1 DR ANDRAS KOMAROMY (Orcid ID : 0000-0002-8845-0588) 2 3 4 : Review 5 Article type 6 7 VIEWPOINT ARTICLE 8 9 The future of canine glaucoma therapy 10 András M. Komáromy, DrMedVet, PhD, DACVO, DECVO;1 Dineli Bras, DVM, MS, 11 DACVO;² Douglas W. Esson, BVSc, MRCVS, DVM, DACVO;³ Ronald L. Fellman, MD;⁴ Sinisa D. Grozdanic, DVM, PhD, DACVO;⁵ Larry Kagemann, PhD, FARVO;⁶⁻⁸ Paul E. Miller, 12 13 DVM, DACVO;⁹ Sayoko E. Moroi, MD, PhD;¹⁰ Caryn E. Plummer, DVM, DACVO;¹¹ John S. Sapienza, DVM, DACVO;¹² Eric S. Storey, DVM, MS, DACVO;¹³ Leandro B. Teixeira, DVM, 14 15 MSc, DACVP;14 Carol B. Toris, PhD;15 Terah R. Webb, DVM, DACVO16 16 17 ¹College of Veterinary Medicine, Michigan State University, East Lansing, MI, USA; ²Centro de 18 Especialistas Veterinarios de Puerto Rico, San Juan, PR, USA; ³Veterinary Ophthalmic 19 Consulting, Irvine, CA, USA; ⁴Glaucoma Associates of Texas, Dallas, TX, USA; ⁵Animal Eye 20 Consultants of Iowa, Hiawatha, IA, USA; ⁶U.S. Food and Drug Administration, Silver Spring, MD, USA; ⁷New York University School of Medicine, New York, NY, USA; ⁸Department of 21 Ophthalmology, School of Medicine, University of Maryland, Baltimore, MD; 9School of 22 23 Veterinary Medicine, University of Wisconsin-Madison, Madison, WI, USA; ¹⁰Kellogg Eye 24 Center, University of Michigan, Ann Arbor, MI, USA; ¹¹College of Veterinary Medicine, 25 University of Florida, Gainesville, FL, USA; ¹²Long Island Veterinary Specialists, Plainview, NY, USA; ¹³South Atlanta Veterinary Emergency & Specialty, Favetteville, GA, USA; 26 ¹⁴University of Wisconsin-Madison, Madison, WI, USA; ¹⁵Truhlsen Eye Institute, University of 27 Nebraska Medical Center, Omaha, NE, USA; ¹⁶MedVet Medical & Cancer Centers for Pets, 28 29 Worthington, OH, USA This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may

lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1111/VOP.12678

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- 8 Running title: The future of canine glaucoma therapy
- 9 ABSTRACT
- 10 Canine glaucoma is a group of disorders that are generally associated with increased intraocular
- 11 pressure (IOP) resulting in a characteristic optic neuropathy. Glaucoma is a leading cause of
- 12 irreversible vision loss in dogs and may be either primary or secondary. Despite the growing
- 13 spectrum of medical and surgical therapies, there is no cure, and many affected dogs go blind.
- 14 Often eyes are enucleated because of painfully high, uncontrollable IOP. While progressive
- vision loss due to primary glaucoma is considered preventable in some humans, this is mostly
- 16 not true for dogs. There is an urgent need for more effective, affordable treatment options.
- 17 Because newly developed glaucoma medications are emerging at a very slow rate and may not
- 18 be effective in dogs, work towards improving surgical options may be the most rewarding
- 19 approach in the near term. This Viewpoint Article summarizes the discussions and recommended
- 20 research strategies of both a Think Tank and a Consortium focused on the development of more
- 21 effective therapies for canine glaucoma; both were organized and funded by the American
- 22 College of Veterinary Ophthalmologists Vision for Animals Foundation (ACVO-VAF). The
- recommendations consist of (1) better understanding of disease mechanisms, (2) early glaucoma
- 24 diagnosis and disease staging, (3) optimization of IOP-lowering medical treatment, (4) new
- surgical therapies to control IOP, and (5) novel treatment strategies, such as gene and stem cell
- therapies, neuroprotection, and neuroregeneration. In order to address these needs, increases in
- 27 research funding specifically focused on canine glaucoma are necessary.
- 28
- 29 Key Words: canine, glaucoma, intraocular pressure, aqueous humor, optic nerve, surgery.
- 30 INTRODUCTION

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Canine glaucoma is an often painful, complex group of blinding optic neuropathies that have in 1 common elevated intraocular pressure (IOP) leading to loss of retinal ganglion cells (RGCs) and 2 3 their axons, associated with degeneration of optic nerve head (ONH) and retina. Glaucoma is a 4 leading cause of irreversible vision loss in both humans and dogs.(1-4) Impaired aqueous humor 5 drainage through the physiologic outflow pathways is responsible for increases in IOP. Canine glaucoma is defined as either primary or secondary, the latter being caused by a clinically or 6 7 histopathologically detectable underlying disease process. Secondary glaucoma is among the 8 most feared complications following canine cataract surgery with an estimated incidence of 5-9 19% over a 2-year post-operative period; in some breeds, such as Boston Terriers, Shih Tzus, 10 and Labrador Retrievers, this glaucoma incidence can rise to 29-38%, suggesting a possible hereditary component.(5-11) Current medical and surgical treatments aim at slowing vision loss 11 12 by maintaining IOP at a healthy level. The range of such a non-damaging IOP is poorly 13 understood and likely varies between individuals based on factors such as the biomechanical 14 properties of the eye. For many forms of canine glaucoma there is no cure with vision loss 15 progressing despite intensive and costly medical and surgical treatments. This is in contrast to 16 primary glaucoma in human patients where vision loss is manageable and can be prevented in 17 some with early diagnosis and intervention.(12) Glaucoma therapies in dogs frequently fail 18 within months with rebounding IOP elevation and blindness, thus illustrating a need for more 19 effective, affordable treatment options. During a recent survey performed by the American College of Veterinary Ophthalmologists Vision for Animals Foundation (ACVO-VAF) a 20 21 majority of responding ACVO Diplomates (board certified veterinary ophthalmologists) consider 22 research towards this goal one of the most pressing needs based on their clinical practice. 23 Because newly developed glaucoma medications are emerging at a very slow rate, are optimized 24 for the human eye, and may not show enhanced efficacy in dogs, the survey revealed that focus 25 on improved surgical therapies may be the most rewarding approach in the near term. On November 5, 2016, the ACVO-VAF organized and funded its second Think Tank at the 26 Detroit Metropolitan Airport Westin Hotel in Michigan (USA) to develop recommendations for 27 28 research and clinical strategies towards improvement of canine glaucoma therapies, with a 29 special focus on surgical treatments. The event was followed by the establishment of a Canine 30 Glaucoma Consortium to (1) review and update nomenclature, (2) create toolkits for data collection, (3) coordinate research efforts, (4) review and compile clinical and research data and 31

