# Sensor-Mediated Granular Sludge Reactor for Nitrogen Removal and Reduced Aeration Demand using a Dilute Wastewater

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1 Abstract

2 A sensor-mediated strategy was applied to a lab-scale granular sludge reactor (GSR) to 3 demonstrate that energy efficient inorganic nitrogen removal is possible with a dilute 4 mainstream wastewater. The GSR was fed a dilute wastewater designed to simulate an A-5 stage mainstream anaerobic treatment process. DO, pH, and ammonia/nitrate sensors measured water quality as part of a real-time control strategy that resulted in low-energy 6 nitrogen removal. At a low COD ( $0.2 \text{ kg/m}^3/\text{day}$ ) and ammonia ( $0.1 \text{ kg-N/m}^3/\text{day}$ ) load, the 7 average degree of ammonia oxidation was 86.2±3.2% and total inorganic nitrogen removal 8 9 was  $56.7\pm2.9\%$  over the entire reactor operation. Aeration was controlled using a DO 10 setpoint, with and without residual ammonia control. Under both strategies, maintaining a 11 low bulk oxygen level (0.5 mg/L) and alternating aerobic/anoxic cycles resulted in a higher 12 level of nitrite accumulation and supported shortcut inorganic nitrogen removal by 13 suppressing nitrite oxidizing bacteria. Furthermore, coupling a DO setpoint aeration strategy 14 with residual ammonia control resulted in more stable nitritation and improved aeration 15 efficiency. The results show that sensor-mediated controls, especially coupled with a DO 16 setpoint and residual ammonia controls, are beneficial for maintaining stable aerobic granular 17 sludge.

18 Key words: NOB suppression, aeration control, partial nitritation/anammox, mainstream N
19 removal

## 20 1. INTRODUCTION

21 There is great interest in systems that are energy neutral or positive to also achieve 22 resource recovery using means that meet stringent effluent standards. The A-B (adsorption-23 biooxidation) process targets this end point. The A-stage is dedicated to maximizing carbon 24 capture for later energy production and is commonly deployed using high rate activated 25 sludge (HRAS) (Jimenez et al., 2015) or chemically enhanced primary treatment (CEPT) (Diamantis et al., 2013). Recently, the anaerobic membrane biofilm reactor (AnMBR) has 26 27 been proposed as a viable A-stage technology that could become an energy efficient option 28 (Smith et al., 2014). The B-stage is focused on energy efficient nutrient (commonly nitrogen) 29 management (Jetten, Horn, & van Loosdrecht, 1997; Wan, Gu, Zhao, & Liu, 2016). Most of 30 the energy expense in an A-B process occurs due to aeration in the B-stage, where the 31 remaining carbon and nitrogen (N) are removed. In some cases, it is possible for the energy

expense in the B-stage to negate the energy gained in the A-stage, making A-B process
inefficient for energy recovery (Zhou et al., 2013).

34 Processes that require less oxygen and do not require external substrate are desirable to 35 minimize aeration demand in the B-stage. Traditionally, N removal is done via complete nitrification (first by ammonium oxidizing bacteria (AOB), then by nitrite oxidizing bacteria 36 37 (NOB)) followed by heterotrophic denitrification via ordinary heterotrophic organisms 38 (OHOs). This process has a high aeration demand and requires a higher theoretical oxygen 39 demand (ThOD) to nitrogen (ThOD/N) ratio (>5) compared to other novel processes (Daigger, 2014). In particular, A-stage processes such as HRAS and CEPT are less efficient 40 41 at removing nitrogen (de Graaff et al., 2016; Miller et al., 2016), resulting in an effluent ThOD/N ratio < 5. This ratio is insufficient for N removal, because additional carbon will be 42 lost during aeration for nitrification. In this case, exogenous electron donor may be needed to 43 44 achieve high levels of N removal.

45 Compared to HRAS and CEPT, the AnMBR A-stage process may be better suited to 46 achieve an overall A-B process energy efficiency. The effluent from mainstream anaerobic 47 treatment typically contains organic carbon (45-145 mg COD/L), ammonium (19-53 mg 48 N/L), dissolved methane (40-140 mg ThOD/L) and sulfide (0-145 mg ThOD/L) (Delgado 49 Vela et al., 2015). Hence, if we consider dissolved methane, sulfide and ammonium as 50 potential electron donors in addition to organic carbon for N removal in a downstream B-51 stage system, it will be enough for complete N-removal. Methane and sulfide in the AnMBR effluent are possible electron donors for N removal with nitrite/nitrate as electron acceptors 52 53 via denitrifying anaerobic methane oxidation (DAMO) (Raghoebarsing et al., 2006) and 54 sulfide oxidation (Souza & Foresti, 2013). Among these options, pursuing N removal via 55 nitrite is preferred as it uses less electron donor and less aeration for nitritation. Ammonia 56 can be used as electron donor for anaerobic ammonia oxidation (anammox), which requires 57 nitrite as an e<sup>-</sup> acceptor (Strous, Jetten, Heijnen, & Kuenen, 1998). However, any of these 58 approaches requires an operational strategy that reliably allows nitritation and minimizes loss 59 of electron donors. Assuming this can be done, then partial nitritation and anammox (PN/A) 60 becomes the most attractive B-stage N removal option, as its low aeration demand and no 61 organic carbon demand (Winkler et al., 2012).

