ORIGINAL ARTICLE

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Hypopnea in pediatric patients with obesity hypertension

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Abstract Obesity is associated with the development of hypertension but it is still not clear why hypertension is not observed in all obese patients. Obesity is a risk factor for the development of obstructive sleep apnea syndrome (OSAS) in children. OSAS has been linked to the development of hypertension in adults and children. The purpose of this study was to test the hypothesis that OSAS is one of the reasons that some obese children are hypertensive and some are not. The overnight polysomnography records of 90 patients (aged 4.2-18.8 years) were reviewed. BMI_{score} [body mass index (BMI)/95th percentile BMI for age, sex, and race] was used to express the degree of obesity. The severity of systolic hypertension and diastolic hypertension were expressed as SBP_{score} (systolic BP/the 95th percentile systolic BP for age, sex, and height) and DBP_{score} (diastolic BP/the 95th percentile diastolic BP for age, sex, and height), respectively. OSAS was defined

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saturation associated with obstructive apnea of less than 90%. There were 56 obese patients; 42 were hypertensive and 40 patients were diagnosed with OSAS. The incidence of hypertension (68% vs. 30%) and obesity (75% vs. 52%) was higher in OSAS patients than those without OSAS. Compared with the non-obese patients, obese patients had a higher incidence of hypertension or OSAS, a higher BMI_{score}, SBP_{score}, DBP_{score}, AI, hypopnea index (HI), and apnea-hypopnea index (AHI). In obese patients, both SBP_{score} and DBP_{score} correlated positively with BMI_{score} , arousal index, and HI. DBP_{score} also correlated positively with AHI. Multiple regression analysis showed that HI and BMI_{score} were significant independent predictors of SBP_{score} or DBP_{score}. Obese and hypertensive patients had a higher HI, AHI, and incidence of OSAS (64% vs. 29%) than the obese and normotensive patients. In conclusion, HI had a significant correlation with the degree of hypertension in obese patients, which could not be attributed to the degree of obesity. These findings are consistent with the hypothesis that OSAS is one of the reasons why some obese children are hypertensive and some are not.

as more than one episodes of apnea per hour (AI) or an O_2

Keywords Sleep apnea · Hypertension · Hypopnea · Obesity

Introduction

The incidence of obesity has increased significantly in the past 2 decades with an estimated prevalence of 13% in children aged 6-11 years and 14% in adolescents aged 12–19 years [1]. In adults, obesity is associated with the development of hypertension [2] and an increased risk of cardiovascular disease (CVD) [3]. Likewise, hypertension is a risk factor for CVD in adults [4]. Since 25%-50% of obese adolescents remain obese into adulthood [5] and childhood hypertension is associated with the future development of systolic hypertension in adulthood [6, 7], it is likely that obese children with hypertension will have an increased risk for CVD and morbidity compared with

those children who are obese but normotensive. Although the long-term outcome of obese children with hypertension is not known, cerebral hemorrhage and heart disease have been described in obese hypertensive adolescents within a 10-year follow-up period [8]. While the association between obesity and hypertension has been attributed to an impaired pressure natriuresis [9], it is not clear why hypertension is not observed in all obese children. Exploration of its mechanisms will allow us to take measures to prevent the development of hypertension in obese children such that their future CVD risk can be reduced.

Obesity is a risk factor for the development of obstructive sleep apnea syndrome (OSAS) in children and adolescents [10, 11]. There is an increased incidence of OSAS in obese children [12]. OSAS has also been linked to the development of hypertension in adults, and there is an increased incidence of hypertension in adult patients with OSAS [13, 14]. Adult patients with OSAS have a higher incidence of hypertension, independent of their age, sex, body mass index (BMI), or waist and neck circumferences, than those without OSAS after a 4-year follow-up period [15]. Epidemiological studies have demonstrated that OSAS is an independent predictor for sustained hypertension in adults [16]. A direct cause-effect link between OSAS and hypertension is supported by the findings that chronic application of continuous positive airway pressure to hypertensive OSAS patients results in reduction of blood pressure (BP) [17, 18]. It is possible that OSAS is associated with an increased risk of hypertension in obese children. To test this hypothesis, we retrospectively reviewed the data of overnight sleep studies in pediatric patients and compared the incidence of OSAS between obese hypertensive children and obese normotensive children.

