SCALING UP. ASSESSING THE FUTURE OF OA MONOGRAPHS SCALING IN THE HUMANITIES AND SOCIAL SCIENCES



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SURVEYS OF ACADEMIC LIBRARIANS CONCERNING OPEN ACCESS MONOGRAPHS

2013 KU Pre-Pilot Survey of Libraries

- Responses: 62 from U.S. & U.K.
- Focus: ideal platforms (HathiTrust, JSTOR, Project MUSE), selection (subject packages or individual titles), pricing, number of titles
- 51% of US respondents were concerned or somewhat concerned about free riders.

2014 OAPEN-UK Librarian Survey

- Responses: 109 from U.K.
- Focus: acquisition, discovery, business models
- 43% participate in OA monograph initiatives using library-funded, consortium-based business models.

2015 PCG OA Monographs Survey

- Responses: 152 from 34 countries; 78% librarians, 17% publishers, and 5% library staff member
- Focus: acquisition, discovery, funding, role of libraries
- 68% of libraries decide to list OA books in their catalog based on relevance to the curriculum while 67% do so by faculty request.

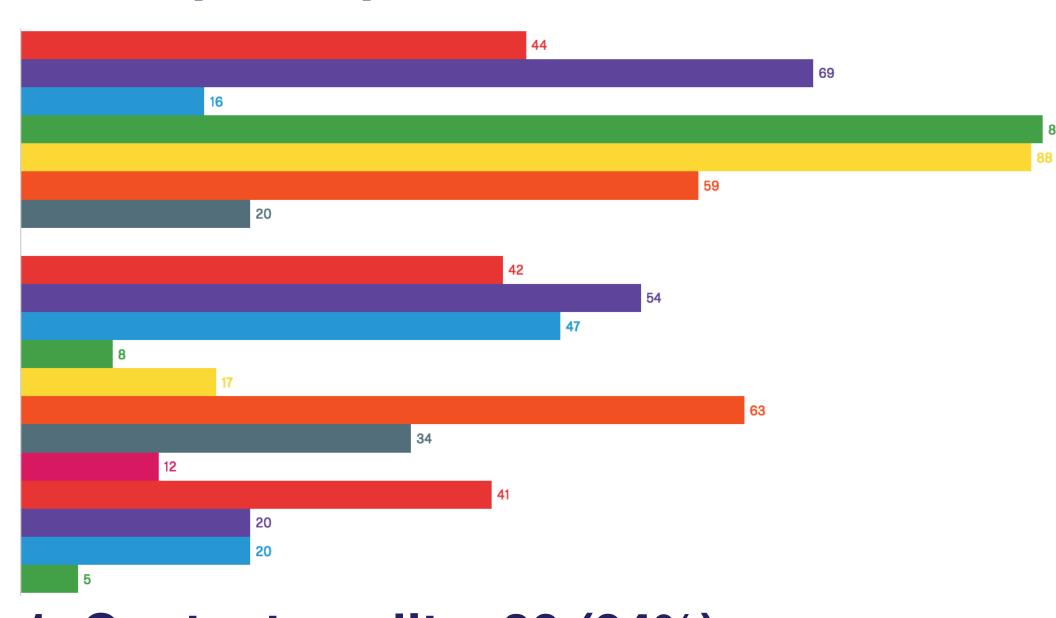
2016-17 OA MONOGRAPH SCALABILITY SURVEY

COLLECTIONS UNITS AT ACADEMIC LIBRARIES IN THE U.S.

·103 valid responses

·37 states and D.C. •1 authoritative response per library

Q4.3 - When your library is evaluating an OA initiative, what are the major...factors you consider in determining whether or not to participate?



- 1. Content quality: 89 (94%)
- 2. Cost/Contribution amount: 88 (93%)
- 3. Business model of OA initiative: 69 (73%)

"The best business model is that

which provides the best literature to

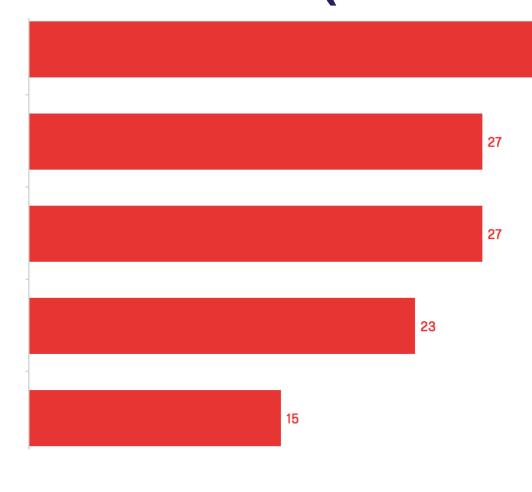
the most people for the least cost.

We would reject a business model

that imposed fees on authors."