Besides targeting for efficient N removal processes, the use of advanced biofilm systems
such as aerobic granular sludge reactor (GSR) as a prospective B-stage technology has
significant advantages over conventional activated sludge systems (Sarma, Tay, & Chu,

65 2017). Noted advantages of aerobic GSR include: the presence of different redox zones 66 within the granules that support a diverse microbial ecology; high rates of settleability; and a 67 high biomass retention which is ideal for slow growing N-removing bacteria (de Kreuk, Heijnen, & van Loosdrecht, 2005; Liu, Yang, & Tay, 2003; Szabó et al., 2017). Figure 1 68 69 shows the potential key microbial groups and their interactions during complete nitrogen removal via nitrite in a single stage GSR downstream of an AnMBR A-stage. The schematic 70 71 emphasizes that competition for nitrite will occur among different anaerobic organisms, and 72 suggests that successful N removal requires sustained partial nitritation while supressing 73 nitrite oxidation by NOB. Therefore, the success of an energy efficient aerobic GSR as a B-74 stage N removal system requires a robust operating strategy that favors simultaneous partial 75 nitritation and denitritation by suppressing NOB.

76 One of the main challenges for N-removal in mainstream wastewater via nitrite is 77 suppression of NOB. In particular, the suppression of NOB in PN/A process has been 78 demonstrated at full-scale for concentrated sidestream applications, which have favorable 79 conditions such as: low C/N ratio (<2 g COD/g N); high temperature ( 20 to 30 °C); high free 80 ammonia concentrations (> 0.1 mg N/L) (Philips et al., 2002); and high (> 0.2 mg N/L) free 81 nitrous acid (Kornaros, Dokianakis, & Lyberatos, 2010). Unfortunately, most of these 82 conditions are atypical for dilute mainstream systems (Cao, van Loosdrecht, & Daigger, 83 2017). However, if a sequencing batch reactor (SBR) is used and a minimum residual 84 ammonium concentration (RAC) is maintained throughout the reaction time, NOB 85 suppression can still be achieved via free ammonia that is present in sufficient concentration 86 during most of the reaction cycle. Nonetheless, since this alone may not be enough to 87 effectively suppress NOB, new strategies are needed for NOB suppression. For this reason, 88 we propose the use of real-time sensor-mediated control (SMC) for robust aeration control to suppress NOB. Online sensors have been used to suppress NOB in sidestream applications 89 by manipulating the DO setpoint, or by using intermittent aeration and ammonium-based 90 91 aeration control (ABAC). For example, Regmi et al. (2014) used real-time ABAC with 92 intermittent aeration to suppress NOB in a suspended culture for mainstream nitritation. Lemaire et al. (2008) used DO and pH sensors to control aerobic duration for shortcut N 93 94 removal by suppressing NOB. Both studies demonstrate that SMC for mainstream NOB 95 suppression is a viable option.

A-stage effluent has a low organic load in concert with a much lower N load than sidestream granular systems, which can tolerate low organic loads given the high N loading (Wett

98 et al., 2015). The combination of low organic and N loading with a low C/N ratio in dilute 99 mainstream A-B applications makes it challenging to develop and sustain granules. Tay et al. 100 (2004) reported that they were not able to produce granules when the organic loading was below 2 kg COD  $m^{-3} d^{-1}$ . The lowest organic loading rate that we found reported to date for 101 successful granule formation came from Ni et al. (2008) and Zhang et al. (2015) who used 102 0.6-1 and 0.37-0.56 kg COD m<sup>-3</sup> d<sup>-1</sup>, respectively. Hence, developing stable granules in low 103 loaded circumstances is another challenge that must be addressed to advance the GSR 104 technology. 105

In this study, we focused on developing and operating an aerobic GSR as an exemplary
B-stage N removal system for an A-stage AnMBR effluent. We use this reactor
configuration to develop and demonstrate an SMC strategy that supports NOB suppression
and reduces aeration energy. We evaluated the degree to which the strategy supports PN/A
for N removal, and highlight the conditions needed to support stable granule formation.

### 111 **2. METHODS**

112 2.1. Reactor setup.

113 A glass bubble column reactor with 76.2 mm (3 inches) diameter and 711.2 mm (28 114 inches) height with a working volume of 4.5 L was operated for 474 days. The reactor was 115 initially inoculated by mixing a nitrifying activated sludge from the Ann Arbor Wastewater 116 Treatment Plant (Michigan, USA) and biomass from a full-scale deammonification 117 (DEMON) unit (Hampton Roads Sanitation District, Virginia, USA). The reactor was fed 118 with a simulated mainstream anaerobic digester effluent containing ammonium ( $48 \pm 6 \text{ mg/L}$ -119 N), VFAs (acetate and propionate, 100 mg/L-COD), dissolved methane at saturation (~22 120  $mg/L-CH_4$ ) and other trace elements. Details of the media preparation procedure can be found 121 in the SI Section 12. The C/N ratio, considering VFA and ammonium, was from 1.85 to 2.5. The reactor was monitored and controlled using online optical DO (WTW, FDO 925, Xylem 122 Inc.), pH (accumet<sup>®</sup> Electrode, Fisher Scientific), and NH<sub>4</sub><sup>+</sup>/NO<sub>3</sub><sup>-</sup> (IQ SensorNet VARiON® 123 124 Plus Sensors, Xylem Inc.) probes to suppress NOB and favor the growth of AOB and 125 anammox species. A bulk DO concentration was held at specific DO levels between 0.2 and 126  $1.5 (+ 0.1 \text{ mg/L } O_2)$ . The pH was monitored using an online probe and was maintained 127 between 7.3 + 0.2 and 8.0 + 0.2 by dosing NaHCO<sub>3</sub>. The entire experiment was conducted at 128 an ambient temperature between 20 and 23°C.

