MLT); thus, making it marginally possible for these two satellites to detect
 227 possible flows because the flow center is typically displaced 0.4 hour east from the bright-
 228 ening [*Nakamura et al., 2001*]. TH2 was located in the PSBL and observed an earthward
 229 flow at about 0218:08 UT, 1.5 min before the Akasofu initial brightening. However, this
 230 precursor earthward flow was slow (peak $V_x = 133$ km/s) and was parallel to the mag-
 231 netic field. Moreover, TH1 observed no flows, despite being located deep within the CPS.

232 Therefore, it is likely that the slow earthward flow observed by TH2 was not associated
233 with developed NENL or with the distant neutral line.

234 The implication of the slow precursor earthward flow at $X = -19R_E$ is unknown, but
235 a statistical study also suggested earthward flows in the plasma sheet inside $20 R_E$ down
236 the tail, occurring a few minutes prior to tailward flows further down the tail [*Machida*
237 *et al.*, 2014]. These precursor earthward flows may be associated with the initial stage of
238 reconnection. A stage of weak reconnection is expected prior to its major development
239 [e.g., *Nishida et al.*, 1986; *Russell*, 2000; *Pu et al.*, 2010]. Alternatively, the precursor
240 earthward flow may have been associated with possible localized plasma loss and resultant
241 plasma sheet thinning further down the tail. An enhancement in V_y from 20 km/s (0213
242 UT) to 90 km/s (0225 UT) observed by TH1 ($X = -24R_E$) may indicate an enhancement
243 of the diamagnetic current caused by the thinning. A precursor earthward flow is suggested
244 to be associated with plasma sheet thinning just prior to a major reconnection also in
245 kinetic simulations [*Sitnov et al.*, 2014; *Liu et al.*, 2014].

246 Three enhancements in the earthward-going ions were observed by the ESA instrument
247 on TH2 (Figure 9a). The second enhancement occurred just before the Akasofu initial
248 brightening and corresponded to the slow earthward flow at about 0218:08 UT, where
249 tailward-going ions lowered the flow speed in the velocity moment. The first enhancement
250 may be associated with the precursory brightening; although corresponding enhancement
251 was barely visible in V_x (Figure 6a; Figure 8). Thus, precursor brightenings may be
252 associated with plasma transportation even when plasma flow is not evident in the velocity
253 moments. In summary, reconnection was not developed or quite localized around the times
254 of the Akasofu initial brightening.

3.2.3. First Breakup (0225:00 UT, 23.5 MLT)

TH1 ($X = -24R_E$) observed a tailward flow at 0226:27 UT at 23.9 MLT, followed by a southward magnetic field (Figure 5). These signatures indicated that a reconnection occurred on the earthward side of TH1 within a few minutes of the first breakup. Since V_y decreased slightly during the tailward flow, TH1 was likely located somewhat dawnside of the reconnection center [Ieda *et al.*, 1998].

At about the same time, TH4 (0226:25 UT, 23.0 MLT) and TH5 (0225:42 UT, 23.1 MLT) observed fast (> 300 km/s) earthward flows that support this reconnection at the first breakup. TH4 and TH5 further observed dipolarization at about 0226:50 UT. A few minutes later, very fast earthward flow (1200 km/s) was observed by TH4 (start: 0230:46 UT, peak: 1300 km/s at 0231:16 UT) and TH5 (start: 0231:52 UT, peak 830 km/s at 0232:01 UT). These later flows were simultaneous with a further auroral activation at 0231 UT near 68.2 MLAT (Figure 4c).

TH3 also observed earthward flow at about 0225:00 UT; however, the flow (95 km/s at peak) was slower and less clear than would be expected given the nominal closeness of TH3 (23.5 MLT) to the breakup location (23.5 MLT). TH3 subsequently observed a tailward flow with a dipolarization at 0229:11 UT. This tailward flow would be a return flow of a possible earthward flow with its center somewhat duskside of the TH3 location.

TH2 did not observe flows, presumably because it was located near the tail lobe, but it did observe a decrease in the total pressure, beginning around 0224:58 UT, suggesting that it was located near the reconnection XY location [Miyashita *et al.*, 2009]. In summary, the first breakup was consistent with the formation of a neutral line.

3.2.4. Second Breakup (0237:21 UT, 0.3 MLT)

278 TH1 (23.9 MLT) observed a reversal of flow direction from tailward to earthward at
279 0236:09 UT, with an enhancement of the northward magnetic field, corresponding to the
280 second breakup (Figure 3l). This flow reversal was consistent with the tailward motion
281 of an NENL over a satellite (Figure 10). Tailward motion is classically supposed to start
282 at the beginning of the recovery phase [*Hones et al.*, 1973; *Baumjohann et al.*, 1999]. In
283 contrast, for this event the tailward motion started during the expansion phase. The flow
284 reversal coincided with a breakup, suggesting that this flow reversal did not represent
285 a quasi-static moving local spatial structure, but rather a global temporal change. In
286 previous studies, the tailward motion of the NENL has been inferred to be approximately
287 $1 R_E/\text{min}$ [*Russell and McPherron*, 1973; *Baker et al.*, 2002; *Imada et al.*, 2007; *Nagai*
288 *et al.*, 2011; *Alexandrova et al.*, 2015]. In contrast, TH2 (23.9 MLT), which was located
289 $5R_E$ earthward of TH1, observed a similar earthward flow at 0237:08 UT, 1 min later
290 than the TH1 observation. Since the earthward flow was observed nearly simultaneously
291 (within 1 min) between the $5R_E$ separated satellites, and even the inner satellite (TH2)
292 observation was slightly later, this flow reversal is not likely to indicate the motion of a
293 single X-line but rather the creation of a new X-line tailward of the TH1 location. TH2
294 observed a moderate dipolarization around 0239:45 UT, suggesting that the magnetic
295 pileup front (outer edge of the dipolar field region) moved to around the TH2 location
296 ($X = -19R_E$) 4 min after the flow reversal at the TH1 location ($X = -24R_E$).

297 TH3, TH4, and TH5 (at 23.5, 23.0, and 23.1 MLT, respectively) observed slow earthward
298 flow at about 0239:15 UT, presumably corresponding to the arrival of westward expanding
299 auroras. It is likely that these flows were slowed down inside the dipolarized region. These
300 earthward flows were followed by tailward flows, which may indicate flow rebound. The

301 flows oscillated on a time scale of 2 min at each of these three satellites. In summary,
302 the NENL suddenly jumped tailward at the second breakup (i.e., during the expansion
303 phase).

304 **3.2.5. Third Breakup (0245:21 UT, 23.9 MLT)**

305 TH1 (23.9 MLT) observed an earthward flow at 0245:18 UT, at approximately the
306 time of the third breakup, suggesting that another reconnection was likely initiated. The
307 earthward flow became further enhanced at 0247:35 UT, which may have been associated
308 with the further poleward expansion beginning at approximately 0250:12 UT (Figure 4b)
309 at least up to ~ 72 MLAT in a few min (Figure 4a). Since this latitude is presumably
310 near the polar cap boundary, the third breakup with an earthward flow is consistent with
311 the poleward leap phenomenon [*Hones et al.*, 1973].

312 TH2 (23.9 MLT) observed several earthward flows between 0243 and 0250 UT. Although
313 it is difficult to conclude a one-to-one correspondence between the flows observed by TH2
314 and auroras, these flows appeared to be activated in association with the third breakup.

315 The flow oscillations observed by the TH3 (23.6 MLT), TH4 (23.1 MLT), and TH5 (23.2
316 MLT) satellites, which were initiated at the time of the second breakup, continued on a
317 time scale of 2 min. Enhancements of earthward flow observed at around 0246:58 UT
318 by TH3 may have been associated with the third breakup, but this conclusion remains
319 speculative. In summary, the TH1 observation of an earthward flow indicated a new
320 reconnection at the time of the third breakup, with the observations from other satellites
321 not inconsistent with the new reconnection.

3.3. Solar Wind and Ground Magnetic Field

322 The north–south component of the interplanetary magnetic field (IMF) was northward
323 from 0119 UT (about 1 hour prior to the first brightening) to 0300 UT, with a mean value
324 of 4 nT (Figure 11). The solar wind speed (about 440 km/s), plasma density (11 /cm^3),
325 and dynamic pressure (4 nPa) were relatively high and stable during the 3-hour period.

326 The AL index started to develop, albeit weakly, at 0228 UT (Figure 11), 3 min after the
327 first breakup. The first peak reached -53 nT at 0233 UT, while the second peak reached
328 -64 nT at 0250 UT. The SYM-H index [Iyemori, 1990] was positive, which is consistent
329 with the high solar wind dynamic pressure.

330 Figure 12 shows variations in the northward (X), eastward (Y), and downward (Z)
331 components of the ground magnetic field in geomagnetic coordinates. The precursory
332 brightening (0213:36 UT) and the Akasofu initial brightening (0219:36 UT) occurred be-
333 tween KUUJ and NRSQ (Figure 3), but the corresponding magnetic bays were not evident
334 in the ground-based magnetic observations from eastern Canada and southern Greenland
335 (Figure 12). The first (0225:00 UT) and the second (0237:21 UT) breakups were accom-
336 panied by negative X (WEJ) at NRSQ, although the magnitude of the WEJ was weak
337 ($< 100 \text{ nT}$). The bright auroral activity accompanying these breakups predominantly oc-
338 curred in the latitude range between NRSQ (65.4 MLAT) and SKT (71.1 MLAT; Figure
339 3). In this region, magnetic bays were expected to be somewhat stronger; however, there
340 was no geomagnetic observatory at this location and AMK was outside the eastern area
341 of the active auroral area.

342 At AMK (68.6 MLAT, 0.9 MLT), a negative X with negligible Z was detected, sug-
343 gesting that the WEJ associated with the third breakup (which occurred at 0245:21 UT)

344 started roughly around this latitude. At about the same time, a positive Z followed by
345 a negative Z and then a negative Z was observed at station SKT (71.1 MLAT, 23.8
346 MLT), indicating that a WEJ was initiated at latitudes lower than SKT and then moved
347 poleward over SKT. This poleward motion was consistent with observations of positive Z
348 (0250 UT) and then negative X (0252 UT) at station STF (72.3 MLAT). This poleward
349 shift over SKT and toward STF was also seen in the auroral images (Figures 3q and
350 3r). The results suggest that the WEJ center was initiated at approximately 69 MLAT
351 and moved to approximately 71–72 MLAT, which is presumably close to the polar cap
352 boundary. At station IQA (71.9 MLAT, 22.7 MLT), a negative X was observed 2 min
353 after the third breakup (at 0248 UT), followed by a peak X of -300 nT. This peak was the
354 strongest observed among all observatories during this event, and station IQA probably
355 detected a westward traveling surge. The observation of maximum WEJ at a relatively
356 high latitude (71.9 MLAT) for a substorm during northward IMF is consistent with the
357 results of *Kamide and Akasofu* [1974].

358 Pi2 range (40–150 s) magnetic pulsations were observed by a low-latitude (26.9 MLAT)
359 station at San Juan (SJG) (Figure 12). The pulsations were not evident around the times
360 of the precursory (0213:36 UT) and Akasofu initial brightenings (0219:36 UT); although, a
361 weak pulsation could be identified at 0216 UT. In contrast, significant Pi2 pulsations were
362 observed at 0228, 0240, and 0249 UT, a few minutes after each breakup. The amplitude
363 (not shown) of these three major Pi2 pulsations were 0.5, 0.3, and 0.2 nT, respectively, in
364 the wave index [*Nosé et al.*, 2012] at SJG (W_{SJG}), while the amplitude (not shown) was
365 lower than 0.07 nT before 0225 UT. The second and the third ground pulsations appeared

366 to be delayed by approximately 1 min after the plasma flow oscillations observed by TH3,
367 TH4, and TH5 (Figure 8).

