

1 **Resident Alveolar Macrophage-Derived Vesicular SOCS3 Dampens, but is Deficient in, Allergic**
2 **Airway Inflammation**

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Abbreviations

AM (alveolar macrophage), DMEM (Dulbecco's modified Eagle medium), EC (epithelial cell), EV (extracellular vesicle), FIZZ1 (found in inflammatory zone 1, also termed resistin like alpha), GM-CSF (granulocyte-macrophage colony-stimulating factor), HDM (house dust mite), IRF (interferon regulatory factor), IL (interleukin), iNOS (inducible nitric oxide synthase), IP10 (interferon- γ -induced protein 10), JAK (Janus kinase), MCP-1 (monocyte chemoattractant protein 1), (OVA (ovalbumin), qPCR (quantitative real-time polymerase chain reaction), SOCS (suppressor of cytokine signaling), STAT (signal transducer and activator of transcription), TLR (Toll-like receptor), TSLP (thymic stromal lymphopoietin), YM1 (also termed "chitinase-like 3)

Abstract

Resident alveolar macrophages (AMs) suppress allergic inflammation in murine asthma models. Previously we reported that resident AMs can blunt inflammatory signaling in alveolar epithelial cells (ECs) by transcellular delivery of suppressor of cytokine signaling 3 (SOCS3) within extracellular vesicles (EVs). Here we examined the role of vesicular SOCS3 secretion as a mechanism by which AMs restrain allergic inflammatory responses in airway ECs. Bronchoalveolar lavage fluid (BALF) levels of SOCS3 were reduced in asthmatics and in allergen-challenged mice. Ex vivo SOCS3 secretion was reduced in AMs from challenged mice, and this defect was mimicked by exposing normal AMs to cytokines associated with allergic inflammation. Both AM-derived EVs and synthetic SOCS3 liposomes inhibited activation of STAT3 and STAT6 as well as cytokine gene expression in ECs challenged with IL-4/IL-13 and house dust mite (HDM) extract. This suppressive effect of EVs was lost when they were obtained from AMs exposed to allergic inflammation-associated cytokines. Finally, inflammatory cell recruitment and cytokine generation in the lungs of OVA-challenged mice were attenuated by intrapulmonary pretreatment with SOCS3 liposomes. Overall, AM secretion of SOCS3 within EVs serves as a brake on airway EC responses during allergic inflammation, but is impaired in asthma. Synthetic liposomes encapsulating SOCS3 can rescue this defect, and may serve as a framework for novel therapeutic approaches targeting airway inflammation.

Key words

Allergic airway inflammation, Alveolar macrophages, Extracellular vesicles, Epithelial cells, Suppressor of cytokine signaling 3, Liposomes

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82 **Introduction**
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84 Carrying out the lung's principal physiologic function of gas exchange in the face of a continuous barrage of
85 inhaled allergens, toxins, and microbes requires calibrated or, where necessary, restrained inflammatory
86 responses to these diverse insults. The development of chronic inflammatory processes, such as allergic
87 airway inflammation, implies the dysregulation of these normal homeostatic mechanisms (1). Macrophages
88 are well known for their functional plasticity and their pleiotropic role in orchestrating immune responses (2,
89 3), and the alveolar macrophage (AM) is the resident immune cell of the pulmonary mucosal surface. Lung
90 macrophages had long been overlooked as cellular participants in the development of allergic airway
91 inflammation (4). However, recent studies in mouse models have revealed an important dichotomy in which
92 resident AMs play largely suppressive roles (5-7) while recruited monocyte-derived macrophages play
93 largely pathogenic roles in allergic airway inflammation (5, 8, 9).

94
95 Given their paucity as well as their relative immobility in the normal mammalian lung (10, 11), AMs would
96 be anticipated to employ paracrine means to restrain the inflammatory behavior of the alveolar and airway
97 epithelial cells (ECs) which comprise this mucosal surface. A form of paracrine communication whose
98 importance is increasingly appreciated involves the transfer of extracellular vesicles (EVs) containing
99 various molecular species of cargo from donor to recipient cell (12). We have identified transcellular
100 delivery of EVs containing suppressor of cytokine signaling 3 (SOCS3) from AMs to ECs as a new
101 paradigm for regulating inflammation in the lung (13, 14). SOCS3 serves as the endogenous brake on Janus
102 kinase (JAK)-signal transducer and activator of transcription (STAT) signaling, which is critical in
103 transducing the effects of numerous cytokines and growth factors (15). We have demonstrated that these
104 AM-derived EVs are rapidly internalized by, and inhibit JAK-STAT signaling and inflammatory gene
105 expression within, ECs (13, 16).

106
107 Pharmacologic inhibition of JAK is used clinically in rheumatoid arthritis, and its potential is being widely
108 explored in other inflammatory diseases (17), including allergic asthma (18-22). While SOCS3 has been
109 suggested to promote type 2 immune responses by its actions in lymphocytes and eosinophils (23, 24), its
110 upregulation in bronchial ECs has been associated with anti-inflammatory actions (25, 26). The important
111 role of the epithelium as both a source of and responder to inflammatory mediators in allergic asthma has
112 gained increased recognition (27, 28), and its generation of chemokines is critical in recruitment of a variety
113 of leukocyte lineages. Impaired delivery of SOCS3 from AMs to ECs in the setting of allergic inflammation,
114 then, could promote inflammatory responses. Here we show that vesicular SOCS3 secreted by AMs restrains
115 allergic inflammatory responses in bronchial ECs in vitro, but this brake is compromised in the lungs of
116 asthmatic subjects and in two mouse models of allergic asthma. We also demonstrate that intrapulmonary
117 administration of liposomes with SOCS3 as their sole cargo has the capacity to restrain inflammation in a
118 mouse model of allergen challenge.

119 120 121 **Materials and Methods**

122 123 **Human subjects and BALF sample acquisition**

124 Subjects were men and women, ages 18-75, with or without mild to moderate stable asthma. Asthma
125 diagnosis was based on symptoms, methacholine challenge, and/or bronchodilator reversibility. Stability
126 was based on an absence of changes in asthma medications and no exacerbations requiring treatment with
127 steroids within 30 days of bronchoscopy. Exclusion criteria included a smoking history greater than 30 pack-
128 years, history of lung disease other than asthma, and other medical conditions that might increase the risks
129 associated with bronchoscopy. BALF samples were acquired from a total of 16 subjects undergoing
130 research-related bronchoscopies at the University of Michigan Hospital Medical Procedure Unit. BALF
131 samples were collected and treated as protocolized research specimens with a uniform instillation volume
132 (180 mL). Informed consent was obtained from each subject prior to sample collection in accordance with
133 the Declaration of Helsinki and with approval of the University of Michigan Institutional Review Board.

134 135 **Animals**

136 Pathogen-free male and female C57BL/6 mice aged 6-8 weeks were purchased from The Jackson
137 Laboratory. The mice were housed in groups of 5 and they had ad libitum access to water and food. Mice
138 were treated in accordance to relevant national and local guidelines and regulations regarding the use of
139 experimental animals and with approval of the University of Michigan Committee for the Use and Care of
140 Animals.

142 **Mouse models of allergic airway inflammation**

143 Male (n=10 per group) and female (n=5 per group) mice were sensitized with 20 µg ovalbumin (OVA,
144 Sigma-Aldrich, St. Louis, MO) mixed with 2 mg of alum (Thermo Fisher Scientific, Waltham, MA) in 150
145 µl PBS through intraperitoneal injection on day 0. On days 7 and 8, mice were challenged with nebulized
146 1% OVA, as described previously (29). Control groups (males, n=5 per group and females, n=3 per group)
147 were sensitized with PBS and were challenged with nebulized PBS.

148
149 Male mice (n=5 per group) were also sensitized and challenged with 100 µg of *Dermatophagoides*
150 *pteronyssinus* HDM extract (Greer Laboratories) protein suspended in 50 µl PBS and administered by
151 oropharyngeal (o.p.) administration on days 0, 7 and 8 as described previously (5). Mice exposed to 50 µl
152 PBS on the same days served as controls. For both OVA and HDM models, lung lavage fluid was collected
153 on day 9. The first flush of 600 µl was stored separately for cytokine analysis. Additional flushes were
154 performed to collect all lung cells and total numbers were counted. Approximately 50,000 lung cells per
155 mouse were cytospun onto slides at 800 rpm for 2 min. The percentage of eosinophils and neutrophils
156 among 300 total cells was determined in the cytopspins by differential counting after H&E staining of the
157 slides.

