

1 **Measurement and modeling of the refilling**
2 **plasmasphere during 2001**

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3 **Abstract.** The Naval Research Laboratory SAMI3 (Sami3 is Also a Model
4 of the Ionosphere) and the RAM-CPL (Ring current Atmosphere interac-
5 tion Model-Cold PLasma) codes are used to model observed plasmasphere
6 dynamics during 2001 November 25–December 1 and 2001 February 1–5. Model
7 results compare well to plasmasphere observations of electron and mass den-
8 sities. Comparison of model results to refilling data and to each other shows
9 good agreement, generally within a factor of 2. We find that SAMI3 plas-
10 maspheric refilling rates and ion densities are sensitive to the composition
11 and temperature of the thermosphere and exosphere, and to photoelectron
12 heating. Results also support our previous finding that the wind-driven dy-
13 namo significantly impacts both refilling rates and plasmasphere dynamics
14 during quiet periods.

1. Introduction

15 Earth's plasmasphere, a region of plasma trapped in the inner magneto-
16 sphere by closed geomagnetic field lines, is shaped by the dynamics of the
17 magnetosphere [*Carpenter, 1966; Nishida, 1966*], ionosphere [*Galvan et al.,*
18 2008], and thermosphere [*Krall et al., 2014*]. The plasmasphere is typically
19 eroded during a storm, with a time scale of hours [*Goldstein et al., 2003*], and
20 refills during quiet times with a time scale of days [*Singh and Horwitz, 1992*].
21 Given its responsiveness to the magnetosphere/ionosphere/thermosphere sys-
22 tem and its affect on electromagnetic waves and energetic particles in the
23 inner magnetosphere [*Singh et al., 2011*], the plasmasphere is both a marker
24 and a component of space weather.

25 The purpose of this paper is to examine measurements and models of the
26 plasmasphere during two post-storm refilling periods: 2001 November 25–
27 December 1 and 2001 February 1–5. In so doing we will consider plasmasphere
28 dynamics, density, post-storm refilling, and composition, directly comparing
29 two plasmasphere models to observations. By simulating 14 days during 2001
30 (including the three storm days that are not our main focus), we have model
31 results for a large-enough range of geomagnetic activity to compare to the
32 statistical results of *Berube et al. [2005]*. To our knowledge, this is the first

33 comparison of a first-principles global plasmasphere simulation to both mass
34 density and electron density measurements.

35 Selected inputs and responses for the Earth geospace system are shown in
36 Figure 1 for the 2001 November 24 storm and quiet refilling period and, in
37 Figure 2, for the 2001 January 31 storm and subsequent quiet period. Shown
38 are solar wind magnetic field components, density and velocity, extreme ultra
39 violet (EUV) solar indices F10.7 and F10.7A, and geomagnetic indices Kp and
40 Dst. The quiet periods of interest are 2001 November 26–December 1 (day
41 of year 330-336), when Kp was at or below 3 at all times, and 2001 February
42 2-5 (day of year 33-36), when the Kp index was below 2 at all times.

43 Measurements of *in situ* plasmasphere electron density during this time are
44 available from the Imager for Magnetopause-to-Aurora Global Exploration
45 (IMAGE) spacecraft [Burch, 2000]. Measurements of mass density at the
46 magnetic equator and at selected L shells, are also available during this time.
47 These come from the Magnetometers along the Eastern Atlantic Seaboard
48 for Undergraduate Research and Education (MEASURE) array located along
49 the east coast of the United States [Berube *et al.*, 2005].

50 Models to be used are the Naval Research Laboratory SAMI3 three-
51 dimensional (3D) global ionosphere/plasmasphere model [Huba and Krall,
52 2013] and the plasmasphere model used in the Ring current Atmosphere inter-

53 action Model–Self-Consistent Magnetic Field (RAM–SCB) [*Jordanova et al.*,
54 2006; *Rasmussen et al.*, 1993] referred to hereafter as RAM–CPL. We have
55 previously simulated the February event using SAMI3 [*Krall et al.*, 2014], find-
56 ing good agreement with electron density measurements. As in that previous
57 study, we find that refilling rates vary significantly with thermosphere winds.
58 New to this study, we find that the neutral oxygen density in the thermo-
59 sphere and exosphere has a similarly strong effect on refilling. By comparing
60 further measurements to the models and the models to each other, we will
61 validate the models and gain further insight into plasmasphere dynamics.

2. Plasmasphere Observations

2.1. IMAGE/RPI electron density

62 Measurements of n_e in the inner magnetosphere are available from Radio
63 Plasma Imager (RPI) instrument [*Reinisch*, 2000] on the IMAGE spacecraft,
64 operating in the passive mode. During the November event IMAGE passes
65 through the plasmasphere were close to 0845 and 2040 magnetic local time
66 (MLT) at intervals of about 14 hours. During the February event, passes were
67 at MLT 0345 and 1545.

68 For example, Figure 3 shows n_e and IMAGE magnetic latitude MLat and
69 MLT versus L from two such passes during November. Here, open squares
70 are points on Day 330, 1649–1811 UT, after the plasmasphere was eroded by

71 the storm on day 328, and filled squares are points on Day 334, 1851–1940
72 UT, after three days of refilling (curves are SAMI3 results to be discussed
73 further below). The electron density is based either on the upper hybrid
74 frequency or the plasma frequency found from the continuum edge [*Webb*
75 *et al.*, 2007; *Denton et al.*, 2012]. Each density value is determined using an
76 automatic algorithm. As needed, corrections are made by hand. Measurement
77 uncertainties are less than 25% in all cases, such that error bars, if included
78 on the plots, would be about the same size as the symbols.

2.2. MEASURE mass density

79 Measurements of equatorial mass densities are shown in Figures 4 and 5.
80 Mass densities are computed from field-line resonance (FLR) frequencies ob-
81 tained from ground-based magnetometers and then numerically solved using
82 a magnetohydrodynamic wave equation.

83 The meridional arrays of paired magnetometers used are from the MEA-
84 SURE array located along the east coast of the United States. The time
85 resolution is one second. Data from four out of the six MEASURE magne-
86 tometers were used in this study as seen in Table 1 along with their geograph-
87 ical latitude and longitude, L -Shell values, and midpoint L -shell values. The
88 technique used for remotely sensing the mass density along closed magnetic
89 fields in the plasmasphere involves using a pair of ground based magnetome-

90 ters to measure field line resonance frequencies [Berube *et al.*, 2005]. The
91 method used for this study, developed by Berube *et al.* [2003], uses statistical
92 properties from the cross-phase [Waters *et al.*, 1991] and power ratio methods
93 [Baransky *et al.*, 1985].

94 Hourly average FLR frequencies were used with an uncertainty of 1.6 mHz
95 [e.g., Berube *et al.*, 2003]. Once the FLR frequencies were obtained, the equa-
96 torial mass density was numerically calculated [Denton *et al.*, 2006] using the
97 Singer *et al.* [1981] wave equation, solar wind parameters, the Tsyganenko
98 and Sitnov [2005] model for the outer magnetic field, and the IGRF model
99 [Bilitza and Reinisch, 2008] for the inner magnetic field. The frequency uncer-
100 tainty leads to mass-density errors ranging from $\pm 10\%$ at $L = 2.30$ to $\pm 30\%$
101 at $L = 3.11$ [e.g., Vellante and Frster, 2006]. Representative error bars are
102 plotted for the right-most points in Figure 4. For further information on this
103 method see also Takahashi *et al.* [2010].

104 In Figure 4, one feature that stands out is the large scatter in the $L = 3.11$
105 values. This suggests rapid spatial variations in density and/or composition
106 24-48 hours after the peak of the storm. In Figure 5, we see a decrease in
107 the measured value of ρ during refilling, which implies a reduction in number
108 density or a change in composition over time. Based on previous measure-

109 ments [e.g. *Berube et al.*, 2005, discussed below], we expect the average ion
110 mass to increase immediately following a storm and decrease thereafter.

2.3. MEASURE/IMAGE conjunctions

111 Figure 6 shows average ion mass, M , determined from conjunctions of the
112 IMAGE satellite and the MEASURE array. Included are conjunctions with
113 $(\Delta t_{UT}^2 + \Delta T_{MLT}^2)^{1/2} < 3$ hours and with IMAGE close to the magnetic equator
114 (MLat $< 15^\circ$). For each conjunction, RPI electron densities from an IMAGE
115 pass are interpolated to the specified L value and extrapolated to the magnetic
116 equator [*Denton et al.*, 2012, see equation 5 therein].

117 For these conjunctions the mean is $M = 1.1$, and the median is 1.0 for
118 the November event. For February the mean and median are 1.4 and 1.3,
119 respectively. These values are generally reasonable, implying a small increase
120 in average ion mass above that of an H^+ plasma. However, the individual
121 values are questionable; some values are below unity. This suggests significant
122 variations in local electron and mass densities with time scales < 3 h or spatial
123 scales $< 45^\circ$ longitude. We will see below that such density changes versus
124 MLT at fixed time or versus time at fixed MLT can be as large as a factor
125 of 2.

126 The conjunction on Day 34 at $L = 3.11$ is perplexing, as it suggests an
127 increase in average ion mass during the February refilling period. Such in-

128 creases have been found, such as by *Denton et al.* [2014], where an increase
129 in O^+ was measured at geostationary orbit ($L = 6.8$). By contrast, Figure 5
130 shows that ρ is decreasing during refilling, when n_e is increasing. That the
131 average ion mass for November is lower than for February is also perplexing.
132 November has a higher EUV index, which is associated with a higher He^+
133 fraction. However, given that no conjunction was closer than 1.6 hours (24°
134 longitude), these M values are highly uncertain.

3. Simulation Models

3.1. SAMI3

135 The Naval Research Laboratory SAMI3 code [*Huba et al.*, 2008; *Huba and*
136 *Krall*, 2013; *Krall and Huba*, 2013] was used in this study. SAMI3, which
137 is based on the SAMI2 (Sami2 is Another Model of the Ionosphere) code
138 [*Huba et al.*, 2000], includes the wind-driven dynamo electric field, solving a
139 two-dimensional electrostatic potential equation that is based on current con-
140 servation ($\nabla \cdot \mathbf{J} = 0$). Thermospheric composition, temperature and winds
141 are specified, using the NRLMSISE-00 model [*Picone et al.*, 2002] for compo-
142 sition and temperature and either the HWM93 [*Hedin*, 1991] or the HWM14
143 [*Drob et al.*, 2015] empirical wind model. Initial runs were performed using
144 HWM93; one of these is presented below for the February event. Our final
145 run of the November event used HWM14; this is presented below.

146 For dynamics along field lines, SAMI3 solves the continuity and momentum
147 equations for seven ion species. The temperature equation is solved for three
148 atomic ion species (H^+ , He^+ , O^+) and the electrons. Inclusion of He^+ and
149 O^+ in the SAMI3 plasmasphere allows comparison to composition and mass
150 density measurements. In the present work, we will focus on the dynamics of
151 H^+ and He^+ . *Huba et al.* [2008] provides a good description of the equation
152 set and of the potential solver.

153 In the version of SAMI3 used here [*Krall et al.*, 2014], the magnetic field
154 is a dipole aligned with Earth's spin axis and the grid is fixed relative to
155 the Sun. In this case a constant azimuthal index corresponds to constant
156 magnetic local time (MLT). A corotation potential is specified to account
157 for the rotation of the Earth within this grid. In the absence of winds or
158 magnetosphere convection, this produces an exact corotation of the ionosphere
159 and plasmasphere.

160 Transport across field lines is through the $\mathbf{E} \times \mathbf{B}$ drift. These include the
161 corotation potential, the wind-driven dynamo potential, and the high-latitude
162 magnetospheric potential, which are simply added together. At present, the
163 magnetospheric potential is provided by the Weimer05 [*Weimer*, 2005] empir-
164 ical model, which is driven by solar wind quantities B_y , B_z , V_x and n_p , shown
165 in Figures 1 and 2. These solar wind data come from the OMNI dataset,

166 but were smoothed using a 20-minute window in preparation for use in the
167 Weimer05 model. In these cases OMNI data are determined using measure-
168 ments from the ACE [Stone *et al.*, 1998], WIND [Harten and Clark, 1995],
169 and Geotail [Frank *et al.*, 1994] spacecraft for the November event and ACE
170 and WIND for the February event.

171 Ionospheric processes are affected by the date, the solar irradiance indices
172 F10.7 and F10.7A, and the geomagnetic index A_p , each of which are set at
173 the beginning of each simulated day. To account for high-latitude ‘open’ field
174 lines, plasma densities are reduced for geocentric radius $r > 9R_E$.

175 For the November event, the simulation begins at the beginning of day 326
176 of 2001, in order to reduce sensitivity to initial conditions prior to the
177 storm on day 328. Our SAMI3 simulation of the February event is described
178 in Krall *et al.* [2014, see Figures 4, 7, 8 and 10 therein].

179 In this and past studies, we find that plasmasphere ion densities are sen-
180 sitive to factors that do not strongly affect the ionosphere. Two examples,
181 photoelectron heating and the atomic oxygen temperature in the exosphere,
182 will each be considered further below. Another, the He photoionization re-
183 action rate, was addressed by Bailey and Sellek [1990]. They showed that
184 increasing the rate by a factor of 2.5 increases plasmaspheric He^+ density
185 by a similar factor, bringing it in line with measurements. In recent SAMI3

186 modeling [*Huba and Krall, 2013; Krall and Huba, 2013; Krall et al., 2014*], a
187 similar increase in plasmaspheric He^+ density was accomplished by increasing
188 neutral He densities, provided by the NRLMSISE-00 model in this case, by a
189 factor of 4. For these runs we use the NRLMSISE-00 He densities, without
190 modification. In the February case we increase He^+ photoproduction by a
191 factor of 1.5. Below we will consider that this factor may not be needed; it is
192 not included in the November case.

193 Preliminary modeling of the November refilling period produced rates lower
194 than measured values by factors of 3 to 5. Noting the very high value of the
195 81-day average solar EUV index $218 \leq \text{F10.7A} \leq 220$, we considered the
196 possibility of inaccuracies in the NRLMSISE-00 empirical atmosphere model,
197 which may be less reliable for such high EUV indices. We also considered
198 using the more recent HWM14 wind model instead of HWM93.

199 The idea of introducing MSIS correction factors is suggested by the work
200 of *Emmert et al. [2014]*, who computed these factors for specific time periods
201 based, in part, on measurements of satellite drag. After testing SAMI2 and
202 SAMI3 results for sensitivity to atmospheric densities, we modified the atmo-
203 sphere for the November event. The neutral oxygen density is here reduced
204 a factor of 0.8 and further reduced in the exosphere by effectively lowering
205 the temperature by a factor of 0.8. In lowering the temperature, we assume

206 an exobase at 600 km and an O density decreasing exponentially above this
207 point based on a fixed-temperature scale height. The modified density above
208 600 km is $n^* = n_{600}(n/n_{600})^{T/T^*}$, where n^* , T^* are the modified values and
209 n_{600} is the density at altitude 600 km. Comparison between empirical and
210 measured values of density and temperature in the upper atmosphere [*Em-*
211 *mert et al.*, 2014] suggest that the 0.8 factor in the oxygen density is valid.
212 The exospheric temperature reduction, however, is not presently supported by
213 observations. We will see below that updating the wind model and lowering
214 the atmospheric O density each increase refilling rates.

