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Molecular Chaperones: From Proteostasis to Pathogenesis

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ABSTRACT

Maintaining protein homeostasis (proteostasis) is essential for a functional proteome. A wide range of extrinsic and intrinsic factors perturb proteostasis, causing protein misfolding, misassembly and aggregation. This compromises cellular integrity and leads to aging and disease, including neurodegeneration and cancer. At the cellular level, protein aggregation is counteracted by powerful mechanisms comprising of a cascade of enzymes and chaperones that operate in a coordinated multistep manner to sense, prevent and/or dispose of aberrant proteins. Although these processes are well understood for soluble proteins, there is a major gap in our understanding of how cells handle misfolded or aggregated membrane proteins. This article provides an overview of cellular proteostasis with emphasis on membrane protein substrates and suggests host-virus interaction as a tool to clarify outstanding questions in proteostasis.

Author Man

1 INTRODUCTION

2 Protein biogenesis is a highly complex and error-prone process. Cells maintain 3 protein homeostasis via evolutionarily conserved protective mechanism called 4 protein quality control (PQC), involving extensive chaperones and degradative 5 pathways. When PQC encounters misfolded protein it is either repaired or disposed via the ubiquitin proteasomal system [1]. When this guality control fails, proteins can 6 7 clump to form aggregates, which then undergo autophagic degradation [2]. Although 8 vast amount of information regarding the cellular mechanism of soluble protein quality control is available, membrane proteins PQC process is poorly understood, 9 especially in the context of how quality control factors coordinate to rectify the 10 misfolded or aggregated membrane protein problem. 11

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Viruses are outstanding tools to break new grounds in cell biology and disease 13 mechanisms. In order to replicate and propagate, viruses are highly dependent on 14 their host and they achieve this by hijacking host factors called 'cues'. Cues are 15 receptors, enzymes or chemicals, which directly or indirectly promote different 16 stages of virus infection. The viruses, on the other hand trick these cues by either 17 18 tuning or reprogramming their cellular role [3]. Detailed understanding of these cues have paved way for the development of crucial anti-viral targets and also helped us 19 20 understand the basic cellular processes [4]. Below I will discuss our current knowledge and outstanding issues on proteostasis by comparing aberrant soluble 21 22 versus membrane protein substrates, and also provide examples of host-virus interaction as a new strategy to tackle these issues. 23

24

25 **PROTEOSTASIS**

26 Aberrant soluble proteins: Recognition, correction, and/or degradation

27 Nascent proteins are highly unstable and tend to misfold and/or entangle due to their chemical and physical properties [5]. PQC pathway deploys powerful molecular 28 chaperones that recognize and triage misfolded clients (Figure 1, Step 1). Different 29 chaperones possess distinct modes of substrate recognition that determine their 30 substrate range and specificity [6]. Among them the ubiquitous 70 kDa heat shock 31 protein (Hsp70) family of chaperones is shown to be associated with plethora of 32 misfolded and aggregated substrates, possibly selecting their targets for 33 proteasomal or autophagy degradation. The Hsp70's activity, in turn, is regulated by 34

a number of co-factors and co-chaperones, together functioning as a 'machine' [7].
For instance, J-proteins prime the Hsp70's folding property by selecting and
supplying the substrate to Hsp70 and also stimulate the Hsp70's ATPase activity,
whereas nucleotide exchange factors (NEF) promote the exchange of ADP with
ATP, to accelerate the cyclic reaction [8]. However, the identity of these machineries
and its components can vary for different clients.

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Besides recognizing and selecting the misfolded proteins, chaperones and 42 associated factors also promote refolding, prevent aggregation or triage these 43 targets for degradation (Figure 1, Step 2). For instance, the ATP-dependent refolding 44 by chaperone binding and release involving Hsp70, a J-protein, and a NEF is well 45 defined for several soluble proteins [7]. Among them the mostly widely understood 46 are the model substrates processed in the Endoplasmic Reticulum (ER) lumen. The 47 cellular organelle ER is the most crowed environment in the cell performing diverse 48 cellular roles. Any dysfunction in the ER activity leads to accumulation of misfolded 49 and/or unfolded proteins. Cells maintain ER proteostasis by deploying diverse array 50 of ER-resident chaperones and enzymes, which process their client by correcting or 51 52 priming them to degradative pathways. For example, in the case of misfolded secretory protein carboxypeptidase mutant CPY* and non-glycosylated pro-α-factor, 53 54 the ER lumen Hsp70 called binding immunoglobulin protein (BiP) and its associated co-chaperones efficiently process the misfolded proteins for ER-associated 55 56 degradation (ERAD) (Figure 1, Step 3 & 4) [9]. Similarly, ERAD of terminally misfolded a1-antitrypsin variant null Hong Kong and transthyretin mutant D18G are 57 handled by BiP and a NEF, 170 kDa glucose regulated protein (Grp170) [10] and 58 59 processed by degradation pathways.

