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DR KATHLEEN A. STRINGER (Orcid ID : 0000-0003-0238-7774)

Article type : Special Article

Using L-Carnitine as a Pharmacologic Probe of the Interpatient and Metabolic Variability of Sepsis

Running Title: *Steps toward precision pharmacotherapy*

Theodore S. Jennaro¹, Michael A. Puskarich², Marc R. McCann¹, Christopher E. Gillies³⁻⁵

Manjunath P. Pai^{1,4}, Alla Karnovsky⁶, Charles R. Evans^{7,8},

Alan E. Jones⁹, Kathleen A. Stringer^{1,4,10}

¹Department of Clinical Pharmacy, College of Pharmacy, University of Michigan; ²Department of Emergency Medicine, Hennepin County Medical Center and Department of Emergency Medicine, University of Minnesota, Minneapolis, Minnesota 55455, United States; ³Department of Emergency Medicine, the ⁴Michigan Center for Integrative Research in Critical Care (MCIRCC), School of Medicine; ⁵Michigan Institute for Data Science, Office of Research; ⁶Department of Computational Medicine and Bioinformatics, School of Medicine; ⁷Michigan Regional Comprehensive Metabolomics Resource Core ((MRC)²), ⁸Division of Metabolism, Endocrinology and Diabetes, Department of Internal Medicine, University of Michigan; ⁹Emergency Medicine, University of Mississippi Medical Center, Jackson, Mississippi 39216, United States; ¹⁰Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine, School of Medicine; University of Michigan, Ann Arbor, Michigan 48109, United States

*Correspondence to: Kathleen A. Stringer, PharmD; 428 Church Street, Ann Arbor, MI, 48109.

E-mail: stringek@umich.edu

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1002/PHAR.2448](https://doi.org/10.1002/PHAR.2448)

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27 Acknowledgements/Sources of support: Research reported in this publication was supported by
28 the National Institute of General Medical Sciences (NIGMS) of the National Institutes of Health
29 (NIH) under award number R01GM111400. The content is solely the responsibility of the
30 authors and does not necessarily represent the official views of NIGMS or NIH.

31 Conflict of interest: The authors declare no conflicts of interest.

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Abstract

Objective:

34 The objective of this review is to discuss the therapeutic use and differential treatment
35 response to Levo-carnitine (L-carnitine) treatment in septic shock, and to demonstrate
36 common lessons learned that are important to the advancement of precision medicine
37 approaches to sepsis. We propose that significant interpatient variability in the
38 metabolic response to L-carnitine and clinical outcomes can be used to elucidate the
39 mechanistic underpinnings that contribute to sepsis heterogeneity.

Methods:

40 A narrative review was conducted that focused on explaining interpatient variability in L-
41 carnitine treatment response. Relevant biological and patient-level characteristics
42 considered include genetic, metabolic, and morphomic phenotypes; potential drug
43 interactions; and pharmacokinetics.

Main Results:

44 Despite promising results in a phase I study, a recent phase II clinical trial of L-carnitine
45 treatment in septic shock showed a non-significant reduction in mortality. However, L-
46 carnitine treatment induces significant interpatient variability in L-carnitine and
47 acylcarnitine concentrations over time. In particular, administration of L-carnitine
48 induces a broad, dynamic range of serum concentrations and measured peak
49 concentrations are associated with mortality. Applied systems pharmacology may
50 explain variability in drug responsiveness by using patient characteristics to identify pre-
51 treatment phenotypes most likely to derive benefit from L-carnitine. Moreover,
52 provocation of sepsis metabolism with L-carnitine offers a unique opportunity to identify
53 metabolic response signatures associated with patient outcomes. These approaches
54 can unmask latent metabolic pathways deranged in the sepsis syndrome and offer
55 insight into the pathophysiology, progression, and heterogeneity of the disease.

59 **Conclusion:**

60 The compiled evidence suggests there are several potential explanations for the
61 variability in carnitine concentrations and clinical response to L-carnitine in septic shock.
62 These serve as important confounders that should be considered in interpretation of L-
63 carnitine clinical studies and broadly holds lessons for future clinical trial design in
64 sepsis. Consideration of these factors are needed if precision medicine in sepsis is to
65 be achieved.