4. Discussion

4.1. Interpretations of Stepwise Association

368 In this study, a tailward retreat was observed at the second breakup during the ex-
369 pansion phase. Since another tailward retreat was expected later at the beginning of the
370 recovery phase [Hones *et al.*, 1973], the NENL formation at the third breakup was prob-
371 ably associated with another tailward retreat. Thus, stepwise poleward expansion was
372 likely associated with stepwise tailward retreat. We interpreted this relationship to be an
373 indirect association, with both motions a consequence of the pile-up of magnetic flux in
374 the dipolar region (Figure 13).

4.1.1. Poleward Jump

375 The nightside magnetosphere generally has two regions: one with a dipole-like mag-
376 netic field geometry near the Earth, and the other with a stretched tail-like geometry.
377 When a NENL is formed (Figure 13a), an earthward flow is ejected and brakes at the
378 boundary between these two regions [Hesse and Birn, 1991; Shiokawa *et al.*, 1997]. As
379 the reconnection continues (Figure 13b), the earthward flow supplies the magnetic flux,
380 which piles-up at the dipole-tail boundary. This pile-up corresponds to the auroral pole-
381 ward expansion, forming an auroral bulge. The poleward edge of the expanding bulge
382 is supposed to map back to the dipole-tail (pile-up) boundary, which is shifting tailward
383 [Shiokawa *et al.*, 1998]. The boundary location depends on the shape of the mapping field
384 line [e.g., Chu *et al.*, 2015], but should at least move tailward over a satellite that observes
385 a dipolarization.
386

387 When a breakup decays (Figure 13c), the location of the dipole-tail boundary has been
388 shifted tailward. If a reconnection is quickly reactivated (Figure 13d), a new flow braking
389 occurs at this shifted location, so that the subsequent breakup is initiated at a latitude
390 near the previous final latitude of the poleward edge of the bulge. Since this latitude
391 is poleward of the latitude of the previous breakup onset, the breakup is observed as a
392 poleward jump (i.e., the later breakup starts at a higher latitude).

393 4.1.2. Stepwise Jumps

394 *Baumjohann et al.* [1999] deduced that the tailward shift of the pile-up front chokes the
395 earthward outflow from the NENL. As a consequence, the NENL should move tailward
396 due to the flux conservation requirement. They expected that the tailward retreat of
397 the NENL starts when the piled-up front reaches the NENL location, because the NENL
398 cannot operate in a dipolar field geometry. They used statistical methods to conclude that
399 this tailward retreat starts approximately 45 min after the substorm onset, presumably
400 at the beginning of the substorm recovery phase.

401 In contrast, the decay of the first breakup observed in this study suggests that the
402 tailward shift of the pile-up front may suppress earthward flow and the NENL during
403 the expansion phase (Figure 13c). If the pile-up region does not dissipate quickly, NENL
404 should move away to a distant location (Figure 13d) in order to reactivate. This reac-
405 tivation causes a repeat of the sequence, beginning with the next breakup (Figure 13d),
406 followed by the next poleward expansion (Figure 13e).

407 In summary, we propose that magnetic pile-up and the NENL interact during the expan-
408 sion phase. In our scenario, multiple poleward expansions are associated with multiple
409 reconnections through the multiple magnetic pile-up. The model presented illustrates

410 the case when the NENL reactivates quickly before the piled-up magnetic flux dissipates
411 (Figure 13). If the reactivation of NENL occurred relatively late, or piled-up magnetic
412 flux dissipated quickly, breakups and NENL formation would instead repeat at nearly the
413 same locations during the expansion phase.

4.2. Rediscovery of Poleward Leap and Update

414 Various auroral activations occur after a substorm onset. Among them, *Hones et al.*
415 [1973] emphasized that activation near the polar cap boundary (PCB) at the beginning
416 of the recovery phase (at auroral latitudes) is distinct, and termed it the poleward leap.
417 One objection to the poleward leap has been that there is no physical difference between
418 such an activation and preceding activations [e.g., *Rostoker*, 1986]. However, phenomena
419 similar to the poleward leap were independently reported as follows.

420 *Anger and Murphree* [1976] noticed that an auroral “bridge” forms when the auroral
421 bulge joins an arc near PCB. Similar forms were called “double oval” by *Elphinstone*
422 *et al.* [1993] and *Elphinstone et al.* [1995]. *Elphinstone et al.* [1996] stated that “the
423 double oval forms when the aurora locally reaches its most poleward extent. At this time
424 the aurora immediately equatorward within the bulge begins to fade”. This explanation
425 of the double oval formation is essentially the same as the definition of the poleward
426 leap phenomenon as “declining auroral zone currents, growing polar cap currents, and
427 a thickening plasma sheet” [*Hones*, 1986]. Therefore, we believe that the double oval
428 formation and the poleward leap are the same phenomenon. In contrast, *Elphinstone*
429 *et al.* [1996] rejected the poleward leap concept because they did not find motions of
430 auroras in their event, but they have not explained the reason why motions are expected
431 for the poleward leap. We guess from their context that they interpreted the poleward leap

432 concept as implying continuous poleward auroral motions, but such continuous motion
433 is not specifically required in the poleward leap concept [e.g., *Hones et al.*, 1973; *Hones*,
434 1986]. We believe that the emergence of new aurora at a higher latitude in the *Elphinstone*
435 *et al.* [1996] event does not reject but rather supports the poleward leap concept.

436 In addition, some substorm activations in previous studies [e.g., *Milan et al.*, 2006; *Naka-*
437 *mura et al.*, 2011; *Cao et al.*, 2012] are likely to represent the poleward leap phenomenon.
438 Since rediscovered, the poleward leap is likely distinct from preceding auroral activations.
439 Furthermore, the activation near the PCB sometimes occurs when the expanding aurora
440 contacts an arc along the PCB [e.g., *Kadokura et al.*, 2002; *Lyons et al.*, 2013]. This
441 contact suggests an interaction between the higher and lower latitude arc systems, which
442 may explain why the poleward leap is different from the preceding activations.

443 Since the poleward leap is revealed to be distinct, the classical NENL model predicts
444 two auroral poleward expansions (and one tailward retreat). In contrast, more than two
445 auroral activations are often evident [*Kisabeth and Rostoker*, 1974; *Wiens and Rostoker*,
446 1975; *Pytte et al.*, 1976a; *Rostoker et al.*, 1980]. Another objection to the poleward leap
447 phenomenon is that these total numbers do not match [e.g., *Rostoker*, 1986]. However,
448 tailward retreat is not necessarily the equatorial counterpart of the originally proposed
449 one-time auroral poleward leap at the beginning of the recovery phase, but can be a
450 stepwise phenomenon too, one that is initiated during the expansion phase, as shown in
451 this study. In other words, we are hereby updating the poleward leap concept to allow
452 stepwise tailward retreat in order to explain the observed stepwise poleward expansion.

4.3. IMF Dependences and Periodic Formation of NENL

453 *Angelopoulos et al.* [1996] suggested that the NENL moved tailward during the ex-
454 pansion phase, based on a multiple plasmoid event observed by the Geotail satellite at
455 $61R_E$ down the tail. Successive plasmoids indicate successive formation of NENLs. They
456 compared the duration of the leading and trailing parts inside plasmoids and noticed that
457 later plasmoids tended to have shorter durations in the leading part (northward B_z). Such
458 plasmoids were interpreted to be created by the NENL, relatively close to the satellite.
459 Based on this interpretation, they concluded that later NENLs formed at successively
460 more tailward locations that were closer to Geotail. This tailward motion was confirmed
461 by multi-satellite observations [*Angelopoulos et al.*, 2013]. In the present study, we found
462 that such tailward motion of the NENL was associated with stepwise auroral expansion.

463 In contrast, classically, the NENL does not move significantly during the substorm
464 expansion phase [*Nishida and Nagayama*, 1973]. This is the case even for multiple-onset
465 substorms as follows. *Ieda et al.* [2001] studied the association between plasmoid ejection
466 and auroral brightening. Plasmoids were often observed repeatedly on a time scale of 10
467 min. Since they came from the earthward side, the NENL should have stayed earthward of
468 the Geotail and within $30R_E$ down the tail. Thus, formation of a neutral line can repeat
469 without significant tailward retreat. *Pytte et al.* [1976a] investigated NENL locations
470 during multiple-onset substorms. Locations were inferred from plasma sheet thinning
471 and thickening, as observed by two satellites (Vela-4A at $18R_E$ down the tail and Ogo-5
472 between 10 and $17R_E$). They found that the NENL remained between the two satellites;
473 thus, it did not move significantly during the expansion phase, even with multiple-onsets.

474 Thus, NENL either moves tailward or stays during the expansion phase, presumably
475 depending on background conditions. The cause of the classic tailward retreat at the
476 beginning of the recovery phase is not well understood [e.g., *Oka et al.*, 2011], but it may
477 be a consequence of the excess reconnection rate in the NENL, as compared with that in
478 the dayside [*Russell and McPherron*, 1973; *McPherron*, 1991]. Thus, IMF B_z is expected
479 to be associated with the tailward retreat.

480 In the present event, IMF was northward. During northward IMF, dayside reconnection
481 and the return convection toward the dayside region are suppressed, thus nightside piled-
482 up magnetic field lines tend to be maintained. This may be the reason why the stepwise
483 characteristics of the poleward expansion and tailward retreat were pronounced in the
484 present event.

485 During southward IMF, piled-up magnetic flux dissipates and convects to the dayside.
486 Thus, expanded auroras return to lower latitudes [*Pytte et al.*, 1976b], at least to some
487 extent. Even in such circumstances, interactions between the piled-up region and the
488 NENL (similar to that in Figure 13) may be also possible, but new auroral activation and
489 NENL formation could repeat at nearly the same location. Since IMF is often southward
490 during the initial stage of substorms, NENL may appear to stay during the expansion
491 phase in a statistical sense.

492 Plasmoids are often observed quasi-periodically within a time scale of 10 min [*Slavin*
493 *et al.*, 1993, 2002; *Ieda et al.*, 2001]. This periodicity suggests a quasi-periodic formation
494 of the NENL, presumably as a consequence of the interaction between the pile-up region
495 and NENL, regardless of the IMF B_z polarity. An alternative interpretation of periodic

496 plasmoids is simultaneous reconnection at multiple X-lines [*Slavin et al.*, 2003] associated
497 with tearing instability [e.g., *Drake et al.*, 2006].

4.4. Same or new NENL

498 A satellite observation of a sequence of tailward then earthward flow is often interpreted
499 as the passage of a single NENL near satellites [e.g., *Ueno et al.*, 1999, 2003; *Nagai et al.*,
500 2005]. *Eastwood et al.* [2010] identified possible passages of the NENL using four Cluster
501 satellites within $20R_E$ down the tail. They identified 16 correlated field and flow reversal
502 events. Using the time delay in the B_z profile, they confirmed that most (15 of 16) events
503 were actually tailward passages of a single X-type neutral line, although the remaining
504 event was interpreted to indicate the existence of two X-lines [*Eastwood et al.*, 2005].

505 In contrast, *Angelopoulos et al.* [1996] suggested that flow reversal may indicate the
506 creation of a new NENL. They further inferred that multiple reconnection sites can co-
507 exist simultaneously, based on observations of counter-streaming energetic particles at
508 $61R_E$ down the tail.

