

1 **Longitudinal versus Lateral Estuarine Dynamics and Their Role**
2 **in Tidal Stratification Patterns in Lower South San Francisco**
3 **Bay**

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9 **Key Points:**

- 10 • Vertical stratification in shoal-channel estuary characterized by strong intratidal
11 variability
- 12 • Lateral circulation is key driver of intratidal stratification dynamics at tide transi-
13 tions
- 14 • Timing and magnitude of longitudinal straining and advection, lateral straining and
15 advection set intratidal vertical stratification dynamics

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Abstract

The dynamics of shoal-channel estuaries require consideration of lateral gradients and transport, which can create significant intratidal variability in stratification and circulation. When the shoal-channel system is strongly coupled by tidal exchange with mudflats, marshes or other habitats, the gradients driving intratidal stratification variations are expected to intensify. To examine this dynamic, hydrodynamic data was collected from January 27, 2017 - February 10, 2017 in Lower South San Francisco Bay, a small subembayment fringed by extensive shallow vegetated habitats. During this deployment, salinity variations were captured through instrumentation of 6 stations (arrayed longitudinally and laterally) allowing for mechanisms of stratification creation and destruction to be calculated directly and compared with observed time variability of stratification at the central station. We present observation-based calculations of longitudinal straining, longitudinal advection, lateral straining, and lateral advection. The time dependence of stratification was observed directly and calculated by summing measured longitudinal and lateral mechanisms.

We found that the stratification dynamics switch between being longitudinally dominated during the middle of ebb and flood tides to being laterally dominated during the tidal transitions. This variability is driven by the interplay between tidally-variable lateral density gradients and turbulent mixing. Relatively constant along-estuary density gradients are differentially advected during flood and ebb tides, resulting in maximal lateral density gradients around tidal transitions. Simultaneous decrease in turbulent mixing at slack tides allows lateral density-driven exchange to stratify the estuary channel at the slack after flood. At the end of ebb, barotropic forcing drives negatively buoyant shoal waters towards the channel.

Plain Language Summary

San Francisco Bay sits within a highly urbanized area. The dense population creates large wastewater effluent resulting in high nutrient levels. Scientists wonder why there have not been annual phytoplankton blooms like observed in other estuaries with lower nutrient levels. Some have hypothesized it is due to high turbidity levels and tidal breakdown of stratification creating nonideal environments for phytoplankton growth. However, decadal-trends show that the estuary is becoming less turbid, and with changes in climate patterns, there is potential for persistent stratification.

We observed development of stratification over the ebb tide and destratification in two distinct events as the tide reverses over the flood tide. At the reversal of the tides, water in the shoals exchange with the water in the channel creating a pulse of salty water to the channel at the ebb to flood transition and a pulse of fresh water at the flood to the ebb transition. Destratification occurs in the early flood tide due to a pulse of saline water received from the shoals then due to the advection of less stratified water being pulled to the center channel of the estuary. Finally, stratification is destroyed completely due to longitudinal straining and turbulent mixing.

1 Introduction

The dynamics of estuaries are governed by the interaction of freshwater buoyancy inputs, tides, and turbulent mixing produced by the tidal forcing [Geyer and MacCready, 2014]. The balance between these processes establish the strength and variability of vertical mixing, stratification, lateral circulation and transport. Each of these physical components influences the estuarine ecosystem, by defining vertical and lateral fluxes that exchange phytoplankton, oxygen, and nutrients between pelagic and near-benthic regions [Lucas *et al.*, 1999]. When the shoal-channel system is bounded by shallow vegetated

64 perimeter habitats, both physical and biological variability in the system may be enhanced
65 by the proximity of habitat variations.

66 Starting with Simpson (1990), the estuarine community has established the importance
67 of longitudinal straining to the creation and destruction of stratification and estuarine
68 circulation [Jay and Smith, 1990; Nepf and Geyer, 1996; Geyer et al., 2000; Scully and
69 Geyer, 2012]. Longitudinal gradients of salinity, usually created by buoyancy inputs from
70 specific freshwater sources, but also potentially from direct precipitation into perimeter
71 habitats and evaporation, are established and maintained to become key physical drivers
72 for local longitudinal circulation. The buoyant forcing in estuaries works to create stratifi-
73 cation and is opposed by turbulent mixing which works to homogenize the water column.
74 Simpson’s goal was to create a simple model that predicted the onset and break down of
75 stratification for regions with significant freshwater input. A simple longitudinal balance to
76 describe the competition between straining and mixing can be framed as:

$$77 \quad \frac{\partial}{\partial t} \frac{\partial S}{\partial z} + \underbrace{\frac{\partial u}{\partial z} \frac{\partial S}{\partial x}}_{\text{Longitudinal Straining}} = \underbrace{\frac{\partial}{\partial z} K \left(\frac{\partial^2 S}{\partial z^2} \right)}_{\text{Turbulent Mixing}} \quad (1)$$

78 During the ebb tide, if longitudinal gradients are sufficiently strong, straining overcomes
79 turbulent mixing to create stable stratification. Any stratification that exists at the end of
80 the ebb tide is gradually eliminated by the reversed straining during the flood tide, poten-
81 tially leading to unstratified conditions and “over-straining” to produce convective instabil-
82 ities [Nepf and Geyer, 1996]. The strain induced periodic stratification (SIPS) is asymmet-
83 ric between ebb and flood tides due to the contribution of turbulent mixing (right side of
84 (1)), which is always acting to reduce stratification. This asymmetry in stratification also
85 feeds back into the turbulence and strengthens the ebb-flood asymmetry in mixing, with
86 a more constrained near-bottom turbulent boundary layer on ebbs and more energetic and
87 extensive mixing on the floods.

88 A scaling of this competition between straining and mixing, which determines the
89 degree to which periodic stratification can develop, results in the Simpson number:

$$90 \quad Si = \frac{g\beta \frac{\partial S}{\partial x} H^2}{u_*^2} \quad (2)$$

91 where β is the coefficient of saline contractivity, H represents the local depth, and u_* is a
92 friction velocity based on tidal flows and forcing. For small values of Si , the longitudinal
93 density gradient is not strong enough to overcome turbulent mixing and the water column
94 remains unstratified throughout the tidal cycle; as Si increases, conditions will transition to
95 periodic, and eventually persistent, stratification of increasing magnitude.

96 The role of longitudinal straining in setting estuarine stratification and circulation
97 is now widely established, but recent work has expanded consideration to the role of lat-
98 eral dynamics in defining estuarine stratification. Lateral effects on stratification have been
99 observed on a tidal time scale in North San Francisco Bay [Lacy et al., 2003], the Hud-
100 son River estuary [Scully and Geyer, 2012], and the German Wadden Sea [Becherer et al.,
101 2014]. These observations were found to deviate from the traditionally assumed longitu-
102 dinally driven tidal straining model developed by Simpson et al. 1990 proving the three-
103 dimensionality of estuarine systems and thus highlighting the importance of understanding
104 lateral transport processes. Observations in Northern San Francisco Bay found deviations
105 in stratification patterns from the classically explained longitudinally-strained SIPS con-
106 ditions occurred during low tidal energy periods when the tide transitioned. During tidal
107 phases with the largest tidal velocities in the channel, turbulence created a barrier pre-
108 venting lateral exchanges between the shoal and the channel [Lacy et al., 2003]. When the
109 turbulence decreased, lateral exchange was able to form, driven by baroclinic forcing.

110 The lateral velocity, v , is typically an order of magnitude smaller than the longitudi-
111 nal velocity, u [Lerczak and Geyer, 2004], and was therefore frequently neglected in anal-

ysis of estuarine stratification dynamics. However, if the lateral salinity gradient is large, lateral straining could become a significant contributor to the tidal pattern of stratification.

$$\frac{\partial}{\partial t} \frac{\partial S}{\partial z} + \underbrace{\frac{\partial u}{\partial z} \frac{\partial S}{\partial x}}_{\text{Longitudinal Straining}} + \underbrace{\frac{\partial v}{\partial z} \frac{\partial S}{\partial y}}_{\text{Lateral Straining}} = \underbrace{\frac{\partial}{\partial z} K \left(\frac{\partial^2 S}{\partial z^2} \right)}_{\text{Turbulent Mixing}} \quad (3)$$

Dynamically, we consider the structure and magnitude of the lateral density-driven flow based on a balance between the baroclinic pressure gradient and the vertical stress divergence, parameterized with a constant vertical viscosity. Including a constraint of mass conservation, and an associated compensating barotropic pressure gradient, this balance results in a bi-directional lateral velocity profile described by the following equation:

$$v(z) = \frac{1}{\nu_T} g \beta \frac{\partial S}{\partial y} \left(\frac{H z^2}{2} - \frac{z^3}{6} + \text{constant} \right) \quad (4)$$

where v is the lateral velocity at a given depth, z , ν_T is the turbulent viscosity that is scaled with the tidal velocity, g is the acceleration due to gravity, and y is in the lateral, cross-channel direction. As shown (4), when the turbulent viscosity is large, it inhibits the development of lateral exchange [Lacy *et al.*, 2003]. Therefore, lateral exchange is more likely to occur at the transition periods between the tides when the tidal velocity and turbulent mixing are at a minimum.

Lateral density gradients that drive lateral circulation could be created by direct perimeter inputs of freshwater or by differential advection of the longitudinal salinity gradient. Considering a single tidal component, the depth-averaged (tidal) velocity in the channel and shoal can be represented as:

$$u_{\text{channel}} = A \sin(\omega t), \quad u_{\text{shoal}} = a \sin(\omega t + \phi) \quad (5)$$

where A is the amplitude of the tidal velocity in the channel, a is the amplitude of the tidal velocity in the shoal and, based on the depth-difference, $A > a$ [Huzzey and Brubaker, 1988; Lerczak and Geyer, 2004]. To leading order, the tidal variability of depth-averaged salinity at a location is set by tidal advection of the longitudinal salinity gradient ($\frac{\partial S}{\partial t} = -u \frac{\partial S}{\partial x}$) such that we arrive at the following expression for the time variability of the lateral salinity gradient:

$$\frac{\partial S}{\partial y} = \frac{S_{\text{shoal}} - S_{\text{channel}}}{L_y} = \frac{\partial S}{\partial x} \frac{1}{\omega L_y} \cos(\omega t) (a - A) \quad (6)$$

where L_y is a representative lateral distance (width of the transition between channel and shoal).

The lateral shear in the longitudinal velocity causes lateral density gradients to be created over both the ebb and flood tides, but with opposite signs on each tidal phase. By the end of the ebb tide, the lateral shear in the longitudinal velocity results in a lateral density gradient in which the shoal density is greater than the density in the channel. During the flood tide, the reverse density gradient is created in which the shoals are fresher than the channel. This sets up a lateral density gradient that can drive a baroclinically driven lateral exchange [Lerczak and Geyer, 2004]. The goal of this paper is to determine the role of longitudinal and lateral dynamics in regulating vertical stratification dynamics in Lower South San Francisco Bay, a partially stratified estuary which has significant lateral density gradients. With observations of salinity gradients in the lateral and longitudinal direction, we will decompose tidal variability of the physical dynamics that creates and destroys stratification.

2 Methods

2.1 Site Description

San Francisco Bay is a meso-tidal estuary characterized by strong diurnal inequalities that vary with the spring-neap cycle. This paper focuses on a sub-estuary of San

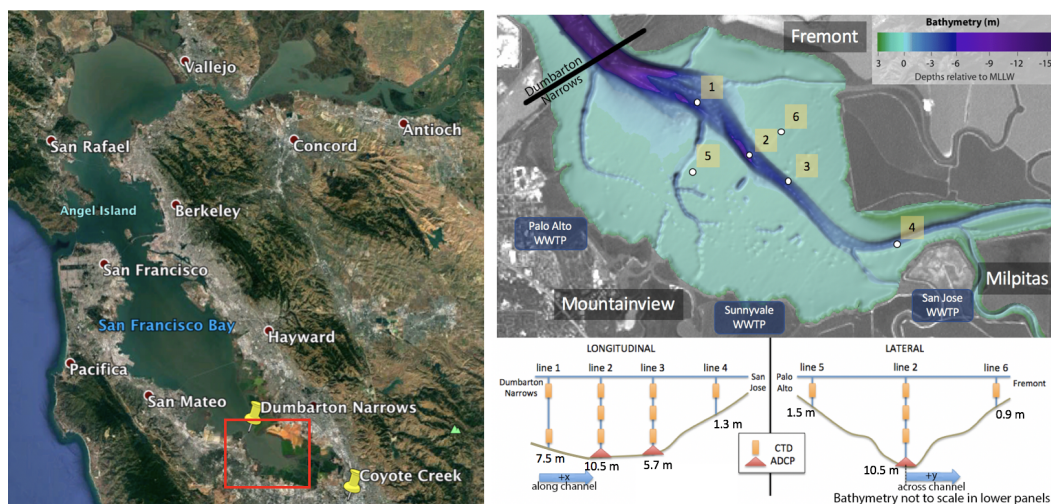


Figure 1: Bathymetry in Lower South San Francisco Bay consisting of a deeper, center channel in the Northwest to Southeast direction with broad, shallow shoals. White dots show where lines of CTD and ADCP's were placed for field deployment. Shades of purple correspond to -15 m MLLW, dark blue -6 m MLLW, light blue 0 m MLLW, and green 3 m MLLW. Positive x is defined in the southeast direction. Positive y is defined in the northeast direction. Line 2 is centrally located and lies at $y = 0$. See more details on mooring water depths in Table 1. Bathymetry from [Foxgrover *et al.*, 2007]

157 Francisco Bay, Lower South San Francisco Bay, which extends roughly 10 km landward
 158 from the Dumbarton Narrows to the head of the estuary in Coyote Creek. Figure 1 shows
 159 how the bathymetry consists of a central channel with broad shoals on either side extend-
 160 ing to perimeter marshes that are connected to the Bay through tidal sloughs. Freshwater
 161 from rainfall is typically observed from November to April with little to no rainfall inputs
 162 from May to October.

163 Observations were collected in Lower South San Francisco Bay (Lower SSFB) in order
 164 to observe how stratification is created or destroyed in an estuary that is strongly coupled
 165 with marsh habitats around its perimeter. Lower South San Francisco Bay sits within
 166 the urbanized and densely populated San Francisco Bay Area, and most of the freshwater
 167 flow into Lower SSFB is from wastewater returns, which bring with them high nutrient
 168 concentrations. Risks to future ecosystem conditions, and the role that nutrients may play
 169 in limiting or facilitating a transition to eutrophic conditions, have motivated a reconsid-
 170 eration of the dynamics of stratification in Lower SSFB. Recent evidence of decreasing
 171 turbidity reinforces concerns about threshold-like transitions in the system, particularly if
 172 stratification were to increase in strength or duration under future climate forcing [Cloern
 173 *et al.*, 2011; Schoellhamer, 2011]. The proximity of these shallow perimeter habitats to
 174 the central channel emphasizes the importance of both lateral and longitudinal gradients in
 175 velocity and salinity. The bathymetry of the embayment, and the structure of the perime-
 176 ter habitats, means the embayment has a tidal excursion on the same order of magnitude
 177 as the length of the estuary so that the center of the estuary will experience an influence
 178 from the perimeter within each tidal cycle, as well as from the Dumbarton Narrows to the
 179 north, which serves as the “mouth” for this sub-estuary.

Line	Latitude/Longitude		Average Depth Below Surface [m]			NAVD88 [m]	Water Depth [m]
			Top CTD	Middle CTD	Bottom CTD		
1	37.48775	-122.08939	2.4	-	7.3	-6.52	7.50
2	37.47754	-122.07643	2.7	6.7	9.8	-9.48	10.46
3	37.472	-122.06679	1.0	4.1	6.0	-4.68	5.66
4	37.45979	-122.03996	0.5	-	-	-0.35	1.33
5	37.47415	-122.09045	1.0	-	-	-0.47	1.45
6	37.48185	-122.06825	0.6	-	-	0.12	0.86

Table 1: Mooring Detailed Locations and Water Depths. Each CTD measures depth below the water surface. Here we display the average depth that the CTDs measured throughout the deployment. The column labeled NAVD88 provides the referenced depths from a Lower South Bay bathymetry dataset collected by the San Francisco Estuary Institute (SFEI) available on their ERDDAP website. The water depth at each mooring is estimated by taking the difference of the MSL at Alameda (0.98 m from NOAA Tides and Currents) from the NAVD88 bathymetry.

2.2 Equipment Deployed

In order to measure salinity gradients in the longitudinal, lateral, and vertical directions, a mesh of nine Ruskin RBR XR-420 CTDs and two Seabird SBE-37's were placed in various positions in all three dimensions. Locations of the lines are shown in Figure 1. Lines 1, 2, 3, and 4 were placed in the channel. Lines 5 and 6 were placed in the shallows, lateral to line 2. Line 1 has two CTD's attached at the top and bottom of the water column. Lines 2 and 3 have a top, middle, and bottom CTD. Line 4 has one CTD located near the surface of the water column. Lines 5 and 6 each have a Seabird attached at the surface of the water column. The RBRs and Seabirds measured conductivity, pressure (depth), and temperature, and calculated salinity, at one minute intervals. The RBR XR-420 CTDs (Seabird SBE 37s) have a temperature accuracy of $\pm 0.002^\circ\text{C}$ ($\pm 0.002^\circ\text{C}$) and pressure accuracy of 0.05% (0.1%) [Ruskin; Seabird Scientific].

