Hominid-Pongid Distinctiveness in the Miocene-Pliocene Fossil Record: The Lothagam Mandible

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ABSTRACT   The Lothagam mandibular fragment, found in 1967 west of Lake Turkana, Kenya, has been dated to 5.5 million years ago. This date is significant because it may lie within the suggested time range during which the hominid and pongid clades diverged. Because of its fragmentary condition and great age, this specimen has run the gamut of taxonomic assignations, from ramapithecine to pongid to hominid. These three nomenclatural categories serve as the basis for three hypotheses tested in this study. First, morphological and metric comparisons between Lothagam and a sample of Euroafrican ramapithecines address the hypothesis of "Lothagam as predivergence hominoid." Second, comparisons with a sample of *Pan* test the "Lothagam as postdivergence, African protopongid" hypothesis. Finally, samples of *Australopithecus afarensis* and *A. africanus* were utilized to evaluate the hypothesis of "Lothagam as postdivergence, early hominid." Unlike previous studies attempting to ascertain the evolutionary affinities of this enigmatic fossil, this work benefits from the large sample of *A. afarensis* specimens now generally available for study. Metric and morphological comparisons demonstrate Lothagam's affinity to *A. afarensis* in sharing derived, hominid states in such features as the mental foramen vertical position, the ascending ramus origin, the breadth of the alveolar margin, the reduction of the hypoconulid, the dimensions of the M_1 and the dimensions of the mandibular corpus. It is suggested that the dental/gnathic features enumerated in this study can be employed to distinguish ancestral hominid from pongid in future Mio/Pliocene paleontological discoveries.

One of the most irksome problems plaguing studies of hominid origins is the nearly complete dearth of hominoid fossils dating from the Late Miocene to the Early Pliocene. This time period, namely, from about 9 to 4 million years ago (Ma), is extremely important because biochemical (Sarich, 1968, 1970; Sarich and Wilson, 1967; Sarich and Cronin, 1977; Washburn and Moore, 1974; King and Wilson, 1975; Cronin, 1983; Goodman et al., 1983; Sibley and Ahlquist, 1984) and paleontological (Washburn, 1950; Greenfield, 1980, 1983; Andrews, 1982; Feldesman, 1982; Kay and Simons, 1983; Gingerich, 1984; Pilbeam, 1985) studies have independently suggested that the split between the hominid and African pongid lineages may have occurred dur-

ing this time. Unlike the abundant Middle to Late Pliocene *A. afarensis* material recovered from East Africa, which demonstrated the hallmark hominid attribute of bipedality, the scant fossil evidence from the earlier 9–4 Ma range is completely dental/gnathic and consists of only two specimens adequately described in the literature. The first is the lower molar from Lukeino (KNM-LN 335), central Kenya, which was bracketed by potassium-argon dates of 7 and 5.4 Ma (Pickford, 1975). The second is the mandibular fragment from Lothagam (KNM-LT 329), northern Kenya, which has been varia-

bly suggested to be as old as 6 Ma (Smart, 1976; Coppens, 1978), between 5.5 and 5 Ma (Patterson et al., 1970; Behrensmeyer, 1976), and, most recently, has been radiometrically bracketed between 8.5 and 3.8 Ma (Brown et al., 1985). Most researchers today agree upon an age range of 6–5 Ma for Lothagam.

The purpose of this work is to determine what, if any, dental/gnathic features can be employed to distinguish hominids from pongids near the point of these clades' evolutionary divergence and to apply these to the few fossils known from the time range in question. While the Lukeino specimen is simply a solitary molar, the Lothagam fossil consists of a partial right mandibular corpus with M_1 intact, as well as the roots of M_2, and it is therefore potentially more informative with respect to the goal of this study. As a result, the focus of this paper will be concentrated on the Lothagam mandible.

HISTORY OF INTERPRETATION

The chronological placement of Lothagam and its relatively fragmentary condition have contributed to its wide and varied array of taxonomic assignations. Found in 1967 by an expedition from Harvard University's Museum of Comparative Zoology at Lothagam Hill, southwest of Lake Turkana, Kenya, it was originally attributed to *Australopithecus* sp. cf. *A. africanus* (Patterson et al., 1970). While Tobias (1978) and Simons (1978) first echoed this viewpoint, the latter revised his position by declining to speculate on its specific affinity; rather, he simply stated that Lothagam "resembles early *Australopithecus*" (Simons, 1981, p. 22). Others have refused even to offer a generic label for this specimen, preferring instead Hominidae indet. These researchers include Howell (1978), who noted that Lothagam lacked the lateral toral and internal basilar thickening that characterized even the small australopithecines, and Szalay and Delson (1979), who felt that its fragmentary condition precluded a generic assessment. McHenry and Corruccini (1980) and Corruccini and McHenry (1980) also preferred Hominidae indet., because their metrical analyses using Penrose shape distances, Mahalonobis' D, canonical variates, and morphometric cladistics demonstrated Lothagam's intermediacy between *A. afarensis* and modern African pongids. Tanner shares the equivocal feelings of the above researchers, stating that "this fossil could represent either the ancestral ape line

or be a remnant of the transitional population" (1981, p. 171). A still more cautious assignment to Hominoidea indet. is offered by White (1977a) as a result of the orientation of the mental foramen, the flattened lateral corpus contours, the horizontal extension of the ramus base, and the strong subalveolar hollowing in KNM-LT 329, all of which he regards as pongid-like features. White (1986), in a recent reappraisal of the Lothagam fossil, still maintains his original belief that the specimen is not diagnostic at lower than the superfamily level.

Finally, some researchers have concluded that the Lothagam mandible is not a representative of the human family. Eckhardt (1977) regarded Lothagam as being morphologically similar to the large dryopithecine palate from Moroto, Uganda, because the two specimens occluded. He also stated that "sixteen repeatable measurements" metrically align this specimen with "modern African pongids" (Eckhardt, 1977, p. 356). R.E.F. Leakey's analysis (1973) of the specimen led him to speculate that the Lothagam fossil was a representative of a late surviving species of *Ramapithecus*.

MATERIALS AND METHODS

Upon analysis, the Lothagam literature reveals three basic hypotheses concerning this enigmatic specimen's evolutionary position. The first, championed by Leakey (1973) and to a lesser degree by Eckhardt (1977), contends that KNM-LT 329 is representative of a predivergent stock of ancestral hominoids or perhaps is a member of a relict sidebranch of hominoids that left no descendants. The second, propounded for the most part by Eckhardt (1977), suggests that Lothagam is a member of the postdivergent African ape clade. The third hypothesis, which is by far the most popular among those who have studied the specimen, states that Lothagam represents the earliest of the Hominidae.