509 In the present study, TH1 ($X = -24R_E$) observed a flow reversal at the second breakup.
510 TH2 was located $5R_E$ earthward of TH1 and observed an earthward flow 1 min later.
511 These observations suggest that flow reversal does not indicate the motion of a single
512 X-line, but in reality the creation of a new NENL. However, the delay may be explained
513 by the fact that TH2 was located closer to the tail lobe than TH1. Thus, the creation
514 of a new NENL is suggested by the results of this study, but cannot be fully confirmed.
515 It remains unclear whether flow reversals (i.e., tailward retreat) beyond $20R_E$ down the
516 tail actually represent smooth tailward motion of a single X-line, or the creation of new

517 reconnection sites. It may also be possible that new NENL are not strictly new, but rather
518 are intensifications of old single NENL after tailward relocation.

4.5. Full Substorms

519 In the present case, the third breakup was accompanied by an auroral activation (Figure
520 3r) and a WEJ (Figure 12) near the nominal PCB latitude, a reconnection earthward
521 flow (Figure 5), and energetic ions (Figure 9b). These signatures are consistent with
522 the poleward leap phenomenon [*Hones et al.*, 1973]. We suggest that the poleward leap
523 represents full substorm development in terms of the involvement of open magnetic field
524 lines in the ionosphere. Such full substorm development occurs well after the beginning
525 of lobe field reconnection.

4.5.1. Last Closed Field Line Myth

526 Full-substorms are often interpreted to be different from pseudo-substorms due to the
527 inclusion of the lobe reconnection. This interpretation is partly correct because huge en-
528 ergy dissipation should include the lobe reconnection. It is sometimes further interpreted
529 that the reconnection of the last closed field line marks the time of full substorm devel-
530 opment [e.g., *Russell and McPherron*, 1973; *Russell*, 2000]. However, this interpretation
531 is based on a two-dimensional view of the magnetic field lines and is not proven.

532 In contrast, there is evidence that the lobe reconnection is not a sufficient condition for
533 full substorms. *Ieda et al.* [2001] identified the lobe reconnection by the existence of post-
534 plasmoid flow and the magnetic field. They showed a case in which the lobe reconnection
535 did not correspond to a full-fledged breakup, but only to a spatially localized auroral
536 brightening. *Ohtani et al.* [2002] identified a lobe reconnection with very fast tailward
537 flow and reached a similar conclusion.

539 The last closed field line reconnection and plasmoid ejection are often supposed to
540 occur when the auroral expansion reaches the PCB [e.g., *Elphinstone et al.*, 1996; *Baker*
541 *et al.*, 1996]. However, plasmoid ejection almost always occurs within a few minutes
542 of auroral breakup [*Ieda et al.*, 2001, 2008], likely earlier than the arrival of poleward-
543 expanding aurora at PCB. Note that the NENL is not necessarily visible in aurora. For
544 example, breakup auroras do not directly map to the NENL at least at the beginning;
545 thus, the arrival of a poleward-expanding aurora at PCB does not necessarily correspond
546 to the initiation of lobe reconnection, but rather occurs later. Note also that the auroral
547 activation near PCB occurs at the time of poleward leap in the classic NENL model
548 [*Hones et al.*, 1973; *Hones*, 1976], simultaneously with the classical tailward retreat at the
549 beginning of the recovery phase (i.e., significantly later than plasmoid ejection).

550 Since we revealed the poleward leap phenomenon to be distinct from preceding auroral
551 activations, we propose to define the full substorm as the special class of substorm with
552 a poleward leap (i.e., the auroral poleward expansion into the polar cap). As discussed
553 above, this full expansion occurs later than the last closed field line reconnection. Ob-
554 servations of auroras indicate the interaction of the bulge with an arc near the PCB and
555 the formation of “bridge” (i.e., “double oval”) [*Anger and Murphree*, 1976; *Elphinstone*
556 *et al.*, 1993]. Thus, it would be reasonable to conclude that lobe reconnection spreads in
557 the dawn-dusk direction at this moment. When the pile-up front moves close to NENL,
558 earthward flow will be significantly blocked. To overcome the resultant suppression of
559 reconnection, the NENL may moves tailward significantly and spreads in the dawn-dusk
560 direction. These processes would be one possible understanding of the full substorm
561 sequence.

4.5.2. Energetic Particles

Hones et al. [1973] noticed that energetic particles are observed predominantly at the time of poleward leap and later. Thus, we recognize that the full substorm includes observations of energetic particles. It is not concluded whether or not the reconnection in the vicinity of an X-type region is a strong ion accelerator [e.g., *Birn et al.*, 2012]. *Baker et al.* [1979] postulated that energetic (> 0.3 MeV) protons were produced in the plasma sheet only at substorm onset because of large induced electric fields. They further concluded that energetic protons were observed during the recovery phase because of the expansion of the plasma sheet enveloping the observing spacecraft at $18 R_E$ down the tail.

In contrast, in the present study, TH1 ($X = -24R_E$) stayed in the plasma sheet throughout and observed energetic ions up to ~ 1 MeV after the third breakup (Figure 9b). Therefore, these ions were likely accelerated not at the substorm onset but later at the poleward leap (third breakup). Since TH2 ($X = -19R_E$) observed moderately similar ions, there appeared to be no further acceleration between 24 and $19 R_E$ down the tail. Therefore, these ions were likely accelerated relatively near the reconnection region.

Baker et al. [1979] found that energetic (> 0.5 MeV) proton events at $18 R_E$ were mostly (95%) observed during the southward IMF interval and that no event corresponded to IMF $B_z > 2$ nT. Thus, the IMF condition ($B_z \sim 4$ nT) of the present energetic (~ 1 MeV) ion event was exceptional and the acceleration mechanism may be different from other energetic ion events.

The generation mechanism of the energetic ions in this particular event is unknown, but it may have been associated with the rapid fluctuations in the magnetic field [e.g., *Artemyev et al.*, 2014] after the third breakup (Figure 5a). The particle acceleration may

585 have also been associated with spatially multiple formations of the NENL. In this partic-
586 ular event, the NENL formed successively and there was the possibility of co-existence of
587 multiple reconnection sites. Under such circumstances, a Fermi-type particle acceleration
588 may be expected.

4.6. Connection of Auroral Arcs

589 It is unclear why two stages (the Akasofu IB and the breakup) often appear in substorm
590 onset. For the event in this study, there were two arcs separated by approximately 1 degree
591 in MLAT at $>\sim 0$ MLT, to the east of the onset MLT (Figure 3a). These arcs gradually
592 formed from diffuse aurora after around 0212 UT (Figure 4a). On the poleward arc
593 the precursory brightening occurred near 23.5 MLT at 0213:36 UT (Figures 3a and 3b).
594 Later, at the time of the Akasofu initial brightening (0219:36 UT; Figure 3d), the onset arc
595 ($<\sim 23.6$ MLT) was disconnected around 23.8 MLT from the poleward arc. Subsequently,
596 the onset arc was connected to the equatorward arc, and the disconnected poleward arc
597 stretched westward (Figure 3f). This stretching may have corresponded to the slow field-
598 aligned earthward flow observed by TH2 (Figure 6a, 23.9 MLT, $X = -19R_E$). The
599 poleward arc was further stretched westward and was connected at 23.5 MLT to the onset
600 arc (Figure 3h), when and where the first breakup was initiated. The onset arc remained
601 connected to the poleward arc (Figures 3j and 3k) after the breakup.

602 In summary, the precursory brightening occurred on the poleward arc, then the Akasofu
603 initial brightening arc was connected to the equatorward arc, and finally the breakup arc
604 was connected to the poleward arc again. This sequence suggests that two arc systems
605 were involved in the substorm onset and that the Akasofu IB and auroral breakup were not

606 continuous but distinct; however, without data on additional events it is unclear whether
607 this sequence is common.

5. Summary

608 In this study, we investigated a multiple-onset substorm in order to clarify stepwise
609 poleward expansions. Five successive auroral brightenings were identified at about every
610 10 min in all-sky images. These brightenings included a precursory brightening, the
611 Akasofu initial brightening, and three auroral breakups. Corresponding signatures were
612 observed in five THEMIS satellites located between 8 and $24R_E$ down the tail. Our results
613 are summarized as follows.

614 (1) Auroral breakup and NENL formation tended to repeat on a time scale of 10 min.
615 We inferred that this was caused by interaction between the magnetic pile-up region and
616 NENL.

617 (2) The second breakup was accompanied by a flow reversal, indicating a tailward retreat
618 of the reconnection site. In addition, the third breakup included auroral activations near
619 the nominal PCB latitude, a reconnection earthward flow, and energetic ions (~ 1 MeV),
620 indicating that the Hones poleward leap phenomenon occurred, including another tailward
621 retreat. Therefore, the tailward retreat occurred in a stepwise manner.

622 (3) Spatially stepwise auroral poleward expansions were accompanied by the stepwise
623 tailward retreat of the reconnection site. Both signatures were interpreted to be conse-
624 quences of the tailward shift of the magnetic pile-up region. Such stepwise development
625 would be evident during northward IMF.

626 (4) The stepwise tailward retreat resolved objections to the Hones poleward leap con-
627 cept, which originally included only one tailward retreat. The poleward leap phenomenon

628 includes a late auroral breakup involving the open magnetic field lines in the ionosphere.
629 We propose the recognition of the poleward leap as full substorm development, well after
630 the beginning of lobe field reconnection.

631 (5) Fast flows were not observed with the Akasofu initial brightening but with auroral
632 breakup; thus, NENL may have been quite localized or had not yet fully developed at the
633 time of the Akasofu substorm onset.

634 (6) Slow magnetic field-aligned earthward flows were observed before the first breakup,
635 near the times of the precursory and Akasofu initial brightenings. The implications of this
636 parallel flow remain unclear, but may be associated with the initial stage of reconnection
637 or with localized plasma sheet thinning.

638 (7) The connection between the onset arc in the premidnight and the two arcs in the
639 postmidnight changed when the Akasofu initial brightening and the auroral breakup oc-
640 curred. These observations suggest that the Akasofu initial brightening and the auroral
641 breakup were not continuous but distinct.

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Figure 1. Locations of five THEMIS satellites at 0230 UT on 27 February 2009. Projected on (a) XY, (b) XZ, and (c) YZ planes in aberrated geocentric solar magnetospheric (AGSM) coordinates. The Z location in (b) and (c) is the distance from a model neutral sheet instead of that from the equatorial plane. Magnetic latitude (MLAT) and magnetic local time (MLT) are satellite foot points at 110 km altitude in the modified apex coordinates.

Figure 2. Ground observatories, satellite foot points, and an example merged auroral image. (a) Locations of ground observatories in southern Greenland and eastern Canada, as shown by blue circles with white labels. Some observatories were located outside of the latitude range of this figure. White lines with labels indicate magnetic latitude (MLAT) and magnetic local time (MLT). Green circles with numbers indicate satellite foot points of the five THEMIS satellites. The four blue lines (at 23.0, 23.5, 23.9, and 0.3 MLT) indicate the locations where images were sliced to make auroral keograms (Figure 4). (b) An example of merged auroral images overlaid to (a). Images were observed at Narsarsuaq (NRSQ, 65.4 MLAT, 61.2°N, 314.6°E) in Greenland and at Sanikiluaq (SNKQ, 66.1 MLAT, 56.5°N, 280.8°E) in Canada. The fan-like black area in the SNKQ image was masked to avoid artificial light.

