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52 Tweetable Abstract:

- BIEN for R: Global plant occurrences, trait data, plot data. Range maps and phylogenies for
- most New World plants.

56 **Abstract:**

51

57	1. There is an urgent need for large-scale botanical data to improve our understanding of
58	community assembly, coexistence, biogeography, evolution, and many other fundamental
59	biological processes. Understanding these processes is critical for predicting and handling
60	human-biodiversity interactions and global change dynamics such as food and energy security,
61	ecosystem services, climate change, and species invasions.
62	
63	2. The Botanical Information and Ecology Network (BIEN) database comprises an
64	unprecedented wealth of cleaned and standardized botanical data, containing roughly 81 million
65	occurrence records from ~375,000 species, ~915,000 trait observations across 28 traits from
66	~93,000 species, and co-occurrence records from 110,000 ecological plots globally, as well as
67	100,000 range maps and 100 replicated phylogenies (each containing 81,274 species) for New
68	World species. Here, we describe an R package that provides easy access to these data.
69	
70	3. The BIEN R package allows users to access the multiple types of data in the BIEN database.
71	Functions in this package query the BIEN database by turning user inputs into optimized
72	PostgreSQL functions. Function names follow a convention designed to make it easy to
73	understand what each function does. We have also developed a protocol for providing
74	customized citations and herbarium acknowledgements for data downloaded through the BIEN R
75	package.
76	
77	4. The development of the BIEN database represents a significant achievement in biological
78	data integration, cleaning and standardization. Likewise, the BIEN R package represents an
79	important tool for open science that makes the BIEN database freely and easily accessible to
80	everyone.
81	
82	Keywords: R, plants, range maps, presence, occurrence, phylogeny, community plot, functional
83	traits, biodiversity, herbarium records, ecoinformatics
84	
85	Introduction:
86	Understanding the distribution and diversity of organisms relies on the availability of large
87	quantities of standardized, robust, and comprehensive biological data. Furthermore, developing

ecological theories that are both general and predictive also necessitates high-quality data. There is an especially urgent need for large quantities of botanical data across differing spatial scales. Despite the crucial importance of plants for ecosystem functioning and services, plants have lacked comprehensive data sets similar to those of less-speciose clades such as birds and mammals, which have trait (Myhrvold *et al.* 2015), phylogenetic (Bininda-Emonds *et al.* 2007; Jetz *et al.* 2012; Faurby & Svenning 2015) and range information (BirdLife International 2013; IUCN 2013) available for most species. Critical issues with economic implications, such as food and energy security, climate change, species invasions and ecosystem services, require an understanding of plant ecology and evolution globally, and an ability to predict how these factors will respond to global change.

In the era of Big Data and open science, the informatics challenge is to develop workflows that can standardize and provide access to disparate and heterogeneous data sources. Increasingly, the synthetic questions biologists are asking require computationally intensive integration between these numerous and diverse data sources. However, the existence and accessibility of data is only part of the issue. Before data can be integrated, they must be assessed, cleaned, and standardized.

The Botanical Information and Ecology Network (BIEN; http://bien.nceas.ucsb.edu/bien/) database is an unprecedented collection of integrated and standardized New World botanical data (Enquist *et al.* 2016). This has resulted from the efforts of more than 50 scientists from 20 institutions across the globe. The BIEN database is constructed from a workflow that performs three essential tasks. First, it integrates multiple data types (e.g. trait data, occurrence records, ecological plot data, Table 1) from numerous sources (Supplementary Tables S1-3). Second, utilizing open source tools, it assesses and corrects taxonomic errors, standardizes taxonomy, validates geographic information, and standardizes data formats. Third, it generates species-level distribution models and phylogenies. The current version of the BIEN database (BIEN 3.4 as of Jan 15th 2017) contains 81,108,996 occurrence records that stem from 378,502 species from across the globe. While the data are global in extent (Figures 1 & 2), data integration efforts have focused on the New World (North and South America, Table 2).