Testing the first hypothesis required an analysis of the group of hominoids generally believed to be ancestral to both the hominids and the pongids. The Middle to Late Miocene ramapithecines of Africa were most likely to include these ancestors (Pilbeam, 1979, 1985; Greenfield, 1980; Lipson and Pilbeam, 1982; Wolpoff, 1982). In this analysis, the Euroafrican ramapithecines were studied because their geographical proximity to the earliest East African hominid localities made them more likely to include the ancestors of the

first hominids than their Asian counterparts, which in any event appear to include the ancestors of *Pongo* and *Gigantopithecus* (Pilbeam, 1979, 1985; Andrews and Tekkaya, 1980; Wolpoff, 1982; Andrews, 1983; Ward and Kimbel, 1983; Shea, 1985). The comparative sample employed here included dental specimens preserving at least one complete lower first molar (N = 23) from the Kenyan site of Fort Ternan, the Turkish sites of Pasalar, Çandir, and Mt. Sinap, the Hungarian locality of Rudabànya, and from Ravin de la Pluie, Greece. These sites were subdivided into an early group including Ft. Ternan, Pasalar, and Çandir, dating to approximately 15–14 Ma, and a later group including Mt. Sinap, Rudabànya, and Ravin de la Pluie, which dates to about 11–10 Ma (Szalay and Delson, 1979; de Bonis et al., 1981; Simons, 1981; Morbeck, 1983; Pilbeam, 1985). These subdivisions were created to facilitate the appreciation of the graphic comparisons presented in this investigation. Ramapithecine mandibular specimens that preserved at least part of the equivalent morphology found in KNM-LT 329 (N = 9) were taken from Rudabànya and Ravin de la Pluie.

A sample of modern chimpanzees was analyzed to address the second hypothesis, which stated that Lothagam was a representative of the African pongid clade. This modern sample was employed because there are, unfortunately, no fossil remains now known that can be attributed to the specific ancestors of the modern African apes. Chimpanzees were chosen for study rather than gorillas because of their approximate size similarity to Lothagam and because of their more generalized skeletal and dental form when compared to the gorilla. Eighty-three dental and 63 mandibular specimens from the Cleveland Museum of Natural History formed this chimpanzee sample.

Unlike earlier attempts to ascertain the evolutionary affinities of the Lothagam fossil, this study greatly benefits from the large sample of *Australopithecus afarensis* specimens now generally available for analysis (Johanson et al., 1982; White and Johanson, 1982). This bears directly on the testing of the "Lothagam as hominid" hypothesis. The most recent publications that addressed this issue (McHenry and Corruccini, 1980; Corruccini and McHenry, 1980) were able to include in their work only three *A. afarensis* specimens from Laetoli and none from Hadar. The *A. afarensis* sample analyzed in this study includes 22 dental specimens (six from Laetoli and 16 from Hadar) and 16 mandibular specimens (three from Laetoli and 13 from Hadar). Finally, since the first reports dealing with KNM-LT 329 mentioned its similarity to South African *A. africanus* (Patterson et al., 1970; Simons, 1978), a sample of these australopithecines, from Taung, Sterkfontein, and Makapansgat, was studied as well (dental, N = 17; mandibular, N = 11).

Dental and mandibular metrics were computed for each sample and compared to those taken on the original Lothagam specimen (by M.H. Wolpoff). The raw mesiodistal length and buccolingual breadth measurements on the chimpanzee dental sample and the dental/gnathic measurements on the original ramapithecine and *A. africanus* fossil material were also provided by Wolpoff. Mandibular metrics of the chimpanzee mandibles were taken by me from the collections at the Cleveland Museum of Natural History. *Australopithecus afarensis* metric data were taken from White (1977b, 1980), Johanson et al. (1982), White and Johanson (1982), as well as from Wolpoff's unpublished measurements. Morphological comparisons between the primary cast of Lothagam (provided to the University of Michigan by its discoverer, B. Patterson) and representatives of chimpanzee specimens, ramapithecines (primary casts), and australopithecines (primary casts) were also undertaken. A comprehensive list of the fossil specimens employed in this study may be found in Table 1.

Description of KNM-LT 329

White's published description (1977a; pp. 222–223) of the original mandibular corpus will be employed in association with my own observations made on the primary cast of KNM-LT 329 in this brief description of the specimen. An anatomical description of the M_1 will also be provided, since none has been published.

The specimen consists of a "fragment of adult right mandibular corpus including the crown of M_1 and broken roots of M_2 and M_3. The basal contour is intact from below the M_2 roots to about 10 mm behind the M_3 level and the ends of the fragment have suffered jagged fractures" (White, 1977a, p. 222). There is an anteroposteriorly oriented crack running the length of the fragment on both the lateral and medial aspects. It is widest beneath the M_3 roots and thinnest beneath the M_1.

Chimpanzee sample
  Eighty-three first molars from the Cleveland
    Museum of Natural History Hamann-Todd
    Collection
  Sixty-three mandibular specimens from the
    Cleveland Museum of Natural History
    Hamann-Todd Collection

Ramapithecine sample
  Dental: Early-Fort Ternan 7; Pasalar 5, 31, 61,
    62, 65, 68, 70A, 74, 1298, 1308;
    Çandir 1; Late-"Ankarapithecus 1" (Mt. Sinap);
    Rudabànya 1, 2, 3, 11, 14, 16, 17;
    Ravin de la Pluie 54, 55, 75
  Mandibular: Rudabànya 1, 2, 14, 17; Ravin de la
    Pluie 54, 55, 56, 75, 197

Australopithecus afarensis
  Dental: L.H. 2, 3, 4, 14, 15, 16; A.L. 128–23,
    145–35, 198–1, 200–1b, 207–13, 266–1,
    277–1, 288–1i, 333w–1, 333w–12, 333w–27,
    333w–32,60, 333w–57, 333w–59, 333w–74,
    400–1a
  Mandibular: L.H. 4, 10, 13; A.L. 128–23, 145–35,
    188–1, 198–1, 207–13, 266–1, 277–1,
    288–1i, 311–1, 333w–1, 333w–12,
    333w–60, 400–1

Australopithecus africanus
  Dental: Taung 1; MLD 2, 18, 24, 29, 40; Sts. 4,
    6, 7, 9, 18, 24, 36, 52, w–14, w–39,
    w–80
  Mandibular: MLD 2, 18, 22, 29, 34, 40; Sts. 7, 36,
    52, w–14, w–84

Morphology of the corpus

Lateral aspect: A weak lateral prominence marks the root of the ramus. "The root of the ramus is lateral to M_3 and placed high on the corpus. The extramolar sulcus is shallow and narrow. . . . The fragment essentially lacks a lateral superior torus but had a bulging alveolar portion" (White, 1977a, pp. 222–223). An outswing of the lateral corpus anterior to the M_1 may have led to a large canine socket, possibly conferring a U shape to the dental arcade. The possibility that this outswing may have been the result of postmortem deformation, though, cannot be definitively ruled out (White, personal communication). The single mental foramen is an elongate oval, opening anterosuperiorly. It is located above midcorpus height at the P_4/M_1 position.