samples, (5) establish more accurate glaucoma classifications, and (6) review emerging 1 2 discoveries. This Viewpoint Article summarizes the discussions and recommended strategies of 3 both Think Tank and Consortium; they are grouped under the following main topics: (1) Better 4 understanding of disease mechanisms, (2) early glaucoma diagnosis and disease staging, (3) 5 optimization of IOP-lowering medical treatment, (4) new surgical therapies to control IOP, and (5) novel treatment strategies, such as gene and stem cell therapies, neuroprotection, and 6 7 neuroregeneration. Potential differences in depth of focus between these topics correlate with 8 how they were weighed during our deliberations. 9

10 BETTER UNDERSTANDING OF DISEASE MECHANISMS

11 Despite recent experimental advances in the protection and regeneration of RGCs and their axons, lowering IOP to prevent or slow ONH damage will remain the main focus of canine 12 13 glaucoma therapy in the foreseeable future. In order to develop more effective treatments that 14 target specific disease mechanisms, it is critical that the anatomy and physiology of aqueous 15 humor outflow pathways are evaluated in greater detail in normal and glaucomatous eyes (Fig. 16 1). Frequently, assumptions are made that these pathways in dogs are similar to human eyes; 17 however, this is not true in all aspects. For example, the pectinate ligament, dysplasia of which is 18 considered a risk factor for the development of canine primary angle-closure glaucoma (PACG). 19 is present in dogs but not humans. Another example is the differences in the post-trabecular 20 meshwork outflow pathways, including human Schlemm's canal vs. canine angular aqueous 21 plexus. While the human Schlemm's canal has been studied in detail, much remains to be 22 learned about the canine angular aqueous plexus and its role in glaucoma pathogenesis. We also 23 need to improve our knowledge of canine glaucoma risk factors and pathogenesis, including 24 differences between the dog and other species and between individual canine breeds, to allow 25 earlier diagnosis and treatment to prevent continued RGC loss and blindness. We believe that 26 early disease recognition will be facilitated by the increased accessibility and affordability of powerful diagnostic technologies, including high-resolution imaging tools (e.g., high-resolution 27 28 ultrasonography [HRUS]/ultrasound biomicroscopy [UBM], optical coherence tomography 29 [OCT], and anterior segment angiography) and more frequent IOP measurements by telemetric 30 devices or home monitoring. Furthermore, as shown by recent advances in canine glaucoma genetics, improving molecular laboratory tools, such as next-generation sequencing and 31

proteomics, facilitate the detailed investigation of genetic risk factors as well as molecular and cellular disease mechanisms. Table 1 lists specific topics related to canine primary and secondary glaucoma that we identified as important. The list represents our discussions in that it focuses on the anterior segment and IOP. We avoided further prioritization because choice of research topic and wording of specific aims will depend on the expertise and resources available to an individual investigator or team. While multiple forms of secondary glaucoma exist, our discussions focused on post-phacoemulsification glaucoma.

8

9 EARLY GLAUCOMA DIAGNOSIS AND DISEASE STAGING

10 Closely associated with the incomplete understanding of disease mechanisms is our inability to 11 diagnose pre-clinical disease stages and to predict disease onset, especially in canine PACG. 12 Addressing this shortcoming is critical to determine when more effective treatment should be 13 initiated to delay or prevent vision loss (Table 2). This challenge may be addressed by novel 14 and/or improving diagnostic technologies that will allow a more detailed structural and 15 functional assessment of the eye and for an evaluation of the effect of various glaucoma drugs on 16 the outflow pathways in glaucoma.(13, 14) Many of these technologies are being developed in a 17 laboratory setting and/or for application in human patients, and they need to be validated for 18 dogs. It is beyond the scope of this article to list pros and cons for all of these methods, but 19 continual assessment of their usefulness is needed since they are constantly evolving. 20 Technological advances have been most dramatic in high-resolution imaging, such as OCT and 21 HRUS/UBM (Figs. 2 and 3), and functional testing such as chromatic pupillary light reflex and 22 advanced electroretinography.(15-20) As prices for many of these technologies decrease, and 23 they become more user-friendly, their application will be more realistic for the veterinary 24 practice. To go even further, improvements of monitoring by dog owners will likely become 25 possible in the not too distant future thanks to smart phone applications, user-friendly home tonometry, and continuous IOP monitoring with telemetric technologies.(21, 22) The 26 development of tools to recognize and identify a healthy IOP range with its individual variability 27 28 will be important for early diagnosis. Progress in canine glaucoma genetics has been made, 29 especially for primary open-angle glaucoma (POAG), but more work needs to be done to 30 identify reliable disease markers that help in risk assessment and diagnosis, especially for canine PACG, which is more challenging to investigate because of its complex nature.(23, 24) The 31

ACVO-VAF Canine Glaucoma Consortium is initiating and coordinating a large-scale, 1 2 multicenter project to collect DNA and tissue samples, and gonioscopy and UBM iridocorneal 3 angle measurements from glaucoma-affected and control dogs to develop improved biomarkers 4 that allow reliable identification of early, pre-clinical glaucoma stages and/or dogs at risk of 5 developing disease. For example, the development of a chip-based diagnostic DNA test may facilitate the early detection and treatment of hereditary glaucoma based on the presence of 6 7 specific genetic markers years before the emergence of clinical signs. Early therapeutic 8 intervention could result in significant delay or prevention of advanced disease and vision loss. 9

