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RUNNING HEAD: PERSONALITY AND ALCOHOL USE INITIATION

Alcohol Use Initiation is Associated with Changes in Personality Trait Trajectories  
from Early Adolescence to Young Adulthood

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## Abstract

29 **Background:** Recent work has demonstrated the co-development of personality traits and  
30 alcohol use characteristics from early adolescence to young adulthood. Few studies, however,  
31 have tested whether alcohol use initiation impacts trajectories of personality over this time  
32 period. We examined the effect of alcohol use initiation on personality development from early  
33 adolescence to young adulthood.

34 **Methods:** Participants were male ( $n_{\text{men}} = 2,350$ ) and female ( $n_{\text{women}} = 2,618$ ) twins and adoptees  
35 from three community-based longitudinal studies conducted at the Minnesota Center for Twin  
36 and Family Research. Data on personality traits of Positive Emotionality (PEM; Well-being),  
37 Negative Emotionality (NEM; Stress Reaction, Alienation, and Aggression), and Constraint  
38 (CON; Control and Harm Avoidance) – assessed via the Multidimensional Personality  
39 Questionnaire (MPQ) – and age of first drink were collected for up to four waves spanning ages  
40 10 to 32.

41 **Results:** Alcohol use initiation was associated with significant decreases in levels of Well-being  
42 and CON traits, most notably Control; and significant increases in levels of all NEM traits,  
43 particularly Aggression. In general, the effects of alcohol use initiation on personality traits were  
44 moderated by gender and enhanced among those with earlier age of first drink.

45 **Conclusions:** From early adolescence to young adulthood, alcohol use initiation predicts  
46 deviations from normative patterns of personality maturation. Such findings offer a potential  
47 mechanism underlying the co-development of personality traits and alcohol use characteristics  
48 during this formative period of development.

49 **Key Words:** Personality traits; alcohol use initiation; adolescence; young adulthood

50

## Introduction

51 Links between personality traits and alcohol use are well established (Littlefield and  
52 Sher, 2010). Individuals with drinking problems (versus those without) have higher scores on  
53 traits of negative emotionality (NEM—the tendency to break down under stress; become easily  
54 agitated and hostile towards others; Jackson and Sher, 2003); lower scores on traits of constraint  
55 (CON—the ability to inhibit impulses, plan, and avoid risk; Dom et al., 2006), and higher scores  
56 on some traits of positive emotionality (PEM— e.g., Well-being; Elkins et al., 2004).

57           Recent studies highlight the co-development of personality traits and alcohol use  
58 characteristics from adolescence to young adulthood (e.g., Littlefield et al., 2009, 2010; Quinn &  
59 Harden, 2013) and suggest that changes in one should be evaluated in the context of changes in  
60 the other. Two developmental models are relevant to this issue. A precursor model posits that  
61 traits increase risk for alcohol use. In support of this, prospective studies have found that higher  
62 scores on NEM traits and lower scores on CON and PEM traits predict subsequent increases in  
63 drinking during adolescence and young adulthood (MacPherson et al. 2010; Sher et al., 2000)  
64 and are linked to an earlier age of first drink (Malmberg et al., 2012; McGue et al., 2001).

65           An alternative model, which has received less attention in the literature, posits that  
66 alcohol use may affect the normative maturation of personality from adolescence to adulthood  
67 (Chassin et al., 2010; White et al., 2011). Specifically, most individuals decrease on NEM traits  
68 and increase on CON and PEM traits as they enter young adulthood (Donnellan et al., 2007;  
69 Roberts et al., 2006). However, there is significant individual-level variability in trait trajectories  
70 across this period (Vaidya et al., 2008), particularly during adolescence (Soto et al., 2011).  
71 Alcohol use initiation, which typically occurs during adolescence, is a common life event that  
72 may partly account for these patterns. There are relatively few tests of whether the timing of  
73 alcohol use initiation accounts for variation in the development of personality traits; however,  
74 some studies have found earlier age of first drink to predict decreases in traits related to CON  
75 (White et al., 2011; Zernicke et al., 2010).

#### 76 *The present study*

77           Using a large, mixed-gender sample spanning pre-adolescence (age 10) through young  
78 adulthood (age 32), we examined the extent to which alcohol use initiation had a discontinuous  
79 effect on the trajectories of personality traits of PEM (i.e., Well-being), NEM (i.e., Stress  
80 Reaction, Alienation, and Aggression), and CON (i.e., Control and Harm Avoidance).  
81 Specifically, we tested whether there was a change in the levels of these traits following alcohol  
82 initiation—over and above that predicted by aging alone. Further, we also explored whether  
83 effects of alcohol use initiation on trait levels were moderated by gender or timing of drinking  
84 onset. The later provides a test of the sensitive-period hypothesis, which posits that the effect of

85 drinking onset on risk for later alcohol problems may be magnified during early adolescence  
86 (DeWit et al., 2000).

87 We predicted alcohol use initiation would be associated with decreases in levels of Well-  
88 being and CON traits and increases in levels of NEM traits. We also predicted that traits  
89 previously shown to exhibit gender x time interactions during the transition from adolescence  
90 and young adulthood would also exhibit significant gender moderation in terms of the effect of  
91 alcohol initiation on trait levels. For example, prior research has observed larger increases in  
92 neuroticism and CON traits among girls than boys (Blonigen et al., 2008; Soto et al., 2011); thus,  
93 we predicted that the effects of alcohol use initiation on levels of Stress Reaction and CON traits  
94 to be larger among girls than boys. Finally, given that prior work has found an early versus later  
95 onset of drinking is associated with elevated lifetime rates of alcohol use disorders (Agrawal et  
96 al., 2009; Dawson et al., 2008); and lower levels of PEM and CON traits, and higher levels of  
97 NEM traits (Hill et al., 2000; Malmberg et al., 2012), we predicted that the effects alcohol  
98 initiation on trait levels would be greater among those with earlier age of first drink.