#### 129 2.2. Cycle operation.

130 The reactor was operated in a sequencing batch mode with a 40 min anoxic slow feed 131 from the bottom of the reactor, followed by intermittent aeration with anoxic and aerobic 132 cycles for 220-300 min, a settling time of 4 to 10 min, and 4 min of decanting with a 133 volumetric exchange ratio of 50%. Air was supplied using a glass diffuser with a superficial 134 upflow velocity of 1.6 cm/sec. During the anoxic phase, gas from the head space of the reactor was pressurized by diaphragm pump, blended with dinitrogen gas and recirculated 135 136 through the reactor. This supported the development of anoxic conditions and resupplied stripped methane gas to enhance the chance for its dissolution and metabolism. During the 137 138 aerobic phase a mixture of dry air, dinitrogen gas and head space gas were pumped into the reactor. The dry air flow rate was controlled with a mass flow controller (MFC) device to 139 140 maintain the desired setpoint using in-house developed partial differential and integral (PID) controller (developed in LabView® software). 141

## 142 2.3. Sensor-mediated control development.

143 Across the aerobic phases of a single SBR cycle, DO was controlled in the first two 144 phases based on a specific setpoint, and ammonia-based aeration control was used for the last phase of operation. Both aeration schemes were implemented by developing a PID controller 145 146 in LabView (Figure SI-5). The developed LabView SMC program was designed to allow 147 time-based aeration for DO setpoint only and ABAC with a DO setpoint. The program also 148 controls all the pumps and sensor-based devices, thus automating the entire operation. 149 Information reported as sensor-derived concentration was adjusted based on correction 150 factors determined by using simple linear regression between sensor output and analytically-151 determined concentrations (i.e., for ammonium and nitrate). Effluent nitrite corrections 152 reported in Figure 5 only were derived from its correlations with effluent ammonium and nitrate. 153

#### 154 2.4. Long-term reactor operation

The reactor was operated for 474 days, which can be broken down into four phases (Table SI-2). During the first phase (days 1-60), granule development occurred and the reactor was operated at a DO setpoint of 1.5 mg/L. A lower DO setpoint (0.5 mg/L) without ABAC was used during the second phase (days 61-200). The third phase (days 201 - 410) was operated at a DO setpoint of 0.75 mg/L without ABAC. The final and fourth phase (days 411-474) was operated with low DO setpoint (0.5 mg/L) and ABAC. Ammonium-based aeration was

161 implemented to maintain residual ammonium at or above 5 mg/L as N, both to promote anammox activity and to suppress NOB as indicated by Cao et al. (2017). During all phases, 162 163 samples were usually collected three times a week from the reactor after settling using a 164 sampling port, and analyzed for ammonium (Standard Method (SM) Sec. 4500-NH<sub>3</sub>F), nitrite (SM Sec. 4500-NO<sub>2</sub><sup>-</sup>B), nitrate (SM Sec. 4110 B) and methane (SM 2720, 6211, and 165 6010). Biweekly cross-cycle sampling was done over a single operating cycle to obtain 166 167 profiles of soluble N species, also volatile fatty acids (VFAs) using ion chromatography as described in Smith et al. (Smith, Skerlos, & Raskin, 2013). Additionally, in-situ batch activity 168 169 tests were conducted for Phases 2, 3 and 4 to determine nitrification and anammox activities. 170 The detailed procedure for this can be found in SI section 13. Physical characteristics of 171 granules, such as size, sludge volume index (SVI), and solids retention time (SRT), were also monitored as described in the SI. All sensors data (i.e.,  $NH_4^+$ ,  $NO_3^-$ , DO and pH) and other 172 operation information were logged every minute. All values errors are given at a 95% 173 174 confidence interval from a t-test distribution.

## 175 2.5. Microbial community analysis

Biomass samples were taken during the first two months of the reactor granulation phase 176 177 and also at later stages of operation to monitor the microbial composition using 16S rRNA 178 gene amplicon sequencing. The inoculum used to obtain an anammox organism was from a 179 full-scale deammonification unit at Hampton Roads Sanitation District (HRSD), Virginia. 180 DNA was extracted using three bead-beading steps followed by extraction with a Maxwell 16 181 LEV automated nucleic acid extractor (Promega, Madison WI) using DNA blood kits. 182 Amplicon sequencing of the V4 region of the 16S rRNA gene was performed on the Illumina 183 MiSeq (MiSeq Reagent Kit V2 500 cycles, Illumina Inc., San Diego, CA) platform using the 184 previously developed dual-indexing sequencing strategy (Kozich, Westcott, Baxter, 185 Highlander, & Schloss, 2013). Additional details of the procedure can be found in Delgado Vela et al. (2018). Post-processing of the Illumina MiSeq data was done using the Mothur 186 187 MiSeq SOP (Schloss et al., 2009) without rarefication and including archaea in the analysis. 188 Data from the MiSeq analysis have been uploaded to NCBI and is openly available under 189 accession number PRJNA549919.

