

Role of Rare-Earth Oxide Additives on Mechanical Properties and Oxidation Behavior of Si₃N₄/BN Fibrous Monolith Ceramics

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The rare-earth oxides ytterbium oxide (Yb₂O₃) and lanthanum oxide (La₂O₃) were used as additives in fibrous monolithic (FM) Si₃N₄/BN composites to study their individual effect on flexural strength and oxidation behavior of the composite. Two compositions were prepared: 20 vol% BN/80 vol% Si₃N₄ with additives for the Si₃N₄ and BN being either 8 wt% Yb₂O₃ or 8 wt% La₂O₃. Four-point flexural testing and static oxidation experiments at 1400°C in dry air for 10 h were performed. The material with Yb₂O₃ showed a high flexural strength, graceful failure, and comparable strength to reported Si₃N₄/BN FMs with 6 wt% Y₂O₃ and 2 wt% Al₂O₃. The material with La₂O₃ showed lower flexural strength and brittle failure in the majority of the samples; this was believed to be related to the hydration of La₂O₃ from the rare-earth apatite phase, La₅Si₃O₁₂N, resulting in lanthanum hydrate crystals on the side surfaces of the samples and disintegration of the material. The surface of the FMLA sample after oxidation showed severe oxidation. In contrast, the oxidation test of the FMs with Yb₂O₃ revealed a thin oxide scale containing small Yb₂Si₂O₇ on the Si₃N₄ cells but large Yb₂Si₂O₇ on the BN cell boundary. Also, microscopic analysis showed ~100 μm recession in the BN cell boundary and an ~4 μm oxide scale on Si₃N₄ cells.

I. Introduction

FIBROUS MONOLITHIC (FM) ceramics are laminates with a 3D structure. Because of their unique structure, they fail in a non-catastrophic way and thus have been considered as promising materials for structural applications.^{1–5} FM ceramics have a fibrous texture and consist of a strong cell surrounded by a weaker boundary phase. The most thoroughly investigated FM ceramic system is the Si₃N₄/BN FMs.^{1–8} This system is considered one of the most promising FMs because of its high strength at elevated temperatures and thermal shock resistance.^{3–6} The additives yttrium oxide (Y₂O₃) and aluminum oxide (Al₂O₃) are conventionally used in the cells of the Si₃N₄ in the Si₃N₄/BN FMs as sintering aids. It is well known that during sintering of monolithic Si₃N₄ (containing sintering additives), a liquid phase forms when the sintering additives react with SiO₂ that coats the Si₃N₄ particles. After sintering, this liquid phase is usually retained in a glassy intergranular phase.^{9,10} For Si₃N₄/BN FMs with Y₂O₃ and Al₂O₃ as additives, the glassy phase forms and is known to migrate into the BN cell boundaries during hot pressing.^{2,4} This intergranular glassy phase influences the mechanical properties of the Si₃N₄/BN FMs at elevated temperatures.³

In an effort to enhance the mechanical properties of monolithic Si₃N₄ at room temperature and at elevated temperatures, many researchers have added sintering additives to Si₃N₄ to increase the refractoriness of the glassy intergranular phase and/or

crystallize the grain-boundary phase.^{11–15} Liu and Nemat-Nasser¹² studied the microstructure of an *in situ* reinforced silicon nitride, sintered with the rare-earth oxides lanthanum oxide (La₂O₃) and Y₂O₃. They found crystalline grain-boundary phases La₅Si₃O₁₂N and Y₅Si₃O₁₂N, formed at grain pockets and two grain boundaries of the Si₃N₄. Cinibulk *et al.*^{13,14} achieved good oxidation resistance and mechanical properties of monolithic Si₃N₄ at high temperatures by sintering the Si₃N₄ with various rare-earth oxides and silicon dioxide additives and then heat treated it to form crystalline rare-earth silicate phases. Park *et al.*¹⁵ used Yb₂O₃ as a sintering aid to enhance the mechanical properties of Si₃N₄. They found that the amount of Yb₂O₃ had considerable effects on the microstructural evolution and the composition of the secondary phase in the grain boundary. Different crystalline grain boundary phases were formed for different amounts of Yb₂O₃; for 8 wt% Yb₂O₃ crystalline Yb₂Si₂O₇ was formed at the grain boundary along with a glassy phase. The size of the Si₃N₄ grains also varied with the amount of Yb₂O₃. These changes influenced the mechanical properties of the material at room temperature and elevated temperature, i.e. the flexural strength increased with the increased amount of Yb₂O₃ used.