## 99 **Materials & Methods**

### 100 *Sample*

101 Participants were members of three ongoing, longitudinal-epidemiological studies  
102 conducted at the Minnesota Center for Twin and Family Research (MCTFR; Iacono et al., 2006):  
103 Minnesota Twin Family Study (MTFS; Iacono et al., 1999); Enrichment Study (MTFS-ES;  
104 Keyes et al., 2009); and Sibling Interaction and Behavior Study (SIBS; McGue et al., 2007)—an  
105 adoption study. For all studies, families were eligible if they met the familial relationship  
106 conditions of the relevant study (see below), lived within a day's drive of the MCTFR  
107 laboratories, and the targeted children did not have cognitive or physical disabilities that would  
108 preclude participation in the day-long assessment.

109 For the MTFS and MTFS-ES, same-sex twins born in Minnesota from 1972-1984 and  
110 1988-1994, respectively, were identified and located via public birth records. For the MTFS,  
111 families were recruited to participate the year the twins turned either 11 ( $n=1512$ ) or 17 years old  
112 ( $n=1252$ ). For the MTFS-ES, families were recruited the year the twins turned 11 years old

113 ( $n=998$ ), and additional screening procedures were employed to ensure that one-half of  
114 participating families had at least one twin with conduct problems. For any given birth year,  
115 over 90% of twin families were located and over 80% of those who were eligible agreed to  
116 participate. Participating families were representative of the demographic profile of Minnesotans  
117 during the target birth years (Iacono et al., 1999; Keyes et al., 2009). Participants across the two  
118 studies were predominantly of European American ancestry (96%), and slightly more women  
119 (52%) than men.

120 The SIBS study consists of 409 and 208 adoptive and non-adoptive families, respectively  
121 ( $n=1232$ ), each with two siblings within 5 years of age of one another and between ages of 11  
122 and 21 years old at intake. Adoptive families were identified from private adoption agencies in  
123 Minnesota and included two unrelated siblings (63.2% participation rate). Approximately two-  
124 thirds of adoptions were international (primarily East Asian ancestry) with a mean age of  
125 placement of 4.7 months ( $SD=3.4$ ). Non-adoptive families were ascertained via public birth  
126 records and selected to include a pair of biological siblings comparable in age and gender to  
127 adoptive sibling pairs (57.3% participation rate). Across all sibling pairs, small majorities were  
128 same-sex (60.8%), female (54.9%), and of European American ancestry (55.8%; the other major  
129 racial/ethnic groups was composed of Korean adoptees).

130 Participants from each study were invited to return for follow-up assessments every 3-5  
131 years. Despite ongoing and/or unequal number of assessments across participants, aggregation  
132 of the data across all samples and follow-up assessments allowed for modeling of the data across  
133 ages 10 to 32. The age distribution and number of participants across each wave of assessment  
134 are shown in Table 1. Wave 1 denotes the intake assessment and thus includes the total number  
135 of participants across all studies. The first follow-up assessment (Wave 2) has been completed  
136 for all studies (92.1% retention rate). Across the remaining waves, the decreasing  $N$  is a function  
137 of ongoing assessments and study design. Ongoing assessments included the second follow-up  
138 for the MTFS-ES and SIBS (Wave 3), Wave 4 for the MTFS-ES. Participants from the MTFS  
139 age-17 cohort had completed all scheduled assessments (Waves 1–4). MTFS assessments are  
140 scheduled to terminate when participants reach about age 30. SIBS participants are only  
141 scheduled to complete three waves of assessment. The mean true retention rate was

142 approximately 90% across all completed follow-up assessments for each of the three studies, and  
143 across different age and gender cohorts in the MTF5 and MTF5-ES.

#### 144 *Assessment*

145 *Personality.* Personality was assessed with the Multidimensional Personality  
146 Questionnaire (MPQ; Tellegen and Waller 2008). This self-report questionnaire measures  
147 individuals' typical affective and behavioral styles using a higher-order three-factor structure of  
148 PEM, NEM, and CON. For the primary scales that were included in this study, the range of  
149 Cronbach's alpha across the most common assessment ages (14, 17, 20, 24, and 29) is presented  
150 below. PEM captures a propensity to experience positive emotions and was measured in the  
151 current study by the primary scale of Well-Being ( $\alpha=.88-.92$ ). NEM captures a propensity to  
152 experience negative emotions and comprises scales of Stress Reaction ( $\alpha=.87-.90$ ), Alienation  
153 ( $\alpha=.87-.92$ ), and Aggression ( $\alpha=.87-.91$ ). CON taps a tendency to be planful and cautious and  
154 averse to risk, and was measured in the current study by the primary scales of Control ( $\alpha=.84-$   
155  $.88$ ) and Harm Avoidance ( $\alpha=.84-.87$ ).

156 These six primary scales were selected for analysis because they (a) have each been  
157 linked to drinking problems during adolescence and/or young adulthood (Dom et al., 2006;  
158 Elkins et al., 2004; Jackson and Sher, 2003), and (b) were administered at each assessment wave  
159 in MCTFR studies. Specifically, the 198-item version of the MPQ was administered at the ages  
160 17, 24, and 29 assessments of the MTF5 and MTF5-ES. An abbreviated version of the MPQ  
161 that included only scales of Well-being, Stress Reaction, Alienation, Aggression, Control, and  
162 Harm Avoidance was administered to twins at the age-14 assessment and to female twins from  
163 the age-17 cohort at their age 20 assessment. SIBS participants 16 years of age and older  
164 completed the 198-item version of the MPQ, while those younger than 16-years old completed  
165 the abbreviated MPQ. All MPQ data prior to age 13 was collected from SIBS participants. For  
166 any given assessment, 83% to 93% of participants had MPQ data. Across all studies, 1,340 and  
167 632 participants had personality data at three and four waves of assessment, respectively. Per  
168 Little's MCAR test, the data for the MPQ scales were missing completely at random;  $\chi^2(82) =$   
169  $101.94, p = .067$ .

