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2 **Utility of Thromboelastography for the Diagnosis of von Willebrand Disease**

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22 **Short running title:** Utility of TEG in VWD

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Abbreviation	Full Term
A30	Maximal lysis
ANOVA	Analysis of variance
CI	Clotting index
ELISA	Enzyme-linked immunosorbent assay
FFP	Fresh frozen plasma
FVIII	Factor VIII
LIA	Line immunoassay
MA	Maximal strength amplitude
MRTG	Maximal rate of thrombus generation
NHLBI	National Heart, Lung, and Blood Institute
PT	Prothrombin time
PTT	Partial thromboplastin time
R-time	Reaction time
ROTEM	Rotational thromboelastography
TEG	Thromboelastography
TF	Tissue factor

TMRTG	Time to maximal rate of thrombus generation
VWD	Von Willebrand Disease
VWF	Von Willebrand Factor
VWF:Ag	Von Willebrand Factor Antigen
VWF:RC ₀	Von Willebrand Factor Activity

36

37

38 **Abstract**

39 Von Willebrand Disease (VWD) is an inherited bleeding disorder that is caused by a
40 quantitative or qualitative deficiency of Von Willebrand Factor (VWF). The National Heart, Lung, and
41 Blood Institute (NHLBI) guidelines for the diagnosis of VWD state that an VWF Activity (VWF:RC₀) of
42 <30 IU/dL or <50 IU/dL with symptoms of clinical bleeding are consistent with the diagnosis of VWD.
43 However, current gold standard diagnostic testing takes days to have complete results.

44 Thromboelastography (TEG) is a testing method that provides a graphical trace that represents the
45 viscoelastic changes seen with fibrin polymerization in whole blood, therefore providing information
46 on all phases of the coagulation process. This study describes the TEG characteristics in 160 patients
47 who presented for workup of a bleeding disorder and a subset of those were subsequently
48 diagnosed with VWD. The TEG parameters, K-time (representing the dynamics of clot formation)
49 and the maximal rate of thrombus generation (MRTG), was found to be sensitive in detecting
50 patients with VWF:RC₀ <30 IU/dL. The TEG unlike VWF:RC₀ can be done in real time and results are
51 available to the clinician within an hour. This will definitely be beneficial in acute situations like
52 evaluation of and management of acute bleeding in patients with acquired deficiencies of VWF and
53 may play an important role in the surgical management of patients with VWD.

54

55 **Introduction**

56 Von Willebrand Disease (VWD) is an inherited disorder associated with clinical bleeding
57 abnormalities. Many subtypes exist based on quantitative or qualitative defects in Von Willebrand
58 Factor (VWF). The gold standard diagnostic testing at the time of the study included: VWF Activity
59 (VWF:RCo), VWF Antigen (VWF:Ag) and Factor VIII (FVIII) Activity¹; newer assays such as the Collagen
60 binding and GP1bM are relatively new and were not available at the time this study was conducted.
61 Patients with VWD may have a prolonged partial thromboplastin time (PTT) and decreased levels of
62 FVIII activity secondary to increased clearance of FVIII because the protein is no longer protected by
63 the FVIII – VWF circulating protein complex. According to the National Heart, Lung, and Blood
64 Institute (NHLBI) guidelines, those with the diagnosis of VWD have VWF:Ag levels <50 IU/dL and
65 VWF:RCo levels <50 IU/dL and variable levels of FVIII activity compared to normal laboratory
66 reference ranges.¹

67 There are many difficulties associated with current diagnostic methods (such as different
68 methodologies available for laboratory tests, enzyme-linked immunosorbent assay (ELISA) vs. line
69 immunoassay (LIA); and a high coefficient of variation with each of these tests) and the inability to
70 measure physiologic function.

71 TEG is a testing method that provides a graphical trace that represents the viscoelastic
72 changes seen with fibrin polymerization in whole blood, therefore providing information on all
73 phases of the coagulation process.²⁻⁴ Since it is a global assay that evaluates clot formation from
74 initiation to fibrinolysis it has the ability to potentially pin point abnormalities in any step along the
75 process.

76 The TEG can be done in real time, unlike the VWF:Ag and VWF:RCo. The standard TEG assay
77 has not been thought to be of use in VWD because of the lack of shear stress, which is essential for
78 the activation of VWF. The aims of this study were to evaluate the parameters of Tissue factor (TF)
79 initiated TEG in pediatric patients with VWD, to determine if this assay is sensitive to

80 dysfunctional/low levels of VWF, as this does not require any significant change in procedure other
81 than the use of TF as the activator instead of Kaolin.