158 **Cells**

159
160 A continuous SV40-transformed line of primary AMs originally obtained from lavage fluid of normal mice
161 (MHS, CRL-2019) (30), which we have utilized previously as a source of EVs (16), and a transformed
162 human bronchial EC line (BEAS-2B) were purchased from American Type Culture Collection. Normal
163 primary mouse AMs were obtained by lung lavage of a male C57BL/6 wild type mouse (The Jackson
164 Laboratory) and subsequently immortalized by infecting with the J2 retrovirus carrying v-raf and v-myc
165 oncogenes as previously described (31). Primary AMs were also obtained by lavage from PBS- and
166 allergen-challenged mice. AMs and AM cell lines were cultured in RPMI 1640 supplemented with 10% FBS
167 and 1% penicillin/streptomycin (Gibco). However, because serum itself is a source of EVs, AMs were
168 cultured in serum-free RPMI 1640 medium when they were being used as a source of EVs.

169 **Isolation of EVs**

170
171 Upon reaching confluency, AM medium was replaced with serum-free RPMI 1640 for 90 min (at 37°C, 5%
172 CO₂), and AM conditioned medium (CM) was harvested as a source of basally secreted EVs. Cell debris
173 and apoptotic bodies were removed from CM by centrifugation at 4°C at 500 x g and 2500 x g, respectively.
174 Two different methods were used to purify EVs in this study. In initial studies with MHS cells, EVs were
175 pelleted from MHS CM by 17,000 x g ultracentrifugation for 30 min, with quantification of EV numbers
176 performed as described previously (16). During the course of our studies we observed that the yield of EVs
177 by this isolation method was limited due to their rupture owing to the high shear forces from

178 ultracentrifugation. This prompted us to instead employ the gentler method of centrifugal filtration of AM
179 CM through a 100 kDa exclusion filter (MilliporeSigma) (32), and this technique was employed for EV
180 isolation from the J2-immortalized AM cell line. While this approach provided a higher yield of EVs and
181 vesicular SOCS3, EVs isolated using both methods had similar properties and modulatory characteristics.
182

183 **In vitro challenge of BEAS-2B cells**

184 BEAS-2B ECs were cultured in DMEM with 10% FBS and 1% penicillin/streptomycin (Gibco) in six-well
185 tissue-culture plates, and once 80% confluent, they were serum-deprived overnight. The next day, serum-
186 free RPMI medium alone (2 mL), AM CM (2 mL) or AM EVs (at a ratio of 5 EVs per EC in 2 mL RPMI)
187 were added to each well of ECs and incubated for 2 h. ECs were then washed and stimulated with human
188 IL-4 + IL-13 (both 10 ng/mL, Peprotech) or HDM (10 µg/mL, Greer Laboratories) and harvested after 1 h
189 for analysis of transcription factor phosphorylation by western blot or harvested after 6 h for analysis of
190 cytokine mRNA by qPCR.
191

192 **Western blotting**

193 BEAS-2B ECs were lysed and protein concentrations were determined by the DC protein assay (modified
194 Lowry assay from Bio-Rad Laboratories). Samples containing 40–50 µg EC lysate protein were separated by
195 SDS-PAGE using 8% gels (for phosphorylated proteins), and those containing 10 µg AM lysate protein or
196 20-30 µg AM CM (or mouse lavage fluid after 100 kD filtration) protein were separated on 12.5% gels (for
197 SOCS3 proteins) and then transferred to nitrocellulose membranes. After blocking with 4% BSA, the
198 membranes were probed overnight with antibodies against phospho- and total STAT3 (both Cell Signaling,
199 1:1000), phospho-STAT6 (Cell Signaling, 1:500), β-actin (Sigma-Aldrich, 1:10,000) or SOCS3 (Abcam,
200 1:750). Films were developed using ECL detection (Amersham Biosciences) after incubation with
201 peroxidase-conjugated secondary antibody (Cell Signaling). Relative band densities were determined by
202 densitometric analysis using Image J software.
203

204 **RNA isolation and qPCR**

205 BEAS-2B ECs and primary AMs were suspended in 700 µl TRIzol reagent (ThermoFischer Scientific) and
206 RNA was extracted using the RNeasy Micro Kit (Qiagen) according to manufacturer's instructions and
207 converted to cDNA. Levels of mRNA were assessed by qPCR performed with a SYBR green kit (Applied
208 Biosystems) on an ABI Prism 7300 thermocycler (Applied Biosystems). Expression of eotaxin-1, TSLP, IL-
209 33, IL-6, GM-CSF, inducible nitric oxide synthase (iNOS), and found in inflammatory zone 1 (FIZZ1, also
210 termed resistin like alpha) was assessed (sequences of primers used can be found in Supplemental Table 1).
211 Relative gene expression was determined by the ΔCT method, and either GAPDH (for ECs) or β-actin (for
212 AMs) was used as a reference gene.
213

214 **Synthetic SOCS3 liposomes**

215 To generate synthetic vesicles with SOCS3 as lone cargo molecule, recombinant mouse SOCS3 was cloned,
216 expressed, and purified to homogeneity as described previously (33). Thin phospholipid films were prepared
217 from a 1:1 mixture of dioleoyl phosphocholine and dioleoyl phosphoglycerol and dried. These were mixed
218 with PBS with or without recombinant SOCS3 protein (3.5 µg/mL) and intermittently vortexed to produce
219 multilamellar vesicles, followed by serial extrusion. Resulting liposomes were centrifuged to remove
220 unloaded SOCS3 protein and pellets suspended in PBS and stored at 4 °C until use. Empty (PBS control)
221 and SOCS3 liposomes both had a mean diameter of ~110 nm as determined by dynamic light scattering and
222 comprised a single, homogenous population of liposomes, as determined by polydispersity index (33). U.S.
223 Patent application 16/071,290 describing these liposomes was filed on July 19, 2018.

224 For in vitro experiments with BEAS-2B ECs we employed a dose of liposomes containing 10 ng of
225 recombinant SOCS3, since this approximates the amount secreted by 1×10^6 primary AMs that we previously
226 determined to be capable of inhibiting STAT3 activation in normal alveolar ECs (13). For both allergic
227 mouse models, mice were treated o.p. with either synthetic empty (i.e., PBS) or SOCS3 liposomes in a
228 volume of 30 µl PBS 2 h prior to challenge with PBS or HDM (o.p.) or OVA (nebulization) on both days 7
229 and 8 (see Supplemental Fig 3). The dose of liposomes administered in vivo contained 20 ng of recombinant
230 SOCS3, as this approximates the amount secreted by primary AMs that we previously determined capable of
231 inhibiting STAT3 activation in cytokine-treated mouse lungs (13).

232 **SOCS3 and cytokine analysis in lavage fluids**

233 SOCS3 was measured in cell-free human or mouse lavage fluid samples and in primary AM CM that both
234 had been concentrated using 100 kD Amicon Ultra exclusion filters (MilliporeSigma). After sonication of
235 the samples (Branson Sonifier 250: 40% duty cycle, output 3) to disrupt EVs, SOCS3 levels were
236 determined using a SOCS3 ELISA kit according to the instructions of the manufacturer (Cloud-Clone). Cell-
237 free mouse lavage fluids (not sonicated) were also used to measure levels of IL-4, IL-5, IL-6, KC, MCP-1,
238 IP10 and eotaxin-1 by multiplex ELISA (Milliplex, MilliporeSigma) and to measure IL-33 levels by ELISA
239 (R&D Systems).

240 **Statistical analysis**

241
242 Data are presented as mean \pm standard error of the mean (SEM). To determine normality of the data a
243 D'Agostino & Pearson omnibus normality test was used. Data were log transformed to fit a normal
244 distribution when not distributed normally. Differences between groups were tested using a one-way
245 ANOVA followed by Sidak's test for multiple comparisons, or by a Student t test, as appropriate. A two-
246 way ANOVA was performed to analyze the effect of both treatment and sex (and their interaction) using
247 Prism 8.0 (GraphPad Software). P-values below 0.05 were considered to be significant.