10 OPTIMIZATION OF IOP-LOWERING MEDICAL TREATMENT

11 Medical therapies continue to play an important role either separately or concurrent with surgical treatments. To date, the only treatable glaucoma risk factor is IOP; additional medical treatment 12 13 options may be identified in the future. Current drugs are aimed at either decreasing aqueous 14 humor production or improving drainage through conventional and unconventional pathways 15 (Fig. 1). Unfortunately, the development of new, IOP-lowering medications has been slow and 16 most of these medications are optimized to treat human rather than canine glaucoma. Different 17 forms of canine glaucoma may respond differently to specific medications. For example, results 18 of IOP studies performed in Beagle POAG may not translate to other forms of canine glaucoma. 19 Furthermore, we have observed inter-individual differences in dogs' responsiveness to glaucoma 20 drugs. Recent efforts are geared towards the development of mechanistic-based therapies with 21 the hope that they would be more effective. Two topical IOP-lowering medications recently approved by the U.S. Food and Drug Administration (FDA) are latanoprostene bunod 22 23 (Vyzulta[™]; Bausch & Lomb Incorporated, Bridgewater, NJ) and netarsudil (Rhopressa[™]; Aerie 24 Pharmaceuticals, Bridgewater, NJ and Research Triangle Park, NC). Latanoprostene bunod is a 25 nitric oxide donating prostaglandin F2 α agonist with improved IOP-lowering effect in ADAMTS10-mutant beagles with POAG compared to latanoprost.(25-27) The enhanced 26 effectiveness of latanoprostene bunod is based on the beneficial effect on both uveoscleral and 27 28 trabecular aqueous humor outflow.(28) Netarsudil is a Rho kinase (ROCK) inhibitor and the first 29 drug specifically designed to target the trabecular meshwork cells. Based on this mechanism of 30 action, the drug is expected to be more effective in POAG than PACG. ROCK inhibition reduces

31 cell contractility and cell stiffness, and it decreases expression of fibrosis-related proteins,

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resulting in increased trabecular outflow facility.(29-31) In addition, netarsudil has 1 2 norepinephrine transporter (NET) inhibitory activity, which may be responsible for the 3 documented reduction of aqueous humor production and decrease in episcleral venous pressure, 4 thereby further contributing to the lowering of IOP.(29, 32, 33) Testing of netarsudil 0.02% 5 ophthalmic solution (corresponding to commercial RhopressaTM) resulted in IOP reduction of ~5 mmHg in normal Dutch Belted rabbits and Formosan Rock monkeys, but there are no published 6 7 reports on its effectiveness in dogs.(29) Canine studies of netarsudil were limited to corneal 8 metabolic assays, and the ROCK inhibitor Y27632 has been shown to stimulate corneal 9 endothelial wound healing in normal dogs following experimental transcorneal freezing.(29, 34) 10 Netarsudil has been combined with latanoprost (Roclatan[™], Aerie Pharmaceuticals) with an 11 improvement in IOP reduction in human patients with POAG or ocular hypertension.(35) 12 Poor adherence to eve drop administration is a major factor contributing to the progression of 13 glaucomatous optic neuropathy in human patients. An estimated 50% of patients do not adhere to 14 their medication over 75% of the time. (36) In addition, only 60–70% of prescribed doses of eve 15 drops are taken by glaucoma patients.(37) Drug administration adherence in canine glaucoma 16 has rarely been studied, but also could be a concern. In one study evaluating the capability of 17 demecarium bromide or betaxolol to prevent/delay the onset of PACG in the normotensive 18 fellow eyes of dogs with unilateral PACG, 78-94% of clients self-reported that they administered 19 the medications at least 90% of the time, and 93-97% reported that they administered it at least 20 50% of the time. (38) To address this problem in human patients, several drug companies have 21 developed devices for long-term, sustained drug release, either onto the corneal surface or into 22 the anterior chamber. Most of these drug implants release prostaglandin analogues; some were 23 moved from preclinical testing into clinical application in human patients. Externally placed 24 devices include the OTX-TP travoprost punctal plugs (Ocular Therapeutix, Inc., Bedford, MA) 25 and the Helios[™] bimatoprost periocular ring (Allergan plc, Dublin, Ireland) for placement into 26 the conjunctival fornix. (39, 40) Intracameral implants include Bimatoprost SR (Allergan), ENV515 travoprost (Envisia Therapeutics, Inc., Durham, NC), OTX-TIC travoprost (Ocular 27 Therapeutix, Inc.), and iDose travoprost (Glaukos®, San Clemente, CA).(39, 41) Intracameral, 28 29 biodegradable latanoprost-, bimatoprost-, and travoprost-releasing devices have been tested 30 successfully in normal dogs and dogs with POAG, but we are not aware of any plans to move these devices into veterinary clinical application.(41-44) The use of ocular, slow-releasing drug 31

implants is not new in veterinary ophthalmology since cyclosporine devices are being used in
 both horses and dogs for recurrent uveitis, immune-mediated keratitis, and keratoconjunctivitis
 sicca.(45-47)

4 Our discussions on medical management of canine glaucoma also included the evaluation of 5 compounds to decrease the rate of secondary glaucoma following phacoemulsification surgery. The detailed functional and morphological assessment of the aqueous humor outflow pathways 6 7 following canine cataract surgery should be continued (Fig. 4).(48, 49) The ability of cholinergics (e.g., carbachol) or prostaglandin analogues (e.g., latanoprost) to reverse some of 8 9 the anatomic alterations in ciliary cleft morphology associated with lens extraction needs to be 10 further evaluated in randomized and adequately powered, prospective clinical trials.(50) Additionally, there are clear indications that the formation of pre-iridal fibrovascular membranes 11 12 (PIFVMs) impairs aqueous humor drainage and elevates IOP.(7) It is suspected that upregulation 13 of vascular endothelial growth factor (VEGF) expression associated with lens-induced uveitis is 14 one of the factors resulting in PIFVM formation.(51) The intravitreal administration of anti-15 VEGF compounds, such as ranibizumab (Lucentis®, Genentech, Roche Group, South San 16 Francisco, CA) or bevacizumab (Avastin®, Genentech), is performed routinely in human 17 patients with age-related macular degeneration and diabetic retinopathy and also could be 18 considered for the treatment of dogs following cataract surgery.(52, 53) These injections are 19 mostly safe in human patients, but adverse effects, including immune-mediated uveitis directed 20 at a humanized protein and decrease in aqueous humor outflow facility, have been reported in 21 few human patients and also observed by some of us following injection in canine eyes 22 (unpublished).(54-56) Clearly, other anti-neovascular strategies, such as gene therapies, are 23 needed to address some of these limitations in the dogs, including the need for repeated intraocular injections.(57) 24 25 Until specific therapeutic targets can be identified in dogs, the use of better antiinflammatory drugs may be the most promising option currently available. These drugs need to 26 inhibit lens-induced uveitis and re-establish the blood-aqueous barrier following cataract surgery. 27 28 Compounds/methods that have been discussed, and are already used by some veterinary

29 ophthalmologists, include the intraocular administration of corticosteroids by injection or

30 implant (e.g., Retisert® fluocinolone acetonide intravitreal implant, Bausch & Lomb,

31 Bridgewater, NJ), and highly potent steroidal and nonsteroidal anti-inflammatory ophthalmic

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1 solutions/suspensions, such as difluprednate (Durezol®, Alcon Laboratories, A Novartis

2 Division, Fort Worth, TX) and nepafenac (Nevanac®, Alcon Laboratories), respectively.

3 Prospective randomized clinical trials are required to objectively evaluate the effectiveness of

4 these compounds in dogs.