## 190 **3. RESULTS**

191 3.1. Phase 1: Granule formation

192 The initial phase of granule development took about 60 days (Figure 2), which is 193 relatively rapid compared to other granular systems (Ni et al., 2009). For granule 194 development and selection, the reactor was operated in SBR mode with a short (5 min) 195 settling time and a superficial upflow velocity of 1.6 cm/sec. The granules went through 196 different morphological transformations as they were formed (Figure 2). First, micro-197 granules began to form after two weeks of operation. Then, large and fluffy granules formed 198 after a month. Finally, the granules developed into mature granules after two months of 199 operation. The mature granules had an average diameter of 0.97±0.06 mm (Figure SI-2) and an average settling velocity of 12 m/hr. The steady state mean VSS concentration was 1.520 200 201 + 176 mg/L with a mean 5 min SVI of 70 mg/L. We calculated an average SRT of  $4.8\pm0.6$ 202 days,  $12.2 \pm 2.9$  days,  $11.9 \pm 2.1$  days, and  $17.5 \pm 3.5$  days for Phase 1, Phase 2, Phase 3, and 203 Phase 4, respectively (Figure SI-1).

204 During granulation, the biomass color changed from dark red (inoculum, not shown) to 205 pale yellow (Figure 2), indicating a shift in microbial composition. Whole community 206 analysis based on the 16S rRNA gene was used to characterize how the microbial community 207 shifted over the course of reactor operation, including during the granulation period (Figure 208 3). Community analysis showed that only anammox taxa (AMX) was detected in the inoculum of the order "Candidatus Brocadiales," and comprised approximately 13% of the 209 210 community. Subsequently, the relative abundance of AMX decreased to 2.2% by the end of 211 granulation. During this same period, nitrite and ammonium accumulated while nitrate was 212 mostly absent. Although substrates required for anammox metabolism were present, the loss 213 of AMX suggests that this metabolism was not occurring to a significant degree. 214 Concurrently, the inoculum contained relatively equal fractions of AOB (genus 215 Nitrosomonas, 3.7%) and NOB (genus Nitrospira, 3.4%); however, by the end of the 216 granulation period AOB had a higher relative abundance (5.4%) than NOB (0.6%), consistent 217 with NOB out-selection. The nitrite accumulation rate (NAR =  $0.67\pm0.24$ ) was consistent 218 with this result (see Figure 4). Furthermore, OHOs increased from 20% to 50% over this 219 same period; however, we presume that insufficient organic carbon was available as an 220 electron donor to fully consume the accumulated nitrite as it was observed in Phase 2 and 3 221 (Figure SI-6). These results suggest that a rapid, or aggressive, granulation period makes it 222 difficult to establish stable redox niches that are needed to support slow growing 223 microorganisms, such as AMX and NOB. Furthermore, the high DO of 1.5 mg/L used during 224 the aerobic period might have reduced the size of the anoxic zone in the granules, which may

have limited AMX activity. Collectively, these factors all likely contributed to the reductionin N removal during the granulation phase.

227 3.2. Phase 2: Low (0.5 mg/L) dissolved oxygen operational phase

228 In Phase 2 operation when the bulk DO setpoint was 0.5 mg-O<sub>2</sub>/L, nitrite was routinely 229 present in the effluent at an average concentration of  $13.9 \pm 3.4$  mg N/L while nitrate 230 averaged  $0.4 \pm 0.2$  mg N/L with high NAR of  $0.93\pm0.06$  (Figure 4). The effluent ammonium 231 concentration was  $8.4 \pm 3.5$  mg N/L and quite variable (Figure SI-4), ranging between 25 and 232 below detection (seven of 25 measurements during this phase were at or below 0.1 mg/L as 233 N). The overall TIN removal during this phase was  $49.6\pm5.6\%$  and the overall ammonia 234 conversion was 80.7±8.1% (Figure 4). This broad range of performance during Phase 2 may 235 have made it difficult to sustain significant anammox growth in the system. 236 To estimate of the relative contributions of AMX and OHOs to TIN removal, we used 237 cross-cycle data (i.e., one batch cycle N and VFA profile data) with theoretical stoichiometric equation (See SI section 10, from Tables SI-9 to 16). The VFA data show that at least one-238 239 third was utilized by the end of the first anoxic period and was consumed at the same time as 240 residual nitrite held over from the prior cycle was consumed, implying that nitrite served as 241 the electron acceptor during that period. The remaining VFA was rapidly oxidized during the 242 first aerobic period. After accounting for nitrogen consumption for cell growth, our 243 calculations suggest that TIN removal via OHOs occurred up to the end of the first anoxic 244 period, and for the rest of the cycle via anammox. Our stoichiometric predictive analysis 245 shows that VFA removed up to 72% of the oxidized inorganic nitrogen on average during 246 Phase 2. We did not detect residual methane at the end of the anoxic feed period (Figure SI-247 7) nor did we detect DAMO through our microbial community analysis; therefore, it is 248 reasonable to assume that methane was stripped out as soon as mixing started in the first 249 anoxic zone. Considering all these factors leaves an unaccounted source of TIN removal of at 250 least 28%, which we assume is attributed to anammox during this Phase. Consequently, 251 despite its low relative abundance, AMX may have contributed to TIN removal. 252 In-situ nitrification and anammox activity results from tests conducted during Phase 2 253 suggest that NOB were suppressed and AMX were active, corroborating the stoichiometric 254 predictive analysis. AOB (0.32 g N-NO<sub>2</sub><sup>-</sup> formed/g VSS/day) were 4 times more active than 255 NOB (0.08 g N-NO<sub>3</sub><sup>-</sup> formed/g VSS/day), even though DO exceeded 1.0 mg/L (Table SI-6). 256 The Phase 2 in-situ anammox activity test yielded a specific total inorganic nitrogen 257 (ammonium + nitrite) utilization rate of 0.104 mg-N/mg-VSS/day, supporting our prior

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conclusion that anammox was likely actively involved in TIN removal (See Table SI-3).