Results

Reduced levels of AM-derived SOCS3 in BALF of subjects with asthma and mice in two models of allergic inflammation

We have previously shown that constitutive AM secretion of vesicular SOCS3 can be bidirectionally modulated in various acute and chronic inflammatory environments (14, 33). Since asthma likewise represents an inflammatory environment in which AMs are known to be dysregulated (9, 34), we hypothesized that AM secretion of this natural brake on JAK-STAT signaling within the lung might be impaired. BALF was collected from the lungs of both asthmatic (n=6; Table 1) and non-asthmatic control (n=10; Table 2) subjects and subjected to sequential centrifugation and sonication steps before analysis via ELISA (Fig. 1A). Asthmatic subject BALF demonstrated significantly lower levels of secreted SOCS3 than that of normal controls (Fig. 1B).

We next evaluated SOCS3 secretion in two mouse models of acute allergic inflammation. Mice were sensitized (day 0) and challenged (day 7 and 8) with either PBS, HDM, or OVA, and lavage fluid was collected on day 9. We have previously reported that both of these protocols cause leukocyte influx and cytokine generation in the lungs (5, 35). As compared to control challenge with PBS, both allergen challenge models exhibited decreases in SOCS3 protein levels contained in either neat BALF subjected to sonication (Fig. 1C and 1D) or in EVs purified from BALF (Fig. 1E). AMs retrieved from allergen-challenged mice exhibited no difference in intracellular SOCS3 relative to cells from PBS-challenged control mice (Supplemental Fig. 1A). However, AMs from allergen-challenged mice secreted significantly less SOCS3 into CM *in vitro* (Fig. 1F) despite elaborating more EVs (Supplemental Fig. 1B) than AMs from PBS-challenged mice. Thus, the ratio of SOCS3 per EV elaborated was clearly reduced in CM from AMs of challenged mice. These data indicate that homeostatic secretion of SOCS3 by AMs is impaired in animal models of allergic asthma, as it is in human asthma.

Mediators implicated in asthma decrease AM SOCS3 secretion *in vitro*

The decreases in vesicular SOCS3 secretion observed in asthmatics and allergen-challenged mice could reflect modulation by inflammatory mediators present in the lungs. To explore this possibility, we studied the effects of exogenous addition of the type 2 cytokine IL-4 as well as the EC-derived type 2-promoting cytokines IL-33, TSLP, and IL-25 on *in vitro* AM SOCS3 expression and secretion. For comparison, we also examined the effect of the anti-inflammatory glucocorticoid dexamethasone. J2-immortalized primary mouse AMs incubated for 48 h with IL-4, IL-33, or TSLP exhibited significantly lower SOCS3 protein secretion (Fig. 2A) in association with a possible reduction in intracellular expression (Fig. 2B); qualitatively similar effects of IL-25 did not reach statistical significance. By contrast, incubation with

286 dexamethasone significantly increased basal SOCS3 secretion without increasing intracellular levels (Fig.
287 2A and B). These data suggest that inflammatory cytokines are capable of inhibiting SOCS3 secretion by
288 AMs, and this may contribute to the observed defects in asthmatics and allergen-challenged mice.

289 290 **IL4 and IL-33 treatment of AMs abrogates the ability of AM-derived CM and EVs to inhibit** 291 **bronchial EC signaling and mediator gene expression**

292 Previously we reported that SOCS3 protein secreted within AM-derived EVs has the ability to inhibit JAK-
293 STAT signaling and expression of its downstream inflammatory genes in alveolar ECs (13). We tested
294 whether these findings extend to bronchial ECs by using the BEAS-2B human bronchial EC line, and to
295 stimuli relevant to allergic airway inflammation, namely the type 2 cytokines IL-4/IL-13 and HDM. Both
296 CM and EVs (added at a typically employed ratio of 5 EVs:1 EC) constitutively elaborated by AMs
297 inhibited bronchial EC STAT3 activation in response to IL-4/IL-13 and HDM (Supplemental Fig. 2A and
298 Fig. 3A), while only EVs significantly inhibited STAT6 activation in response to IL-4/IL-13 (Supplemental
299 Fig. 2B and Fig. 3B). CM and EVs also inhibited BEAS-2B expression of eotaxin-1 (Supplemental Fig. 2C
300 and Fig. 3C), IL-33 (Supplemental Fig. 2E and Fig. 3E), GM-CSF (Supplemental Fig. 2F and Fig. 3F), and
301 IL-6 (Supplemental Fig. 2G and Fig. 3G) induced by IL-4/IL-13 and/or HDM. TSLP expression in BEAS-
302 2B cells was only significantly induced by HDM stimulation, and pre-treatment with either CM or EVs
303 prevented this induction (Supplemental Fig. 2D and Fig. 3D). These data demonstrate that EVs derived from
304 resting AMs and known to contain SOCS3 are capable of inhibiting signaling and gene expression responses
305 of bronchial ECs pertinent to allergic inflammation.

306
307 Next, we asked whether pretreatment of AMs with IL-4, IL-33, or dexamethasone – which altered EV
308 SOCS3 levels – influenced the ability of their secreted EVs to inhibit IL-4/IL-13-induced JAK-STAT
309 signaling in BEAS-2B cells. EVs from dexamethasone-pretreated AMs, containing higher SOCS3 levels
310 than basal AMs, retained the inhibitory effect on IL-4/IL-13-induced STAT3 signaling (Fig. 4A). By
311 contrast, EVs from IL-4-pretreated AMs exhibited no inhibitory effect, while those from IL-33-pretreated
312 AMs actually augmented STAT3 and STAT6 activation in response to IL-4/IL-13 (Fig. 4A and B). These
313 data suggest that impaired secretion of AM SOCS3 in the context of allergic inflammation may result in a
314 failure to restrain STAT-induced inflammation.

315 316 **Synthetic SOCS3 liposomes inhibit bronchial EC signaling and mediator expression**

317 Natural AM-derived EVs contain a myriad of other cargo molecules besides SOCS3. In order to determine if
318 SOCS3 itself was sufficient to attenuate bronchial EC signaling, we generated synthetic phospholipid
319 vesicles in which recombinant SOCS3 was the lone cargo molecule, and tested their effects on EC
320 inflammatory responses in vitro. We previously determined that 10 ng of liposomal recombinant SOCS3
321 protein yielded a degree of STAT3 inhibition bioequivalent to that contained in natural AM EVs from 1 x

10⁶ cells (33). As compared to empty liposomes loaded with PBS alone, SOCS3 liposomes inhibited EC activation of both STAT3 (Fig. 5A) and STAT6 (Fig. 5B) in response to IL-4/13. SOCS3 liposomes also inhibited gene expression of eotaxin-1 (Fig. 5C), TSLP (Fig. 5D) and IL-33 (Fig. 5E) elicited by stimulation with both IL-4/13 and HDM. Thus, these synthetic SOCS3 liposomes exert similar anti-inflammatory actions on bronchial ECs as do natural AM EVs.

Synthetic SOCS3 liposomes attenuate development of allergic airway inflammations

The impaired *in vivo* secretion of AM-derived SOCS3 available for delivery to ECs in the setting of allergic inflammation (Fig. 1) would be expected to disrupt homeostasis and thereby favor inflammatory responses. Since synthetic SOCS3 liposomes proved to be effective *in vitro*, we sought to test whether they could be used to rescue the endogenous SOCS3 secretory defect in an allergic model of airway inflammation *in vivo*. Therefore, both male (both PBS groups: n=5; both OVA groups: n=10) and female mice (PBS: n=3; OVA: n=5) were treated *o.p.* with either empty or SOCS3 liposomes 2 h prior to challenge with PBS or OVA (aerosolized) on both day 7 and 8 (Supplemental Fig. 3); we employed a dose of 20 ng of liposomal SOCS3 per mouse, a dose determined to be bioequivalent to that contained in natural AM EVs which was capable of inhibiting STAT activation in cytokine-treated lungs *in vivo* (13). PBS challenge elicited no inflammatory response, and neither empty nor SOCS3 liposomes had any effects in PBS-challenged mice (not shown). Increased total cells, eosinophils, and neutrophils were detected in lavage fluid of OVA-challenged mice treated with empty liposomes as compared to PBS challenged mice treated with empty liposomes (Supplemental Fig. 4A and Fig. 6A and B). As previously reported (36, 37), OVA-challenged female mice had significantly higher eosinophil numbers than their male counterparts (Fig. 6A). SOCS3 liposome treatment reduced the number of total cells, eosinophils, and neutrophils in BALF in OVA-challenged mice as compared to empty liposome treatment (Supplemental Fig. 4A and Fig. 6A and B). No differences were observed in total AM numbers in BALF (Supplemental Fig. 4B). SOCS3 liposome treatment affected numbers of total cells, eosinophils and neutrophils similarly in OVA-challenged males and females (Supplemental Fig. 4A and Fig. 6A and B).