Medial aspect: "The medial corpus contours show a pronounced and extensive subalveolar fossa, occupying over 2/3 of the corpus height below the M_2 position" (White, 1977a, p. 223). There is very slight development of the inferior transverse torus immediately beneath the M_1.

Basal aspect: The basal contour is thin and follows a slight S-shaped curve. It is thickest beneath M_2/M_3 and thinnest immediately posterior to M_3.

Morphology of the M_1

The occlusal outline is a rounded square. The tooth is very heavily worn, the metaconid remaining the highest cusp. There is continuous dentine exposure along the buccal cusps; a dentine crater measuring 3.2 mm

buccolingually pits the protoconid. The hypoconid and hypoconulid form a continuous dentine crater that is deep and trapezoidally shaped. It measures 4.2 mm buccolingually by 5.6 mm mesiodistally. Neither metaconid nor entoconid are pitted. The large, concave, mesial interproximal wear facet measures 5.6 mm buccolingually; the distal interproximal wear facet is smaller, displaced lingually, flat, and measures 4.2 mm buccolingually. Both reach the occlusal rim.

The five major cusps from largest to smallest are protoconid=metaconid, hypoconid, entoconid, hypoconulid. The hypoconulid is very reduced and situated on the midline. The buccal face is strongly bilobed because of the strong convexity of the buccal cusp bases. The lingual face is more vertical. There is a well-marked, mesial buccal groove running from the occlusal edge to the enamel line; there is no lingual groove.

RESULTS
Morphology

The Lothagam specimen displays a mosaic of features some of which are shared with and others of which are dissimilar to the combined nonhominid sample. The shared features include the "pongid-like . . . flattened lateral corpus contours" and "the clear anterior opening of the single [mental] foramen" (White, 1977a, p. 308). The outswing lateral to the M_1 on the Lothagam mandible is greater than that seen in any hominid and may indicate that before broken the mandible had a very large canine and a pongid-like, U-shaped arcade. Moreover, KNM-LT 329 possesses a narrow and shallow extra-

molar sulcus and a thin corpus base, features seen in *Pan* and the smaller ramapithecines. However, in chimpanzees (B-411, B-1738, B-1768) approaching the corpus height of Lothagam, the extramolar sulcus is usually wider and deeper.

Differences noted between Lothagam and the nonhominid sample include a relatively anterior position for the origin of the ascending ramus (determined by which teeth are obscured by the ascending ramus when the mandible is viewed in proper lateral aspect, as in White [1977a]) and the wide alveolus (determined by the minimum distance between the buccal and lingual alveolar margins at M_1/M_2) in Lothagam. In the chimpanzees and ramapithecines the ramus origin is generally centered about M_3, while in the Lothagam mandible the ramus originates at M_2/M_3 (White, 1977a). Concerning the broad alveolus in KNM-LT 329, it is interesting to note that it is wider than that seen in even larger toothed ramapithecines such as RPL 75.

In features that depart from the nonhominid state described above, Lothagam approaches the condition observed in the australopithecines. The hominids and KNM-LT 329 share the wide alveolus and relatively anterior position of the origin of the ascending ramus, around the M_2/M_3 position. Also, the vertical position of the mental foramen, above the midcorpus in KNM-LT 329, is uncharacteristic of the ramapithecines and *Pan*, whose foramina are commonly closer to the base of the corpus. In *A. afarensis*, the mental foramen may be slightly above midcorpus (A.L. 333w-la, A.L. 145–35) at midcorpus (A.L. 400–1, A.L. 188–1, A.L. 128–23) or below the midcorpus position (A.L. 266–1, A.L. 333w-12, A.L. 277–1), while in *A. africanus* it is uniformly above (White et al., 1981). However, this is not to say that Lothagam resembles both species equally; in fact, it shares much more in common with *A. afarensis* (contradicting Corruccini and McHenry, 1980). Though these shared characters are considered to be symplesiomorphic, it is important to note that these same primitive retentions, which led to the reluctance of White (1977a) and Howell (1978) to accept Lothagam as an australopithecine, are now seen as characteristic of the earliest hominid, *A. afarensis*. For example, the "pongid-like" anterior orientation of the mental foramen in KNM-LT 329 (White, 1977a, p. 308) is now recognized to be char-

acteristic of *A. afarensis*, as demonstrated in A.L. 145–35 and A.L. 333w-1a,1b (White et al., 1981; Kimbel et al., 1984). Also, the primitive nature of the subalveolar hollowing (White, 1977a) and thin mandibular corpus base can be found within the normal range of variation in *A. afarensis*. A series of Hadar mandibles that illustrate this range of subalveolar hollowing and thin base can be listed (from thinnest to thickest): A.L. 198–1, A.L. 333w-12, A.L. 188–1, A.L. 145–35, A.L. 333w-1a, A.L. 333w-60. Of these specimens, A.L. 198–1 very closely resembles KNM-LT 329. Their posterior cross sections are markedly similar, while their basal aspects are both quite thin, each displaying a pregonial concavity on the lingual aspect that imparts a slight S-shaped curve to the base. Other primitive features shared between Lothagam and *A. afarensis* include the flattened lateral corpus contours (White and Johanson, 1982) and narrow and shallow extramolar sulci (White et al., 1981).

Concerning virtually all of the above features, the *A. africanus* condition is derived (White et al., 1981; Wolpoff, 1983). These apomorphies (with respect to the ramapithecines, *Pan*, and *A. afarensis*, as well as Lothagam) include a mental foramen that opens laterally (MLD 18, 40; Sts. 7), a well-developed superior transverse torus (MLD 18, 40), a thick corpus base (MLD 40), a thickened lateral corpus (MLD 18, 40), and a broad and deep extramolar sulcus (MLD 40; Sts. 52b). Additionally, *A. africanus* is increasingly derived with respect to some hominid apomorphies noted in *A. afarensis* and in Lothagam. These features include a mental foramen placed well above midcorpus height, the absolutely large occlusal area of the M_1, an absolutely thicker and taller mandibular corpus, and a very reduced canine.