3.2. RAM-CPL

215 These two events were also simulated using the plasmasphere model origi-
216 nally developed by *Rasmussen et al.* [1993] that was later coupled with RAM-
217 SCB [*Jordanova et al.*, 2006, 2012] and is referred to as RAM-CPL in this
218 paper. This model calculates the thermal electron density in the equatorial
219 plane by solving the continuity equation for the average plasma density in a
220 flux tube (from ionosphere to conjugate ionosphere). Changes in the total
221 flux tube content due to fluxes into or out of the tube at the northern and
222 southern ionospheres and flux tube volume changes caused by $\mathbf{E} \times \mathbf{B}$ drifts are
223 taken into account. In these simulations we use a dipolar magnetic field and
224 the Kp-dependent convection and corotation VSMC model [*Volland*, 1973;

225 *Stern*, 1975; *Maynard and Chen*, 1975]. The RAM–CPL runs include days
226 328–335 (November 24–December 1) and days 31–36 (January 31–February
227 5).

228 In the RAM–CPL model, refilling (or nighttime draining) is computed for
229 each flux-tube. Plasma follows the motion of individual flux tubes based on
230 a combination of corotation and magnetospheric convection. It is assumed
231 that thermal ion fluxes coupling the magnetosphere and the ionosphere decay
232 exponentially with a time scale which depends on ionospheric saturation levels
233 and on the limiting ionospheric flux. The neutral temperatures and densities
234 required to calculate these parameters are obtained from the MSIS empirical
235 model [*Hedin*, 1987], while the ion and electron temperatures and densities
236 are obtained from the IRI model [*Bilitza*, 1986]. The RAM–CPL model thus
237 depends on the relative sunspot number and the Ap index.

4. Results: electron density

238 Below we separately compare SAMI3 electron densities to RPI measure-
239 ments, at the measured locations, and to RAM–CPL, at the magnetic equator.
240 A key difference between SAMI3 and RAM–CPL is in electrostatic potentials,
241 which affect the dynamics through $\mathbf{E} \times \mathbf{B}$ drifts. In SAMI3 the potential is
242 a combination of the wind-driven dynamo, affecting low latitudes and the in-
243 ner magnetosphere (approximately $L < 5$), and the solar-wind-driven Weimer

244 potential, affecting higher latitudes and the outer magnetosphere. In RAM-
245 CPL the Kp-driven VSMC potential is used. To address the difference in
246 the Weimer05 and VSMC magnetosphere models, we have also performed
247 SAMI3 runs using the Kp-driven VSMC potential, instead of Weimer05, at
248 high latitudes.

4.1. November event

249 A direct comparison of RPI passive electron density measurements and
250 SAMI3 results is shown in Figure 3 for the November event. As discussed
251 above, this figure shows IMAGE Mlat, MLT and n_e versus L for two IMAGE
252 passes (open and closed squares). Corresponding SAMI3 results are shown
253 as curves in the top panel. SAMI3 agrees with the data for these two passes.
254 In the eroded state, however, measured densities do not vary as smoothly as
255 SAMI3 densities. A similar plot for the February event can be seen in Figure
256 7 of *Krall et al.* [2014].

257 Figure 7, showing SAMI3 curves at $L = 4.0$ (dashed) and 5.4 (solid) and
258 corresponding RPI points at $L = 4.0$ (triangles) and 5.4 (squares), presents
259 another direct comparison of the model to the data. Here, each pair of points
260 (a triangle and a square) at nearly the same time corresponds to an IM-
261 AGE/RPI pass through the plasmasphere. The two passes shown in Figure

262 3 correspond to the fifth pass in the upper panel and the next-to-last pass in
263 the lower panel of Figure 7.

264 Each curve in Figure 7 shows n_e from SAMI3 plotted versus time at fixed
265 MLat and MLT coordinates approximately matching those of the IMAGE
266 spacecraft. For example, IMAGE/RPI passes near 0845 MLT, interpolated
267 to $L = 4.0$, have an average position of 0846 ± 0021 MLT and $15.5 \pm 4.8^\circ$
268 MLat; the corresponding SAMI3 curve is at 0852 MLT and 14.1° MLat. These
269 coordinates are shown in Table 2 for each SAMI3 curve and corresponding
270 RPI series of Figure 7. Similar to our previous modeling of the February
271 event [Krall *et al.*, 2014], simulated plasmasphere densities measured at fixed
272 MLT oscillate versus time (the 2036 MLT, $L = 5.4$ curve is an exception).
273 In this case the oscillations do not always show a strong diurnal variation,
274 as was seen in the Krall *et al.* [2014] runs or when modeling this same event
275 using HWM93 winds instead of HWM14 winds. In this example, the model
276 oscillations are not large enough to explain the variations in the data from
277 pass to pass. Because the measurements have a low cadence, the oscillations,
278 if present in the data, are not resolved.

279 Figure 7 and Table 2, where density averages are taken during the low Kp
280 interval from 1200 UT day 330 to 0500 UT day 335, show that SAMI3 densities
281 are generally lower than IMAGE/RPI densities. The excellent model–data

282 agreement in Figure 3 illustrates two of the instances when density variations
283 versus time in both SAMI3 and the data brought the two results together.

284 Figure 8 shows color contours of $\log_{10} n_e$, in the magnetic equatorial plane
285 at three representative times, from the SAMI3 and RAM-CPL codes. The
286 left column shows the plasmasphere near the end of the storm. The mid-
287 dle column is at the same time as the IMAGE/RPI data of Figure 3 (open
288 symbols), shortly after refilling begins. The third column corresponds to the
289 later, largely refilled, state indicated in Figure 3 (closed symbols). Density
290 profiles versus MLT at $L = 4.4$ are shown in the bottom row for SAMI3 (solid
291 curve) and RAM-CPL (dotted). We find good agreement between SAMI3
292 and RAM-CPL during the storm, with a plume-like feature, centered at about
293 1400 MLT, evident in all three plots in the left-hand column of Figure 8.

294 During the quiet period the RAM-CPL plasmasphere is rounder than the
295 SAMI3 plasmasphere. In fact it qualitatively resembles the SAMI3 plasma-
296 sphere in a run where thermospheric winds were not included in the model
297 [Krall *et al.*, 2014, see Figure 3 therein]. Looking at the bottom row of plots,
298 we see that refilling is faster in RAM-CPL than in SAMI3.

4.2. February event

299 A SAMI3 simulation of this event was presented in Krall *et al.* [2014], where
300 it is the “HWM93 case” (other cases used other thermospheric wind models).

301 Direct comparisons of SAMI3 electron densities to IMAGE/RPI data, similar
302 to Figures 3 and 7 above, appear therein and will not be repeated here (see
303 Figures 7, 8 and Table 1 of that paper). However, additional comparisons to
304 data and to the RAM-CPL code may be of interest.

305 Figure 9 shows color contours of n_e in the magnetic equatorial plane at
306 three representative times for the SAMI3 and RAM-CPL codes. In the left
307 column the plasmasphere has been eroded by the storm. The middle column
308 is shortly after the storm and the right column corresponds to a later time,
309 after 4 days of refilling. Density profiles versus MLT at $L = 4.4$ are shown in
310 the bottom row.

311 Similar to Figure 8, SAMI3 and RAM-CPL produce similar results at the
312 end of the storm. The agreement at this time is clear in the density profiles
313 versus MLT (lower left panel). At later times the two models show quite
314 different results in terms of the plasmasphere morphology. At the end of the
315 simulation RAM-CPL plasmasphere appears to be very round.

5. Results: refilling

316 To obtain a refilling rate versus L for each event, RPI measurements of n_e
317 during the post-storm quiet period are extrapolated to the magnetic equator
318 as in *Denton et al.* [2012]. Results for each pass near a given MLT (a half-
319 orbit) are interpolated onto a regular L grid. The resulting time series at each

320 L value on the grid is used to obtain a refilling rate versus L . Measured refilling
 321 rates for the two half-orbits are averaged and a curve is fitted to obtain a rate
 322 versus L . For comparison, refilling rates from SAMI3 and RAM-CPL are
 323 determined from n_e averaged over longitude at the magnetic equator versus
 324 time.

5.1. November event

325 Based on IMAGE/RPI measurements between 1200 UT day 330 to 0500
 326 UT day 335, the refilling rate is

$$327 \quad dn_e/dt = 2.10[10^{2.88(1-L/6.8)}]\text{cm}^{-3}\text{day}^{-1}, \quad (1)$$

328 which can also be written $dn_e/dt = 10^{3.20-0.423L}\text{cm}^{-3}\text{day}^{-1}$. This provides
 329 a reasonable fit to measured rates for $2.5 < L < 6.5$. Equation 1 is less
 330 consistent with measured rates for $L > 6.5$, where some rates were found to
 331 be negative.

332 Refilling curves are shown in Figure 10 for SAMI3 and RAM-CPL. Shown
 333 is n_e averaged over longitude at the magnetic equator for $L = 4.0$ and 5.4 ,
 334 with solid curves for SAMI3 and dotted curves for RAM-CPL. Rates from
 335 equation (1) are indicated by dashed lines. Refilling curves for He^+ from
 336 SAMI3, the long-dashed curves (the He^+ scale is to the right), show that the
 337 He^+ fraction decreases from about 20% on day 330 to 12% on day 334.

338 Both SAMI3 and RAM-CPL curves in Figure 10 suggest a decreasing re-
339 filling rate versus time. Similarly, RPI points in Figure 7 suggest relatively
340 fast refilling during days 330 to 333, followed by slower refilling.

341 Equation (1) gives refilling rates of 32.6 and $8.42 \text{ cm}^{-3} \text{ day}^{-1}$, respectively,
342 for $L = 4.0$ and 5.4 . Corresponding rates for SAMI3, determined by a simple
343 least-squares method, are 26.5 and $7.16 \text{ cm}^{-3} \text{ day}^{-1}$, respectively, close to the
344 measured rates. RAM-CPL rates are 40.0 and $15.6 \text{ cm}^{-3} \text{ day}^{-1}$, somewhat
345 faster than measured refilling.

346 Refilling rates for the November event are summarized in Figure 11, where
347 equation 1 (solid line) is plotted alongside the measured rates (squares),
348 SAMI3 rates (black dots), and RAM-CPL rates (triangles). A vertical line on
349 each RPI point indicates the two rates that were averaged to obtain the mea-
350 sured rate (because the difference in the two rates is generally larger than the
351 uncertainty in the individual rates, each vertical line serves as an error bar).
352 Both SAMI3 and RAM-CPL agree nicely with the data. For $L > 5$, RAM-
353 CPL rates are about a factor of two larger than SAMI3 rates. Here, measured
354 rates lie between the two model results with the two measured results at each
355 L also differing by a factor of about two in some instances.

356 Similar to *Krall et al.* [2014], refilling rates varied with the wind model
357 used, with HWM93 giving the slowest refilling. As discussed in Section 3.1

358 above, modifications to the NRLMSISE-00 thermosphere and exosphere had
 359 the effect of increasing refilling rates. For comparison, results from SAMI3
 360 with HWM93 (versus HWM14) and/or un-modified MSIS are also shown in
 361 Figure 11. The winds and the atmospheric O density profile have similar
 362 effects, each reducing refilling rates by 30-40%. The combined effect (red
 363 dots) is a reduction of about 65%.

5.2. February event

364 As with equation 1, and as reported by *Krall et al.* [2014], a refilling rate
 365 was determined for the February event, based on RPI n_e measurements during
 366 the low-Kp interval from 0600UT day 33 to 0900UT day 36:

$$367 \quad dn_e/dt = 3.81(6.8/L)^{4.94} \text{cm}^{-3} \text{day}^{-1}. \quad (2)$$

368 Example refilling curves and rates from equation (2) are shown in Figure 12.
 369 Whereas equation (2) gives 55.3 and 12.1 $\text{cm}^{-3} \text{day}^{-1}$, respectively, at $L = 4.0$
 370 and 5.4, SAMI3 gives 37.3 and 10.4 $\text{cm}^{-3} \text{day}^{-1}$ and RAM-CPL gives 29.2
 371 and 19.0 $\text{cm}^{-3} \text{day}^{-1}$. Figure 12 indicates a SAMI3 He⁺ fraction at $L = 4$
 372 that is nearly constant at 7%.

373 Results are summarized in Figure 13, where IMAGE/RPI refilling rates at
 374 each L value are shown as squares alongside SAMI3 rates (dots) and RAM-

375 CPL rates (triangles). As in Figure 11, the SAMI3 rates are lower than RPI
376 rates.

377 At low L , RAM-CPL rates differ notably from measurements. In this
378 mild storm (see Figure 2) both RAM-CPL and SAMI3 show little erosion
379 within $L = 4$ whereas the data indicate erosion down to about $L = 3.3$ [see
380 Figure 6 of *Krall et al.*, 2014]. This suggests that the models do not capture
381 the full effect of the geomagnetic storm on the plasmasphere. Accordingly,
382 discrepancies in the refilling rates are largest for $L < 4$, with RAM-CPL rates
383 being negligible. Between $L = 4.2$ and 5.5, however, RAM-CPL agrees quite
384 well with the measurements.

6. Results: Composition

6.1. November event

385 Figure 14 shows color contours of the SAMI3 H^+ and He^+ ion densities
386 in the magnetic equatorial plane at the same times as in Figure 8. Color
387 contours of the He^+ fraction (bottom row) show that the He^+ composition is
388 10–20% over much of the plasmasphere during refilling (the two right-hand
389 columns), consistent with Figure 10.

390 The lower row of Figure 14, particularly the left and center panels, suggests
391 that the H^+ and He^+ components of the refilling plasmasphere differ in struc-
392 ture. Because a plume-like feature on day328 is more in evidence for H^+ than

393 for He^+ , this figure suggests that H^+ is more strongly affected by geomagnetic
394 storms than He^+ , as found in Dynamics Explorer 1 satellite data by *Newberry*
395 *et al.* [1989]. However, because the n_{H^+} and n_{He^+} contours are on the same
396 scale, some detail is lost from the n_{He^+} plot. This will be discussed further
397 below.

398 During the storm (Figure 14 left-hand column) there is a high fraction of
399 He^+ outside of the apparent plasmopause. This is suggestive of the heavy ion
400 torus that is seen in the inner magnetosphere during strong storms [*Berube*
401 *et al.*, 2005]. What is generally observed, in fact, is an O^+ torus, which is
402 also present in the simulation. However, modeling the O^+ torus is beyond the
403 scope of the current work.

404 Mass density ρ from SAMI3 is compared to the MEASURE measurements
405 in Figure 4. The agreement is quite good. Variations versus local time on
406 day 330 are reproduced, to some degree. In this figure, 30–50% of the SAMI3
407 mass density is contributed by He^+ and only 3–5% is O^+ .

6.2. February event

408 Figure 15 shows color contours of the H^+ and He^+ ion densities and He^+
409 composition in the magnetic equatorial plane at the same times as in Figure
410 9. Similar to the corresponding November result, plots of the He^+ fraction
411 (bottom row) show some evidence of a heavy ion torus, especially during and

412 after the storm. During refilling the He^+ fraction is 4–8% over most of the
413 plasmasphere.

414 Figure 15 suggests that, while the He^+ near Earth is strongly influenced by
415 photo-ionization in a spatial pattern fixed relative to the Earth-Sun line (the
416 He^+ fraction for $L < 2$ is strongest during the day and weakest just before
417 dawn), the He^+ component at higher L values appears to be corotating with
418 Earth. For example, the red area on day 31, 1600UT (lower left), rotates by
419 about 17 hours in local time by the time of the day 32, 0900 UT plot (lower
420 middle).