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Figure 3. Time sequence of selected white-light auroral images on 27 February 2009. The five auroral brightenings are classified as precursory brightening, Akasofu initial brightening, and three auroral breakups, as labeled on the top left of corresponding panels. Images are typically separated by 1–2 min (but up to 5 min), as shown at the top right of each panel. More explanation of a panel can be found in the caption of Figure 2.

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Figure 4. Auroral keograms sliced at (a) 0.3, (b) 23.9, (c) 23.5, and (d) 23.0 MLTs, corresponding to 4 blue lines placed from east to west in Figures 2 and 3. The red vertical lines indicate the times of five auroral brightenings, which were identified in the original sequence of images. Labels indicate the precursory brightening, the Akasofu initial brightening, and auroral breakups, as shown in the panel that corresponds to the magnetic local time (MLT) where each brightening was first recognized.

Figure 5. Time History of Events and Macroscale Interaction during Substorms probe-1 (THEMIS-1) satellite observations of the magnetotail with a 3-sec time resolution. The red vertical lines indicate the times of auroral brightenings. The top three panels show magnetic field data, while the next five panels show ion velocities, density, and temperature. V_{ppx} indicates the X-component of the velocity perpendicular to the magnetic field. In the next panel pressures are superposed, including the static total pressure (magnetic pressure plus plasma thermal pressure), the plasma thermal pressure, and the electron thermal pressure. The bottom panel shows the plasma beta (ratio of the plasma thermal pressure to the magnetic pressure). Electrostatic analyzer (ESA) ion and electron data and solid state telescope (SST) ion data are included, but SST electron data are not included.

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Figure 6. (a) THEMIS-2 and (b) THEMIS-3 satellite observations of the magnetotail in the same format as Figure 5. Red vertical lines indicate the times of auroral brightenings.

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Figure 7. (a) THEMIS-4 and (b) THEMIS-5 satellite observations of the magnetotail in the same format as Figure 5. Red vertical lines indicate the times of auroral brightenings.

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Figure 8. Observations by five THEMIS satellites: (a) the earthward component of ion flow velocity (V_x) and (b) the northward component of magnetic field (B_z). These parameters are the same as those shown in Figures 5–7 for each satellite. The red vertical lines indicate the times of auroral brightenings. Blue arrows indicate the times of characteristic signatures. Data from the electrostatic analyzer (ESA) and solid state telescope (SST) instruments were merged to calculate ion velocity.

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Figure 9. Ion energy flux observed by two THEMIS satellites. (a) Electrostatic analyzer (ESA) observations between 5 and 25 keV. (b) Solid state telescope (SST) observations between 25 keV and 6 MeV. The top 2 panels show THEMIS probe-1 observations of earthward-going and tailward-going ions. The bottom 2 panels show THEMIS probe-2 observations in the same format. The earthward-going direction was defined as inside 45 degrees from the X direction in the satellite coordinates, which was close to the direction to the Earth. The tailward-going direction was defined as the opposite.

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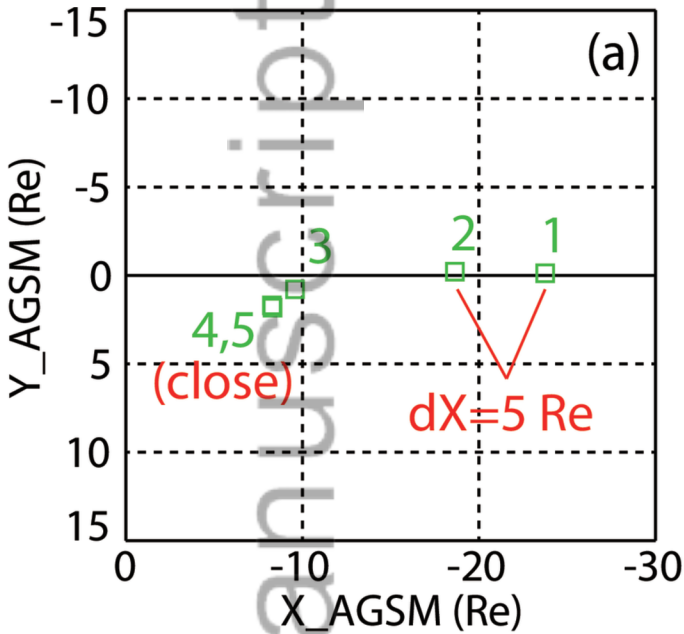
Figure 10. Inferred motion of the reconnection site, based on single satellite observations of the reversal of directions in the plasma flows and magnetic field.

Figure 11. Operating Missions as Nodes on the Internet (OMNI) dataset including solar wind parameters and geomagnetic indices. The red vertical lines indicate the times of auroral brightenings. The solar wind parameters are time-shifted to the bow shock nose. Geocentric solar magnetospheric (GSM) coordinates were used. The interplanetary magnetic field (IMF) was mostly northward.

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Figure 12. Ground magnetic observations near the longitudes of western Greenland and eastern Canada. Panels are presented in order of observatory latitude, with the top panel corresponding to the highest magnetic latitude (MLAT) station. The magnetic local time (MLT) of each observatory at 0230 UT is shown on the left of each panel. The locations of most stations are as shown in Figure 2. Variations in the northward (X), eastward (Y), and downward (Z) components of the magnetic field in geomagnetic coordinates. Data with latitudes of higher than 65 MLAT were subtracted using a five quiet-day baseline. Lower latitude data were subtracted by the median of the day.

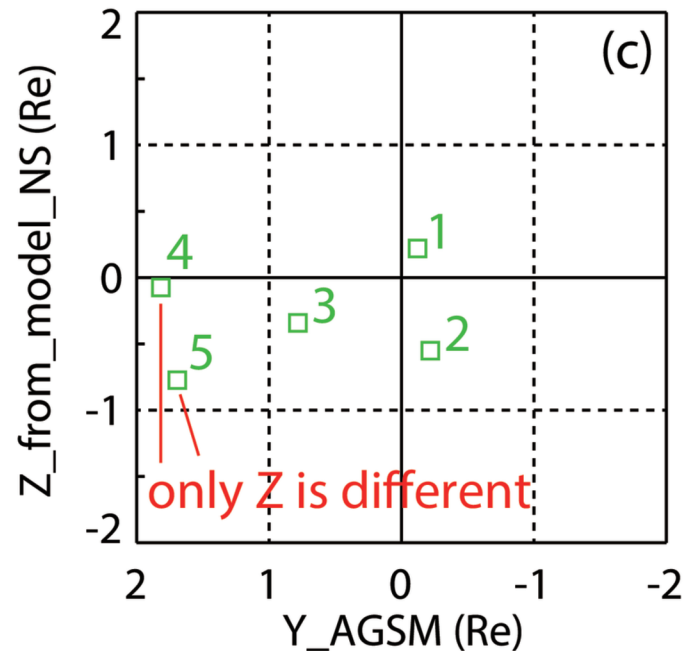
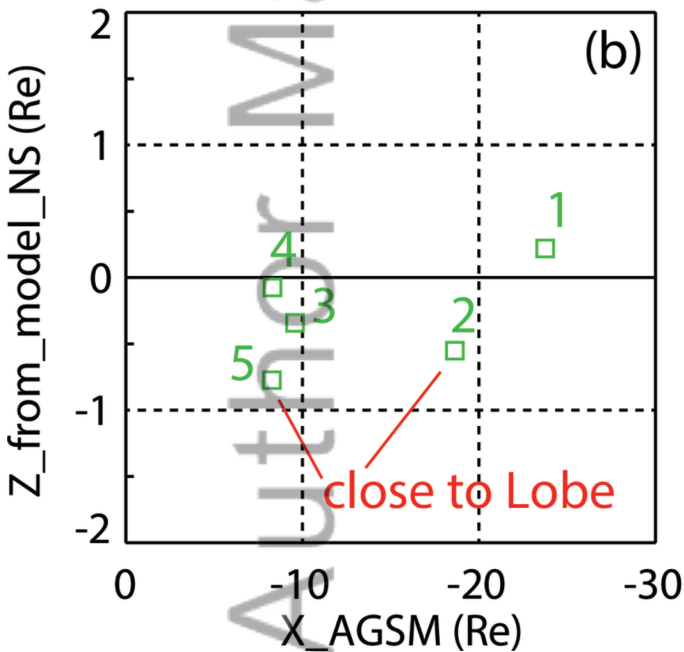
Figure 13. Interpretation of stepwise auroral poleward expansions. Time sequence of two successive auroral breakups is shown and the later breakup starts at a higher latitude: (a) initiation of auroral breakup. Flow-braking occurs on the magnetic field line on the dipole-tail boundary, as shown by the red curved line. Auroral breakup occurs at the ionospheric foot point of this field line; (b) poleward expansion of auroras; (c) decay of auroras; (d) initiation of next auroral breakup; (e) next auroral poleward expansion.



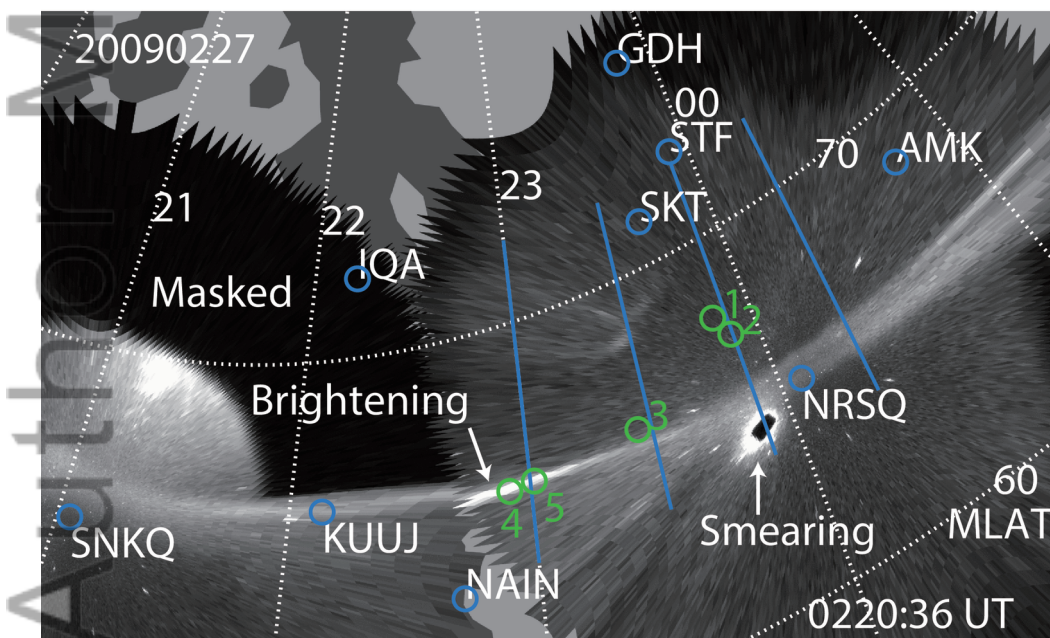
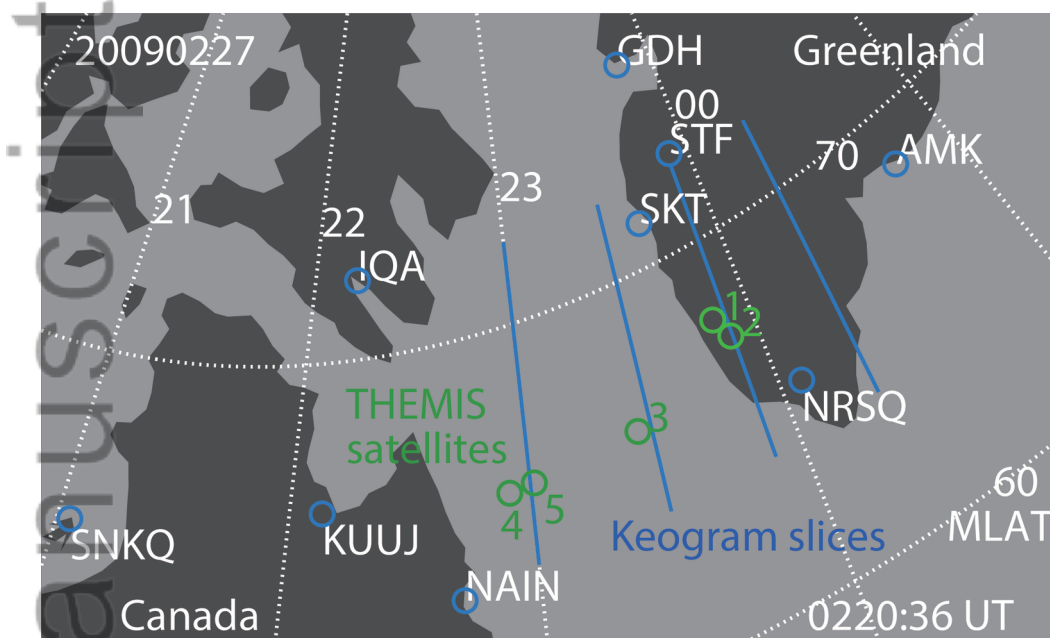
Location of THEMIS satellites
20090227/0230 UT