Other researchers have used sintering additives in Si₃N₄ to increase oxidation resistance. Lee and Readey¹⁶ increased the oxidation resistance of Si₃N₄ by using Yb₂O₃ as an additive and then generating a protective ytterbium silicate (Yb₂Si₂O₇) skin by a controlled oxidation process associated with the reaction between the Si₃N₄ oxidation products SiO₂ and Yb₂O₃. Yb₂Si₂O₇ has also been reported as one of the main oxidation products formed on the surface of nanocomposite Si₃N₄-SiC with Yb₂O₃ as a sintering additive.¹⁷

Just as rare-earth oxides have been shown to confer suitable grain boundary properties to Si₃N₄ and enhance mechanical properties and oxidation resistance, rare-earth oxides can be expected to confer suitable grain boundary properties to the Si₃N₄ cell for the Si₃N₄/BN FMs and also possibly increase the stability of the BN cell boundary phase at elevated temperatures. However, so far, no research has been carried out on this aspect. In this paper, we investigated the mechanical properties and oxidation behavior of Si₃N₄/BN FMs with the rare-earth oxide additives, Yb₂O₃ and La₂O₃, by flexural strength testing at room temperature and static oxidation testing at 1400°C in dry air for 10 h.

II. Experimental Procedure

(1) Material Fabrication

FM Si₃N₄/BN samples were fabricated firstly by using coextrusion to prepare green filaments. The filaments were then stacked to form a green billet. After a binder burnout step, the billets were hot pressed at 25 MPa for 1 h in a flowing N₂ atmosphere at 1800°C. Detailed descriptions of the fabrication of FMs have been further described elsewhere.¹

Billets with two different compositions were prepared: 20 vol% BN/80 vol% Si₃N₄ with additives for the Si₃N₄ and BN being either 8 wt% Yb₂O₃ (REacton, Alfa Aesar, Ward Hill, MA) or 8 wt% La₂O₃. Lanthanum hydrate, La(OH)₃ (Alfa Aesar), was

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Table I. Composition and Densities of the fabricated Si₃N₄/BN FMs

	Ytterbium oxide (wt%)	Lanthanum oxide (wt%)	Measured ρ (g/cm ³)	Theoretical ρ (g/cm ³)
FMYB	8	—	3.09 ± 0.1	3.16
FMLA	—	8	2.95 ± 0.1	3.12

used in place of the reactive La₂O₃. Heating La(OH)₃ in dry air led to a progressive dehydration of the La(OH)₃ to La₂O₃.¹⁸ In our fabrication, the La(OH)₃ then dehydrated during hot pressing and transformed into La₂O₃. Both billets consisted of filaments stacked up, forming a 3D structure with ~250 μ m Si₃N₄ cells (M11, H.C. Starck, MA) uniaxially aligned and separated by ~15 μ m BN cell boundaries (6003, Advanced Ceramics Corp., OH). The densities of the specimens were measured using the Archimedes method, and the theoretical density of the specimen was estimated by the rule of mixture where the vol% of the BN phase was estimated to be 20 and the Si₃N₄ phase to be 80. The densities and compositions of the billets are shown in Table I.

(2) Flexural Testing

Flexural bars were prepared for four-point flexural testing. The billets were first ground with a 220 grit—diamond wheel and then cut into 2.2 mm × 4.2 mm × 49 mm bars. The sides of the bars were chamfered to minimize machining flaws. The tensile sides of the bars were polished down to 1 μ m using a medium polishing diamond disk. The flexural strength was measured at room temperature in laboratory air using a computer-controlled, screw-driven, testing machine (Model 4483, Instron Corp., Canton, MA). The specimens were tested using a four-point flexural testing fixture with an inner and an outer span of 20 and 40 mm and at a cross-head speed of 0.5 mm/min. Load versus cross-head deflection response and work of fracture (WOF) are reported here. Flexural strength is defined as the apparent flexural stress at load drop. Energy absorption capability is characterized by the WOF, calculated by determining the area under the load–cross-head deflection curve and dividing it by twice the cross-sectional area of the sample. Scanning electron microscopy (SEM) was used for examining crack deflection, and delamination cracking and sliding on the side surfaces of the tested flexural bars.