170 *Alcohol use initiation and other drinking characteristics.* At each assessment,  
171 participants were asked information on their history and pattern of drinking, which included the  
172 following question—“How old were you the first time you drank alcohol (on your own; more than  
173 your parents allowed you to)?”—as part of the Substance Abuse Module of the Composite  
174 International Diagnostic Interview (Robins et al., 1988) ( $M=15.9$  years;  $SD=2.2$ ; range= $5.0$ –  
175  $24.5$ ). Among those who had ever consumed alcohol, the average frequency of drinking in the  
176 past 12 months was less than once per month at age 14, once per month at age 17, 2-3 times per  
177 month, and 2-3 times per month at ages 20 and 24. The average number of drinks on a typical  
178 drinking day in the past 12 months was 2.1 ( $SD=2.6$ ) at age 14, 3.3 ( $SD=3.5$ ) at age 17, 4.3  
179 ( $SD=3.5$ ) at age 20, and 3.5 ( $SD=2.7$ ) at age 24.

#### 180 *Statistical analyses*

181 For each trait, multi-level models (MLM) were fit in *HLM 7.0* to test (1) for effects of  
182 alcohol use initiation on the levels of MPQ traits; and (2) if effects of initiation were moderated  
183 by gender or timing of drinking onset. Each model included three-levels to account for nesting  
184 of participants within families and assessments within participants: Level-3 (nesting of  
185 participants within families); Level-2 (individual participants); Level-1 (repeated measures  
186 across time within participants).

187 The following choices were made when modeling MPQ trait trajectories. First, as  
188 indicated by Durbin and colleagues’ (2015) analysis of this dataset, models that include linear  
189 and non-linear (i.e., quadratic and cubic) effects of age provide the best fit to the MPQ trait  
190 trajectories from early adolescence to young adulthood (see also Harden & Tucker-Drob, 2011).  
191 Thus, both linear and non-linear effects of age were included in the models for this study. Data  
192 were included for up to four waves for each participant, and because participants varied in  
193 chronological age at the time of each assessment, change was modeled as a function of  
194 participants’ actual chronological ages at each assessment. We centered age at the first  
195 assessment wave (age 11). Although the number of participants was smaller for the earliest ages  
196 (11-14) than for ages 17 and older, we wanted to generate the most robust estimates for trait  
197 levels across the full developmental span covered in the sample so as to more accurately estimate  
198 the effect of alcohol initiation on traits, beyond the effects of age-related change. Second, we



199 modeled age-related change in traits as fixed effects estimated for the sample as a whole, while  
 200 the effect of alcohol initiation was allowed to vary across participants. Per Durbin et al. (2015),  
 201 models in which linear and non-linear effects are freely estimated did not provide a better fit than  
 202 models in which they were fixed (i.e., models without random effects for the change parameters  
 203 did not fit worse than models with the additional random effects). Third, effects of alcohol  
 204 initiation on trait levels were modeled as within-subjects effects (time-varying coefficients at  
 205 Level-1); thus, only participants who had MPQ data for at least one assessment prior and one  
 206 following their age of drinking onset contributed to the estimation of parameters for the effects  
 207 of alcohol initiation on trait levels.

208 To examine initiation effects, we entered a time-varying predictor at Level-1 (ALC  
 209 NO/YES) to account for participant-specific timing of this event and its effect on trait elevation  
 210 across the sample. A value of 0 was entered for this variable at Level-1 for each assessment  
 211 prior to participants' age of first drink, and a value of 1 was entered for each assessment wave  
 212 after age of first drink. This allowed for tests of within-subject differences in trait levels before  
 213 versus after drinking onset—i.e., the extent to which alcohol use initiation was associated with  
 214 subsequent differences in trait levels above and beyond the effects of age-related change, with  
 215 age centered at 11:

$$216 \quad \text{Stress Reaction}_{ij} = \gamma_{000} + \gamma_{100}*(AGE - 11_{ij}) + \gamma_{200}*(AGE - 11_{ij})^2 + \gamma_{300}*(AGE - 11_{ij})^3 +$$

$$217 \quad \gamma_{400}*(ALC\ NO/YES_{ij}) + r_{0ij} + u_{00j} + e_{ij}.$$

218 Due to the number of data points before and after the typical age of initiation, we were unable to  
 219 contrast slopes and examine effects of alcohol use initiation on rate of linear or non-linear  
 220 change in traits over time. Consequently, the initiation effects (and the graphs to be presented)  
 221 only demonstrate, in the context of the average trait trajectory, the magnitude of the change in  
 222 trait levels immediately after the average age of alcohol initiation, not the impact of initiation  
 223 effects in the rate of change in traits over time. Next, for traits in which alcohol use initiation  
 224 was associated with subsequent differences in trait levels before versus after drinking onset, we  
 225 tested separate models in which gender and age of first drink moderated the magnitude of the  
 226 initiation effects on trait levels. These moderators were entered at Level-2 as predictors of  
 227 variation in the Level-1 effect of alcohol use initiation:

$$\begin{aligned}
 228 \quad & \text{Stress Reaction}_{ij} = \gamma_{000} + \gamma_{100}*(AGE - 11_{ij}) + \gamma_{200}*(AGE - 11_{ij})^2 + \gamma_{300}*(AGE - 11_{ij})^3 + \\
 229 \quad & \gamma_{400}*(ALC\ NO/YES_{ij}) + \gamma_{410}*(ALC\ NO/YES_{ij})*(GENDER_{ij}) + r_{0ij} + u_{00j} + e_{ij}.
 \end{aligned}$$

230 For the final moderation models, we accounted for the main effects of gender and age of first  
 231 drink on the basic change parameters (i.e., intercept, age, age<sup>2</sup>, and age<sup>3</sup>) of each trait. That is,  
 232 the moderator analyses included either gender or age of first drink as a significant Level-2  
 233 predictor of the Level-1 effects of these change parameters.