421 SAMI3 ρ values are compared to MEASURE data in Figure 5. The agree-
422 ment is quite good on day 32, but SAMI3 values slowly diverge from measured
423 values thereafter. On day 36 some values differ by more than a factor of 2.
424 As noted above, measured decreases in ρ during refilling suggest a change in
425 composition. The SAMI3 values, by contrast, do not reproduce this effect.
426 Here, 15–20% of the SAMI3 mass density is contributed by He^+ and 0–9% by
427 O^+ .

7. Results: Mass and Electron Density Versus L

428 We now compare SAMI3 results to *Berube et al.* [2005], who compiled 5200
429 hours of mass density measurements during 1999-2001, using the MEASURE
430 magnetometer array for $2 < L < 3.2$. These data include 1098 hours during

431 quiet times, defined as $-9 < Dst < -3$ nT, and 266 hours during disturbed
432 times, $Dst < -100$ nT. Almost all measurements (95%) were taken on the
433 dayside, 0600–1800 MLT. SAMI3 simulations of the two periods shown in Fig-
434 ures 1 and 2 produced output at 634 unique UT values, 82 during quiet times
435 and 53 during disturbed times. The similarity of the total:quiet:disturbed ra-
436 tios in these two distributions of samples, 520:110:27 and 634:82:53, suggests
437 comparisons would be valid, with adjustments in the weighting given to the
438 model outputs to bring these ratios into line with the measured ratios.

439 We will compare model results with those of *Berube et al.* [2005] for quiet
440 times and for the entire sample, but not for disturbed times, where our model
441 sample sizes are much smaller. Disturbed times are not the focus of these
442 SAMI3 and RAM–CPL simulations, which do not include, for example, a self-
443 consistent model of the stormtime magnetospheric convection potential. In
444 other studies, this potential has been included in SAMI3 [*Huba and Sazykin,*
445 2014] and RAM–SCB [*Chen et al.,* 2010].

446 *Berube et al.* [2005] find that the average mass density of the dayside plasma-
447 sphere in the equatorial plane, based on all samples, is $\rho_{eq}(L) = 10^{-0.67L+5.1}$.
448 The corresponding SAMI3 result, $\rho_{eq}(L) = 10^{-0.60L+4.8}$, is in good agreement,
449 as shown in Figure 16 (lower panel). Plotted are SAMI3 mass density points
450 on a log scale along with a least-squares fit to the log of the average den-

451 sity versus L for quiet (top panel) and all times (bottom). In each case the
452 *Berube et al.* [2005] result is shown as a dashed line. The discrete distribution
453 of SAMI3 points versus L is a result of the SAMI3 numerical grid (the grid
454 differs slightly between the November and February runs).

455 *Berube et al.* [2005] similarly produced profiles of electron density versus L ,
456 based on IMAGE/RPI passive-mode measurements between May 2000 and
457 May 2001. These data include only measurements within 20 degrees of the
458 magnetic equator, but do include all available MLT values. In this case the
459 total:quiet:disturbed sample ratios were not reported so we simply use all data
460 points. The SAMI3 results (solid lines) are shown in Figure 17, along with
461 the *Berube et al.* [2005] results (dashed lines), for quiet (top panel) and all
462 times (bottom). Results are in good agreement. As in Figure 7, the quiet-
463 time SAMI n_e values are lower than observed, with the discrepancy being less
464 than a factor of two.

465 For comparison we produce the equivalent $n_e(L)$ plot for the RAM model
466 where the sample distribution is 661:86:53 (total:quiet:disturbed). Figure 18
467 shows a linear fit to average n_e versus L plotted as a dotted line for quiet (top
468 panel) and all times (bottom). The RAM profiles vary less rapidly with L
469 than observed, but the discrepancy in n_e values never exceeds a factor of 2.

8. Discussion

470 By comparing data to two different plasmasphere models and the models
471 to each other, we compare and contrast three realizations of the quiet-time
472 plasmasphere, each with known limitations. Of interest are the questions
473 raised by the many small discrepancies between data and the models and the
474 models and each other.

8.1. Influence of the model thermosphere and exosphere

475 In this study, SAMI3 reproduced the experimental finding that the refilling
476 rate tends to fall with increasing solar activity. However, preliminary runs
477 showed an overly-strong rate reduction, with very low densities and refilling
478 rates for the November event (F10.7A \approx 220) versus the February event
479 (F10.7A \approx 160).

480 The tendency of H⁺ refilling rates to fall with increasing solar activity has
481 been attributed to reduced neutral H in the H⁺ source region, where H⁺ is
482 produced via a charge-exchange reaction with O⁺ [Richards and Torr, 1985].
483 Noting that the topside ionosphere O⁺ density increases with solar activity,
484 Krall *et al.* [2008] speculated that, because O⁺ acts as a diffusive barrier to
485 H⁺ upflow [Lemaire and Gringauz, 1998], the increase in O⁺ with sunspot
486 number might explain a corresponding reduction in H⁺ refilling rates.

487 To address too-low model refilling rates at very high solar activity, we ex-
488 plored three possibilities. We considered that there might be more photoelec-
489 tron heating in the topside ionosphere than is accounted-for in our model.
490 However, adding more heating produced a heavy-ion population in excess of
491 the observations. This will be further discussed in section 8.3 below. Another
492 possibility is that results might be sensitive to the density, composition and
493 temperature of the thermosphere and exosphere. A third is that updating the
494 wind model from HWM93 to HWM14 might make a difference.

495 After further simulations, we modeled the November event with an at-
496 mosphere where the neutral oxygen density n_O and exospheric temperature
497 $T_{O,exo}$ were each reduced by a factor of 0.8. With these modifications to the
498 NRLMSISE-00 atmosphere (see section 3.1 for further detail), we modeled
499 the event four times: with HWM93 versus HWM14 and with modified versus
500 un-modified NRLMSISE-00 values.

501 Observations of atmospheric mass density suggest that applying the 20%
502 density reduction to the NRLMSISE-00 model is physically sound [*Emmert*
503 *et al.*, 2014]. Density fluctuations of $-0.3 < \ln(\rho/\rho_{MSIS}) < 0.2$ are common.
504 Figure 17 of *Emmert et al.* [2014] shows a downward fluctuation in the 61-
505 day-average ρ/ρ_{MSIS} in late 2001. However, these measurements do not lend
506 observational support to our modification of $T_{O,exo}$. Reducing $T_{O,exo}$ has the

507 effect of making n_O , and n_{O^+} , fall off more rapidly above an assumed exo-
508 spheric base of 600 km.

509 Both modifications reduce the degree to which O^+ impedes the diffusion
510 of H^+ into the plasmasphere. This reduction in the well-known O^+ diffusive
511 barrier also increased the He^+ fraction by a few percent, calling into question
512 the need to artificially increase He^+ photoproduction as done in the February
513 simulation and in *Bailey and Sellek* [1990]. In any case these modifications
514 increased refilling rates and resulting electron densities by about 60%. The
515 sensitivity of the plasmasphere density, composition and refilling rates to con-
516 ditions in the thermosphere and exosphere merits further study.

517 The impact of thermosphere winds on refilling rates has already been ex-
518 plored in *Krall et al.* [2014, see Figure 9 therein]. In the previous work,
519 inclusion of HWM07 winds [*Drob and et al.*, 2008] or TIMEGCM (Thermo-
520 sphere Ionosphere Mesosphere Electrodynamics General Circulation Model)
521 composition and winds [*Roble and Ridley*, 1994; *Crowley et al.*, 1999] in place
522 of the HWM93 winds used in our present modeling of the February event
523 was shown to increase refilling by as much as a factor of two. This effect
524 is confirmed in Figure 11, where refilling rates for the November event are
525 compared for SAMI3 with HWM93 versus HWM14 winds. With HWM14,
526 refilling rates are larger and agreement with data (and with RAM-CPL) is

527 excellent. It is reasonable to suppose that using HWM14 winds instead of
528 HWM93 winds would have a similar effect on our February SAMI3 results.

8.2. Electron density

529 *Krall et al.* [2014] showed that, without the influence of thermospheric winds
530 on the potential, the model quiet-time plasmasphere is round. This effect
531 is effectively reproduced by the RAM-CPL code in Figures 8 and 9. The
532 round RAM-CPL plasmasphere in the right-hand panel of each of these figures
533 resembles the SAMI3 plasmasphere with no winds [*Krall et al.*, 2014, see
534 Figure 12 therein]. Because RAM-CPL does not include wind-driven dynamo
535 electric fields, this result was not unexpected.

536 As noted above, SAMI3 and RAM-CPL use different magnetospheric po-
537 tential models, with SAMI3 using Weimer05 and RAM-CPL using VSMC.
538 Additional SAMI3 simulations of the November event, with the Kp-driven
539 VSMC potential used instead of the Weimer05 model, were also performed. In
540 general, the agreement between SAMI3 and RAM-CPL was improved when
541 the VSMC potential was used. During quiet refilling, the SAMI3/VSMC
542 model plasmasphere was somewhat rounder than the SAMI3/Weimer05 plas-
543 masphere.

544 This suggests that models of the inner magnetosphere, such as RAM-CPL,
545 might benefit by including a model of the wind-driven dynamo. Assuming

546 that this is the case, it would be interesting to know the circumstances in
547 which the wind-driven dynamo significantly affects dynamics in the inner
548 magnetosphere. It is expected, but not certain, that this field would be over-
549 whelmed by the magnetospheric convection potential during storms. How-
550 ever, the wind-driven dynamo might exert influences on the plasmasphere
551 that vary with season, with solar cycle, or even on much shorter time scales.
552 Plots of F -layer $\mathbf{E} \times \mathbf{B}$ drifts [Scherliess and Fejer, 1999] show strong scat-
553 ter, for example. The degree to which the thermosphere introduces significant
554 day-to-day variability into the plasmasphere is not yet known.

8.3. Refilling: modeling

555 Comparisons between older and newer models are useful to provide context
556 for newer models and to suggest model updates. Both SAMI3 and RAM-CPL
557 generally agree with measured refilling rates to within a factor of two, and are
558 often much closer. Given the degree of scatter in previous refilling measure-
559 ments [Denton *et al.*, 2012, see Figure 1 therein], this seems like a reasonable
560 result. However, it should eventually be possible to obtain better agreement
561 for a specific well-measured event. Further, lower-than-measured SAMI3 rates
562 sometimes differ from higher-than-measured RAM-CPL rates by as much as
563 a factor of 4. Empirical parameters that effect refilling in RAM-CPL have
564 been well-tested against previous post-storm periods at geosynchronous or-

565 bit [*Lambour et al.*, 1997]. In the present case we add to previous validation
566 studies by performing data-model comparisons at a range of L values.

567 As discussed in Section 3.1 above, we varied SAMI3 parameters affecting
568 He^+ densities and electron heating in order to better model the electron and
569 mass densities. We find that adding He^+ production, by either increasing the
570 production rate [see also *Bailey and Sellek*, 1990] of the neutral He density,
571 increased refilling rates. Comparing otherwise identical SAMI3 runs, we found
572 that variations of up to a factor of 4 in specified neutral He density or of up
573 to a factor of 2 in He^+ photoproduction rates affect refilling rates by only a
574 few percent.

575 One source of uncertainty in the modeling is the photoelectron heating,
576 an affect that is computed in SAMI3. In previous runs, we have found that
577 SAMI3 densities and refilling rates are sensitive to the degree of photo-electron
578 heating. This can be seen in *Huba and Krall* [2013] and *Krall and Huba* [2013],
579 where photo-electron heating was reduced by an ad hoc factor of 0.15 relative
580 to the usual model [*Huba et al.*, 2000, see section 3.5 therein] and the resulting
581 densities and refilling rates are somewhat low. Without the factor of 0.15, we
582 find that the agreement improves, but refilling rates are still somewhat low
583 [*Krall et al.*, 2014]. *Varney et al.* [2012] created a more sophisticated photo-

584 electron model for SAMI2, but that is numerically expensive and has not been
585 introduced into SAMI3.

586 In this study we performed additional SAMI3 runs with photoelectron heat-
587 ing increased by 1.5 relative to the results shown above. We found that re-
588 filling rates increase approximately linearly with photoelectron heating. The
589 additional heating, however, produced significant additional O^+ ions such
590 that model mass densities were over twice the measured values. In any case
591 we plan to update the photoelectron model so as to better approximate the
592 *Varney et al.* [2012] results.

593 Another interesting result is the observation of refilling for $L < 4$ in the
594 February case, Figure 13, that is reproduced by SAMI3 but not by RAM-
595 CPL (in the November case, this discrepancy between SAMI3 and RAM-CPL
596 at low L is not apparent). The reduction of densities inside of the post-
597 storm plasmopause location, leading to subsequent refilling, is a common
598 feature [*Park*, 1973]. However, the cause of the stormtime density reduction
599 at low L values is not clear. Key differences between these SAMI3 and RAM-
600 CPL runs are the inclusion of the Weimer05 potential in SAMI3 versus the
601 VSMC potential in RAM-CPL and the inclusion of the wind-driven dynamo
602 in SAMI3. This issue merits further study.

8.4. Refilling: physics

603 In this study we consider two periods of refilling during 2001, near the
604 maximum of the solar cycle. These events illustrate the tendency of refilling
605 rates to fall with increasing solar activity [*Su et al.*, 2001]. This can be seen
606 by comparing Figure 13 (F10.7A = 160) to the lower refilling rates of Figure
607 11 (F10.7A = 220). Here and in previous modeling [*Krall et al.*, 2008], we
608 attribute this decrease to the tendency of O^+ to retard the diffusion of H^+ out
609 of the topside ionosphere. For example, *Lockwood* [1984] showed that auroral
610 outflows of energetic O^+ are sensitive to both the density and scale height of
611 thermal O^+ .

612 As in *Krall et al.* [2014], refilling rates are affected by winds. Again, we
613 find that higher refilling rates are associated with high total electron content
614 (TEC), the vertically-integrated electron density. In *Krall et al.* [2014], we
615 showed that wind-driven vertical/meridional $\mathbf{E} \times \mathbf{B}$ drifts can raise or lower
616 the ionosphere, raising or lowering TEC at the high latitude (about 60°) foot-
617 points of plasmaspheric field lines of interest. Plots of TEC for SAMI3 using
618 HWM14 versus HWM93 winds (not shown) verify that HWM14 produces
619 higher TEC at high latitudes.

620 Given the association of high refilling rates with high TEC, one might expect
621 the high TEC associated with high solar activity to cause high refilling rates.

622 Instead, the decreased refilling associated with increased solar activity is a
623 matter of the diffusive barrier effect (the atmosphere and the ionosphere are
624 “puffed up” during solar maximum) dominating the TEC effect. The runs
625 where we reduced both the density of atomic O and its exosphere temperature
626 (so the O density falls more rapidly with height), are consistent with this
627 interpretation: Despite the fact that the lower O density is associated with a
628 weaker ionosphere (lower TEC) the lowered diffusive barrier increases refilling
629 rates.

630 It is important to recognize that we cannot get any result we desire from
631 these models simply by changing input values. For example, adding heat to
632 the system via the photo-electron heating function increases refilling, but at
633 the expense of adding too many heavy ions to the plasmasphere. With respect
634 to our modifications to the NRLMSISE-00 atmosphere, we are constrained by
635 measured n_O and inferred T_{exo} [Emmert *et al.*, 2014]. Further, this is only
636 a single result at a particularly high level of solar activity (F10.7A = 220).
637 Studies of this effect, including a wider range of solar activity, are clearly
638 needed.