Materials and methods

This study was approved by the University and Medical Center Institutional Review Board of East Carolina University, North Carolina. Records of overnight sleep studies between October 2000 and January 2003 from 130 pediatric patients were reviewed. After exclusion of patients with renal failure, transplantation, mental retardation, neuromuscular diseases, diabetes mellitus, chronic lung disease, congenital heart disease, sickle cell disease, or antihypertensive therapy, data from 90 patients were included for analysis. These patients were referred by their primary physicians for sleep study because of their clinical presentation. Their symptoms included daytime hypersomnolence (72%), snoring (91%), difficult breathing (30%), sweating (11%), apnea (36%), frequent arousal (13%), restless sleep (28%), and mouth breathing (12%) during sleep. Patients were interviewed in the sleep clinic where their medical history, weight, height, and manual BP (single measurement according to the guidelines of the 1996 Task Force) were obtained. If the sleep study was indicated, it was performed within 1 month of the clinic visit.

Patients were admitted to the Sleep Center at Pitt County Memorial Hospital (Greenville, N.C.) for overnight polysomnographic (PSG) study performed according to the recommendations of the American Thoracic Society [19]. One parent was asked to stay with the patient. Data from air oronasal flow, electroencephalogram (EEG), electrocardiogram, electrooculogram, tibial and

submental electromyogram, chest and abdominal motion, pulse oximeter, and end-tidal PCO₂ were recorded continuously on PSG (Nihon Kohden, Japan). Apnea was defined as a greater than 50% reduction of airflow with a minimum duration of 10 s and an associated desaturation of 3% or greater, and/or a sleep arousal. Hypopnea was defined as a 20%-50% reduction in airflow with a minimum duration of 10 s and an associated desaturation of 3% or greater, and/or a sleep arousal. EEG arousal was defined as a higher frequency shift in EEG lasting more than 3 s. The arousal index was the number of EEG arousals per hour of sleep as a result of apnea, periodic limb movement, or snoring. The sampling rate of the pulse oximeter was 1/s. Maximal O₂ desaturation (%) was the maximal decline in oxygen saturation from the baseline level during sleep. The apnea index (AI) was the episodes of central, mixed, and obstructive apnea per hour of sleep. The hypopnea index (HI) was the episodes of hypopnea per hour of sleep. The apnea-hypopnea index (AHI) was the sum of AI and HI. Patients were diagnosed with OSAS if the AI was >1 or if the lowest O₂ saturation associated with obstructive apnea was less than 90%, as suggested by Marcus

Hypertension was defined as systolic (SBP) or diastolic blood pressure (DBP) equal to or greater than 95th percentile for age, sex, and height according to the reference values of Rosner et al. [21]. Obesity was defined as a BMI equal to or greater than the 95th percentile for age, sex, and race according to the published data for American children [22, 23]. To express the degree of obesity, we used the BMI score (BMI_{score}), which is the ratio of BMI to the 95th percentile BMI for age, sex, and race in this study. Similarly, we used SBP score (SBP_{score}), which is the ratio of systolic BP to the 95th percentile systolic BP for age, sex, and height, and DBP score (DBP_{score}), which is the ratio of diastolic BP to the 95th percentile diastolic BP for age, sex, and height, to express the severity of hypertension.

Statistics

All data are mean±SD. Yates corrected chi-squared test or Mann-Whitney U test was used to compare the difference between two groups when appropriate. Spearman's rank order test was used to examine correlations between the variables. Forward stepwise multiple regression analysis was used to examine significant independent predictors of the dependent variable. A *P*<0.05 was considered statistically significant.

Results

The mean age of the 58 males and 32 females studied was 10.7 years (range 4.2–18.8 years). Their BMI was 28.2±11.1 kg/m². There were 58 African-American patients, 31 Caucasians, and 1 of Hispanic origin; 25 patients had a history of asthma. There were 56 obese patients (62%) and 42 (47%) had either systolic or diastolic hypertension.

