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13	Sleep Characteristics and Behavioral Problems among
14	Children of Alcoholics and Controls
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34 35

# Abstract

36 Background: Past research has indicated that both sleep difficulties (Gregory and Sadeh, 2012) and 37 a parental history of alcoholism (Zucker, 2006) increase the risk of behavioral problems. But it is not 38 known whether sleep difficulties differentially increase the risk of problem behaviors among children of 39 alcoholics and controls. We compared multiple measures of sleep and the relationships between 40 sleep and behavioral problems in these two groups of children. 41 Methods: One hundred and fifteen children aged 8-12 (67% children of alcoholics or COAs; 56% 42 girls; Mage=10.85, SDage=1.51) participated in this study. Data presented here were taken from Time 1 43 of a larger prospective study designed to understand the relationship between sleep and alcohol use. 44 All participants were naïve to alcohol and other illicit drugs. Participants were asked to wear an 45 actigraph watch on their non-dominant wrists for one week. Parents completed the Pediatric Sleep 46 Questionnaire and the Achenbach Child Behavioral Checklist. 47 Results: Parents of COAs were more likely to rate their children as overtired compared with parents of non-COAs. SEM analyses focusing on overall internalizing and externalizing problems did not 48 49 reveal any group differences on the relationships between sleep measures and behavioral problems. 50 Regression analyses focusing on specific behavioral problems showed that longer TST, parental 51 ratings of "sleep more" and "sleep less" than other children interacted with COA status to predict 52 specific behavioral problems. 53 **Conclusions:** Sleep difficulties and duration appear to be a general risk factor for behavioral 54 problems in both COAs and non-COAs, yet the relationships between specific sleep parameters and behavioral problems appear to be different between the two groups. 55 56 57 Key words: Sleep, behavioral problems, children of alcoholics, childhood, adolescence 58 Sleep Characteristics and Behavioral Problems among 59 Children of Alcoholics and Controls 60 Behavioral problems are an important developmental outcome to consider in childhood 61 because these problems predict adult psychopathology, including alcohol use disorders (Hussong et 62 al., 2011; Zucker, 2006). Past research has indicated that both sleep difficulties (Gregory and Sadeh, 63 2012) and a parental history of alcoholism (Zucker, 2006) increase the risk of behavioral problems. 64 Even though several studies reported that children of biological, alcohol-dependent parents (COAs) 65 had more internalizing (Eiden et al., 2009; Hussong et al., 2008b) and externalizing problems than 66 non-COAs (Eiden et al., 2007; Meyers et al., 2014), the factors responsible for these differences

remain unclear. These factors may explain why some COAs are particularly at risk for behavioral
problems. In this study, we examined whether sleep parameters might be related to the manifestation
and severity of behavioral problems among COAs and matched controls.

70 Studies comparing sleep parameters between COAs and non-COAs show inconsistent 71 findings (Conroy et al., 2015; Wong et al., 2010). In prospective studies using sleep measures based 72 on maternal ratings, there were no differences in the prevalence rates of sleep problems among 73 COAs compared with controls (Wong et al., 2004; Wong et al., 2010; Wong et al., 2009). However, 74 studies using actigraphy and polysomnography (PSG) have found differences in the sleep patterns and physiology of COAs compared with controls. One study compared actigraphy and sleep diary 75 76 data between the two groups (*N*=92, 68 COAs). Actigraph data showed that COAs had slightly but 77 significantly shorter total sleep time (TST) and more nighttime motor activity compared with controls 78 (Conroy et al., 2015). Another study found that COAs had shorter TST, went to bed later and spent 79 less time in bed on weekends compared with controls (N=82, 65 COAs) (Hairston et al., 2016). In 80 PSG studies, no differences in TST were found (Tarokh and Carskadon, 2010; Tarokh et al., 2012). 81 The current study adds to the existing literature by comparing COAs and non-COAs on multiple 82 objective and subjective sleep measures.

83 The relationships between sleep difficulties and behavioral problems have been reported in 84 both non-clinical and clinical samples of children and adolescents (Gregory and Sadeh, 2012). Among 85 a group of children living with adoptive and non-adoptive families, total sleep problem scores, as 86 measured by parental ratings in the Child Behavior Checklist at age 4 predicted behavioral (e.g., 87 aggression, attention problems) and emotional problems (e.g., anxiety/depression) in early 88 adolescence (N=490) (Gregory and O'Connor, 2002). In a large epidemiological study of Finnish 89 children (N=1714), parental ratings of presence of sleep problems were the strongest background 90 variables associated with parental ratings of emotional problems and negative mood (Maasalo et al., 91 2016). In a study of 135 healthy Israeli children, fragmented sleep measured by actigraphy predicted 92 lower neurobehavioral functioning (i.e., more commission errors on the Continuous Performance Test) 93 and higher parental ratings of delinguent behavior, thought disorder and total behavioral problems 94 (Sadeh et al., 2002).

95 Studies of COAs also reported relationships between sleep measures and behavioral 96 problems. In one study of boys (*N*=257), maternal ratings of sleep difficulties and overtiredness in 97 early childhood predicted attention and depression/anxiety problems in early adolescence for both 98 male COAs and controls (Wong et al., 2004). Another study including both boys and girls found that 99 maternal ratings of sleep difficulties and overtiredness at ages 3-8 predicted the development of 100 internalizing and externalizing problems from 8 to 17 (*N*=384) (Wong et al., 2009). In both studies, 101 COAs did not differ from controls on any sleep measures or behavioral problems.