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In case of aging diseases, when the above PQC system fails to repair or destroy 61 severely damaged proteins, they tend to aggregate (Figure 1, Step 5) causing 62 diseases, such as Amyotrophic lateral sclerosis, Parkinson's disease, Huntington's 63 disease, Alzheimer's disease, type II Diabetes, etc. In most instances, the aggregate 64 also recruits bystanders such as intermediately folded, and correctly folded species 65 causing cytotoxicity and cell death [11]. Cells counteract these aggregates by 66 sequestering them in special cytoplasmic quality control compartment (Figure 1, 67 Step 6) for refolding or autophagic degradation (Figure 1, Step 7) [12]. Partitioning of 68

misfolded proteins into compartments is an organized process that appears to be conserved from yeast to mammalian cells. Distinct compartments with specific characteristics have been observed, including 'aggresome' co-localizing with microtubule organizing center, 'perinuclear inclusion' that co-stain with ER markers, and 'insoluble inclusion' co-localizing with autophagic markers [13]. These structures serve several purposes, such as in concentrating toxic species, thereby reducing substrate burden on quality control systems, and orchestrating efficient repair.

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For instance, in yeast, specific quality control compartments are reported to possess 77 Hsp104 disaggregase activity. Although metazoans lack Hsp104 homolog, several 78 79 recent reports have demonstrated the existence of a mammalian Hsp110-dependent disaggregase activity [14]. For example, Hsp110 is shown to stabilize Apolipoprotein 80 from undergoing ERAD [15]; Hsp70 has been demonstrated to be transiently 81 associated with polyQ protein aggregates, raising the possibility that it may be 82 involved in disaggregating polyQ aggregates [16]. Similarly, over-expression of 83 several Hsp40 family proteins along with Hsp70 has been shown to prevent 84 accumulation of polyQ ataxia-1/3 in inclusions [17]. In the ER lumen, BiP prevents 85 86 aggregation of a misfolded client by binding to its exposed hydrophobic patches until the client is delivered to the ERAD machinery [18]. Despite these findings, the 87 88 normal cellular function of this machinery is poorly characterized, especially in the context of protein quality control. 89

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91 Aberrant membrane proteins: Recognition, correction, and/or degradation

All membrane proteins are synthesized in the ER and they comprise one-third of the 92 93 human proteome. Synthesis of the membrane proteins is a highly complex and error 94 prone process, which includes insertion of membrane domain into the bilayer and organizing domains on either side of the membrane. Unsurprisingly, due to its 95 complex organization, error in membrane protein synthesis, assembly and delivery is 96 associated with several diseases such as cystic fibrosis, retinitis pigmentosa, 97 nephrogenic diabetes insipidus, hypogonadotropic hypogonadism, hypocalciuric 98 hypercalcemia, etc. Cells counteract this problem by deploying powerful PQC 99 machineries analogous to soluble proteins with overlapping components and 100 mechanisms. For instance, the ER-resident ATP-dependent quality control involving 101 Hsp70/BiP, a J-protein, and a NEF is defined for several membrane protein clients, 102

103 such as rhodopsin [19], surfactant C [20], cystic fibrosis transmembrane conductance regulator (CFTR), etc. In the case of Δ F508 mutant of CFTR and 104 105 gonadotropin-releasing hormone receptor, the ER membrane chaperone BAP31 [21] 106 and DnaJ B12 [22] associate with their respective clients and promote its 107 retrotranslocation and clearance from the ER. Similarly, in the cytosol, several proteostasis factors, such as Hsp70, Hsc70, Hsp90 and CHIP E3 ligase, are shown 108 109 to promote PQC of Niemann-Pick disease type C-2 [23]. These aforementioned examples demonstrate the interplay of PQC components for their specific clients. 110