AGSM + Zns (TF2004)

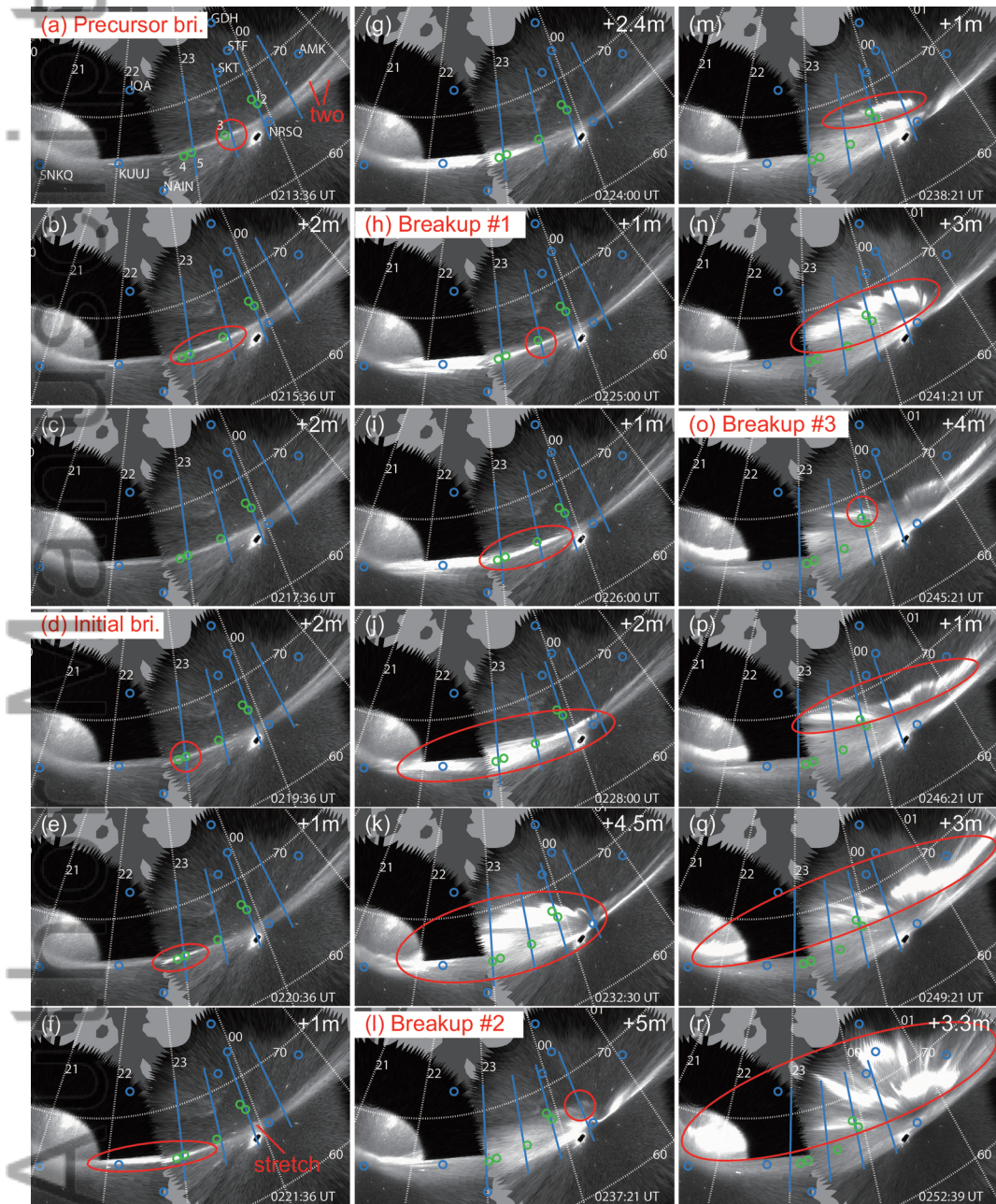
S/C	X	Y	Z	Zns	MLAT	MLT
TH1	-23.7	-0.1	-2.1	0.2	68.1	23.8
TH2	-18.6	-0.2	-2.8	-0.6	67.4	23.9
TH3	-9.6	0.8	-2.3	-0.3	66.3	23.5
TH4	-8.3	1.8	-2.0	-0.1	66.0	23.0
TH5	-8.3	1.7	-2.7	-0.8	66.1	23.1



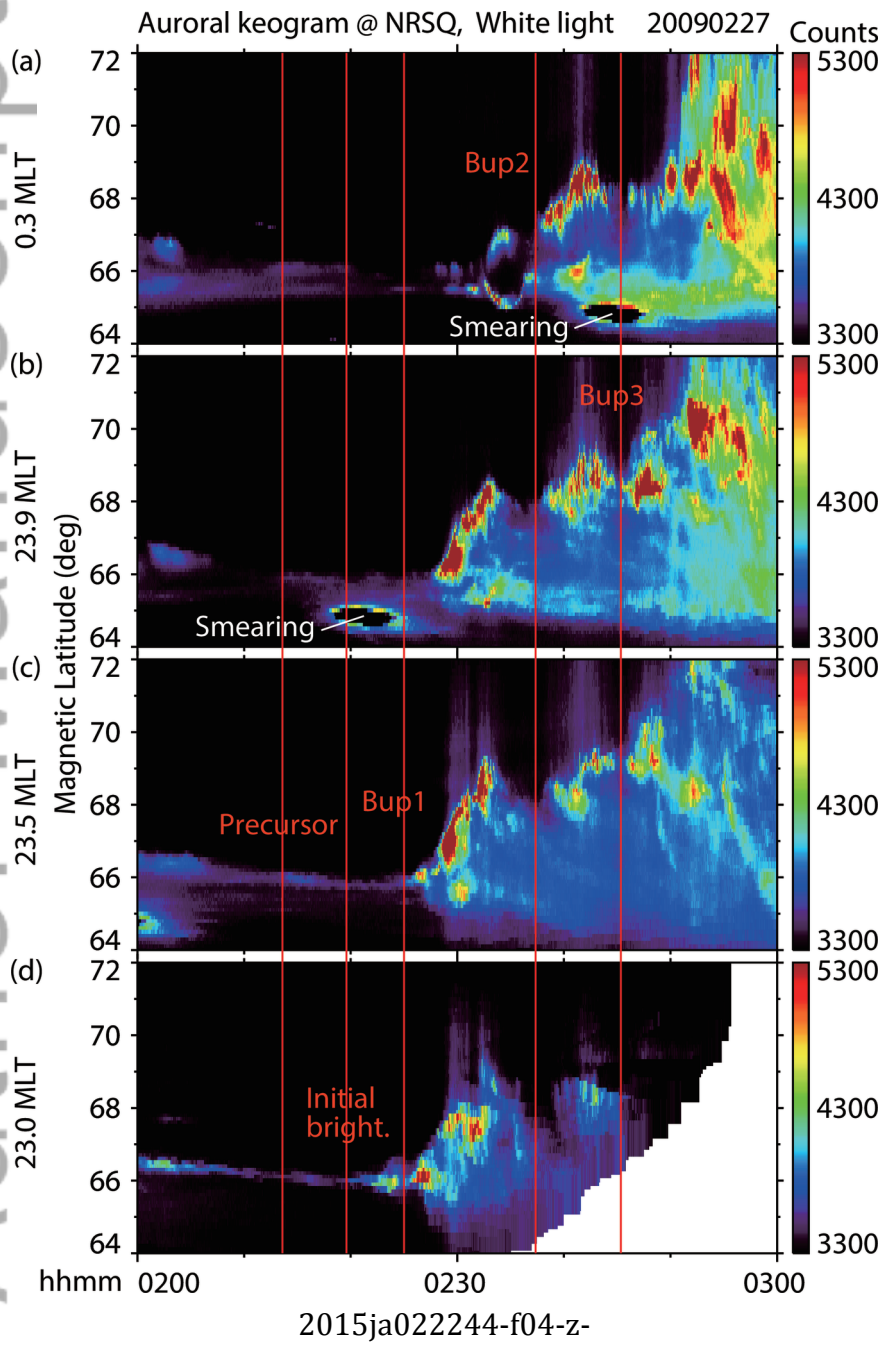
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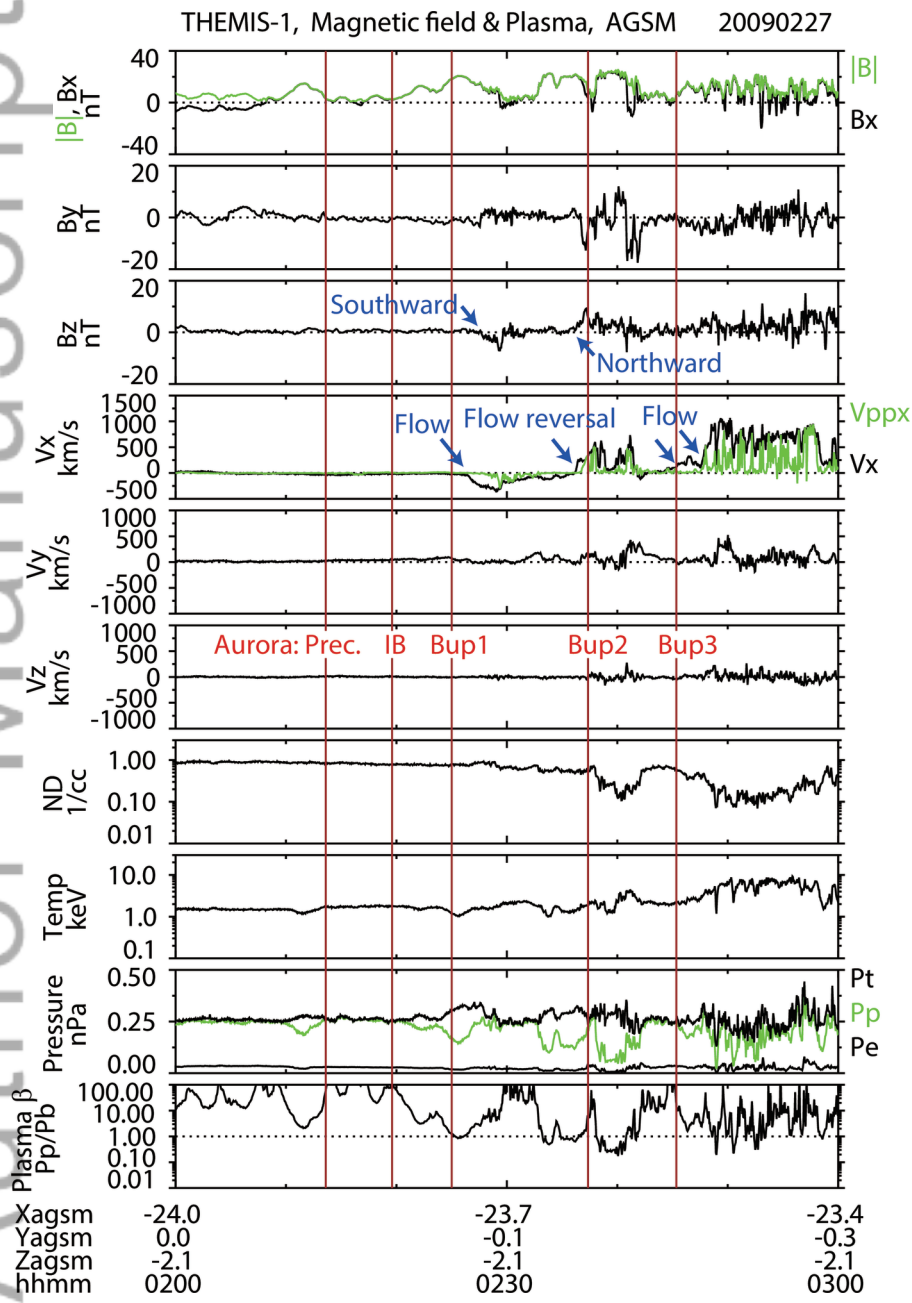