82

83 **Materials and methods**

84 At Children's Hospital of Michigan, all patients who present for workup of a bleeding
85 disorder have TEG and VWD studies as part of the initial evaluation and this data was obtained from
86 the patient medical records. Those patients with a diagnosis of VWD had confirmatory VWF
87 multimer analysis completed, and were further classified based on their specific VWD type. D1472H,
88 VWF:Gp1bm, and collagen binding testing were not widely available at the time of study, and thus
89 were not completed. All other patients were not identified to have any underlying bleeding
90 disorder.

91 A retrospective chart review of patients who presented for a bleeding disorder workup that
92 had TF initiated TEG analysis and Von Willebrand studies completed between January 2007 and
93 December 2015 was performed at Children's Hospital of Michigan. IRB approval was obtained, and
94 current diagnostic tests for Von Willebrand Disease (CBC with platelet count, VWF:RCo and VWF:Ag,
95 FVIII, ABO blood type; PT, PTT, Fibrinogen) and TF initiated TEG parameters, including K-time and
96 MRTG, were compared. Patient demographics including age, gender, and ethnic background were
97 also reviewed.

98

99 *TEG Analysis*

100 In all subjects, the dynamics of blood clot formation was recorded by the TEG[®] 5000
101 (Version 4.2) using the following method. Each sample was allowed to rest for 30 minutes as
102 recommended by Sorensen *et al*³ following which the thromboelastographic analysis was

103 performed. To perform the TEG analysis, 20 μ L of 1:10,000 dilution of recombinant human tissue
104 factor (Innovin, Dade Behring) and 20 μ L of CaCl₂ were added to the TEG cup, followed by 340 μ L
105 whole blood. All samples were run for 90 minutes.

106 The TEG analysis has five parameters. The first parameter is the R-time, which is the period
107 from initiation of the test until the clot initiated reaches 2mm amplitude and represents time to
108 fibrin initiation. The K-time refers to the time it takes the amplitude of the curve to go from 2mm to
109 20mm and represents the dynamics of clot formation. The third parameter is the α Angle, which is
110 the slope between R and K; which represents the rate of clot formation. The fourth parameter is the
111 MA, which measures the strength of the clot formed. Finally, A30 is the difference between MA and
112 the amplitude of the curve after 30 minutes.^{2,3,5,6}

113 MRTG and TMRTG: A Velocity Curve, as noted above, creates a graph relating clot strength
114 over time, which correlates with the rate and amount of clot formation or fibrinogen
115 polymerization.⁷

116 *Von Willebrand Studies*

117 VWF:Ag was analyzed by the STA-Liatest VWF kit (Diagnostica Stago Inc, Parsippany, NJ,
118 USA) which is an immunoturbidimetric method that uses microlatex particles coated with rabbit
119 anti-human vWF, and measures the absorbance as the particles agglutinate in proportion to the
120 antigen level present.

121 VWF:RCo is determined by a turbidimetric method that measures the change in optical
122 density based on the sample's von Willebrand Factor which initiates aggregation of the platelet
123 reagent in the presence of ristocetin (BC von Willebrand Reagent – Siemens Healthcare Diagnostics
124 Inc. Newark, DE, USA).

126

127 *Fibrinogen*

128 Quantitative determination of fibrinogen in plasma was performed by the Clauss clotting
129 method. The fibrinogen quantitation and clotting factor assays were performed on the STA-R
130 Evolution® (Diagnostica Stago, Inc, Parsippany, NJ, USA), at the Special Coagulation Laboratory at
131 Children's Hospital of Michigan.

132

133 *Blood Counts*

134 CBCs results were obtained as a part of the routine clinical work up for the patient.

135

136 *Coagulation Factor Assays*

137 The one-stage PTT based clotting assay was used to determine FVIII activity and was
138 performed on the STA-R Evolution (Diagnostica Stago, Inc, Parsippany, NJ, USA) using kaolin PTT
139 reagent (CK Prest – Diagnostica Stago, Inc, Parsippany, NJ, USA) and George King Biomedical
140 congenital FVIII deficient plasma (Overland Park, KS, USA).