102 However, previous research did not examine whether the relationships between sleep 103 measures and behavioral problems were the same for COAs and controls. Moreover, with the 104 exception of a few studies done on non-COAs, (Moore et al., 2009; Sadeh et al., 2002), most 105 research used subjective measures such as parental ratings to assess sleep. Seldom did researchers 106 use more than one sleep measure in the same study. Here we present cross-sectional data on the 107 association between multiple sleep measures and behavioral problems among children with and 108 without a parental history of alcoholism. We examined whether they differ on multiple sleep measures 109 and the extent to which sleep played a differential role in behavioral problems among them. We 110 hypothesized that COAs and non-COAs would be similar on sleep measures but COAs would be 111 higher on behavioral problems than non-COAs. We further hypothesized that sleep measures would 112 have a stronger relationship with behavioral problems in COAs compared with non-COAs. **METHODS** 

113

#### 114 Participants

115 One hundred and fifteen children aged 8-12 (67% COAs; 56% girls; Mage=10.85, SDage=1.51) 116 participated in a study designed to understand the longitudinal relationship between sleep 117 characteristics and substance use. Data collection is still ongoing. All data presented here were from 118 Time 1, when all children were naïve to alcohol and other drugs. 76% of participants were Caucasian, 119 11% were Hispanics and 12% were from other ethnic groups (i.e., African-American, Asian, Native 120 American or biracial).

121 COAs and their biological parents were recruited through local addiction treatment facilities, 122 Alcoholics Anonymous meetings, community flyers and advertisements in local newspapers, radio 123 stations and Facebook. Some parents of COAs were either undergoing treatment or had recently 124 gone through treatment. Non-COAs (controls) and their biological parents were recruited via the 125 same community flyers and advertisements. Non-COAs were matched with COAs on age, gender, 126 and family income. All participants and their parents received payment to compensate for their time and effort spent. Children with the following characteristics were excluded from the study: (i) 127 128 significant medical problems that may affect sleep (e.g., endocrine disorders, chronic pain, asthma); 129 (ii) currently taking medications (non-psychiatric or psychiatric) that affect sleep; (iii) DSM-IV Axis I 130 disorder as indicated by the child and adolescent version of the Mini-International Neuropsychiatric 131 Interview (MINI-KID) (Sheehan et al., 2010); (iv) evidence of a primary sleep disorder other than 132 insomnia (e.g., obstructive sleep apnea) and (v) evidence of Fetal Alcohol Syndrome (FAS) and Fetal 133 Alcohol Effects (FAEs). Children with the following characteristics were included: (i) between the ages 134 of 8 to 12; (ii) able and willing to provide informed assent (child) and consent (parent); (iii) among 135 COAs, at least one biological parent had a current or past history of alcohol abuse or dependence, i.e., a score of  $\geq$  6 on the Michigan Alcohol Screening Test (MAST) (Selzer, 1971) and/or a lifetime 136

137 alcohol use disorder (AUD) (American Psychiatric Association, 2000) according to the MINI

138 International Neuropsychiatric Interview (Sheehan et al., 1998); among non-COAs, neither biological

139 parent had a lifetime history of alcohol abuse or dependence.

## 140 **Procedures**

Data for this study were collected in two different sessions. Research associates were blind to the COA status of the participants. During the screening interview (Session 1), both the participating parent and the child were administered the MINI. Parents filled out the Michigan Alcoholism Screening Test (MAST) and completed the MINI AUD questions on themselves and the other biological parent. Parents also completed a demographics questionnaire.

During Session 2, a nurse practitioner did a physical exam and checked facial features of study participants. Screening of FAS, FAE, and other physical problems pertaining to the exclusion criteria were completed by the nurse, using information from this evaluation and the parents' selfreport of drinking habits/patterns of the biological mother during pregnancy. Parents and children were asked to answer several questionnaires on sleep and behavioral problems during this session.

151 Children were also given an actigraph and a sleep diary to complete for one week.

## 152 Sleep Measures

153 Actigraphy. Participants were asked to wear an actigraph watch on their non-dominant wrists 154 for one week, except when they took a shower, bathed or swam. They were instructed to maintain 155 their normal sleep schedule and filled out a simple sleep diary. The diary asked questions about 156 bedtime, rise time, amount of time it took to fall asleep, and quality of sleep. The actigraphs (Actiwatch-L<sup>TM</sup>, Mini-Mitter, Phillips Respironics, Bend, OR) electronically measure the number of 157 158 movements that exceed 0.01g, gravitation force per minute of recording. In addition, a 159 photoconductive cell records light level exposure, measured in lux. Data were collected in 1 min-160 epochs. Trained personnel coded the data for sleep and wake times according to activity level, light 161 exposure and signals as indicated by participants when they were ready to go to bed and when they 162 woke up. Inter-rater agreement ranged from 85-90%. Four sleep variables were derived from these 163 data and averaged across the week: total sleep time (TST), sleep efficiency (SE: % time asleep/ total 164 time in bed), sleep onset latency (SOL: time required to fall asleep) and wake time after sleep onset 165 (WASO). The reliability and validity of actigraphy measures have been demonstrated in previous 166 studies (Acebo et al., 1999; Ancoli-Israel et al., 2003; Sadeh, 2015).

