




Disparities in Risk Perception of Thyroid Cancer Recurrence and Death

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BACKGROUND: To the authors' knowledge, studies regarding risk perception among survivors of thyroid cancer are scarce. **METHODS:** The authors surveyed patients who were diagnosed with differentiated thyroid cancer from the Surveillance, Epidemiology, and End Results registries of Georgia and Los Angeles County (2632 patients; 63% response rate). The analytic cohort was defined by a $\leq 5\%$ risk of disease recurrence and mortality (1597 patients). Patients estimated their recurrence and mortality risks separately (increments of 10% and endpoints of $\leq 5\%$ and $\geq 95\%$). Both outcomes were dichotomized between reasonably accurate estimates (risk perception of $\leq 5\%$ or 10%) versus overestimation (risk perception of $\geq 20\%$). Multivariable logistic regression was used to identify factors associated with risk overestimation, and the relationships between overestimation and both worry and quality of life were evaluated. **RESULTS:** In the current study sample, 24.7% of patients overestimated their recurrence risk and 12.5% overestimated their mortality risk. A lower educational level was associated with overestimating disease recurrence (\leq high school diploma: odds ratio [OR], 1.64 [95% CI, 1.16-2.31]; and some college: OR, 1.36 [95% CI, 1.02-1.81]) and mortality (\leq high school diploma: OR, 1.86 [95% CI, 1.18-2.93]) risk compared with those attaining at least a college degree. Hispanic ethnicity was found to be associated with overestimating recurrence risk (OR, 1.44, 95% CI 1.02-2.03) compared with their white counterparts. Worry about recurrence and death was found to be greater among patients who overestimated versus those who had a reasonably accurate estimate of their risk of disease recurrence and mortality, respectively ($P < .001$). Patients who overestimated mortality risk also reported a decreased physical quality of life (mean T score, 43.1; 95% CI, 41.6-44.7) compared with the general population. **CONCLUSIONS:** Less educated patients and Hispanic patients were more likely to report inaccurate risk perceptions, which were associated with worry and a decreased quality of life. *Cancer* 2020;126:1512-1521. © 2019 American Cancer Society.

KEYWORDS: health care disparities, Hispanics, mortality, quality of life, recurrence, thyroid cancer.

INTRODUCTION

The majority of patients with differentiated thyroid cancer (DTC) have low-risk disease.¹⁻⁴ Despite having an excellent prognosis, prior work has shown that patient worry regarding disease recurrence and death is common, with underrepresented minority groups especially at risk.⁵ In addition, there are conflicting data concerning patient quality of life (QOL), with some studies finding that patients with thyroid cancer have a worse QOL compared with those with melanoma, colorectal cancer, and the general population, and other studies reporting that their QOL is similar to that of healthy patients without cancer.⁶⁻⁹ To the best of our knowledge, the reasons for the discordance between patient prognosis and patient worry and QOL remain unknown.

Although studies regarding disease recurrence and mortality risk perception among patients with thyroid cancer are lacking, it is plausible that inaccurate risk estimates by patients might contribute to persistent cancer-related worry and poor QOL. In particular, to our knowledge, there is little information regarding which survivors of thyroid cancer with a favorable prognosis are at risk of overestimating their risk of recurrence and death. The identification of survivors of thyroid cancer who are vulnerable to inaccurate risk perceptions is needed to effectively tailor risk communication and patient education.

The goal of the current study was to determine the level of perceived risk of disease recurrence and of mortality from thyroid cancer among low-risk patients. We hypothesized that overestimation of recurrence and mortality risk would be

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associated with both increased cancer-related worry and decreased QOL.

MATERIALS AND METHODS

Study Population and Data Collection

Between February 1, 2017, and October 31, 2018, we conducted a large, cross-sectional, population-based survey of patients aged 18 to 79 years who were diagnosed with DTC between January 1, 2014, and December 31, 2015. Patients were sampled from the Surveillance, Epidemiology, and End Results (SEER) registries of Georgia and Los Angeles County. To improve response rates, a modified Dillman method was used, which consisted of a \$20 cash incentive included with the initial mailing, contact tracing, follow-up telephone calls, and multiple mailings to nonresponders.¹⁰ Patients with Spanish surnames were mailed surveys in both English and Spanish, and bilingual interviewers conducted follow-up telephone calls. Survey data were entered electronically using a double entry method to ensure <1% error. Survey responses were merged with clinical cancer data from the respective SEER registries to create a deidentified data set. The study was approved by the institutional review boards of the University of Michigan, the University of Southern California, Emory University, the California Committee for the Protection of Human Subjects, the California Cancer Registry, and the Georgia Department of Public Health.