Forty patients (44%) were diagnosed with OSAS. All OSAS patients had symptoms of snoring and/or hypersomnolence. There was no significant difference between patients with (*n*=40) and without (*n*=50) OSAS in their age (10.0±3.9 vs. 11.2±3.6 years), sex (male/female 29/11 vs. 29/21), race (Caucasian/African-American 12/27 vs. 19/31), and the percentage of patients with asthma (23% vs. 32%). As shown in Table 1, the incidences of hypertension (68%) and obesity (75%) was significantly higher in OSAS patients, as demonstrated by their higher BMI_{score}, SBP_{score}, and DBP_{score}. Of OSAS patients, there were 14 patients with isolated systolic hypertension, 4

Table 1 Demographic data of patients with or without obstructive sleep apnea syndrome (OSAS) (*BMI* body mass index, *SBP* systolic blood pressure, *DBP* diastolic blood pressure)

	Non-OSAS (n=50)	OSAS (n=40)
Hypertension, n (%)	15 (30%)	27 (68%)**
Obesity, n (%)	26 (52%)	30 (75%)*
BMI_{score}	1.03 ± 0.32	1.27±0.40**
SBP_{score}	0.94 ± 0.11	0.99±0.12*
DBP _{score}	0.84 ± 0.12	0.93±0.14***

*P<0.05, **P<0.005, ***P<0.0005 vs. non-OSAS patients BMI_{score} is the ratio of BMI to the 95th percentile BMI for age, sex, and race

SBP_{score} is the ratio of systolic BP to the 95th percentile systolic BP for age, sex, and height

DBP_{score} is the ratio of diastolic BP to the 95th percentile diastolic BP for age, sex, and height

Table 2 Correlation coefficients between BP scores and demographic or polysomnographic data in all patients (n=90) (AI apnea index, HI hypopnea index, AHI apnea-hypopnea index)

	SBP_{score}	$\mathrm{DBP}_{\mathrm{score}}$	BMI_{score}
BMI _{score} , 1.14±0.38	0.62***	0.60***	-
Arousal index, 1.57±1.20	0.49***	0.45***	0.77***
AI, 4.74±9.07	0.08	0.19	0.09
HI, 2.72±5.17	0.39***	0.42***	0.44***
AHI, 7.45±12.28	0.27*	0.35**	0.29**

^{*}P<0.05, **P<0.005, ***P<0.0005

Arousal index is the number of arousal as a result of periodic limb movement or snoring per hour of sleep

AI is the episodes of apnea per hour of sleep

HI is the episodes of hypopnea per hour of sleep

AHI is the sum of AI and HI

with isolated diastolic hypertension, and 9 with combined systolic and diastolic hypertension. The prevalence of systolic (58%) and diastolic (33%) hypertension among patients with OSAS and hypertension was not significantly different (P=0.28).

Correlation analysis of all patients is presented in Table 2. There was no correlation between BMI_{score}, SBP_{score}, DBP_{score}, or any PSG variable with age (data not shown). There was no correlation between the maximal O₂ desaturation and SBP_{score}, DBP_{score}, or BMI_{score}. Both SBP_{score} and DBP_{score} correlated positively with BMI_{score}, arousal index, HI, and AHI. Similarly, BMI_{score} correlated positively with arousal index, HI, and AHI. To examine the independent predictors of SBP_{score} or DBP_{score}, forward stepwise multiple regression analysis was performed in all patients using BMI_{score}, arousal index, HI, and AHI as independent variables. Data of these PSG variables were log transformed $[\log_{10}(\text{data+1})]$ before the analysis because of their skewed distribution. For SBP_{score}, this model explained 40% of its variability. BMI_{score} was the only significant predictor of SBP_{score}, which explained 38% of its variability (β =0.55, P=0.000). For DBP_{score}, this model explained 38% of its variability. BMI_{score} alone explained 35% of variability (β =0.51, P=0.000). The addition of HI explained another 3% of variability that was significant (β =0.19, P=0.044). These results in-

Table 3 Demographic and polysomnographic data of non-obese and obese patients

	Non-obese (n=34)	Obese (<i>n</i> =56)
Hypertension, n (%)	3 (8%)	39 (70%)***
OSAS, n (%)	10 (29%)	30 (54%)*
BMI_{score}	0.77 ± 0.14	1.36±0.29***
SBP_{score}	0.88 ± 0.09	1.02±0.10***
DBP_{score}	0.79 ± 0.11	0.93±0.12***
Arousal index	1.00 ± 0.47	1.91±1.37***
AI	4.17±8.87	5.08±9.26
HI	0.89 ± 1.54	3.83±6.20***
AHI	5.06±9.20	8.91±13.69*