(3) Oxidation Testing

Oxidation studies were conducted in a vertical tube furnace at 1400°C in dry air for 10 h. The furnace was heated at a heating rate of 100°C/min and then maintained at 1400°C for 10 h. Before oxidation of the samples, 2.2 mm × 4.2 mm × 20 mm bars were polished down to 1 μ m using a medium polishing diamond disk. The specimens were then ultrasonically cleaned in acetone and dried before oxidation. The materials were characterized by X-ray diffractometry (XRD) before and after the oxidation test. The composition and morphology of an oxide layer produced after oxidation were characterized by SEM and X-ray energy-dispersive spectroscopy (XEDS).

III. Results and Discussion

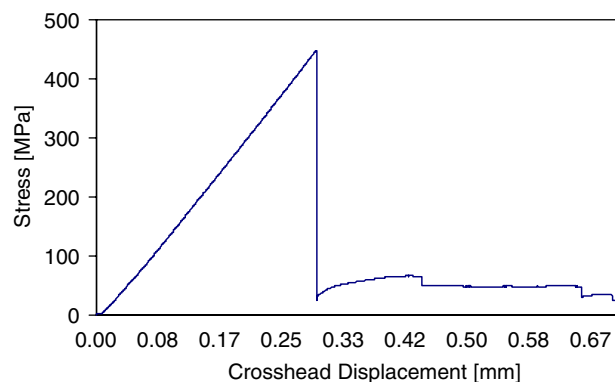
(1) Mechanical Properties

FMs are laminates and can fail in two different modes during flexural testing by shear initiation, where the shear stress between the inner and outer loading pins in the middle of the beam exceeds the shear strength of the material, and by tensile initiation, where the tensile stress in the outer layer of the tensile surface exceeds the tensile strength of the material. Here, we report fracture of the FMs by two modes: tensile initiation and shear initiation. Table II gives the average strength and WOF of

Table II. Flexural Strength and WOF of Si₃N₄/BN FMs with Ytterbium oxide (FMYB) and Si₃N₄/BN FMs with Lanthanum oxide (FMLA)

	Stress (MPa)	Work-of-fracture (J/m ²)
FMYB		
Average	340	2126
Standard	91	940
FMLA		
Average	298	1287
Standard	61	384

the FMLA and FMYB samples. The Si₃N₄/BN FMs with Yb₂O₃ showed average high flexural strength and graceful failure and comparable strength of commercially available uniaxially Si₃N₄/BN FMs with 6 wt% Y₂O₃ and 2 wt% Al₂O₃, with the average flexural strength reported as 510 ± 87 MPa.⁴ On the other hand, the Si₃N₄/BN FMs with La₂O₃ showed lower flexural strength and brittle failure in the majority of the samples. The FMYB had 97.8% theoretical density and FMLA had 94.6% theoretical density; this is not believed to be a large enough difference to explain completely the poor mechanical behavior of the FMLA samples. Figure 1 shows an example of a flexural response, a graceful failure, of the FMYB sample in a stress versus cross-head displacement curve. The apparent peak stress was 448 MPa and there was a large load drop where the retained apparent stress was ~50 MPa and the WOF was 3037 J/m². Figure 2 shows an SEM micrograph of the side view of the corresponding FMYB sample, where the tensile initiation of the fracture can be seen along with crack deflection and subsequent delamination cracking and sliding along the side surface. The two fracture modes, tensile and shear initiation, were observed for both the FMYB and FMLA samples. Figure 3 shows an example of stress versus cross-head displacement curve of an FMLA sample that fractured by shear initiation and failed gracefully. The apparent peak stress was 233 MPa for this sample and the load drop was less compared with the tensile-initiated fracture shown in Fig. 1. The retained apparent stress was ~160 MPa and the WOF was 1304 J/m². Figure 4 shows the side view of the corresponding FMLA sample where the shear failure initiated in the flexural bar between the outer and inner loading pins. The entire shear-initiated fractured samples had higher retained apparent stress than the tensile-initiated fracture samples. It was observed that all the samples that failed gracefully (non-catastrophically) required extensive crack interaction such as crack deflection, delamination cracking, and sliding. The average WOF for FMLA was lower than for the FMYB (Table II) because of the fact that the majority of the FMLA samples fractured in a brittle manner and therefore there was fewer delamination and crack deflection, resulting in less energy