66
67 Keywords: critical care, septic shock, pharmacometabolomics, systems pharmacology

68 **Epidemiology and Heterogeneity of Sepsis**

69 Sepsis is a life threatening, dysregulated host response to infection, which is
70 characterized by systemic organ dysfunction.¹ One in three Americans who die in the
71 hospital have sepsis, and in 2017 there were an estimated 48.9 million cases
72 worldwide.²

73 The sepsis syndrome is a highly heterogeneous, with patients presenting along a
74 continuum of clinical signs, symptoms, and severity of illness.³ The mechanism and
75 pathophysiology underlying highly variable clinical trajectories in sepsis are complex,
76 and the precise reason(s) some patients exhibit severe dysregulated responses while
77 others recover from their initial infection in an uncomplicated fashion remains poorly
78 understood. Such host-response heterogeneity muddies the interpretation of treatment
79 response and is a major reason why novel pharmacotherapy often fails. Absence of
80 adequate stratification of patients based on their underlying pathophysiology may
81 contribute to this.⁴ The need to advance mechanistic understanding of sepsis
82 heterogeneity has led to calls from the National Institute of General Medical Sciences
83 for studies that seek to determine the effect of patient characteristics on differential
84 treatment response (NOT-GM-19-054). Teasing out this variability is necessary to bring
85 about a precision medicine approach to sepsis.

86 Ample evidence suggests a hypermetabolic component and derangement of host
87 metabolism that is central to sepsis pathophysiology.⁵ Recently revised consensus
88 guidelines define the most severe manifestation, septic shock, as infection with
89 sustained hypotension despite recommended evidence-based treatment interventions

90 (e.g., fluid resuscitation), and pertinent to this discussion, metabolic dysfunction and/or
91 tissue hypoperfusion as evidenced by an elevated blood lactate concentration.¹
92 Hyperglycemia, protein catabolism, and lipolysis are similarly known to occur in sepsis
93 and contribute to poor patient outcomes.⁶ While several studies have targeted lactate as
94 a resuscitation goal⁷⁻⁹, these trials have typically utilized fluids, vasopressors, or other
95 agents designed to improve organ perfusion under the assumption that lactate
96 elevations are predominantly explained by ongoing tissue ischemia, which may not
97 necessarily be true.¹⁰ Current pharmacotherapy neither targets nor corrects these
98 metabolic perturbations, although restoration of host bioenergetics offers a promising
99 therapeutic target. Moreover, given the prevalence, persistent mortality, and lack of
100 specific treatment paradigms, there is a critical need to advance understanding of the
101 range and extent of the metabolic consequences of sepsis beyond observational
102 studies.

103 Herein, we discuss clinical trials of L-carnitine, an important regulator of
104 mitochondrial and metabolic homeostasis, for the treatment of septic shock. We
105 consider how patient-level biological variables impact response to treatment and
106 propose that provocation with L-carnitine offers a novel and unique opportunity to
107 improve mechanistic understanding of the heterogeneity and metabolic consequences
108 of sepsis.

109 **Physiological Role of Carnitine and Treatment in Sepsis Patients**

110 Carnitine is an endogenous, polar small-molecule derived from lysine and
111 methionine, which plays a well-established, crucial role in transport of long-chain fatty
112 acids into the mitochondria for β -oxidation. Other key roles during times of metabolic
113 stress include maintenance of coenzyme A homeostasis, metabolic flexibility and
114 promotion of normal tricarboxylic acid cycle (TCA cycle) function, and further oxidation
115 of fatty acids by peroxisomes.¹¹ A full, in-depth review of carnitine and acylcarnitine
116 homeostasis and biochemistry is outside the scope of this paper, and it has been
117 extensively reviewed elsewhere.^{11, 12} Briefly, the carnitine shuttle allows for fatty acid
118 entrance to the mitochondria for oxidation and subsequent energy production through
119 transfer of acyl groups and conversion into acylcarnitines (Figure 1).

120 In sepsis, mitochondrial dysfunction has been increasingly reported as a critical
121 factor in persistent organ failure and altered peripheral cell mitochondrial function is
122 known to be associated with sepsis mortality.^{13, 14} Further evidence of mitochondrial
123 dysfunction includes elevations of systemic acylcarnitines, indicating incomplete β -
124 oxidation of fatty acids, and the presence of mitochondrial deoxyribonucleic acid (DNA)
125 in plasma.^{15, 16} Sepsis alterations in mitochondrial function and lipid metabolism are
126 associated with kidney and liver function that are driven in part through inhibition of the
127 pyruvate dehydrogenase complex and decreased activity of carnitine
128 palmitoyltransferase I.^{17, 18} Prior clinical studies of intravenous (IV) L-carnitine and
129 acetylcarnitine given to patients in cardiogenic and circulatory shock found an overall
130 positive effect on hemodynamic parameters and patient survival.¹⁹⁻²¹