*P<0.05, **P<0.005, ***P<0.0005 vs. non-obese patients

Table 4 Correlation coefficients between BP scores and demographic or polysomnographic data of obese patients (n=56)

	SBP_{score}	$\mathrm{DBP}_{\mathrm{score}}$	$\mathrm{BMI}_{\mathrm{score}}$
BMI _{score} Arousal index	0.33* 0.32*	0.37** 0.28*	- 0.67***
Maximal O ₂ desaturation (%)	0.04	0.13	0.31*
AI	0.03	0.23	0.14
HI	0.34*	0.44**	0.25
AHI	0.20	0.40**	0.28*

*P<0.05, **P<0.005, ***P<0.0005

dicate that BMI_{score} was a determinant of SBP_{score} or DBP_{score} among the variables examined. Although HI was another significant determinant of DBP_{score}, it only accounted for a small amount of variability.

Data of non-obese and obese patients are shown in Table 3. There was no significant difference between their age, male/female ratio, racial distribution, incidence of asthma, or maximal O₂ desaturation during sleep (data not shown). Compared with non-obese patients, obese patients had a higher incidence of hypertension (70%) and OSAS (54%) and a higher BMI_{score}, SBP_{score}, DBP_{score}, arousal index, HI, and AHI. Of the 39 obese patients with hypertension, 25 had OSAS (64%) and 2 of the 3 nonobese patients with hypertension had OSAS. Of the obese patients, 22 had isolated systolic hypertension, 13 had both systolic and diastolic hypertension, and 4 had isolated diastolic hypertension. In the non-obese group, 1 patient had isolated systolic hypertension, 1 patient had both systolic and diastolic hypertension, and 1 patient had isolated diastolic hypertension. Obese patients had a higher incidence of systolic hypertension (63% vs. 6%, P<0.05), diastolic hypertension (30% vs. 6%, P<0.05), and OSAS (54% vs. 29%, P<0.05) than the non-obese

The correlation analysis of BP and other variables in obese patients is presented in Table 4. Both SBP_{score} and DBP_{score} correlated positively with BMI_{score} , arousal index, and HI. In addition, DBP_{score} correlated positively with AHI. BMI_{score} correlated positively with arousal index, maximal O_2 desaturation, and AHI. There was no correlation between age and SBP_{score} , DBP_{score} , or BMI_{score} (data not shown). Forward stepwise multiple

Table 5 Demographic and polysomnographic data of obese patients with or without hypertension

	Normotensive (<i>n</i> =17)	Hypertensive (<i>n</i> =39)
OSAS, n (%) SBP _{score} DBP _{score} Arousal index AI HI AHI	5 (29%) 0.91±0.06 0.84±0.07 1.79±1.14 3.66±7.35 1.58±3.17 5.24±8.85	25 (64%)* 1.06±0.07*** 0.97±0.11*** 1.96±1.47 5.70±10.00 4.80±6.94** 10.5±15.2*

^{*}P<0.05, **P<0.005, ***P<0.0005 vs. normotensive patients

regression analysis for the determinants of SBP_{score} and DBP_{score} was performed using BMI_{score}, arousal index, HI, and AHI as independent variables. Data of these PSG variables were log transformed [log₁₀(data+1)] before the analysis because of their skewed distribution. For SBP_{score}, this model explained 23% of its variability. BMI_{score} alone accounted for 14% of the variability (β =0.33, P=0.004). Addition of HI added another 7% of variability (β =0.42), which was significant (P=0.04). For DBP_{score}, this model accounted for a total of 28% variability. HI alone explained 18% of the variability (β =0.32, P=0.01). Addition of BMI_{score} explained another 10% of the variability in DBP_{score}, which was significant (β =0.34, P=0.001). In non-obese patients, except for a positive correlation between BMI_{score} and arousal index, there was no correlation of SBP_{score}, DBP_{score}, or BMI_{score} with any of the PSG variables examined (data not shown).