259 3.3. Phase 3: high (0.75 mg/L) dissolved oxygen operational phase

Phase 3 was operated at a higher bulk DO setpoint of 0.75-1 mg-O<sub>2</sub>/L, and resulted in 260 261 both a sustained loss of nitrite and increase in nitrate. During this phase, effluent nitrite was 262 present at an average concentration of  $4.4 \pm 1.1$  mg N/L while the effluent nitrate increased to 263 an average concentration of  $14.7 \pm 2.6$  mg N/L, which resulted in low NAR of  $0.33\pm0.08$ 264 (Figure 4). The effluent ammonium concentration was  $4.0\pm1.5$  mg N/L with an overall 265 conversion rate of 92  $\pm$  3%, which is higher than in Phase 2 (p < 0.001). The overall TIN removal for this phase was  $53.2 \pm 4.1\%$ , which is similar to the performance of Phase 2 (p > 266 267 0.05).

268 Cross-cycle analysis showed that AMX and OHO both continued to contribute to TIN 269 removal. VFA was predominantly consumed during the anoxic feed period via heterotrophic 270 denitrification that used the residual nitrate from the prior cycle (see Figure SI-6). The 271 remaining VFA was oxidized rapidly during the first aerobic period. Consequently, any TIN 272 removal observed after the first aerobic period was assumed to be due to AMX. Based on 273 cross-cycle data (Tables SI-17 through 24) and after accounting for N loss for growth, we 274 estimate that VFA could, at best, remove 66% of the oxidized inorganic nitrogen present in 275 the influent. Since AMX are present in the system and exposed to intermittent anaerobic 276 periods, and a persistent anaerobic inner core exists in the granules despite a measureable 277 bulk DO, we conclude that AMX removed up to 34% of TIN.

278 In-situ nitrification and anammox activity tests indicated that NOB were more active than 279 AOB, and anammox activity was detected but lower than what was measured during Phase 2 280 (See Table SI-3 & SI-6). The in-situ nitrification test conducted on day 376 showed that the 281 NOB activity rate (0.32 g N-NO<sub>3</sub><sup>-</sup> formed/g VSS/day) was at least 3 times more active than 282 AOB (0.099 g N-NO<sub>2</sub><sup>-</sup> formed/g VSS/day), indicating that the NOB suppression observed 283 during Phase 2 was reversed. In addition, the in-situ anammox activity test conducted on day 284 362 showed that AMX had a specific total inorganic nitrogen utilization rate of 0.08 mg-285 N/mg-VSS/ day, indicating that AMX were active but at a lower rate than what was measured 286 during Phase 2. As a confirmation, we estimated the average rate of the net TIN oxidized by 287 AMX only during Phase 3 to be 0.06 mg-N/mg-VSS/day from the cross-cycles data. Since 288 the in-situ activity tests show the highest rate achievable under ideal conditions, this 289 comparison shows that the anammox activity measured can explain the loss of oxidized TIN 290 residual during a single cycle.

291 Microbial community analysis produced results consistent with the in-situ activity 292 experiments. Illumina Miseq results from samples collected on day 396 (toward the end of 293 Phase 3) indicate that the granules continued to contain AMX, AOB and NOB but with a 294 lower relative abundance compared to the granulation phase. In addition, granule size did not 295 significantly change during Phase 2 (0.97±0.05 mm) and Phase 3 (0.92±0.02 mm) (two-tail t-296 Test p=0.1). This suggests that the shift in performance and establishment of nitrite oxidation 297 was motivated primarily by the small change in bulk liquid DO, which could have supported 298 higher NOB activity and caused loss of NOB suppression.

# 299 3.4. Phase 4: Ammonium-based aeration control (ABAC)

300 Phase 2 performance showed that a low bulk DO concentration could maintain a higher 301 nitrite concentration and support anammox metabolism; however, nitrite was highly variable 302 and made anammox-based total N removal vulnerable to instability. The variability observed 303 likely occurred because the available ammonium was periodically used up before the end of a 304 cycle, which would have reduced AMX growth and supported NOB growth. Hence, ABAC 305 was implemented during Phase 4 to maintain a minimum residual ammonium concentration 306 throughout each reaction cycle to create a condition that suppressed NOB and supported 307 AOB and AMX activity (Lotti et al., 2014; Pérez, Lotti, Kleerebezem, Picioreanu, & van 308 Loosdrecht, 2014). For an SBR, the suppression of NOB by residual ammonium is much 309 more pronounced at the beginning of the reaction phase than the end given the concentration 310 gradient in the reactor. This also allows for sufficient free ammonia to be present that can 311 inhibit NOB, despite the eventual decrease in total ammonia-N by the end of each reaction 312 cycle. The ABAC strategy used an  $NH_4^+/NO_3^-$  sensor to maintain a residual ammonium 313 concentration around 5 mg/L as N, and a DO sensor to maintain a low bulk DO setpoint (0.5 314 mg  $O_2/L$ ). This strategy reduced variability in bulk liquid nutrient concentrations and 315 increase aeration efficiency.