5

6 NOVEL SURGICAL THERAPIES TO CONTROL IOP

7 The development of new glaucoma medications is slow, arduous, costly and optimized for the

8 human rather than canine eye. Therefore, the improvement of surgical management of canine

9 glaucoma may offer more promise in the foreseeable future for long-term IOP control and sight10 preservation.

11 Currently, the most commonly used surgical techniques for canine glaucoma are placement

12 of drainage implants to shunt aqueous humor to equatorial bleb-promoting reservoirs (Fig. 5),

13 cyclodestructive techniques to reduce aqueous humor production, and combinations of these two

14 methods.(58, 59) Even though recently introduced surgical methods appear promising with

15 increased success rates, they are often associated with intensive post-operative care and

considerable expense, and a substantial number of affected dogs still go blind. The method of
 choice is based on clinician preference and cost to owner, and is influenced by factors such as

18 breed, type and stage of glaucoma, and surgeon experience. Based on these factors, long-term

19 success rates for IOP control and preservation of sight vary considerably, but tend to improve

20 with more advanced technologies and modifications of surgical techniques. The most recently

- 21 published 1-year success rates of canine glaucoma surgery for both IOP control and sight
- 22 preservation are ~90% for Ahmed valved drainage implants,(21, 60) 65-75% for Baerveldt
- 23 nonvalved glaucoma drainage device,(61, 62) 41-92% for transscleral cyclophotocoagulation
- 24 (TSCP) alone or in combination with the placement of Ahmed valved drainage implants,(62-67)
- and 72-74% for endolaser cyclophotocoagulation (ECP).(58) Even though we have to be careful
- 26 when comparing human and canine studies because of different study designs,

27 inclusion/exclusion criteria, and specific outcome measures, these canine success rates are

28 comparable to published results in human patients for Ahmed and Baerveldt drainage devices

- 29 (ClinicalTrials.gov Identifier: NCT00376363).(68) Most of the published canine studies are
- 30 severely limited because of small sample size and short follow up period; these are shortcomings
- 31 that need to be addressed in the future, for example by taking advantage of multicenter studies.

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2 complications which can result in vision loss and which should be addressed in future research 3 projects include ocular hypertension, intracameral fibrin formation, ocular hypotony and phthisis 4 bulbi, cataract formation, and corneal ulceration.(61, 62) The confounding effect of 5 inflammation in canine eyes with PACG has to be considered in the development and improvement of surgical therapies.(69) 6 7 Improvements in implant design, surgical technique, modulation of wound healing, patient 8 selection, and post-operative management, including IOP home monitoring to detect early 9 implant failure, have resulted in improved success rates using the Ahmed valved device as a 10 single surgical treatment option.(21, 60) Other drainage implants that are being used in dogs include Molteno and Baerveldt devices, as well as frontal sinus shunts.(61, 62, 70) Scar 11 12 formation over the subconjunctival bleb is one of the main reasons for implant failure in both 13 human and canine patients. For reasons that remain to be determined, bleb fibrosis appears much 14 more exaggerated in dogs than humans. Currently, the main approach to inhibit scar formation 15 continues to be the intraoperative treatment of the bleb site with antimitotic compounds, such as 16 mitomycin-C (MMC) or 5-fluorouracil (5-FU).(21, 59, 61, 62) These reagents have to be 17 handled with great care because of their potential toxic effect and possible conjunctival 18 necrosis.(59, 60) Whenever capsule fibrosis has developed and IOP starts to increase, repeated 19 bleb revision of the overlying conjunctiva is indicated by removing a portion of the fibrotic 20 capsule over the implant and/or injection of an antimitotic reagent such as 5-FU.(59) The search 21 for new, improved anti-fibrotic treatment strategies ('scar wars') has been ongoing for many 22 years and continues to be a high priority.(71-74) The use of new compounds and molecular 23 therapies are being considered for targeting of specific profibrotic molecular pathways.(71-75) 24 In humans, a two-stage drainage implant technique is oftentimes useful to reduce 25 complications related to hypotony and inflammation and may be applicable for some forms of canine glaucoma. This method was proposed in 1979 by Molteno et al.(76) In the first stage, the 26 episcleral plate of the drainage device is positioned without intracameral tube insertion. A 27 28 capsule is allowed to form around the plate over ~6-week period. Subsequently, the silicone tube 29 is inserted into the anterior chamber during the second stage. The already formed capsule provides resistance to aqueous outflow, allowing a more controlled IOP decrease.(76) 30

This has been recognized as a high priority during our discussions. The most common