Two Teledyne RD Instruments (RDI) 1200 kHz Workhorse Monitor Acoustic Doppler Current Profilers (ADCP) were deployed at locations 2 and 3, and were tethered to the CTD lines by a bottom cable. The moored ADCPs were programmed to measure over a 12 meter water column with a vertical resolution of 0.25 meters with the first bin located 0.81 meters from the sea floor. The ADCPs, like the RBRs and Seabirds, collect ensemble averages every minute.

3 Overview of Conditions

3.1 Salinity and Stratification

Line 2 is the central line containing a top, middle, bottom CTD along with a moored ADCP. The deployment was slightly northeast of the center of the channel placing it closer to the east shoal, but protected from ship and fishing traffic.

Figure 2 displays the salinity measured from the top, middle, and bottom CTDs. The depth-averaged longitudinal velocity data from ADCP measurements were used to determine the start and end of each flood and ebb tide along with diurnal tidal asymmetries shown by hatching. Hatched regions are larger flood-ebb tides when the diurnal inequality is significant. Gray shaded regions are flood tides and white shaded regions are ebb tides. The precipitation in millimeters is shown at the bottom of Figure 2. Precipitation data was collected and distributed online by the California Irrigation Management Information System (CIMIS) [California Department of Water Resources]. There is no variation in temperature in the water column, and there is minimal temperature variation over the

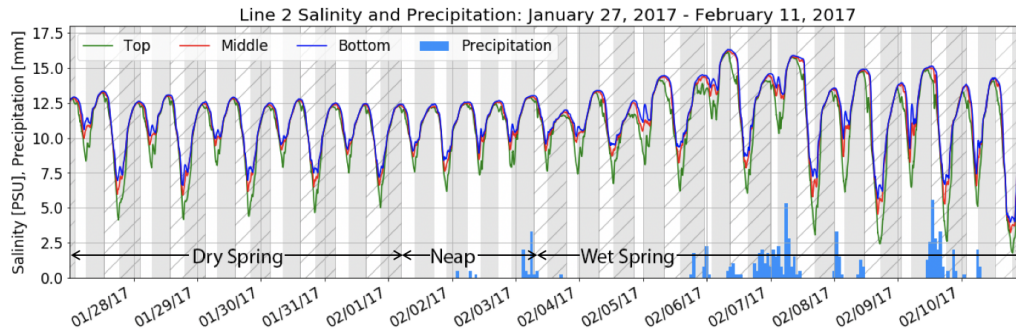


Figure 2: Salinity [PSU] and precipitation [mm] plot. Precipitation data from the California Irrigation Management Information System (CIMIS) station in Union City [California Department of Water Resources]. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry. See Figure 6 for the longitudinal velocity time series clarifying shading and hatching periods.

212 time frame of the deployment. As a result, and in addition because of the smaller relative
 213 effect of temperature compared to salinity, density dependence on temperature is weaker
 214 than the dependence on salinity. The closest station to Lower South San Francisco Bay is
 215 located in Union City which is located about 13 kilometers from Line 2. There are three
 216 distinct conditions captured in the deployment. The first window, from January 27, 2017
 217 to February 1, 2017 is a tidally energetic spring tide with distinct diurnal tidal asymmetry
 218 and limited precipitation. From February 1-3, 2017 is a neap tide with no tidal asymmetry
 219 and little to no precipitation. Finally, the last window from February 3-11, 2017 is an-
 220 other spring tide but with a series of significant precipitation events. Throughout the entire
 221 record, the typical tidal advective pattern is evident, with the water column freshening on
 222 ebbs and becoming more saline on floods. The range of salinity seen in a tidal cycle is
 223 roughly proportional to the magnitude of velocity in a particular tidal phase, which sug-
 224 gests that the dominant factor in the bulk variation of salinity is longitudinal tidal advec-
 225 tion (Figure 2, 4a).

226 The vertical stratification of salinity (Figure 3) has more complex tidal variability.
 227 In Figure 3 we see stratification beginning to develop before the tidal transition from ebb
 228 to flood, which is consistent with SIPS [Simpson *et al.*, 1990; Jay and Smith, 1990; Nepf
 229 and Geyer, 1996; Geyer *et al.*, 2000; Scully and Geyer, 2012]. As a result, when the tide
 230 begins to turn at the end of the ebb tide, the water column is stratified, creating a vertical
 231 time lag in the reversal of the tidal flows. This results in strong water column shear during
 232 the transition from ebb to flood that causes the stratification to continue to intensify during
 233 this period. While this dynamic is, in general terms, consistent with dominance by longi-
 234 tudinal straining, the details of the intra-tidal variability of stratification show much more
 235 structure and variability than would be expected purely from SIPS. Specifically, stratifi-
 236 cation events associated with each slack tide are evident throughout most of the study
 237 period. At the end of each flood tide, the surface (top sensor) salinity drops, creating a
 238 short period of stratification (Figures 2 and 3). At the end of each ebb tide and into the
 239 beginning of the flood tide, there is another disruption in the typical longitudinally driven
 240 salinity pattern, this one is characterized by an increase in the salinities at all sensors, but
 241 with a time lag at the surface relative to the other sensors (Figure 2). The magnitude of
 242 this salinity feature ranges between 0.5 and 2 PSU. This salinity increase is too abrupt and
 243 tied to slack phasing to be longitudinal advection.

244 There is no asymmetry in the amplitude of the two flood and ebb tides in a given
 245 day during the neap tide that occurs around February 1-3, 2017 (Figure 6), but the longi-
 246 tudinal salinity gradient remains roughly constant relative to the first spring tide (Figure
 247 4). The result is that the minimum salinities within each tidal cycle are different during
 248 the neap (6-8 PSU) and the springs (large ebb: 4-6 PSU, small ebb: 8-10 PSU). Nonethe-
 249 less, the variation of stratification around the slack tides remains qualitatively similar to
 250 the first spring tide period: there is still a sudden drop in the top salinity at the end of the
 251 flood tide and an increase in the top, middle, and bottom salinities at the end of each ebb
 252 tide. During the second spring tide (February 3-11, 2017), precipitation and runoff creates
 253 increased salinity variability, although many of the same features that were evident in the
 254 stratification during the early parts of the dataset persist. In particular during this period,
 255 the top salinity deviates even more from the middle and bottom salinities at the end of the
 flood tide and into the beginning of the ebb tide.

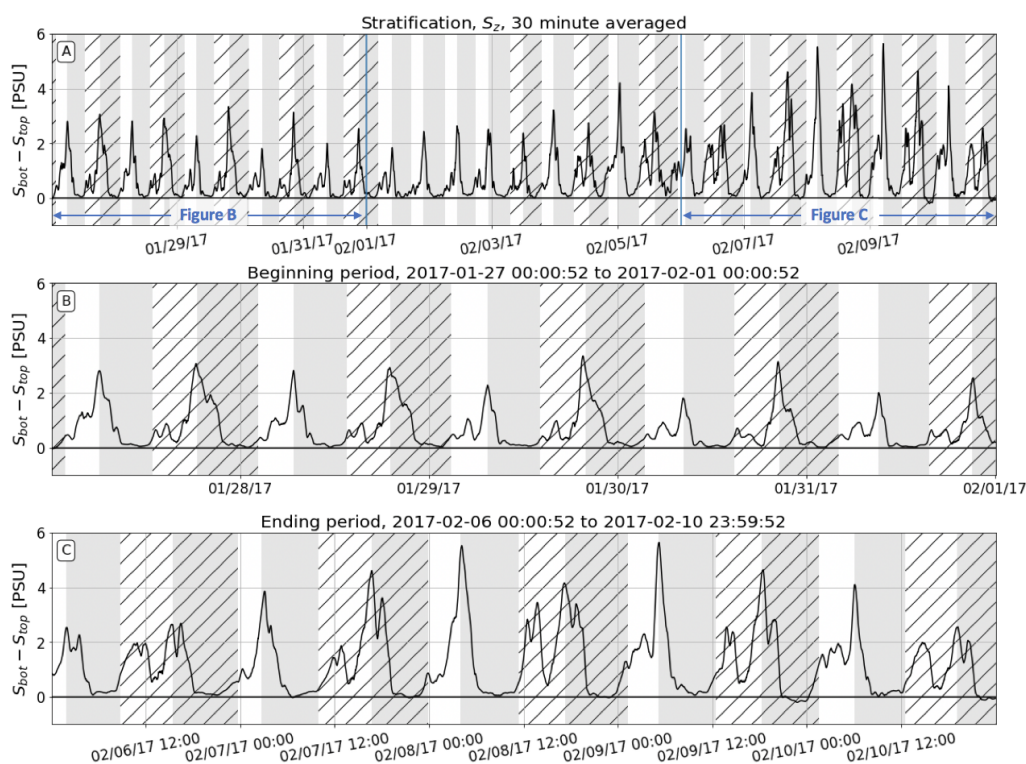


Figure 3: Stratification (S_z) shown in units of PSU, is calculated by taking the difference in measured salinities in the bottom and top CTDs on line 2. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

256

257 SIPS based on longitudinal straining predicts the largest stratification at the end
 258 of the ebb tide and well-mixed conditions at the end of the flood tide. In Figure 3 the
 259 general pattern of stratification shares many features with this basic pattern, with well-
 260 mixed conditions developing from mid to late flood, and stratification generally increasing
 261 through the ebb tides. The larger ebb tides tend to create stronger stratification events in
 262 the first weeks shown in Figure 3b, but this pattern is not as consistent in the latter part of
 263 the data set when there is higher buoyancy input to the system (Figure 3c). During most
 264 flood tides there is a total break down of the stratification that was developed over the ebb
 265 tide. There are a few instances in Figure 3c in which stratification is not eliminated dur-

266 ing the flood tide creating stratified water columns that persists over one or two days (i.e.
 267 February 6, 18:00 and February 8, 9:00). Further, the development of stratification initi-
 268 ates slightly earlier than traditional SIPS would predict, with stable conditions beginning
 269 to develop before the turn of the tide. Finally, we note that, in general terms, flood-ebb
 270 asymmetry of turbulent mixing accelerates destratification early in the flood tide leading
 271 to, on average, less stratified flood tides than ebb tides consistent with what's been seen
 272 in estuarine literature such as *Scully and Geyer, 2012, Geyer et al., 2000, Nepf and Geyer,*
 273 *1996.* Additionally, the influence of turbulent mixing is evident during the peak ebb tides,
 274 particularly during the wet period at the end of the record (Figure 3c), where stratifica-
 275 tion decreases during the mid-ebb, indicating that turbulent mixing is able to overcome the
 276 stabilizing influence of longitudinal straining.

277 In contrast to traditional SIPS dynamics, during both the dry spring tide and the
 278 wet spring tide, stratification begins to develop at the end of the flood tide and continues
 279 to grow over the ebb tide. We can also see that the destruction of stratification over the
 280 flood tide is not gradual like we would expect if it were longitudinally-driven. The destrati-
 281 fication process occurs in two or three separate instances at the beginning of the flood
 282 tide and then the water column is completely destratified by mid-flood. This complexity
 283 associated with the turning of the tide from ebb to flood and from flood to ebb suggests
 284 higher-dimensional processes than is described by longitudinal SIPS. In order to determine
 285 what is driving these features, we must break down how longitudinal advection, longitudi-
 286 nal straining, lateral advection, and lateral straining contribute on the tidal timescale.

287 3.2 Salinity Gradients

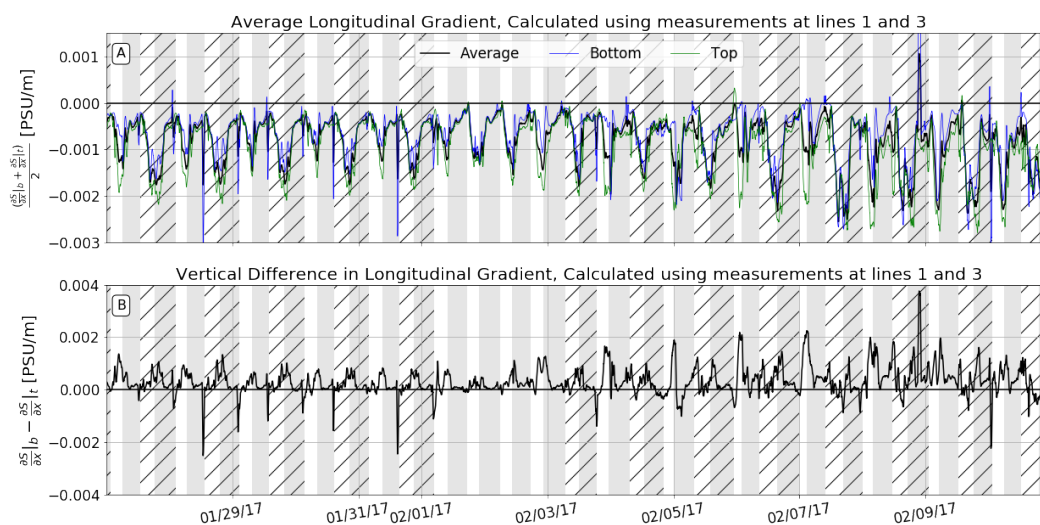


Figure 4: Longitudinal Salinity Gradient [PSU/m] calculated using instantaneous salinity measurements at lines 1 and 3. Top plot shows the average longitudinal salinity gradient and the bottom plot shows the vertical difference. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

288 To define the longitudinal salinity gradient at our central station (line 2), differences
 289 between lines 1 and 3 were used. Both lines 1 and 3 also have a top and bottom CTD
 290 which allows for comparing longitudinal salinity gradients at the top and at the bottom.
 291 x is defined as positive up-estuary (to the southeast), therefore, the longitudinal salinity
 292 gradient, $\frac{\partial S}{\partial x}$, is expected to be negative. Figure 4a shows that, although the longitudinal

293 salinity gradient is consistently negative throughout the tidal cycle, it becomes more neg-
 294 ative during the ebb tide. This tidal variation of $\frac{\partial S}{\partial x}$ indicates the presence of a non-linear
 295 salinity gradient, with stronger gradients that develop near the perimeter being advected
 296 into our observation site during the ebb tides. The largest magnitude of the longitudinal
 297 stratification gradient occurs at the end of the ebb tide and decreases through the flood
 298 tide as the influence of the Dumbarton Narrows is advected into the study site (Figure 4b).
 299 During the neap tide, the observed longitudinal gradient in stratification reaches zero at
 300 the end of the flood tide. The influence of a zero longitudinal stratification gradient shows
 301 up in the longitudinal advection term in the dynamic stratification equation indicating the
 302 advection of an unstratified water mass from north of the Dumbarton Narrows to the lo-
 303 cation of line 2. Since the longitudinal stratification gradient decreases in magnitude on
 304 flood tides, we hypothesize that higher velocities through the constriction at the Narrows
 305 creates turbulent mixing and destratifies the water column that is inputted into the estuary
 306 from the mouth. The unstratified water at the Narrows is then advected upstream on the
 307 flood tides.

308 There are a few unexpected signals in the tidal signal of the longitudinal gradient at
 309 the end of the ebb tide and at the end of the flood tide. At the end of each ebb tide there
 310 is a decrease in the magnitude of the longitudinal gradient that persists for only an hour
 311 or two and appears to be due to a pulse of saline waters evident at the middle and bottom
 312 up-estuary CTDs that is not shown in the down-estuary CTDs causing the salinities in the
 313 two locations to converge at the end of the ebb tide. This could be explained by lateral
 314 circulation bringing saltier water to the bottom of the up-estuary location at the end of the
 315 ebb or the longitudinal advection of a salt wedge. At the end of the flood tide, we see a
 316 large, sudden increase in the magnitude of the average longitudinal gradient. This is due
 317 to a pulse of freshwater at the up-estuary station, creating the increase in the longitudinal
 318 salinity gradient.

319 The vertical variation of longitudinal stratification ($\frac{\partial^2 S}{\partial z \partial x}$, Figure 4b) tends to be pos-
 320 itive, indicating stronger stratification up-estuary. The advection of this gradient is respon-
 321 sible, in part, for the observed tidal variation of stratification, and is strongly shaped by
 322 specific features of the embayment. During the ebb tide, $\frac{\partial S}{\partial z}$ increases as a stratified water
 323 mass from up-estuary is advected into our observation site (Figure 3). On floods, mixing
 324 at the Dumbarton Narrows likely homogenizes the water column, so that during the flood
 325 tide, increasingly destratified conditions are advected into the study site. This dynamic is
 326 intensified during the last half of the observation period, as buoyancy input (precipitation
 327 and runoff) intensifies the density gradients, but not sufficient to overcome the flood tide
 328 mixing.