Parental ratings. Parental ratings of their children's sleep problems and issues were measured
 by the Pediatrics Sleep Questionnaire (PSQ) (Chervin et al., 2000) and the Child Behavior Checklist
 (CBCL) (Achenbach, 1991). The PSQ is a well-established instrument measuring children's sleep
 difficulties, sleepiness, sleep-disordered breathing and snoring. Parents responded "yes," "no," or
 don't know to items concerning their children's sleep habits and behaviors. Our analyses focused on

172 sleep difficulties (3 items), daytime sleepiness (6 items) and sleep rhythmicity (2 items). Responses 173 were coded dichotomously (0=no; 1=yes) for all items. The presence of sleep difficulties, daytime 174 sleepiness and sleep rhythmicity was computed based on a response of "yes" to any item related to 175 each variable. Examples of sleep difficulties items include, "Does your child...have difficulty falling 176 asleep at night? ... have trouble falling back asleep if he or she wakes up at night?" "... wake up early 177 in the morning and have difficulty going back to sleep?" Examples of daytime sleepiness items 178 include, "Does your child ... have a problem with sleepiness during the day? ... complain that he or she 179 feels sleepy during the day?" Examples of sleep rhythmicity items include, "Does the time at which 180 your child ... goes to bed change a lot from day to day?... or gets up from bed change a lot from day to dav?" 181

The CBCL is a widely used instrument that measures common behavioral problems in the past 182 183 six months. Five items were used to indicate sleep problems: "trouble sleeping," "overtired without 184 good reason," "nightmares," and "sleeps less than most kids" and "sleeps more than most kids during 185 day and/or night." Responses to each item were scored on a three-point rating scale (0 = not true; 1 =186 somewhat or sometimes true; 2 = very true or often true). A relatively small percentage of the sample 187 had a score of 2 on the sleep items (i.e., trouble sleeping: 7.1%; overtiredness: 2.7 %; nightmares: 188 4.4%; sleeps less than most kids: 4.4%; sleeps more than most kids: 0.9%). Therefore each item was 189 recoded as a dichotomous variable (0 = not true, 1 = sometimes or often true).

## 190 Behavioral problems

191 Parental ratings of behavioral problems were measured by the CBCL. We examined both 192 internalizing (anxious-depressed, withdrawn-depressed and somatization) and externalizing problems 193 (rule-breaking behavior, aggression and impulsivity) (Achenbach, 1991; Meldrum et al., 2012). These 194 problems have been shown to occur more frequently among COAs compared with non-COAs 195 (Zucker, 2006; Zucker et al., 2011). Responses were given on a 3-point rating scale (0 = not true; 1 = 196 somewhat or sometimes true; 2 = very true or often true). Mean scores on each problem were 197 calculated. To ensure the independence between sleep measures and behavioral problems, no sleep 198 items were used in the calculation. The Cronbach's alphas of each problem were 0.77, 0.79, 0.71, 199 0.74, 0.90 and 0.87 for anxious-depressed, withdrawn-depressed, somatization, rule-breaking 200 behavior, aggression and impulsivity respectively.

201 Parental alcohol problems

202 Children with at least one parent who had a score of  $\geq$  6 on the Michigan Alcoholism 203 Screening Test (MAST) (Selzer, 1971) and/or who had a lifetime alcohol use disorder (AUD) 204 according to the MINI (Sheehan et al., 1998; Sheehan et al., 1997) were considered to have a positive 205 parental history of alcohol problems (0=non-COA; 1=COA). One parent from each family participated 206 in the study. Participating parents answered the MAST and completed the MINI AUD questions for

207 both themselves and the other biological parent. Partners/spouses have been demonstrated to be 208 reliable informants of their partners' drinking (Rychtarik and McGillicuddy, 2005; Thomas et al., 1986). 209 MAST scores have been shown to be correlated with alcoholism diagnoses (Selzer and Barton, 1977; 210 Selzer et al., 1971).

#### 211 Analytic Plan

212 The goals of the study were to examine the relationships between sleep variables and 213 behavioral problems and to test whether the relationships were different for COAs and controls. Prior 214 to the analyses, we examined the consistencies of different sleep measures. Data were analyzed by 215 multiple regression (when the outcomes were continuous), logistic regression (when the outcomes 216 were dichotomous), and structural equation modeling (SEM). Gender (0=male, 1=female), age, and 217 ethnicity (0=non-Caucasian, 1=Caucasian) were used as covariates if they had a significant 218 relationship with the outcomes. Demographic variables were dropped if they were not significantly 219 related to the outcome. In all analyses, sleep measures were predictors and different behavioral 220 problems were outcomes. Potential group differences among COAs and controls were tested first in 221 multiple regression models by creating interaction terms between COA status and predictors. A 222 significant interaction term indicates that relationships between sleep and behavioral problems are 223 different for the two groups.