131 These principles served as the basis for two recent clinical trials of L-carnitine in
132 septic shock. The first was a phase I, randomized, double-blind clinical trial of L-
133 carnitine (12 g IV) vs. saline placebo conducted in 31 patients with septic shock enrolled
134 within 16 hours of diagnosis.²² Study drug was given as an IV bolus (33% of total dose),
135 followed by a 12-hour infusion that delivered the remaining drug. This study found no
136 difference in the reduction of Sequential Organ Failure Assessment (SOFA) score at 24
137 hours, but there was an improvement in mortality at 28 days (4/16 vs. 9/15, $p=0.048$)
138 and 1-year (8/16 vs. 12/15, $p = 0.081$) in L-carnitine treated patients. Adverse events
139 sometimes attributable to L-carnitine, including gastrointestinal distress, body odor, and
140 an decreased seizure threshold were not observed in the study. In addition, serious
141 adverse events were not significantly different between the L-carnitine and placebo
142 treatment arms. A follow-up phase II multicenter, double-blind, adaptive dose-finding
143 trial randomized 250 patients within 24 hours of identified septic shock to IV L-carnitine
144 (6 g, 12 g, or 18 g) vs. placebo.²³ In the primary analysis, the highest dose (18 g) of L-
145 carnitine was not found to be superior to placebo in reducing the total SOFA score at 48
146 hours, and the predicted probability of success of a subsequent phase III trial in
147 reducing mortality at 28 days did not exceed the *a priori* threshold of 90%. The 6 g and
148 12 g L-carnitine doses underperformed in the trial and were adaptively dropped from the
149 randomization scheme as the trial progressed. Three, interim, pre-planned safety and
150 futility analyses were completed by an independent data safety monitoring board.

151 However, the primary endpoints of both clinical studies do not describe a critical
152 component of drug response to supplemental L-carnitine in patients with septic shock.
153 The pharmacometabolomics data from the Phase I trial reveal substantial interpatient
154 variability in serum carnitine and acetylcarnitine concentrations post-infusion.^{24, 25}
155 Patients receiving L-carnitine in the phase I study had 24-hour post infusion (T24)
156 serum carnitine levels ranging from 30 μ M to over 1600 μ M (median = 368 μ M). The
157 temporal changes in carnitine and acetylcarnitine for the treatment and placebo arms
158 are shown in Figure 2. Critically, L-carnitine treated non-survivors (based on 1-year
159 mortality) had elevated carnitine and acetylcarnitine (C2), short chain acylcarnitines (C3,
160 C4, and C5), and long chain acylcarnitines (C14 and C16) compared to L-carnitine
161 treated survivors. This suggests the observed variability in measured peak
162 concentrations and metabolic response profiles are associated with clinical outcomes.
163 As such, identification of the patient-level factors associated with peak
164 carnitine/acylcarnitine concentrations may help identify patients most likely to derive a
165 mortality benefit from L-carnitine and inform the design of future clinical studies.

166

167 **Candidate Mechanisms of Interpatient Variability of Drug Response in Sepsis**

168 Pharmacogenomics:

169 Pharmacogenomics seeks to explain variability in drug exposure and response
170 based on genetic differences between individuals. Genetic variation in drug
171 metabolizing enzymes, transporters, and targets impact an individual's exposure and/or
172 response to a given pharmacologic therapy, which can manifest as distinct drug-
173 response phenotypes. Genetic variability is also known to alter patient response across
174 disease states and medications commonly seen in the intensive care unit (ICU).²⁶
175 Treatment and dosing paradigms, which incorporate patient-specific pharmacogenomic
176 data, hold promise in decreasing adverse drug events (ADEs) and improving efficacy.²⁷
177 Moreover, rationale clinical trial enrollment based on pharmacogenomic phenotypes can
178 foster a more homogenous patient cohort and target patient populations most likely to
179 benefit from therapy (Table 1).

180 Genetic variability in a number of enzymes and transporters could contribute to
181 L-carnitine drug response including those highlighted in the carnitine shuttle (Figure 1).

182 Carnitine acts intracellularly and is highly sequestered in skeletal muscle and other
183 tissues of the body.¹¹ Given the polar structure of carnitine, active sodium-dependent
184 transport by organic cation/carnitine transporters (OCTNs) is required for entry from the
185 blood into the cell and subsequent facilitation of fatty acid β -oxidation. The primary
186 carnitine transporter, OCTN2, thus represents the focus of this section.