Of the 4317 patients identified and mailed a survey, a total of 4185 were determined to be eligible. A total of 2632 patients responded, yielding a 63% response rate, calculated as the number of respondents divided by eligible patients, and a 77% cooperation rate, calculated as the number of respondents divided by all patients who were able to be contacted.¹¹ For these analyses, we restricted the analytic sample to low-risk patients with both a clinically determined $\leq 5\%$ risk of persistent structural or recurrent thyroid cancer and a 10-year mortality risk $\leq 5\%$ (1597 patients) (Fig. 1). All patients in the analytic sample had intrathyroidal classic papillary or follicular thyroid carcinoma with no evidence of lymph node or distant metastases.

To determine patients' clinical 10-year risk of persistent structural or recurrent thyroid cancer, we adapted information from the 2015 American Thyroid Association risk stratification system and findings by Tuttle et al regarding recurrence risk estimates in patients with DTC.^{1,12} We defined such risk to be $\leq 5\%$ in patients with an intrathyroidal papillary or follicular thyroid cancer measuring ≤ 4 cm with no evidence of lymph node

or distant metastases. We excluded patients whose risk of persistent structural or recurrent thyroid cancer was $>5\%$ (992 patients) or unknown (43 patients). This exclusion category included patients with more aggressive variants of papillary or follicular thyroid cancers because their risk of disease recurrence would be higher. These aggressive variants were identified using the following SEER *International Classification of Diseases for Oncology, Third Edition* (ICD-O-3) morphology codes for thyroid cancer diagnosis: 8290 (Hurthle cell cancer), 8332 (trabecular follicular thyroid cancer), 8337 (insular cancer), and 8344 (columnar cell variant of papillary thyroid cancer).

Patients' clinical 10-year mortality risk was determined using age at the time of diagnosis and SEER Summary Stage to assign individuals to 1 of 4 distinct prognostic groups identified by Banerjee et al in their study of 43,392 patients with well-differentiated thyroid cancer using SEER data.^{2,13} Mortality risk was defined as $\leq 5\%$ in patients of any age with localized disease or those aged ≤ 63 years with regional disease.

Measures

The survey instrument was developed based on the research questions and hypotheses, a systematic review of the literature, and prior work in other low-risk cancers (see Supporting Materials).^{1,2,12,14-18} We used standard techniques to assess content validity, including review by design experts, content experts, and experts in risk perception, and pilot testing in a selected cohort of patients at the University of Michigan.

Patient Perceptions Regarding Disease Recurrence and Mortality Risk From Thyroid Cancer

Patients were asked to "Imagine 100 patients with the same size and type of thyroid cancer as you" followed by "How many of these patients do you think will have their thyroid cancer come back within the next 10 years (that is have cancer recurrence)?" and "How many of these patients do you think will die in the next 10 years?" Response categories for both questions were based on an 11-point scale with increments of 10 and endpoints of ≤ 5 and ≥ 95 . For the analysis, we converted responses into percentages (11-point scale: increments of 10% and endpoints of $\leq 5\%$ and $\geq 95\%$). Using categories similar to those in breast cancer studies conducted by members of our team to assess risk estimation, we categorized the outcomes into 2 groups: reasonably accurate estimate (risk perception of $\leq 5\%$ or 10%) versus overestimation (risk perception of 20% to $\geq 95\%$) of disease recurrence and mortality risk in this analytic sample of patients with