141

142 *Statistical Analysis*

143 Comparison data by VWD Types, VWD percentage groups and controls are reported using
144 means and standard deviations. Mean differences between groups were conducted using a
145 parametric analysis of variance procedure (ANOVA), with a Tukey test to balance Type 1 error and
146 power. If assumptions of homogeneity of variance were violated a non-parametric Kruskal Wallis
147 test would be substituted, followed by pair-wise comparisons using a non-parametric Mann Whitney

148 U test. An independent receiver operating characteristic curve (ROC) was performed to compare K-
149 time on TEG to VWF:RCo in patients with VWF:RCo <30 IU/dL. A curvilinear procedure was
150 performed to examine best fit for changes in MRTG related to changes in K-time. Statistical
151 significance was considered achieved at a p-value <0.05, two-tailed. All statistical procedures were
152 performed using SPSS Version 25.0 IBM Inc. Chicago, Ill.

153

154 **Results**

155 A total of 160 patients (ages ranging 2 weeks to 18 years) who had a workup for a bleeding
156 disorder that included Von Willebrand studies and TEG were included in the study. Patient
157 demographics, including age, sex, race, and presenting symptoms were compared, and showed no
158 significant difference in patients with diagnosis of VWD compared to control patients. Patient
159 demographics based on VWF:RCo levels are presented in Supplemental Table S1. As previously
160 described, we did see a significantly higher FVIII activity in patients of African American ethnicity in
161 both our control patients and VWD patients.⁸ Our control group consisted of 82 patients (34 males
162 and 48 females) with the majority of patients being of Caucasian (43%) and African American (22%)
163 descent. Males most commonly presented with epistaxis (41%) and bruising (26%), and females
164 with menorrhagia (25%), epistaxis (21%), and bruising (19%). None of the female patients were on
165 hormonal therapy at the time of diagnostic workup.

166 Seventy-eight patients were diagnosed with VWD based on laboratory evaluation and
167 presence of bleeding symptoms. There were 36 males and 42 females, who were further
168 categorized into Type 1 VWD (67 patients) and Type 2A VWD (11 patients). The most prominent
169 ethnicity was Caucasian (58%) followed by African American (19%), Hispanic (12%), and Other (11%).
170 There were no patients diagnosed with Type 2B, 2N, 2M, 3, or platelet type VWD.

171 There were no significant differences in the clinical presentation of patients with Type 1
172 VWD vs. Type 2A VWD. Presenting symptoms in patients aged 10 and under (N=41; 23 males, 18
173 females) and those aged 11 and older (N=37; 13 males, 24 females) were compared. The most
174 common presenting symptom in male patients was epistaxis (51%) regardless of age. However, in
175 female patients, the age of presentation impacted their presenting bleeding symptoms. Those
176 females less than 10 years of age presented most frequently with epistaxis (44%); and in patients
177 aged 11 years and older the most frequent symptoms were epistaxis (27%) and menorrhagia (27%).
178 The general laboratory characteristics as well as the TEG data showed no significant differences
179 between Type 1 and Type 2A VWD patients (Supplemental Tables S2 and S3). TEG data was
180 reported in medians due to one outlier in the Type 2A VWD group which skewed the means and did
181 not represent overall finding in Type 2A VWD patients.

182 Of the 160 patients evaluated in this study, 85/160 patients had VWF:RCo >50 IU/dL, 14/85
183 of these patients were diagnosed with VWD due to significant bleeding symptoms and had levels
184 between 50-60 IU/dL (normal range for VWF:RCo is 60 IU/dL to 120 IU/dL). There were 45/160
185 patients with VWF:RCo 30-50 IU/dL, 34/45 of these patients were diagnosed with VWD and 11/45
186 were not diagnosed with any bleeding disorder. Of the 11 patients not diagnosed as a bleeding
187 disorder, 9/11 were blood group O and 2/11 were blood group A and had normal levels on repeat
188 testing. There were 30/160 patients with VWF:RCo <30 IU/dL and all were diagnosed with VWD. All
189 75 patients with VWF:RCo <50 IU/dL, were divided into 2 groups based on VWF:RCo levels: 30-50
190 IU/dL and <30 IU/dL. The TEG parameter, K-time, (time for increase in amplitude from 2mm to
191 20mm representing the dynamics of clot formation, normal <2.2 minutes) was determined abnormal
192 for values ≥ 2.2 minutes. An example of a normal TEG in a healthy control in comparison to those
193 patients with VWD with varying VWF:RCo is reflected in Figure 1.