329 The lateral salinity gradient was calculated using salinity measurements at line 2 and
 330 line 6. This pattern at line 5 was similar to that at line 6, but consistently weaker. Due
 331 to the timing of the deployment with the water level, we were unable to get line 5 closer
 332 to the perimeter. If we were able to get line 5 closer to the western perimeter, we would
 333 have a stronger lateral salinity gradient measurement between lines 2 and 5. For clarity,
 334 we only use the lateral salinity gradient that is measured between lines 2 and 6. Line 6
 335 only has one top salinity measurement, so it was necessary to assume there is no strati-
 336 fication in the shoals [Scully and Friedrichs, 2007]. Figure 5 shows the measured lateral
 337 salinity gradient, $\frac{\partial S}{\partial y}$, using only the top salinity measurements at lines 2 and 6 (dashed)
 338 and then by using a depth-averaged salinity at line 2 and the salinity measurement at line
 339 6 (solid line). The average lateral gradient is negative most of the time, indicating that the
 340 shoals are persistently fresher than the channel. However, at the end of many ebb tides,
 341 the gradient switches signs, meaning the shoals are more saline than the channel at these
 342 times. This tidal variability of the lateral salinity gradient is consistent with differential
 343 tidal advection, where $\frac{\partial S}{\partial t} \approx -U \frac{\partial S}{\partial x}$. Differential tidal advection tells us that the tidal reach
 344 in the channel is greater than the tidal reach in the shoal. Therefore, over the ebb tide,
 345 both the channel and the shoals are getting fresher, but the channel is getting fresher at

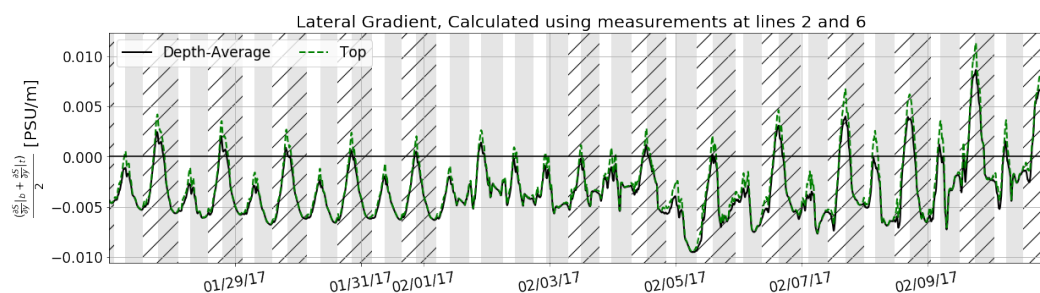


Figure 5: The lateral salinity gradient [PSU/m] was calculated using instantaneous salinity measurements at lines 2 and 6. The average lateral salinity gradient was calculated using the top and bottom salinity measurements at line 2 and the top salinity measurement at line 6. Due to limitations in field measurements it is assumed that in the shoal is well-mixed at line 6 allowing us to use the top salinity measurement for the entire water column. The top lateral salinity gradient (dashed) is calculated by using only the measurements at the top of lines 2 and 6 which reveals a reversal sign by the end of the ebb tide meaning the shoal is saltier than the channel through differential advection.

a faster rate than the shoals. As we can see in Figure 5, differential advection creates a reverse gradient by the end of the ebb tide meaning the channel is fresher than the shoal.

3.3 Velocity

Velocity measurements were taken throughout the water column in 25 cm bins using a Teledyne ADCP at line 2. The velocity measurements were then rotated to fit the along-channel, longitudinal direction as u and the across-channel, lateral direction as v . The along-channel or longitudinal orientation was determined by fitting a best fit line to the scatter of measured east velocity and measured north velocity. The depth-averaged longitudinal velocity, \bar{u} , was then used to define the start and end of each flood and ebb tide shown in shading in each plot. The coordinate system was defined as flood in the positive x -direction and ebb in the negative x -direction; the y -direction is positive to the northeast. In order to calculate water column averaged vertical shear in velocity, the measured velocities in the top 2 meters from the water surface were averaged to get u_{top} and the bottom 2 meters of measured velocity were averaged to get u_{bottom} , and the longitudinal shear velocity was calculated as $u_{bottom} - u_{top}$.

Figure 6a shows the top and bottom longitudinal velocities. The tidal asymmetries are clear during the spring tides, and the larger of the diurnal tides are marked with hatching. The start and end of each tide is defined by the zero crossing of the depth-averaged longitudinal velocity. The depth-averaged shear, $u_b - u_t$, is expected to be positive on ebb tides and negative on flood tides. However, Figure 6b shows that the difference between the bottom and top longitudinal velocities at a given time is mostly positive for both tides. The small, slightly positive shear in the flood is due to the longitudinal salinity gradient and well-mixed conditions producing a fairly uniform velocity profile where the magnitude of the top velocity is marginally smaller than the bottom velocity.

A second mechanism that alters the expected tidal variability of water column-averaged shear is the vertical lag in the reversal of the tides during the transition from ebb to flood. In the transition from flood to ebb, the water column reverses direction together, with very little phase lag. In the transition from ebb to flood, however, the near-bed velocities reverse as much as an hour or two before the upper water column, leading to periods of inverted shear and, as a result, straining in the direction favoring stratification. Similarly, observations in the York River estuary showed that the ebb in the channel was consistently longer than the ebb in the shoal because there was more friction in the shoal which re-

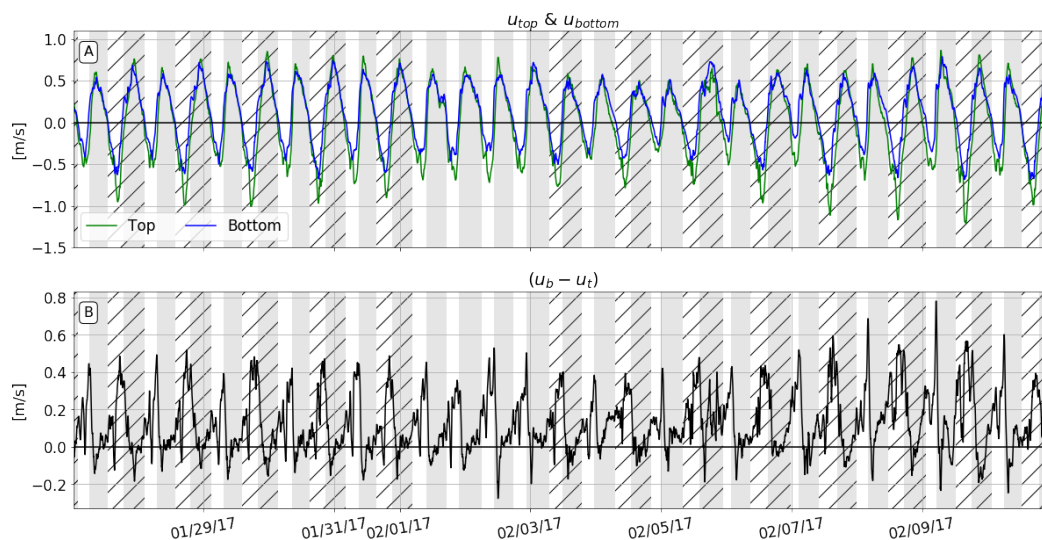


Figure 6: Top and bottom longitudinal velocities [m/s] were calculated by averaging the longitudinal velocities in the top 2 m and the bottom 2 m of the water column. Tidal asymmetries were defined visually by the amplitude of the top longitudinal velocity. (A) shows the time variation of top and bottom longitudinal velocity and (B) shows the shear. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

378 versed the tide quicker than in the channel where the momentum from the previous tide
 379 could continue longer [Scully and Friedrichs, 2007].

380 Lateral flow in a shoal-channel estuary results from barotropic (tidal) forcing, wind
 381 forcing, or baroclinic (density) forcing. Tidal variability will occur in both the barotropic
 382 (directly) and baroclinic (through differential advection as discussed above) components
 383 and we will focus on those forcing mechanisms here. The approach we took to defining
 384 the coordinate axis for the barotropic tides leaves some lateral flow due to variation in the
 385 alignment of the bathymetry with our coordinate axes. As shown in Figure 1, line 2 is
 386 located on the edge of a local deeper part of the channel which causes the primary axis
 387 to be at a sharper angle from the larger channel. We highlight that since line 2 lies on the
 388 northeast side of the deepest part of the channel, positive lateral velocities are flows from
 389 the channel towards the shoals and negative lateral velocities are flows from the shoals
 390 towards the channel.

391 The reversing sign of the lateral density gradient in Figure 5b suggests that the ex-
 392 change between the channel and shoal should itself reverse signs tidally, with a positive
 393 near surface flow (and negative near-bottom flow) during one slack tide and the reverse
 394 during the other. Figure 7a confirms that there are many instances where the lateral veloc-
 395 ity is directed in opposite directions at the top and the bottom, between 10 and 20 cm/s.
 396 During mid-flood the bottom lateral velocity is negative, or in the southwest direction, and
 397 the top lateral velocity is smaller in magnitude, but in the positive, or northeast direction.
 398 We hypothesize that this shear represents the influence of baroclinic pressure gradients.
 399 Then at the end of each ebb tide, there is a short, but large magnitude lateral shearing
 400 event as shown by abrupt, positive peaks in Figure 7b.

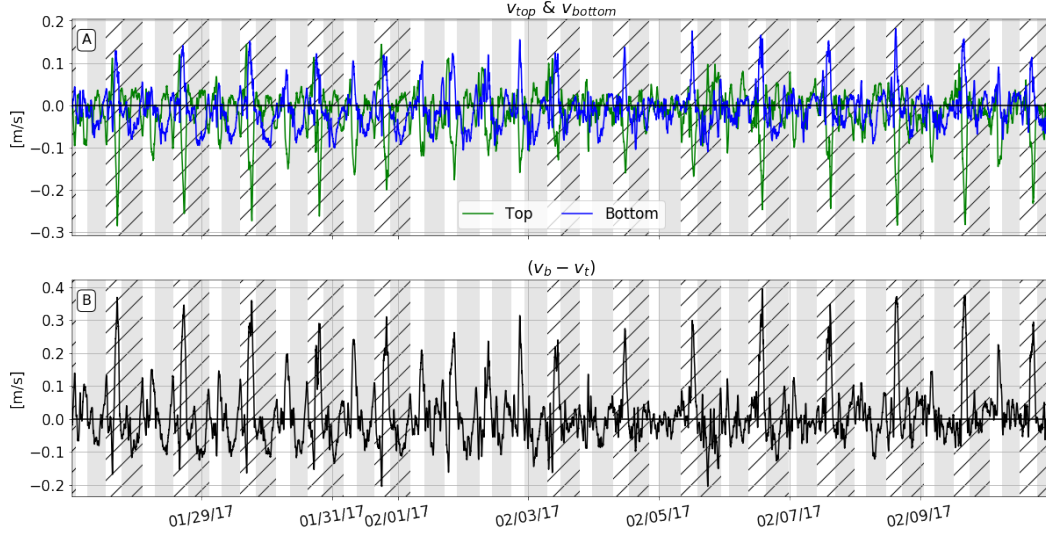


Figure 7: Top and bottom lateral velocities [m/s] were calculated by averaging the lateral velocities in the top 2 m and the bottom 2 m of the water column. (A) shows the time variation of top and bottom lateral velocity and (B) shows the shear. Large shear events consistently occur at the end of the ebb tide in both the spring and neap. Persistent lateral exchange, but small magnitude, occurs over the flood tide. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

4 Analysis

We now turn to an analysis of the mechanisms responsible for the creation and destruction of stratification. The stratification, $S_z = S_{bottom} - S_{top}$, at line 2 shows variability at tidal and spring-neap timescales, as well as in response to precipitation events (Figure 8). The framework we will use to evaluate changes in stratification starts with the standard Reynolds-averaged, advection-diffusion equation with constant eddy diffusivity for salt in the estuary:

$$\frac{\partial S}{\partial t} + u \frac{\partial S}{\partial x} + v \frac{\partial S}{\partial y} + w \frac{\partial S}{\partial z} = K \left(\frac{\partial^2 S}{\partial x^2} + \frac{\partial^2 S}{\partial y^2} + \frac{\partial^2 S}{\partial z^2} \right) \quad (7)$$

Taking the vertical derivative of this equation and rearranging, we arrive at:

$$\frac{\partial}{\partial t} \frac{\partial S}{\partial z} + \frac{\partial u}{\partial z} \frac{\partial S}{\partial x} + u \frac{\partial^2 S}{\partial z \partial x} + \frac{\partial v}{\partial z} \frac{\partial S}{\partial y} + v \frac{\partial^2 S}{\partial z \partial y} + \frac{\partial w}{\partial z} \frac{\partial S}{\partial z} + w \frac{\partial^2 S}{\partial z^2} = \frac{\partial}{\partial z} K \left(\frac{\partial^2 S}{\partial x^2} + \frac{\partial^2 S}{\partial y^2} + \frac{\partial^2 S}{\partial z^2} \right) \quad (8)$$

Assuming turbulent mixing in the horizontal dimensions is small compared to the vertical dimension (i.e., the depth is much smaller than the lengthscales associated with horizontal gradients) and that vertical advection can be neglected, equation (8) is reduced to unsteadiness, the next four terms on the left hand side and the last term on the right. Moving all of these terms to the right hand side makes for a consistent sign convention (positive means creating stratification, negative means destratifying). These five terms are:

1. Longitudinal straining, $-\frac{\partial u}{\partial z} \frac{\partial S}{\partial x}$
2. Longitudinal advection, $-u \frac{\partial^2 S}{\partial z \partial x}$
3. Lateral straining, $-\frac{\partial v}{\partial z} \frac{\partial S}{\partial y}$
4. Lateral advection, $-v \frac{\partial^2 S}{\partial z \partial y}$
5. Mixing, $K \frac{\partial^3 S}{\partial z^3}$

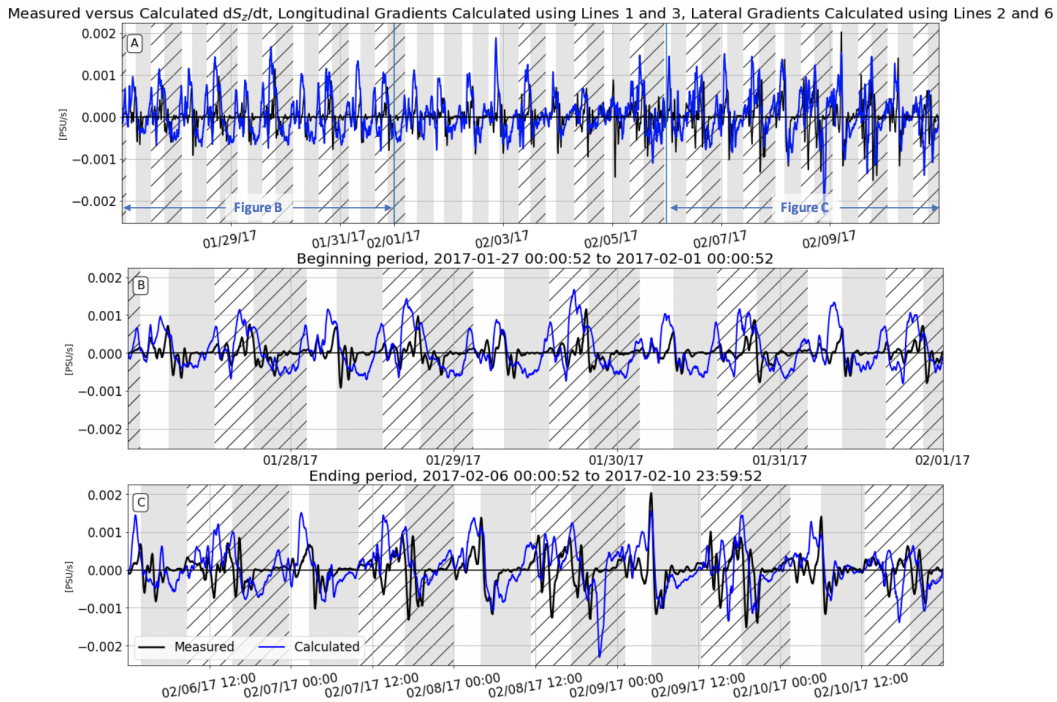


Figure 8: The measured rate of change of stratification (black), $\frac{\partial S_z}{\partial t}$ [$\frac{PSU}{s}$], was calculated by taking the time derivative of the bottom-top salinity difference at line 2 and with a rolling-average window of 30 minutes. The calculated rate of stratification (blue), was calculated by taking the sum of observed values of longitudinal straining, longitudinal advection, lateral straining, and lateral advection. (A) Entire time series, (B) First, dry spring tide, (C) Second, wet spring tide. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

From the data, we can directly calculate the time variability of the stratification (first term in equation 8) using a central differencing scheme to approximate the time derivative of the difference between top and bottom sensors at line 2, the vertical stratification, as plotted in Figure 8a). In this figure, it is evident that stratification variations are strongest (largest magnitude) at the end of the ebb tide and the beginning of the flood tide. Generally, we see negative changes in stratification (destratification) in two distinct events at the beginning of the flood tide. These two peaks cause the stair-step change in stratification that was seen in Figure 3. Generally, the rates of change of stratification were greater during the period with precipitation (Figure 8c) than during dry period (Figure 8b), but the qualitative patterns are similar: the creation of stratification is most prominent at the end of the ebb tide, and the destruction of that stratification in two or three peaks at the beginning of the flood tide.

To evaluate the forcing mechanism responsible for changes in stratification, we approximate the vertical derivatives using a layered model and aggregate the data into near-bottom and near-top layers. For salinity, the bottom and top sensors are assumed to represent layer averages; for the velocity data, we bin-average over the bottom or top 2 meters to define each layer. With subscripts b and t denoting the bottom and top layers, respectively, we approximate each of the terms as:

1. Longitudinal straining, $-(u_b - u_t) \left(\frac{\partial S}{\partial x} \Big|_b + \frac{\partial S}{\partial x} \Big|_t \right)$

- 441 2. Longitudinal advection, $-\frac{u_b+u_t}{2}\left(\frac{\partial S}{\partial x}\Big|_b - \frac{\partial S}{\partial x}\Big|_t\right)$
 442 3. Lateral straining, $-(v_b - v_t)\left(\frac{\partial S}{\partial y}\Big|_b + \frac{\partial S}{\partial y}\Big|_t\right)$
 443 4. Lateral advection, $-\frac{v_b+v_t}{2}\left(\frac{\partial S}{\partial y}\Big|_b - \frac{\partial S}{\partial y}\Big|_t\right)$

444 4.1 Longitudinal Straining

445 Longitudinal straining creates and destroys stratification through the straining of the
 446 longitudinal salinity gradient by a vertical velocity gradient. Tidally, this term is expected
 447 to be positive on ebb and negative on flood, with peak values associated with peak longi-
 448 tudinal shear. Variations from this would be due to tidal changes in the longitudinal salin-
 449 ity gradient, or asymmetries in the vertical shear, which would follow from the feedback
 450 through stratification and resulting decreases in mixing.