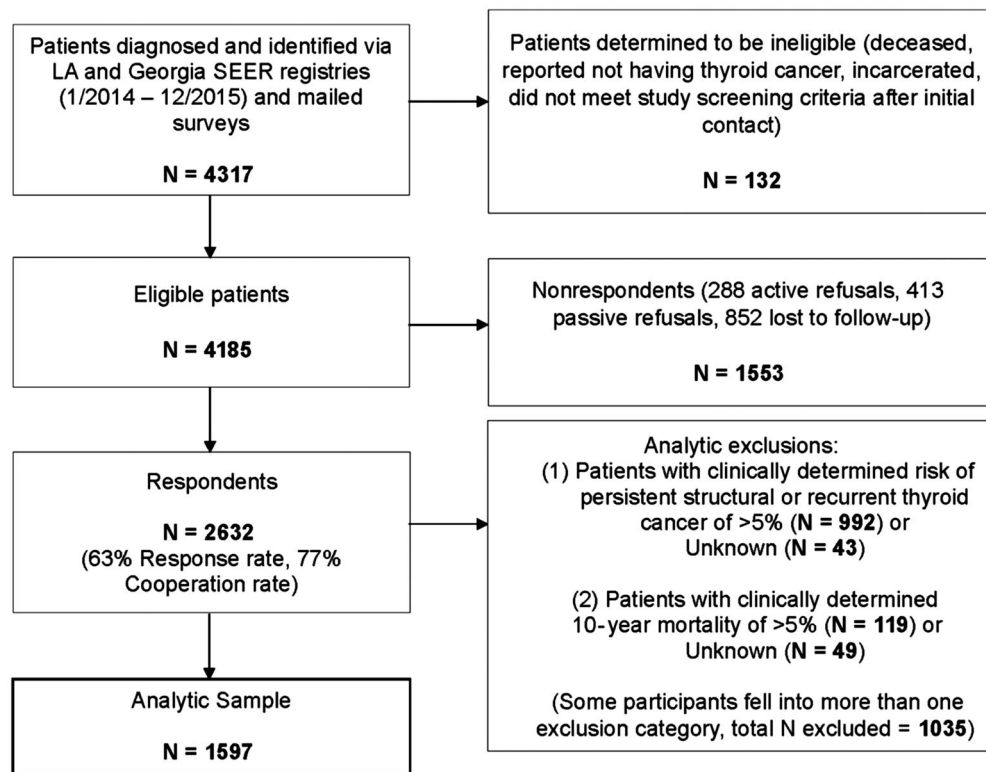


Figure 1. Flow diagram demonstrating analytic sample selection. The response rate was 63% and the cooperation rate was 77%. LA indicates Los Angeles County; SEER, Surveillance, Epidemiology, and End Results program.

clinically determined 10-year recurrence and mortality risks of $\leq 5\%$.^{14,19}

Patient Report of Worry

To determine worry regarding disease recurrence and death from thyroid cancer, we adapted questions from worry scales used in the breast cancer literature.¹⁵⁻¹⁷ Patients were asked to rate how much they worried about “thyroid cancer coming back” and “death from thyroid cancer” within the past month. A 5-point Likert scale with the following response categories was used: 1) not at all; 2) a little; 3) somewhat; 4) quite a bit; and 5) very much. For the analysis, we defined patient-reported “worry” as those who worried somewhat, quite a bit, or very much. This categorization was used to identify those patients who had a degree of worry that potentially could influence health behaviors.

Patient Report of QOL

The Patient-Reported Outcomes Measurement Information System (PROMIS) Global Health questionnaire (version 1.2), which is based on item response theory, was used to measure QOL.¹⁸ Physical and mental health raw scores were summed and converted into

T scores, which were normalized to a sample population that represented the 2000 general US population with a mean of 50 and a standard deviation of 10. Accurate conversion to T scores requires the completion of all 4 items for each subscale, and therefore we excluded patients who did not respond to all the required items (38 patients for physical QOL and 38 patients for mental QOL). Higher scores indicated better outcomes such that higher physical QOL scores indicated better physical function.

Covariates

We obtained the following demographic characteristics from the survey: sex (female vs male), race/ethnicity (Hispanic, black, white, or other), and highest level of education attained (\leq high school diploma, some college, and \geq college degree). With regard to race/ethnicity, patients were asked to check all options that best described their race/ethnicity. Similar to prior studies, patients who selected multiple options for race/ethnicity were assigned to 1 category according to the following priority order: Hispanic, black, white, and other.^{5,20} Each individual was analyzed as having 1 race/ethnicity. Clinical cancer characteristics obtained from the SEER registries were age

at the time of diagnosis (in years), ICD-O-3 morphology codes for thyroid cancer diagnosis, and the tumor size and extension from both the clinical and pathologic sources that the SEER registries used to derive a T classification following definitions from the American Joint Committee on Cancer seventh edition staging system.²¹

Statistical Analyses

Descriptive statistics were generated for all categorical variables, and we have reported nonweighted frequencies and weighted percentages. We used multivariable logistic regression analysis to determine factors associated with the overestimation of recurrence risk and of mortality risk. We reported adjusted odds ratios (ORs) with 95% CIs for all logistic regression models, with *P* values <.05 considered to be statistically significant.

Rao-Scott adjusted chi-square tests were used to test for a relationship between patients' perception of risk of disease recurrence and mortality risk (reasonably accurate estimate or overestimate) and worry about recurrence and death, respectively.

We compared between-group mean physical and mental health T scores of the analytic sample, grouped by patients' perceived risk (reasonably accurate estimate or overestimate) of recurrence and of mortality, using a regression analysis to the general US population. A meaningful change in global physical or mental health was defined as a minimal difference of approximately one-half of an SD, or 5 points, compared with the mean for the sample population that represented the 2000 general US Census (mean T score, 50).¹⁸

All statistical analyses incorporated weights to account for differential sampling and to reduce potential nonresponse bias. This included the use of design weights to account for differential probability of sample selection and nonresponse weights to account for disproportionate nonresponse rates across different patient subgroups. This weighting aims to generate statistical inferences that are more representative of the target population.^{22,23} The percentages and ORs reported were weighted and the number of participants, when provided, was unweighted for clarity. Analyses were performed using STATA (version 15.1; StataCorp LLC, College Station, Texas), R (version 3.5.2; R Foundation for Statistical Computing, Vienna, Austria), and SAS (version 9.4; SAS Institute Inc, Cary, North Carolina) statistical software.