639 Our finding that RAM-CPL refilling rates are often higher than observed
640 calls into question the source fluxes used to compute flux tube electron con-
641 tent. *Rasmussen et al.* [1993] describe both the flux-tube content model equa-

642 tions, which are essentially the same equations solved in RAM-CPL, and an
643 empirical determination of flux tube saturation times (see Figure 7 therein).
644 At the December solstice during solar maximum, the empirical saturation
645 time is about 12 days and is approximately constant versus L for $3 < L < 5.5$.
646 The model refilling curves in Figures 10 and 12 are consistent with this in the
647 sense that they do not saturate during the 5–6 day quiet period available
648 in each case and in the sense that each refilling curve is clearly approaching
649 saturation, with the possible exception of the $L = 4$ curves in Figure 12.

650 An extensive study by *Denton et al.* [2012], using IMAGE/RPI plasma-
651 sphere density measurements during 2001-2006, provides context for the
652 present work. Specifically, *Denton et al.* [2012, see Figure 1 therein] found re-
653 filling rates lower than those reported from numerous previous measurements.
654 We hypothesize that, prior to the IMAGE mission, reported measurements of
655 individual events focused on cases where refilling was clearly evident. That is,
656 previous studies of individual refilling events may have been biased in favor
657 of events with relatively high refilling rates.

658 Refilling rates for these two specific periods are lower still. At $L = 4$,
659 for example, the measured refilling rate is $32.6 \text{ cm}^{-3} \text{ day}^{-1}$ for the November
660 event and $37.3 \text{ cm}^{-3} \text{ day}^{-1}$ for the February event. Both values are lower than
661 $43.7 \text{ cm}^{-3} \text{ day}^{-1}$, the median value based on all 34 quiet periods identified

662 within the IMAGE/RPI data stream [*Denton et al.*, 2012, see equation 9
663 therein]. This is not surprising, given the high F10.7 values during our two
664 events.

8.5. Composition

665 We find model He^+ fractions consistent with typical values of about 1-
666 4% for low to moderate solar activity and 10-15% for high solar activity
667 [*Newberry et al.*, 1989; *Krall et al.*, 2008]. However, other studies have found
668 He^+ fractions of 25% [*Craven et al.*, 1997] or higher [*Berube et al.*, 2005].
669 These EUV indices are unusually high, with F10.7A= 220 throughout the
670 November event (Figure 1e). In the quiet-time plasmasphere, high F10.7 is
671 generally associated with a high He^+ fraction, because He^+ is directly created
672 from He via photoionization.

673 SAMI3 reproduced measured post-storm mass densities for both events, as
674 seen in Figures 4 and 5. In the February event, however, modeled mass den-
675 sities increase while measured mass densities are flat or decreasing during refill-
676 ing. It is perhaps notable that the artificial increase in the He photoionization
677 reaction rate that was used by *Bailey and Sellek* [1990] in their modeling of
678 the plasmasphere and in some of our work, is apparently not needed. It is
679 not included in the November event, where agreement with data is excellent,
680 but was included in the February event. In our February case, the reaction

681 rate was increased by 50% and model mass densities were often higher than
682 measured.

683 Missing in these simulations are high-latitude outflows of energized ions,
684 which could introduce additional O^+ (and other ions) into the system via
685 magnetospheric convection ($\mathbf{E} \times \mathbf{B}$ drifts) from high to low L values in the
686 midnight sector. Energized heavy ions might precipitate out of the plasmas-
687 phere after the storm, explaining the observational result of Figure 5. Here the
688 mass density decreases or remains level while the electron density increases.

689 Similar to the Figure 7, Figure 17 shows reduced model n_e relative to quiet-
690 time observations. While Figure 17 (top panel) may indicate needed model
691 improvements, as discussed above, it may also be affected by differences be-
692 tween the model and data sampling. Where the data were taken over a long
693 period of time, our model results focus specifically on post-storm refilling
694 periods during which densities may be lower than average.

695 Notable is the fact that the H^+ component of the plasmasphere to appears
696 to be more structured than the He^+ component. This can be seen in the
697 left-hand panels of Figure 14, where the plume appears to be stronger in
698 the H^+ contour plot. This artifact comes about because the H^+ and He^+
699 plots are on the same scale. In the lower left of this figure, a plume-shaped
700 structure is clearly visible as a region of low n_{He^+}/n_e . The significance of this

701 plot is not clear, but it does suggest that our understanding of plasmaspheric
702 composition and density structure is incomplete. For example, in situ n_e
703 measurements of plumes from geostationary satellites ($L = 6.6$) have been
704 interpreted as residual plumes wrapped all the way around Earth as they
705 orbit during a post-storm quiet period [Goldstein et al., 2014]. By contrast
706 EUV images of the He^+ component suggest plumes that are less structured
707 and do not extend as far around Earth [Garcia et al., 2003].

9. Conclusion

708 We have presented the first comparison of a first-principles global plasmas-
709 phere simulation to both mass and electron density measurements, using the
710 SAMI3 and RAM-CPL models. Results are encouraging, with models gen-
711 erally agreeing with data to within a factor of two. These results generally
712 serve to validate the models and to further support recent findings.

713 In particular we again find that the thermospheric wind-driven dynamo
714 affects the plasmasphere during geomagnetically quiet times. The most pro-
715 nounced effect in this study was a 60% increase in refilling rates when HWM14
716 winds were used in place of HWM93 winds. Winds also introduce plasmas-
717 pheric density variations that corotate with Earth. As a result, measurements
718 at fixed magnetic local time, such as IMAGE/RPI n_e measurements, should
719 oscillate versus universal time. IMAGE/RPI n_e measurements show variation

720 of the expected amplitude for the February event, but variations in the data
721 are larger than would be expected based on our modeling of the November
722 event. The oscillations, if present in the data, are not resolved.

723 Among our new findings is the sensitivity of refilling rates and resulting n_e to
724 the density and composition of the thermosphere and exosphere. In particular,
725 reducing the density and/or the exospheric temperature of neutral oxygen
726 increases refilling rates. Similar to the wind effect, a 20% decrease in both the
727 O density and O exosphere temperature produced a 60% increase in refilling
728 rates. The sensitivity of refilling rates to O density in the thermosphere and
729 exosphere will be studied further.

730 We also examined the sensitivity of both the refilling rate and the O^+
731 fraction to the degree of photoelectron heating. In the February case, for
732 example, we may have refilling rates that are too low in order to avoid O^+
733 densities that are too high. A planned update of our photoelectron heating
734 model might change this relationship.

735 Another possibility is that improvements to the model might affect the re-
736 filling rate without affecting the composition. One such change would be a
737 two-stream treatment of H^+ , the main component of refilling, as in *Rasmussen*
738 *and Schunk* [1988]. In a two-stream treatment, H^+ ions entering the plasma-
739 sphere from the northern and southern hemispheres pass through each other

740 near the magnetic equator, avoiding unphysically high densities where the two
741 streams collide. This should affect early-stage supersonic refilling, as distinct
742 from late-stage subsonic refilling. While *Rasmussen and Schunk* [1988] state
743 that, “the rate of refilling is not substantially altered by the counter-streaming
744 flow,” their Figure 2 suggests that the two-stream treatment may produce a
745 higher early-stage refilling rate than the single-fluid model. The effect of an
746 improved refilling model on the refilling rate is certainly worth revisiting in
747 the context of a global ionosphere-plasmasphere model.

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763 (CDAWeb, http://cdaweb.gsfc.nasa.gov/istp_public/). IMAGE/RPI electron
764 densities [see *Denton et al.*, 2012] are available at CDAWeb; data used here
765 can be obtained by contacting JK. Refilling values can be derived from the
766 IMAGE/RPI electron density data as described above. These values are pro-
767 vided in figures; the exact values can be obtained by contacting JK. Magne-
768 tometer data are available at <http://supermag.jhuapl.edu/> for MEASURE;
769 the inferred mass densities were provided by the MEASURE team; these val-
770 ues are shown in figures; the exact values can be obtained by contacting JK.
771 SAMI3 electron and ion densities are numerical information provided in fig-
772 ures; these are produced by solving the SAMI3 equations [*Huba and Krall*,
773 2013; *Huba et al.*, 2000]. RAM-CPL electron densities are numerical infor-
774 mation provided in figures; these are produced by solving the RAM equations
775 [*Jordanova et al.*, 2006; *Rasmussen et al.*, 1993]. Refilling rates for SAMI3 and
776 RAM-CPL are obtained by analyzing SAMI3 and RAM-CPL electron den-
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Table 1. MEASURE stations used and corresponding L shells

Station Name	Abbr.	Geo. Lat.	Geo. Long.	L -Shell	Station Pair	Mid-point L -Shell
Clarkson University	CLK	44.70N	75.00W	3.06		
Boston University	MSH	42.60N	71.48W	2.72	CLK-MSH	3.11
Applied Physics Lab	APL	39.17N	76.88W	2.42	MSH-APL	2.75
Dark Sky Observatory	DSO	36.25N	81.40W	2.18	APL-DSO	2.30

Table 2. Coordinates and electron densities (cm^{-3}) for the November case

L	$\langle \text{MLT} \rangle_{\text{IMAGE/RPI}}$	$\text{MLT}_{\text{SAMI3}}$	$\langle \text{MLat} \rangle_{\text{IMAGE/RPI}}$	$\text{MLat}_{\text{SAMI3}}$	$\langle n_e \rangle_{\text{IMAGE/RPI}}$	$\langle n_e \rangle_{\text{SAMI3}}$
4.0	0846 ± 0021	0851	$15.5 \pm 4.8^\circ$	14.1°	298 ± 108	162 ± 41
5.4	0847 ± 0026	0851	$25.1 \pm 4.0^\circ$	27.4°	85 ± 34	45 ± 11
4.0	2041 ± 0018	2036	$34.0 \pm 2.5^\circ$	33.2°	409 ± 209	170 ± 41
5.4	2040 ± 0025	2036	$40.8 \pm 2.2^\circ$	41.6°	89 ± 49	53 ± 12

Figure 1. (a-d) Solar wind extrapolated to a position $10 R_E$ Sunward of Earth and smoothed for the Weimer05 model: velocity ($\text{km}\cdot\text{s}^{-1}$), proton density, and B_y , B_z in GSM coordinates. (e) F10.7 solar EUV index (solid line) and F10.7A, the 80-day average (dashed). (f-g) Geomagnetic indices during the November 2001 event.

Figure 2. Same as Figure 1, but for the February 2001 event.

Figure 3. Electron density n_e versus L (top) from IMAGE/RPI in passive mode during 1649-1811 UT on 2001 November 26 (open squares) and during 1851-1940 UT on 2001 November 30 (filled squares). Also plotted are spacecraft magnetic latitude MLat, and magnetic local time MLT. Corresponding SAMI3 electron densities are shown as curves.

Figure 4. Mass density ρ versus time (top) from the MEASURE array, for the November event, at $L = 3.11$ (open circles) and $L = 2.75$ (open squares). Representative error bars are plotted for the right-most points. Also plotted is the magnetic local time MLT for each measurement (bottom). Corresponding SAMI3 mass densities are shown as curves.

Figure 5. Same as Figure 4, but for the February 2001 event, with $L = 3.11$ (open circles), $L = 2.75$ (open squares), and $L = 2.30$ (open triangles).

Figure 6. Average ion mass density versus universal time is shown for conjunctions of IMAGE and MEASURE measurements. Shown also are MLT values.

Figure 7. Electron density versus time from SAMI3 at fixed 0851 MLT (top) and 2036 MLT (bottom) and at fixed values of $L = 4.0$ (dashed curves) and 5.4 (solid curves) for the November event. Each curve has a fixed value of MLat as given in Table 1. Symbols are IMAGE/RPI measurements interpolated to $L = 4.0$ (triangles) or to $L = 5.4$ (squares) and taken at approximately fixed MLT and MLat as listed in Table 1.

Figure 8. Color-contours of n_e (log scale) in the equatorial plane from SAMI3 (top row) and RAM-CPL (middle row) at three different times. Below each column is a density versus MLT profile at $L = 4.4$ for the SAMI3 contour plot (solid curve) and the RAM-CPL plot (dotted curve) in that same column. A single contour in each color plot marks constant density 30 cm^{-3} .

Figure 9. Same as Figure 8 but for the February event.

Figure 10. SAMI3 electron density (solid curves) and He^+ density (long-dashed curves; scale to the right) averaged over longitude in the equatorial plane plotted versus time for $L = 4.0$ and 5.4 for the November event. Electron density from RAM-CPL is shown as dotted curves. Dashed lines indicate rates from equation (1).

Figure 11. Refilling rates (squares) versus L based on IMAGE/RPI measurements for the November event; the solid line is equation (1). Each vertical line indicates the two refilling rates that were averaged to obtain the point. Black dots indicate rates from SAMI3 with HWM14 winds and a modified thermosphere. Triangles are RAM-CPL rates. Additional SAMI3 points show results with HWM93 winds and/or the un-modified MSIS thermosphere.

Figure 12. Same as Figure 10, but for the February event. Here, dashed lines show rates from equation (2).

Figure 13. Same as Figure 11, but for the February event. Winds in this case are from HWM93.

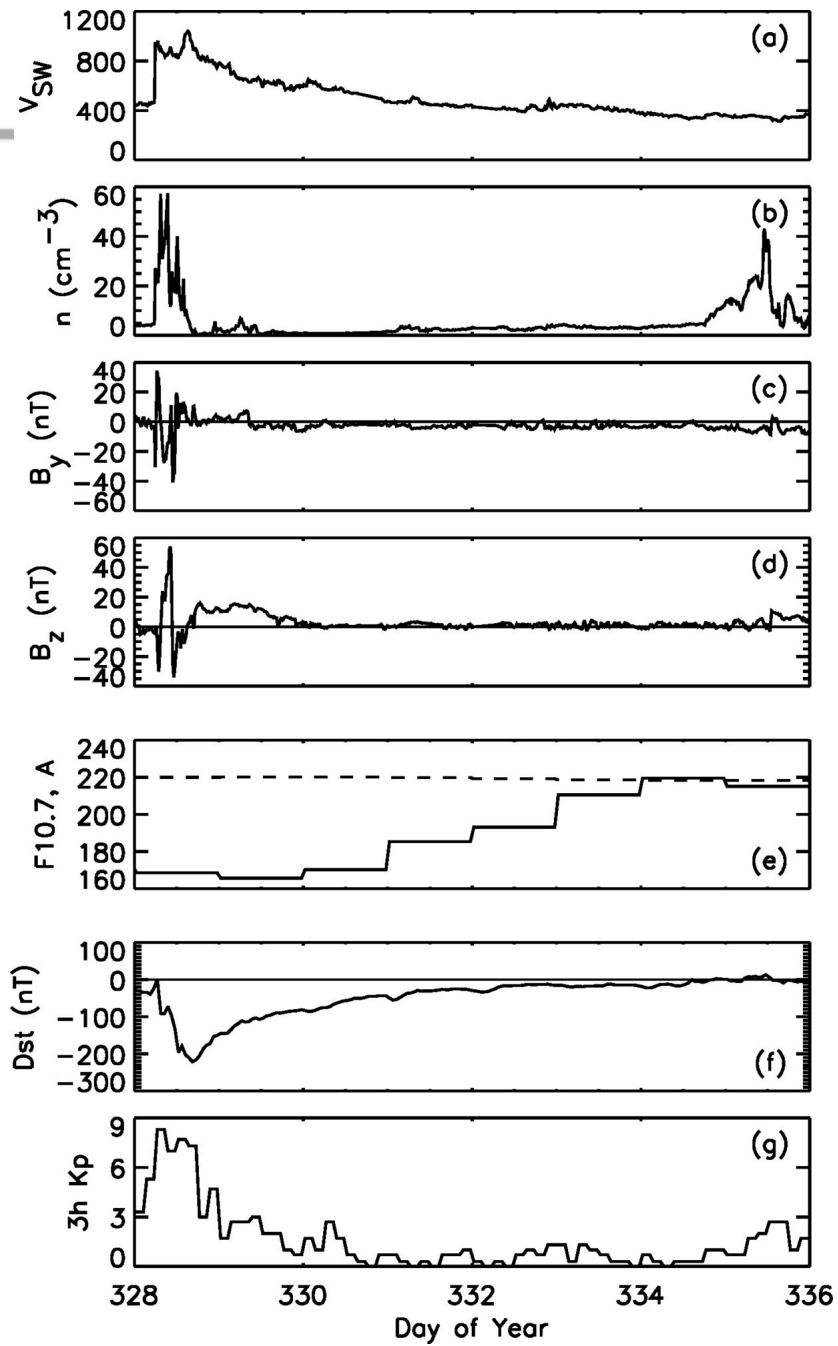
Figure 14. Color contours of H^+ density (top row) and He^+ density (middle row) at three different times in November. Color contours of the He^+ fraction are shown in the bottom row.