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Another key question is how the protein quality control deals with the aggregated 112 membrane proteins. Similar to soluble PQC compartments, increasing evidence 113 indicates the existence of quality control structures for membrane proteins [24], but 114 the formation and composition of these structures are poorly characterized. Recent 115 studies have implicated requirement of certain PQC factors for the formation of these 116 structures, including chaperones (Hsp70, DnaJB, Bag3), molecular motors, 117 microtubules and microtubule-associated factors (histone deacetylase; HDAC6) [25, 118 26]. However, the basic formation mechanism of these structures is vague. 119 120 Moreover, the manners in which substrates are recognized and targeted to aggresomes leading to autophagy are not known. Recent studies have supported 121 122 the notion of ER membrane chaperones playing pivotal role in recognition and fate of aberrant clients. For instance, membrane localized J-protein B12 along with cytosolic 123 124 Hsp70 is reported as a potential factor for membrane client recognition [27, 28]. Another Hsp70 co-chaperones Bag3 was also reported to be involved in targeting 125 126 misfolded client to the quality control sites for further processing [26]. Also an 127 unbiased RNAi screening analysis towards aggresome substrate (synphilin-1) has 128 identified RuvbL proteins as aggresome forming proteins with disaggregase activity [29]. In addition, little is understood about the underlying mechanism of 129 retrotranslocation of membrane clients during ERAD, with several groups suggesting 130 direct interplay of membrane channels Hrd1 and Derlin-1 in client selection and 131 retrotranslocation [30, 31]. 132

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134 **PROTEOSTASIS AND VIRUSES**

135 Viruses hijack host factors called 'cues' by either exploiting their cellular role or 136 modify to facilitate specific function [3]. Several viruses trick host PQC factors into

performing novel functions to support infection, which in turn has helped us to learn about the function and molecular mechanism of these host factors. It is well established that viruses exploit host PQC factors for many aspects of their life cycle [4], including entry, replication and assembly (see Table 1). A detailed overview of viruses, which use different steps of proteostasis during infection (as shown in Figure 2), is discussed below.

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144 **Proteostasis cues in virus entry**

In the case of non-enveloped viruses host entry and genome delivery is poorly 145 characterized. Polyomavirus family is the most studied non-enveloped virus whose 146 147 host entry is well understood. During entry, the virus reaches the ER from the cell surface and co-opts ERAD factors to reach cytosol. Specifically, the PDI family of 148 enzymes reduces and isomerizes the viral disulphide bonds that often expose 149 hydrophobic epitopes [32, 33]. These changes partially disassemble the virus and 150 the particle now mimics a giant misfolded protein aggregate, which now recruits 151 Hsp70 homologue BiP and its luminal co-chaperones [34, 35]. The restructured, 152 hydrophobic virus is primed for membrane penetration, by exploiting molecular motor 153 154 kinesin-1 to drive the reorganization of ER membrane chaperone B14 to form the 155 virus membrane penetration site, called 'focus' [36]. The focus-localized virus is then 156 extracted from the membrane by a B14-tethered cytosolic disaggregation machinery (B14, Hsc70 and Hsp110), consequently reaching the cytosol [37]. In summary, 157 158 studies on polyomavirus have unraveled the interplay of host PQC components in the ER lumen, ER membrane and the cytosol. 159

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A similar mechanism has been proposed for the entry and disassembly of human papillomavirus (HPV). For instance, several studies have proposed HPV reaching ER during host entry and utilizing ER-resident PDI family proteins [38]. In addition, Hsp70 chaperone system has been demonstrated to disassemble HPV *in vitro*, a mechanism similar to disassembly of polyomavirus [39]. But a detailed mechanistic understanding of the host membrane penetration and virus disassembly in HPV infection is poorly understood.

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169 Another well-characterized example of host quality control machinery being utilized 170 by a virus to promote its disassembly is Influenza A virus (IAV), an enveloped DNA 171 virus. During host entry, the IAV capsid released from late endosome mimics as misfolded protein aggregate by carrying unanchored ubiquitin chains that activates 172 histone deacetylase 6 (HDAC6) to recruit cytoskeleton motors that generate 173 174 opposing physical forces to break apart the capsid and disassemble the virus [40]. Another example of an enveloped virus taking advantage of host PQC factors is in 175 the case of Vaccinia virus (VACV), the prototypic poxvirus. VACV has evolved a 176 complex multi-step core disassembly and genome release process due to its shape 177 and structure. After "core activation", host proteasome activity is required for core 178 degradation and genome release [41]. Overall, the examples illustrated above 179 180 demonstrate how viruses hijack host protein quality control machinery and tweak 181 them to promote virus entry and disassembly. Nonetheless, studies on these viruses have demonstrated the key components of the aggresome formation and 182 disassembly machinery and also provided a broad understanding of host 183 184 components and cellular processes.