194 The lab characteristics of these patients are presented, including FVIII, platelet count, and
195 fibrinogen levels (Supplemental Table S4). Those patients with VWF:RCo <30 IU/dL had significantly

196 longer K-times than those with VWF:RCo >50 IU/dL. PTT assays on 4 patients with VWF:RCo <50
197 IU/dL and 3 patients with VWF:RCo >50 IU/dL were not available, and are not included in the means.

198 Of the patients with VWF:RCo <30 IU/dL, 23/30 (77%) had an abnormal K-time of ≥ 2.2
199 minutes (p-value of ≤ 0.001); whereas patients with low VWF:RCo 30-50 IU/dL, only 13/45 (29%) had
200 an abnormal K-time of ≥ 2.2 minutes, which was not statistically significant. Regression analysis of K-
201 time and MRTG in comparison to VWF:RCo shows that for every 1 IU/dL decrease in VWF:RCo there
202 is an equal increase in both K-time and MRTG levels (Table 1). A ROC curve for patients with
203 VWF:RCo <30 IU/dL and abnormal K-time showed an area under the curve of 0.67 (p-value = 0.003)
204 (Figure 2). An analysis of the MRTG showed a mean of 9.45 in patients with VWF:RCo <30 IU/dL, a
205 mean of 10.9 in patients with VWF:RCo 30-50 IU/dL, and a mean of 11.26 in patients with normal
206 VWF:RCo. There was a strong correlation (0.86) seen between patients with abnormal K-time and
207 MRTG. When analyzing regression data, the regression accounts for 74% (linear) and 84%
208 (exponential/logistic) of the explained variance in abnormal K-time (Figure 3). There was a
209 moderate correlation (0.34) seen between patients with abnormal K-time and FVIII, however, when
210 analyzing regression data the correlation only accounts for 11% of the explained variance in
211 abnormal K-time values. Patients with abnormal K-time and abnormal fibrinogen levels and platelet
212 counts were also compared, and showed no significant correlation, indicating that these were not
213 the determinants that influenced the K-time.

214 In patients diagnosed with VWD, 32 patients had previously qualified for a DDAVP trial and
215 VWF:Ag, VWF:RCo, and TEG parameters were compared at 1 hour, 2 hours, and 4 hours post
216 treatment with DDAVP. There were 13 males and 19 females, with a mean age of 10.91 years. Of
217 the 32 patients who underwent a DDAVP trial, 29/32 patients had normalization of VWF:RCo at 1
218 hour post DDAVP, and 25/32 patients had normalization of K-time at 1 hour post DDAVP treatment.
219 There were 3/32 patients that were found to be non-responders to DDAVP treatment in regard to
220 serial VWF:RCo levels at 1 hour, 2 hours, and 4 hours post DDAVP, however 2/3 of the patients did

221 have normalization of their K-times. In reviewing K-time normalization, 2/7 patients who were
222 abnormal at 1 hour post DDAVP had normalization at 2 hours post DDAVP, suggesting that some
223 patients may be slow responders to treatment. Mean MRTG of patients was compared before and
224 after administration of DDAVP using the test of sphericity within subject effects, and was found to be
225 significant, p-value ≤ 0.001 in all paired samples test (Table 2).

226 Eleven patients in our study have now had the collagen binding assay completed to
227 compliment their diagnostic evaluations. These results were compared with their TEG parameters
228 and VWF:Ag and VWF:RCo levels. We did not find any correlation when comparing this assay to
229 these parameters; however this may be the result of the extremely small sample size.

230

231 **Discussion**

232 VWD is the most common bleeding disorder, and has been estimated to have an prevalence
233 of up to 1.3%, and affecting males and females equally.^{9,10} Typically, patients present with skin and
234 mucosal bleeding, including easy bruising, epistaxis, bleeding from the gums, and heavy menstrual
235 bleeding. Management of acute bleeding episodes in these patients primarily consists of
236 replacement of the deficient coagulation protein. Treatment is often empiric as monitoring of the
237 VWF levels in real time is often not possible in most clinical centers. Significant bleeding is often
238 associated with VWF:RCo levels < 30 IU/dL, although patients with levels between 30-50 IU/dL are
239 also described to have bleeding symptomatology.

240 In many situations, prothrombin time (PT) and PTT may be sufficient for monitoring patients
241 with coagulation disorders and their response to medications, including those with VWD but these
242 tests are neither specific nor sensitive. In critically ill patients or those with acute bleeding it is
243 imperative that quick and reliable information be available to assist in physician decision-making.
244 The aim of our study was to evaluate the use of TF- activated TEG and its role in diagnosing VWD.