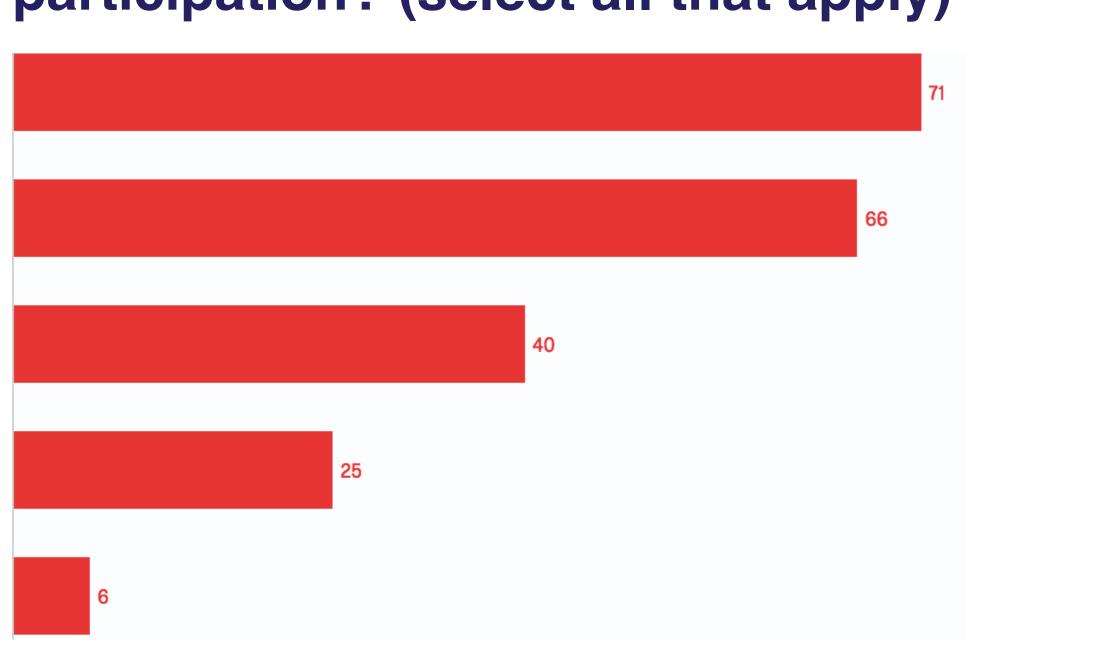
- 4. Reputation of publishers: 63 (68%)
- 5. Discoverability: 59 (63%)

Q4.5 - Looking forward, what are the biggest obstacles to or areas of concern for an expansion in your library's participation in OA book initiatives in the Humanities and Social Sciences? (select up to 3)



- 1. Lack of funding/Affordability: 58 (68%)
- 2. Content quality & Usage rates: 27 (32%) (tie)
- 3. Lack of will/interest among faculty: 23 (27%)
- 4. Discovery issues: 15 (18%)
- 5. User experience issues & Preservation issues: 10 (12%) (tie)

Q4.6 - How will you judge the success of your participation? (select all that apply)



- 1. The success of the OA initiative: 71 (84%)
- 2. Usage rates: 66 (78%)
- 3. Increase in participation by peer institutions: 40 (47%)
- 4. Savings on monograph expenditures: 25 (29%)

3. Employ business models that are

- 4. Gather reliable local usage data
- 5. Improve discoverability by standardizing workflows and best practices
- faculty and school administrators
- 7. Institute campus-wide OA policies

"We want to support models that "Freeriders are institutions who don't contribute to OA seem sustainable and economical. initiatives, for whatever reason (budgetary, philosophi-OA initiatives that appear to perpetcal, etc.). I'm not sure that I'm not worried about that, uate the status quo publishing but I can't control their actions, I can only control my model on the backs of libraries is own. It doesn't make sense to me to use lack of support not one we are likely to support." by others as a factor as to whether or not I contribute."

> "I don't have time to worry about another institution who *can* afford it "freeriding" (besides, it's kind of their "bad karma" right?); and for the rest of the world -- individuals as well as institutions in the U.S. and globally that *don't* have many resources - it's all good!"

"We're needing to support more and more of our collection budget expenditures with "metrics" - and I'd hate to see OA initiatives collapse (with institutions not being able to continue support) because they missed that metrics train."

"Notifications to us from the OA initiative about the availability of MARC records is ideal (don't make us chase after the records, or have to enter reminders to check for records into our calendars, please); the earlier the better, esp for front list titles, to avoid ordering duplicates."

7 RECOMMENDATIONS FOR A

SCALABLE & SUSTAINABLE FUTURE FOR OA MONOGRAPHS

- 1. Limit to highest quality content from trusted scholarly publishers
- 2. Implement scaled pricing to enable smaller schools to participate
- sustainable, i.e. straightforward and financially fair to libraries and pubs.

- 6. Advocate for OA monographs among