With ABAC, we saw a significant shift in both performance and microbial community population composition. Detectable effluent nitrite  $(16.0 \pm 1.6 \text{ mg-N/L})$  and nitrate  $(2.26\pm0.72 \text{ mg-N/L})$  resulted in a high NAR of  $0.88\pm0.04$ . Also, a lower residual ammonium concentration of  $3.5 \pm 1.3 \text{ mg/L}$  was maintained. The average overall inorganic nitrogen removal efficiency improved slightly to  $54.8 \pm 3.3$  % compared to the other phases (**Figure 5**); however, the improvement was not statistically significant compared to both Phase 2 (p=0.12) and Phase 3 (p=0.75). Nevertheless, we saw evidence of improved anammox activity. From the in-situ nitrification test on day 459 (Table SI-8), AOB (0.31 g N-NO<sub>2</sub><sup>-</sup>

324 formed/g VSS/day) were 3.5 times more active than NOB (0.09 g N-NO<sub>3</sub><sup>-</sup> formed/g

325 VSS/day), while the in-situ anammox activity test performed on day 463 resulted in an

anammox specific activity of 0.153 mg-N/mg-VSS day, which is about 1.5 times faster than

327 Phase 2 and almost 2 times faster than Phase 3 (See Table SI-4). From the cross-cycle data

328 (Tables SI25-26) analysis, we estimated AMX contributed at least 40% of TIN removed.

329 Illumina Miseq analysis (Day 460, Figure 3) provided additional evidence that the anammox

population had recovered significantly during Phase 4 to a relative abundance of 13% while

331 OHOs declined. Together, the performance and microbial community data demonstrate that

coupling three aspects of SMC (low DO setpoint, intermittent aeration, and ABAC) created a

favorable condition for partial nitritation/anammox in a granular system that received a

334 simulated dilute mainstream anaerobic effluent and reduced the variability in TIN removal

335 (**Figure 4**).

332

336 Our data also suggests that implementing ABAC improved aeration efficiency, as shown 337 in Figure 5. To take a closer look at the improvement made by ABAC in our system, we show sensor-based performance measurements from the reactor for 50 consecutive cycles as 338 339 we transitioned from Phase 3 to Phase 4. ABAC determined the aerobic duration by limiting 340 aeration up until the residual ammonium dropped below the setpoint of. This caused the 341 overall aerobic duration to be shorter as compared to using a DO-based setpoint only. In this 342 case, the overall aerobic duration was reduced by up to 25% and on average by 15% with the 343 use of ABAC versus DO setpoint operation with fixed aerobic duration (Figure 5b).

# 344 4. DISCUSSION

345 4.1. Stable granulation is possible in a B-stage nitrogen removing GSR system

Our results show that it is possible to produce stable granules in an aerobic granular 346 347 sludge system receiving a dilute wastewater containing residual organic carbon and ammonium. Mature granules with a mean diameter of 1 mm were developed within two 348 months of operation on a synthetic feed with a COD loading of  $0.2 \text{ kg m}^{-3} \text{ d}^{-1}$  and nitrogen 349 loading of 0.1 kg N m<sup>-3</sup> d<sup>-1</sup>. The larger granules are likely to have a larger intra-granular 350 351 anaerobic zone that can support anaerobic metabolisms. Generally, granules are much easier to develop when the organic loading rate is higher than 1 kg m<sup>-3</sup> d<sup>-1</sup> (Jafari Kang & Yuan, 352 2017; Tay, Pan, He, & Tay, 2004). A few studies have reported successful granulation at low 353 organic loading rates (between 0.4 and 1 kg  $m^{-3} d^{-1}$ ) but with a longer time for stable 354

granulation (65 to 120 days) than what was observed in this study (60 days) (Ni et al., 2009;
C. Zhang, Zhang, & Yang, 2015). Therefore, with this study we demonstrated that it is
possible to produce granules at low organic loading conditions pertinent to mainstream
applications.

The organic carbon load to the GSR played two major roles. First, it was a major 359 360 contributor for N removal in all phases. Using stoichiometry, we estimate that it functioned as an electron donor and contributed around 60%, 64% and 60% of the oxidized TIN removal 361 362 observed during Phases 2, 3 and 4, respectively. The loss of organic carbon due to its reaction with oxidized TIN was limited to the first anoxic period and the availability of 363 residual NO<sub>x</sub>. Any residual organic carbon present in the first aerobic zone was oxidized by 364 O<sub>2</sub>. Second, the organic carbon load to the GSR provided the minimum loading needed to 365 develop and sustain granules in our dilute system. Peyong (2012) observed that reducing the 366 organic loading rate below 0.54 kg COD m<sup>-3</sup>day<sup>-1</sup> resulted in disintegration of granules and 367 the subsequent loss of biomass. Hence, our study showed that it is indeed possible to 368 369 maintain a stable granular system with organic loading as low as 0.2 kg COD m<sup>-3</sup>day<sup>-1</sup>.