## 234 Results

235 *Model fitting results for the effects of alcohol use initiation.*

236 The fit of models that included only age-related (linear and non-linear) change vs. models  
 237 that also included effects of alcohol initiation were compared using Akaike's Information  
 238 Criterion (AIC; lower values indicate a better fit to the data) – see Table 2. For each trait, a  
 239 model that included the effects of initiation provided a better fit than a model that included only  
 240 age-related change.

241 *Effects of alcohol use initiation on the level of personality traits.*

242 Table 3 shows the results of the best-fitting models, which tested whether there were  
 243 significant differences in levels of MPQ traits before versus after drinking onset (accounting for  
 244 effects of age-related change on traits—i.e., initial intercept; and age, age<sup>2</sup>, and age<sup>3</sup> slopes).  
 245 Effects of alcohol use initiation on trait level were significant for all traits. For Well-being and  
 246 both CON traits, there were significant decreases in the level of these traits after drinking onset.  
 247 Conversely, for NEM scales, there were significant increases in the level of all traits after  
 248 drinking onset. A graphical representation of the age-related effects and initiation effects for  
 249 each trait at the mean age of drinking onset (i.e., age 16) is provided in Figure 1. To facilitate  
 250 interpretation of initiation effects, we used the grand SD for each trait (Well-being = 7.98; Stress  
 251 Reaction = 9.37; Alienation = 9.01; Aggression = 9.66; Control = 8.17; Harm Avoidance =  
 252 10.86) to express the initiation effects in terms of their size relative to the scale SD. For  
 253 example, after the onset of drinking, the level of Well-being decreased by 0.16 SDs (i.e.,  
 254 1.24/7.98), and levels of Control and Harm Avoidance decreased by 0.33 and 0.15 SDs,

255 respectively; whereas levels of Stress Reaction, Alienation, and Aggression increased by 0.13,  
256 0.18, and 0.32 SDs, respectively.

257 *Moderation of effects of alcohol use initiation on the level of personality traits by gender and age*  
258 *of first drink.*

259 Tables 4 and 5 provide the results of exploratory models that tested (separately) whether  
260 the initiation effects were moderated by gender and age of first drink, respectively. Specifically,  
261 either gender or age of first drink was entered at Level-2 in the model as a predictor of variation  
262 in the Level-1 effect of alcohol use initiation. These effects are denoted as “gender on initiation  
263 effects” and “age of first drink on initiation effects” in Tables 4 and 5, respectively. For the sake  
264 of parsimony, the parameter estimates of the main effects of gender and age of first drink on the  
265 Level-1 basic change parameters are not presented in these tables, but are available upon request  
266 from the first author.

267 Per Table 4, gender was a significant moderator of the initiation effects for Aggression,  
268 Control, and Harm Avoidance—i.e., the magnitudes of the effect of alcohol use initiation on these  
269 trait levels were significantly different between men and women. For Aggression, there were  
270 increases for both men and women; however, the effect was larger in men. For Control, there  
271 were decreases for both men and women after drinking onset, though the effect was larger in  
272 men. For Harm avoidance, there was a significant decrease for men, but minimal change for  
273 women.

274 Per Table 5, age of first drink was a significant moderator of the initiation effects for  
275 most traits, with the exception of Aggression and Harm Avoidance—i.e., the magnitude of the  
276 effect of alcohol use initiation on a trait level varied significantly as a function of the timing of  
277 alcohol use initiation. Based on the age-specific initiation effects (1 SD below [ $\leq 13.7$  years] and  
278 1 SD above [ $\geq 18.1$  years] the mean age of initiation), the initiation effect for each trait was  
279 enhanced among those with an earlier age of first drink. Specifically, for Well-being and  
280 Control, decreases in the levels of these traits as a function of drinking onset were larger for  
281 those with an earlier (vs. later) age of onset; whereas for Stress Reaction and Alienation,  
282 increases in the levels of these traits as a function of drinking onset were larger for those with an  
283 earlier (vs. later) age of onset.

284

## Discussion

285 This study tested whether alcohol use initiation impacted trajectories of personality  
286 development from early adolescence to young adulthood. Alcohol use initiation was associated  
287 with changes in levels of all trait trajectories examined, that is, levels of Well-being and CON  
288 traits (Control and Harm Avoidance) decreased after the onset of drinking, whereas levels of  
289 NEM traits (Stress Reaction, Alienation, and Aggression) increased after drinking onset.  
290 Notably, the magnitudes of these effects were greatest for Control and Aggression – two of the  
291 strongest trait-based predictors of problematic drinking during the transition to adulthood (Elkins  
292 et al., 2006; Krueger, 1999).

293 The current findings help to refine models regarding the association between personality  
294 and alcohol use characteristics during adolescence and young adulthood (Littlefield et al., 2012;  
295 Quinn & Harden, 2013). Specifically, prior prospective studies have tended to focus on a  
296 precursor model, observing that higher NEM traits and lower CON and PEM traits are linked to  
297 an earlier age of first drink (Malmberg et al., 2012; McGue et al., 2001) and predict subsequent  
298 increases in drinking during adolescence and young adulthood (MacPherson et al., 2010; Sher et  
299 al., 2000). The current findings support an alternative model in which alcohol use affects the  
300 developmental trajectories of personality traits during this developmental timeframe. Indeed,  
301 these two models are not mutually-exclusive as the effects between personality and alcohol use  
302 may operate in a reciprocal fashion. For example, Malmberg et al. (2013) reported reciprocal  
303 effects between onset of substance use and traits related to CON (i.e., sensation-seeking and  
304 impulsivity).