We next compared the data of hypertensive and normotensive patients in the obese group. There was no difference in their age (11.0±3.4 vs. 11.1±4.0 years), sex (male/female 24/15 vs. 11/6), race (Caucasian/African-American 12/27 vs. 7/10), incidence of asthma (26% vs. 24%), BMI_{score} (1.38±0.3 vs. 1.30±0.28), or maximal O_2 desaturation (10.3±7.9 vs. 9.97±8.8%). As shown in Table 5, obese and hypertensive patients had a higher incidence of OSAS (64%) than the obese and normotensive patients (29%). Among the PSG variables examined, higher HI and AHI were seen in hypertensive patients. Both HI and BMI_{score} were significant independent predictors of SBP_{score} and DBP_{score}.

Discussion

There are only a few studies examining the association of hypertension and OSAS in pediatric patients. Guilleminault et al. [24] reported five children with sleep apnea and hypertension. BP was normalized after tonsillectomy and adenoidectomy (T and A) in three patients and after tracheotomy in two patients. Serratto et al. [25] described three pediatric patients with severe hypertension and right heart failure. Two had normal BP after T and A and the other after tracheotomy. Ross et al. [26] described the resolution of left ventricular hypertrophy and a reduced antihypertensive medication after T and A and tracheotomy in one child with sleep apnea. While these reports

support a link between OSAS and hypertension, cases are few and most have severe OSAS and hypertension. Marcus et al. [20] examined the nocturnal BP in 41 children with OSAS (AI≥3) and 26 children with primary snoring. BP_{index} was calculated as the difference between the measured BP and the 95th percentile BP for age, gender, and height. A higher DBP_{index}, but not SBP_{index}, was found in OSAS children during wakefulness or sleep. BMI was an independent predictor of SBP_{index} while age, AI, and BMI were independent predictors of DBP_{index}. It was concluded that the degree of diastolic hypertension is related to the severity of OSAS and the degree of obesity. Kohyama et al. [27] studied nocturnal BP in 23 children. Higher SBP_{index} and DBP_{index} were noted in those children with an AHI of more than 10. Age, BMI, and AHI were significant predictors of SBP_{index} during REM sleep. It was concluded that nocturnal SBP correlated with the degree of OSAS.

To the best of our knowledge, the present study is the first to examine the association between office BP and OSAS in obese children. Similar to the findings of Marcus et al. [20] and Kohyama et al. [27], BMI was a significant determinant of SBP and DBP in our study when both obese and non-obese patients were included in the analysis. However, instead of AI or AHI, we found HI was a significant predictor of DBP_{score} in all patients. This discrepancy may be related to the following factors. Our study examined the daytime office BP when patients were not sleeping, while their studies examined nocturnal BP at the time of OSAS. Circadian changes in BP may affect the results of analysis. Our definition of hypopnea is different from that of Marcus et al. [20], which could have affected the incidence and results of sleep studies [28]. A significant proportion of our patients were obese (62%), which is higher than that the 13% in the study of Kohyama et al. [27] and the 27% in the study of Marcus et al. [20]. Furthermore, it has been shown that children with OSAS usually present with prolonged periods of partial upper airway obstruction and fewer and shorter episodes of complete obstruction [29, 30]. It should be noted that while the use of BP_{index} by these investigators was to avoid the age difference in BP levels, SBP_{index} or DBP_{index} was still related to age in their studies. In our study, SBP_{score} , DBP_{score} , or BMI_{score} was not related to

We observed a high incidence of obesity (75%) in patients with OSAS (Table 1). This is comparable to the reported 60%–70% incidence of obesity in adults with OSAS [31]. Mallory et al. [32] described obesity in 37% of 41 children with a history suggestive of OSAS. However, obesity was defined as a body weight more than 150% ideal weight in their study, which is different from our criteria for obesity. In our patients, BMI_{score} correlated positively with arousal index, HI, or AHI. This is consistent with the study of Redline et al. [10], which reported that obesity is a risk factor for OSAS. Mechanisms underlying the association between obesity and OSAS are not clear. It has been hypothesized that obesity affects breathing during sleep through alteration of upper

airway structure and function, obesity related hypoxemia, or an abnormal ventilatory drive [33].