Morphological comparisons between the first molars of KNM-LT 329 and representatives from the other samples revealed a mixture of primitive and derived features in the Lothagam specimen. The Lothagam M_1 is thickly enameled; there is extensive dentine exposure on the heavily worn buccal occlusal surface, and though there is appreciable wear on the lingual cusps, neither is perforated. Chimpanzee teeth are uniformly thinly enameled (Gantt, 1977, 1983), and all cusp tips perforate during the initial phases of wear. Ramapithecine and australopithecine dentitions are all thickly enameled (Simons and Pilbeam, 1972; Kay, 1981; Ward and Pil-

beam, 1983). However, it is important to note Martin's work (1983, 1985) concerning hominoid dental enamel, which demonstrates that the "thick/thin" dichotomy is only partially informative with respect to evolutionary relationships. The speed at which the dental enamel prisms form during development is considered to be at least as phylogenetically important as gross thickness. Unfortunately, this evidence as it relates to Lothagam is unavailable since it requires sectioning of the tooth and since "studies . . . based on estimates on worn teeth or naturally fractured teeth, are of limited value" (Martin, 1985, p. 260).

The wear slope displayed on the Lothagam M_1 is shared by the chimpanzee, ramapithecine, and A. afarensis samples. This pattern of extreme buccal coupled with moderate to light lingual wear (Smith, 1984) is not seen in the majority of A. africanus specimens. Unlike Lothagam, which retains a high and well-delineated lingual profile, an A. africanus specimen with the same degree of buccal wear (MLD 40) is worn nearly flat on its lingual occlusal surface.

The reduction of the hypoconulid in the M_1 of KNM-LT 329 is a feature shared only with the australopithecines. The ramapithecines and Pan both possess well-defined hypoconulids that confer a rounded, rectangular shape to their lower molars. The rounded square shape of the Australopithecus M_1 is due in large part to the reduced hypoconulid, while interproximal attrition probably contributed to this condition as well.

Subocclusally, the Lothagam M_1 shares a high root divergence (close to the crown of the tooth) with the ramapithecines and Australopithecus, while chimpanzee roots normally bifurcate more inferiorly with respect to the crown. As in A. afarensis, the Lothagam M_2 displays a mesial root that is broader buccolingually than the distal root (Ward et al., 1982). This appears to be the case as well in A. africanus and the ramapithecines but not in Pan, whose roots are approximately equivalent in breadth.

Metrics

Minimum breadth and height measurements of the mandibular corpus at the M_1/M_2 position were taken for every specimen in each sample. Sample means and ranges were recorded and compared with measurements derived from the Lothagam specimen. The corpus breadth of 19.5 mm for Lothagam

exceeded not only all nine ramapithecines measured but also the entire sample of 63 chimpanzees. Conversely, only one of ten A. africanus specimens (MLD 34) were thicker at M_1/M_2 than KNM-LT 329. Lothagam most closely approximated the A. afarensis sample mean of 20.9 mm, a figure less than 1.5 mm larger than the breadth of the Lothagam mandible (Fig. 1).

The minimum height at M_1/M_2 of KNM-LT 329 is distorted by a crack on its lingual surface, which measures 1.1 mm vertically, posteroinferior to the M_1. The height I have reported for Lothagam, 32.1 mm, takes this crack into account. Seven ramapithecine specimens provided height measurements, three from Rudabànya and four from Ravin de la Pluie. Though none of the Hungarian specimens was as tall as Lothagam, three of the four mandibles from Ravin exceeded the Lothagam corpus height; in fact, two (RPL 56, 75) were over 10 mm taller. When corpus height was compared to the australopithecines, KNM-LT 329 fell within the range of both species, though it was closer to the A. afarensis mean (about .5 mm taller) and farther from A. africanus (over 1.5 mm shorter). Of the 63 chimpanzee mandibular corpus heights recorded, only two exceeded the height of Lothagam (Fig. 2).

When mandibular corpus breadth was divided by height, both australopithecine species demonstrated a tendency for increasing breadth relative to height; in A. afarensis the mean breadth/height quotient was .66, while in A. africanus it was .71 (Fig. 3). Conversely, both Pan and the ramapithecines displayed mandibular corpora that, on average, were half as thick as they were tall; both the ramapithecine and Pan means computed to .49. Lothagam's quotient of .61 sorts most closely with the A. afarensis average, though it is not out of the ranges of the Pan and ramapithecine samples. Table 2 summarizes the results of the mandibular metric comparisons.