305 The present study did not test for reciprocal effects *per se*; however, the findings  
306 complement prior tests of the precursor model by suggesting that alcohol use initiation is not  
307 simply predicted by deviations from normative patterns of personality maturation, but may also  
308 *predict* such patterns of development. Collectively, support for the two models aligns with the  
309 corresponsive principle of personality development, which posits that life experiences enhance  
310 the traits that lead people into those experiences in the first place (Roberts et al., 2003). This  
311 principle has been shown to be applicable to the association between personality development  
312 and work experiences and marital quality—e.g., Le et al. (2013)—but to our knowledge has not  
313 previously been applied to alcohol use characteristics. The present findings suggest that the

314 corresponsive principle may serve as a useful theoretical framework to describe the co-  
315 development of personality and drinking during adolescence and young adulthood.

316 Another notable finding from our analyses was that the strength and directions of the  
317 initiation effects were moderated by gender and timing of drinking onset. Regarding gender,  
318 changes in the levels of most traits following initiation were in the same directions for men and  
319 women, but served to magnify gender differences in these traits during adolescence and young  
320 adulthood; that is, prior research has found Stress Reaction, Control, and Harm Avoidance to be  
321 higher among women than men during these periods, and traits of Alienation and Aggression to  
322 be higher among men than women (Blonigen et al., 2008; Soto et al., 2011). The effects of  
323 alcohol use initiation enhanced these gender differences. Notably, changes in levels of Harm  
324 Avoidance diverged somewhat for men and women such that the onset of drinking was followed  
325 by increases among men in risk-taking tendencies, but no change in such tendencies for women.  
326 These findings suggest that transactions between personality development and alcohol use may  
327 follow distinct pathways to problematic drinking for men and women (Hicks et al., 2007).

328 The findings for moderation by age of first drink highlight the salience of this variable  
329 within the addiction literature. Earlier age of first drink may have detrimental consequences on  
330 brain development (Clark et al., 2008) and has been consistently linked to increased risk for  
331 alcohol use disorders (AUD) (Agrawal et al., 2009; Dawson et al., 2008). Given that high NEM  
332 and low CON trait levels are robust predictors of AUD, the current findings in which earlier age  
333 of initiation was associated with greater increases in NEM and decreases in CON may account  
334 for why an early onset of drinking increases risk for developing AUD. Further, this finding  
335 suggests that the relevance of the corresponsive principle may not be uniform across  
336 development, with personality development more sensitive to effects of life events at some ages  
337 than others (DeWit et al., 2000). Specifically, effects of alcohol use initiation on levels of trait  
338 trajectories may be stronger when the onset of drinking occurs early in adolescence.

339 Although this study had several strengths including a large community-based, mixed-  
340 gender sample and prospective design over four time-points, several limitations should be  
341 acknowledged. First, personality was assessed only via self-report questionnaires. Use of  
342 informant reports and behavioral tasks in future work will help corroborate the present findings  
343 and identify which effects are robust across assessment methods. Second, although the racial

344 and ethnic-profile of participants was consistent with the demographics of the particular  
 345 geographic region and cohort, it was primarily of European-American descent. Thus,  
 346 generalizability of the findings may be limited. Third, for the sake of simplicity, our analysis of  
 347 alcohol use characteristics was limited to age of first drink rather than regular or hazardous  
 348 drinking patterns—e.g., binge drinking. However, age of first drink is a strong predictor of AUD  
 349 and is therefore a variable of tremendous significance within the broader addiction literature.  
 350 Finally, although our models of within-person change provide a strong test of the “effect” of  
 351 alcohol use initiation on personality trait development, true causal influence cannot be assumed.

352 In summary, alcohol use initiation predicted deviations from normative patterns of  
 353 personality maturation during early adolescence to young adulthood. Future studies should  
 354 examine these effects in concert with tests of the precursor model (cf. Malmberg et al., 2013), as  
 355 well as examine if reciprocal effects between personality traits and alcohol use characteristics are  
 356 relevant for other drinking milestones (e.g., onset of AUD symptoms). Such studies would  
 357 greatly expand our understanding of the interplay between personality and alcohol use  
 358 characteristics during the formative years of adolescence and young adulthood.

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482 Table 1. Number of participants of each age at each assessment wave.

Age	Assessment Wave 1	Assessment Wave 2	Assessment Wave 3	Assessment Wave 4	Total N
10	6				6
11	104				104
12	119				119
13	255	5			260
14	1047	82	1		1130
15	878	110			988

<b>16</b>	762	153			915
<b>17</b>	1152	795			1947
<b>18</b>	479	742			1221
<b>19</b>	99	375	49		523
<b>20</b>	32	465	14		511
<b>21</b>	16	238	23		277
<b>22</b>	2	41	19		62
<b>23</b>	20	127	97		244
<b>24</b>	38	335	530		930
<b>25</b>	83	408	423	15	929
<b>26</b>	17	90	76	3	186
<b>27</b>	8	15	19	1	43
<b>28</b>	3	25	131	99	258
<b>29</b>	23	117	453	376	969
<b>30</b>	8	38	100	119	265
<b>31</b>	4	4	13	13	34
<b>32</b>			1		1

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493 Table 2. AIC values for the best-fitting models of age-related change in MPQ personality traits  
 494 versus models that include an effect of alcohol use initiation on level of the MPQ traits.