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Despite the recent emergence of ECP (see below), diode laser TSCP is still widely used by 1 2 veterinary ophthalmologists, either as a sole surgical tool or in combination with the placement 3 of a drainage implant (21, 60, 63-67) While TSCP is relatively non-invasive and easy to perform, 4 the long-term success rate tends to be lower (50-92% IOP control and 50-53% vision at 1 year 5 post TSCP), unless the laser treatment is combined with drainage implants.(58, 59, 63-65) Vision-threatening complications associated with conventional TSCP include immediate IOP 6 elevation, corneal ulceration, retinal detachment, hemorrhage, and hypotony with resulting 7 8 phthisis bulbi. Most of these adverse effects are due to the nonselective destruction of adjacent 9 tissues because of the high temperatures reached in the target tissue.(58, 77) A novel technique 10 currently being evaluated for TSCP is the use of micropulse laser (Fig. 6; MicroPulse® Cyclo 11 G6; Iridex, Mountain View, CA).(78) The proposed main advantage of micropulse TSCP is the short wave of energy followed by an off cycle that allows the adjacent nonpigmented tissue to 12 13 cool off, thereby minimizing any collateral thermal damage to adjacent tissues.(78, 79) The exact 14 mechanism of action of micropulse TSCP still needs to be determined, but may consist not only 15 of ciliary ablation, but also improved conventional and uveoscleral aqueous humor outflow.(78, 16 79) Preliminary results about the effectiveness of the micropulse laser in dogs are mixed, with a 17 need to refine protocols and patient selection to improve long-term IOP-lowering treatment 18 effect and reduce the rate of complications, such as corneal ulceration.(79, 80) 19 Diode ECP is an attractive alternative to TSCP in the treatment of canine glaucoma. It is 20 combined with lens removal by phacoemulsification, or it also can be used for prophylactic 21 treatment combined with cataract removal in dogs at increased risk of glaucoma 22 development.(58) The main advantage of ECP over TSCP is that the ciliary processes and the 23 laser treatment effect can be visualized directly through an endoscope, allowing the use of more 24 controlled application of significantly less laser energy (Fig. 7). Even though ECP has been used 25 by veterinary ophthalmologists for over 10 years, peer-reviewed publications of large case series are lacking and considered of high priority by our group. The use of laser cyclophotocoagulation 26 may be potentially less effective in color-diluted dogs with blue irises and no pigment in the 27 28 ciliary musculature possibly resulting in less absorption of laser energy for photocoagulation.(81) 29 The introduction of microinvasive or minimally invasive glaucoma surgeries (MIGS) in the 30 surgical management of open-angle glaucoma in humans represents an innovative development. The impact of the various MIGS remains to be determined with long-term outcomes of effective 31

vative development

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IOP reduction and complications, and with appropriate comparative effectiveness trials and 1 2 meta-analyses.(82) Because of their minimal invasiveness with moderate IOP reductions, these 3 aqueous humor draining techniques are being considered more often as alternatives for the 4 treatment of early glaucoma stages, instead of medical therapies.(83) Even though MIGS are 5 being developed specifically for human patients, some could be considered for application in dogs, especially if they are not targeting the Schlemm's canal which does not exist in canines. 6 7 For example, the EX-PRESS® Mini Glaucoma Shunt (Alcon, A Novartis Division, Fort Worth, 8 TX) allows aqueous humor drainage from the anterior chamber beneath a scleral flap. Some of 9 the authors as well as others have used the EX-PRESS® Mini Glaucoma Shunt in selected cases 10 in combination with ECP or cataract surgery for temporary IOP relief, with a 1-year vision 11 survival rate of up to 80% (Saito 2018, personal communication).(84) Other approved devices and techniques used in human patients include InnFocus MicroShunt® (InnFocus, Inc., Miami, 12 13 FL), iStent® (Glaukos®, San Clemente, CA), and Gonioscopy-Assisted Transluminal 14 Trabeculotomy (GATT). The XEN® Gel Stent (Allergan Plc, Dublin, Ireland) is a microfistula 15 implant that consists of a glutaraldehyde cross-linked porcine gelatin tube that is placed ab 16 interno under direct gonioscopic visualization from the anterior chamber through the trabecular 17 meshwork and sclera into the subconjunctival space; its biocompatibility was successfully tested 18 over 1 year in normal Beagles, but we are not aware of any applications in glaucomatous 19 dogs.(85) Recently, the nanoengineered SalVO/Brown Glaucoma Implant (MicroOptx, Maple 20 Grove, MN) was proposed for use in dogs. (86, 87) This MIGS device drains aqueous humor onto 21 the ocular surface, and it was safe and effective to lower IOP in normal Yucatan pigs.(87) Safety 22 and efficacy remain to be determined in humans and dogs.

23

24 NOVEL TREATMENT STRATEGIES FOR THE FUTURE

25 Gene and stem cell therapies to control IOP

Major advances have been made over the past 20 years in ocular gene therapy, and a few retinal and optic nerve treatments have been translated into clinical application for human patients.(57, 88, 89) We anticipate that gene therapy of both the anterior and posterior segments of the eye will eventually benefit glaucoma patients. The identification of molecular disease pathways and genetic risk factors affecting the aqueous humor outflow pathways will allow us to target and

31 correct the disease pathogenesis very specifically, potentially resulting in long-term, effective

IOP control. Major advances in this direction already have been made by robust and safe 1 2 targeting of transgene expression to the trabecular meshwork in several animal species, including 3 dogs: Treatment has been done by aqueous paracentesis and intracameral administration of 4 adenovirus, lentivirus, and adeno-associated virus (AAV) gene therapy vectors.(90-94) The 5 recent development of novel capsid mutated virus particles resulted in an expanded AAV vector toolkit for targeting of the trabecular meshwork and other tissues within the anterior segment of 6 7 the eye that may contribute to increased aqueous humor outflow resistance in glaucoma.(91, 93-8 95) The good safety and efficacy record of AAV within the eye renders it a very attractive option for therapy and long-term IOP control in primary glaucoma. 9 10 Recently, trabecular meshwork-like cells were created from induced pluripotent stem cells 11 (iPSCs) and injected into the anterior chamber of transgenic, myocilin (MYOC)-mutant mice with POAG.(96, 97) Subsequently, the conventional outflow pathway was replenished with new TM 12 13 cells, resulting in improved outflow facility, IOP control, and halted RGC loss, even in animals

14 with advanced stages of glaucoma.(96, 97) These proof-of-concept studies indicate that stem

15 cell-based therapy also may become an option for long-term IOP control in dogs with primary16 glaucoma.

17

18 Modification of the eye's biomechanical properties

19 The biomechanical properties of the eye, most importantly its fibrous layer (cornea, sclera, and 20 lamina cribrosa), determine the susceptibility to various levels of IOP.(98) Even physiologic IOP 21 can damage RGC axons as they pass through the lamina cribrosa if the surrounding connective 22 tissue does not provide the necessary protective support. This may contribute to the disease 23 process in nearly half of human patients with open-angle glaucoma who are normotensive with 24 IOP measurements consistently lower than 21mmHg.(99, 100) A 30% IOP reduction in these 25 normotensive glaucoma patients can prevent progression of visual field loss.(101) As veterinary ophthalmologists we have a unique opportunity to study how the biomechanical properties of the 26 ocular tissues affect susceptibility to IOP. For example, we observe that ADAMTS10-mutant 27 28 beagles(102) and ADAMTS17-mutant Chinese shar-peis(103) with POAG maintain eye sight 29 longer with slower progression of ONH atrophy than many other glaucomatous dog breeds with 30 comparable pressures.(16) While proof still needs to be provided that tissue properties are linked to IOP susceptibility in dogs, initial biomechanical studies in ADAMTS10-mutant beagles 31

showed that their posterior sclera is weaker with reduced fibrous collagen density.(104-106)
Similarly, some genetically altered mice are resistant to glaucoma damage, while treatment of
the sclera with cross-linking agents worsens IOP-related damage to the RGC axons.(98) Once we
define advantageous biomechanical properties of the fibrous layer of the eye, therapeutic tools
can be developed to modify these properties in order to achieve a protective effect.