In the dental metrics, M_1 occlusal area was estimated for each specimen by multiplying the length and breadth measurements of each tooth. An occlusal area of 163.8 mm² was calculated for the Lothagam M_1, a figure surpassing 22 of 24 ramapithecine M_1 areas, whose mean as a sample was 113.2 mm² (Fig. 4). It is interesting to note, however, that relative to mandibular height (M_1 occlusal area as a percentage of mandibular height squared) the Lothagam M_1 was larger than even the largest toothed ramapithecine, RPL
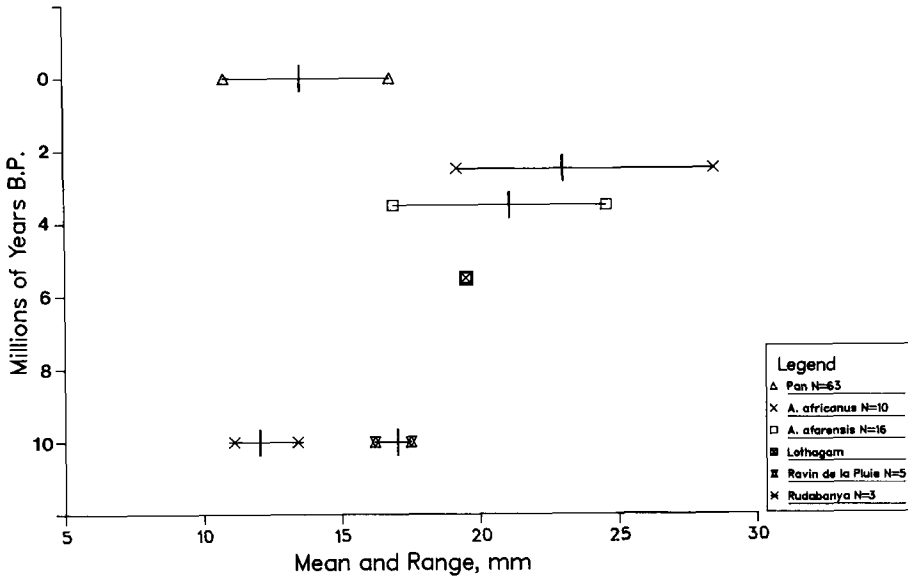
Fig. 1. The means and ranges of minimum mandibular corpus breadth, taken at M_1/M_2, are displayed for each sample and for Lothagam.
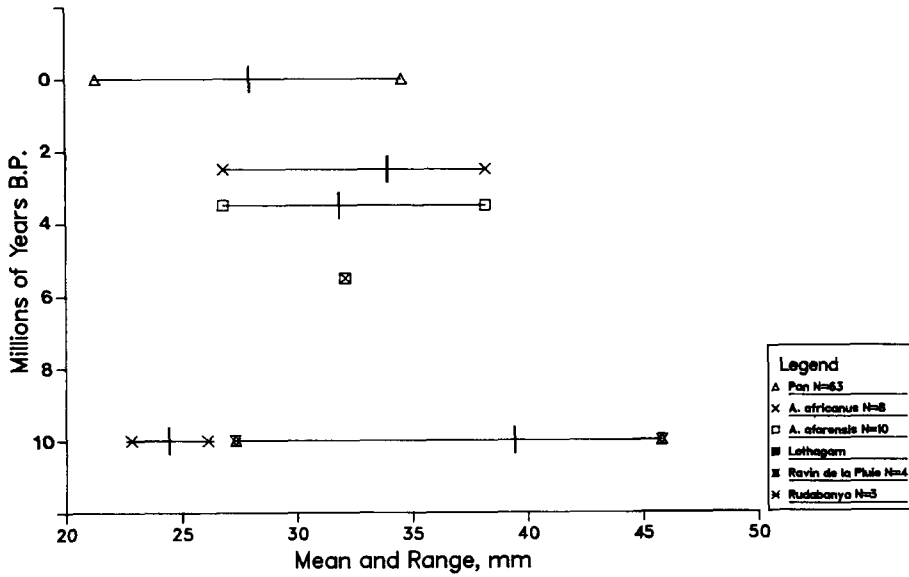

Fig. 2. The means and ranges of minimum mandibular corpus height, taken at M_1/M_2, are displayed for each sample and for Lothagam.
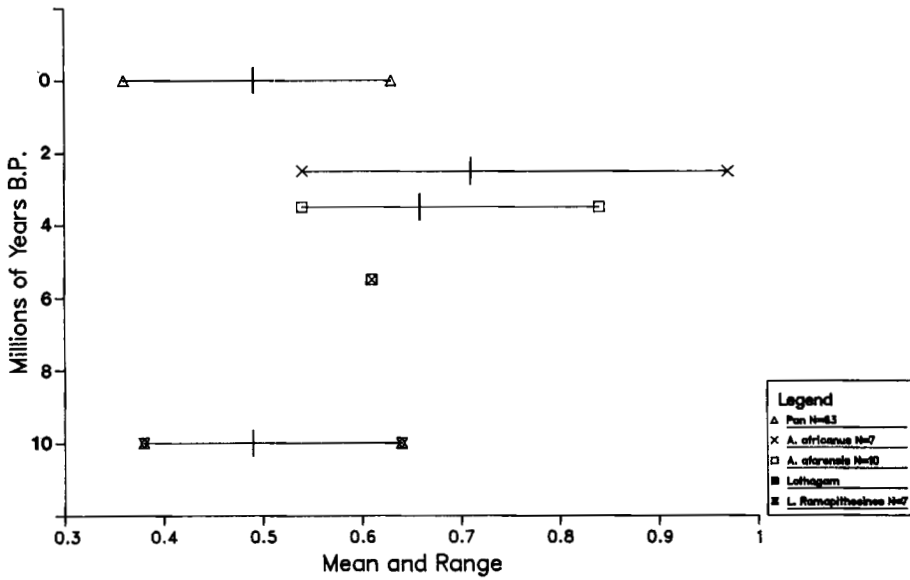
Fig. 3. The means and ranges of mandibular corpus breadth divided by height, taken at M_1/M_2, are displayed for each sample and for Lothagam. Only those specimens from which both a breadth and height were available were used in this measurement.
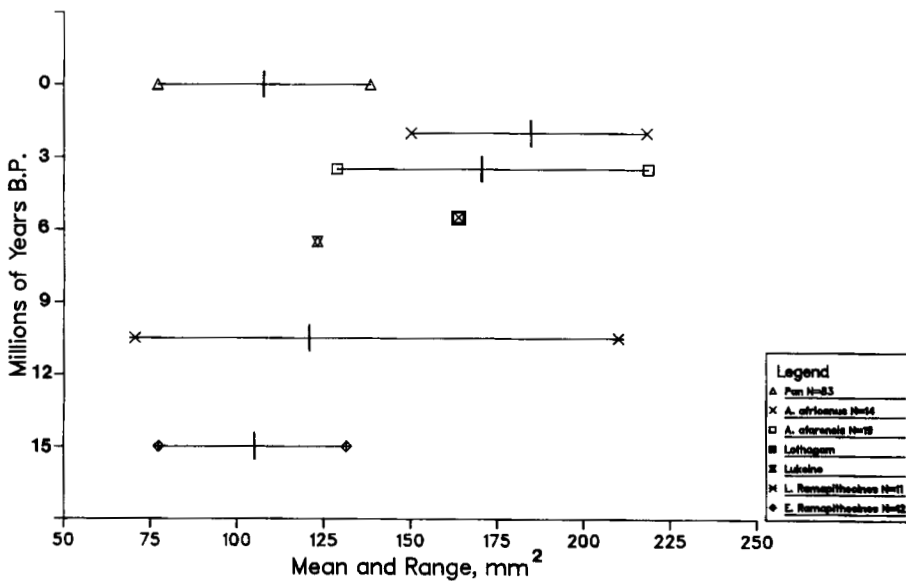

Fig. 4 The means and ranges of the length times the breadth of the M_1 (estimated occlusal area) are displayed for each sample and for Lukeino and Lothagam. Only those specimens from which both an M_1 length and breadth were available were used in this measurement.