The BIEN database differs from other biodiversity databases in the breadth of data types it contains (Table 1), the integration of these data, the degree of validation and standardization the data goes through, and the amount of additional metadata added. Most other databases focus

on a single data type, such as TRY (Kattge *et al.* 2011) with trait data and GBIF ('Global Biodiversity Information Facility' 2012) with occurrence records, where BIEN integrates multiple data types, including occurrence, plot, trait, and phylogenetic data. Data within the BIEN database are also put through standardization and validation procedures, thereby increasing data quality and ease of use. For example, BIEN occurrence data undergo additional taxonomic and spatial validations that aren't used by GBIF ('Global Biodiversity Information Facility' 2012), and unlike Traitbank (Schulz 2016), BIEN trait units and names have been standardized.

The wealth of information contained in the BIEN database is housed at the National Center for Ecological Analysis and Synthesis (NCEAS) in Santa Barbara, CA. The database is open-access and can either be accessed by a graphical user interface (GUI) at BIENdata.org or through the newly developed BIEN package for R that we introduce here (R Core Development Team 2015). The BIENdata.org website offers a user-friendly way to visualize species occurrence data and range maps and download range maps, occurrences and trait data for a single species at a time. Users who are interested in downloading data for many taxa or geographic locations and accessing differing combinations of data will find the BIEN R package a more flexible approach.

Data Cleaning and Integration Workflow

As described by Enquist et al. (in prep., 2016), the generation of the BIEN database consists of a linked workflow that (i) standardizes taxonomy by correcting spelling of species names and updating synonyms to currently accepted names via the Taxonomic Name Resolution Service or TNRS (Boyle *et al.* 2013); (ii) detects and flags observations with erroneous geographic coordinates; and (iii) flags cultivars and non-native species via the Native Species Resolver (http://bien.nceas.ucsb.edu/bien/tools/nsr/). Coordinates were flagged as erroneous if they fell outside the specified political regions, if the latitude was exactly 0 or 90 degrees, the longitude was exactly 0 or 180 degrees, or if the point fell in the ocean. The detection of cultivars and non-native records relies on native species lists, which are not available throughout the New World, so this filtration is imperfect.

Range maps were built for each species using a method determined by the number of observations of that species. A species with a single record was assigned a range that included

only the 100km² cell where it was found. Ranges for species with 2-3 records were rectangular bounding boxes with the limits set by the minimum and maximum latitude and longitude of all occurrences. Ranges for species with 4-9 records were built with convex hulls (the minimum-fitting polygon that encompasses all occurrences of that species). For species with >9 records, we built species distribution models using the Maxent algorithm (Phillips *et al.* 2006). Only one occurrence record per cell (in cases of multiple records) was used for Maxent model building. Maxent model building generally followed the recommendations outlined in (Merow *et al.* 2013, 2014). Model settings were chosen to balance overfitting, which underestimates range sizes, with underfitting, which results in excessively smooth models that over predict range size. Only linear, quadratic, and product features were used and regularization was set at the default value.

Environmental predictors for SDMs were obtained from the WorldClim current (1960-1990) climate data at 10 arc-minute resolution (Hijmans *et al.* 2005) and resampled to a 10 km resolution. Predictors included mean annual temperature, mean diurnal temperature range, annual precipitation, precipitation seasonality, precipitation in warmest quarter/ (precipitation in warmest quarter + precipitation in coldest quarter), and five spatial eigenvectors (De Marco *et al.* 2008). The spatial eigenvectors essentially captured large-scale regional differences in occurrence and primarily served as broad-scale dispersal limitation of species ranges, limiting predictions far in geographic space from presence locations (Blach-Overgaard *et al.* 2010).

Maxent's continuous predictions were converted to binary presence/absence predictions by choosing a threshold based on the 75th percentile of the cumulative output.