RESULTS

Table 1 provides the distribution of patient demographics, tumor characteristics, and risk perception of the study

TABLE 1. Distribution of Patient Demographics, Tumor Characteristics, and Risk Perception of the Analytic Sample (N = 1597)

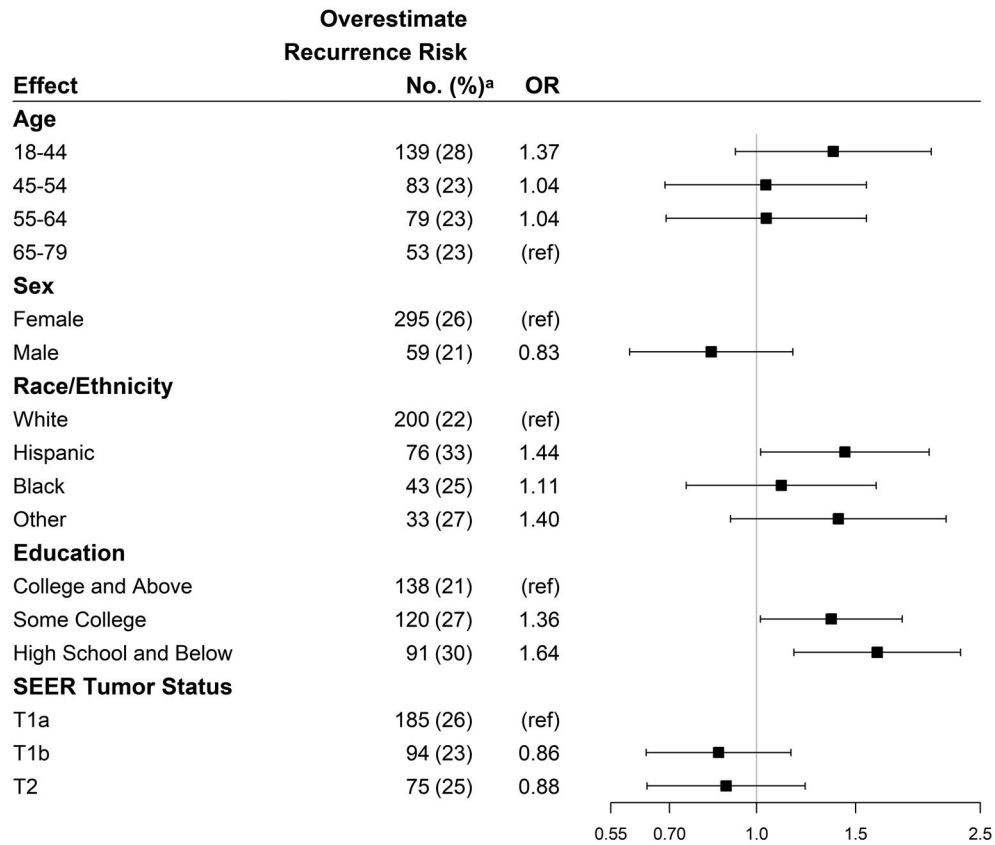
Characteristic	No. ^a (%) ^b
Sex	
Female	1285 (80.9)
Male	312 (19.1)
Age at diagnosis, y	
≤44	517 (35.3)
45-54	386 (23.4)
55-64	405 (24.1)
≥65	289 (17.2)
Race/ethnicity	
White	997 (58.5)
Black	200 (14.3)
Hispanic	248 (17.2)
Other	138 (10.0)
Highest level of education	
≤High school diploma	362 (23.0)
Some college	488 (31.1)
≥College degree	719 (45.9)
Derived T classification	
T1a	820 (52.5)
T1b	438 (26.7)
T2	339 (20.8)
Risk perception	
Overestimate 10-y recurrence risk	354 (24.7)
Overestimate 10-y mortality risk	178 (12.5)

^aUnweighted number.

^bWeighted percentage.

sample. Of the 1597 low-risk patients in the sample, 1285 (80.9%) were female, 997 (58.5%) were white, and 719 (45.9%) had at least a college degree. The majority had T1a (52.5%) or T1b (26.7%) disease at the time of diagnosis. Approximately one-quarter of the sample (24.7%) overestimated their 10-year recurrence risk from thyroid cancer, and 12.5% overestimated their 10-year mortality risk.

Figures 2 and 3 show the multivariable adjusted ORs and 95% CIs of correlates of overestimation of disease recurrence and mortality risk, respectively. A lower educational level was associated with the overestimation of both risk of disease recurrence (≤high school diploma: OR, 1.64 [95% CI, 1.16-2.31]; and some college education: OR, 1.36 [95% CI, 1.02-1.81]) and mortality risk (≤high school diploma: OR, 1.86; 95% CI, 1.18-2.93) compared with having ≥college degree. The odds of overestimating recurrence risk were found to be greater among Hispanic patients (OR, 1.44; 95% CI, 1.02-2.03) compared with their white counterparts. Sensitivity analysis excluding patients who self-reported persistent or recurrent thyroid cancer or an unknown disease status on the patient survey (new total analytic cohort of 1422 patients) yielded similar results, with a lower educational level associated with the overestimation of both risk of recurrence (≤high school diploma: OR, 1.60 [95% CI, 1.10-2.34]; and some college education: OR, 1.57



^aWeighted percentages are shown.