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186 **Proteostasis cues in virus replication, assembly and egress**

187 Post-entry into the host cell, viral genome is transcribed and translated to promote virus replication and assembly and for all viruses this step depends entirely on the 188 189 host proteostasis machinery. Numerous viruses exploit host PQC factors to build site 190 of replication and promote assembly. Several members of *Flaviviridae* family are reported to indirectly utilize ER membrane chaperones to build and sustain their 191 replication site. For instance, during Hepatitis C virus (HCV) replication, virus 192 induces ER-membrane rearrangement to form of a viral replication factory. Although, 193 several chaperones (Hsp70, Hsp90 and calnexin) are implicated to play a role in 194 virus replication, the exact composition and mechanism of replication factory 195 196 formation is poorly defined and proposed to be closely related to PQC [42]. Similarly, 197 recent study on Dengue virus (DENV) has illuminated the requirement of Hsp70 chaperone network that are required at distinct steps of the viral cycle, including 198 199 entry, RNA replication, and virion biogenesis. More importantly, the role of Hsp70 at 200 each step is specified by nine distinct DNAJ cofactors [43]. Of these, DnaJB11 201 relocalizes to virus-induced replication complex, while DnaJB6 facilitates virion biogenesis. Studies on recently emerged Zika virus (ZIKV) has demonstrated 202 widespread remodeling of intracellular membrane and formation of cytoplasmic 203

vacuoles. Several ER and cytosolic chaperones are implicated in formation of these
vacuoles, but a thorough understanding is needed to reveal the importance and
formation of these vacuoles [44]. Globally, studies on flaviviruses have provided vital
information on the membrane remodeling and role of chaperones during ER
membrane derived compartment formations.

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210 Another instance of PQC factors that are subverted to promote virus infection is for Herpes Simplex virus (HSV)-1, an enveloped DNA virus. It has been proposed that 211 the virus-induced replication compartment is enriched in chaperones such as Hsc70, 212 Hsp90, Bag3 and proteosomes, which perhaps remodel viral replication and 213 regulatory proteins to promote HSV-1 replication [45]. Although the virus-induced 214 replication compartments have traces of PQC compartments, they vary in their 215 protein composition and especially how they are built. Nevertheless, studies on HSV-216 1, similar to flaviviruses, have provided key information on formation, maintenance 217 and functioning of the PQC compartments. 218

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In the case of enveloped RNA rotavirus, the final assembly of the viral particle takes 220 221 place in the ER [46], where ER-resident chaperones Grp78, PDI, calnexin, and 222 calreticulin are reported to promote morphogenesis of the viral particle. Specifically, 223 these chaperones promote accurate trimming of the glycan chains on VP7 and NSP4, the correct formation of VP7 disulfide bonds, and the incorporation of properly 224 225 folded VP7 into assembled rotavirus [47]. Overall, studies on rotavirus assembly and morphogenesis have provided vital information on the interplay of chaperones and 226 227 protein homeostasis in the ER. In conclusion, the aforementioned example of viruses 228 utilizing PQC factors as cues during infection has provided a broad understanding of 229 host proteostasis mechanism.

230

231 FUTURE PERSPECTIVE

Long-term research should focus on studying the quality control compartment for membrane protein aggregates. The key outstanding question is to understand the mechanism of membrane substrate recognition by the chaperone system. Specifically, pinpointing the identity of ER luminal, membrane, and cytosolic factors for a specific misfolded membrane client is vital. It is also important to clarify how misfolded/aggregated membrane substrates are refolded and sequestered and if not,

238 how they are disaggregated and targeted towards degradation pathways. Studies on virus should guide our understanding of how aggregated membrane proteins are 239 processed from the cell in order to maintain cellular proteostasis and understanding 240 how proteostasis pathways are affected in the cells infected with viruses. Some of 241 the experimental approaches should focus on unbiased proteomic analysis for 242 specific membrane protein substrates to identify target PQC components. These 243 244 targets should be further validated with gain and/or loss of function studies. From the virus perspective, the identities of the host quality control factors that influence the 245 246 formation of virus-induced structures and also understanding how host proteostasis is impacted by formation of these structures are vital. 247