Lavage fluid of empty liposome-treated and OVA-challenged mice exhibited elevated levels of inflammatory cytokines (IL-4, IL-5, IL-6, and IL-33) and chemokines (KC, MCP-1 and IP10) (Fig. 6C-I). As compared to treatment with empty liposomes, SOCS3 liposome treatment in OVA-challenged mice reduced the levels of IL-4, IL-6, KC, MCP-1, IP10 (Fig. 6C, E, F, G, H), and IL-33 (Fig. 6I). As was also true for eosinophil numbers, we observed higher levels of IL-6, IL-5, MCP-1 and IP10 in OVA-challenged females as compared to males (Fig. 6D, E, G and H). For most of these cytokines/chemokines, the magnitude of the inhibitory effect of SOCS3 liposome treatment was similar in both sexes; however, SOCS3 liposomes lowered MCP-1 levels more in OVA-challenged females than in OVA-challenged males (Fig.

6G). These data demonstrate that intrapulmonary treatment with SOCS3 liposomes abrogates both the cellular and molecular components of allergic inflammation.

Synthetic SOCS3 liposomes attenuate macrophage polarization during allergic airway inflammation

BALF cells from empty and SOCS3 liposome-treated PBS and OVA-challenged mice were pooled and plated, and those remaining adherent following 18-h culture were predominantly macrophages. By qPCR analysis, cells from OVA-challenged mice that had been treated with empty liposomes showed substantial upregulation of mRNA for the M1 marker inducible nitric oxide synthase (iNOS) (Fig. 7A) and the M2 markers FIZZ1, YM1 and IRF4 relative to that observed following PBS challenge (Fig. 7D-F). As compared to empty liposome treatment, SOCS3 liposome administration to OVA-challenged mice attenuated ex vivo macrophage expression of M1 markers iNOS, TLR4 and IRF5 (Fig. 7A-C) as well as M2 markers FIZZ1, YM1 and IRF5 (Fig. 7D-F). These data indicate that while M2 polarization predominates in OVA-sensitized and challenged mice, treatment with SOCS3 liposomes is able to inhibit macrophage polarization to both M1 and M2 phenotypes, as reflected by reduced expression of cell surface markers (iNOS, TLR4, FIZZ1, and YM1) as well as of key responsible transcription factors (IRF4 and IRF5).

Discussion

Here we interrogated the role of anti-inflammatory SOCS3, secreted within EVs by AMs, in allergic inflammatory responses in the airways. Our key findings were that: 1) basal SOCS3 secretion in the lung is impaired in both asthmatics and in mouse models of allergic asthma; 2) AM-derived SOCS3 dampens bronchial EC inflammatory responses in vitro; and 3) intrapulmonary administration of liposomal recombinant SOCS3 can rescue the secretory defect in mice and exert broad suppression of diverse inflammatory pathways and events.

The complex interplay between multiple cell types during airway inflammation in response to allergens remains incompletely defined. Clearly, however, due to their location in the airway and close proximity to each other, bronchial ECs and AMs are the first lines of contact for inhaled allergens. Their cooperation is essential for proper inflammatory responses and maintenance of homeostasis, and as the resident immune cell of the pulmonary mucosal surface, AMs are in the perfect position to orchestrate these responses. We previously demonstrated that constitutive secretion of vesicular SOCS3 by AMs could be further potentiated in response to a “request signal” received from ECs in order to dampen their inflammatory response during infection (14). Data presented herein show that during acute allergic airway inflammation, AMs are unable to answer such a request, and their impaired secretion of endogenous SOCS3 would be expected to facilitate airway inflammation.

394 We acknowledge two limitations of this study. First, we have not directly studied the inflammation-
395 modulatory actions of EVs derived from human AMs, but instead draw inferences from the actions of EVs
396 elaborated by mouse AM cell lines. This reflects the logistical realities of ready access to large numbers of
397 mouse AMs from which EVs can be isolated, as opposed to the limited access to AMs from asthmatic and
398 control humans. However, we have previously confirmed that AMs from human subjects do indeed secrete
399 SOCS3 *ex vivo* (13), and would therefore anticipate similar anti-inflammatory potential. Second, we have
400 not definitively determined that SOCS3 secreted onto the mucosal surface *in vivo* and recovered in BALF
401 from normal humans or mice derives exclusively from resident AMs. However, we have previously reported
402 (13, 14) that in contrast to the abundant capacity of AMs to secrete SOCS3, that exhibited by a variety of
403 other cell types (alveolar ECs, neutrophils, monocytes, lung fibroblasts, and certain other macrophage
404 populations) is minimal. While we anticipate that resident AMs are the predominant source of secreted
405 SOCS3 *in vivo*, we cannot exclude contributions from other cell types.

406

407 In our studies, we observed that AMs secreted less SOCS3 during acute allergic airway inflammation, and
408 we sought to gain some insight into the responsible mechanism(s) using both our *in vitro* and *in vivo*
409 models. *In vitro* exposure of the AM cell line to IL-4, IL-33 or TSLP – mediators shown to be increased in
410 the milieu of the inflamed lung – reduced intracellular SOCS3 protein levels as compared to those observed
411 in untreated AMs. This approach, albeit reductionist in nature, suggests that the decrease in SOCS3
412 secretion by AMs could reflect less intracellular SOCS3 protein available for packaging into EVs, perhaps
413 because of interference with transcription/translation of the protein during inflammation. By contrast, in the
414 *in vivo* HDM model, SOCS3 secretion by AMs was diminished despite these cells exhibiting similar
415 intracellular SOCS3 protein levels and elaborating more total EVs as compared to AMs from PBS-
416 challenged mice. These data suggest that reduced SOCS3 secretion was attributable instead to lower
417 amounts of SOCS3 protein incorporated per secreted EV. Taken together, our data suggest that while
418 inflammatory cytokines have the potential to reduce SOCS3 secretion by suppressing intracellular
419 expression of the protein, the predominant operative mechanism *in vivo* may instead involve defective
420 packaging of intracellular SOCS3 within EVs. Indeed, there is precedent for the amount of SOCS3 protein
421 packaged per EV to be directly modulated independent of bulk intracellular levels (13). Further work is
422 needed to definitively elucidate the molecular mechanisms responsible for diminished SOCS3 secretion
423 within AM EVs in the setting of allergic inflammation.

424

425 We previously reported that various pro- and anti-inflammatory signaling molecules could bidirectionally
426 regulate AM secretion of SOCS3 (13). Additionally, we demonstrated that innate immune activation and/or
427 type I inflammation (i.e. acute bacterial and viral infections) results in a significant increase in SOCS3
428 secretion in the lungs of naïve mice (14). These current data extend the phenomenon of dynamic regulation
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of AM SOCS3 secretion to substances important in modulating type 2 inflammatory responses. Although the precise quantitative relationship between SOCS3 content and anti-inflammatory actions of EVs remains to be clarified, our data do point to a qualitative relationship in that EVs from AMs treated with type 2-associated cytokines such as IL-4, IL-13 and TSLP – exhibiting reduced SOCS3 – exhibited a parallel loss of their anti-inflammatory actions on ECs in vitro. We would anticipate that a similar loss of anti-inflammatory activity would accompany the reduced SOCS3 content of EVs elaborated by AMs from allergen-challenged mice in vivo. Unfortunately, this possibility could not be feasibly tested directly because the numbers of EVs that can be obtained from AMs isolated from these mice is limiting. However, the fact that supplementation of SOCS3 protein via synthetic liposomes resulted in reduced inflammatory cytokine production and cell recruitment in allergic mice indirectly suggests that loss of AM SOCS3 secretion facilitates enhanced allergic inflammation.