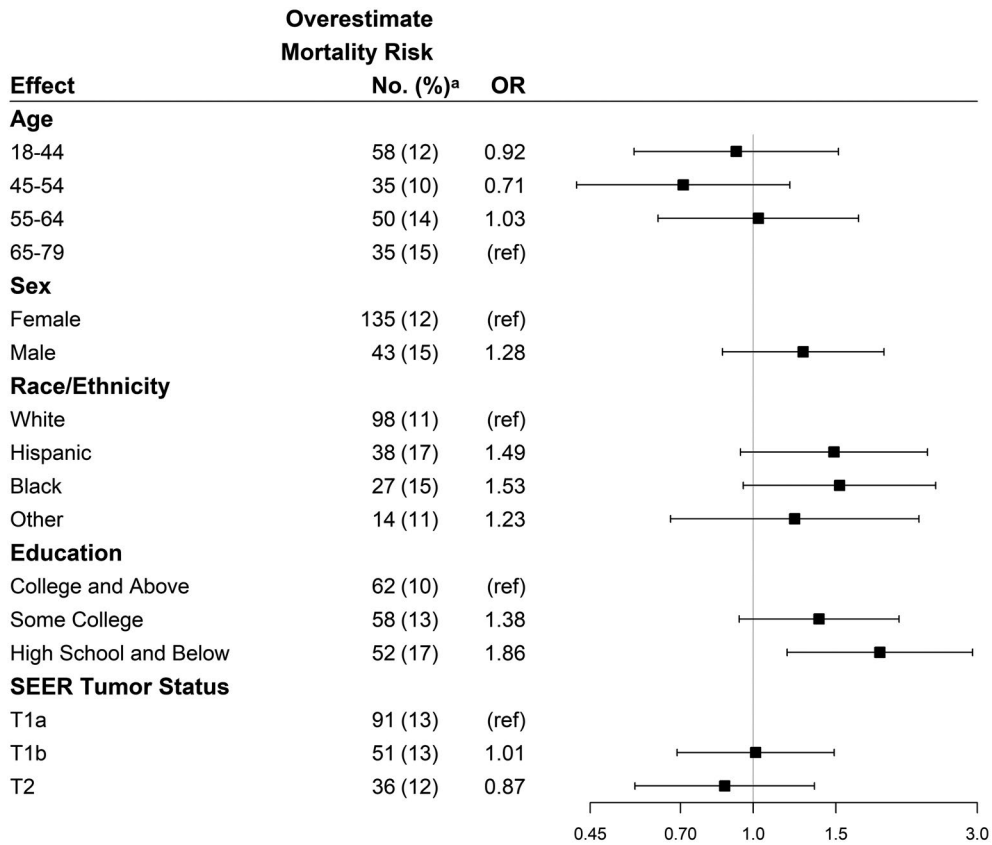
Figure 2. Multivariable adjusted odds ratios (ORs) and 95% CIs of characteristics associated with overestimation of recurrence risk. SEER indicates Surveillance, Epidemiology, and End Results program.

[95% CI, 1.15-2.14]) and mortality risk (\leq high school diploma: OR, 1.74; 95% CI, 1.04-2.91) compared with having \geq college degree. Similarly, when we restricted the cohort to patients who had undergone both a total thyroidectomy and radioactive iodine ablation (new total analytic cohort of 585 patients), a lower educational level again was found to be associated with overestimating recurrence risk (\leq high school diploma: OR, 2.06 [95% CI, 1.19-3.57]; and some college education: OR, 1.67 [95% CI, 1.03-2.70]) and mortality risk (\leq high school diploma: OR, 2.49 [95% CI, 1.15-5.42]; and some college education: OR, 2.07 [95% CI, 1.05-4.04]) compared with having \geq college degree. However, in both sensitivity analyses, Hispanic ethnicity was no longer found to be associated with overestimation of recurrence risk.

Figure 4 shows the distribution of worry regarding disease recurrence and death from thyroid cancer relative to patient perception of recurrence and mortality risks. Worry about recurrence (62.0% vs 30.8%; $P < .001$) and death (43.0% vs 19.0%; $P < .001$) was greater among