4.2. Low bulk DO with intermittent aeration supported NOB suppression

371 Operating the reactor at a bulk DO setpoint of 0.5 mg/L combined with intermittent 372 aeration effectively suppressed NOB activity. The NAR observed during Phases 2 and 4 was 373 significantly higher than Phase 3 (p < 0.001) and indicates that operating at low bulk DO was 374 key to effective NOB suppression (Figure 4). Suppression of NOB by low DO is also known 375 to occur in both activated sludge (Peng & Zhu, 2006) and biofilm systems (Brockmann & 376 Morgenroth, 2010; Ma et al., 2015). Rapid intermittent aeration also suppressed NOB 377 because they are known to adapt slowly under transient conditions when shifting from an 378 anaerobic to an aerobic environment, and leads to an accumulated growth disadvantage 379 (Gilbert et al., 2014; Kornaros et al., 2010; Regmi et al., 2014). Concurrently, specific to our 380 system, the quick loss of both VFA and methane means the residual ammonia creates a 381 condition that supports the growth of AOB and ANX more so than NOB for most of the 382 reaction cycle. On top of this, the presence of residual ammonium between 2 and 5 mg  $NH_4^{-1}$ 383 N/L has been reported to differentially limit the activity of NOB relative to that of AOB 384 (Pérez et al., 2014; Poot, Hoekstra, Geleijnse, van Loosdrecht, & Pérez, 2016). Therefore, 385 our SMC operation strategy with low and intermittent DO mainly favored AOB while 386 suppressing NOB.

387 The success of using low bulk DO to achieve NOB suppression can be attributed in part 388 to the known differences in growth rate between the AOB and NOB genera present in our 389 system. A 16S rRNA gene-based community analysis showed that the only NOB types 390 detected in our reactor are from the genus Nitrospira, which are typically found to have a 391 lower maximum specific growth rate and lower oxygen affinity (Blackburne, Vadivelu, 392 Yuan, & Keller, 2007) than the AOB detected in our system, the genus Nitrosomonas, which 393 are typically found to have a higher maximum specific growth rate and oxygen affinity 394 (Blackburne, Yuan, & Keller, 2008). These two genera of bacteria have been found to coexist 395 in many partial nitritation systems, as summarized by Cao et al. (2017) and reported by other 396 authors (Sinha, Ait, & Annachhatre, 2006; Wett et al., 2013). Hence, the AOB in our system 397 are likely to have a higher oxygen affinity under low DO conditions than the NOB, resulting 398 in suppression of the latter.

4.3. Coupling ABAC with low DO setpoint enhanced energy efficient, anammox-supportedN removal

The performance of the GSR was stable and aeration energy demand was reduced with 401 402 the addition of ABAC. When the reactor was operated without residual ammonium control 403 during Phase 3, it was not possible to consistently maintain residual ammonium through the 404 end of the reaction cycle; hence, the residual ammonium concentration tended to vary 405 substantially (Figure 5a). This is undesirable, since a minimum residual ammonium 406 concentration is needed throughout the reaction zones for successful partial nitritation. Thus, this underscores the benefit brought by ABAC to ensure that an ammonium residue is 407 408 maintained throughout the reaction time. When we added ABAC, aeration duration was 409 reduced by up to 25% relative to what occurred when we used a DO setpoint only (Figure 410 5b). This reduction in aerobic duration translates into a reduction in aeration energy cost. 411 Consequently, the use of ABAC resulted in tighter aeration control, which yielded more 412 stable residual ammonium and overall TIN removal performance for the system. Translated 413 to full-scale treatment systems that often have a dynamic influent composition, these results 414 imply that the use of ABAC will be critical to the cost-effective deployment of mainstream 415 B-stage GSR applications that must achieve stable nitrogen reduction.

The use of ABAC with low DO setpoint and intermittent aeration also improved the retention of AMX in our system. Whole community (16S rRNA gene) sequencing data showed that coupling ABAC with low intermittent DO setpoint control corresponded with the recovery of AMX to around 13% relative abundance, four times higher than was seen without 420 ABAC (Figure 3). The increase in AMX relative abundance corresponded with a 1.5-fold 421 increase in the in-situ rate of anammox specific activity relative to what was observed during 422 Phase 2 (low-DO without ABAC). Furthermore, the specific anammox rate measured during 423 Phase 4 (low DO with ABAC, 0.153 mg-N/mg-VSS-day) is similar the rate reported by Lotti 424 et al. (2015b) for a partial nitritation/anammox SBR controlled with low DO and ABAC at 20°C (0.11 mg-N/mg-VSS day) and at 25°C (0.14 mg-N/mg-VSS day). To achieve N-425 426 removal via nitrite by suppressing NOB, other studies have used DO, pH and ORP in aerobic 427 granular sludge reactors (Lochmatter, Gonzalez-Gil, & Holliger, 2013; Tao, Gao, Fu, Wu, & 428 Ren, 2012) while DO and ABAC have been used in conventional activated sludge systems 429 (Regmi et al., 2015, 2014) to control DO setpoint and aerobic duration. All of these studies 430 had higher organic and/or nitrogen volumetric loading than this study. Here, we developed 431 and demonstrated a SMC strategy that integrated a DO setpoint, intermittent aeration and 432 residual ammonia control to promote partial nitritation/anammox in a mainstream GSR fed 433 with dilute wastewater to achieve N-removal with reduced aeration expense.