Figure 15. Same as Figure 14, but for the February event.

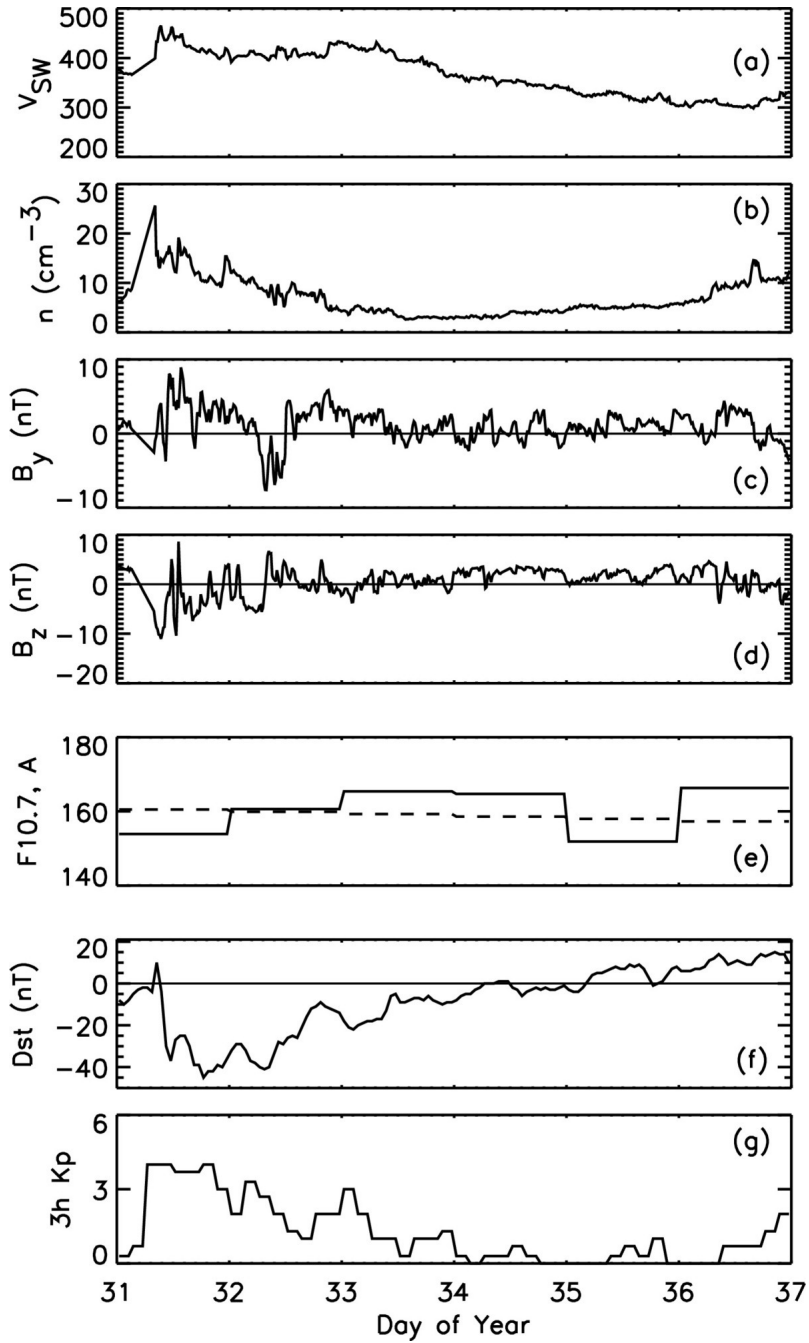
Figure 16. Scatter plot of SAMI3 ρ versus L in the dayside equatorial plane for quiet times (top panel) and all times (bottom). An exponential fit to each set of points is shown as a solid line, with the exponent formula given. Dashed lines are corresponding results from *Berube et al.* [2005], based on measured values.

Figure 17. Same as in Figure 16, but for n_e versus L .

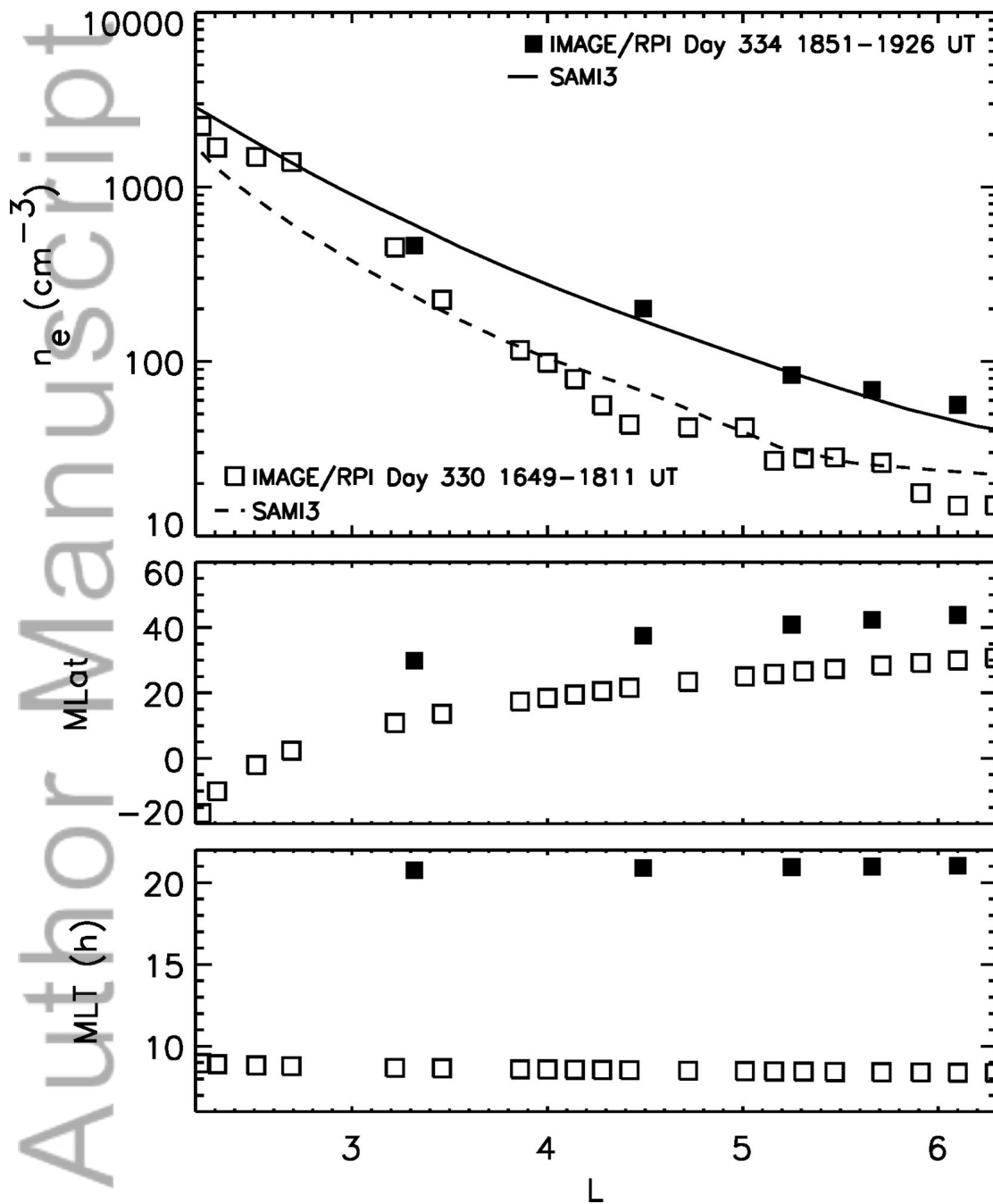
Figure 18. Same as in Figure 17, but with dotted lines showing exponential fits to results from the RAM-CPL model.