TABLE 2. Summary of mandibular metric results[1]

Sample	Breadth		Height		Breadth/height		Alveolar breadth	
	N	Mean	SD	N	Mean	SD	N	Mean	SD	N	Mean	SD

Sample	Breadth N	Breadth Mean	Breadth SD	Height N	Height Mean	Height SD	Breadth/height N	Breadth/height Mean	Breadth/height SD	Alveolar breadth N	Alveolar breadth Mean	Alveolar breadth SD
Rudabánya	3	12.0	1.22	3	24.4	1.53	3	0.50	0.02	1	6.3	
Ravin de la Pluie	5	17.0	0.51	4	39.3	7.75	4	0.49	0.12	4	8.8	0.46
Ramapithecine Total	8	15.1	2.70	7	32.9	9.72	7	0.49	0.09	5	8.3	1.20
Pan	63	13.6	1.03	63	27.8	2.70	63	0.49	0.05	42	7.1	0.92
Lothagam		19.5			32.1			0.61			11.6	
A. afarensis	16	20.9	2.18	10	31.4	3.30	10	0.66	0.10	13	10.0	1.21
A. africanus	10	22.9	2.60	8	33.8	3.90	7	0.71	0.16	7	11.0	1.03

[1]Mandibular corpus and alveolar measurements are minimums at M_1/M_2, as described in the text. Fossil specimens were used in the breadth/height measurement only if both breadth and height were available from the same specimen.

75 (.16 in KNM-LT 329, .12 in RPL 75). This ratio was used to demonstrate the relatively large size of the Lothagam M_1 by holding mandibular corpus height constant.

In comparisons with the chimpanzee sample, Lothagam's occlusal area proved to be greater than all 83 of the ape molars. Though a similar relation holds for mandibular breadth, the difference in molar size is more dramatic, as illustrated by the following percentages; KNM-LT 329 was only 7% thicker than the thickest chimpanzee jaw, while its first molar was 16% larger than the largest chimpanzee M_1.

Once again, KNM-LT 329 falls within the australopithecine range of variation regarding its M_1 occlusal area, though it is markedly closer to the *A. afarensis* mean of 171.2 mm^2. The *A. africanus* average of 184.1 mm^2 is 20.3 mm^2 larger than Lothagam and only four of 14 *A. africanus* M_1's are smaller than Lothagam's.

An interesting feature shared between Lothagam and the australopithecines that bears on the taxonomic status of KNM-LT 329 is the relative equivalence of the length and breadth of the first molar (Fig. 5). The length/breadth ratio in Lothagam is .98, the *A. afarensis* average is 1.01, while the *A. afarensis* sample averages 1.02. Conversely,

none of the 23 ramapithecine first molars are broader than they are long, and as a sample their length/breadth quotient is 1.09. Of 83 chimpanzee M_1's, 78 have lengths exceeding their breadth, and the chimpanzee sample mean in this measure is 1.11. These ratios were very similar when age (as determined by occlusal wear on the M_1) was held constant. Though interproximal attrition is known to shorten the mesiodistal length of molars, chimpanzees (N = 9) and specimens of *A. afarensis* (N = 5) that exhibited occlusal wear similar to that of Lothagam fell extremely close to their whole sample means in the M_1 length/breadth quotient (1.10 and 1.02, respectively). A summary of the results of the M_1 metric comparisons is provided in Table 3.

DISCUSSION

The morphological and metric comparisons afford the opportunity to assess the evolutionary affinities of the Lothagam mandible within the framework of the three hypotheses: 1) Lothagam as predivergence, ancestral hominoid; 2) Lothagam as postdivergence, African protopongid; and 3) Lothagam as postdivergence, early hominid. All three hypotheses generally accept the same phylogeny for the origin of the hominid and
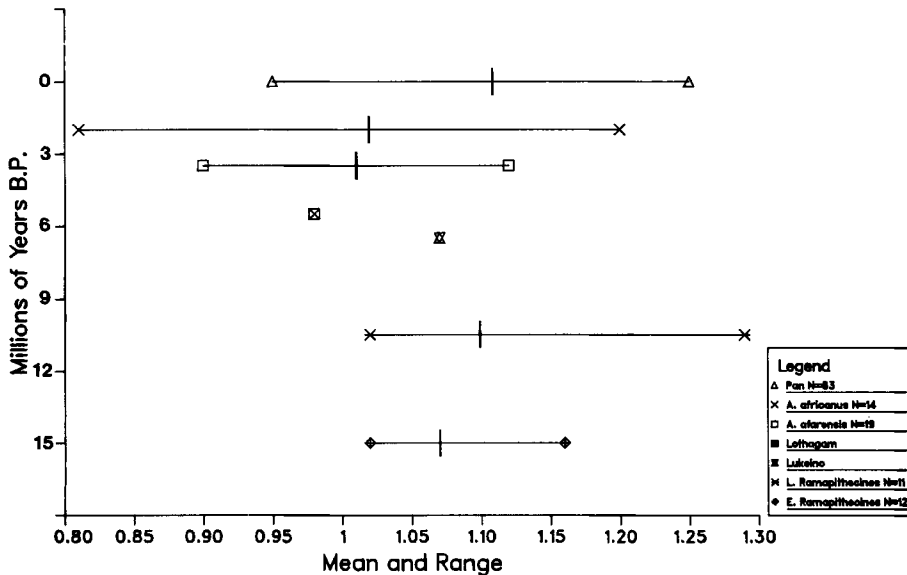

Fig. 5. The means and ranges of the length divided by the breadth of the M_1 are displayed for each sample and for Lukeino and Lothagam. Only those specimens from which both an M_1 length and breadth were available were used in this measurement.

TABLE 3. Summary of M_1 metric results[1]

Sample	M_1 Length			M_1 Breadth			M_1 Area			M_1 Length/breadth		
	N	Mean	SD	N	Mean	SD	N	Mean	SD	N	Mean	SD
Early ramapithecines[2]	12	10.6	0.98	12	9.9	0.95	12	104.9	19.2	12	1.07	0.05
Late ramapithecines[3]	11	11.6	2.21	11	10.5	2.14	11	121.8	49.4	11	1.10	0.07
Ramapithecine Total	23	11.1	1.73	23	10.2	1.62	23	113.2	39.3	23	1.09	0.06
Pan	83	10.9	0.71	83	9.8	0.68	83	106.8	12.8	83	1.11	0.07
Lukeino		11.5			10.7			123.1			1.07	
Lothagam		12.7			12.9			163.8			0.98	
A. afarensis	21	13.2	1.10	20	13.0	0.94	19	171.2	24.7	19	1.01	0.06
A. aficanus	16	13.6	1.13	15	13.4	0.99	14	184.1	24.3	14	1.02	0.11

[1] M_1 area is mesiodistal length times buccolingual breadth, as described in the text. Fossil specimens were used in M_1 area and M_1 length/breadth measurements only if both length and breadth were available from the same specimen.
[2] Early ramapithecines from Ft. Ternan, Pasalar, and Çandir dated 15–14 Ma.
[3] Late ramapithecines from Mt. Sinap, Rudabànya, Ravin de la Pluie dated 11–10 Ma.