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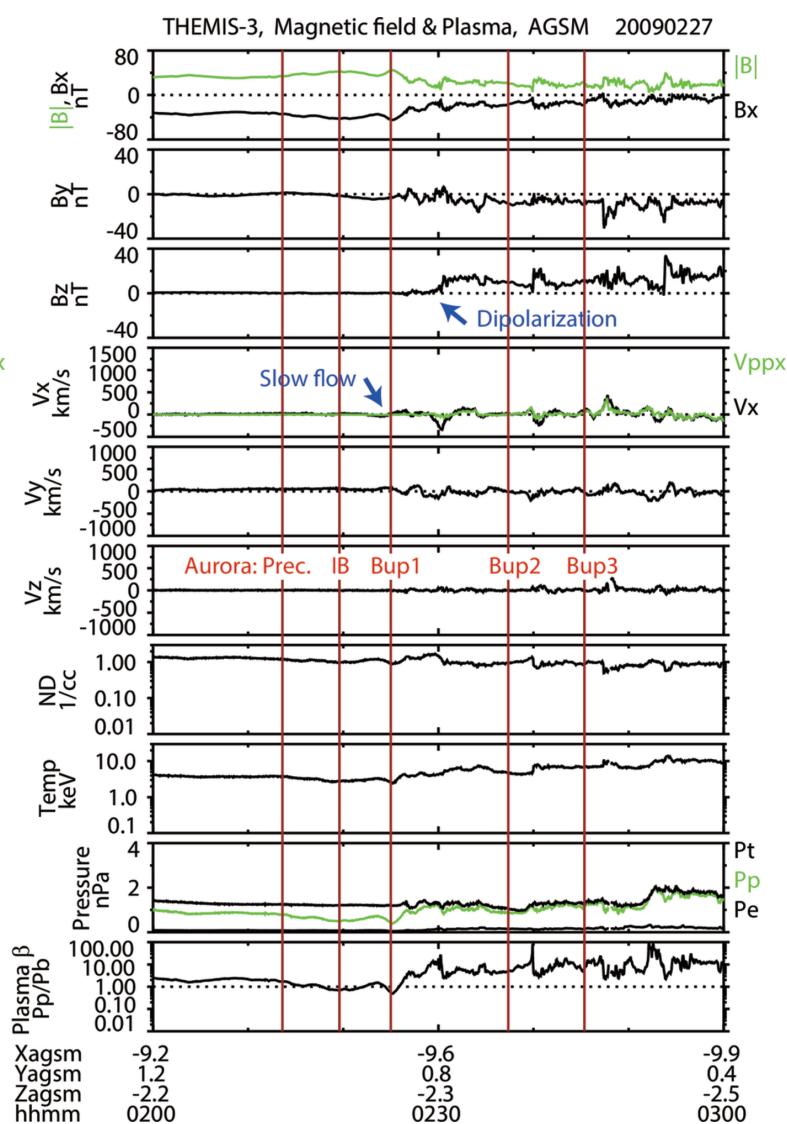
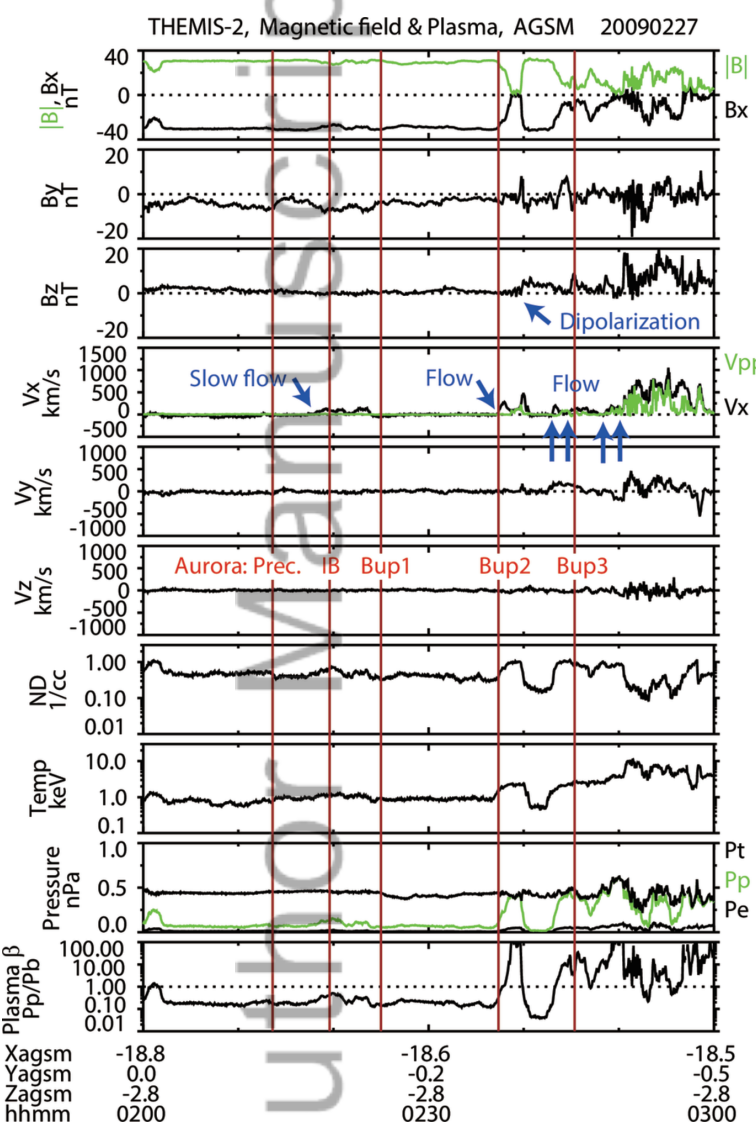


2015ja022244-f03-z-



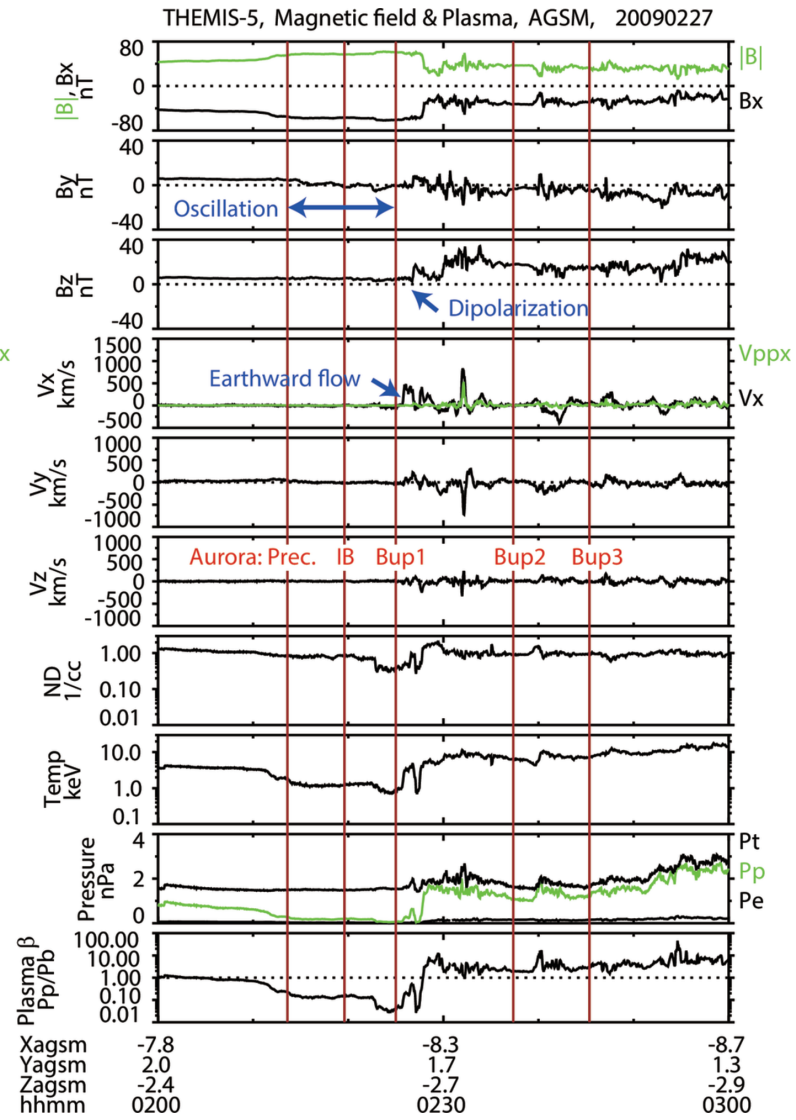
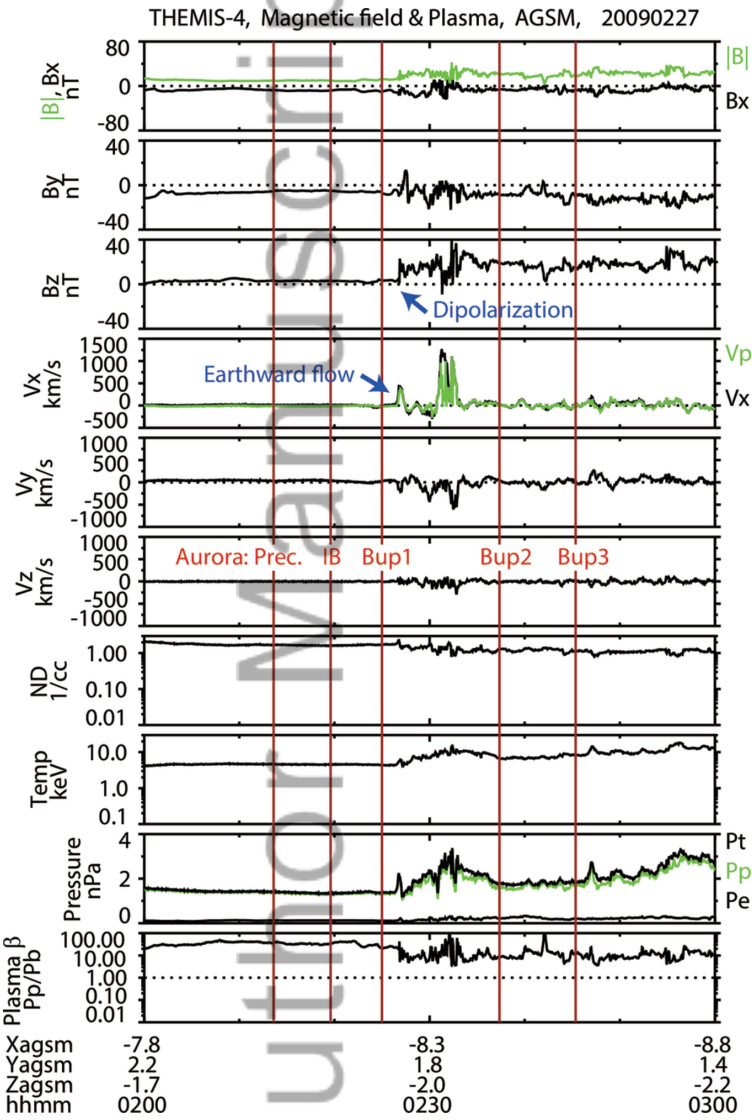