The incidence of hypertension in our patients with OSAS was 68% (Table 1). Guilleminault et al. [34] reported an incidence of hypertension of 8% in 50 children with OSAS. However, 26 of these children had underlying anatomical or neuromuscular disorders that could have affected their BP levels. The difference in BP reference values between their study and ours could also have contributed to the difference in the incidence of hypertension. In our study, HI was a significant independent predictor of DBP_{score} when all patients were included for analysis. Results from the Wisconsin study [16] suggest that AHI is an independent risk factor for hypertension. Our findings are comparable to theirs since hypopnea is a more-common presentation than apnea in children with OSAS [29, 30]. Experiments in dogs have demonstrated a direct cause-effect relationship between OSAS and a sustained daytime hypertension [35]. Episodic hypoxia in rats resulted in sustained hypertension that could be blocked by carotid body denervation, sympathetic nerve ablation, renal sympathectomy, adrenal medullectomy, and an angiotensin-1 receptor blocker, suggesting an overactivity of the adrenergic and renin-angiotensin system from intermittent hypoxia [36]. An increased sympathetic drive as a result of intermittent hypoxia [37] and/ or arousal [38] has been linked to the development of hypertension in adults with OSAS. Treatment with continuous positive airway pressure in adults with OSAS decreases their sympathetic nerve activity [39, 40]. Other hypothesized mechanisms underlying the association between OSAS and hypertension include dysfunction of the vascular endothelium [41], altered corticotropic function [42], and insulin resistance [43].

The degree of obesity correlated strongly with the severity of systolic or diastolic hypertension when both our obese and non-obese patients were included for analysis (Table 2). An impaired renal pressure natriuresis has been suggested to play a central role in obesity induced hypertension [9]. Factors such as insulin resistance, endothelial dysfunction, activation of the renin-angiotensin system, increased sympathetic nervous system activity, renal structural changes, or altered hypothalamicpituitary-adrenal axis have been implicated in its pathogenesis [44]. It is noteworthy that these pathogenic factors are very similar to those described earlier for the hypertension in OSAS. The interactions between mechanisms underlying the association between obesity and hypertension and the association between OSAS and hypertension are likely complicated and remain to be eluci-

The incidence of OSAS in our obese patients was 54% (Table 3). Silvestri et al. [45] reported OSAS in 59% of 32 obese children. Kahn et al. [46] reported that seven of ten overweight infants have disordered breathing during sleep. Our data are comparable to theirs. In our obese patients (Table 4), HI was a significant independent predictor of SBP_{score} and DBP_{score}. Although BMI_{score} was also a significant independent predictor of both BP scores,

there was no significant difference in BMI_{score} between normotensive and hypertensive obese patients (Table 5). These findings suggest that, in addition to BMI, hypopnea correlates significantly with the degree of hypertension in our obese patients. Although these findings do not necessarily indicate a cause-effect relationship between hypopnea and the development of hypertension in these patients, they are consistent with the hypothesis that OSAS may play a significant role and may explain why only some obese children are hypertensive.

It has been suggested that the baseline BP is variable among individuals, due to genetic differences or other factors that influence BP regulation. An increase in BP from weight gain results in different BP levels in the population, and so some are hypertensive and some are not according to the population standard [44]. This hypothesis is supported by the finding that weight loss reduces BP in adults with a high or normal BP [47], although this BPlowering effect of weight loss is not observed in every adult [48]. Another possibility is that while the baseline BP levels are similar among individuals with the same body mass, different individuals respond differently to weight gain due to genetic factors. Our findings are not necessarily at variance with these hypotheses. Genetic factors, such as family clustering [49], human leukocyte antigen typing [50], and ethnicity [10], have been implicated in the pathophysiology of OSAS. Different genetic risk for OSAS results in different baseline BP levels in children. An increase in BP due to obesity results in different BP levels in the population, such that some children are hypertensive and some are not according to the population standard. An alternative explanation for our observation is that obesity causes some children with genetic risks for OSAS to develop significant hypopnea during sleep. This in turn elevates their BP further. This, however, remains to be validated.

In summary, our study demonstrates that the degree of obesity is a significant determinant of PSG variables and the severity of systolic and diastolic hypertension in our patients. In our obese patients, there is a higher incidence of OSAS in those who are hypertensive compared with those who are normotensive. In addition, the HI during sleep is a significant determinant of the severity of systolic or diastolic hypertension. These findings are consistent with the hypothesis that OSAS is one of the reasons why some children are hypertensive and some are not. It should be noted that our data are based on a referral population. The findings are not necessarily applicable to the general population. Prospective studies, both cross-sectional and longitudinal, on a non-selected population of children are needed to confirm our findings.

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