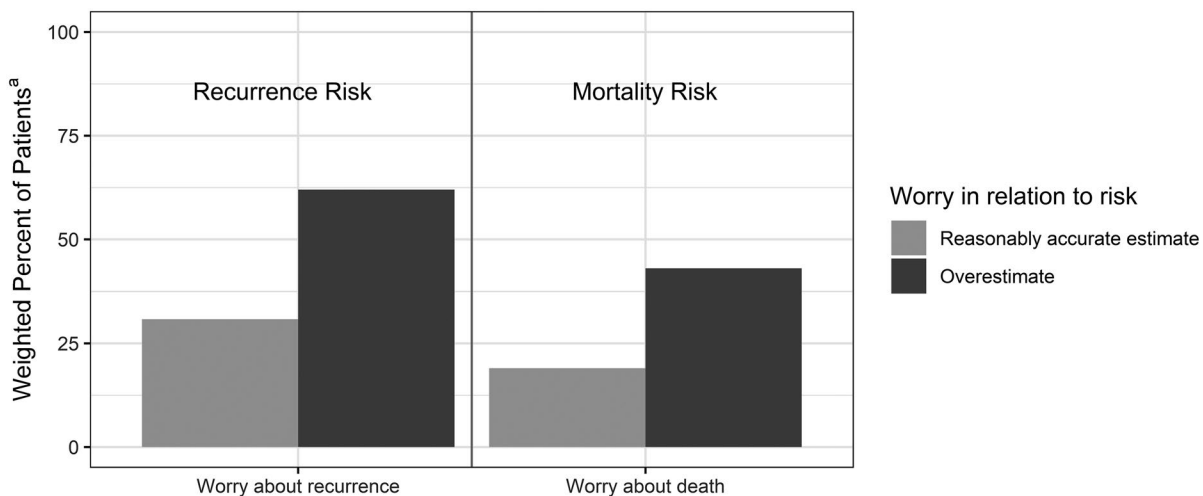
those who overestimated their risks of recurrence and mortality, respectively, compared with those who had a reasonably accurate risk estimate. Sensitivity analysis with patient-reported “worry” defined as any worry yielded similar results, with greater worry regarding disease recurrence (79.5% vs 55.5%; $P < .001$) and death (59.6% vs 36.8%; $P < .001$) noted among those who overestimated their risk of recurrence and mortality risk, respectively, compared with those who had a reasonably accurate risk estimate. Similarly, when we excluded patients who self-reported a history of depression (new total analytic cohort of 1275 patients), there still was greater worry regarding recurrence (62.4% vs 29.3%; $P < .001$) and death (44.6% vs 18.1%; $P < .001$) noted among patients who overestimated their risk of recurrence and mortality risk, respectively, compared with patients with reasonably accurate risk estimates.

Figure 5 illustrates physical and mental QOL by patient perception of recurrence and mortality risks. Compared with the 2000 general US population, a lower



^aWeighted percentages are shown.

Figure 3. Multivariable adjusted odds ratios (ORs) and 95% CIs of characteristics associated with overestimation of mortality risk. SEER indicates Surveillance, Epidemiology, and End Results program.



^aWeighted percentages are shown.

Figure 4. Patient-reported worry regarding disease recurrence and death from thyroid cancer relative to patient perception of recurrence and mortality risks, respectively. Rao-Scott adjusted chi-square *P* values were <.001.