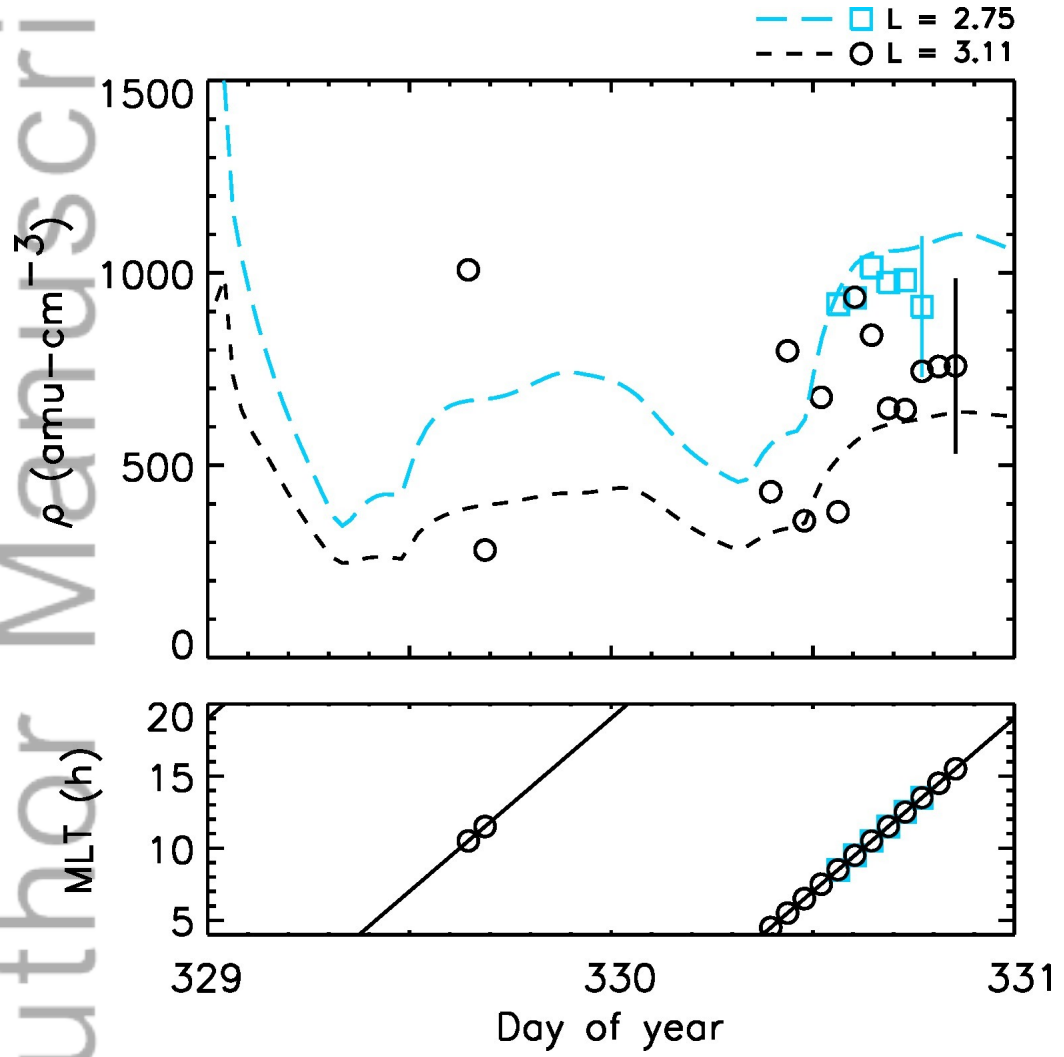
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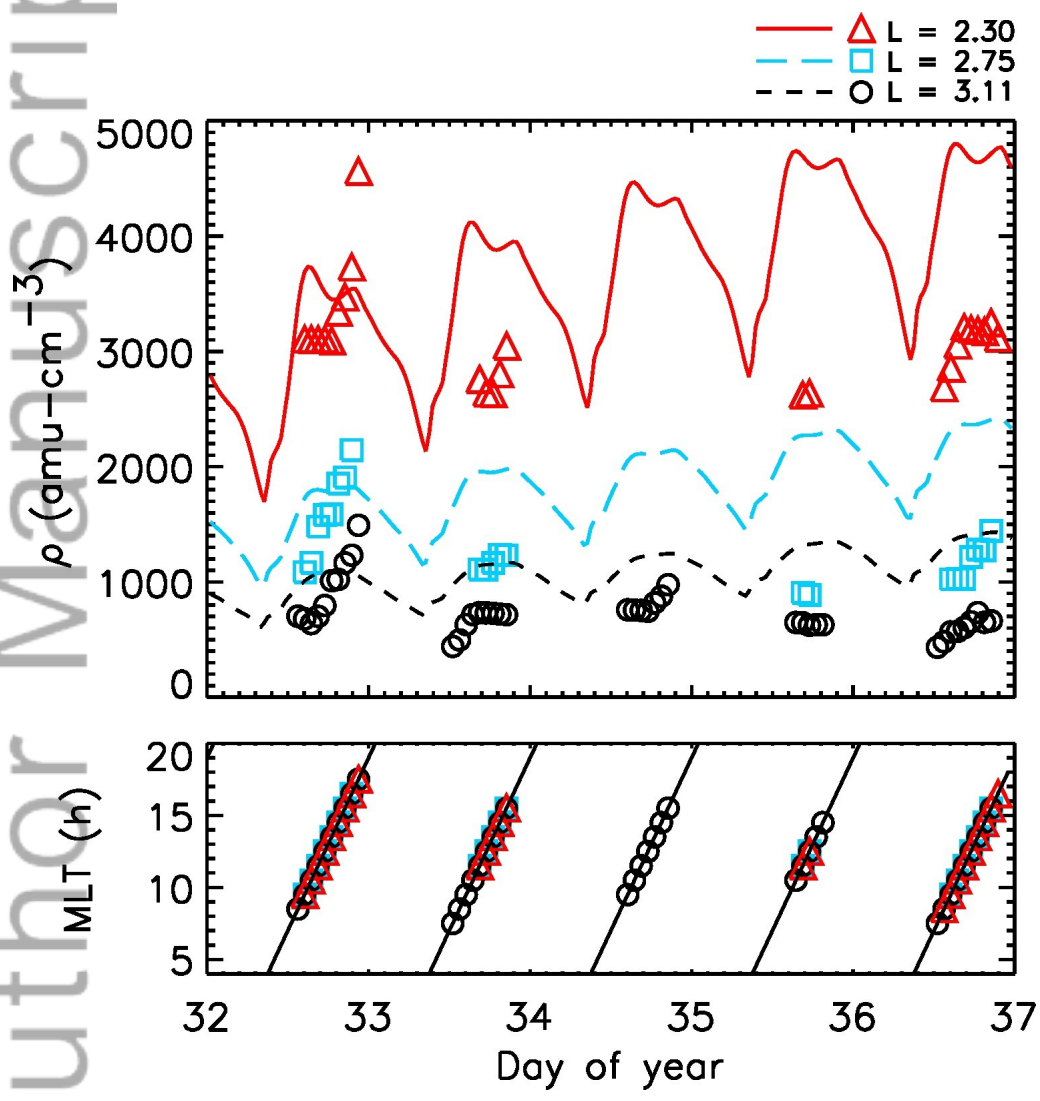
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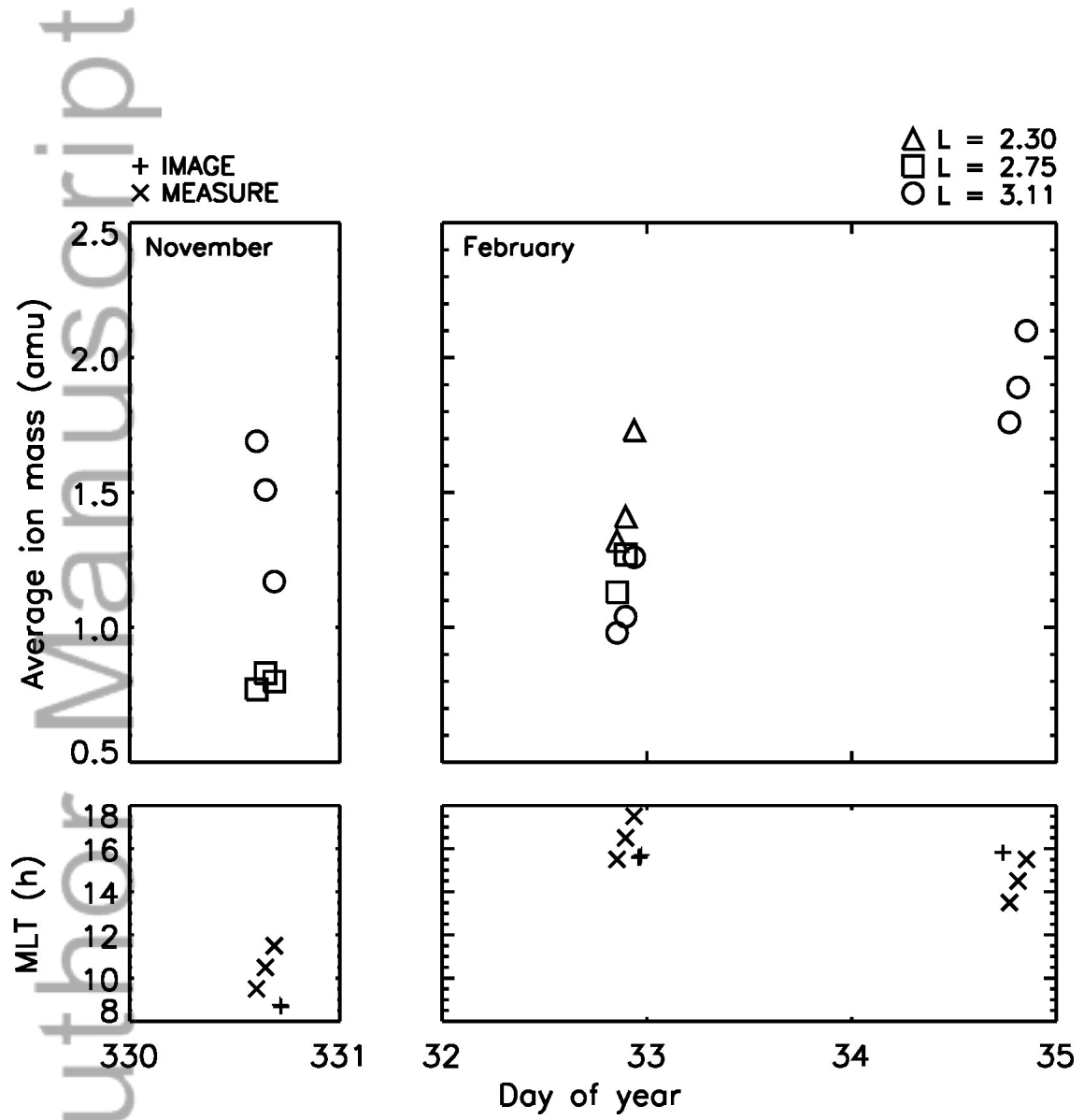
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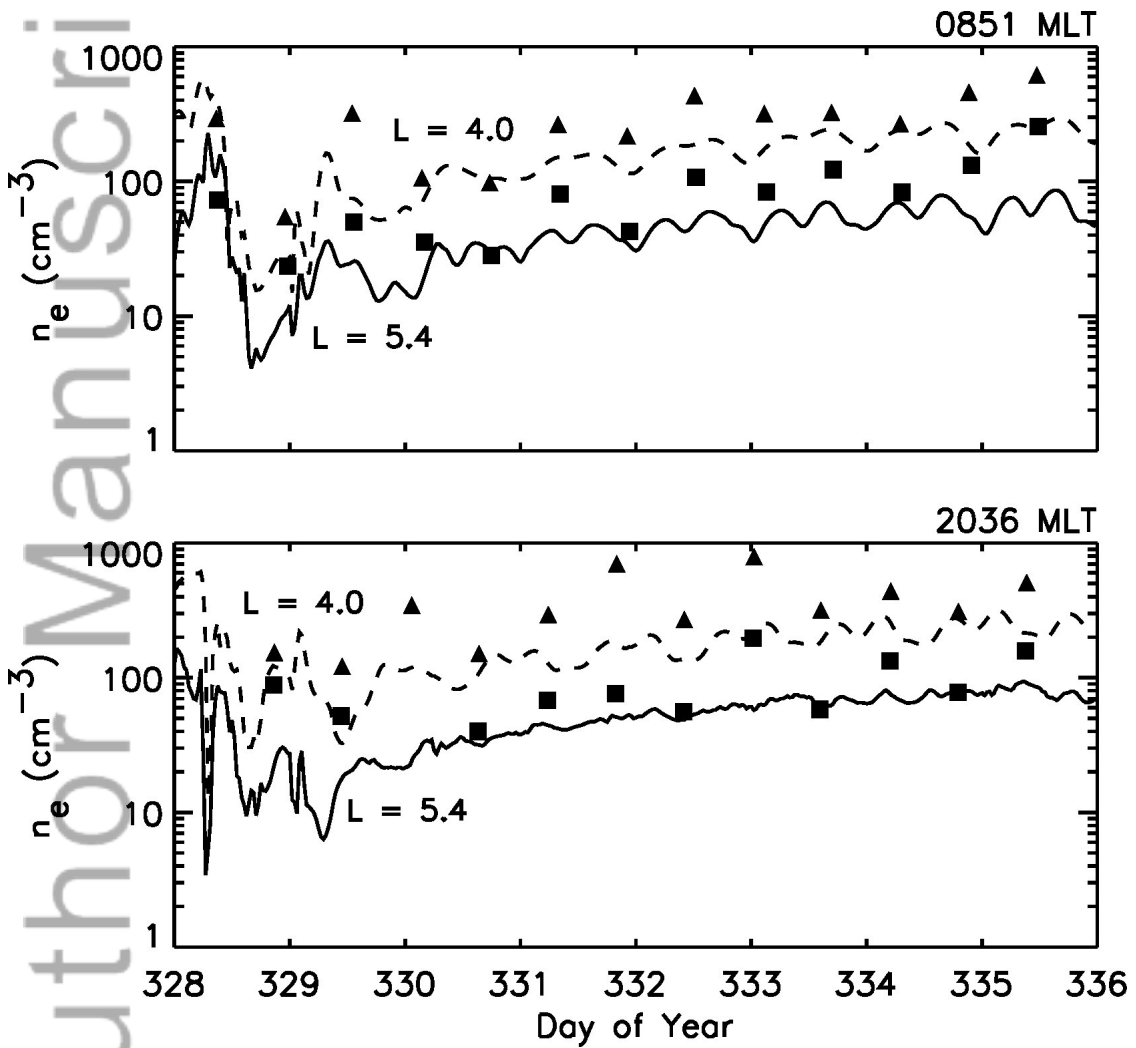
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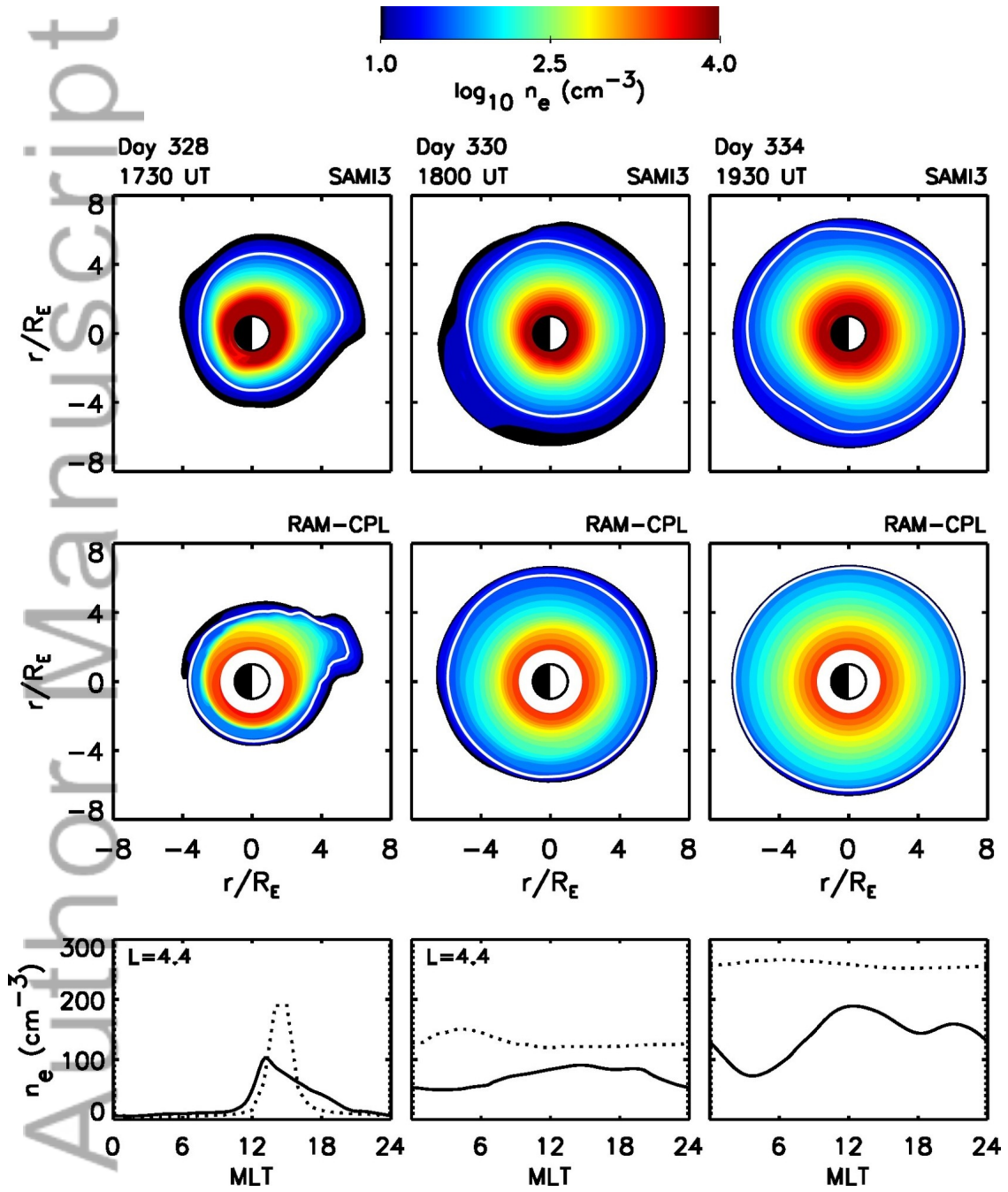
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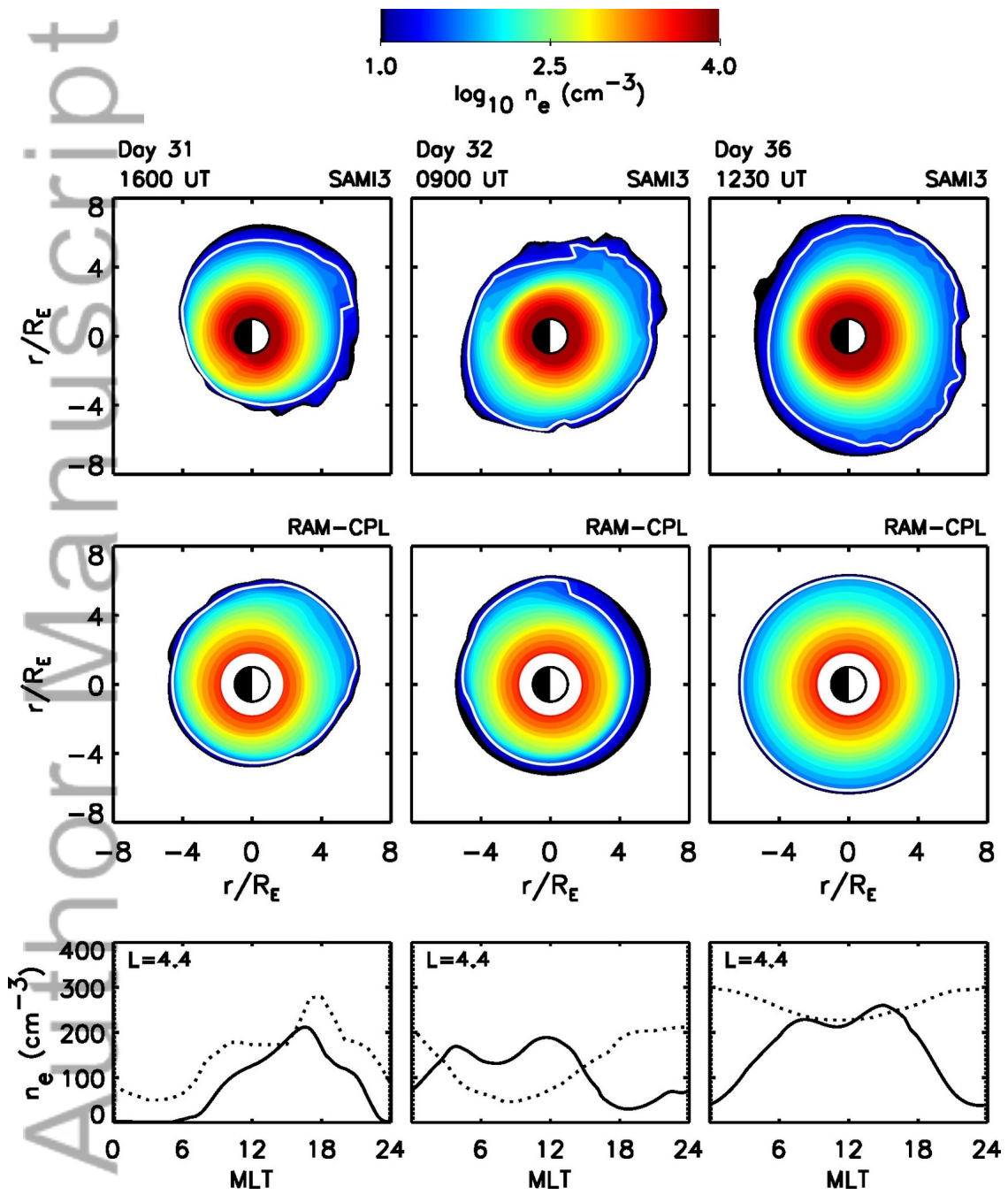