224 In SEM, we used sleep and behavioral problem measures to estimate latent variables and 225 examined the relationships among the latent variables. The main advantages of using this method lie 226 in the possibility of simultaneously estimating relations among multiple observed and latent (unobserved) predictors and outcomes, thus lowering Type I error. Model fit was evaluated by the  $\chi^2$ 227 aoodness-of-fit test and three fit indices - Comparative Fit Index (CFI; Bentler, 1990), Tucker Lewis 228 229 Index (TLI; Tucker & Lewis, 1973), and root mean square of approximation (RMSEA; Steiger & Lind, 1980). The  $\chi^2$  statistic evaluates the difference between the data and the fitted covariance matrices, 230 i.e., the hypothetical model (Bentler & Bonnet, 1980). An insignificant value indicates a good fit. 231 However, the  $\chi^2$  test becomes overly conservative when sample size increases (Bentler, 1990). 232 233 Therefore other indices are also used to evaluate model fit. A value of 0.9 or above on fit indices such 234 as the CFI and TLI indicates a good fit, whereas a value of 0.95 above indicates an excellent fit (Hu & Bentler, 1999). Values of 0.06 or below on the root mean square of approximation (RMSEA) indicate 235 236 a satisfactory fit (Hu & Bentler, 1999). RESULTS

237

#### 238 **Descriptive statistics**

239 Table 1 presents descriptive statistics of all variables, separately for COAs and non-COAs and 240 for the whole sample. Hypothesis 1 was partially supported. As expected, COAs did not differ from

- 241 non-COAs on most sleep measures with the exception of one item. A higher percentage of COAs
- were rated by their parents as overtired compared with non-COAs (*OR*=9.21, *p*<.05). Contrary to our
- 243 expectation, COAs did not differ from non-COAs on any behavioral problems.

### 244 *Multiple regression analyses*

245 Relationships among different sleep measures. We examined the relationships between 246 objective (actigraphy) and subjective sleep measures (parental ratings and youth report). Parental 247 ratings of sleep difficulties in the PSQ significantly predicted longer SOL (b=20.95(6.07), p<.01) and 248 lower SE (b=-5.15(1.94), p<.01). Sleepiness ratings in the PSQ also predicted longer SOL (b=12.52(6.18), p<.05) and lower SE (b=-4.46(1.96), p<.05). CBCL parental ratings of "having trouble" 249 250 sleeping" significantly predicted lower TST (b=-35.94(10.27), p<.01) and longer SOL (b=17.08(7.25), 251 p<.05). Moreover, parental ratings of "sleeps less than most kids" in the CBCL predicted shorter TST 252 (*b*=-35.98(11.68), *p*<.01).

253 Relationships between sleep measures and behavioral problems. All behavioral problems 254 were positively skewed – more participants clustered around lower rather than higher scores. 255 Therefore, they were subject to a log transformation. Multiple regression analyses showed that 256 hypothesis 2 was partially supported. As shown in Table 2, the relationships between sleep 257 parameters and internalizing problems were mostly the same for COAs and non-COAs (i.e., most 258 interaction terms were insignificant). There were a few exceptions. Among both COAs and non-COAs, 259 having nightmares was significantly associated with being anxious depressed. However, the 260 association was stronger among non-COAs (b=.46(.11), p<0.001) than COAs (b=.17(.08), p<0.05) 261 (Figure 1). Having nightmares was also significantly associated with being withdrawn depressed 262 among non-COAs (b=.44(.09), p<0.001) but the relationship was insignificant (though in the same direction) among COAs (b=.12(.08), p=.12). It should be noted that the two groups were not different 263 on the likelihood of having nightmares. COAs who slept more were more likely than other COAs to 264 265 have withdrawn/depressed problems (b=.41(.12), p<.01) and somatic complaints (b=.46(.13), p<0.01). 266 However, "sleeping more" did not predict withdrawn/depressed problems (b=-.17(.19), p=0.38) or 267 somatic complaints (b=-.35(.18), p=0.07) in non-COAs.

Regardless of COA status, multiple sleep measures were associated with internalizing problems. Actigraphy data showed that children with lower TST, longer SOL, and lower SE had more somatic complaints. PSQ data showed that children who had more sleep difficulties were higher on all three internalizing problems while children who were sleepy during daytime tended to be withdrawn/depressed and had somatic complaints. CBCL data revealed that children who were overtired, slept less than others and had trouble sleeping were higher on all three internalizing problems.–

275 The relationships between sleep parameters and externalizing problems were again mostly 276 the same for COAs and non-COAs (Table 3) with the following exceptions. Among non-COAs, shorter 277 TST predicted more rule-breaking behaviors (b=-.003(.001), p<.01) and more impulsivity (b=-278 .004(.002), p<.05). However, TST was not significantly related to rule-breaking behaviors 279 (b=.001(.001), p=.07) or impulsivity (b=.00(.001), p=1.00) among COAs. (Figure 2) There is also a 280 significant interaction between sleeping more X COA status on rule breaking behaviors (b=.43(.22), 281 p < .05). Yet further analyses indicated that "sleeping more" was not significantly related to rule-282 breaking behaviors for either group.

Parental ratings of sleep significantly predicted externalizing problems. Children who had
sleep difficulties (PSQ and CBCL) and nightmares (CBCL), regardless of their COA status, were more
likely to break rules, be aggressive and impulsive compared with children with no sleep difficulties.
Additionally, those who had daytime sleepiness (PSQ) were more likely to engage in rule-breaking
behaviors while those who were overtired (CBCL) were more likely to be impulsive.