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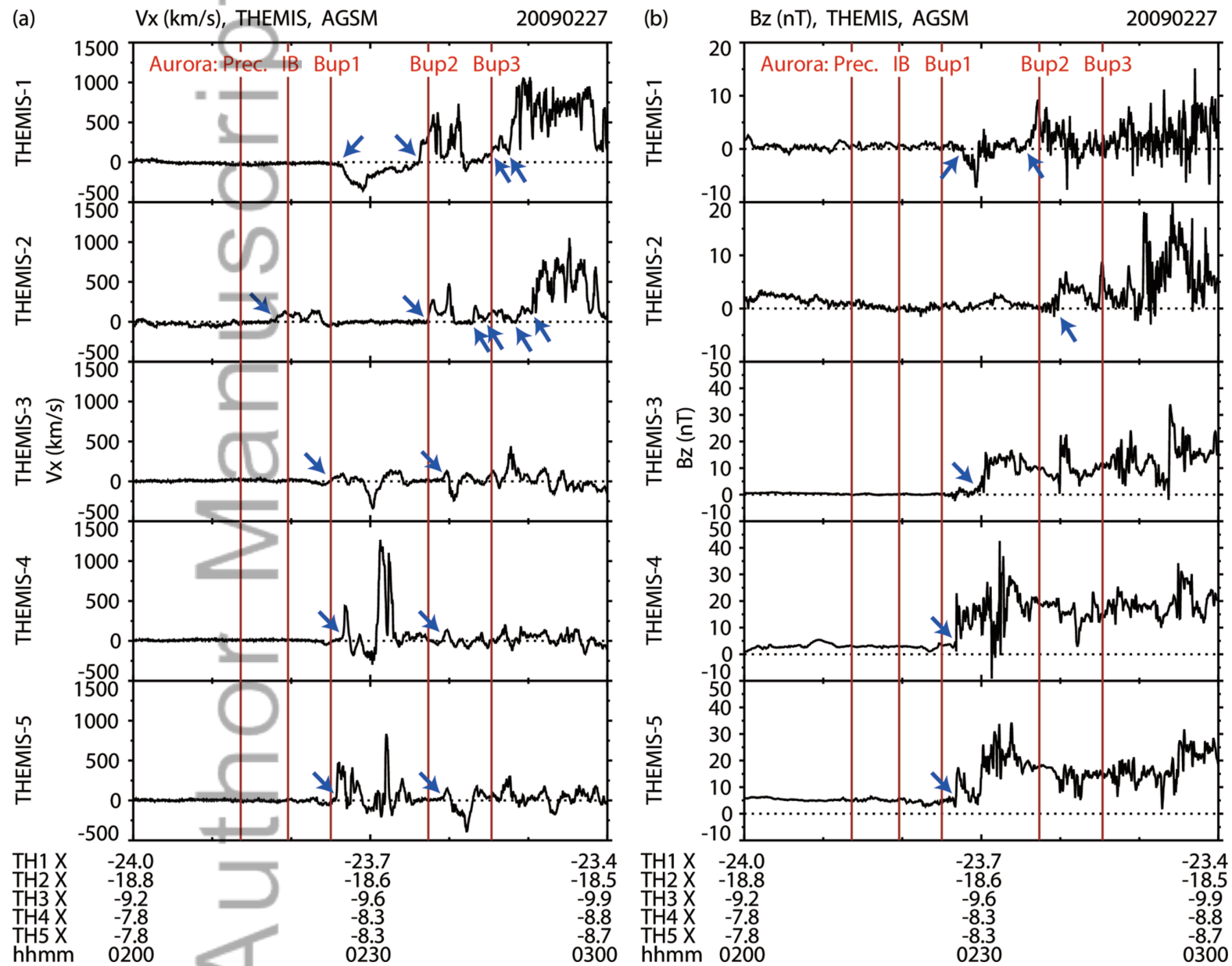


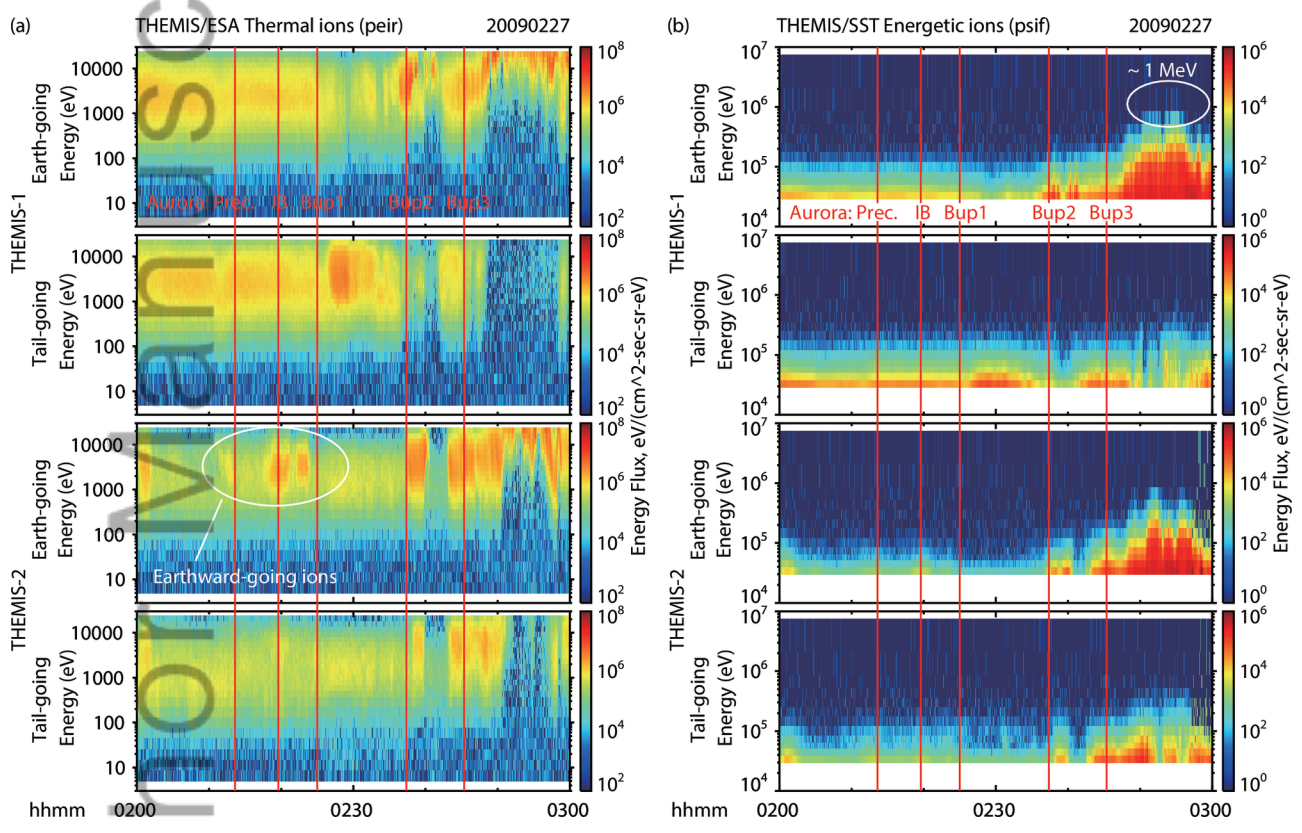
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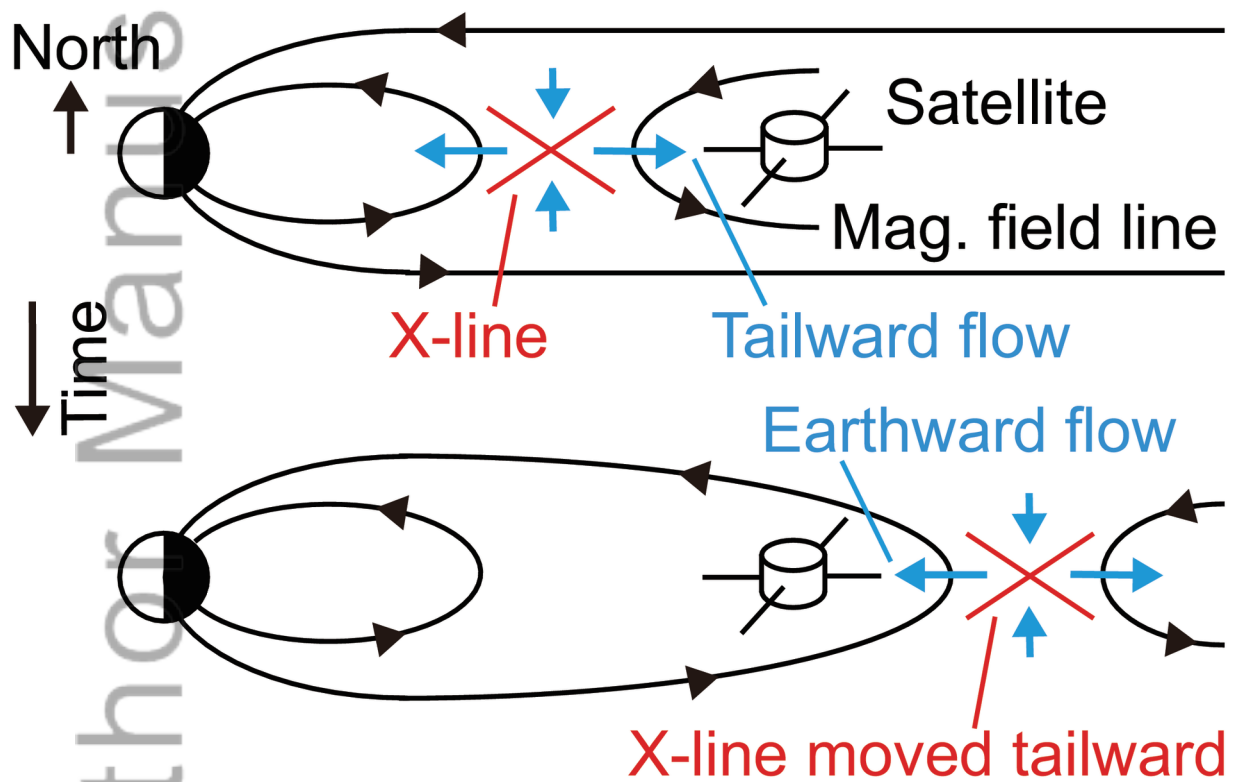