TABLE 4. Summary of the morphological and metric comparisons generated by this study[1]

Feature	Condition in				
	Ramapithecines	Pan	Lothagam	A. afarensis	A. africanus
Mental foramen orientation	Anterior-superior	Anterior-superior	Anterior-superior	Anterior-superior	Lateral
Mental foramen vertical position	Below midcorpus	Below midcorpus	Slightly above midcorpus	Slightly above or below	Above midcorpus
Lateral corpus	Flattened	Flattened	Flattened	Flattened	Inflated
Ascending ramus origin	Relatively distal	Relatively distal	Relatively mesial	Relatively mesial	Relatively mesial
Corpus base	Thin–thick	Thin	Thin	Thin–moderate	Thick
Extramolar sulcus width	Narrow–wide	Narrow	Narrow	Narrow–moderate	Moderate–wide
Extramolar sulcus depth	Shallow–deep	Shallow	Shallow	Shallow	Deep
Alveolus	Thin	Thin	Wide	Wide	Wide
M_1 wear slope	Heavy buccal	Heavy buccal	Heavy buccal	Heavy buccal	Flat
Root divergence	High	Low	High	High	High
Molar enamel	Thick	Thin	Thick	Thick	Thick
Hypoconulid	Well developed	Well developed	Reduced	Reduced	Reduced
M_1 length/breadth	Greater than one	Greater than one	Less than one	About one	About one
M_1 area	Small–large	Small	Large	Large	Large
Mandibular corpus br.	Thin–moderate	Thin	Thick	Thick	Very thick
Mandibular corpus ht.	Short–tall	Short	Tall	Tall	Very tall
Mandibular corpus br./ht.	About one half	About one half	Greater than one half	Greater than one half	Greater than one half
Canine size	Large	Large	Large(?)	Reduced	Very reduced
Dental arcade shape	U shaped	U shaped	U shaped(?)	V shaped	V shaped

pongid clades, namely, that both were derived from ramapithecine stock in a cladogenetic event that occured between 14–5 Ma (Sarich and Wilson, 1967; Pilbeam, 1979, 1985; Greenfield, 1980, 1983; Szalay and Delson, 1979; Simons, 1981; Wolpoff, 1980, 1983; Kay and Simons, 1983; Ward and Kimbel, 1983; Gingerich, 1984). Therefore, the ramapithecine condition is generally agreed to be plesiomorphic for these three groups, while deviations from this state in the pongid and hominid lines are necessarily apomorphies.

Table 4 provides a summary of the morphological and metric comparisons presented in the following discussion. Many primitive retentions in KNM-LT 329 lend phenetic support to the first hypothesis, including anterior-superior mental foramen orientation, flattened lateral corpus contours, thick molar enamel, a well-marked buccolingual M_1 wear gradient, the possibility of a large canine and a U-shaped dental arcade, and a high root divergence. However, Lothagam displays other decidedly derived morphological attributes. These include a relatively mesial ascending ramus origin, a wide alveolus, a mental foramen above midcorpus height, and a reduced hypoconulid. The metric results do not support the first hypothesis either, since Lothagam is thicker than all nine ramapithecine mandibular corpora. KNM-LT 329 also displayed a mandibular breadth/height ratio that exceeded seven of eight ramapithecine values. Dental metrics show that the Lothagam M_1 has an absolutely larger occlusal area than 22 of 24 ramapithecine M_1's and a relatively larger area (when compared to mandibular height) than the two absolutely larger ramapithecine first molars. Also, the M_1 of KNM-LT 329 is broader than long, a condition not seen in any of the ramapithecine M_1's. Thus, the first hypothesis does not seem to be the best fit to the data.

Similar findings are suggested when the second hypothesis is evaluated, though Lothagam in fact shares less with the chimpanzees than it did with the ramapithecines. This runs counter to the conclusions offered by Corruccini and McHenry, who stated that "it is phenetically much easier to derive the mandibular fossils from Lukeino, Lothagam, and Laetolil from a Pan-like predecessor than from Sivapithecus or Ramapithecus" (1980, p. 219). For example, Pan has a relatively wide extramolar sulcus for its mandibular

corpus height, reduced cheek tooth enamel thickness, and a relatively low molar root divergence. Lothagam diverges morphologically from the chimpanzee samples in all of the features that were previously listed for the ramapithecine comparisons. The metric differences, however, were even more dramatic, since only two of 63 chimpanzee mandibles were as tall, while none of the mandibles were as thick as Lothagam. Additionally, none of the 83 M_1's were as large as the M_1 of KNM-LT 329, while only five of 83 chimpanzee M_1's had buccolingual dimensions that exceeded their mesiodistal measurements.

Interestingly, although Lothagam certainly does not seem to fit this hypothesis, the other hominid fossil from this time range, the Lukeino molar, may represent a post divergence, African protopongid. Evidence supporting this notion includes its relatively small molar occlusal area, which falls within the Pan range, while being smaller than all of the A. afarensis M_1's. Lukeino's length/ breadth quotient of the M_1 is 1.07, and there is strong development of the hypoconulid, both of which are Pan-like features. It is unlikely that both the Lukeino and Lothagam individuals belonged to populations that were ancestral to the hominids, since there is a great size discrepancy in M_1 occlusal area between the two (123.1 mm^2 in KNM-LN 335, 163.8 mm^2 in KNM-LT 329; see Fig. 4, Table 3). Employing an A. afarensis sample variance, KNM-LT 329 and KNM-LN 335 are slightly less than two standard deviations away from each other, but if a Pan sample variance is used instead, the Lukeino molar is almost three standard deviations smaller than Lothagam. However, since the Lukeino M_1 is only four square millimeters smaller than the smallest A. afarensis specimen (A.L. 288-1), membership in an ancestral hominid population cannot be conclusively ruled out.

The "Lothagam as hominid" hypothesis decidedly receives the most support from the results generated by this study (for an alternative view, see White, 1986). The apomorphies that are shared between Lothagam and the genus Australopithecus are the same features that distinguished KNM-LT 329 from the ramapithecines and Pan. These decisive, derived features are a relatively mesial ascending ramus origin, a wide alveolus, a mental foramen above midcorpus height, a very reduced hypoconulid, an absolutely

thick mandibular corpus, a mandibular corpus breadth approaching its height, an absolutely and relatively large occlusal area of the M_1, and an approximately equivalent length and breadth of the first molar.