#### 288 Measurement model

289 Sleep measures. Initially, we fitted two latent variables, separately for PSQ and CBCL items. 290 Results indicated that both latent variables were highly correlated with one another (r=.92, p<.001). 291 We therefore combined the two variables into one latent variable, parental ratings. We tested whether 292 all observed indicators of sleep loaded onto their corresponding latent variables. All loadings were 293 statistically significant, i.e., all observed variables were good indicators of the latent variables. Some 294 observed items (i.e., WASO and sleeps more than others) were highly correlated with other indicators 295 on the same latent variables. Including them in the analyses led to model non-convergence. They 296 were dropped from the measurement model. The standardized factor loadings were presented in 297 Figure 3. Actigraphy variables (longer TST, shorter SOL, and higher SE) were negatively correlated 298 with parental ratings of sleep difficulties (r=-.45, p<.001). The overall fit indices indicate that the model 299 fit the data well,  $\gamma^2(26)=33.63$ , p=.41, CFI=.98, TLI=.97, RMSEA=.04.

Behavioral problems. We estimated two latent variables using CBCL subscales, internalizing and externalizing problems. Impulsivity was not used as an observed indicator of externalizing problems as it has items that overlap with rule-breaking behaviors and aggression. The standardized factor loadings were presented in Figure 4. The two latent variables were significantly correlated with one another (*r*=.80, *p*<.001). The overall model fit was excellent,  $\chi^2(4)=2.25$ , *p*=.69, CFI=1.00, TLI=1.03, RMSEA=.00.

303 ILI=1.03, RIVI3CA=.0

## 306 Structural model

307 We examined whether actigraphy and parental ratings of sleep difficulties predicted behavioral 308 problems in two separate models, one for internalizing and one for externalizing problems. We also 309 tested whether there were any differences between COAs and non-COAs in these relationships.

310 Parental ratings were a significant predictor of internalizing problems (Figure 5). We tested whether these relationships were the same in both groups.  $\gamma^2$  difference tests showed that there were no 311 significant group differences (parental ratings:  $\chi^2(1)=.03$ , p=.86; actigraphy:  $\chi^2(1)=1.65$ , p=.20). As 312 313 there were no group differences, the two groups were collapsed and the analyses were carried out on 314 the whole sample. Participants whose parents thought they had sleep difficulties had more 315 internalizing problems ( $\beta$ =.83, p<.001). In contrast, actigraphy measures had no relationship with 316 internalizing problems ( $\beta$ =.09, p=.46). This model fit the data very well,  $\chi^2(51)=67.98$ , p=.06, CFI=.95, 317 TLI=.94, RMSEA=.04. 318 There were also no significant group differences on the relationship between any sleep variables and externalizing problems (parental ratings:  $\chi^2(1)=.03$ , p=.86; actigraphy:  $\chi^2(1)=1.94$ , 319

320 p=.16). Only parental ratings predicted externalizing problems ( $\beta=.58$ , p<.001) (Figure 6). Actigraphy 321 measures had no significant relationship with externalizing problems ( $\beta=.27$ , p=.10). Overall model fit 322 was again excellent,  $\chi^2(41)=45.98$ , p=.27, CFI=.99, TLI=.98, RMSEA=.03.

323

#### DISCUSSION

We compared COAs and non-COAs on multiple sleep measures and behavioral problems. We also examined whether the relationships between different sleep measures and behavioral problems were different between the two groups. Though the two hypotheses were only partially supported, this study added to the growing literature by corroborating past research findings that young COAs and non-COAs had minimal differences on sleep measures. It also extended previous research by identifying how COA status moderated the relationship between several sleep measures and behavioral problems, a question that has not been directly addressed by previous research.

Research on sleep among COAs and non-COAs show that the two groups generally do not 331 332 differ except for differences on TST (Conroy et al., 2015; Hairston et al., 2016) and on lower delta and 333 sigma power during sleep (Tarokh and Carskadon, 2010; Tarokh et al., 2012). The hypothesis that 334 COAs would show minimal differences on sleep measures compared with non-COAs was supported. 335 COAs and non-COAs were not different on sleep measures except parental ratings of "overtiredness for no good reasons". While many factors other than sleep could cause overtiredness, this 336 characteristic was associated with having trouble sleeping ( $\chi^2(1)=5.49$ , p<.05) and "sleeping more 337 than other children" in this study ( $\chi^2(1)=10.42$ , p<.001). The relationship between overtiredness and 338 trouble sleeping has also been reported in other samples (Wong et al., 2010; Wong et al., 2009). 339 340 Previous research has reported lower spectral power during sleep among COAs compared with non-341 COAs, suggesting that certain circuits responsible for "protecting" sleep may be impaired (Tarokh and 342 Carskadon, 2009; Tarokh et al., 2012). This impairment could have led to micro-arousals in sleep and 343 overtiredness. Other factors not reported in this study such as regularity of sleep-wake patterns

344 (Hasler et al., 2015), chaotic and noisy home environments (Brown and Low, 2008) and presence of 345 family conflict (EI-Sheikh et al., 2015) may also affect sleep and tiredness. Future studies could 346 examine how these factors affect overtiredness among COAs. . Parental ratings of overtiredness were 347 associated with behavioral problems in this study. Previous research indicated that maternal ratings of 348 overtiredness longitudinally predicted early onset of alcohol and other drug use (Wong et al., 2004; 349 Wong et al., 2009), as well as substance-related problems (Wong et al., 2010). Overtiredness in daily 350 activities appears to be an important risk pathway for subsequent problematic alcohol involvement. 351 Our study suggests that this pathway may be especially salient for COAs.