187 The OCTN2 transporter is encoded by the SLC22A5 gene located on
188 chromosome 5q31.1. Spanning 25 kb, the 10 exons of this gene encode the full length
189 557 amino acid protein. Numerous autosomal recessive mutations in the SLC22A5
190 gene are responsible for primary carnitine deficiency and results in low serum carnitine
191 levels due to the kidney's impaired ability to reabsorb the molecule.²⁸ Missense
192 mutations are exceedingly rare, result in severe metabolic and mitochondrial
193 dysfunction, and manifest clinically as a primary carnitine deficiency at a young age. As
194 such, loss of function mutations are unlikely to play a role in explaining variability in L-
195 carnitine concentrations or response in clinical studies of adults with septic shock.
196 Nonetheless, given the vital role of OCTN2 in carnitine uptake into the cell, and
197 considering the large doses administered in these trials, more common genetic
198 polymorphisms in OCTN2 resulting in reduced function and / or expression may
199 improve understanding of the mechanisms that explain the broad dynamic range of
200 carnitine concentrations following supplementation.

201 Common polymorphisms (i.e., minor allele frequency greater than 1%) in the
202 OCTN2 gene and their impact on carnitine transport outside the context of primary
203 carnitine deficiency are rare.²⁹⁻³¹ Three SNPs (Phe17Leu, Tyr449Asp, Val481Asp) were
204 associated with reduced OCTN2 function compared to wild-type, and a SNP in the
205 promoter region of the gene (-207C>G) was associated with increased carnitine
206 transport capacity and trended toward increase mRNA expression in cell lines.²⁹ Out of
207 these, only the promoter region variant (-207C>G, rs2631367) could be considered
208 common according to the National Center for Biotechnology Information database of
209 genetic variation (dbSNP).³² Further studies have observed a tissue-specificity to the -
210 207C>G variant's effect on mRNA expression levels.^{30, 31}

211 To supplement the limited literature regarding common polymorphisms effecting
212 OCTN2, we conducted a systematic bioinformatics search for potentially relevant SNPs.

213 We queried the Genotype-Tissue Expression (GTEx) Project (available at
214 <https://gtexportal.org/home/>), which seeks to explain variability in mRNA expression
215 levels from previously healthy human cadavers with whole genome sequencing.³³ The
216 goal of this query was to determine common genetic variants (i.e., SNPs) that
217 significantly alter gene expression of the OCTN2 transporter. Using expression
218 quantitative trait loci (eQTL) analysis, approximately 1500 variants were found to be
219 associated with altered gene expression at the tissue level. Summing across more than
220 6,000 SNP/tissue pairs, the variant with the largest effect on net OCTN2 gene
221 expression was the promoter region variant (-207C>G, rs2631367).

222 In previously unpublished data from our group, patients treated with L-carnitine in
223 the phase I trial²² were genotyped for the OCTN2 (-207C>G) SNP. In this preliminary
224 study, fourteen patients had both genomic and serum carnitine concentrations
225 measured at 24 hours (T24). Among these, four patients were wild-type (CC), while ten
226 carried one or two copies of the G allele. Patients with the C/G or G/G genotype trended
227 toward lower T24 plasma levels of L-carnitine (p=0.11), suggesting that genetic variation
228 in the OCTN2 transporter may contribute to variability and persistent elevations in L-
229 carnitine following supplementation during septic shock. More pharmacogenetic studies
230 are needed and are underway in the phase II trial²³ to determine if variation in OCTN2
231 and other carnitine-specific enzymes and / or transporters explain interpatient variability
232 in L-carnitine drug response.

233

234 Drug Interactions:

235 Drug interactions occur when the activity, exposure, or effectiveness of a drug is
236 impacted by the presence of another drug. Co-administered drugs may inhibit or induce
237 expression of important enzymes or transporters, compete at target binding sites, or act
238 in a synergistic or antagonistic fashion. Different combinations of drugs and their
239 interactions introduces variability in the pharmacokinetics (PK) and pharmacodynamic
240 response (PD) to pharmacologic therapy, which may put patients at increased risk of
241 ADEs and either mitigate or enhance therapeutic efficacy. Critically ill patients are at
242 increased risk of drug interactions and subsequent complications given comorbidities
243 and disease complications that are often present (e.g., renal failure) and the requisite

244 complex treatments regimens prescribed.^{34, 35} In other disease states such as cancer,
245 there is a high prevalence of drug interactions in patients enrolled in clinical trials.³⁶
246 Drug interactions in critically ill patients may pose a similar threat to trial validity and
247 patient health and should be systematically screened and considered (Table 1).