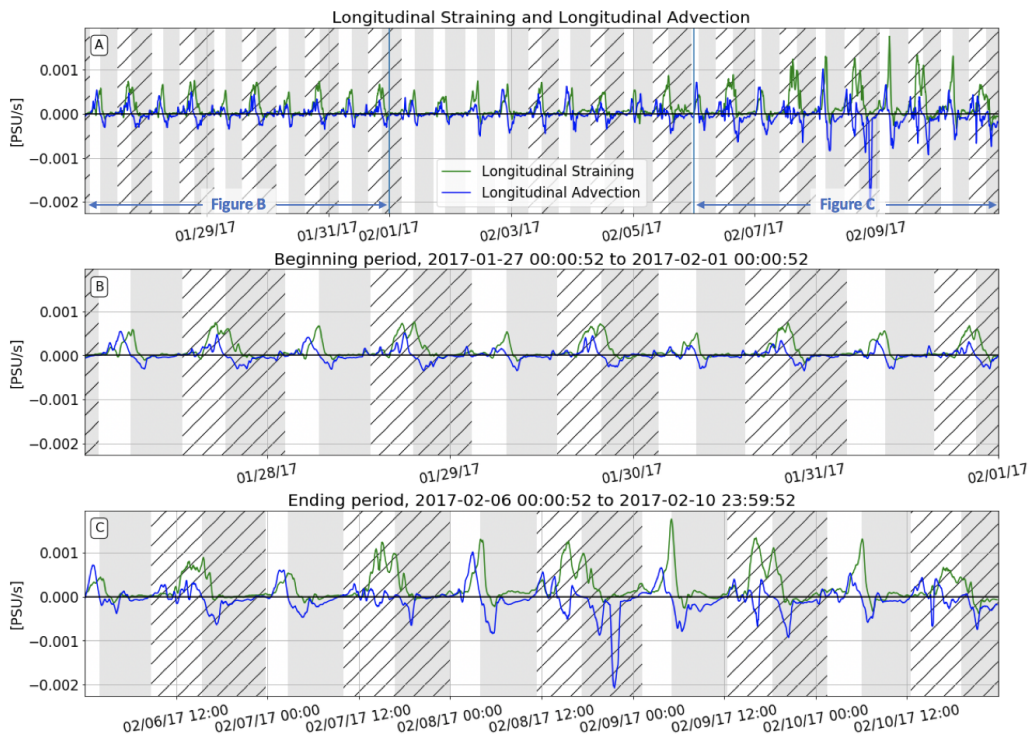


Figure 9: Longitudinal Straining and Longitudinal Advection in [PSU/s]. Longitudinal salinity gradients were calculated using lines 1 and 3. (A) Entire time series, (B) First, dry spring tide, (C) Second, wet spring tide. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

451 As shown in Figure 9, the longitudinal straining term is generally positive, indicat-
 452 ing a source of stratification, with some negative values (destratification) during the flood
 453 tides. This ebb-flood asymmetry in the influence of straining is due to differences in the
 454 shear ($\frac{\partial u}{\partial z}$), not the longitudinal salinity gradient (Figures 4 & 6), with the ebbs consid-
 455 erably more sheared than the floods. A notable feature in the longitudinal straining term
 456 is the large positive peak at the transition from ebb to flood, which is due to the vertical
 457 phase lag in the reversal of the tide [Stacey *et al.*, 2001].

458 In the last portion of the data set, when there is an increase in buoyancy via rainfall
 459 (Figure 9c), longitudinal straining continues to have the same ebb-flood pattern and asym-
 460 metry, but with a larger magnitude. The highest rate of stratification occurs from mid to

461 late ebb, and there is a small creation of stratification at the transition into the flood tide,
462 but the contribution of this term is small through the remainder of the flood tide.

463 **4.2 Longitudinal Advection**

464 The longitudinal advection term is the translation of salinity gradients in the x -
465 direction. This term is calculated by taking the product of the depth-averaged longitudinal
466 velocity and the second order salinity gradient in the x - and z -directions. Positive (neg-
467 ative) values of this term means the upstream (downstream) stratification is greater than
468 the local or downstream (upstream) stratification. If the portions of the estuary adjacent
469 to perimeter habitats are more stratified than the “mouth” at the Dumbarton narrows, we
470 would expect this term to be negative on the flood tide and positive on the ebb tide.

471 The blue line in Figure 9a shows a persistent translation of stratification to our central
472 site on ebbs due to longitudinal advection and the reverse on floods due to longitudi-
473 nal advection. This tidal pattern is consistent with the expectation that the water column
474 is well-mixed at the Dumbarton Narrows and more stratified near the perimeter. Positive
475 values of longitudinal advection during the ebb tide translate to more stratified water near
476 the perimeter advecting to the center of the estuary and reaching a maximum at the end
477 of the ebb. During the flood tide, this term is negative as it translates the well-mixed wa-
478 ters from the mouth to the center of the estuary. This result highlights the importance of
479 localized mixing (at a specific location like the Narrows) in the stratification dynamics of
480 adjacent embayments. When there is an increase in buoyant input, the magnitude of longi-
481 tudinal advection is greater, which is likely due to the fact that the stratification difference
482 between the mouth of the estuary and near the perimeter of the estuary is increased when
483 there is more freshwater input (Figure 9c).

484 **4.3 Lateral Straining**

485 Lateral straining is the creation or destruction of stratification due to the lateral
486 straining of the lateral density gradients. The lateral salinity gradient is almost always
487 negative (Figure 5a) as the water in the shoals are fresher than the water in the channel
488 except at the end of the ebb tide when differential advection causes the channel to be
489 fresher than the shoals. While the lateral circulation is expected to be driven by the lat-
490 eral density gradient, we use the observed bottom-top velocity difference ($v_b - v_t$, Figure
491 7b) to determine a negative $v_b - v_t$ persists through much of the flood tides, but this shear
492 reverses briefly at the end of each ebb tide, coincident with the reversal of the lateral den-
493 sity gradient. It is difficult to see clear signals of lateral exchange from the lateral velocity
494 and lateral salinity observations as even when the lateral salinity gradient remains negative
495 during the small ebb tides, we still observe increases in salinity. This could be due to the
496 location that the shoal salinity is measured.

497 As a result of the correlation between lateral shear and lateral density gradients, the
498 contribution of lateral straining to stratification in the channel is expected to be positive
499 (stratifying); since density driven flow can only be stratifying, any negative contributions
500 to stratification indicate that the forcing of the lateral circulation must come from other
501 mechanisms such as bathymetric effects on the tides (channel curvature or the effects of
502 broad shoals and storage), Coriolis, and wind. Reinforcing the density-driven mechanism
503 for the lateral circulation, there is a recurring positive peak at the end of the flood tide,
504 when the lateral density gradient and circulation are strongest. This peak is created by the
505 interaction of differential advection building up the lateral density gradient throughout the
506 flood tide until the reduction in turbulent mixing at the end of the flood tide allows lateral
507 exchange flow to develop.

508 In the first couple of tidal cycles in Figure 10b, during the ebb tide, the lateral strain-
509 ing term is variable, with sign changing between positive and negative throughout the ebb.

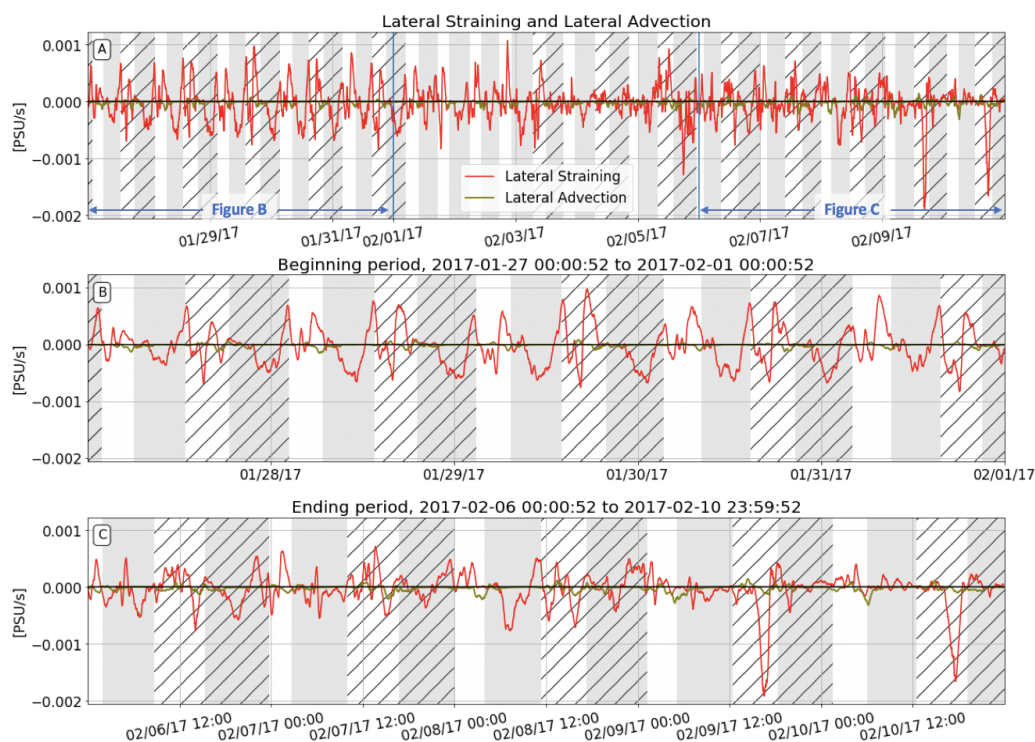


Figure 10: Lateral Straining and Lateral Advection in [PSU/s]. Lateral salinity gradients were calculated using lines 2 and 6. (A) Entire time series, (B) First, dry spring tide, (C) Second, wet spring tide. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

510 Although highly variable, this pattern is consistent over the ebb tides in the dry spring.
 511 During the flood tides (gray shading), the lateral straining term is negative mid-flood tide,
 512 then increases to a maximum positive value by the end of the flood tide. The ebb-to-flood
 513 transition does not show a significant contribution from lateral straining, which is consistent
 514 with the fact that the lateral density gradients are quite small at this time.

515 At each mid-flood tide, lateral straining contributes negatively to stratification, but
 516 during a period when the water column is already well-mixed entirely (Figure 3). In order
 517 for lateral straining to contribute to destratification, the orientation of the straining must be
 518 the opposite of expected under only density forcing. We are seeing here the lateral equivalent
 519 to overstraining [Nepf and Geyer, 1996] and therefore lateral straining is contributing
 520 to turbulent mixing during the flood tides. To be clear, we hypothesize that this is really
 521 just a directional shear that is created by the interaction of the tides with the shoal-channel
 522 transition, and not a new lateral mechanism. However, it indicates that estimates of straining
 523 based purely on longitudinal gradients and shear would underestimate the magnitude
 524 of overstraining.

525 4.4 Lateral Advection

526 The final term that can be directly calculated is small throughout the tidal cycle due
 527 to the fact that the depth-averaged lateral velocity is small. Deviations from zero occur
 528 during periods of time when the depth-averaged velocity does not align with the primary
 529 tidal axis, which was used to define the rotation of the coordinate axis. The only period
 530 of time when the term contributes is near the end of the ebb tide, when barotropic forcing

531 draws unstratified water from the shoals into the stratified channel, thus contributing to
 532 destratification in the channel.

533 5 Discussion

534 5.1 Summary of Tidal Variability

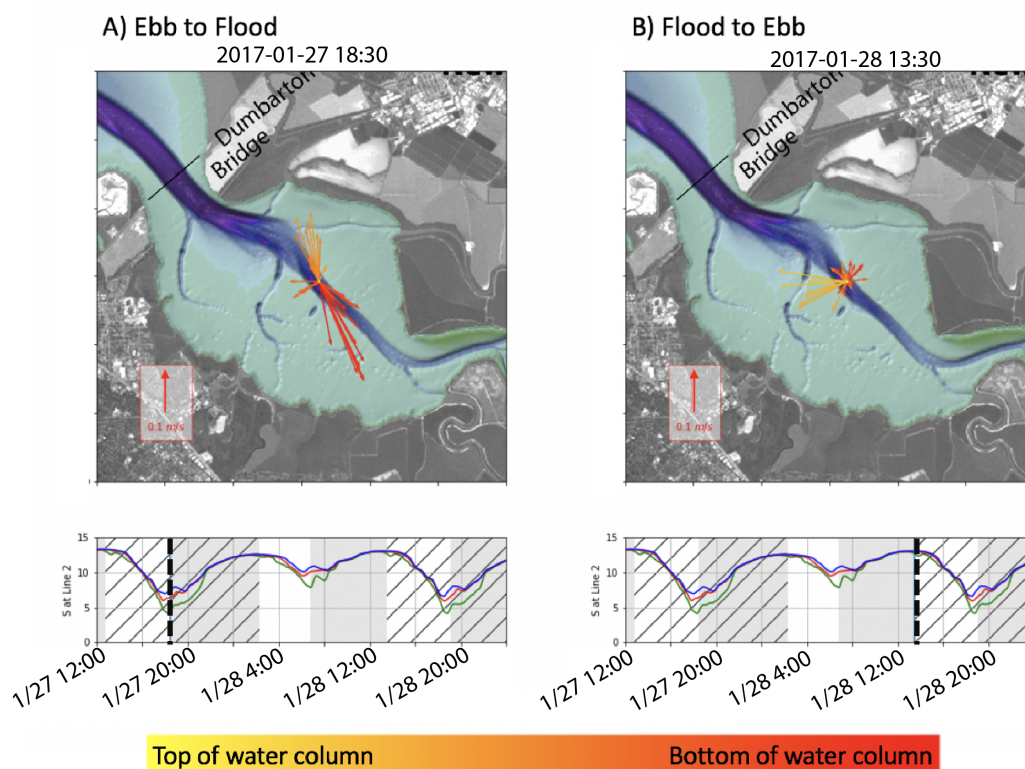


Figure 11: Velocity vectors at line 2 shows differences in lateral exchange flow patterns in the ebb to flood versus the flood to ebb tide transitions. Red arrows represent the bottom depth flows and the yellow arrows represent the top flow directions. (A) At the ebb to flood transition we see a pulse of lateral flow from the near bed shoal to the mid-column channel. Note the pictured longitudinal shear that occurs during this tidal transition. As the tide transitions from ebb to flood, the bottom reverses sign before the top. (B) At the flood to ebb transition we see a two-layer lateral exchange flow where the bottom is directed from the channel to the shoal and the flow at the top of the water column is directed from the shoal to the channel. Ebb tides correspond to white shading. Hatching refers to larger flood/ebb tides when there is a diurnal asymmetry.

535 The analysis of the previous section defines the tidal variability and relative mag-
 536 nitude of the various mechanisms responsible for stratification and destratification. Three
 537 terms, in addition to turbulent mixing, are important contributors: longitudinal straining,
 538 longitudinal advection and lateral straining (Figure 13). Longitudinal straining varies as
 539 would be expected under SIPS, in addition to a strong peak at the ebb-flood transition due
 540 to vertical phase lag in the tidal reversal. Longitudinal advection is important at this site
 541 due to the close proximity between the “mouth” at the Dumbarton Narrows and the shal-
 542 low marsh perimeter. Energetic mixing at the Narrows creates strong along-axis gradients
 543 in stratification, with less stratified conditions down estuary that are tidally-advected along
 544 the estuarine channel, contributing strongly to the variability of stratification in the chan-

545 nel. Finally, lateral straining is an important contributor to channel stratification dynamics,
 546 but with a complex tidal variability created by the interplay between differential advection,
 547 which creates lateral density gradients, and turbulent mixing, which inhibits the develop-
 548 ment of lateral circulation. This last element is similar to the conditions studied by Lacy
 549 et al. [Lacy et al., 2003], and just as in that case, the lateral straining produces stratifica-
 550 tion late in the flood tide that would never be predicted by traditional SIPS frameworks.

551 Figure 11 illustrates the difference in lateral exchange at the ebb to flood versus the
 552 flood to ebb transitions. The lateral exchange at the ebb to flood transition is much smaller
 553 in magnitude, occurring in the middle of the water column, and has limited lateral shear.
 554 At line 2, the lateral flows are all in one direction, from the shoals towards the channel.
 555 There is also a vertical lag in the reversal of longitudinal flow at the transition from ebb
 556 to flood. In contrast, on the flood to ebb transition, the lateral exchange has high shear
 557 with the bottom lateral velocities traveling from the channel towards the shoal and the top
 558 lateral velocities at higher magnitude going from the shoal towards the channel. The two-
 559 layer lateral profile is expected for lateral flows that are baroclinically driven. The differ-
 560 ences in the lateral flows can also be seen in the salinity signature at each tide transition
 561 (Figure 2, e.g.). In the ebb to flood salinity time series, there is an increase in salinity at
 562 all sensors due to the more saline waters in the shoal being barotropically pushed into the
 563 channel. In the flood to ebb transition, the fresher shoal water is being transferred to the
 564 top of the channel, resulting in the freshening of the top sensor at line 2.