352 The hypothesis that sleep parameters and behavioral problems would have a stronger 353 relationship among COAs than non-COAs was mostly unsupported. SEM models showed no 354 differences in the relationships between latent variables of sleep measures and either internalizing or 355 externalizing problems among COAs and non-COAs. In regression models, the relationships 356 between individual sleep items and behavioral problems were largely the same for both COAs and 357 non-COAs, with a few notable exceptions. The presence of nightmares was more strongly associated 358 with anxiety/depression and withdrawn/depression for non-COAs than COAs, even though the relationships were in the same direction for both groups. Moreover, nightmares were significantly 359 associated with rule-breaking, aggression and impulsivity for all participants, regardless of COA 360 361 status. A cross-sectional study reported that frequent nightmares (having nightmares at least once a 362 week) was associated with hyperactivity and temper outbursts in a community sample of Chinese 363 children (Li et al., 2011). Longitudinal data revealed an association between nightmares and anxiety 364 (Simard et al., 2008), as well as difficult temperament (Simard et al., 2008) and emotional symptoms 365 (Schredl et al., 2009) in children. The consistency of our results to previous research highlights the 366 effects of nightmares in children's behavior and the need of treatment among those with persistent 367 nightmares.

368 Among non-COAs, parental rating of sleeping less was associated with aggression and 369 shorter TST was associated with more rule-breaking and impulsivity. These findings are consistent 370 with past research showing that less sleep and sleep difficulties are associated with behavioral 371 problems (Gregory and Sadeh, 2012). National polls indicated that as much as 27% of school-aged 372 children (National Sleep Foundation, 2004) and 45% of adolescents did not get enough sleep 373 (National Sleep Foundation, 2006). The high prevalence of insufficient sleep and its association with 374 behavioral problems underscore the importance of helping our nation's youth to practice sleep 375 hygiene and prioritize sleep in their daily schedule.

Among COAs, parental rating of sleeping more was associated with somatization and withdrawal. To our knowledge, this is the first study to report such differences. Previous research indicated that COAs had lower spectral power in their sleep than non-COAs (Tarokh and Carskadon, 2010; Tarokh et al., 2012). Could COAs be less restful in their sleep, which led to overtiredness and
sleeping more? Future studies could address this question by examining the relationship between
sleep micro-architecture and behavioral problems among these two groups of children.

382 .Parental ratings of sleep difficulties and having trouble sleeping are related to both 383 internalizing and externalizing problems. These problems predict psychopathology in adolescence 384 and adulthood. As we present cross-sectional data here, we could not ascertain the temporal 385 relationship between sleep difficulties and behavioral problems. Longitudinal studies show that sleep 386 problems predicted subsequent behavioral and emotional problems (Gregory et al., 2005; Gregory 387 and Sadeh, 2012). The relationship between sleep and behavioral problems is probably reciprocal (Wang et al., 2016). While sleep difficulties may be a risk factor for behavioral problems in children 388 389 and adolescents, behavioral problems may also affect sleep, especially when those problems create 390 difficulties in interpersonal relations and school work. Prospective studies examining the 391 developmental trajectories of sleep and behavioral problems simultaneously over a longer time-frame 392 will shed light on how they influence each other over time. The association between sleep difficulties 393 and behavioral problems have an important implication. Prevention and treatment programs aiming at 394 either problem should inform children/adolescents and their parents of this relationship and be 395 prepared to offer consultation or treatment for both problems.

396 The hypothesis that COAs had more behavioral problems than non-COAs were not supported. 397 Previous studies report that COAs tend to have more internalizing and externalizing problems than 398 non-COAs (Eiden et al., 2007; Hussong et al., 2008a). However, multiple factors such as children's 399 age and developmental stage, the subtype of parental alcoholism, the timing of parental alcohol 400 diagnoses and alcohol-related consequences affect the manifestation and severity of these problems 401 (Hussong et al., 2008b; Zucker, 2006). Our sample was still relatively young (8-12 years old) when 402 they took part in this study. More behavioral problems among COAs could develop as they get older. 403 We did not collect data on alcoholism subtype. However, there is limited information on timing of parental AUD, i.e., whether parents have AUD in the last 12 months. These data are still being 404 405 processed. We will analyze these data as they become available to find out if parental AUD in the last 406 12 months is a better predictor of offspring behavioral problems than lifetime AUD, the criteria used to 407 classify COAs in this study.