245 We were able to show that patients with VWF <30 IU/dL had significant changes of the TEG
246 parameters: k-time, MRTG, and TMRTG. With this knowledge, it may be beneficial to clinicians to
247 use TEG as one of the monitoring tools in patients with this diagnosis.

248 The lack of shear stress in the TEG assay was thought to make it insensitive to VWF activity.
249 A recent prospective study aimed to differentiate adult patients with VWD from healthy controls
250 using TEG and rotational thromboelastography (ROTEM)¹¹ and were able to find differences in R-
251 time and the clotting index (CI) in those with VWD using TEG, however no differences were seen
252 using ROTEM. Modified TEG using Ristocetin activation has been found to be useful in the diagnosis
253 of VWD. To date, there have not been any published studies looking at TEG and VWD in children
254 and also no studies that have looked at using a tissue factor activator in patients with VWD.

255 VWF is an important component of fibrinogen polymerization. Previously, it has been shown
256 that fibrinogen binding to the glycoprotein IIb-IIIa complex induces platelet aggregation under low
257 shear stress environments, and the aggregates formed under these conditions are unstable, making
258 the effects reversible. In high shear stress conditions, von Willebrand factor interacts with both
259 platelet binding sites, glycoprotein IIb-IIIa and glycoprotein Ib-IX to induce platelet aggregation
260 without the influence of fibrinogen.¹² Although no published data exists, we hypothesize the
261 prolonged k-time seen in patients with VWD despite normal fibrinogen levels may be due to their
262 low levels of VWF:Ag and/or VWF:RCo which in turn, interferes with the clot kinetics in these
263 patients.

264 Our study shows that the TF-activated TEG demonstrates impaired clot formation in patients
265 with VWF:RCo <30 IU/dL as reflected by the prolongation in the K-time and the low MRTG and can
266 be helpful in identifying those patients with VWF:RCo levels in this range who are at higher risk of
267 major bleeding. This is of benefit in non-life threatening acute bleeding (e.g. epistaxis) where often
268 replacement therapy is still empiric as results of the evaluation often may take several hours to days.
269 It also reflects response to DDAVP treatment as reflected by the correction in K-time and MRTG. This

270 can be extremely helpful in preparation for surgery, where post DDAVP levels are often not available
271 prior to surgery and correction is assumed based on previous testing results. Since fibrinogen is a
272 major determinant of the K time, when patients present with acute bleeding and have normal
273 fibrinogen activity/levels, but abnormal K time on TF activated TEG, substitution with VWF
274 containing factor products would be consideration instead of non-specific substitution with fresh
275 frozen plasma (FFP).

276 The TEG, unlike VWF:RCo, can be done in real time and results are available to the clinician
277 within an hour. It may also help physicians monitor response to treatment, frequency of treatment,
278 and the need for prophylactic dosing in patients with VWD undergoing surgical interventions or
279 those at high risk for bleeding episodes. Therefore, obtaining a baseline TF-initiated TEG evaluation
280 in patients with VWD may be of benefit for diagnosis as well as monitoring of therapy.

281 Given that our study is retrospective, newer diagnostic tests were unable to be completed,
282 including D1472H heterozygote testing for those with low VWF levels and collagen binding assays for
283 those with Type 2 disease. In addition, we were unable to access bleeding scores in patients prior to
284 2012 and thus we could not analyze this data to see if those with higher scores had a higher
285 likelihood of derangements in their TEG.

286 The next step will be to evaluate if this difference is also seen with Kaolin, which is the
287 standard TEG assay. Kaolin activates the intrinsic coagulation pathway in addition to activating
288 platelets by releasing platelet factor 3, and thus may allow for normalization of the TEG despite
289 deficient von Willebrand factor leading to lack of sensitivity.^{13,14} We anticipate that Kaolin being a
290 stronger agonist may make the test less sensitive to VWF related changes on TEG (K-time) and
291 Velocity curve (MRTG).