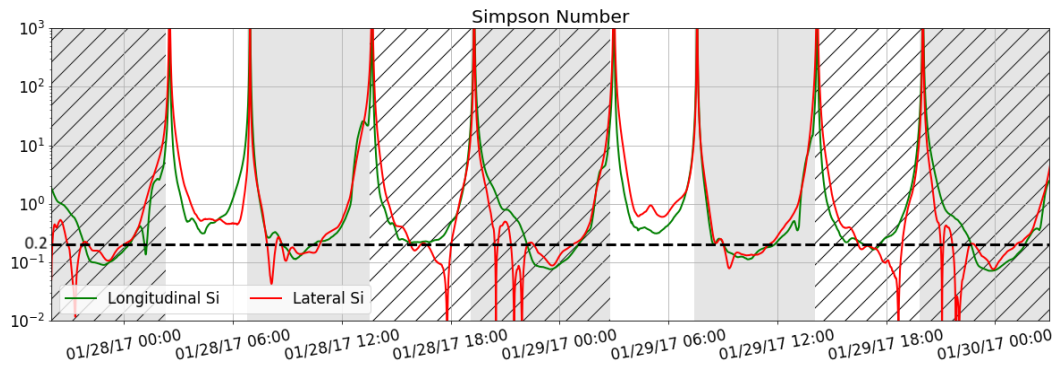


Figure 12: Longitudinal (green) and lateral (red) Simpson Numbers at line 2 [Lerczak and Geyer, 2004]. The larger the value of the Simpson number, the more likely the water column is to stratify. Note: Flood tides correspond to gray shading. Ebb tides correspond to white shading. Hatching refers to large flood/ebb tides when there is a diurnal asymmetry.

565 The longitudinal and lateral Simpson numbers shown in Figure 12. The longitudinal
 566 Simpson number was calculated using equation 2 where u_*^2 is calculated as $0.0025u_{avg}^2$.
 567 The lateral Simpson number is calculated using the following equation,

$$568 \quad S_{i_y} = \frac{g\beta \frac{\partial S}{\partial y} H^2}{u_*^2} \quad (9)$$

569 During the small ebbs (unhatched, white sections) we see the most potential for stratifi-
 570 cation. The small ebbs do not have as much breakdown of stratification (remains above
 571 0.2) whereas the large ebbs drop below 0.2 in the mid-late ebb. These instances where we
 572 see the Simpson number drop below 0.2 in the mid-late ebb corresponds to the times we
 573 observed mid-ebb destratification in Figure 3. When approaching the slack tide, there is a
 574 drop in turbulent mixing (scaled by $\frac{1}{0.0025u_{avg}^2}$). The large Simpson number during slack
 575 tides indicates likely stratification. Therefore, small ebbs and slack tides are more likely to

576 stratify. The lateral Simpson number has a similar pattern and magnitude to the longitu-
 577 dinal Simpson number which emphasizes the importance of lateral density forcing. There
 578 are even times, such as the small ebb tide on January 29, 2017, where the lateral Simpson
 579 number exceeds the longitudinal Simpson number by a factor of 2.

580 The aggregation of longitudinal straining, longitudinal advection, lateral straining,
 581 and lateral advection are shown in Figure 8, including a comparison with the measured
 582 $\frac{\partial S_z}{\partial t}$. The creation of stratification over the ebb tides is captured well in time and mag-
 583 nitude. In contrast, the two negative destratification peaks at the beginning of the flood
 584 are not captured by the calculated $\frac{\partial S_z}{\partial t}$. Longitudinal advection does produce a significant
 585 destratification early in the flood, but occurs later in the flood tide and is more dispersed
 586 than the directly observed destratification. The most likely explanation of this difference is
 587 the presence of two frontal features that each reduce the stratification as they advect past
 588 the station. By using differences to estimate the longitudinal gradient, we underestimate
 589 the gradient, resulting in a more dispersed advective feature.

590 Totalling all the terms confirms overstraining is occurring in the late flood tides. Fig-
 591 ure 13 shows that longitudinal straining and lateral straining are mostly responsible for the
 592 creation of stratification at the end of the ebb tide, and longitudinal advection and lateral
 593 straining are responsible for the destratification over the flood tide.

594 **5.2 Details of Tidal Dynamics**

595 *Ebb Tide*

596 At the beginning of the ebb tide, we see salinity beginning to drop and a sheared
 597 velocity profile. As we progress to the middle of the ebb tide, there is a creation of strati-
 598 fication with a quick breakdown of stratification when the tidal velocity is at a maximum.
 599 This breakdown is likely due to turbulent mixing. Over the ebb tide, longitudinal advec-
 600 tion tightens isohaline lines, advecting a more stratified water column from the perimeter
 601 to the central site. From the middle of the ebb tide until the end of the ebb tide, longitudi-
 602 nal straining contributes to the creation of stratification. As shown in Figure 14, it appears
 603 longitudinal advection, longitudinal straining and lateral straining are activated at the same
 604 time. The shear in the lateral velocity (Figure 7) reaches a maximum in the mid-ebb tide
 605 resulting in a large contribution of lateral straining. This lateral strain occurs before the
 606 lateral salinity gradient has reversed meaning the shoal water that is brought into the chan-
 607 nel is fresher than the channel adding to the creation of stratification in mid-ebb. At the
 608 same time, longitudinal straining is also contributing to the creation of stratification from
 609 mid-late ebb. Even though longitudinal straining is overall dominant in creating stratifica-
 610 tion over the ebb tide, the contribution of lateral straining is significant at the very begin-
 611 ning of the ebb tide and over mid-late ebb.

612 *Ebb to Flood Transition*

613 As the tide transitions from late-ebb to early-flood, salinity increases in the top, mid-
 614 dle, and bottom of the water column. Longitudinal straining causes further development
 615 of stratification during this transition as the bottom velocity continues in the ebb direc-
 616 tion and the top of the water column reverses to the flood direction resulting in maximum
 617 longitudinal shear. Differential advection causes the channel to be fresher than the shoal
 618 explaining why we see an increase in salinity in the water column at the ebb to flood
 619 transition. This increase in salinity also corresponds to a further increase in stratifica-
 620 tion. It should be noted that the strength of lateral circulation does not correspond with
 621 the strength of the lateral density gradient. The lateral density gradient is greatest at the
 622 end of the flood tide and the maximum measured lateral circulation was found at the end
 623 of the ebb tide. Maximum lateral circulation at the end of the ebb tide is due to decreas-
 624 ing turbulence due to reduced tidal velocity magnitudes and ambient stratification. During

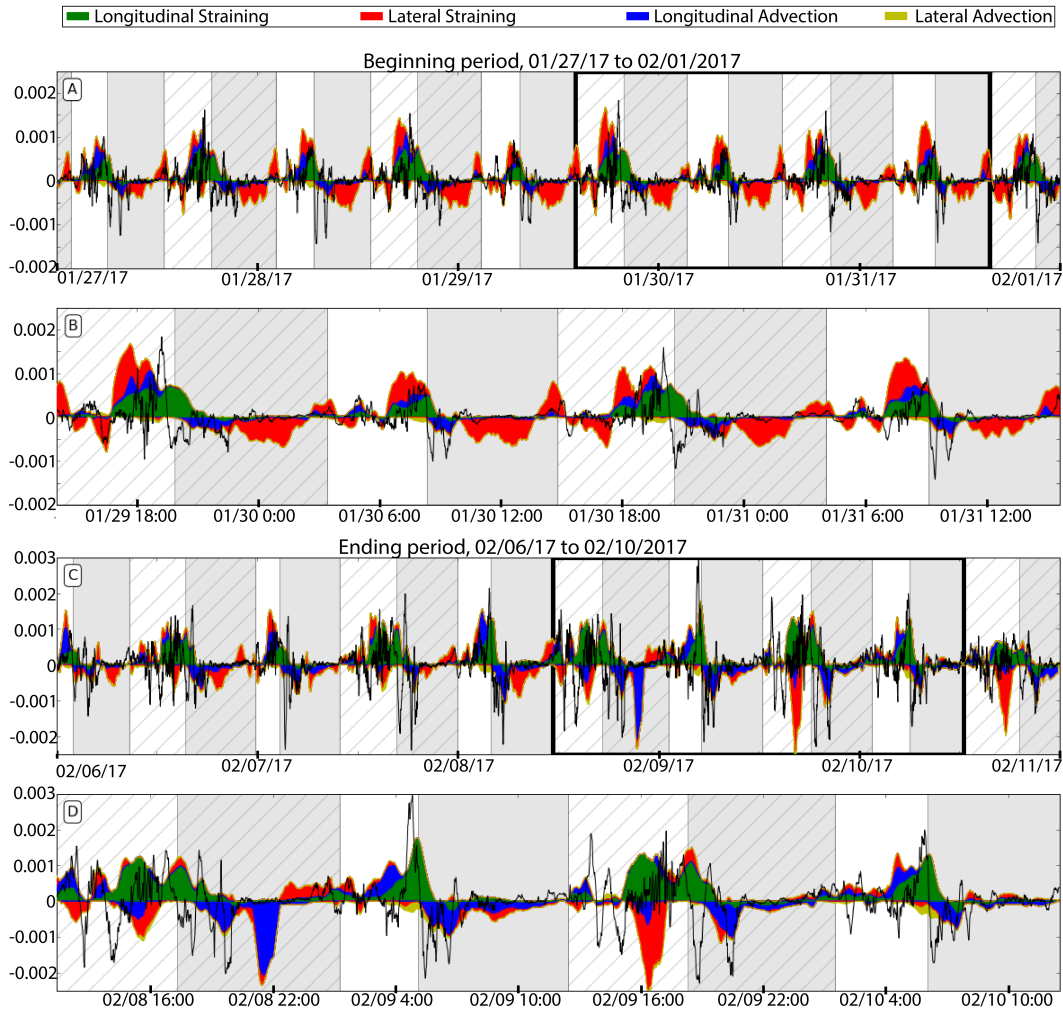


Figure 13: Fill plot of measured versus calculated $\frac{\partial S_z}{\partial t}$ [$\frac{PSU}{s}$]. Note the vertical distance shown for each color is the contribution of that term. The areas are not overlaid, so the magnitude of lateral straining is added onto the area of longitudinal straining, not behind. The positive area has not had the negative area subtracted from it. By adding the positive area and the negative area at each time step, you would get the blue lines shown in Figure 8. The measured value of $\frac{\partial S_z}{\partial t}$ is plotted in black. (A) Shows the first, dry spring tide. (B) Zooms into 4 tidal cycles outlined by the black box in subplot A. (C) Shows the second, wet spring tide. (D) Zooms into 4 tidal cycles outlined by the black box in subplot C.

625 this tide transition, we also see the reduction of the lateral baroclinic pressure gradient.
626 Therefore, the lateral exchange at the transitions are driven by different forcings.

627 *Flood Tide*

628 Over the flood tide, salinity begins to increase. There is a more uniform longitudinal
629 velocity. Stratification is broken down in two distinct instances. The first destratification
630 event was not captured in the measurements suggesting it is due to a frontal feature that is
631 not captured in the spatial resolution of the lines that were set. The second destratification
632 event is due to longitudinal advection bringing more well-mixed water from the narrows.
633 As the flood tide persists, there is little longitudinal or lateral shear. From mid-late flood,
634 lateral straining contributes to overstraining which results in increased mixing.

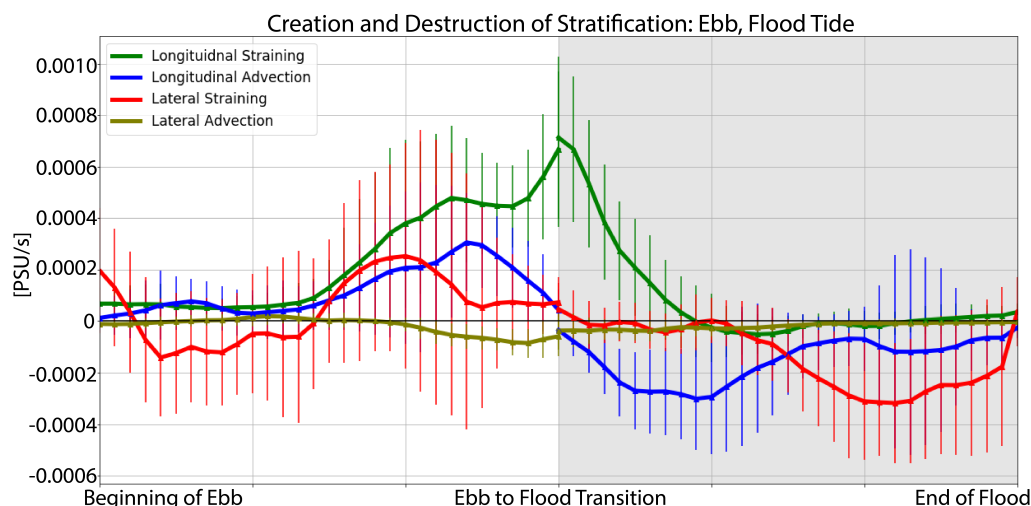


Figure 14: Tidal phase averaged calculated longitudinal straining, longitudinal advection, lateral straining, and lateral advection. Longitudinal gradients were calculated using lines 1 and 3 to estimate and lateral gradients using lines 2 and 6. The first half shows tidally-averaged values over the ebb tide, and the second half in gray shows tidally-averaged values over the flood tide. Longitudinal straining works to create stratification from mid-ebb until mid-flood. Longitudinal advection creates stratification at the end of the ebb tide and then works to destratify at the beginning of the flood tide. Lateral straining becomes important at the end of the ebb tide and the end of the flood tide. At the end of the ebb tide, lateral straining creates stratification and over mid to late-flood lateral straining overstrains the water column inputting turbulent energy maintaining a homogeneous vertical salinity structure in the channel.

635 *Flood to Ebb Transition*

636 At the end of the flood tide, stratification begins to develop. Although the measured
 637 lateral velocity is low, we see that at the end of the flood tide is when we have the great-
 638 est lateral salinity gradient. At this time, the shoal is fresher than the channel (opposite
 639 from the lateral salinity gradient at the end of the ebb tide). Looking at the salinity pat-
 640 tern in the top, middle, and bottom of the water column in Figure 2, we see a pulse of
 641 freshwater at the surface. Right at the beginning of this pulse, we see that there is a two-
 642 layer lateral velocity profile with the bottom of the water column pulling channel water
 643 towards the shoal and the top of the water column pulling shoal water towards the chan-
 644 nel. The deviation of the top salinity from the bottom salinity results in the creation of
 645 stratification at the flood to ebb transition.

646 **6 Concluding Remarks**

647 Observations in Lower South San Francisco Bay illustrated the tidal variations of
 648 stratification, including an evaluation of the responsible mechanisms. The most significant
 649 stratification event occurs at the ebb-flood transition due to a combination of longitudinal
 650 straining and longitudinal advection. Further stratification was developed at the beginning
 651 of the flood tide due to a vertical shear created by a phase lag in the tidal velocities. The
 652 most important destratification period is the early flood tide, during which a sequence of
 653 mechanisms is found to be responsible. First, a pulse of saline water is received in the
 654 top, middle, and bottom of the channel water column. Next, longitudinal advection carries
 655 progressively less stratified water masses into the observed water column, and the observa-

656 tions seem to indicate passage of two strong frontal transitions during this period. Finally,
657 throughout the flood tide, longitudinal straining works to reduce the stratification; once the
658 water column is destratified, it produces turbulent mixing through overstraining.

659 Stratification dynamics switch between being longitudinally dominated during the
660 middle of ebb and flood tides to being laterally dominated during the tidal transitions.
661 Differential advection along with lateral exchange at tide transitions resulted in more saline
662 water transported from the shoals to the channel at the end of each ebb tide from barotropic
663 forcing and less saline water transported from the shoals to the top of the channel at the
664 end of the flood tide from baroclinic forcing. Lastly, estimates of the impact of lateral ad-
665 vection on the creation or destruction of stratification were found to be insignificant com-
666 pared to longitudinal mechanisms and lateral straining except briefly at the end of the ebb
667 tide.

668 The variation of the lateral density gradient is not symmetric between ebb and flood,
669 and the lateral density gradient is much smaller in magnitude at the end of the ebb tide
670 than it is at the end of the flood. At the end of the flood tide, fresh water in the shoals
671 exchange with a saline channel, which produce pulses of near-surface waters into the chan-
672 nel from the density-driven lateral exchange. At the end of the ebb tide, this structure is
673 not reversed, and the lateral density gradients are quite small. The salinity structure shown
674 at the central location suggests that the lateral exchange is driven by a cross-channel barotropic
675 forcing at the end of the ebb tide which is difficult to see in the limited lateral velocity
676 data in the shoals.