**Fig. 1.** Flexural response of Si₃N₄/BN FMs with ytterbium oxide (FMYB).

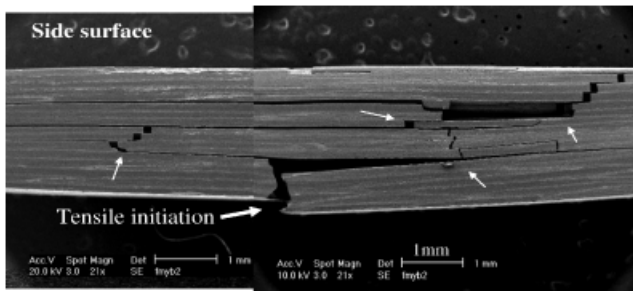


Fig. 2. Scanning electron micrograph of a side view of an FMYB flexural bar showing a tensile initiated fracture.

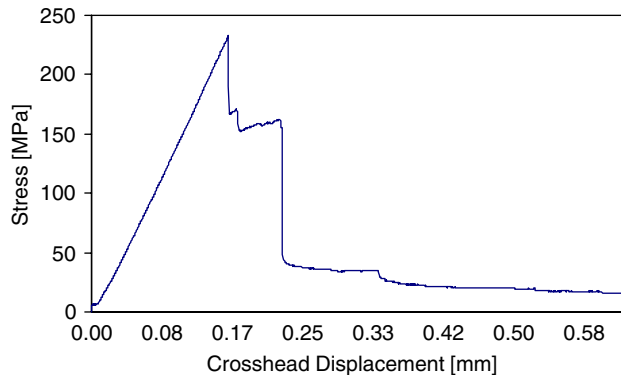


Fig. 3. Flexural response of $\text{Si}_3\text{N}_4/\text{BN}$ FMs with lanthanum oxide (FMLA).

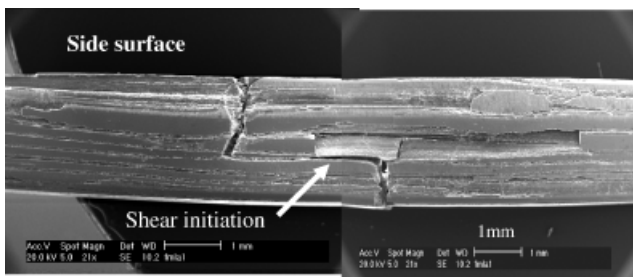


Fig. 4. Scanning electron micrograph of a side view of an FMLA flexural bar showing a shear-initiated fracture, between the outer and inner loading pins.

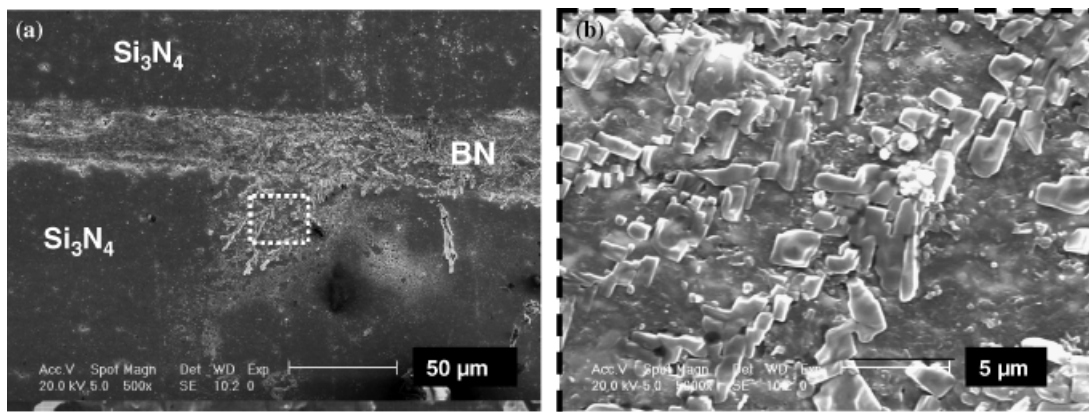


Fig. 5. (a) Lanthanum-rich crystals formed on the side surface of FMLA samples. (b) Magnification of the box in (a) of the crystals formed.