495	<u>Models</u>	
496	<u>Trait</u> <u>Linear + non-linear age-related change</u>	<u>Age-related change + effects of initiation</u>
497	Well-being	77340.57
498	Stress Reaction	80307.65
499	Alienation	79092.42
500	Aggression	79598.00
501	Control	77182.75
502	Harm Avoidance	81904.56
503		

504 *Notes.*  $N = 3,211$ . AIC = Akaike Information Criterion. MPQ = Multidimensional Personality  
 505 Questionnaire. Non-linear change = Models that include effects for linear, quadratic, and cubic  
 506 age-related change in traits over time. Non-linear change + effects of initiation = Models that  
 507 include effects for linear, quadratic, and cubic age-related change in traits over time and an effect  
 508 of alcohol use initiation on level of the MPQ traits. Lower AIC values denote better fit.

509 Table 3. Effects of alcohol use initiation on the level of MPQ personality traits.

510	Intercept	Age	Age <sup>2</sup>	Age <sup>3</sup>	Effect of initiation on trait level	
511	(SE)	(SE)	(SE)	(SE)	(SE)	
512	Well-being	56.92***	-0.31	0.04	-0.001*	-1.24***
513		(0.68)	(0.23)	(0.02)	(0.0006)	(0.24)
514	Stress Reaction	40.27***	0.92***	-0.12***	0.004***	1.26***
515		(0.72)	(0.24)	(0.02)	(0.0006)	(0.27)
516	Alienation	37.61***	-0.23	-0.06**	0.002***	1.66***
517		(0.73)	(0.24)	(0.02)	(0.0006)	(0.26)
518	Aggression	41.78***	-0.63*	-0.06**	0.003***	3.08**
519		(0.75)	(0.24)	(0.02)	(0.0006)	(0.26)
520	Control	44.46***	0.55*	0.03	-0.002**	-2.69***
521		(0.65)	(0.21)	(0.02)	(0.0006)	(0.23)
522	Harm Avoidance	49.50***	-1.02***	0.13***	-0.003***	-1.60***
523		(0.86)	(0.28)	(0.03)	(0.0007)	(0.27)

524

525 *Notes.*  $N=3,211$ . MPQ = Multidimensional Personality Questionnaire. \*  $p<.05$ , \*\*  $p<.01$ , \*\*\*  $p<.001$ , with no adjustment for  
526 multiple testing. “Intercept” values reflect model-derived scores at age 11. Values for “age,” “age<sup>2</sup>,” and “age<sup>3</sup>” reflect the linear,  
527 quadratic, and cubic change, respectively, per year in that scale after the age of the intercept. The values for “effect of initiation on  
528 trait level” reflect the extent to which the level of a trait changed after the onset of drinking.

529 Table 4. Moderation of effects of alcohol use initiation on the level of MPQ personality traits by gender.

530	Level-1 predictors				Level-2 predictors			
	531	532	532	532	531	532	531	
Trait	Intercept	Age	Age <sup>2</sup>	Age <sup>3</sup>	Effects of initiation on trait level	Gender on initiation effects	Sex-specific initiation effects	
	(SE)	(SE)	(SE)	(SE)	(SE)	(SE)	Men	Women
534 Well-being	56.92*** (0.68)	-0.30 (0.23)	0.04 (0.02)	-0.001* (0.0006)	-1.22*** (0.27)	-0.03 (0.18)	-1.25	-1.22
536 Stress Reaction	39.88*** (0.94)	1.21** (0.31)	-0.15** (0.03)	0.005*** (0.0007)	1.82*** (0.39)	-0.37 (0.36)	1.45	1.82
538 Alienation	37.66*** (0.73)	-0.26 (0.24)	-0.06** (0.02)	0.002*** (0.0006)	1.50*** (0.30)	0.26 (0.20)	1.76	1.50
540 Aggression	43.54*** (0.98)	-1.33** (0.30)	-0.003* (0.03)	0.001*** (0.0007)	2.38*** (0.36)	1.93*** (0.37)	4.31	2.38
542 Control	43.32*** (0.87)	0.99** (0.28)	-0.01 (0.02)	-0.004* (0.0006)	-2.33*** (0.30)	-0.83*** (0.22)	-3.16	-2.33
544 Harm Avoidance	48.59*** (1.12)	-0.13 (0.34)	0.05 (0.03)	-0.0008 (0.0008)	-0.89* (0.38)	-1.23* (0.39)	-2.12	0.89

546 Notes.  $N = 3,211$ . \*  $p < .05$ , \*\*  $p < .01$ , \*\*\*  $p < .001$ , with no adjustment for multiple testing. Gender (1=male). “Intercept” values reflect model-  
 547 derived scores at age 11. Values for “age,” “age<sup>2</sup>,” and “age<sup>3</sup>” reflect the linear, quadratic, and cubic change, respectively, per year in that scale  
 548 after the age of the intercept. “Gender on initiation effects” reflects the effect of including gender at Level 2 as a predictor of individual  
 549 differences in the initiation effects at Level 1. This coefficient denotes whether the magnitude of the effects of alcohol use initiation on a trait  
 550 level was significantly different between men and women. Table 5. Moderation of effects of alcohol use initiation on the level of MPQ personality  
 551 traits by age of first drink.