248 For L-carnitine, several drugs are reported to inhibit the OCTN2 transporter and
249 therefore could contribute to interpatient variability in exposure. These drugs can also
250 cause secondary carnitine deficiency through inhibition of the OCTN2 transporter in the
251 kidneys leading to decreased efficiency of reabsorption.³⁷ Of particular interest, in the
252 setting of sepsis, are two widely used classes of medications, namely antibiotics and
253 vasopressors. Previous reports have demonstrated that cefepime and levofloxacin
254 inhibit OCTN2 *in vitro*.^{38, 39} While the choice of antibiotic therapy in sepsis depends on a
255 number of patient specific factors, cefepime and levofloxacin are two commonly used
256 antibiotics in the United States and are both recommended options in evidence-based
257 best practices. Vasopressors such as norepinephrine and other catecholamines, used
258 to maintain blood pressure support, and other commonly used medications including
259 omeprazole and valproic acid inhibit OCTN2 and could similarly impact L-carnitine drug
260 response.³⁷ In addition to omeprazole, other proton-pump inhibitors, including
261 pantoprazole and lansoprazole, have been shown to inhibit similar organic ion
262 transporters but whether they interfere with the function of OCTN2 and carnitine
263 transport has not been reported.⁴⁰

264 Propofol, a short-acting hypnotic and sedative that is widely used in the ICU, may
265 also play a critical role in understanding variable drug response to L-carnitine. Propofol
266 is known to inhibit carnitine palmitoyltransferase I and the mitochondrial electron
267 transport chain, which leads to incomplete β -oxidation of fatty acids.⁴¹ The induced
268 metabolic disruptions have been linked to propofol infusion syndrome or PRIS, a severe
269 adverse effect of propofol that includes bradycardia, arrhythmias, rhabdomyolysis,
270 metabolic acidosis, hepatomegaly, hyperlipidemia, and organ failure. Moreover, animal
271 and *in-vitro* experiments have suggested a role for L-carnitine and acetylcarnitine in
272 restoring propofol inhibition of fatty acid metabolism.^{42, 43}

273 Variable exposure to one or more of these drugs could influence resulting blood
274 concentrations and subsequent metabolic response to supplemental L-carnitine. Other

275 mechanisms are certainly possible such that other concomitant medications and
276 variable patient feeding may further confound the clinical studies discussed above.
277 Presently, the clinical relevance of such interactions and how they should be managed
278 is currently unknown. Further investigation into the use of these drug inhibitors and the
279 effect on L-carnitine concentrations in the phase II study is underway.

280

281 Pharmacometabolomics:

282 Metabolomics seeks to identify and quantify small molecules, the full collection of
283 which define the metabolome, in a given biofluid.⁴⁴ The metabolome constitutes a read-
284 out of underlying cellular and biochemical events that reflect the genetic makeup of the
285 host, transcriptomic and proteomic influence, as well as variability in the microbiome
286 and environmental exposure. As such, metabolomics represents the culmination of
287 these important regulators on the host. In addition, given that metabolism is dynamic on
288 a practical and physiological time-scale, this sensitivity can inform heterogeneity in
289 disease trajectory and treatment response. Pharmacometabolomics exploits this
290 paradigm and is aimed at understanding and predicting response to drug treatment. In
291 short, clinical application of metabolomics holds great promise in improving the
292 diagnosis and risk stratification of critically ill patients, furthering drug discovery through
293 metabolic signatures of drug response and/or ADEs, and elucidating biochemical
294 pathways involved in the pathophysiology of critical illness (Table 1).

295 A pharmacometabolomic approach was utilized to understand baseline metabolic
296 differences in patients treated in the Phase I study of L-carnitine.²⁵ Patients treated with
297 L-carnitine who had low baseline levels of the ketone levels, 3-hydroxybutyrate, also had
298 lower post-treatment carnitine levels at 24 hours. The L-carnitine treated, low-ketone
299 patients also had better clinical outcomes as evidenced by a timelier reduction in
300 vasopressor requirement and decreased 1-year mortality. An untargeted metabolomics
301 approach was then conducted in male patients from the Phase I study.⁴⁵ L-carnitine
302 treated non-survivors were found to have post-treatment elevations in metabolites
303 related to vascular inflammation including histamine, allysine, and fibrinopeptide A.
304 Along with the differential metabolic response of survivors and non-survivors highlighted

305 in Figure 2, these data suggest both baseline metabolic signatures and metabolic
306 profiles over time may be predictive of L-carnitine treatment responsiveness.