dissipation. During an SEM/XEDS procedure, lanthanum-rich crystals were observed spread over the side surfaces of the FMLA specimens; see Fig. 5. XEDS analyses of these crystals showed peaks of N, O, Si, and La. XRD analyses were also performed, where $\beta\text{-Si}_3\text{N}_4$, BN, and small rare-earth apatite $\text{La}_5\text{Si}_3\text{O}_{12}\text{N}$ phases were identified. The rare-earth apatite phase, $\text{La}_5\text{Si}_3\text{O}_{12}\text{N}$, was identified in grain-boundary phases in an *in situ* reinforced silicon nitride with La_2O_3 .¹² The composition of the lanthanum-rich crystals growing from the side surfaces was believed to be $\text{La}(\text{OH})_3$ crystals that were formed in air during hydration of La_2O_3 from the rare-earth apatite phase, $\text{La}_5\text{Si}_3\text{O}_{12}\text{N}$. This could not be confirmed with XRD analysis because of the small amount of the crystals on the samples. Meadowcroft¹⁹ found that a strontium-doped lanthanum chromite prepared to comprise $\text{LaCrO}_3 + x \text{ mol}\% \text{ SrO}$ disintegrated at room temperature, owing to the formation of $\text{La}(\text{OH})_3$, which was established by XRD analysis. The strontium was believed to have displaced the lanthanum in order to dope the lanthanum chromite, and then the free La_2O_3 hydrated with time, where the associated expansion caused the ceramic to disintegrate. The brittle behavior and low strength of the FMLA samples compared with the FMYB samples also gave us reason to believe that the ceramic disintegrated because of hydration of La_2O_3 , available from the rare-earth apatite phase, $\text{La}_5\text{Si}_3\text{O}_{12}\text{N}$.

(2) Characterization of the Oxidation Surface Layer

The surface of the FMLA sample after oxidation for 10 h at 1400°C in dry air showed severe oxidation; SEM analysis of the surface of the sample showed that the oxidation layer was covered with burst bubbles and cracks. Because of the disintegration of the FMLA samples, we will not discuss the oxidation of the FMLA here in this paper but will focus on the oxidation of the FMYB.

The oxidation behavior of Si_3N_4 ceramics with additive systems has been reported to be strongly dependent on the chemical composition of the oxidation surface layer formed by the oxidation products of the Si_3N_4 and the sintering additives.²⁰ Figure 6(a) shows an SEM picture of the top view of the oxidized surface of FMYB after 10 h at 1400°C in dry air where an oxide scale has formed. With XEDS and X-ray diffractometry, the oxidation product was identified to be mainly ytterbium silicates ($\text{Yb}_2\text{Si}_2\text{O}_7$). Large ytterbium silicates, $\sim 20 \mu\text{m}$, were found on the BN cell boundary but small ytterbium silicates were found on the Si_3N_4 cells of $\sim 0.8 \mu\text{m}$. This can be seen in Figs. 6(b) and (c), which shows a higher magnification of the ytterbium silicates on the BN boundary phase and the Si_3N_4 cells in Fig. 6(a); the ytterbium silicates are the white flattened crystals in Figs. 6(a)–(c). XRD was performed before and after the oxidation testing for FMYB. The XRD analysis of the unoxidized FMYB sample revealed $\beta\text{-Si}_3\text{N}_4$, BN, and $\text{Yb}_4\text{Si}_2\text{O}_7\text{N}_2$ peaks, and $\beta\text{-Si}_3\text{N}_4$, BN, $\text{Yb}_4\text{Si}_2\text{O}_7\text{N}_2$, and $\text{Yb}_2\text{Si}_2\text{O}_7$ peaks of