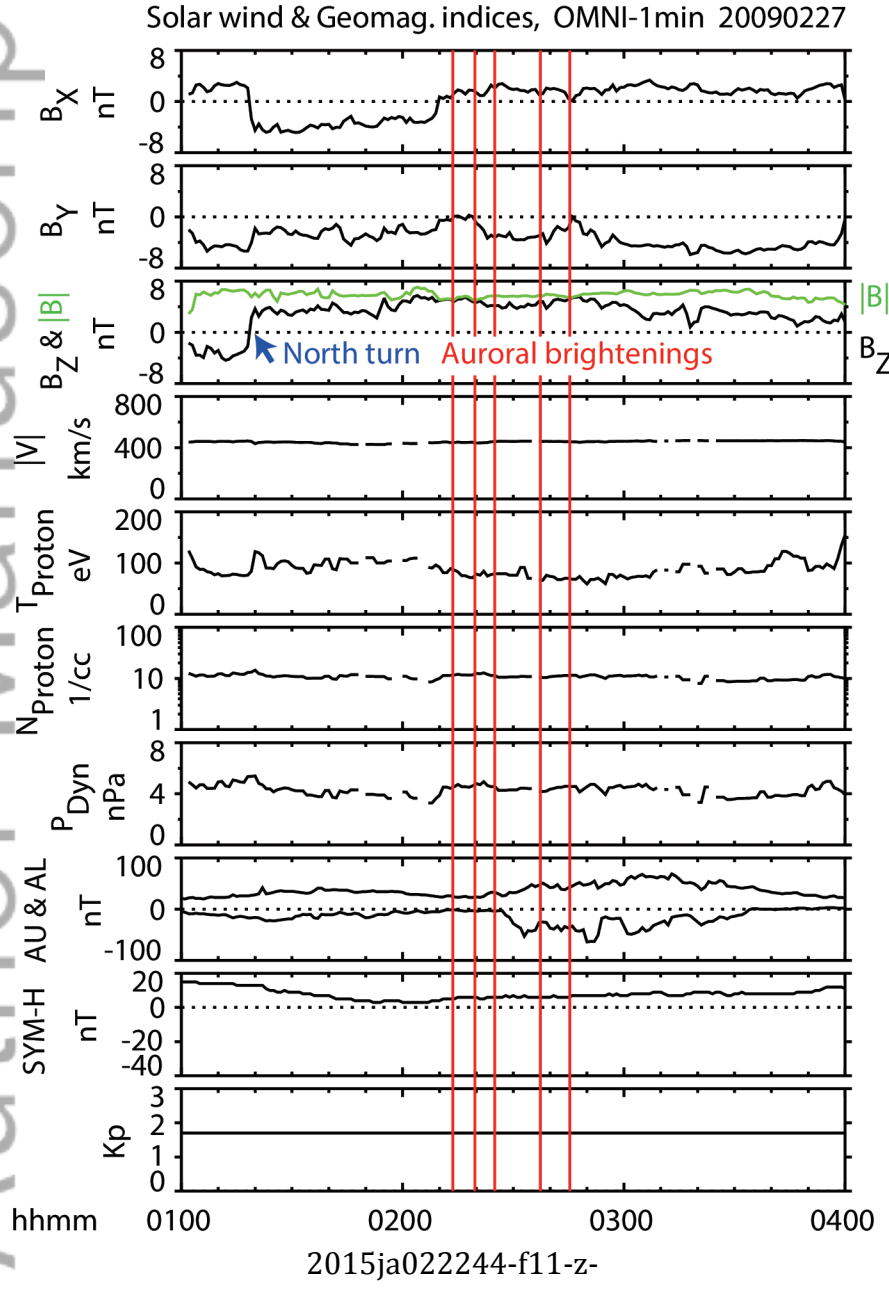
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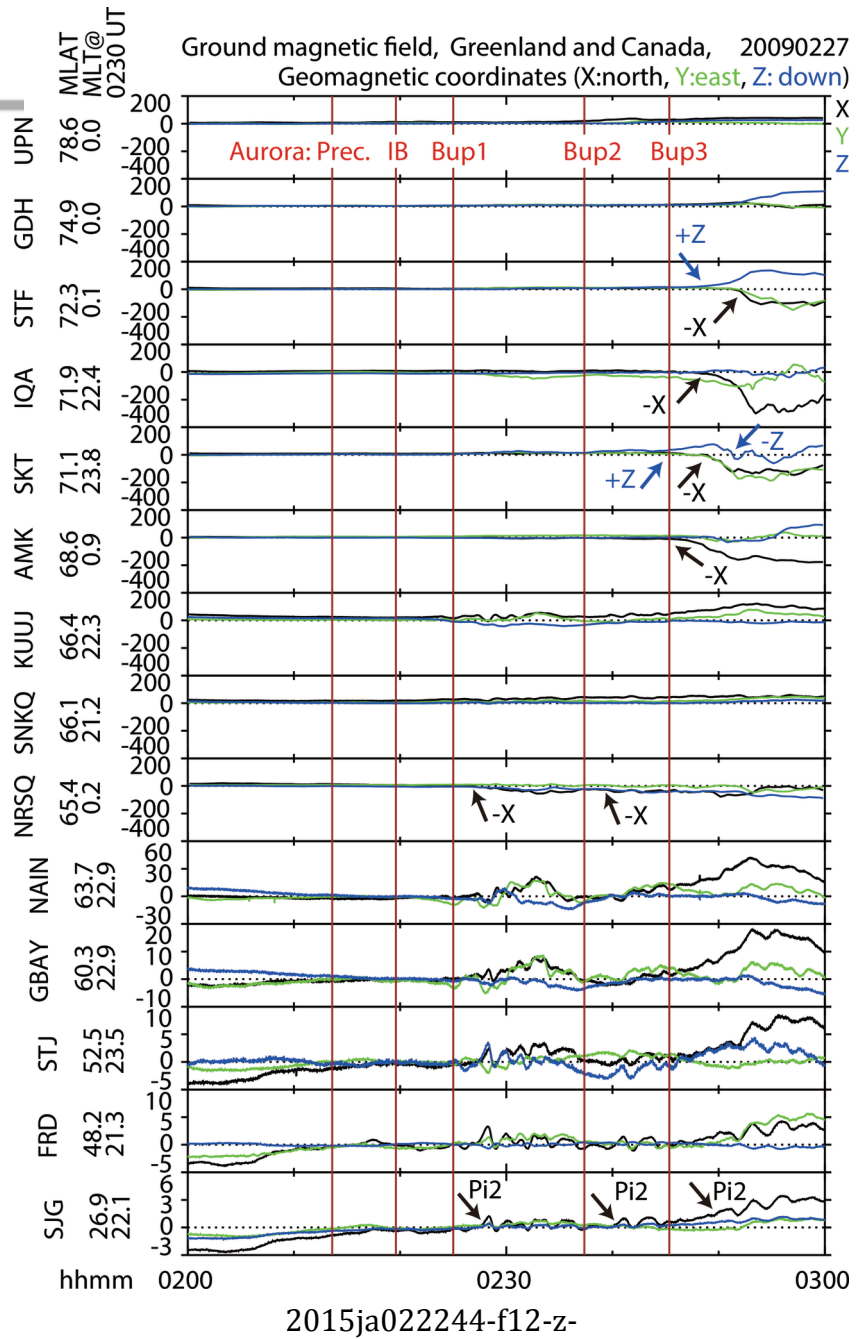
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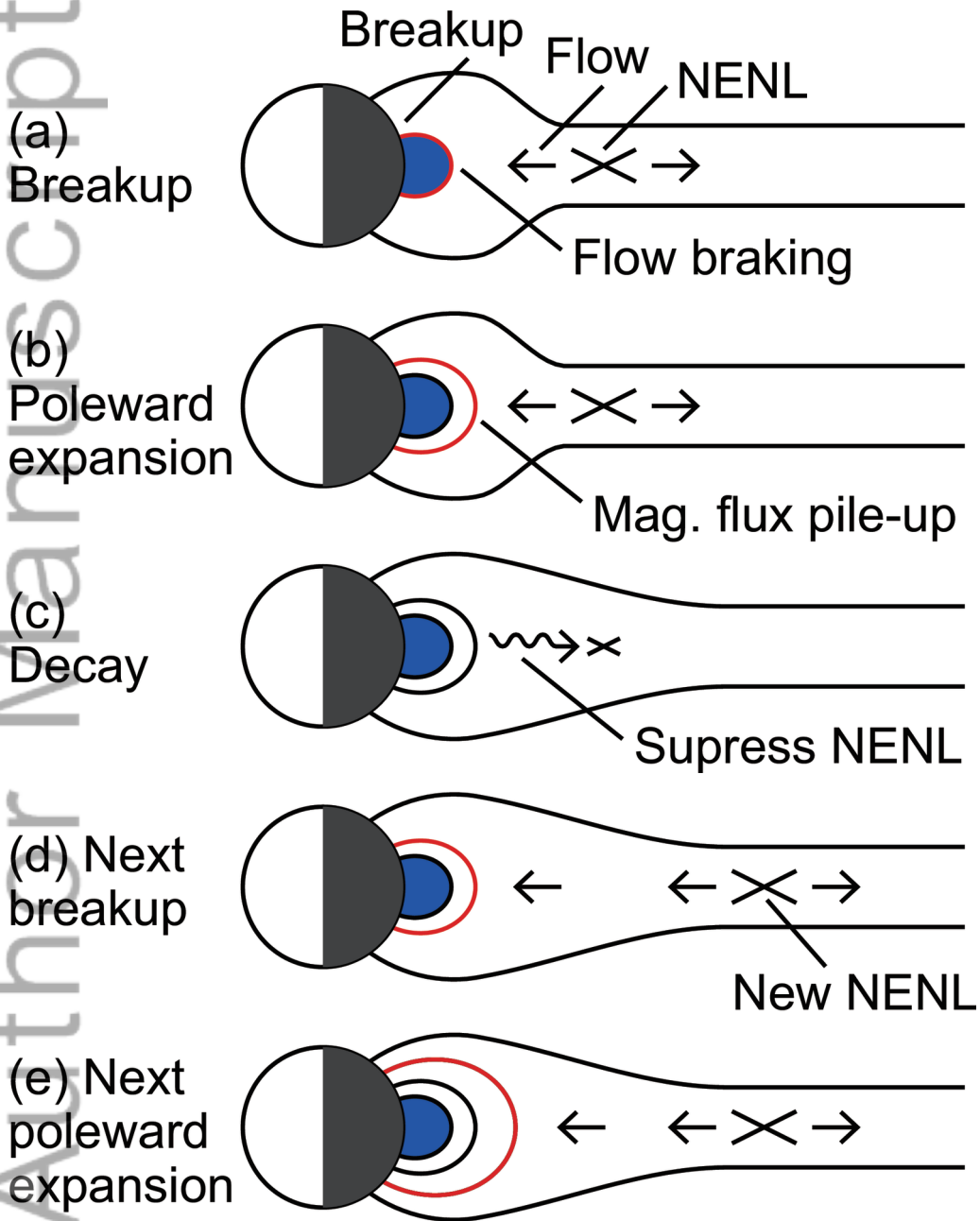
Tailward motion of reconnection site



2015ja022244-f10-z-







2015ja022244-f13-z-