This study has several limitations. The cross-sectional data presented here did not provide any information on the temporal relationship between sleep and behavioral problem. Additionally, there was no experimental manipulation of either sleep or behavioral problems. The causal relationship between these two variables remain unknown. No polysomnography (PSG) data were presented in this study. Assessment of brain activity during sleep is considered the gold standard of sleep measurement. We will examine the relationship between PSG and behavioral problems when 414 PSG data become available. Lack of data on circadian sleep-wake cycle on weekdays versus 415 weekends is another limitation. Irregular circadian rhythm may contribute to daytime fatigue. 416 Environmental stressors related to parental alcohol problems such as chaotic home environment and 417 noisy surroundings may affect both sleep and behavioral problems. We did not provide any data on 418 environmental stressors in this study. It remains to be seen whether findings reported here can be 419 replicated in studies with information on circadian rhythm and environmental stressors. This study 420 relied on parents to provide information about sleep and behavioral problems. It is important to note 421 that parents with alcohol problems may be biased in the ratings. Some participating parents do not 422 have a history of alcohol problems so their responses may be different from those who have a positive 423 lifetime history. Another limitation is that we did not control for the parental lifetime use of substances 424 other than alcohol or prenatal exposure to these drugs, which may affect the pattern of findings 425 reported here. Lastly, the sample size is relatively small, therefore the power to detect statistically 426 significant interaction effects may be inadequate.

In conclusion, sleep duration and difficulties appear to be general risk factors for behavioral
 problems in both COAs and non-COAs, yet the relationships between some sleep parameters and
 behavioral problems are different between the two groups. Examining the relationship between sleep
 micro-architecture and behavioral problems may reveal mechanisms that explain such differences.

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## Figure legends

- 603 Figure 1. Anxious-depressed problems among children with or without nightmares
- 604 Figure 2. Rule-breaking behaviors among children with low and high total sleep time (median split)
- 605 Figure 3. Standardized factor loadings of and correlations among sleep measures.
- Note. \*\*\**p*<.001. TST=total sleep time; SOL=sleep onset latency; SE=sleep efficiency;

607 Model fit:  $\chi^2(26)=33.36$ , p=0.15., CFI=0.98, TLI=0.97, RMSEA=0.04

- 608 Figure 4. Standardized factor loadings of and correlations among behavioral measures.
- 609 Note. Numbers listed are standardized betas and correlations. \*\*\**p*<.001.
- 610 Model fit:  $\chi^2(4)$ =2.25, p=.69, CFI=1.00, TLI=1.03, RMSEA=.00
- 611 Figure 5. Relationships between sleep measures and internalizing problems
- 612 Note. Numbers listed are standardized betas and correlations. \*\*\*p<.001. Dotted lines are non-
- 613 significant paths. Model fit:  $\chi^2(51)=67.98$ , p=.06, CFI=.95, TLI=.94, RMSEA=.04
- 614 Figure 6. Relationships between sleep measures and externalizing problems.
- 615 Note. Numbers listed are standardized betas and correlations. \*\*\* *p*<.001. Dotted lines are non-
- 616 significant paths. Model fit:  $\chi^2(41)=45.98$ , p=.27, CFI=.99, TLI=.98, RMSEA=.03

Table 1. Means (SDs) of sleep measures			
	non-COAs	COAs	Total
Sleep measures			
Actigraphy			
Total sleep time (TST)	451.35 (34.01)	446.36 (52.22)	448.25 (48.03)
Sleep onset latency (SOL)	37.74 (37.40)	37.95 (30.04)	37.65 (32.50)
Sleep efficiency (%)	76.69 (5.23)	76.89 (12.01)	77.87 (10.24)
Wake time after onset (WASO)	53.27 (15.38)	56.69 (19.57)	55.60 (18.19)
Pediatric Sleep Questionnaire (parental)			
Sleep difficulties	36.8%	44.7%	42.1%
Sleepiness	36.8%	46.1%	43.0%
Child Behavioral Checklist (parental)			
Nightmare	30.6%	32.4%	31.8%
Overtired	2.8%*	16.9%*	12.1%
Sleeps less than most kids	13.9%	22.2%	19.4%
Sleeps more than most kids	8.3%	8.3%	8.3%
Having trouble sleeping	25.0%	28.2%	27.1%
Behavioral problems			
Internalizing problems			
Anxious depressed	3.65 (3.31)	4.06 (3.47)	3.97 (3.34)
Withdrawn depressed	2.33 (2.33)	1.75 (2.65)	1.94 (2.50)
Somatization	2.31 (2.16)	2.12 (2.39)	2.17 (2.29)
Externalizing problems			
Rule-breaking behaviors	0.83 (1.08)	1.79 (2.55)	1.53 (2.23)
Aggression	4.86 (4.31)	5.86 (6.06)	5.64 (5.48)
Impulsivity	4.25 (3.36)	5.76 (5.71)	5.34 (4.99)
Note. All analyses controlled for gender, age a			
* Comparing the hot was the two stresses was	algoritia and at a	NE .	

\* Comparisons between the two groups were significant at p<.05.