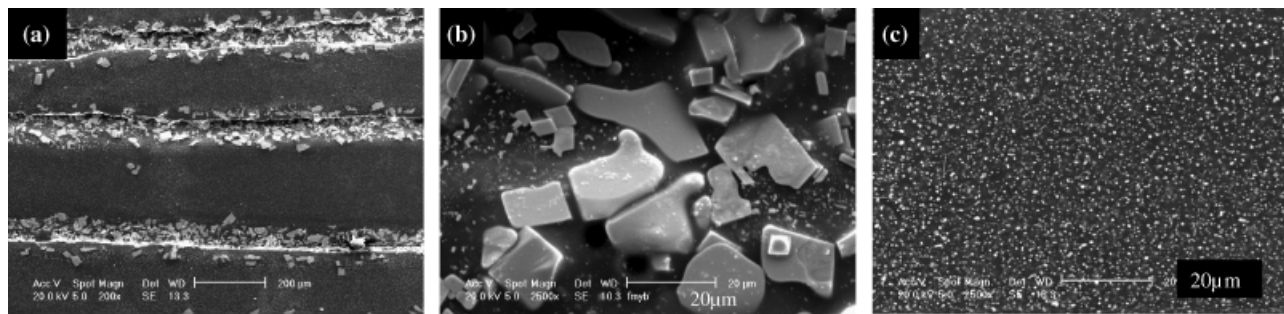


Fig. 6. (a) Oxidized surface of FMYB after 10 h at 1400°C in dry air. Large ytterbium silicates are apparent on the BN cell boundary phase; (b) magnification of the BN boundary phase in (a) showing large ytterbium silicates; and (c) magnification of a Si_3N_4 cell showing small ytterbium silicates on the surface.

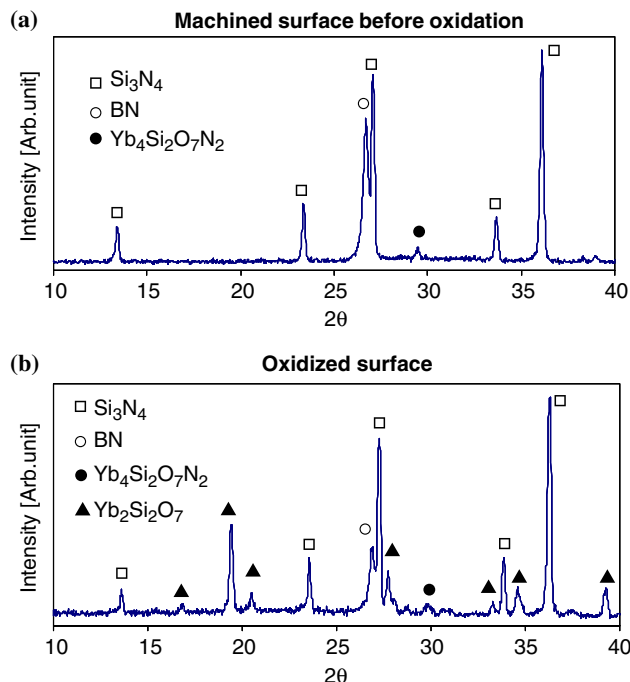


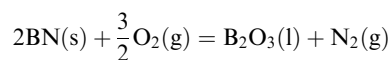
Fig. 7. X-ray diffraction pattern of the surface of the FMYB before (a) and after (b) oxidation.

the oxidized FMYB sample; see Fig. 7. By comparing the two diffraction patterns, it is evident that there was considerable formation of ytterbium silicates ($\text{Yb}_2\text{Si}_2\text{O}_7$) during the oxidation and a decrease in the BN phase after the oxidation; see Fig. 7.

It is well known that during oxidation of Si_3N_4 , a silica (SiO_2) film is formed on the surface of the Si_3N_4 . If there are any oxides

used as sintering additives in the Si_3N_4 phase the SiO_2 forms an oxide layer on the surface after reacting with the sintering additives.^{14,16,21} For Si_3N_4 with Y_2O_3 as a sintering additive, the oxide layer has been reported to consist of yttrium silicates ($\text{Y}_2\text{Si}_2\text{O}_7$), which is the most stable compound in the Y–Si–O system.^{21–23} $\text{Yb}_2\text{Si}_2\text{O}_7$ skin has been reported to form on Si_3N_4 containing Yb_2O_3 as a sintering additive by a controlled oxidation process associated with the reaction between the SiO_2 and Yb_2O_3 .¹⁶ $\text{Yb}_2\text{Si}_2\text{O}_7$ has also been reported as one of the main oxidation products formed on the surface of nanocomposite Si_3N_4 –SiC with Yb_2O_3 as a sintering additive.¹⁷

Here, we have a 3D composite consisting of two different ceramic materials: Si_3N_4 cells aligned in the uniaxial direction and a BN cell boundary phase separating the Si_3N_4 cells. Si_3N_4 with Y_2O_3 and Al_2O_3 as sintering additives have been shown oxidize at 1100–1200°C,^{21,23} but BN starts oxidizing at lower temperatures; generally, the onset of measurable oxidation is about 800°C, but tends to decrease with higher oxygen impurity levels within the BN. At 800°C the BN starts to oxidize into a liquid oxide (B_2O_3) by the oxidation reaction^{24,25}:



The B_2O_3 liquid oxide is believed to start volatilizing at temperatures above 1100°C.²⁶

The BN cell boundary phase in the FMYB sample consists of BN grains surrounded by a glassy phase that migrates into the boundary phase during hot pressing. This can be seen from Fig. 8, where the bright white spots represent the glassy phase between the BN platelets, while the gray phase is the BN platelets. After the oxidation testing on the FMYB sample, there was an $\sim 100\ \mu\text{m}$ recess at the BN cell boundary while there was an $\sim 4\ \mu\text{m}$ oxide layer on Si_3N_4 cells, and the oxidation zone was uniformly distributed around the surface; see Figs 9 and 10.

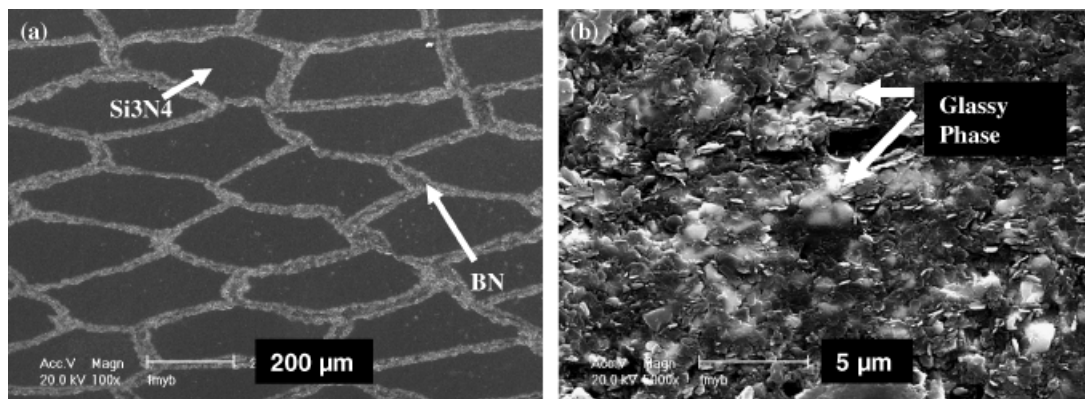


Fig. 8. (a) Microstructure of the FMYB sample consisting of BN cell boundary phases and Si_3N_4 cells. (b) Magnification of the BN cell boundary, that consists of BN grains surrounded by a glassy phase that migrated into the boundary phase during hot pressing.

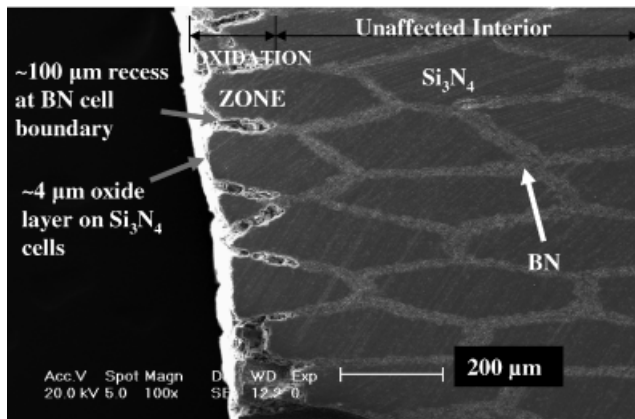


Fig. 9. FMYB sample after oxidation at 1400°C for 10 h. The oxidation zone was uniformly distributed around the surface.

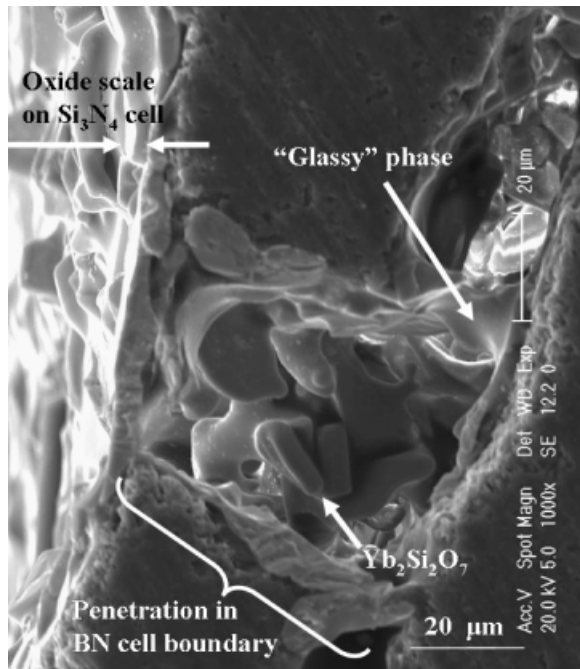


Fig. 10. Side view of a cross-section of an FMYB sample showing the BN boundary phase after oxidation.