6 7 Neuroprotection

8 The final common pathway of all forms of glaucoma is the progressive loss of RGCs and their 9 axons, even when IOP is effectively controlled. Considerable effort has been put into the study 10 of IOP-independent disease mechanisms responsible for RGC death in animal models and human 11 patients, so that neuroprotective treatments can be developed. Some of these disease pathways, 12 which may or may not be triggered by IOP, include: excitotoxicity caused by excessive 13 excitatory amino acid release, such as glutamate and aspartate; (107, 108) neurotrophin 14 deprivation from blockage of retrograde axonal transport; (109-112) excessive intracellular 15 calcium;(113) compromised blood flow to the ONH and retina;(114-120) oxidative stress;(121, 16 122) inflammation and autoimmunity against retinal and optic nerve antigens;(123-125) and 17 reactive gliosis.(126-129) Unfortunately, most of these mechanisms have not yet been 18 investigated in dogs, and there may be profound species differences. For example, the unique 19 vascular anatomy in dogs may render their retina and ONH more susceptible to ischemia with 20 IOP variation.(130) 21 While many available compounds address some of these previously listed disease pathways 22 resulting in significant RGC protection in experimental animal models of glaucoma, none of 23 them has been moved successfully into clinical application. Two neuroprotective therapies that 24 have undergone clinical trials for glaucoma are memantine, given by oral route, and ciliary 25 neurotrophic factor (CNTF), continuously released into the vitreous (Fig. 8). Memantine is an N-methyl-D-aspartate (NMDA) receptor antagonist that counters the toxic effect of excessive 26 glutamate in the extracellular space; it is used traditionally for the treatment of Alzheimer's 27 28 disease. Memantine reduces RGC death and functional loss in experimental glaucoma in rats and 29 primates.(131-133) Unfortunately, protection of visual function by memantine could not be 30 demonstrated in human glaucoma patients enrolled in two phase 3 clinical trials.(134) The intravitreal administration of CNTF reportedly slows RGC death in rats with experimental 31

glaucoma.(135) The continuous release of CNTF by intravitreal encapsulated cell therapy was 1 2 tested recently in phase 1 clinical trials in patients with POAG (ClinicalTrials.gov 3 NCT01408472) and ischemic optic neuropathy (NCT01411657), but results have not yet been 4 published. Some veterinary ophthalmologists, including some authors of this article, are using 5 the calcium channel blocker amlodipine systemically in selected canine glaucoma patients based on its documented beneficial effects on ocular blood flow in normal dogs and potential 6 7 neuroprotection.(136) Currently, there is no data showing advantages of amlodipine in 8 glaucomatous dogs, and it is possible that the blood pressure lowering effect of the drug may 9 negatively affect ocular perfusion pressure. The development of neuroprotective therapies for 10 glaucoma continues to be a high-priority goal, which may also take advantage of tools such as 11 gene and stem cell therapies.(137, 138) 12 13 Neuroregeneration 14 In mammals, RGCs do not regenerate once they are lost; this is contrary to other classes of 15 animals, such as fish and amphibians, where retina and optic nerve can regenerate naturally. A 16 number of animal studies have shown that under the right circumstances, mammalian RGCs are 17 able to regenerate their axons and connect to the proper targets within the brain, resulting in 18 some functional recovery of eye sight.(139, 140) The replacement of lost RGCs and the regeneration of their axons are high priorities in glaucoma research, and our dog patients may also benefit from these efforts in the future. The National Eve Institute (NEI) within the National

some functional recovery of eye sight.(139, 140) The replacement of lost RGCs and the regeneration of their axons are high priorities in glaucoma research, and our dog patients may also benefit from these efforts in the future. The National Eye Institute (NEI) within the Nationa Institutes of Health (NIH) predicts that these goals are achievable within 10-15 years and has made them high priorities for research funding.(141) Furthermore, transplantation of RGCs by intravitreal injection is one method to replace lost RGCs.(142) Ultimately, even transplantation of whole eyes may become an option with improvements in optic nerve regeneration.

- there is still no cure and many affected dogs go blind. The improved knowledge of disease
- 29 mechanisms and the development of reliable biomarkers are critical so that animals at risk or in
- 30 early stages of disease can be identified more readily. Early diagnosis facilitates effective,
- 31 mechanism-based treatment before the occurrence of any clinically appreciable optic nerve

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damage and vision loss. To achieve these goals, we recommend research priorities for clinicians 1 and basic scientists. One of the main limitations in these efforts is the scarcity of major research 2 3 funding specifically dedicated to canine disease.

4 5 6 7 8

9 ACKNOWLEDGM

States Government.

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10 The Canine Glaucoma Think Tank and Consortium were supported by the American College of

11 Veterinary Ophthalmologists Vision for Animals Foundation (ACVO-VAF). Additional funding

12 of the authors' glaucoma research was provided by the ACVO-VAF (AMK), Bouvier Health

- 13 Foundation (PEM), BrightFocus Foundation (AMK: G2017185), Michigan State University
- 14 College of Veterinary Medicine Endowed Research Funds (AMK), and National Eye

15 Institute/National Institutes of Health (AMK: R01-EY025752; SEM: R01-EY022124).

16 The authors have the following potential conflicts of interest (in alphabetic order): Aerie

17 Pharmaceutical (RLF and SEM: research funding, clinical trial grant), Allergan (SEM: research

18 funding, clinical trial grant), Bausch and Lomb (RLF: research funding), Beaver-Visitec (RLF:

19 consultant), Cara Life (DWE: previous consultant), Elsevier (PEM: book royalties), Ivantis

(CBT: research funding), Nicox (CBT: research funding), OSOD (PEM: consultant), PolyActiva 20

(AMK: research funding), Santen (CBT: research funding), and Wolters Kluwer (SEM: book 21 22 royalties).

reflect the view of the United States Food and Drug Administration (FDA) or the United

Special thanks go to Ms. Jen Gazdacko (ACVO-VAF) for her technical support.