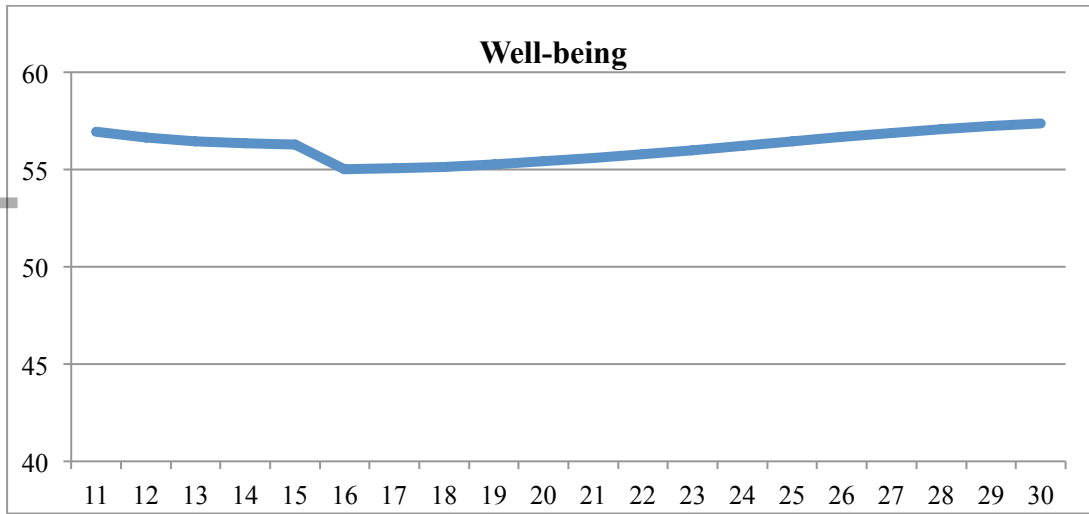
552	Level-1 predictors				Level-2 predictors				
	553	Intercept	Age	Age <sup>2</sup>	Age <sup>3</sup>	Effects of initiation	Age of first drink on	Age-specific	
554	Trait	(SE)	(SE)	(SE)	(SE)	on trait level	initiation effects	initiation effects	
555							(SE)	-1 SD	+ 1 SD
556	Well-being	56.51**	0.27	-0.01	0.0001	-7.01**	0.30**	-2.10	-1.58
557		(2.08)	(0.33)	(0.03)	(0.0008)	(2.42)	(0.13)		
558	Stress Reaction	50.05***	-1.53*	-0.05	0.0004***	9.15***	-0.47***	2.71	0.64
559		(3.60)	(0.67)	(0.04)	(0.00008)	(2.53)	(0.14)		
560	Alienation	59.20***	-6.83**	-0.51*	-0.01*	11.01***	-0.54***	3.61	1.24
561		(7.77)	(2.30)	(0.21)	(0.006)	(2.68)	(0.15)		
562	Aggression	55.54**	-0.89*	0.03	0.002*	3.55	-0.06	5.81	2.73
563		(2.19)	(0.35)	(0.03)	(0.0008)	(2.51)	(0.13)		
564	Control	15.19**	6.11**	-0.40	0.009	-7.63***	0.36**	-2.70	-1.14
565		(5.76)	(1.72)	(0.16)	(0.005)	(2.20)	(0.12)		
566	Harm Avoidance	36.03***	-0.57	0.08*	-0.002*	0.08	0.05	0.76	0.98
567		(1.11)	(0.39)	(0.03)	(0.0009)	(2.79)	(0.15)		

568 *Notes.*  $N = 3,211$ . \*  $p < .05$ , \*\*  $p < .01$ , \*\*\*  $p < .001$ , with no adjustment for multiple testing. “Intercept” values reflect model-derived scores at age  
569 11. Values for “age,” “age<sup>2</sup>,” and “age<sup>3</sup>” reflect the linear, quadratic, and cubic change, respectively, per year in that scale after the age of the  
570 intercept. “Age of first drink on initiation effects” reflects the effect of including age of first drink (centered) at Level 2 as a predictor of  
571 individual differences in the initiation effects at Level 1. This coefficient denotes whether the magnitude of the effect of alcohol use initiation on a  
572 trait level varied significantly as a function of the timing of alcohol use initiation. The “Age-specific initiation effects” gives the respective effects  
573 of alcohol use initiation on trait levels at 1 SD below and 1 SD above the mean age of first drink.