677 **Notation**

678 **S** Salinity

679 S_z Stratification, $S_b - S_t$

680 **b** Bottom

681 **t** Top or Time

682 **x** Direction along the channel, positive on flood tide (SE direction)

683 **y** Direction perpendicular to the channel, positive in NE direction

684 **z** Direction perpendicular to sea floor, zero at sea floor and positive upwards

685 **mm** Millimeters

686 **s** Seconds

687 **PSU** Practical Salinity Unit

688 **u** Longitudinal velocity, velocity in the x-direction

689 **v** Lateral velocity, velocity in the y-direction

690 **w** Vertical velocity, velocity in the z-direction

691 ν_T Turbulent diffusivity based on the tidal velocities

692 u_* Friction Velocity

693 β Saline Contractivity

694 K_Z Vertical mixing coefficient of a scalar

695 **E** Representation of a mixing coefficient

696 **Si** Longitudinal Simpson Number

697 Si_y Lateral Simpson Number

698 b_x -

699 **H** Water depth

700 C_D Coefficient of drag

701 U_C Channel velocity

702 U_S Shoal velocity

703 u_{tide} Tidal velocity amplitude

704 u_S Shoal velocity amplitude

705 ω $\frac{2\pi}{Tidal\ Period}$

706 **g** Gravitational Acceleration
 707 L_y Lateral length scale, half of estuary width

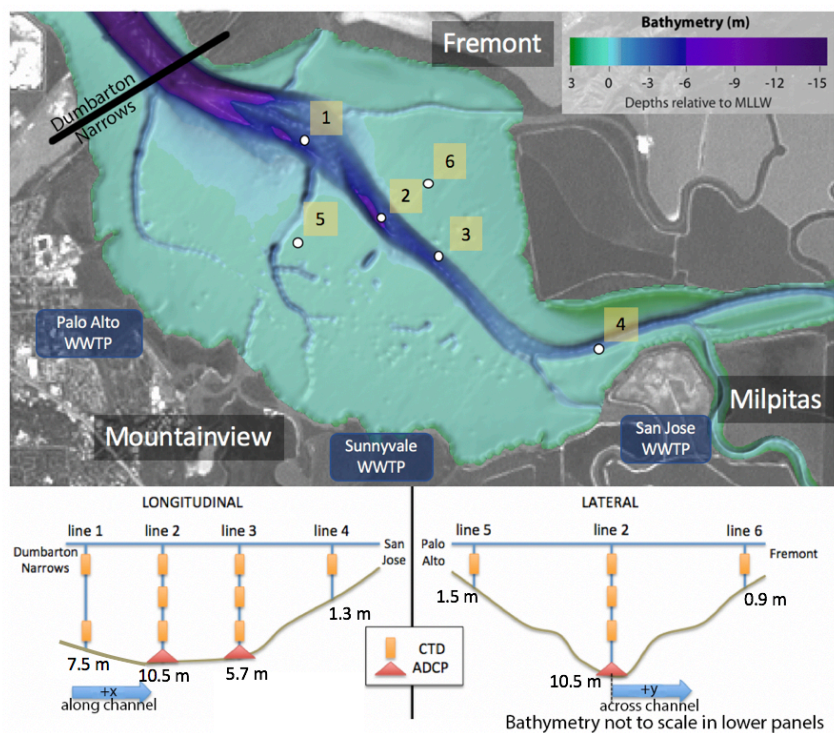
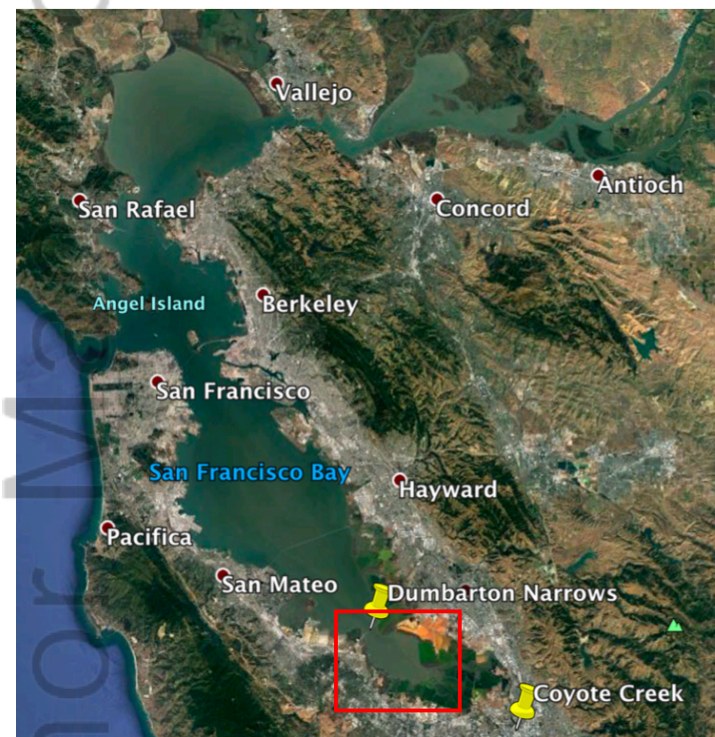
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715 References

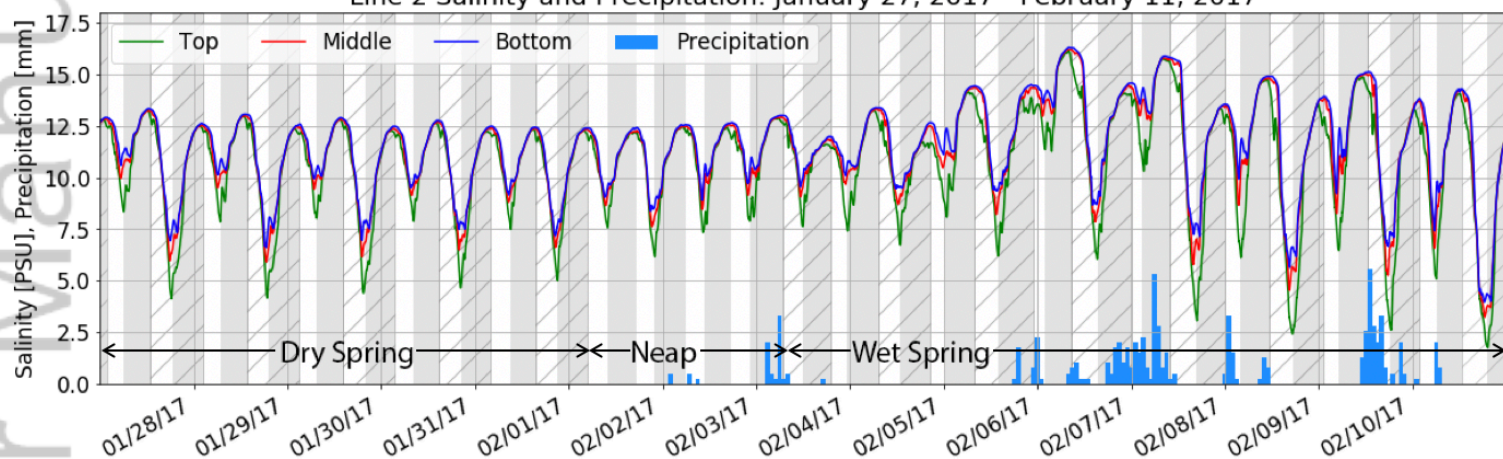
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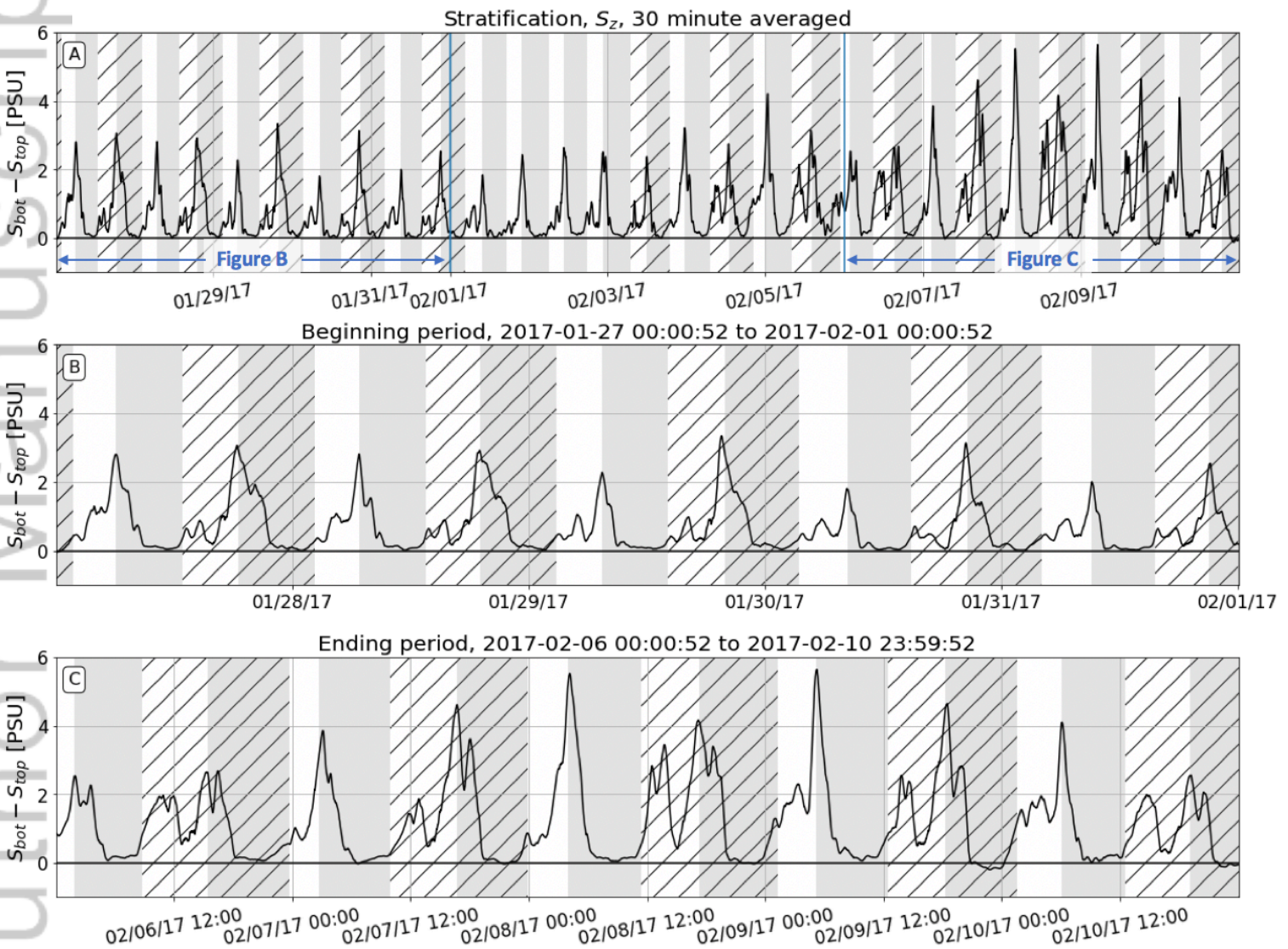


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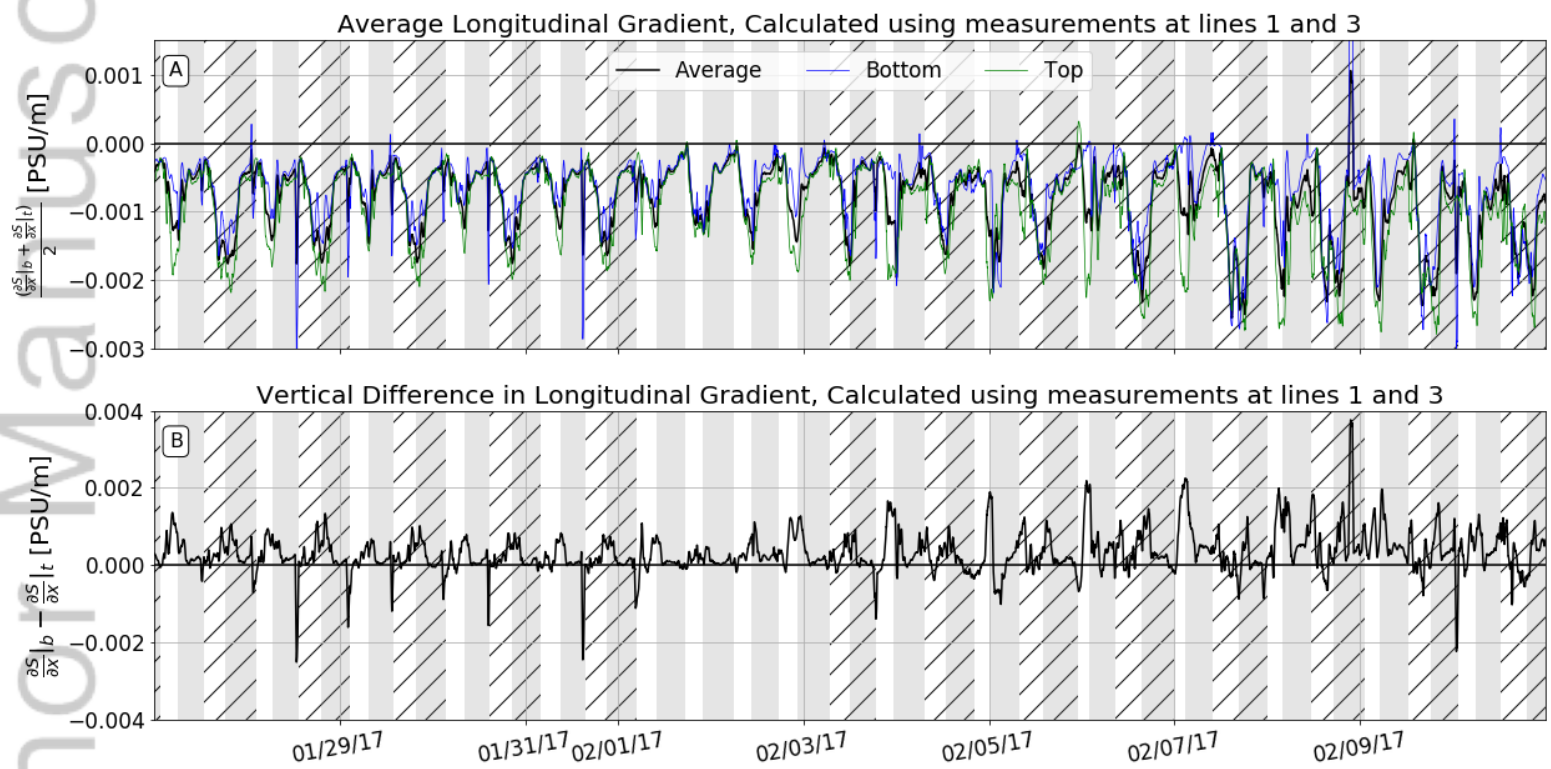
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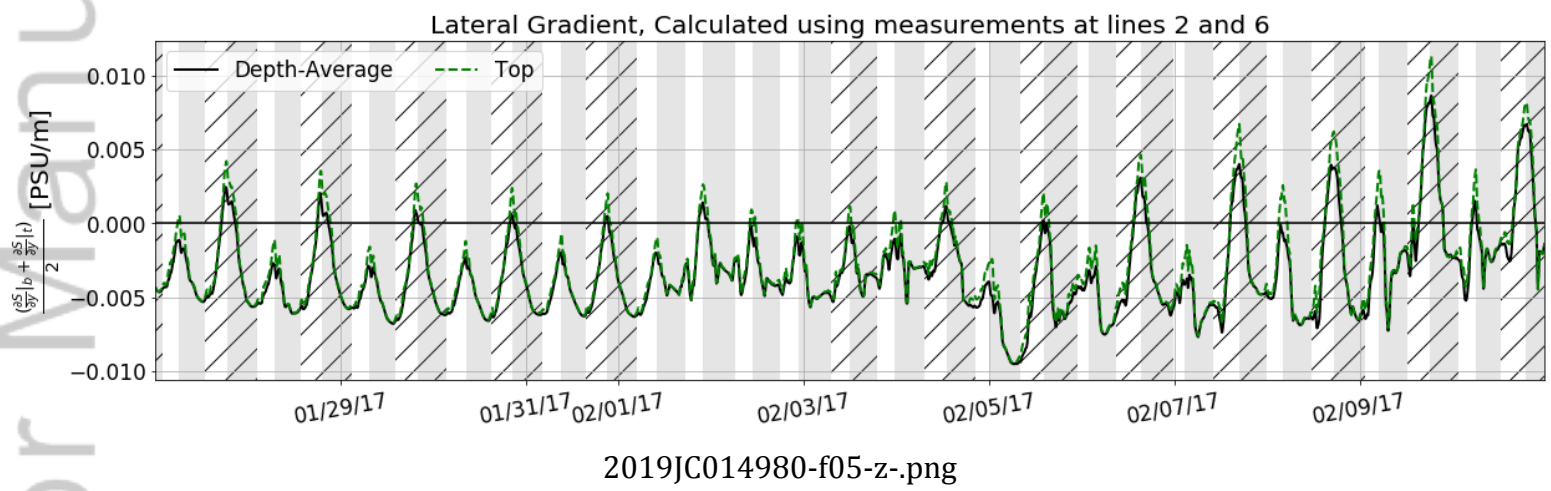
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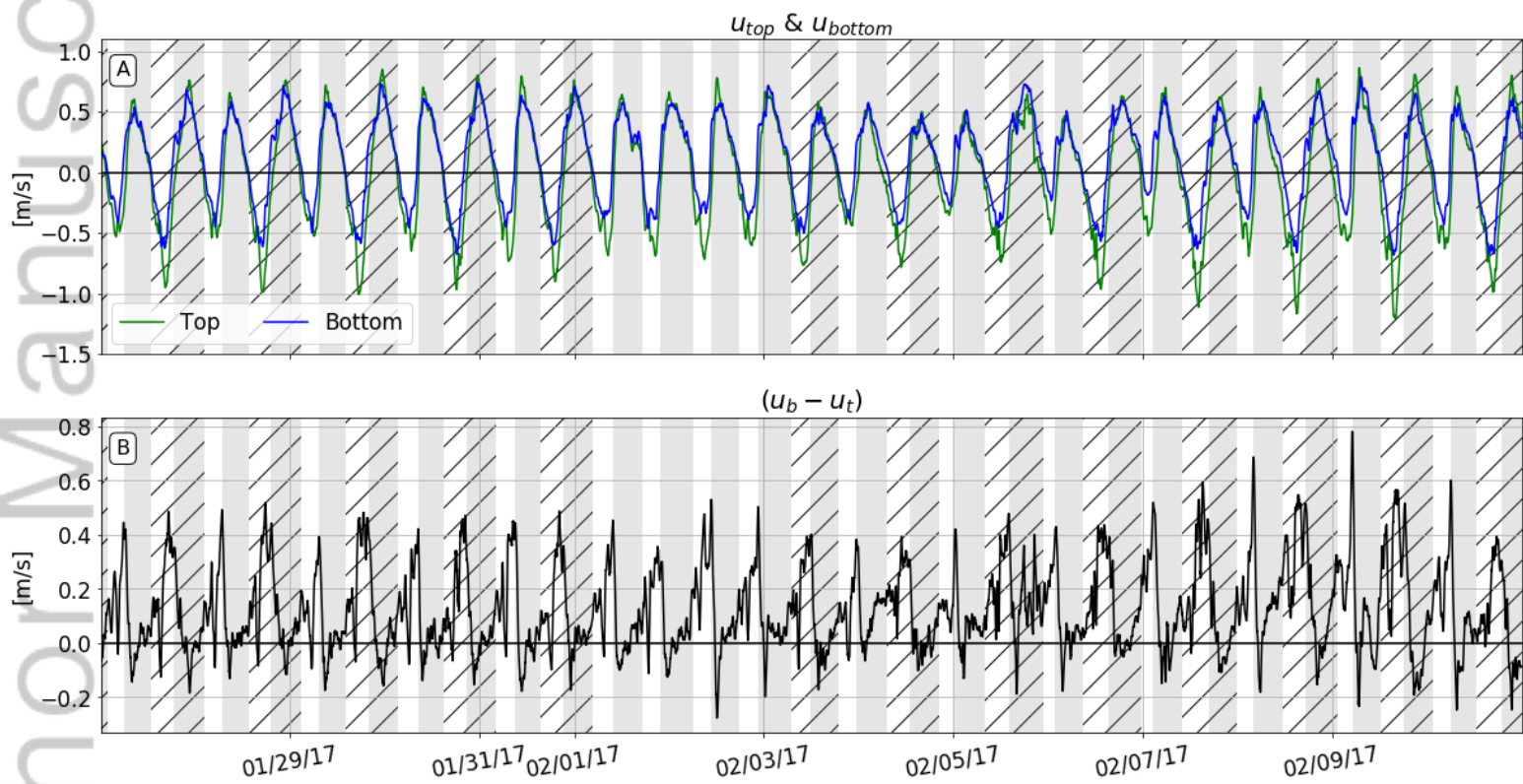