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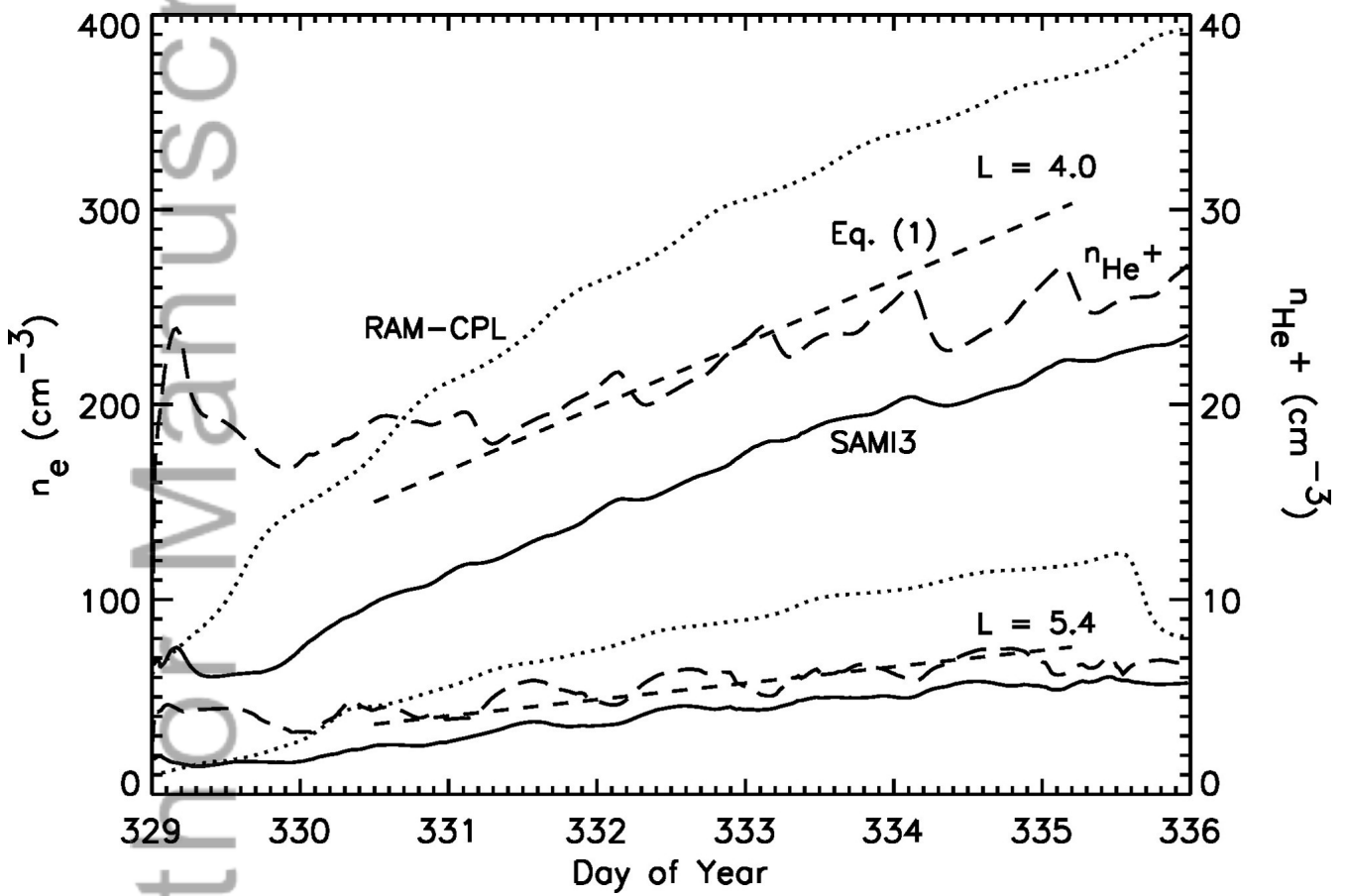
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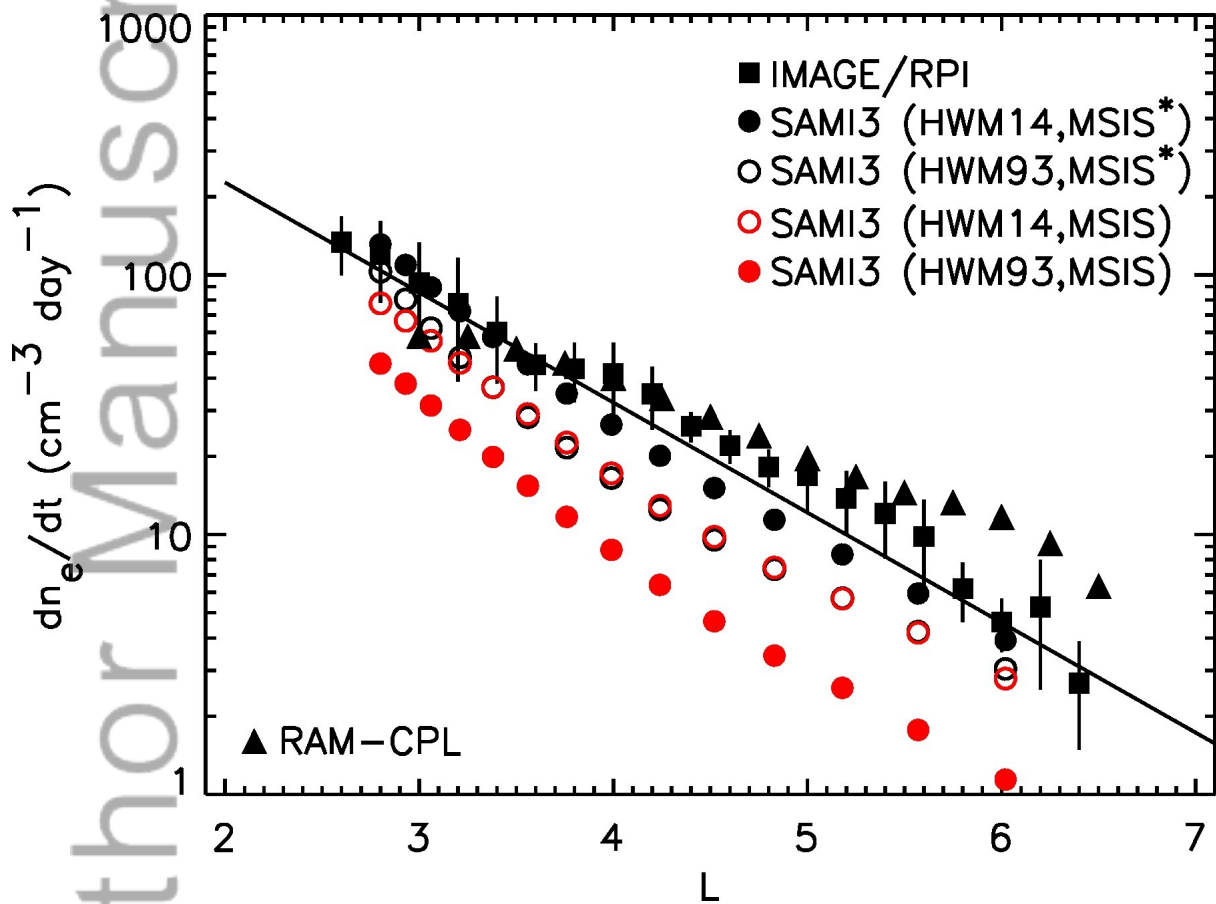
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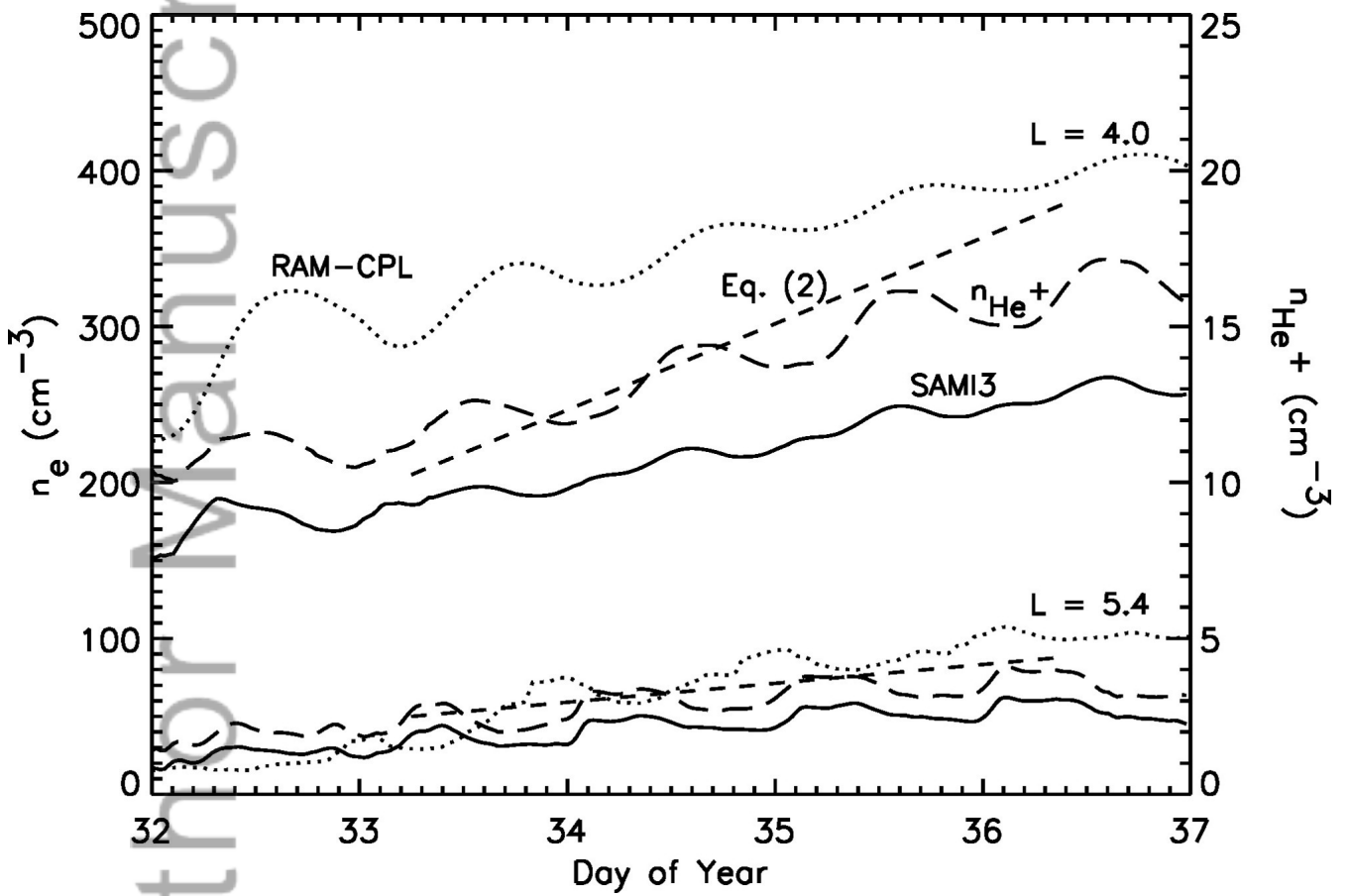
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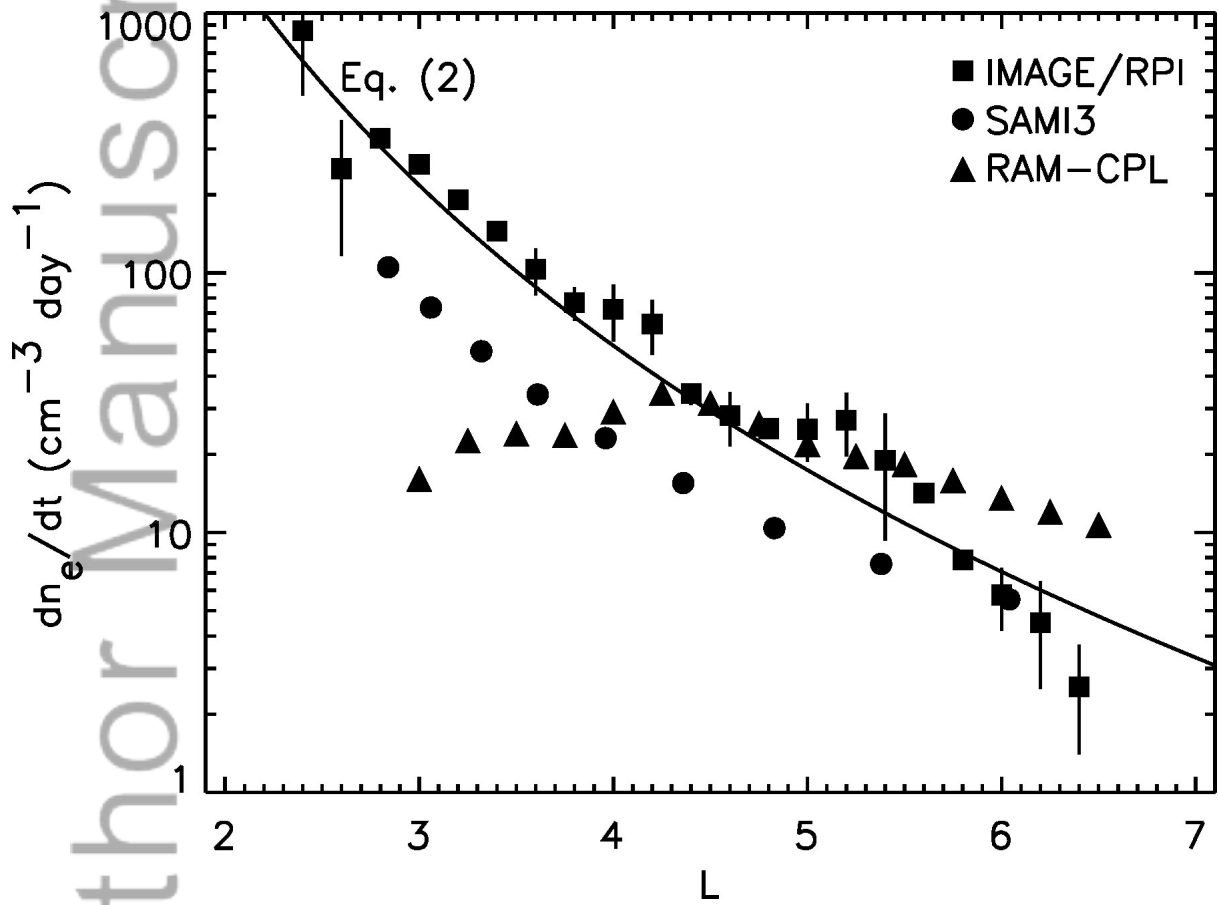
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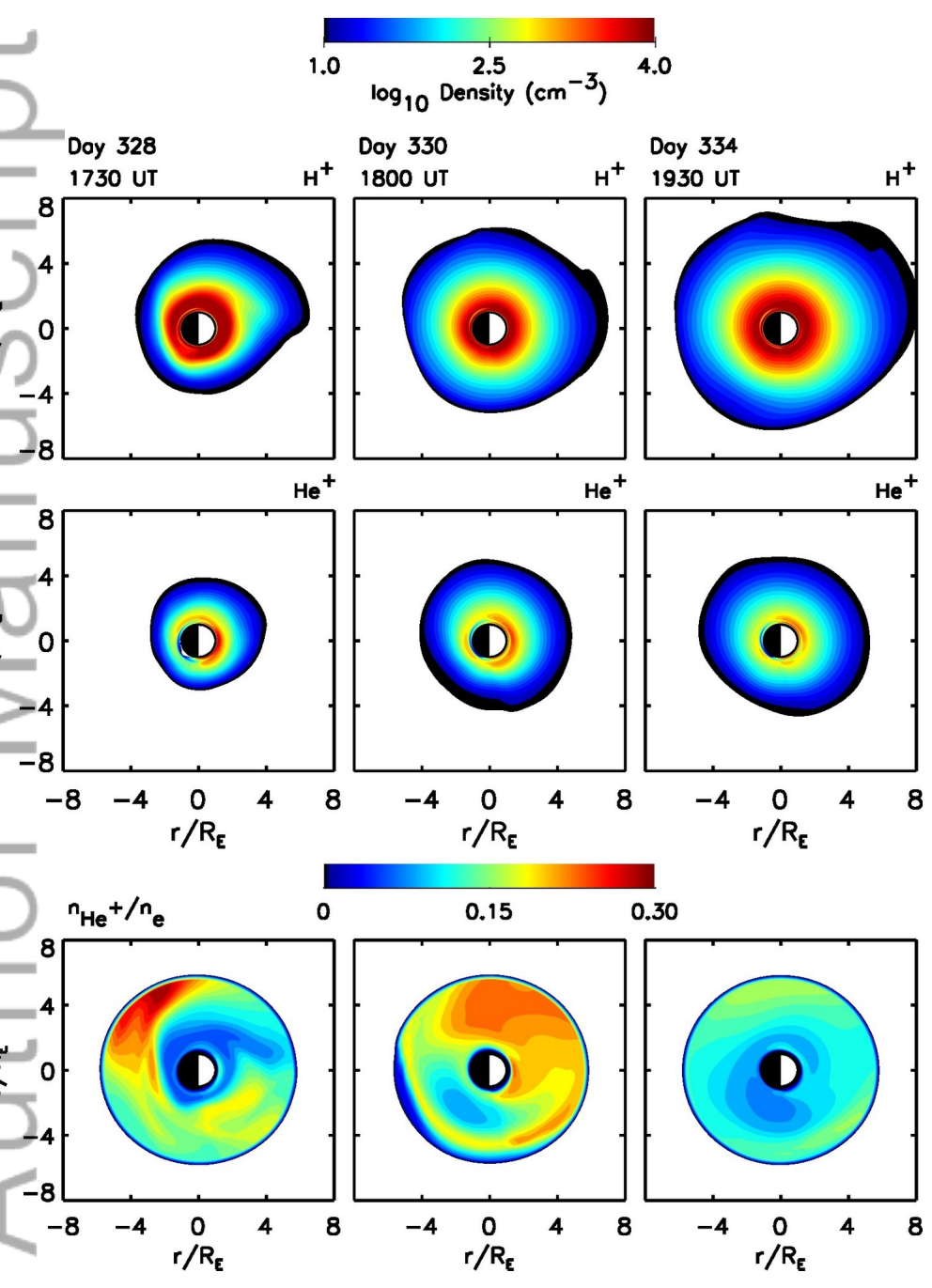