Thus, the BN cell boundaries started to oxidize before the Si_3N_4 cells, where the BN grains in the cell boundary started to oxidize into liquid oxide (B_2O_3). The B_2O_3 liquid oxide then started to volatilize at higher temperatures and yielded $\text{Yb}_2\text{Si}_2\text{O}_7$ and left behind some residue of the amorphous glassy phase; see Fig. 10.

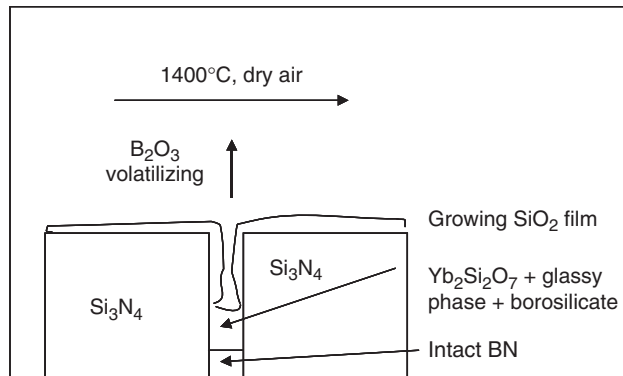


Fig. 11. Schematic of the oxidation process for the exposed Si_3N_4 cells and BN boundary phase.

The reason why larger $\text{Yb}_2\text{Si}_2\text{O}_7$ were found in the BN cell boundary than on the surface of the Si_3N_4 cells is that the borosilicate glass in the boundary phase has a lower viscosity than the glass in the grain-boundary phases, thus allowing more rapid diffusion and thus larger $\text{Yb}_2\text{Si}_2\text{O}_7$ grains emanated from the BN boundary phases than from the Si_3N_4 cells. Figure 11 shows a schematic diagram of the oxidation mechanism of the FMYB.

IV. Conclusion

The $\text{Si}_3\text{N}_4/\text{BN}$ FM with 8 wt% Yb_2O_3 showed a flexural strength of 340 ± 91 MPa and graceful failure with a WOF of 2126 ± 940 J/m². These properties are comparable with reported $\text{Si}_3\text{N}_4/\text{BN}$ FMs with 6 wt% Y_2O_3 and 2 wt% Al_2O_3 . The oxidation test of the FMs with Yb_2O_3 revealed a thin oxide scale containing small $\text{Yb}_2\text{Si}_2\text{O}_7$ on the Si_3N_4 cells but large $\text{Yb}_2\text{Si}_2\text{O}_7$ on the BN cell boundary. Also, microscopic analysis after the oxidation test showed an ~ 100 μm recess in the BN cell boundary and an ~ 4 μm oxide scale on Si_3N_4 cells, uniformly distributed around the surface of the sample.

The $\text{Si}_3\text{N}_4/\text{BN}$ FMs with La_2O_3 showed a flexural strength of 298 ± 90 MPa and brittle failure in the majority of the samples. The brittle behavior and low strength of the FMLA samples compared with the FMYB samples were believed to be due to disintegration of the sample, because of the hydration of La_2O_3 from the rare-earth apatite phase, $\text{La}_5\text{Si}_3\text{O}_{12}\text{N}$. The surface of the FMLA sample after oxidation for 10 h at 1400°C in dry air showed severe oxidation.

In conclusion, the $\text{Si}_3\text{N}_4/\text{BN}$ FM with Yb_2O_3 as a sintering additive has proven to be similar in strength at room temperature to the commercially available $\text{Si}_3\text{N}_4/\text{BN}$ FMs with 6 wt% Y_2O_3 and 2 wt% Al_2O_3 , and to have a promising oxidation behavior. The rare-earth sintering aid, La_2O_3 , has shown not to confer suitable mechanical properties or oxidation resistance to $\text{Si}_3\text{N}_4/\text{BN}$ FMs.

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