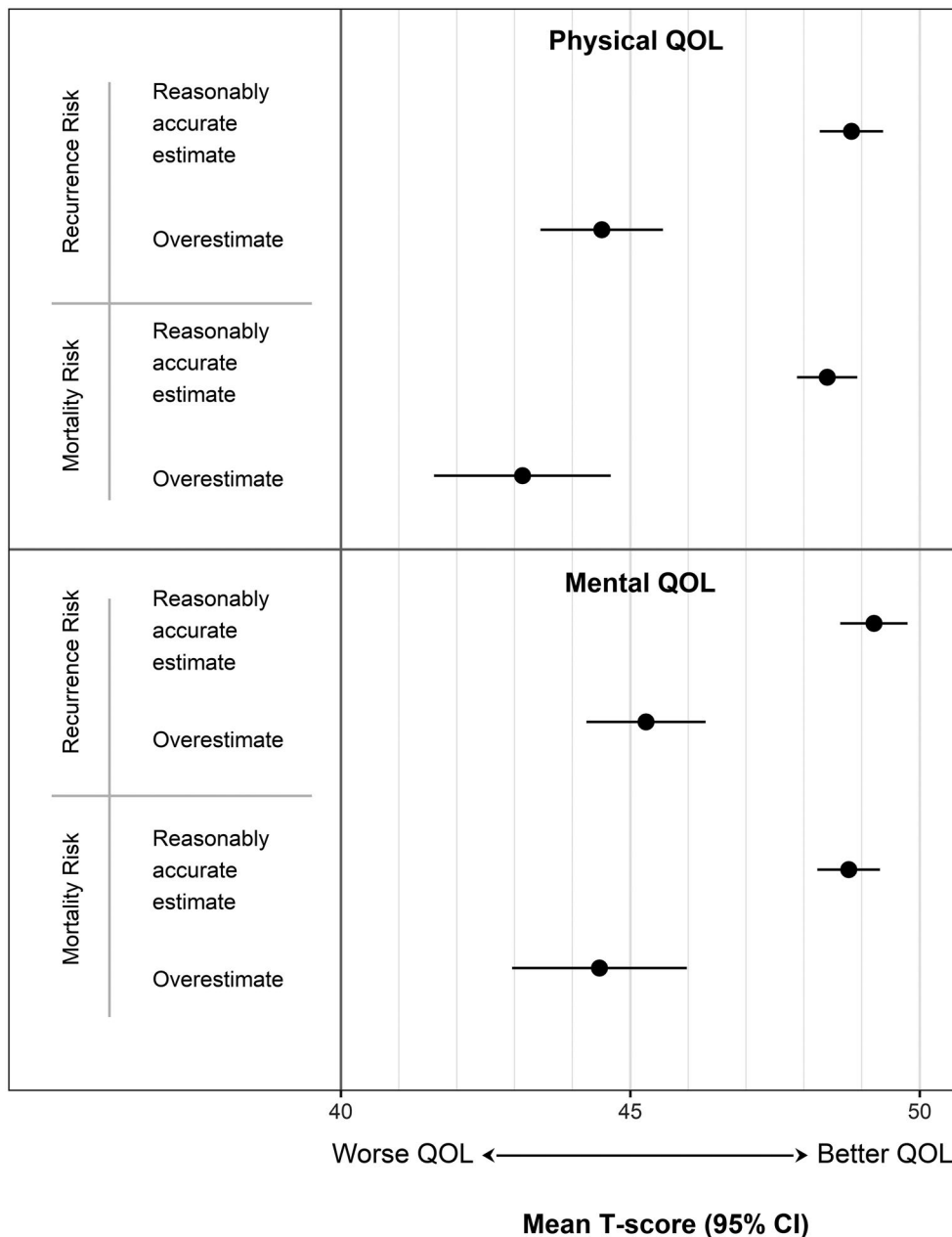


Figure 5. Physical and mental quality of life (QOL) by patient perception of disease recurrence and mortality risks. Lower T scores indicated a worse QOL. The mean T scores and 95% CIs were compared with those for the 2000 general US population. A meaningful change was defined as a minimal difference of 5 points compared with the mean T score of 50 for the general population.

mean physical QOL T score among patients who overestimated their mortality risk met the criteria for meaningful change (mean T score, 43.1; 95% CI, 41.6-44.7). Mean physical and mental QOL T scores in patients who overestimated their recurrence risk or who had reasonably accurate risk estimates did not meet the criteria for a meaningful change compared with the general US population. Furthermore, when compared with patients

with reasonably accurate risk estimates, those who overestimated their recurrence risk were more likely to have low physical (36.9% with at least 1 SD below the mean vs 19.3%; $P < .001$) and mental (28.1% with at least 1 SD below the mean vs 17.3%; $P < .001$) QOL T scores. Similarly, those who overestimated mortality risk also were more likely to have low physical (43.6% with at least 1 SD below the mean vs 21.0%; $P < .001$) and mental

(31.5% with at least 1 SD below the mean vs 18.2%; $P < .001$) QOL T scores. In sensitivity analysis excluding patients who self-reported a history of depression (new total analytic cohort of 1275 patients), the mean physical QOL T score in patients who overestimated their mortality risk was 44.9 (95% CI, 43.2-46.6). Because a T score of 45.0 is within the 95% CI, this was no longer statistically significantly different from that of the general US population.

DISCUSSION

The current large, population-based study has provided novel insights regarding risk perception among patients with low-risk thyroid cancer. Survivors who were less educated and those of Hispanic ethnicity were more likely to overestimate their recurrence risk, with the former also more likely to overestimate their mortality risk. These inaccurate risk perceptions were associated with increased worry regarding disease recurrence and death, respectively, and decreased physical QOL among those who overestimated their mortality risk.

It is plausible that the association between lower educational level and overestimation of recurrence and mortality risks is secondary to lower health literacy and numeracy. In a study of women attending a high-risk family history clinic, Rutherford et al demonstrated a correlation between the educational level attained and health literacy, and a correlation between low health literacy and an inaccurate risk perception of developing breast cancer.²⁴ Moreover, Lipkus et al demonstrated that breast cancer patients with greater numeracy were more likely to provide estimates of cancer-free survival that matched estimates provided by a decision aid program for different treatment options compared with patients with lower numeracy.²⁵

The greater likelihood of overestimating recurrence risk among Hispanic patients may be due in part to physician-patient communication barriers or differences in acculturation, 2 topics that to the best of our knowledge remain largely unexplored in patients with thyroid cancer. Alternatively, it may be related to differential access to and quality of thyroid cancer care for Hispanic patients compared with their white counterparts, with the potential for true differences in health outcomes.²⁶⁻²⁸

Worry regarding disease recurrence and death is common among patients with thyroid cancer.^{5,6} However, to the best of our knowledge, there is a lack of data regarding the relationship between risk estimation and worry in survivors of thyroid cancer. Consistent with studies in the breast cancer literature, we found that patients who

overestimated their risks of recurrence and mortality were more likely to report cancer-related worry.^{19,29,30} In addition, Papaleontiou et al demonstrated that in a cohort of patients with DTC, a lower educational level was associated with more worry about recurrence and death from thyroid cancer, and Hispanic patients were more worried about death compared with their white counterparts 2 to 4 years after diagnosis.⁵ Despite the observed cross-sectional association between recurrence and mortality risk overestimation and cancer-related worry, with both more likely to occur among less educated and/or Hispanic patients, to our knowledge the causality between these 2 variables remains unknown. Risk overestimation leading to increased cancer-related worry is as conceivable as is increased worry leading patients to report higher risk estimates. Further research is needed to elucidate the relationship between risk estimates and worry.