307

308 Morphomics:

309 Analytic morphomics is a new and rapidly growing scientific discipline within
310 precision pharmacotherapy that studies how variation in body size, composition and
311 structure are associated with drug and disease response.⁴⁶ In sepsis, two recent meta-
312 analyses have observed a paradox between body composition and survival, whereby
313 particularly overweight (body mass index [BMI] between 25 kg/m² and 29.9 kg/m²), and
314 to a lesser extent obese (BMI between 30 kg/m² and 40 kg/m²), patients tend to have
315 better mortality outcomes compared to normal weight individuals (BMI between 18.5
316 kg/m² and 24.9 kg/m²).^{47, 48} Notably, underweight (BMI less than 18.5 kg/m²) and
317 morbidly obese (BMI greater than 40 kg/m²) patients were found to have similar risk of
318 mortality relative to normal weight individuals. Neither measured peak concentrations of
319 L-carnitine nor mortality were significantly associated with BMI in patients who received
320 study drug in the phase I study. However, the observed “obesity paradox” reinforces the
321 concept of a metabolic and energy-driven component to sepsis pathophysiology and
322 has a number of possible pathophysiological explanations including increased energy
323 stores, anti-inflammatory mediator release from adipose tissue, and lipoprotein binding
324 of bacterial cellular components.⁴⁹

325 Another possible explanation is that increased muscle mass offers energetic and
326 metabolic adaptability to patients within a window of the BMI spectrum. Protein
327 catabolism and subsequent myopathy is observed in critically ill patients, and skeletal
328 muscle, an important energetic source to the host, experiences mitochondrial injury over
329 the course of sepsis.⁵⁰ Indeed, recent studies have found an association between low
330 muscle mass and increased risk of mortality for patients with sepsis. In 74 patients with
331 liver cirrhosis and sepsis, patients with low muscle mass (defined as mid-arm muscle
332 circumference lower than the 5th percentile of the population) had increased mortality
333 compared to patients with normal muscle mass (47% compared to 26%, p=0.06).⁵¹ In a
334 separate retrospective review of 627 patients with a diagnosis of sepsis and an
335 available abdominal computed tomography scan of the psoas muscle, muscle mass

336 depletion was associated with 28-day mortality in both univariate and multivariate
337 logistic regression (OR 2.79, p=0.01).⁵² Given the extent of protein catabolism, the
338 sepsis-obesity paradox, and the known sequestering of carnitine into muscle tissue,
339 morphomics and variability in body composition offers a currently untapped field that
340 could aid in explaining the observed variability in response to supplemental L-carnitine
341 and patient mortality in sepsis broadly (Table 1).

342

343 Pharmacokinetics and Renal Function:

344 Pharmacokinetics (PK) as a science seeks to understand what the body does
345 with and to drugs. More specifically, it is the study of how drugs are absorbed,
346 distributed, metabolized, and eliminated from the body. Previous studies have
347 highlighted that there is profound sepsis-induced variation in drug PK. The reasons for
348 this are likely multifaceted but include altered protein binding, perturbed vascular and
349 tissue permeability, decreased hepatic and renal blood flow, and lower activity of drug
350 metabolizing enzymes.⁵³ High interpatient variability in drug PK in sepsis clinical trials
351 contributes to overall heterogeneity of the patient cohort and may confound trial results
352 unless careful analysis of drug exposure is considered (Table 1).

353 The PK of L-carnitine has been explored, however no studies have determined the
354 precise PK of L-carnitine in sepsis or at such high intravenous doses. As discussed
355 above, OCTN2 is a critical carnitine transporter that is responsible for carnitine uptake
356 into cells/tissues, however it is also responsible for reabsorption of carnitine in the
357 kidney proximal tubule. As such, kidney function may play a vital role in the interpatient
358 variability in serum carnitine concentrations that result following supplementation.
359 Previous reviews report an average renal clearance of endogenous carnitine of 1-3
360 mL/min, indicating that at physiologically relevant concentrations up to 99% of carnitine
361 is reabsorbed by the kidney.⁵⁴ Exogenous carnitine administered to healthy volunteers,
362 increased renal clearance of carnitine and acetylcarnitine, indicating saturation of the
363 OCTN2 transporter and the reabsorption process, which may be relevant for
364 supraphysiologic doses of intravenous carnitine like those given in septic shock trials.⁵⁴
365 Unfortunately, urine samples were not collected in these studies, which prevents us
366 from estimating renal clearance of relevant carnitine species in these patients. Both