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23 The opinions expressed in this article are the authors' own and do not necessarily Commented [KA8]: Reviewer #1: "author's" replaced by 'authors

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21	
22	TABLES
23	Table 1: High-priority research topics towards the better understanding of canine glaucoma
24	disease mechanisms.
	Canine ocular anatomy and physiology
	Characterization of:
	- Anterior chamber anatomy and dimensions.
	- Aqueous humor dynamics, including estimation of conventional and unconventional
	outflow, by measurement of episcleral venous pressure, tonography and
	fluorophotometry.

- Aqueous humor outflow pathway structure and function.
- Effect of gender, breed, and age on anatomy and physiology of the anterior segment, including aqueous humor outflow pathways.

Pathogenesis of elevated IOP in canine breed-specific, primary glaucoma

Description of (including potential age-effect):

- Genetic risk factors.

.

- Role of anterior chamber depth.
- Location of increased outflow resistance, including segmental variations.
- Role of pectinate ligament and pectinate ligament dysplasia and its relationship with ciliary cleft width.
- Width of ciliary cleft at different disease stages and forms of disease, including segmental variations.
- Role of iris volume, shape ('plateau iris'), and pupillary block in iridocorneal angle closure.
- Effect of lens, including size and position, and lens zonules on aqueous humor outflow pathways, especially ciliary cleft.
- Role of episcleral venous pressure.
- Role of uveoscleral outflow.
- Role of inflammation.
- Role of pigment dispersion.
- Altered scleral biomechanics (stiffness) on aqueous humor outflow, including width of ciliary cleft and posterior uveoscleral outflow.
- Role of angular aqueous plexus.
- Role of choroidal thickness changes, including pulsatile component.

Pathogenesis of canine optic nerve head and retinal degeneration

Investigation of:

- Pathogenesis of tapetal sparing (less retinal atrophy superior vs. inferior).
- Role of abnormal ocular perfusion.

Pathogenesis of post-phacoemulsification glaucoma

Improve our understanding of:

- Risk factors and differences to other species, including human.
- Role of inflammation and use of anti-inflammatory drugs
- Effect of globe size.
- Effect of pectinate ligament dysplasia.
- Changes in ciliary cleft and lens zonule tension following cataract surgery.
- Role of surgeon experience.
- Possible correlation with post-operative hypertension.
- Effect of intraocular lens and capsular tension ring on ciliary cleft width and glaucoma development.
- Factors contributing to pre-iridal fibrovascular membrane (PIFVM) formation and its role in secondary glaucoma development.
- 1

2

 Table 2:
 Potential strategies towards improved early diagnosis, staging, and response to therapy

3 of canine glaucoma.

- Detailed clinical and genetic definition of breed-specific forms of primary glaucoma with similarities and differences with human forms of glaucoma.
- Development of more accurate classification of canine glaucoma.
- Standardization and development of grading scheme for ultrasound biomicroscopy measurements and ciliary cleft width.
- Facilitation of routine direct and indirect measurement of aqueous humor dynamics, such as episcleral venous pressure, tonography and fluorophotometry, allowing estimation of conventional and unconventional outflow.
- Development of continuous tonometry and determination of its value for early diagnosis.*
- Definition of safe, healthy target IOP and its potential individual variability.
- Routine assessment of iridocorneal angle morphology and regional variability, including pectinate ligament dysplasia and width of ciliary cleft.

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Commented [KA12]: Reviewer #1: All suggested wording changes were applied.

-	Review and revision of relevant and definable iridocorneal angle classification,
	including the effect of age and disease.

×.

- Validation and comparison of high-resolution imaging technologies, for both anterior and posterior segment.*
- Development of functional techniques, such as electroretinography and pupillometry, for early detection of retinal and optic nerve damage.*
- Development of molecular and genetic glaucoma markers for clinical application.
- Determination of inter-individual differences in responsiveness to glaucoma drugs and non-responder rates.
- 1 *Many of these technologies already exist but need to be validated for canine glaucoma.
- 3

2

- 4
- 5 FIGURES

6 **Figure 1.** Cross-sectional anatomy (A) and aqueous humor drainage routes (B) in the canine eye.

7 Once through the trabecular meshwork, the aqueous can pass into the angular aqueous plexus

8 and is directed either anteriorly into the more superficial episcleral venules (1) or posteriorly into

9 the scleral venous plexus and the vortex venous system (2). An alternative aqueous humor

10 drainage pathway (3) is the diffusion through the ciliary muscle interstitium to the

11 suprachoroidal space and through the sclera (i.e., uveoscleral flow). Abbreviation: AVAs,

12 arteriovenous anastomosis (from Tsai et al. 2012 (14); with permission).

13

14 Figure 2. Optical coherence tomography (OCT) images of the canine eye taken with the

15 Spectralis® (Heidelberg Engineering GmbH, Heidelberg, Germany). (A) Iridocorneal angle of a

16 2.5-year old, female Beagle with POAG (IOP during imaging: 23 mmHg). OCT often provides

17 higher resolution than routine high-resolution ultrasonography (Fig. 3), but there are still limits

18 when imaging deeper tissues, such as the aqueous humor outflow pathways (*). (B) ONH images

19 of a normal (B1; 6.5-years old female) and POAG-affected (B2; 9.5-years old female) Beagle.

20 While the non-degenerated, well-myelinated normal canine ONH bulges into the vitreous (B1;

21 IOP during imaging: 15 mmHg), the chronically glaucomatous ONH appears cupped (B2; IOP

22 during imaging: 19 mmHg). The white arrows indicate the location of the lamina cribrosa.