A variety of signaling pathways and transcription factors (such as STAT1, STAT3, and STAT6) up-regulated or activated in the airway epithelium have been shown to be important in mediating airway responses to allergens in both mouse models and in asthmatics (38-40). Our current studies demonstrate that endogenously released AM-derived EVs containing SOCS3 are capable of inhibiting the activation of both STAT3 and STAT6, indicating a broad anti-inflammatory repertoire. Importantly, we found that this homeostatic control mechanism is disrupted during allergic inflammation, prompting an opportunity for therapeutic rescue. Attempting to accomplish this with natural AM-derived EVs is potentially problematic. First, the presence of myriad cargo molecules other than SOCS3 in natural EVs could confound therapeutic studies. Second, the prospect of treatment with natural EVs poses a variety of immunologic, logistical, and regulatory challenges. To circumvent these limitations, we engineered synthetic phospholipid-based liposomes whose only cargo is recombinant SOCS3. Our findings indicate that these liposomes possess anti-inflammatory actions when delivered to either bronchial ECs in vitro or by intrapulmonary administration in mouse models of allergic inflammation. They inhibited activation of STAT3 and STAT6 in bronchial ECs. In vivo, they inhibited inflammatory cell influx, the expression of a wide range of cytokines and chemokines, as well as inhibition of AM polarization to both M1 and M2 phenotypes; the latter is important because polarized macrophages are key drivers of inflammatory responses (41, 42). Homogeneous liposomes of uniform phospholipid and SOCS3 cargo content and size could be readily synthesized to large scale. Moreover, synthetic SOCS3 liposomes appear to target a broader range of inflammatory signaling pathways than do pharmacologic inhibitors of JAK. These features endow SOCS3 liposomes with the potential to comprise an innovative anti-inflammatory approach for the treatment of asthma.

In conclusion, we have identified the constitutive secretion of SOCS3 within EVs as one potential mechanism by which resident AMs restrain allergic inflammatory responses in the airways. Vesicular

465 SOCS3 exerts broad inhibitory effects on various aspects of inflammatory signaling and responses within
466 airway ECs. AM incorporation of SOCS3 protein within EVs is known to be a regulatable phenomenon, and
467 we demonstrate here that this process can be reduced by type 2 cytokines in vitro and during allergic
468 inflammation in vivo. We speculate that the impairment of this homeostatic anti-inflammatory brake
469 promotes the development and persistence of airway inflammation. Its restoration by intrapulmonary
470 administration of SOCS3 within synthetic vesicles can attenuate inflammation. Future studies will be
471 required to evaluate the importance of this defect in SOCS3 secretion and the therapeutic potential of
472 synthetic SOCS3 liposomes in more chronic models of allergic airway inflammation.

473 474 475 476 **Author Contributions**

477
478 C.D and J.M.S both contributed equally to the manuscript. They designed and performed experiments,
479 analyzed data, and wrote the manuscript. L.K.P and Z.Z performed experiments and assisted with
480 manuscript preparation. J.D.B and J.J.M provided liposomes and edited the manuscript. N.L performed
481 bronchoscopies and edited the manuscript. Y.J.H provided BAL samples, human subject data and edited the
482 manuscript. M.P.G supervised the study, provided scientific insight, and reviewed and edited the
483 manuscript.

484 485 **Acknowledgments**

486
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Figure Legends

Figure 1. SOCS3 secretion by AMs is reduced in the lungs of asthmatic subjects and allergen-challenged mice

(A) Schematic depiction of human subject BALF processing for SOCS3 ELISA. SOCS3 protein levels in sonicated lavage BALF of both normal and asthmatic subjects (B), HDM-challenged mice (C), and OVA-challenged mice (D). SOCS3 protein levels in vesicular fraction of BALF of OVA-challenged mice (E) and in vesicular fraction of CM isolated from AMs of OVA-challenged mice. For (C), (D), and (E), SOCS3 was quantitated in samples obtained 24 h after the second of two consecutive daily intrapulmonary allergen challenges. SOCS3 was determined by western blot in (C) and by ELISA in all other panels. Each point represents an individual subject or mouse, and the mean \pm SEM from each group is shown. * $p < 0.05$, using a Student t test.

Figure 2. SOCS3 secretion and expression is reduced in AMs exposed to inflammatory cytokines

(Top) Relative SOCS3 protein levels determined by western blot in (A) vesicular fraction of CM, and in (B) lysates from AM cell line exposed for 48 h to IL-4 (10 ng/mL), IL-33 (20 ng/mL), TSLP (50 ng/mL), IL-25 (100 ng/mL) or dexamethasone (DEX, 1 μ M). (Bottom) Representative SOCS3 WBs from vesicular fraction of CM (A) and cell lysates (B). Dashed line represents non-contiguous lanes from two separate blots. # $p < 0.05$ as compared to unstimulated control, using a one-way ANOVA followed by Sidak's multiple comparisons test.

Figure 3. AM-derived EVs inhibit STAT3 and STAT6 activation as well as inflammatory gene expression in challenged bronchial ECs

(A) (Top) Representative WBs; (Bottom) Activation of STAT3 (phosphorylated as percentage of total STAT3), and (B) (Top) Representative WBs; (Bottom) activation of STAT6 (phosphorylated as percentage of β -actin) – both determined by densitometry – in BEAS-2B ECs pretreated with or without AM EVs (EV:cell ratio 5:1) and thereafter stimulated with IL-4/IL-13 (10 ng/mL each) or HDM (10 μ g/mL) for 1 h.

641 Data represent mean \pm SEM from 3-5 independent experiments. Dashed line represents non-contiguous
642 lanes from the same blot. Relative expression of (C) eotaxin-1, (D) TSLP, (E) IL-33, (F) GM-CSF and (G)
643 IL-6 mRNA in BEAS-2B ECs pretreated with or without AM EVs and thereafter stimulated with IL-4/IL-13
644 (10 ng/mL each) or HDM (10 μ g/mL) for 6 h. All data represent fold change relative to untreated control,
645 and are mean \pm SEM from 5-6 independent experiments. # p <0.05 as compared to non-pretreated control
646 ECs. * p <0.05 as compared to non-EV-treated cells, using a one-way ANOVA followed by Sidak's multiple
647 comparisons test.

648
649 **Figure 4. EVs from cytokine-pretreated AMs lose their capacity to inhibit STAT activation in**
650 **response to inflammatory stimuli**

651 (A) (Top) Activation of STAT3 (as percentage of total STAT3) (Bottom) representative WBs, and (B) (Top)
652 activation of STAT6 (as percentage of β -actin) (Bottom) representative WBs – both determined by
653 densitometry – in BEAS-2B ECs pretreated with EVs from AM cell line exposed for 48 h to either IL-4, IL-
654 33 or DEX, and thereafter stimulated with IL-4/IL-13 (10 ng/mL each) for 1 h. Dashed line represents non-
655 contiguous lanes from two separate blots. All data are mean \pm SEM from 5-6 independent experiments. #
656 p <0.05 vs untreated cells; * p <0.05, using a one-way ANOVA followed by Sidak's multiple comparisons
657 test.

658
659 **Figure 5. Synthetic liposomes containing recombinant SOCS3 inhibit STAT activation and**
660 **inflammatory cytokine production by BEAS-2B cells**

661 (A) Activation of STAT3 (phosphorylated as percentage of total STAT3) and (B) activation of STAT6
662 (phosphorylated as percentage of β -actin) – both determined by WB – in BEAS-2B ECs pretreated with
663 empty or SOCS3 liposomes and thereafter stimulated with or without IL-4/IL-13 (10ng/mL each) for 1 h.
664 Relative expression of (C) eotaxin-1, (D) TSLP, and (E) IL-33 mRNA in BEAS-2B ECs pretreated with
665 empty or SOCS3 liposomes and thereafter stimulated with IL-4/IL-13 (10ng/mL each) or HDM (10 μ g/mL)
666 for 6 h; data are presented as fold change relative to untreated control. Data from 5 independent
667 experiments. # p <0.05 as compared to control untreated ECs. * p <0.05, using a one-way ANOVA followed
668 by Sidak's multiple comparisons test.

669
670 **Figure 6. Intrapulmonary administration of synthetic liposomes containing recombinant SOCS3**
671 **inhibits eosinophil and neutrophil recruitment and inflammatory cytokine generation in the allergen-**
672 **challenged lung in vivo**

673 (A) Eosinophil numbers and (B) neutrophil numbers in lavage fluid of OVA-challenged male (green) and
674 female (purple) mice determined 24 h after the second of two consecutive daily intrapulmonary PBS or
675 OVA challenges. Protein levels of (C) IL-4, (D) IL-6, (E) IL-5, (F) KC, (G) MCP-1, (H) IP10 and (I) IL-33

676 in lavage fluid of PBS- and OVA-challenged male (green) and female (purple) mice determined 24 h after
677 the second of two consecutive daily intrapulmonary PBS or OVA challenges. Empty (PBS) or SOCS3
678 liposomes were administered o.p. 2 h before challenges on both days. * $p < 0.05$, using a two-way ANOVA
679 followed by Sidak's multiple comparisons test.