367 studies reported similar serum creatinine levels among survivors and non-survivors
368 indicating renal function alone does not explain heterogeneity in L-carnitine and
369 acylcarnitine concentrations among patients. However, the reliability of creatinine as a
370 biomarker in the setting of acute kidney injury (AKI), sepsis and other critical illness, and
371 in drug development broadly been called into question.^{55, 56} New investigations of
372 biomarkers of kidney injury and function are underway, but have yet to be widely
373 adapted or clinically validated. Further investigations of the variability in L-carnitine drug
374 response stratified by the presence of AKI and acute liver injury, and among other
375 measures of organ dysfunction are warranted before precise clinical recommendation
376 can be made in these patient groups. Moreover, modeling the impact of patient-level
377 biological variables such as sex, age, and race is critical to understand the observed
378 heterogeneity in L-carnitine drug response.

379

380 Metabolic provocation with supplemental L-carnitine:

381 While the approaches outlined above offer an opportunity to identify septic
382 patients most likely to respond to L-carnitine, understanding the metabolic response
383 signature of L-carnitine treated patients holds value beyond a potential therapeutic
384 benefit. Outside of sepsis, the concept of provoked metabolic testing is used to uncover
385 latent disease phenotypes. For example, a glucose tolerance test is used to diagnosis a
386 previously undetectable pre-diabetic phenotype in pregnant women. As seen in Figure
387 2, the metabolic response profiles of the placebo arm did not differentiate patient
388 mortality at one-year, as they did for L-carnitine treated patients. Critically, this finding
389 suggests the possibility that treatment with L-carnitine amplifies or incites a phenotype
390 of sepsis mortality and underlying derangement in carnitine homeostasis. Indeed,
391 elevations in plasma acylcarnitines are understood to be a measure of mitochondrial
392 dysfunction and altered coenzyme A homeostasis in other metabolic diseases, and
393 elevated acetylcarnitine was recently found to be predictive of plasma cytokine levels,
394 blood culture positivity, multi-organ dysfunction, and mortality in patients with sepsis.⁵⁷
395 Others have shown that short chain acylcarnitines levels are related to plasma
396 mitochondrial DNA, an indicator of cellular damage, and that acylcarnitines are
397 predictive of mortality in critically ill patients.^{15, 16} Together, these data suggest

398 derangements of the carnitine/acylcarnitine pool may be indicative of metabolic
399 dysfunction and/or worsening sepsis that is predictive of mortality.

400 A metabolic test with supplemental L-carnitine can provoke biochemical
401 pathways in sepsis and amplify signals of underlying mitochondrial dysfunction and
402 perturbed energy pathways. A more complete investigation of other metabolite profiles
403 that are disrupted upon treatment may also lead to new insights into underlying disease
404 mechanism and pathophysiology. While there are a number of sepsis metabolomics
405 studies that confirm the substantial metabolic disturbances of the disease, they do not
406 inform distinct sepsis phenotypes in the way that a metabolic provocation test could.
407 The substantial variability in response to L-carnitine exposure and subsequent mortality
408 differences indicate phenotypic differences between groups. In aggregate, this
409 observation introduces the principle that even in the presence of a disease like sepsis,
410 which is known to induce a substantial metabolic perturbation, provocation of
411 metabolism is required to bring the full dynamic range into view.

412

413 **Conclusion and Future Directions**

414 L-carnitine and acylcarnitine concentrations are highly variable following L-
415 carnitine supplementation in septic shock, and the observed interpatient variability is
416 associated with patient mortality. The heterogeneity of sepsis and drug response
417 complicates the interpretation of a therapeutic value of L-carnitine and other potential
418 sepsis pharmacotherapies. Currently, a careful analysis of the phase II clinical trial to
419 inform the design of, and the results from, a phase III trial are needed before L-carnitine
420 treatment can be recommended for a specific sepsis patient population. However, even
421 though more work needs to be done, a strategy using the patient-level factors and
422 biological variables that impact L-carnitine drug response could be used in the *a priori*
423 identification of patients who are most likely to derive the greatest benefit from
424 treatment. Well defined phenotypes of drug response could serve as inclusion-exclusion
425 criteria and aid in the design and interpretation of future phase III clinical studies of L-
426 carnitine. Such information will need to be balanced with threats to clinical and external
427 validity, as well as consideration to the ability to recruit a sufficient patient population.