1	Unless there is extensive degeneration, the canine lamina cribrosa is difficult to visualize, even
2	with the current enhanced depth imaging (EDI) technology, due to the thick, myelinated
3	prelaminar ONH.
4	Abbreviations: AC, anterior chamber; C, cornea; S, sclera; V, vitreous.
5	Figure 3. High-resolution ultrasound (HRUS) images of the canine iridocorneal angle.
6	Compared to a normal eye with physiologic IOP (A) with flat iris and open ciliary cleft (white
7	arrows), the iris has a sigmoidal shape with increased corneal contact (black arrow) and a
8	collapsed ciliary cleft (white arrow) in an eye with acute PACG and IOP of 55 mmHg (B).
9	Abbreviations: AC, anterior chamber; C, cornea; CB, ciliary body; I, iris.
10	(From Miller PE 2013 (143); with permission)
11	
12	Figure 4. The canine ciliary cleft may collapse following lens removal by phacoemulsification.
13	Tissue cross-sections of the iridocorneal angle in normal Bouin's fixed globes show that
14	compared to the normal, unoperated eye (A) the ciliary cleft is severely reduced in an eye 24
15	hours after phacoemulsification (B). The IOP in this eye reached 52 mmHg 3 hours after surgery
16	and decreased to 15 mmHg at 24 hours. Despite the normalization of IOP, the ciliary cleft
17	remained reduced. The arrows denote the approximate boundaries of the ciliary cleft.
18	Bars = 0.2mm. (from Miller et al. 1997 (49); with permission).
19	
20	Figure 5. Tube positioning of an Ahmed VS-2 valved drainage implant (New World Medical
21	Inc., Rancho Cucomonga, CA, USA) in the anterior chamber of two dogs (A and B). (B)
22	Subconjunctival filtering bleb is shown underneath the upper eyelid.
23	
24	
25	
26	
27	Figure 6. Positioning of the MicroPulse® Cyclo G6 probe (Iridex, Mountain View, CA) 3 mm
28	posterior to the limbus of a dog during transscleral cyclophotocoagulation (TSCP).
29	posterior to the nine as of a dog during transference of polophotocougaration (1901).
30	Figure 7. Endolaser cyclophotocoagulation (ECP) in the canine eye. (A) The laser endoscope is
31	inserted through a limbal incision and the pupil to access the ciliary processes. The endoscopic

view shows the red aiming beam on the ciliary processes before (B) and following laser 1

2 treatment when they appear white and shrunken (C). The lens capsule is shown on the bottom

3 and the posterior iris surface on the top (B and C).

4

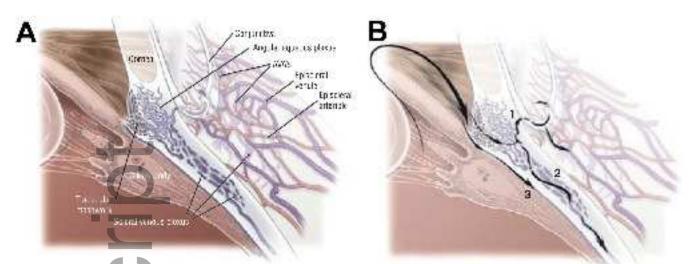
5 Figure 8. Sustained intraocular delivery of ciliary neurotrophic factor (CNTF) by encapsulated

cell technology (ECT) in a canine eye. The NT-501 implant containing CNTF-secreting human 6

cells (Neurotech Pharmaceuticals, Inc., Cumberland, RI) is located within the vitreous and 7

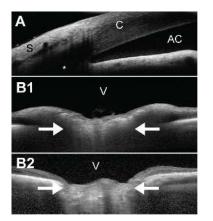
8 anchored to the pars plana of the ciliary body.

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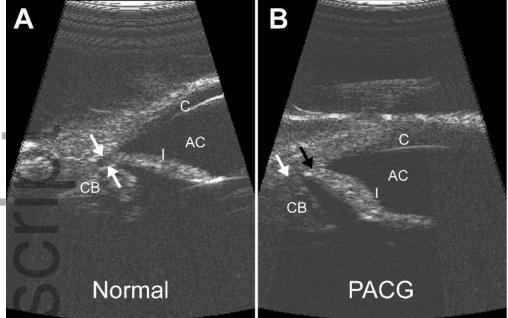
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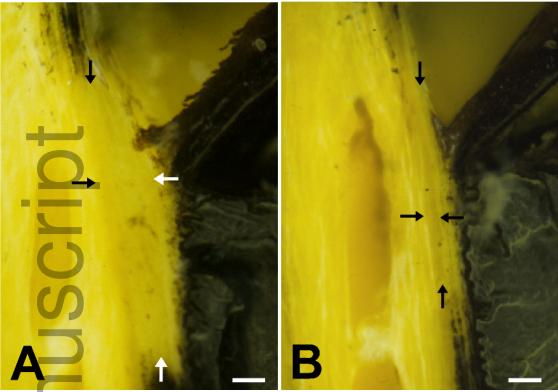
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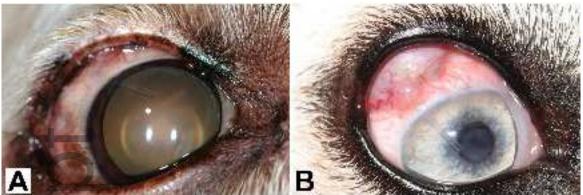
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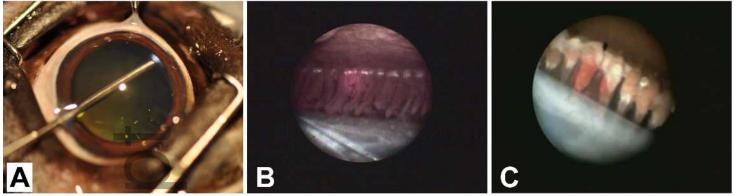
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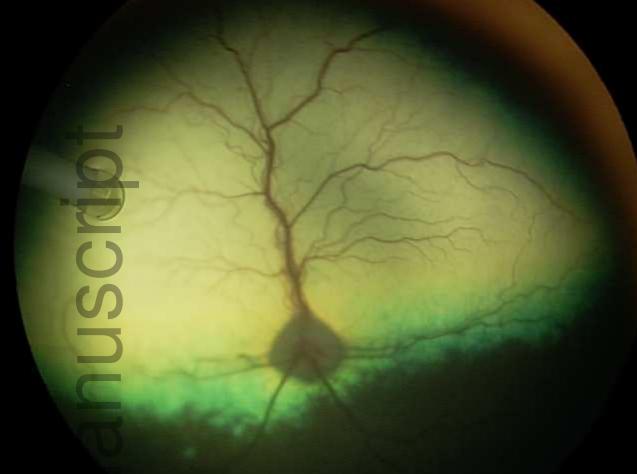
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