680
681 **Figure 7. Intrapulmonary administration of synthetic liposomes containing recombinant SOCS3**
682 **inhibits AM polarization**

683 mRNA expression of M1 markers (A) iNOS, (B), TLR4, (C) IRF5 and M2 markers (D) FIZZ1, (E) YM1
684 and (F) IRF4 in AMs isolated from lavage fluid of PBS- and OVA-challenged mice pretreated with empty
685 liposomes or SOCS3 liposomes. * $p < 0.05$, using a one-way ANOVA followed by Sidak's multiple
686 comparisons test.

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Table 1. Demographic and clinical characteristics of asthmatic subjects

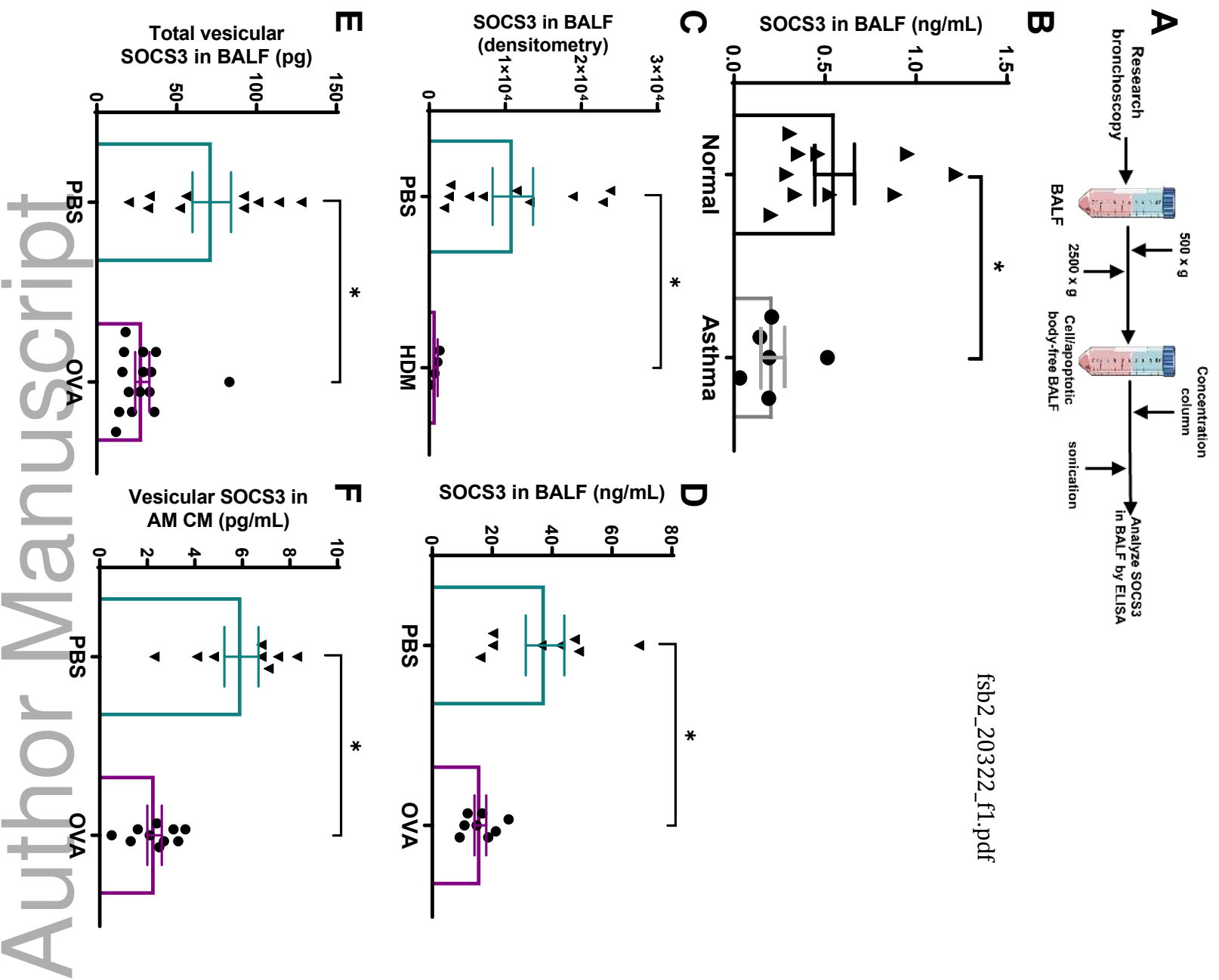
Sex	Age	Smoking history [*]	ICS [†]	% predicted FEV1	ACQ [‡] score
Female	47	N	No	96%	0.57
Female	30	N	Yes	53%	2.71
Female	50	F	No	93%	0.71
Male	50	N	Yes	97%	0.42
Female	27	N	No	117%	0.57
Female	49	N	Yes	123%	0

*N= never; F= former †ICS= inhaled corticosteroid ‡ACQ= asthma control questionnaire

Table 2. Control subject demographics

Sample size (n):	10
Median age:	52 years
Age range:	23-71 years
% Male:	40
% Smoker:	40
Former (n):	4
Current (n):	0