Table 2. Relations among sleep measures, children of alcoholics (COA) status and internalizing problems				
	Anxious Depressed	Withdrawn depressed	Somatization	
Actigraphy				
TST	001 (.001)	.000 (.001)	001 (.001)*	
COA	.02 (.07)	12 (.07)	03 (.07)	
SOL	.001 (.001)	.001 (.001)	.002 (.001)*	
COA	.02 (.07)	12 (.07)	02 (.07)	
Efficiency	.001 (.003)	.000 (.003)	007 (.003)*	
COA	.02 (.07)	12 (.07)	04 (.07)	
WASO	.001 (.002)	003 (.002)	.001 (.002)	
COA	.02 (.07)	11 (.07)	03 (.07)	
Pediatric Sleep Questionnaire (parental)				
Sleep difficulties	.14 (.06)*	.22 (.06)***	.23 (.06)***	
COA	01 (.07)	13 (.06)*	07 (.06)	
Sleepiness	.12 (.06) <sup>a</sup>	.14 (.06)*	.16 (.06)*	
COA	.02 (.07)	12 (.06)	06 (.06)	
			, , ,	
Child Behavioral Checklist (parental)				
Nightmare	.47 (.10)***	.44 (.10)***	.16 (.07)*	
COA	.14 (.07)	01 (.07)	04 (.06)	
Nightmare X COA	30 (.13)*	32 (.13)*		
Overtired	.04 (.10)	.26 (.09)**	.35 (.09)***	
COA	.03 (.07)	14 (.06)*	09 (.06)	
Sleeps less than most children	.18 (.08)*	.18 (.08)*	.16 (.08)*	
COA	.02 (.07)	12 (.06)	05 (.07)	
Sleeps more than most children	.09 (.12)	17 (.18)	35 (.18)	
COA	.03 (.07)	15 (.06)*	11 (.06)	
Sleeps more X COA		.59 (.22)**	.81 (.22)***	
		,		
Trouble sleeping	.26 (.07)***	.29 (.06)***	.19 (.07)**	
COA	.03 (.06)	12 (.06) <sup>a</sup>	05 (.06)	

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Notes. Multiple regression using sleep items & COA status to predict internalizing problems. Numbers are unstandardized betas and standard errors. Interaction terms are included if they are statistically significant. a p = .05, \* p < .05, \*\* p < .01, \*\*\* p < .001. TST = Total sleep time; SOL = Sleep onset latency; SE = Efficiency or Total time sleep/ Total time in bed; WASO = Wake time after sleep onset.

	Rule-breaking	Aggression	Impulsivity
<u>Actigraphy</u>			
TST	003 (.002)*	.00 (.001)	004 (.002)
COA	-1.97 (.77)*	.04 (.08)	-1.66 (.88)
TST X COA	.005 (.002)**		.004 (.002) <sup>a</sup>
			. ,
SOL	.00 (.001)	.001 (.001)	.001 (.001)
COA	.11 (.06)	.04 (.08)	.07 (.07)
			. ,
Efficiency	.004 (.003)	002 (.004)	001 (.003)
COA	.12 (.07)	.04 (.08)	.07 (.07)
WASO	.00 (.002)	.001 (.002)	.002 (.002)
COA	.11 (.06)	.04 (.08)	.07 (.07)
Pediatric Sleep Questionnaire (parental)			
Sleep difficulties	.17 (.06)**	.16 (.07)*	.16 (.06)*
COA	.09 (.06)	.02 (.07)	.04 (.07)
Sleepiness	.14 (.06)*	.08 (.07)	.10 (.06)
COA	.10 (.06)	.03 (.08)	.04 (.07)
Child Behavioral Checklist (parental)			
Nightmare	.15 (.06)*	.18 (.07)*	.24 (.06)***
COA	.11 (.06)	.03 (.07)	.06 (.06)
Overtired	.11 (.09)	.14 (.11)	.22 (.09)*
COA	.09 (.06)	.02 (.08)	.01 (.07)
Sleeps less than most children	.08 (.07)	.43 (.18)*	.14 (.08)
COA	.10 (.06)	.10 (.08)	.06 (.07)
Sleeps less X COA		42 (.20)*	
Sleeps more than most children	22 (.18)	.23 (.13)	.12 (.12)
COA	.08 (.06)	.04 (.07)	.05 (.07)
Sleeps more X COA	.43 (.22)*		
Trouble sleeping	.16 (.06)*	.25 (.08)**	.23 (.07)**
COA	.10 (.06)	.03 (.07)	.07 (.06)

Notes. Multiple regression using sleep items & COA status to predict externalizing problems. Numbers are unstandardized betas and standard errors. Interaction terms are included if they are statistically significant. a p = .05, \* p < .05, \*\* p < .01, \*\*\* p < .001. TST = Total sleep time; SOL = Sleep onset latency; SE = Efficiency or Total time sleep/ Total time in bed; WASO = Wake time after sleep onset.



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Figure 4. Standardized factor log dings i gft and gate lations among behavioral measures. Note. Numbers listed are standardized betas and correlations. \*\*\*p <.001. Model fit:  $\chi^2(4)=2.25$ , p=.69, CFI=1.00, TLI=1.03, RMSEA=.00

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Figure 5. Relationships between sleep measures and internalizing problems This article is protected by copyright. All rights reserved. Note. Numbers listed are standardized betas and correlations. \*\*\*p <.001. Dotted lines are nonsignificant paths. Model fit:  $\chi^2(51)=67.98$ , p=.06, CFI=.95, TLI=.94, RMSEA=.04 acer\_13585\_f6.pptx



Figure 6. Relationships betweeny sloppine as urges and externalizing problems. Note. Numbers listed are standardized betas and correlations. \*\*\*p <.001. Dotted lines are non-significant paths. Model fit:  $\chi^2(41)=45.98$ , p=.27, CFI=.99, TLI=.98, RMSEA=.03