248

Currently several therapeutic options are explored for protein misfolding related 249 250 diseases, specifically targeting prevention, refolding, and degradation pathways [48]. Future research should be directed towards unlocking further secrets of cellular 251 protein homeostasis in conjunction with virus infection and provide therapeutic 252 targets to combat diseases caused by these toxic agents, and to illuminate novel 253 254 cellular mechanisms. For instance, these insights should help us develop molecular 255 and pharmacological chaperones to prevent formation of protein aggregates thereby 256 delaying the onset of misfolded protein-associated diseases or even develop anti-257 viral agents. An allosteric Hsp70 inhibitor, JG40, has been shown to potently block infection of different Flaviviruses (Dengue, yellow fever, West Nile and Japanese 258 259 encephalitis viruses) without exerting toxicity to the host cells [43]. Thus, targeting host chaperone networks should provide a path for broad-spectrum antivirals. 260

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265

266 CONFLICT OF INTERESTS

267 The author declares no conflict of interests.

268 FIGURE LEGEND

269

Figure 1. The fate of aberrant proteins. Aberrant soluble or membrane proteins (brown) are recognized (1) by chaperones (green and magenta) and promote its

- refolding (2). When proteins misfolded it is then extracted (3) into the cytosol and degraded (4) by proteasomal machinery. When proteins aggregate (5), it is then sequestered (6) into quality control compartments and degraded (7) by autolysosomal pathway.
- 276

Figure 2. Viruses hijacking proteostasis components. List of viruses using different steps of proteostasis during infection.

Table 1. List of viruses exploiting proteostasis pathways

an la

Family	Classification	Strain	Mechanisms of PQC factor exploitation
Entry and disassembly			
Polyomaviridae	Non-enveloped DNA	Simian vacuolating virus 40	PDI family members isomerizes VP1 disulphide bonds [34];
			Cytosolic disaggregase machinery disassemble the virus [37]
Polyomaviridae	Non-enveloped DNA	Murine polyomavirus	PDI family members isomerizes VP1 disulphide bonds [32, 33, 38, 49]
Polyomaviridae	Non-enveloped DNA	BK virus	PDI family isomerizes VP1 pentamer disulphide bonds [50, 51]
Papillomaviridae	Non-enveloped DNA	Human papillomavirus 16	Cytosolic and ER chaperones promote capsid disassembly [39, 52]
Poxviridae	Enveloped DNA	Vaccinia virus	Host proteasome promotes mechanical core uncoating [41, 53]
Orthomyxoviridae	Enveloped DNA	Influenza virus	Hijacks host aggresome and disassembly machinery [40]
Parvoviridae	Non-enveloped DNA	Adeno-associated virus 2/8	Ubiquitin-proteasome pathways is involved in uncoating [54, 55]
Flaviviridae	Enveloped RNA	Dengue virus	Hsp70 chaperone and co-chaperone promote entry [43]
Replication, assembly and morphogenesis			
Flaviviridae	Enveloped RNA	Hepatitis C virus	Replication site is enriched in chaperones of unknown function [42]
Flaviviridae	Enveloped RNA	Dengue virus	Chaperone form replication site and promote virion biogenesis [43]
Flaviviridae	Enveloped RNA	Zika virus	ER and cytosolic chaperones build virus replication compartment [44]
Herpesviridae	Enveloped DNA	Herpes simplex virus 1	Virus-induced chaperone enriched domain promotes infection [45]
Herpesviridae	Enveloped DNA	Varicella-zoster virus	Hsc70, Hsp90 and BAG3 facilitates virus replication [56]
Herpesviridae	Enveloped DNA	Hepatitis E virus	ERAD pathway to retrotranslocate ORF2 to the cytosol [57]
Reoviridae	Enveloped RNA	Rotavirus	ER-resident chaperones promote viral morphogenesis [47]
Coronaviridae	Enveloped RNA	SARS coronavirus	ERAD tuning vesicle-like structures serves as replication site [58, 59]
Coronaviridae	Enveloped RNA	Mouse hepatitis virus	ERAD tuning vesicle-like structures serves as replication site [58, 59]
Retroviridae	Enveloped DNA	Mouse mammary tumor virus	Viral protein Rem is processed in the ER and retrotranslocated [60]
Parvoviridae	Non-enveloped DNA	Minute virus of Mice	Ubiquitin-proteasome pathway and motor proteins are important
Parvoviridae	Non-enveloped DNA	Canine parvovirus	Ubiquitin-proteasome pathway and motor proteins are important

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