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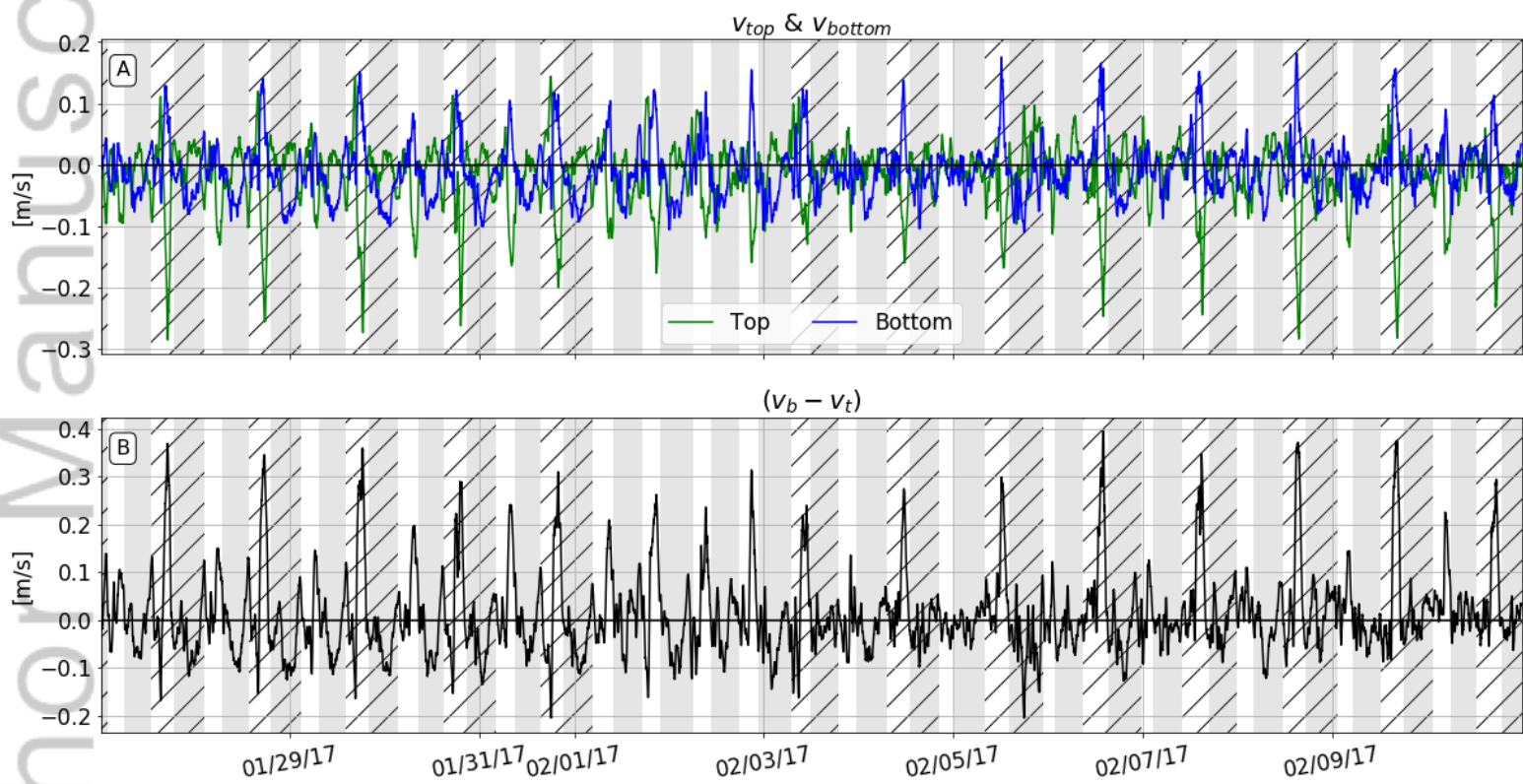


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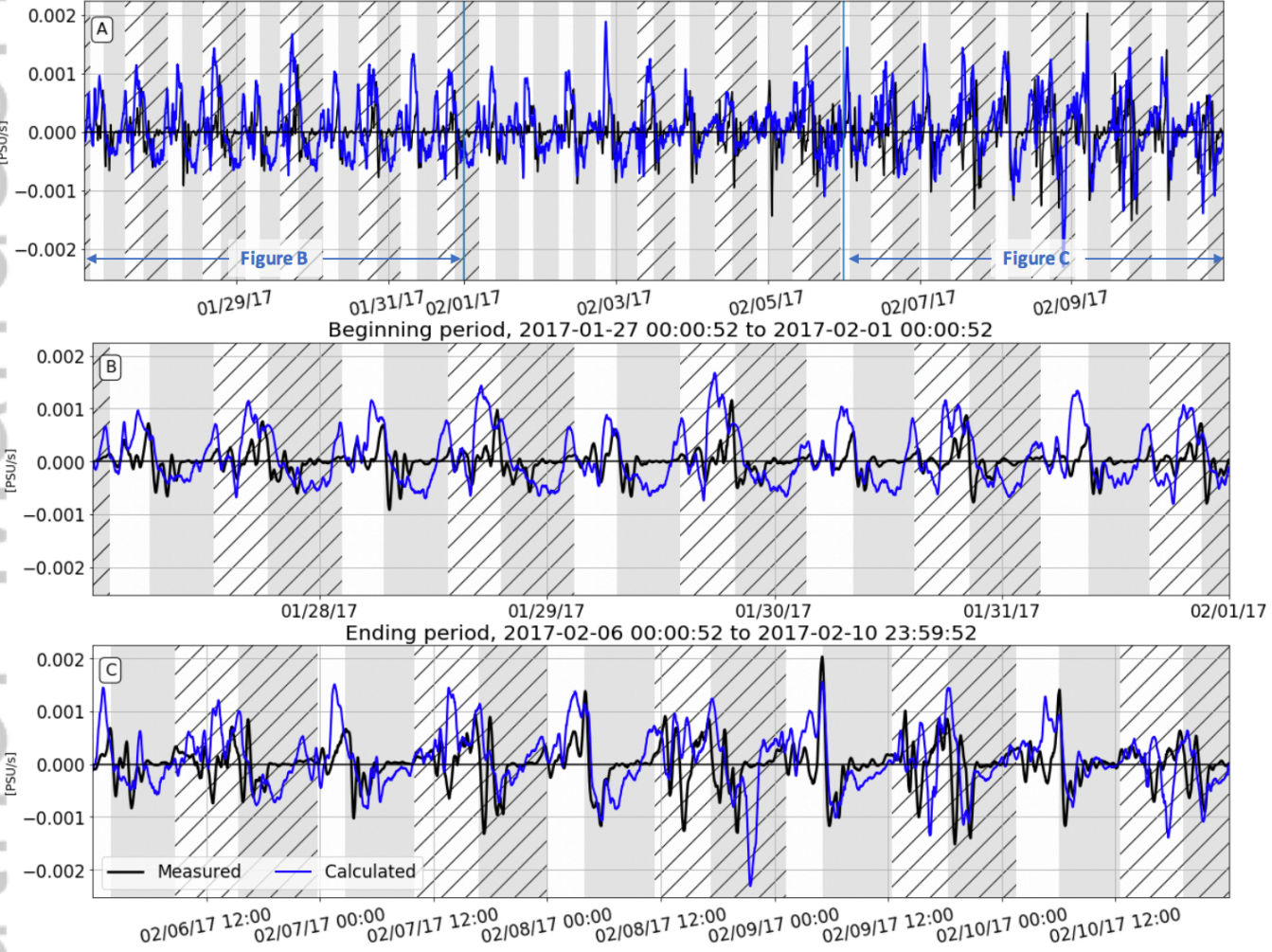


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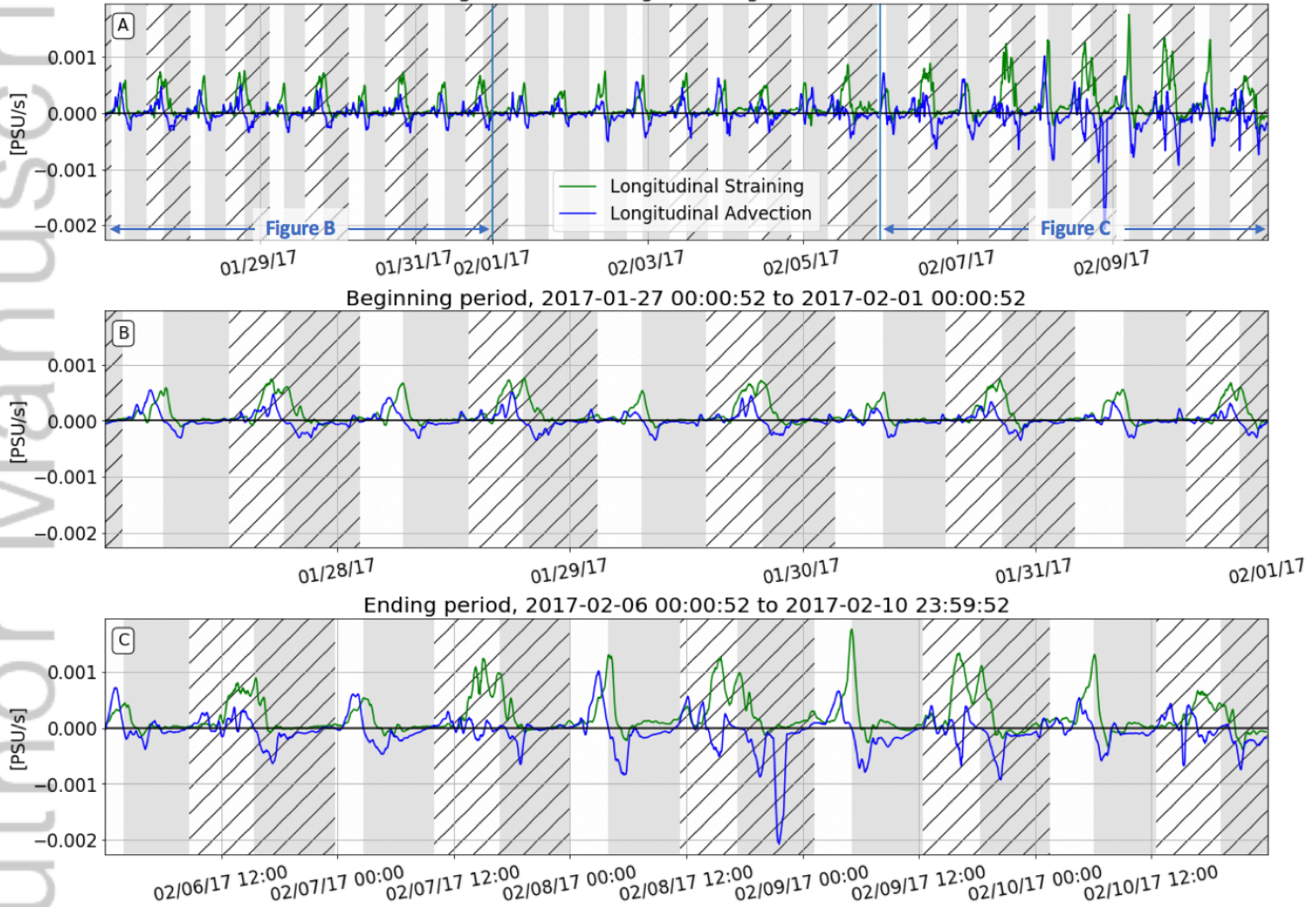
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Measured versus Calculated dS_z/dt , Longitudinal Gradients Calculated using Lines 1 and 3, Lateral Gradients Calculated using Lines 2 and 6

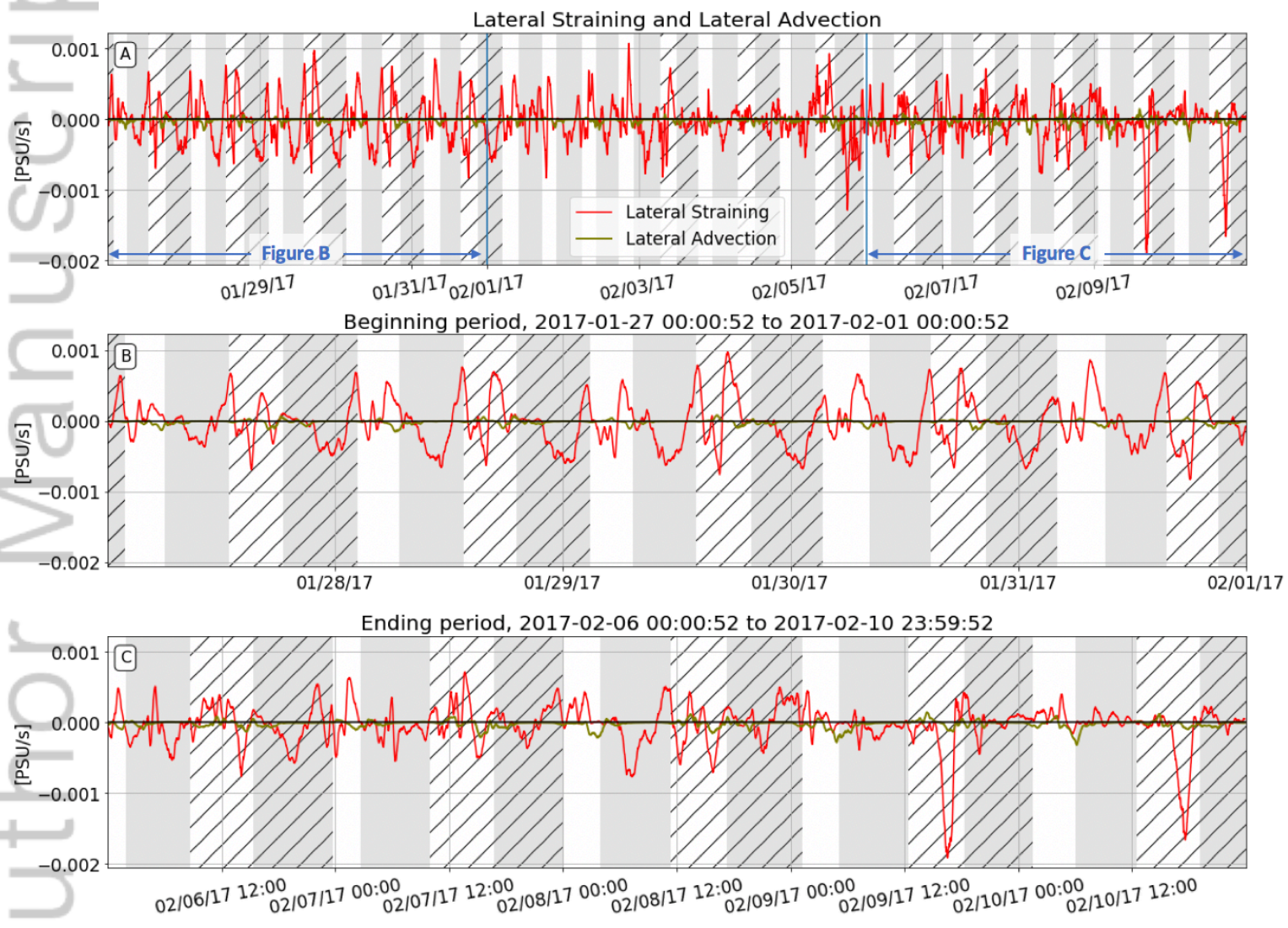


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Longitudinal Straining and Longitudinal Advection