The findings of the current study have provided new insights into the relationship between thyroid cancer survivorship and QOL. Although QOL is an important area of research, prior studies have been discordant regarding the association between thyroid cancer history and QOL.⁶⁻⁸ In our analytic sample, only patients who overestimated their mortality risk demonstrated a meaningful decrease in physical QOL compared with the general population, although the decrease was no longer statistically significantly different from that of the general US population when we excluded patients who self-reported a history of depression. This suggests that a better understanding of risk perception is an important aspect of helping to understand QOL among survivors of thyroid cancer.

A major strength of the current study was the combination of clinical cancer data from the SEER registries and a complementary patient survey, which provided granular details regarding patient report of risk estimation, worry, and QOL. Collaboration with the Georgia and Los Angeles County SEER sites provided for a large and diverse population-based cohort of low-risk thyroid cancer survivors with adequate representation of Hispanic and black patients. Additional strengths of the current study included a high response rate among surveyed patients, a focus on an understudied cancer, and the use of the validated PROMIS scale to assess physical and mental QOL. The multiple sensitivity analyses performed were another strength of the study, although the new cohorts were smaller and therefore it is unclear whether some of the subsequent results were no longer statistically significant due to the exclusion of a specific variable or due to a smaller cohort size.

Some potential limitations should be noted. First, because the current study was cross-sectional, the observed associations do not suggest causation. Second, although mortality data were straightforward, patient-reported risk of thyroid “cancer recurrence” was compared with data regarding clinically determined risk of persistent structural or recurrent thyroid cancer. We did not distinguish biochemical versus structural recurrent or persistent disease in the questionnaire, largely because although patients likely will recall being told their cancer is cured, recurred, or still present, they may not be able to differentiate biochemical versus structural recurrence. However, we did add sensitivity analyses to assess the translatability of findings in more specific cohorts. Third, the cohort included only patients from 2 geographic areas and therefore may not be representative of all patients with thyroid cancer. However, the population covered by SEER is comparable to the general US population. Fourth, patients were not asked about resources they used to learn about thyroid cancer or their comfort in communicating with physicians, both of which may influence their risk perception. Furthermore, the survey did not assess health literacy and numeracy or evaluate acculturation among Hispanic patients, information that could provide insight into risk overestimation among patients who are less educated and Hispanic patients.

The study implications are relevant to patients and physicians. Despite the favorable prognosis of low-risk thyroid cancer, many vulnerable patients, including those with a lower educational level and Hispanic ethnicity, inaccurately perceived their risk of disease recurrence and/or death, reported more worry, and experienced decreased physical QOL. Patient education that is appropriate for individuals of varying educational levels and culturally sensitive, and improved risk communication by physicians, are necessary to address inaccurate risk perceptions. Improving psychosocial support, including the availability of online websites and in-person support groups, for patients with thyroid cancer–related worry also is imperative. Effective communication and appropriate reassurance by physicians may help patients to create a framework for understanding their cancer prognosis so that they can make informed treatment decisions and adequately cope with the psychosocial stress related to having a cancer diagnosis. Such interventions may translate into an improved QOL for survivors of thyroid cancer.

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CONFLICT OF INTEREST DISCLOSURES

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AUTHOR CONTRIBUTIONS

Debbie W. Chen: Conceptualization, visualization, writing–original draft, and writing–review and editing. **David Reyes-Gastelum:** Data curation, formal analysis, methodology, and writing–review and editing. **Lauren P. Wallner:** Visualization and writing–review and editing. **Maria Papaleontiou:** Visualization and writing–review and editing. **Ann S. Hamilton:** Conceptualization, data curation, funding acquisition, methodology, visualization, and writing–review and editing. **Kevin C. Ward:** Conceptualization, data curation, funding acquisition, methodology, visualization, and writing–review and editing. **Sarah T. Hawley:** Conceptualization, funding acquisition, methodology, visualization, and writing–review and editing. **Brian J. Zikmund-Fisher:** Conceptualization, funding acquisition, methodology, visualization, and writing–review and editing. **Megan R. Haymart:** Conceptualization, data curation, funding acquisition, methodology, visualization, writing–original draft, and writing–review and editing. All authors reviewed and approved the final version of the article.

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