292

293 **Conflict of Interest Statement**

294 Authors K. Regling, S. Kakulavarapu, R. Thomas, and W. Hollon have no disclosures to
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297

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307

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348

349 FIGURE 1 Sample TEG graphical trace comparing K-time with varying VWF:RCo levels

350 FIGURE 2 ROC Curve comparing K-time on TEG to VWF:RCo in patients with VWF:RCo < 30 IU/dL;
351 R2 = 0.067, p-value = 0.003

352 FIGURE 3 A. Linear curve fit for changes in MRTG related to changes in K-time, R2 = 0.74, p-value
353 ≤0.001; and B. Exponential/logistic curve fit for changes in MRTG related to changes in K-time,
354 R2 = 0.84, p-value ≤0.001

355

356 TABLE 1 Regression for method comparison against the reference method, VWF:RCo

Variable	Intercept	95% CI	Slope	95% CI	P-Value
K-Time	2.1922	2.0149 to 2.3694	-1.0014	-1.0036 to -0.9992	<0.0001
MRTG	10.1912	9.2173 to 11.1650	-0.9907	-1.0027 to -0.9788	<0.0001

357 VWF:RCo: Von Willebrand Factor activity, CI: Confidence interval, MRTG: Maximal rate of thrombin
358 generation

359

360 TABLE 2 Mean MRTG and K-time values in patients with diagnosis of VWD who participated in
361 DDAVP trial

	Pre-DDAVP (N = 32)	1 Hour Post- DDAVP (N = 32)	2 Hours Post- DDAVP (N = 32)	4 Hours Post- DDAVP (N = 31)
Mean MRTG	9.91*	12.34	12.9	12.6
Mean K-time	2.17	1.75	1.65	1.71

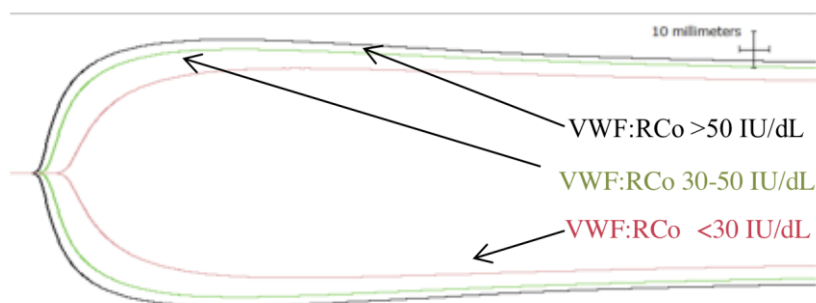
362 MRTG: Maximal rate of thrombin generation, VWD: Von Willebrand Disease

363 *p-value ≤ 0.001

364

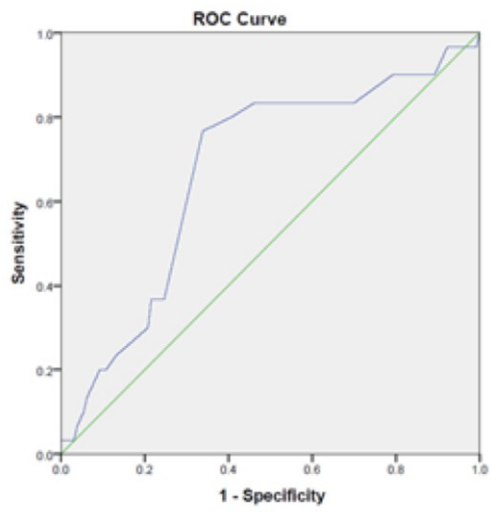
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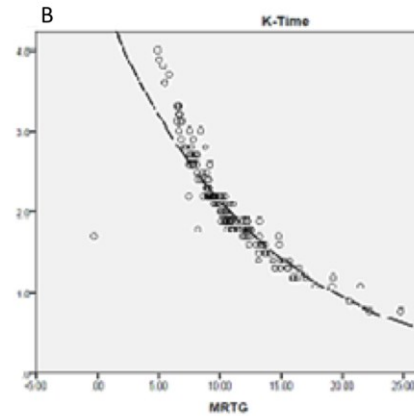
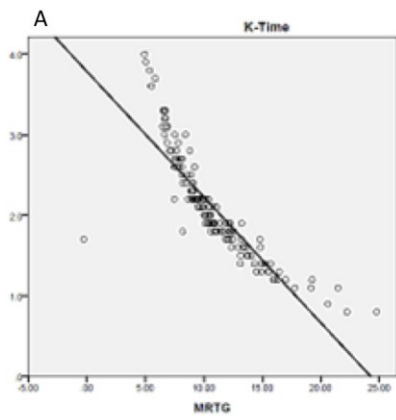
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