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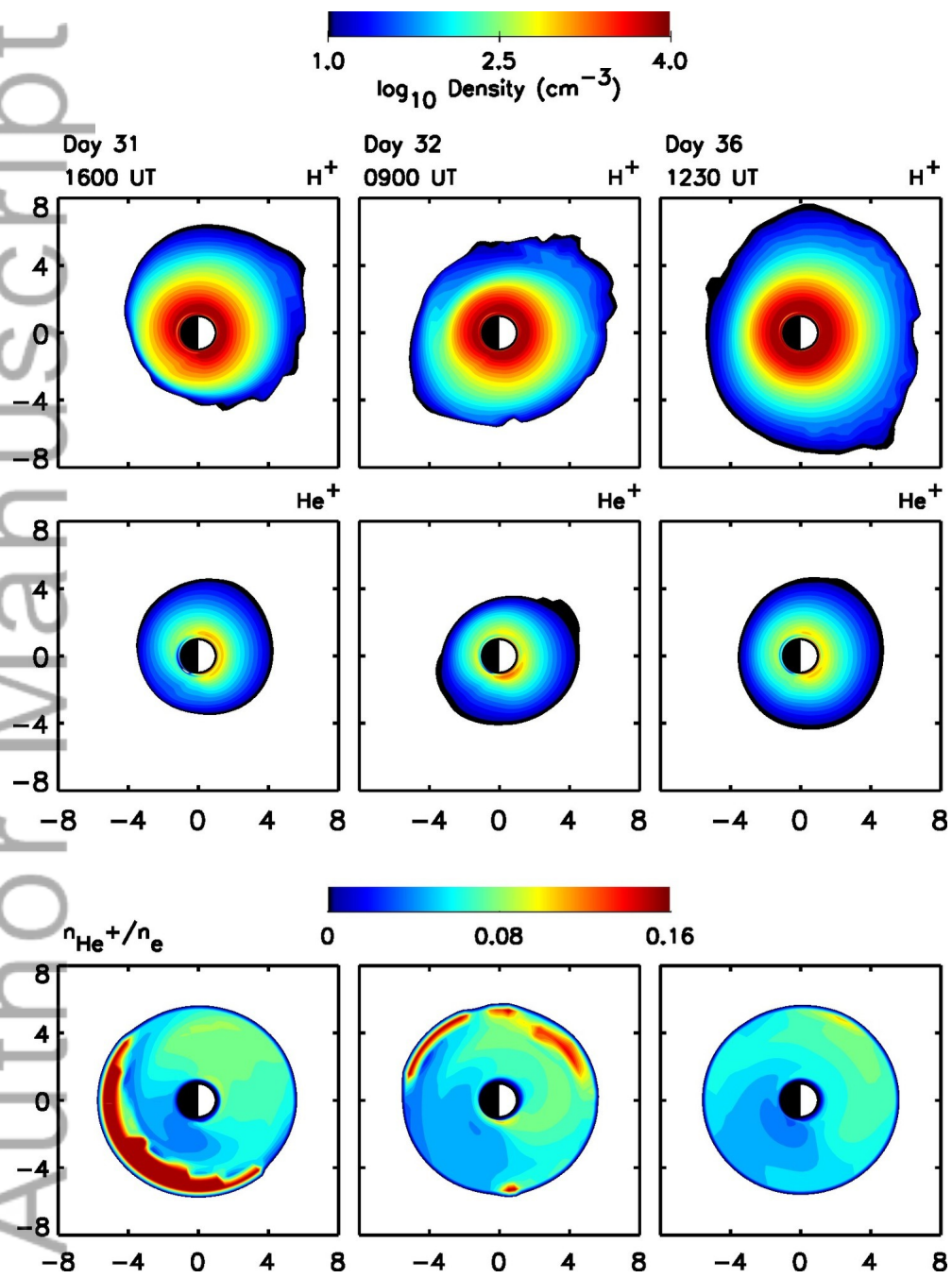
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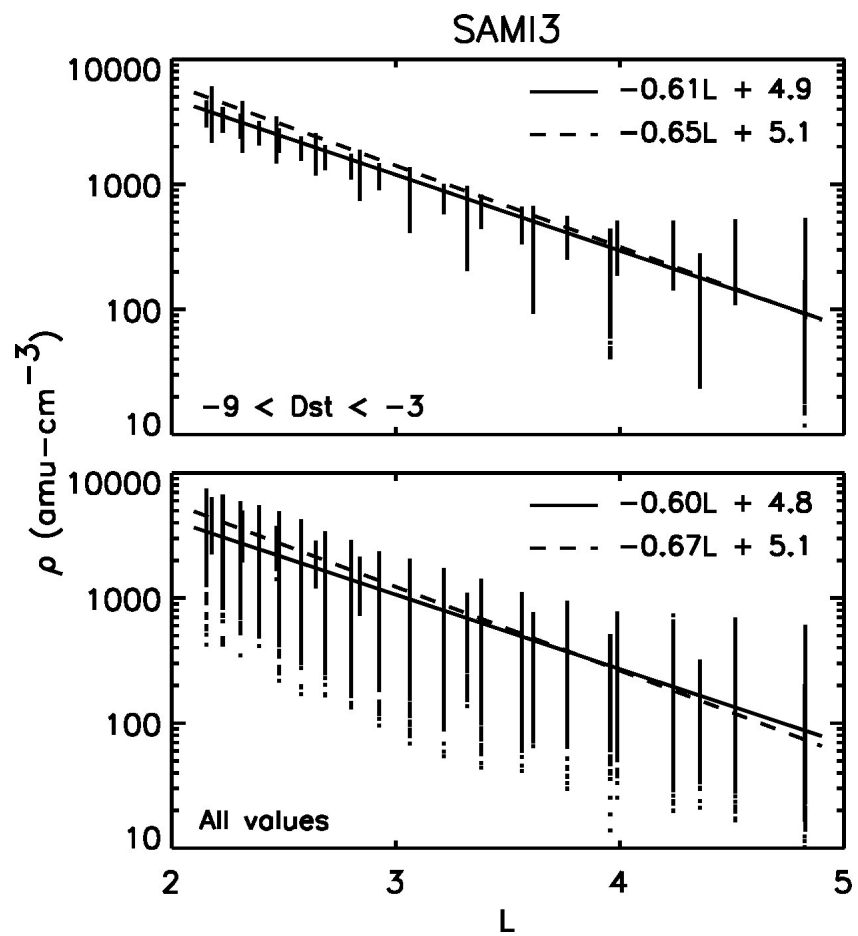
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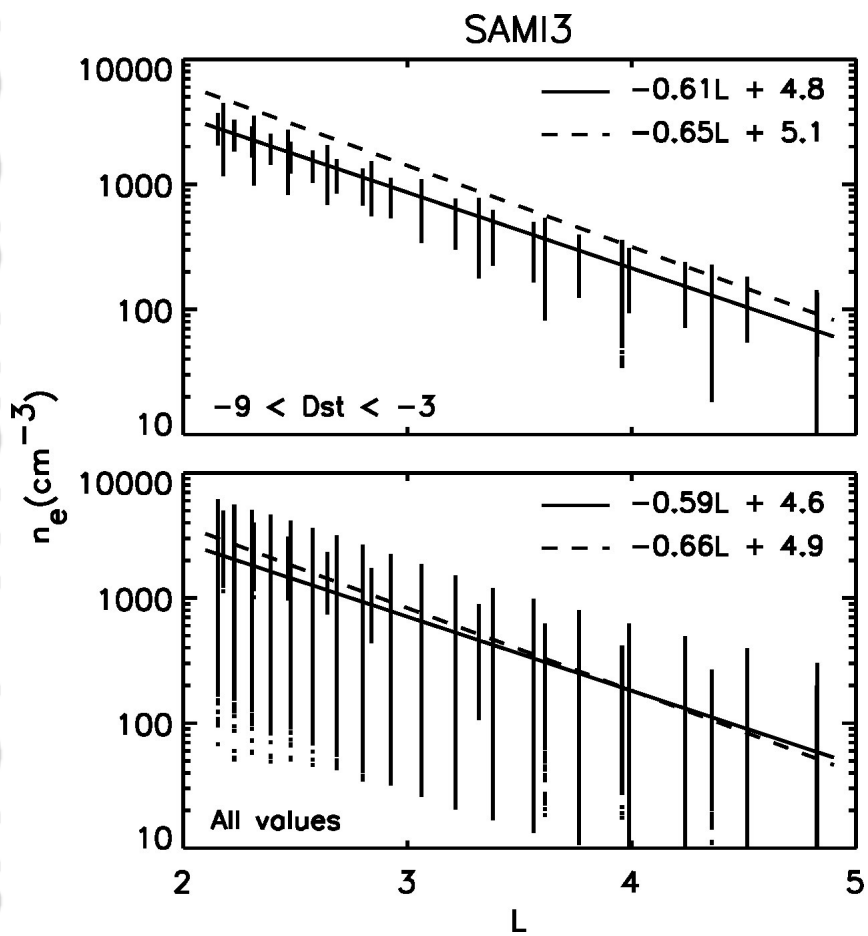
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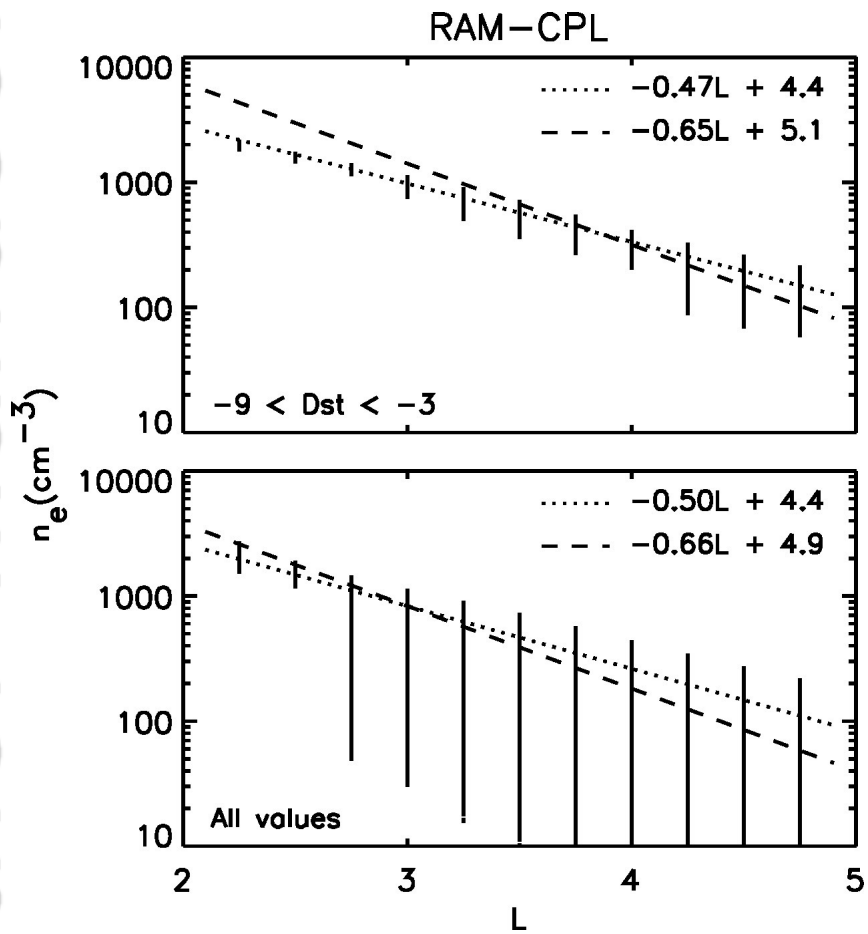




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