We constructed a phylogeny of 18,641 species based on a standardized list of New World species and the gene regions atpB-rbcL, ndhF, psbA, psbA-psbH, rbcL, and trnT-trnL-trnF marker regions using the software PHLAWD (Smith *et al.* 2009). The phylogeny was constructed with RAxML (7.3.0; Stamatakis 2006) with an unconstrained ML search and divergence times were estimated using penalized likelihood and the treePL software package (Smith & O'Meara 2012). Additional details on the methodology used to extract these data from GenBank and align them are presented in Hinchliff and Smith (2014). Onto this phylogeny, we grafted the additional taxa from the BIEN dataset using taxonomy (genus membership) as a guide for the remaining ~72,000 species. This grafting was repeated to create a set of 100 phylogenies to account for uncertainty in placement of species without genetic information.

181	Addit	ional information on the BIEN phylogenies is available online
182	(<u>http:/</u>	/bien.nceas.ucsb.edu/bien/biendata/bien-2/phylogeny/).
183		
184	BIEN	R package
185		A common hurdle for researchers is accessing the specific subset of data they need for
186	their s	tudy. Particularly for large databases with multiple data types, data access can involve
187	compl	ex queries in database languages that are unfamiliar to many researchers. The BIEN
188	packa	ge for R (stable version available on CRAN and development version at
189	https:/	/github.com/bmaitner/RBIEN) provides a set of tools to access and work with the BIEN
190	databa	se that allows users without a knowledge of PostgreSQL or the BIEN database structure to
191	easily	access the data within BIEN.
192		
193	The fu	nctions within the BIEN package fall into nine main categories:
194		
195	I.	Species lists,
196	II.	Occurrence records (species observations from specimens and plots),
197	III.	Plot data (species occurrence and abundance from plots),
198	IV.	Stem data (stem counts and measurements from plots),
199	V.	Trait data,
200	VI.	Species range maps,
201	VII.	Taxonomic information,
202	VIII.	Phylogenetic information,
203	IX.	Associated metadata
204		
205	We fo	llow a function naming convention where each function begins with the prefix "BIEN_"
206	follow	ed by a designation of which data type it accesses (eg "trait_" or "ranges_") followed
207	by a d	escription of what the specific function does. For example, the function
208	BIEN_	occurrence_species() is used to download geographic information system (GIS)
209	occurr	rence data for a given species. Detailed descriptions of each function family, and examples
210	of con	nmon usages can be found in Supplementary Document S4. A complete list of functions
211	with a	bbreviated descriptions is available in tabular format with vignette("BIEN"), and a

tutorial is available with vignette("BIEN_tutorial"). Data usage caveats can be found in Supplementary Table S5.

Data license

The data and derived products are distributed via CC-BY-NC-ND Creative Commons license (https://creativecommons.org/licenses/by-nc-nd/4.0/). This allows redistribution and reuse of a licensed work on the conditions that the creator is appropriately credited, that use is noncommercial, and that users do not distribute derivative works. Users interested in uses of these data and products outside the scope of this license are encouraged to contact the BIEN working group (bien.working.group@gmail.com) to discuss alternative licensing options.

Authorship guidelines

Any publications using data obtained from BIEN should cite the original publications and acknowledge data providers when possible. Studies utilizing large amounts of BIEN data should seek solutions that ultimately lead to an entry in the Web of Science so that data providers and original publications are given credit. Normal ethics apply to co-authorship of scientific publications. Users of data stored in BIEN should consider inviting the original data contributor to be a co-author(s) of any resultant publications if that contributor's data are a major portion of the dataset analyzed, or if a data contributor makes a significant contribution to the analysis of the data or to the interpretation of results. Similarly, studies utilizing significant fractions of BIEN data and derived data products (species lists, geographic ranges, etc.) for their research are encouraged (but NOT required) to contact the BIEN working group, who may be able to provide insight into the use of BIEN data and expected updates to the database. In no circumstances should authorship be attributed to data contributors, individually or collectively, without their explicit consent.