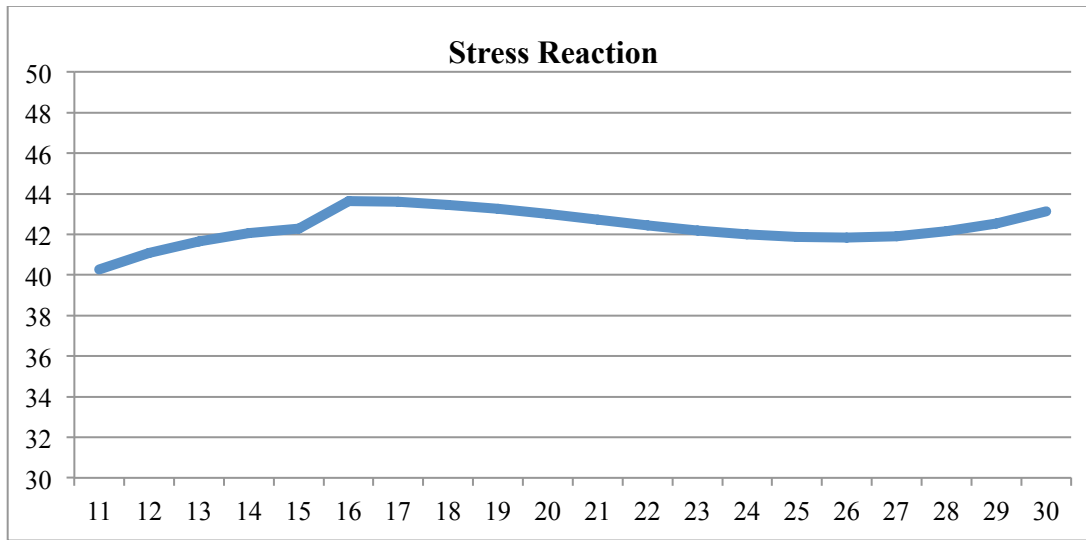


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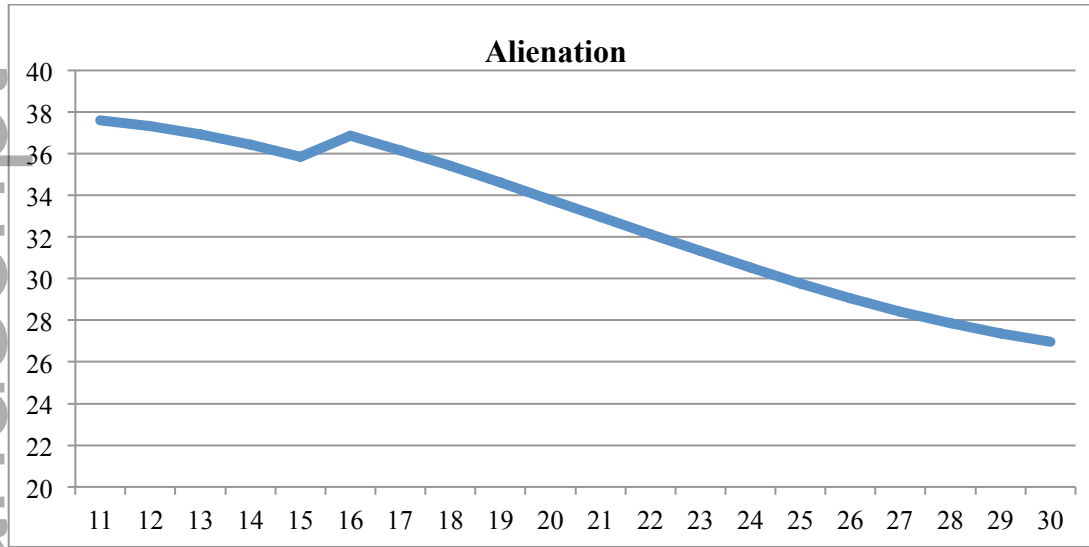


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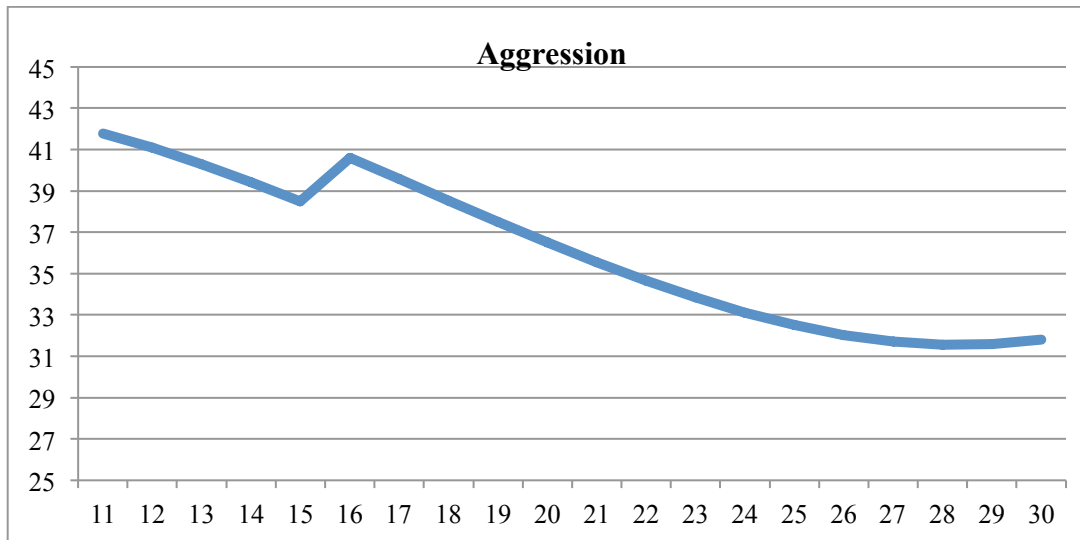


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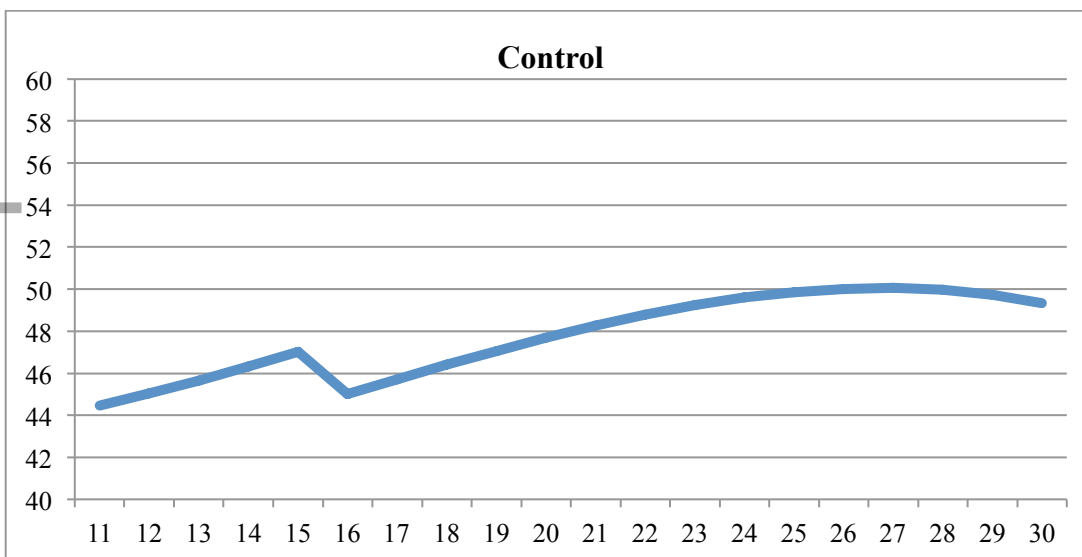
4 (C)  
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