428 The approach outlined here is applicable to other emerging sepsis therapeutics
 429 and could aid in developing a precision medicine approach to sepsis and the design of
 430 early-phase clinical trials in critical illness. Moreover, provoking metabolism in septic

<i>Candidate mechanisms of interpatient variability of drug response in sepsis</i>	<i>Impact on L-Carnitine trial design and interpretation</i>	<i>Influence on improving precision medicine in sepsis</i>
Pharmacogenomics	Genetic variance in the transport receptor of L-Carnitine (OCTN2) may influence drug concentration at site of action	Stratify patients by genotype at the time clinical trial enrollment
Drug Interactions	Co-administration of OCTN2 inhibitors, including commonly used antibiotics and vasopressors, may influence drug concentrations	Thorough screening for potential drug interactions by clinical pharmacists at time of trial enrollment and post-hoc
Pharmacometabolomics	Baseline and dynamic metabolic signatures are associated with elevated drug concentrations and patient mortality	Target metabolic subgroups for trial enrollment and measure metabolic response signatures post drug

431 shock with L-carnitine supplementation offers a unique opportunity to define metabolic
 432 signatures of survival and elucidate biochemical pathways deranged in the sepsis
 433 syndrome. Such an approach offers a novel mechanism to further the understanding of
 434 sepsis pathophysiology and progression, as well as elucidate drug response
 435 phenotypes.

436
 437 **Tables:**

438 **Table 1:** Impact of patient-level variables that could influence the outcome of future
 439 clinical trials of sepsis therapeutics.

		administration
Morphomics	Patient muscle mass and body composition may influence metabolic adaptability, energetic stores, and drug distribution	Consider variation in body size and composition when testing targeted metabolic therapeutics
Renal function and Pharmacokinetics (PK)	Altered renal clearance and reabsorption of drug and acyl-metabolites may influence drug concentrations and patient outcomes	Embedded clinical pharmacology studies to quantify sepsis-pathophysiology induced alterations in drug PK

440

441 **Figure Legends:**

442 **Figure 1: Overview of carnitine transport and enzymatic conversions in the cell.**

443 Carnitine enters the cell from the blood through an organic cation transporter (OCTN2),
444 after which carnitine palmitoyl transferase I (CPT-1) facilitates the conversion of
445 carnitine and long chain fatty acid-CoAs to acylcarnitines and coenzyme A (CoA). The
446 transporter carnitine-acylcarnitine translocase (CACT) moves the newly formed long-
447 chain acylcarnitines into the mitochondrial matrix in exchange for free carnitine. Here,
448 long chain acyl groups are transferred back to CoA by carnitine palmitoyl transferase II
449 (CPT-II). The newly regenerated acyl-CoA undergoes β -oxidation into Acetyl-CoA,
450 which feeds into the TCA cycle. Alternatively, carnitine acetyl-transferase (CAT)
451 converts free carnitine and Acetyl-CoA to acetylcarnitine, which can freely diffuse
452 through CACT and OCTN2 back into the bloodstream. This latter process may be
453 enhanced during sepsis and times of metabolic stress, serving as a crucial sink for
454 excess acetyl groups that may be toxic to the cell. The ladder cartoon represents the
455 plasma membrane separating the blood and the cytosol of the cell, while grey boxes
456 represent the outer and inner membranes of the mitochondria. (Open-source through
457 the Creative Commons Attribution, obtained with permission from
458 <https://doi.org/10.1016/j.ebiom.2017.01.026>).⁵⁸

459 **Figure 2: Carnitine treatment induces a metabolic phenotype whereby serum**
460 **carnitine and acetylcarnitine concentrations are elevated in sepsis non-survivors.**

461 Serum concentrations of carnitine and acetylcarnitine are plotted over time for patients
462 treated with either L-carnitine (panels A and C) or saline placebo (panels B and D). Data
463 plotted are the median, 25th, and 75th percentile of observed serum concentrations, and
464 the Mann-Whitney U test was used to determine significant differences between non-
465 survivors and survivors at each time point. All p-values are corrected for multiple
466 comparison using a false discovery rate method according to Storey and colleagues⁵⁹
467 and are reported as q-values. L-carnitine treated non-survivors (N=7-8) at 1-year had
468 significantly higher concentrations of carnitine relative to survivors (N=8) at baseline
469 (BL, q=0.02); 24-hours (T24, q=0.004); and 48-hours (T48, q=0.02) post-treatment.
470 Similar trends were observed for acetylcarnitine (BL, q=0.01; T24, q=0.003; and T48,
471 q=0.02). No significant differences in carnitine or acetylcarnitine concentrations were
472 observed between placebo treated non-survivors (N=8-12) and survivors (n=3).

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