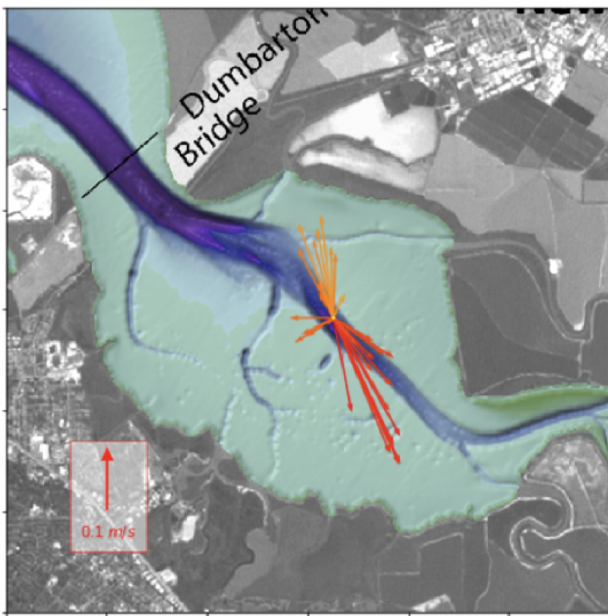


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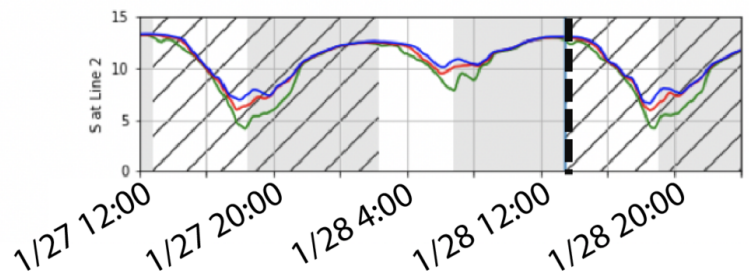
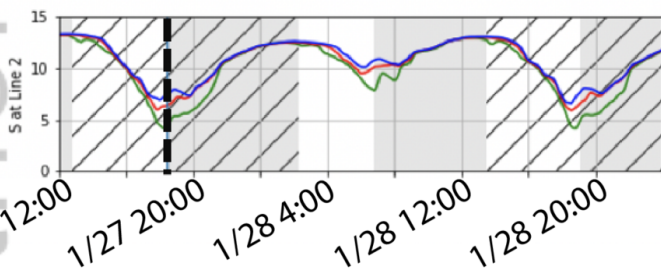
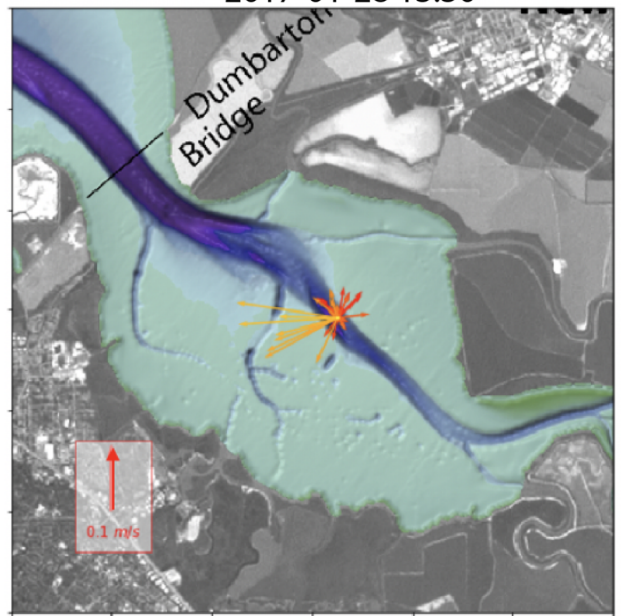


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A) Ebb to Flood
2017-01-27 18:30



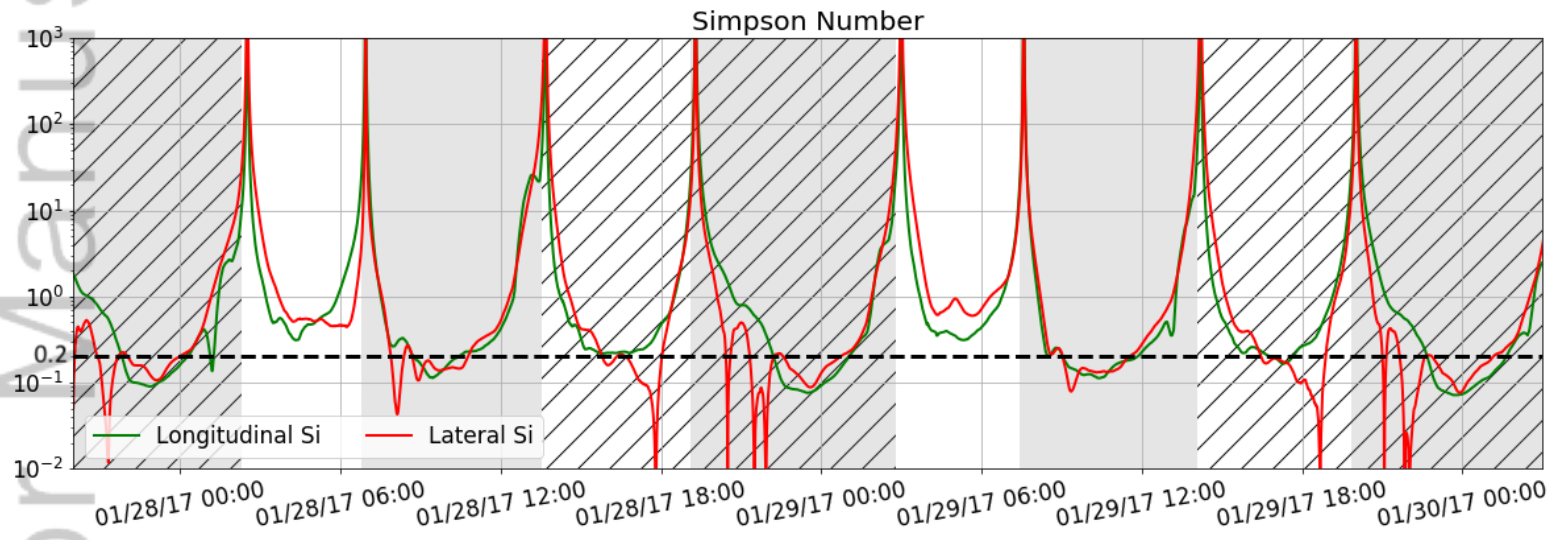
B) Flood to Ebb
2017-01-28 13:30



Top of water column

Bottom of water column

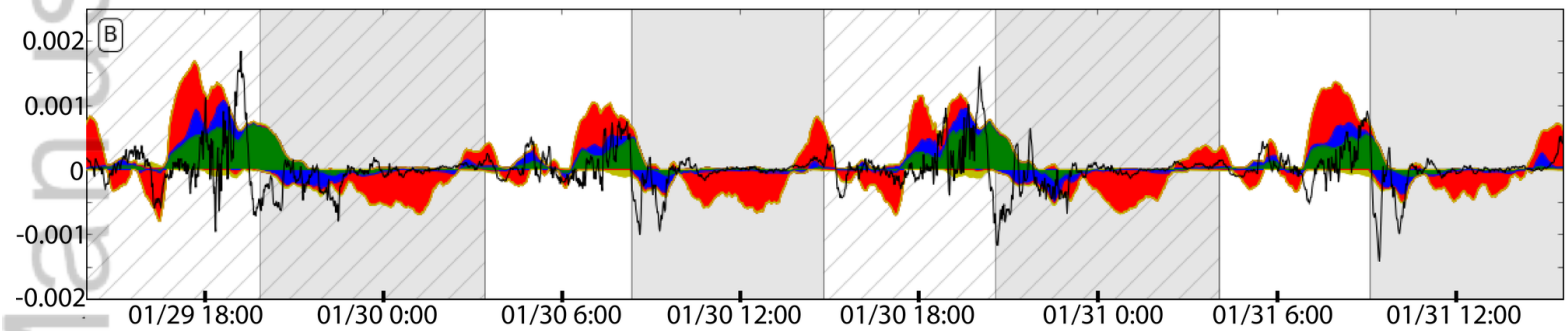
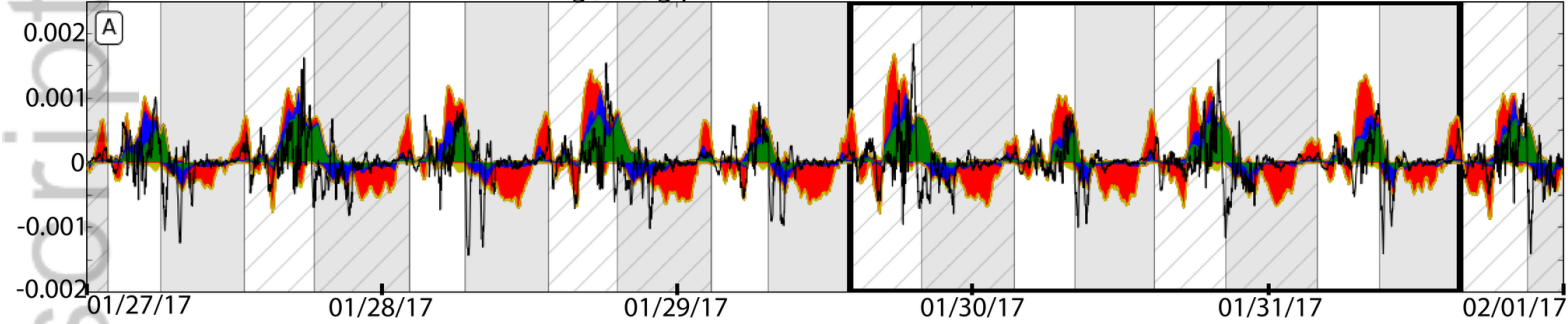
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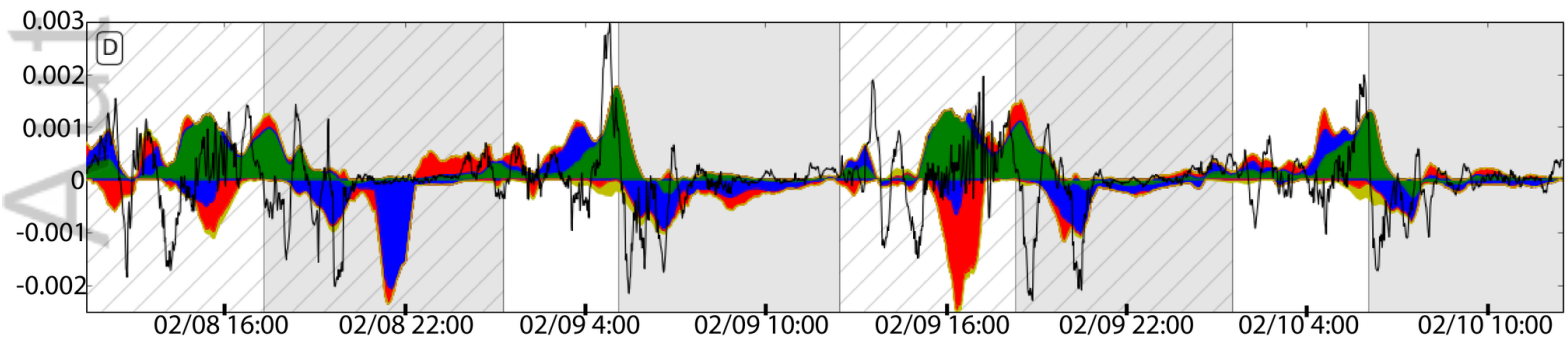
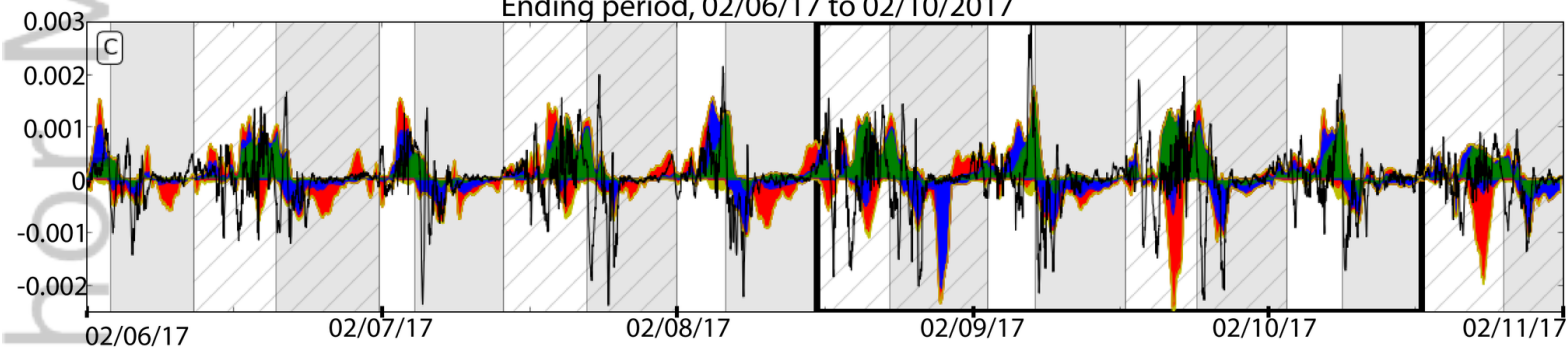
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■ Longitudinal Straining ■ Lateral Straining ■ Longitudinal Advection ■ Lateral Advection

Beginning period, 01/27/17 to 02/01/17

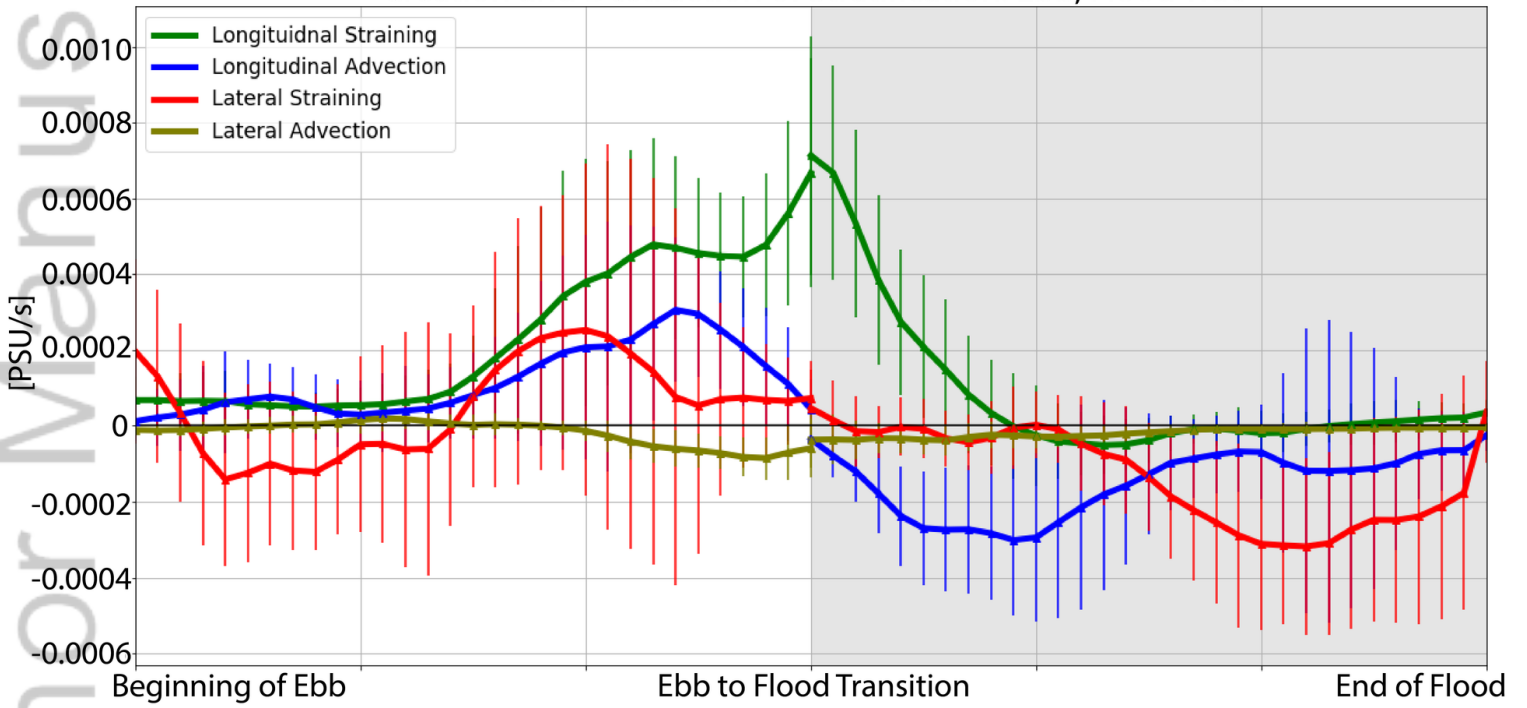


Ending period, 02/06/17 to 02/10/17



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Creation and Destruction of Stratification: Ebb, Flood Tide



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