FIGURE 1



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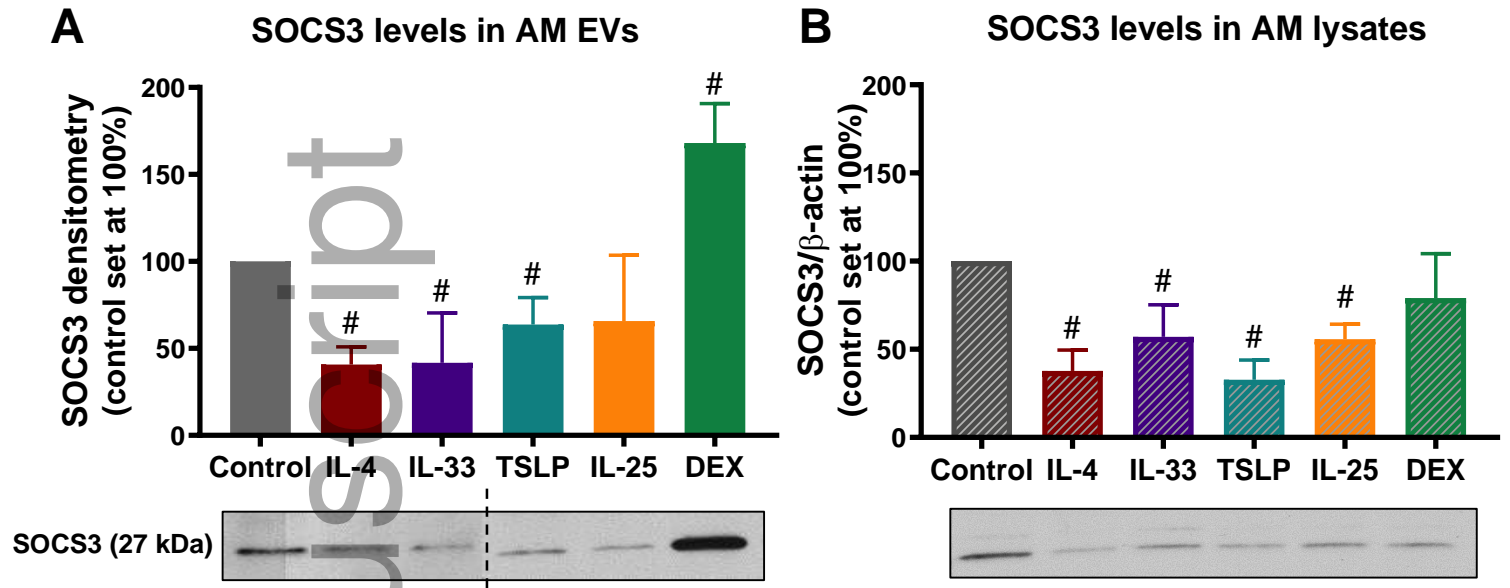
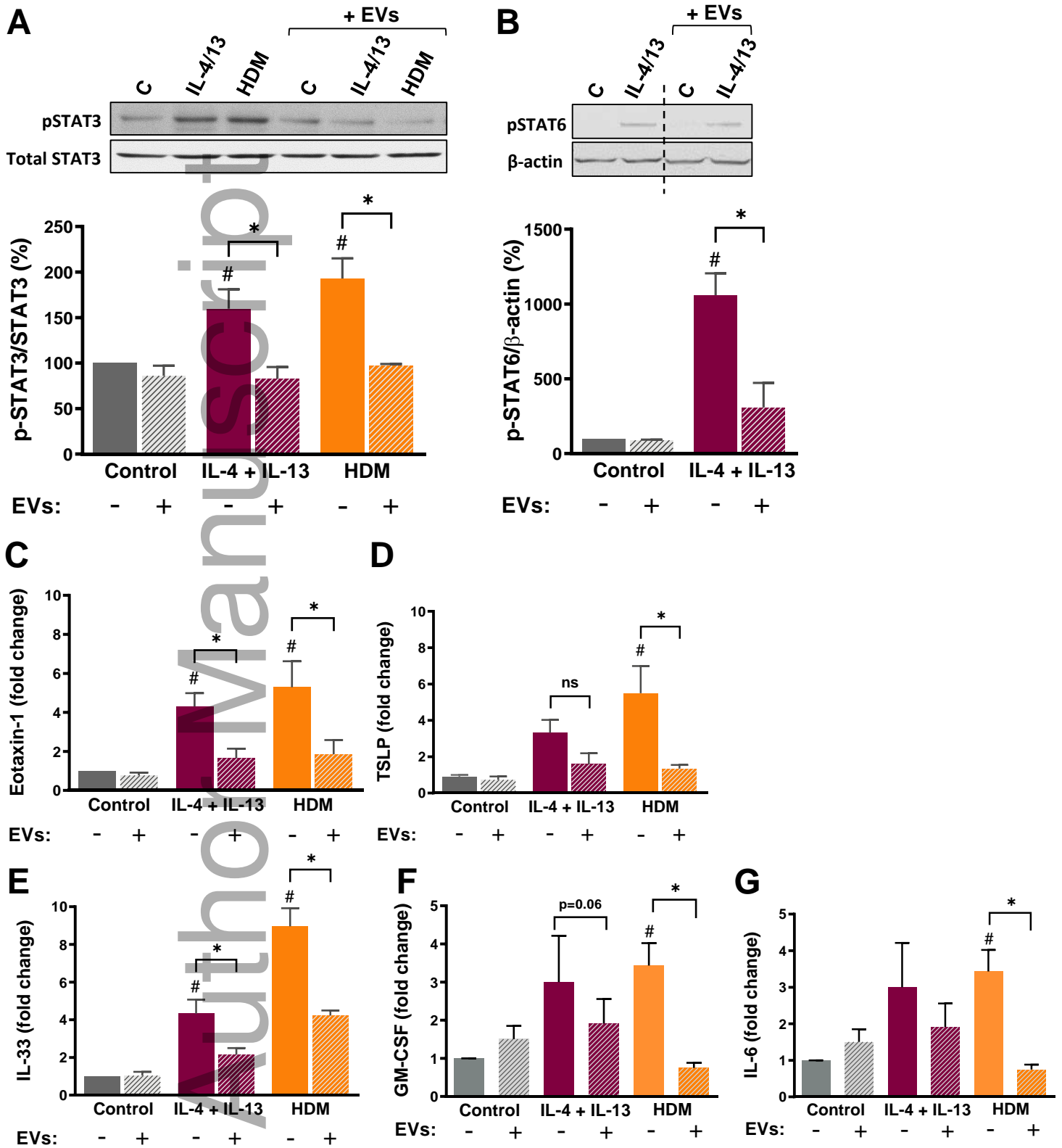