Future directions

The BIEN database represents an unprecedented source of global botanical data that currently focuses on the New World. The BIEN database, workflow, and R package are under continuous development. We plan on expanding the BIEN database to increase coverage of other continents as well as incorporating additional types of trait data. Another priority is to

243	develop an API to allow easier access to the BIEN database by other programs. As development
244	proceeds, we will add functionality to allow users to contribute their own data and range maps.
245	Accessing these data will allow studies at an unprecedented scale with more complete, high
246	quality data sets than smaller scale initiatives might achieve on their own. This is an important
247	step toward improving our understanding of global patterns of biodiversity and developing
248	predictive ecological theory at the largest of scales.
249	- -
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251	B.S.M, C.M, B.B. and B.J.E. wrote the manuscript. B.S.M. led development of the R package
252	with assistance by B.B., C.M., D.G. and N.C. All authors worked on development of the BIEN
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254	
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323 Data Accessibility:

322

327

- The BIEN package is available for download from CRAN at: https://CRAN.R-
- project.org/package=BIEN. The development version of the package is available at:
- 326 https://github.com/bmaitner/RBIEN.

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Table 1. Summary of Data Types in BIEN 3.4

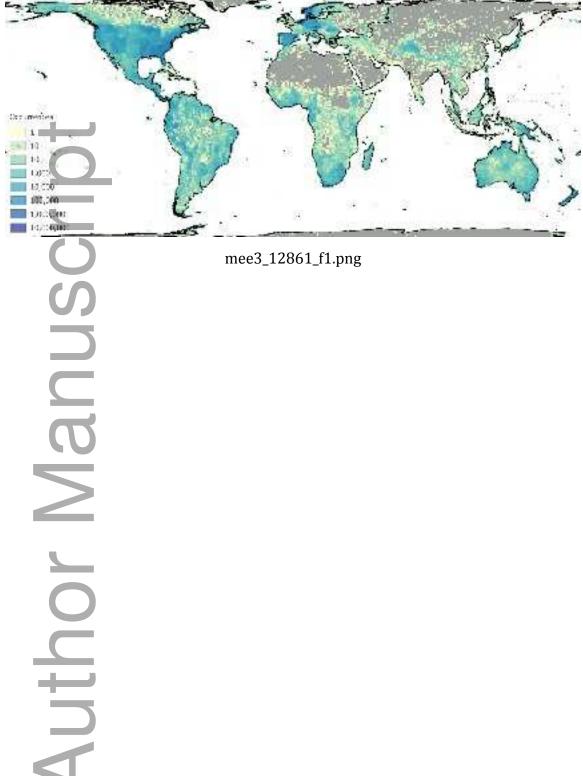
	Count	Number of Species
Occurrence Records	81,108,996	378,502
Ecological Plots Observations	17,244,737	16,216
Trait Records	916,988	93,251
Taxonomic Records	539,781	378,577
Range Maps (see text for details)	98,829	98,829

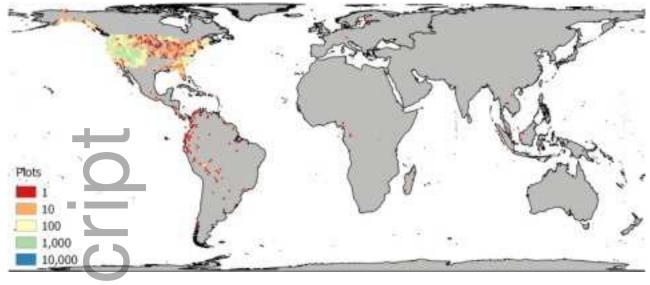
Phylogenies	100	81,274
(see text for details)		

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Table 2. Summary of the number of occurrences within BIEN 3.4

)1	Number observed within BIEN 3.4 (Global)	Number observed within BIEN 3.4 (New World)
Total Observations	81,108,996	28,311,777
Geographically validated Observations	46,432,247	22,462,543
Specimens	63,864,259	13,365,277
Plot observations	17,244,737	14,946,500
Plots	113,146	107,619
Species	378,502	117,808





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