CONCLUSION

It is hoped that the foregoing discussion has at least demonstrated the likelihood of hominid status for the Lothagam mandible. Contradicting Leakey (1973), KNM-LT 329 cannot be classed as a ramapithecine, since it displays the numerous hominid apomorphies enumerated above (see Table 4). There is even less support for the view that Lothagam is representative of an ancestral population on the African ape clade. In addition to the apomorphies shared between the hominids and Lothagam, Pan is derived away from the ramapithecines, hominids, and Lothagam in its relative extramolar sulcus breadth, thin molar enamel, and low root divergence. Interestingly, in 12 of Eckhardts' 16 repeatable measurements taken on chimpanzees and gorillas (1977), Lothagam falls roughly between both ranges of the extant hominoids. His contention that the "Lothagam mandible resembles modern African pongids" (Eckhardt, 1977, p. 356) would be supported if KNM-LT 329 consistently fell within the range of one or the other great apes, but as his results stand, Lothagam can only be interpreted as metrically occupying an intermediate position between Pan and Gorilla, a position similar to that occupied by the earliest hominids and their immediate forebears.

If Lothagam is to be accepted as hominid, the question remains: To which hominid species should it be assigned? In deference to the original researchers who assigned KNM-LT 329 to A. africanus (Patterson et al., 1970; Simons, 1978; Tobias, 1978) it should be noted that this species of Australopithecus was the most primitive that was adequately described in the literature at that time. However, present evidence indicates that A. africanus is clearly derived away from the condition of Lothagam and A. afarensis in its mental foramen orientation, extramolar sulcus width, mandibular base thickness, lateral corpus contours, and molar wear pattern. In addition, A. africanus is increasingly derived regarding hominid trends initiated in A. afarensis and Lothagam in such features as the mental foramen placement, the occlusal area of the M_1, the dimensions of the

mandibular corpus, and the extreme reduction of the canine.

The majority of researchers who have studied the Lothagam mandible have appreciated its hominid affinities (Patterson et al., 1970; Howell, 1978; Simons, 1978, 1981; Tobias, 1978; Szalay and Delson, 1979; McHenry and Corruccini, 1980; Corruccini and McHenry, 1980; Tanner, 1981), but none had the opportunity to compare this fossil with an extensive sample of A. afarensis material. As can be appreciated from Table 4, KNM-LT 329 and A. afarensis exhibit nearly identical morphostates in all but two of the 19 comparisons. Lothagam and A. afarensis are derived with respect to the ramapithecines and Pan in their mental foramen vertical position, ascending ramus origin, alveolar breadth, reduced hypoconulid and M_1 length/breadth quotient, mandibular dimensions, and first molar dimensions. Australopithecus afarensis and Lothagam are symplesiomorphic with respect to A. africanus in the features listed in the previous paragraph.

The two morphological comparisons that differ between Lothagam and A. afarensis concern Lothagam's putative lateral corpus outswing anterior to the M_1. This may have led, first, to quite a large canine and may have conferred, second, a pongid-like U shape to the dental arcade. An outswing of this magnitude is not observed in any of the A. afarensis mandibles preserving the equivalent region. Though this may in fact be a significant difference between Lothagam and the first unequivocal hominids, it is not a surprising feature from a fossil that is nearly 2 million years older than the earliest A. afarensis dental/gnathic material. Australopithecus afarensis canines are primitive with respect to later hominids in that they project beyond the tooth row and wear similarly to pongids (Johanson and White, 1979; White et al., 1981). It seems reasonable to assume, therefore, that Lothagam's canines were functionally similar, though possibly larger, than those of the later A. afarensis populations. If Lothagam's canines were in fact larger than A. afarensis, this would once again illustrate the mosaic nature of hominid evolution, since it is clear that the molar and posterior portion of the mandible preserved in KNM-LT 329 is very similar to that seen in A. afarensis. Contradicting Jolly (1970), the presence of a large canine in association with an expanded M_1 occlusal area in Lothagam demonstrates that selection for

larger molars was not necessarily concomitant with a reduction in canine size (Kay, 1981). This combination of features present in KNM-LT 329 indicates that increase in molar size occurred early on in the course of hominid evolution, while canine reduction proceeded at a less rapid pace. This evidence supports a gradualistic transformation of the earliest hominid masticatory apparatus.

Despite its early date and the possiblity that it possessed a large canine and U-shaped arcade, the abundant hominid apomorphies displayed in KNM-LT 329 supports the provisional placement of the Lothagam mandible into the taxon *Australopithecus* sp. cf. *A. afarensis*, thereby conferring upon it the title of earliest australopithecine known to date.

The appreciation of Lothagam as an australopithecine is informative with respect to which dental/gnathic features can be diagnostic in determining the evolutionary affinities of hominoid fossils derived from the Mio/Pliocene. Postdivergence representatives of the pongid clade will be expected to display reduced, slowly forming cheek tooth enamel (Martin, 1983, 1985), a feature present in extant African apes that allows for the efficient microshearing of forest fruits and leaf (Kay, 1977, 1981; Scheine and Kay, 1977). Perhaps X rays should be taken, or microsectioning performed, on unworn dental remains from this time range (such as Lukeino) in an attempt to determine enamel thickness and speed of formation (Martin, 1985). Also, a lowering of the root divergence of the molars would be expected, since this is the condition in *Pan*. The presence of a large canine, though, would probably not be diagnostic of either clade, since the purported large canine of the Lothagam mandible indicates that appreciable molar size increase began before significant canine reduction occurred.

Conversely, the apomorphies shared by Lothagam and the australopithecines reflect the hominization of the ancestral hominoid dental/gnathic complex. The hominids accentuated the trends initiated earlier by the ramapithecines in the Miocene (Wolpoff, 1982), which allowed for the exploitation of a wider range of foodstuffs including the hard package items available on the savannah (Jolly, 1970). This resulted in an even more powerful dental/gnathic complex, as indicated by the morphology already present in the Lothagam specimen. For example, the great absolute breadth of the mandibular corpus in conjunction with the width of the alveolus

indicates larger, stouter, perhaps more divergent molar roots, which could have anchored the highly stressed cheek teeth more securely in the jaw. The relatively mesial ascending ramus origin may have conferred a mechanical advantage that allowed for the generation of more powerful masticatory forces in Lothagam and the early hominids. Also, the relatively and absolutely large M_1 in Lothagam was a harbinger of the australopithecine trend of increasing molar size, which occurred over the following 4 million years.

Features such as these can be used to help distinguish the hominid from the nonhominid material in future Mio/Pliocene paleontological discoveries.

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