FIGURE 3



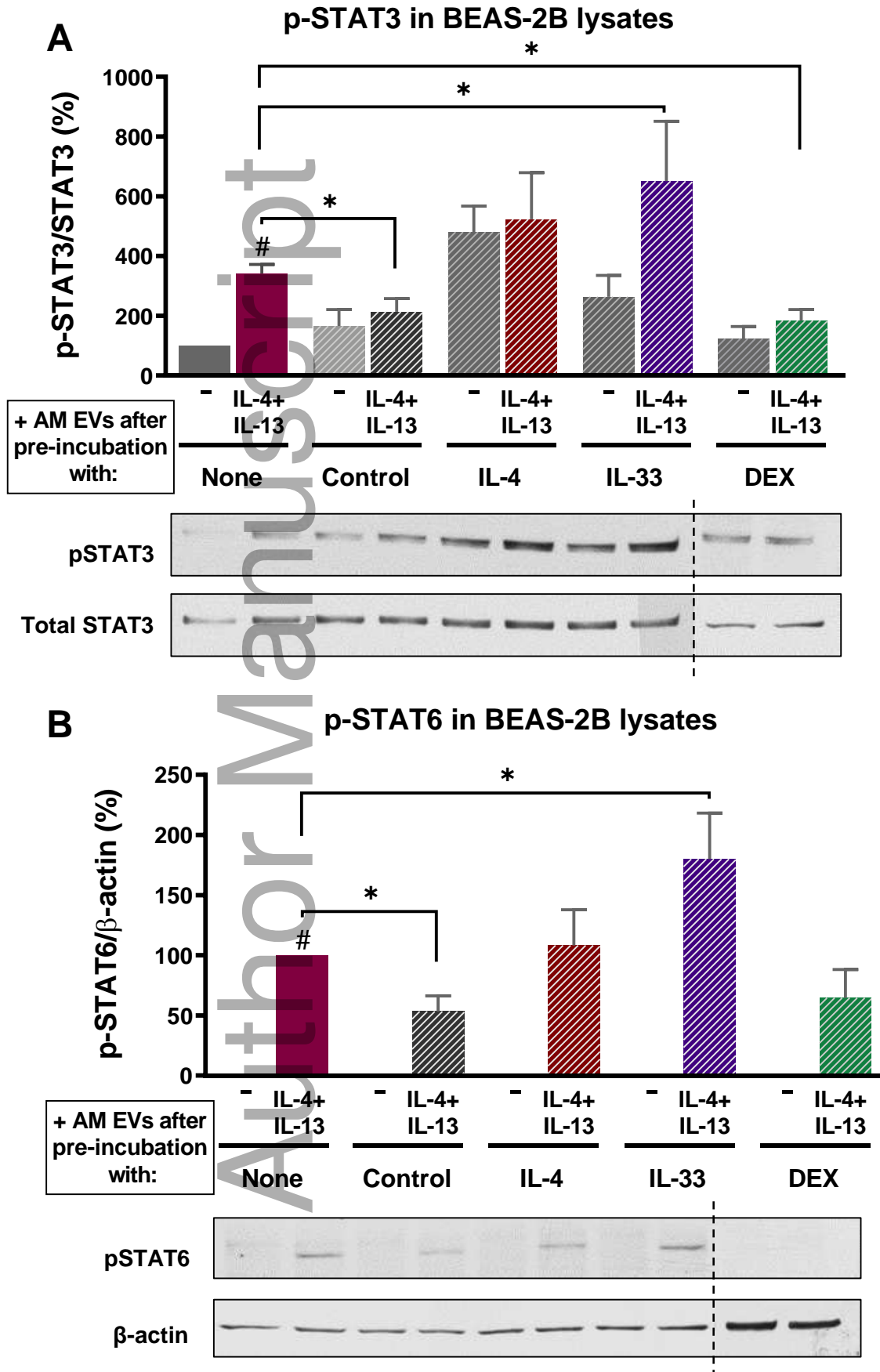


FIGURE 5

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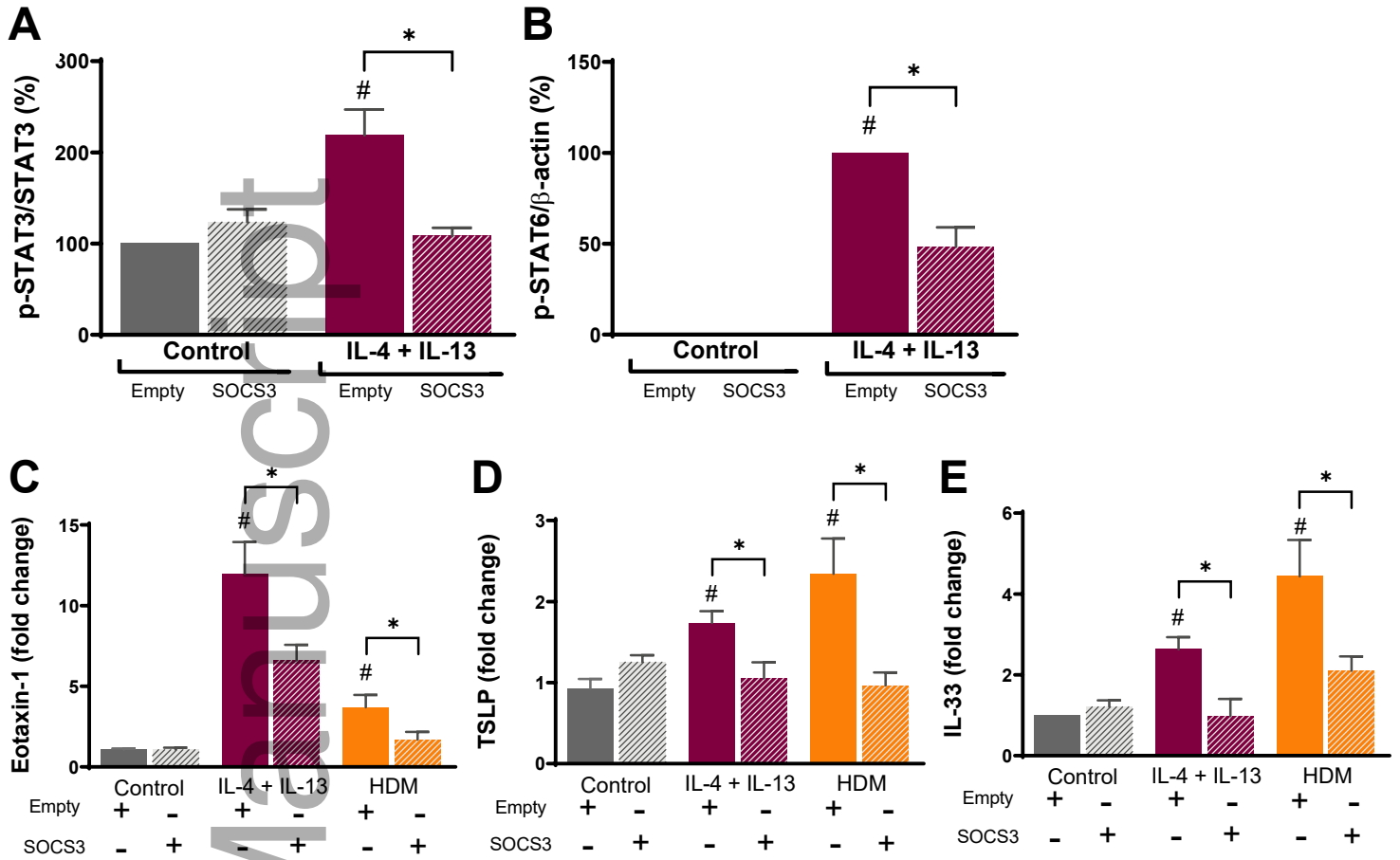


FIGURE 6

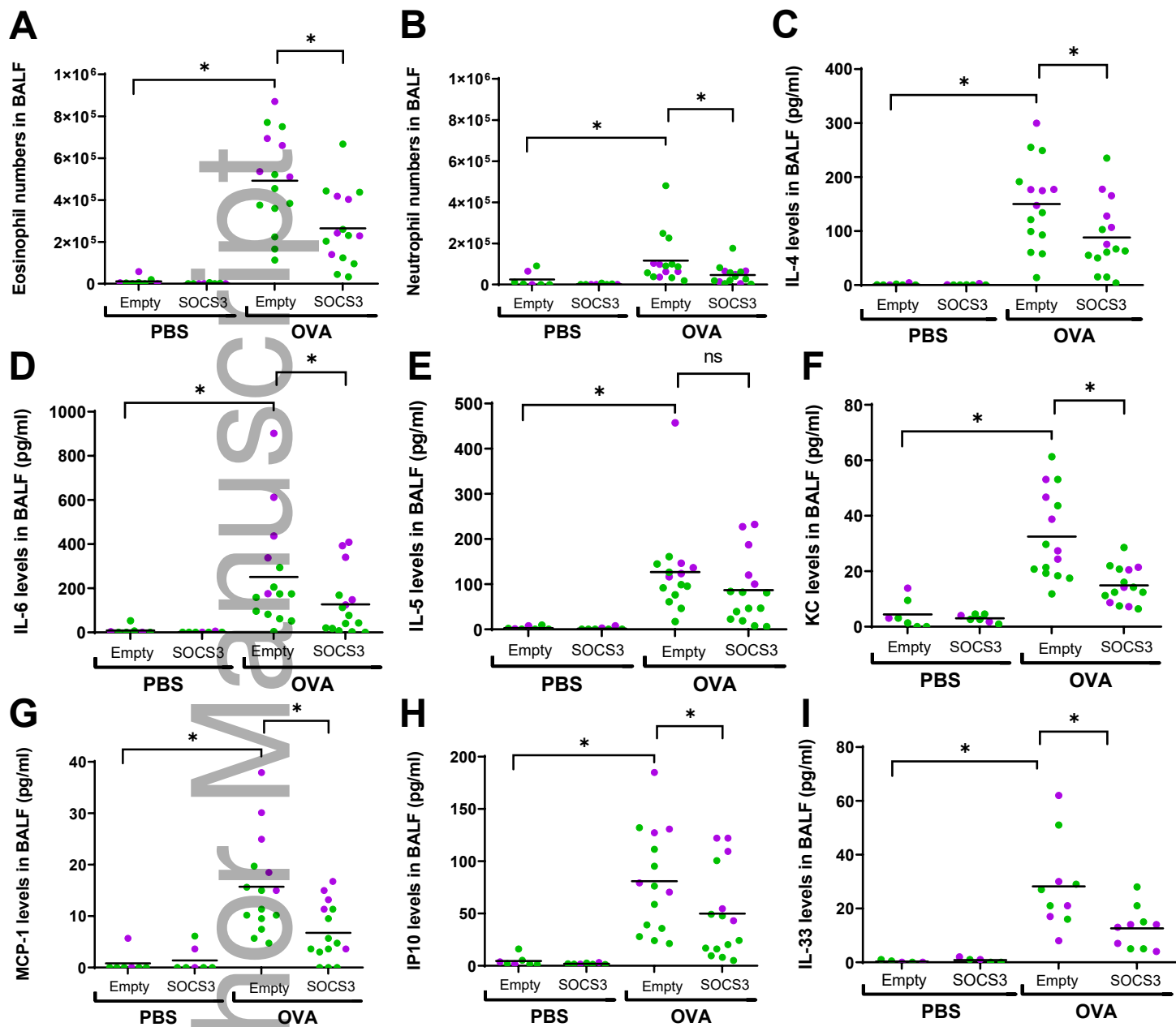
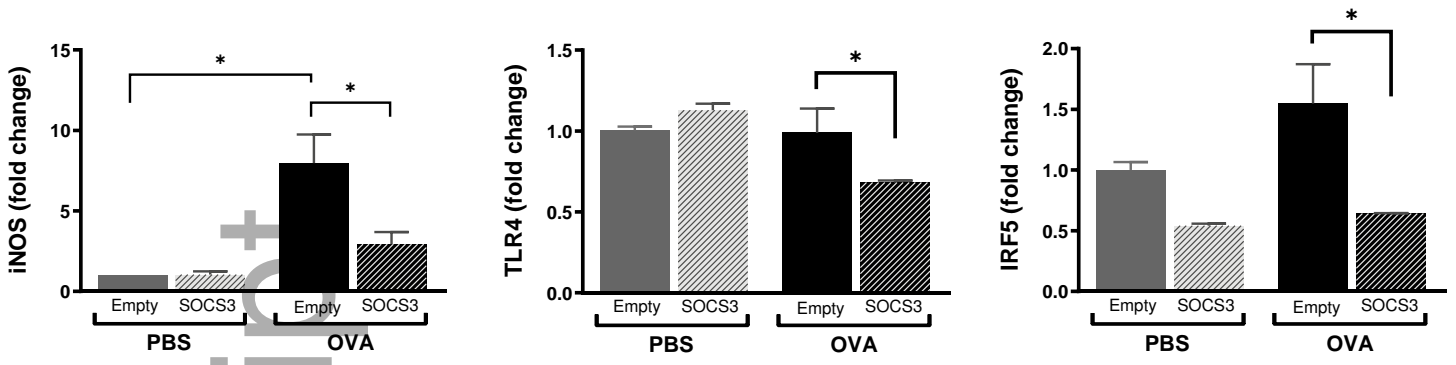


FIGURE 7

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A



B