434 4.4. Less aggressive start-up is required for better nitrogen removal

435 The manner with which the GSR was operated during granulation influenced the system's 436 ability to retain anammox activity. As the 16S rRNA sequencing results show, AMX was 437 substantially reduced in abundance during the granulation period, consistent with the 438 corresponding higher nitrite accumulation and lower levels of nitrate. We believe the 439 observed reduction in AMX relative abundance has to do with two unfavorable start-up 440 conditions. First, during the start-up period granules were developed with a short settling time 441 to select against flocculent sludge. This created a short residence time of  $4.8\pm0.6$  days 442 (Figure SI-1), which was less than the minimum reported doubling time of 11 days for AMX 443 (Strous et al., 1998) in an SBR, and this possibly caused washout within the first few days 444 before granules started developing. While others have predicted that SRTs as short as three 445 days are possible (Lotti et al., 2015a; Zhang et al., 2017), we did not observe that with our 446 data. Second, since the influent contained only ammonium and not nitrite, AMX growth had 447 to rely on AOB activity and achieving nitrite accumulation, neither of which was stable during the start-up period. 448

Collectively, these results suggest that a less aggressive start up condition is required to retain a higher percentage AMX population. A less aggressive start-up condition could be implemented to include: (i) gradually decreasing the settling time to maintain an adequate 452 SRT until granules start to appear; (ii) supplementing the feed with nitrite during start-up until the initial development of granules is observed; and (iii) incorporating an anaerobic 453 454 phase at the beginning of the run. The last two actions where demonstrated by Winkler et al. 455 (2012) for integration of anammox to out-compete acetate in a granular system that received a higher organic (0.6 kg-COD/m<sup>3</sup>/d) and N (1.14 kg-N/m<sup>3</sup>/d) loading than this study. In 456 addition to these actions, start-up can be further improved by incorporating intermittent 457 aeration to match the rate of nitritation with the rate of anammox activity in the system. This 458 459 can be achieved by using a SMC to dynamically adjust the aerobic and anaerobic durations to 460 promote partial nitritation/anammox while suppressing NOB. Further studies are needed to demonstrate the viability of these ideas. 461

# 462 **5.** Conclusions

We demonstrated that successful N-removal from a dilute mainstream wastewater requires a 463 464 robust real-time control strategy for effective utilization of resources and reduced energy expense. We showed that it is possible to develop a granular sludge in a low carbon-loaded 465 system that can effectively suppress NOB activity so that N-removal can be achieved via 466 partial nitritation/anammox. Key operational strategies were identified and include using low 467 468 DO intermittent aeration with ABAC (i.e. to maintain minimum residual ammonium). The 469 findings of this research indicate that it is possible to remove nitrogen in a single compact 470 system and with less aeration energy expended if simultaneous nitritation, anammox and 471 heterotrophic denitrification are enabled with the assistance of SMC.

### 472 **Practitioner points**

- Tight sensor-mediated aeration control is need for better PN/A
- 474 Low DO intermittent aeration with minimum ammonium residual results in a stable N
   475 removal
- Low DO aeration results in a stable NOB suppression
- Using sensor-mediated aeration control in a granular sludge reactor reduces aeration
  cost

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- 676 SRA; Accession: PRJNA549919
- 677 Figure Captions
- 678 Figure 1. Potential metabolic pathways in a B-stage GSR for removal of ammonia, VFA,
- 679 methane and sulfide present in an anaerobically-treated A-stage. SBD: sulfur based
- 680 denitrification, MOB: methane oxidizing bacteria, and SOB: sulfur oxidizing bacteria.
- 681 Figure 2. (a) Granules change over time during start-up Phase 1. They began as micro-
- 682 granules, then became large and fluffy, and finally developed into mature granules. (b)
- 683 Mature granules with an average size of 1 mm. (c) Granules in the reactor after 5 minutes of
- 684 settling.
- **Figure 3.** Microbial composition dynamics at order level OTUs for the period of granule

- development (through day 50), day 396 which is in phase 3, and day 460 which is in phase 4.
- 687 Solid greytone colors are OHOs, hatched greytones are other bacteria with either known or
- unknown functions such as EPS production, hydrolysis and filament formation, and solid
- non-greytone colors are AOB, NOB, anammox and the order plactomycetales.
- 690 **Figure 4.** Boxplots showing comparisons across the four operation stages for (a) percent
- nitrification, (b) percent total inorganic nitrogen removal, and (c) nitrite accumulation ratio
- 692 (NAR = effluent nitrite-N:effluent [nitrite-N + nitrate-N]). Note: 'x' indicates the mean, the
- 693 inside horizontal line indicates the median.
- **Figure 5.** Reactor operation with and without ABAC (Days from 396 to 417). (a) Effluent
- 695 nitrogen species concentration profile under both scenarios (measurements determined using
- 696 sensors and corrected with analytically determined values). (b) The corresponding total
- 697 aerobic/anoxic duration fraction.

Author Manu







Average granule diameter ≈ 1 mm Average settling velocity ≈ 20 cm/min

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(C)

After 5 min settling



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Order (Top 24)

- Rhodocyclales Sphingobacteriales Ignavibacteriales Reacteroidetes unclassified Anaerolineales Rhizobiales Rhodospirillales Burkholderiales Acidobacteria Gp3 unclassified Nitrosomonadales Nitrospirales Candidatus Brocadiales Planctomycetales 55 Betaproteobacteria unclassified 🛇 Flavobacteriales Cytophagales 🗙 Chloroflexi unclassified III Bacteria unclassified Verrucomicrobiales 🔀 Gammaproteobacteria unclassified Gp6 🕅 Aridibacter Bdellovibrionales
- Opitutales
- Other orders

Author **N** 



