

1 **An Isotopic Approach to Partition Evapotranspiration in a Mixed Deciduous Forest**

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13 Running Head: Water Isotopes and Forest Transpiration  
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61**Abstract**

Transpiration (T) is perhaps the largest fluxes of water from the land surface to the atmosphere and is susceptible to changes in climate, land use, and vegetation structure. However, predictions of future transpiration fluxes vary widely and are poorly constrained. Stable water isotopes can help expand our understanding of land-atmosphere water fluxes, but are limited by a lack of observations and a poor understanding of how the isotopic composition of transpired vapor ( $\delta_T$ ) varies. Here, we present isotopic data of water vapor, terrestrial water, and plant water from a deciduous forest to understand how vegetation affects water budgets and land-atmosphere water fluxes. We measured sub-diurnal variations of  $\delta^{18}\text{O}_T$  from three tree species, and use water isotopes to partition T from ET to quantify the role of vegetation in the local water cycle. We find that  $\delta^{18}\text{O}_T$  deviated from isotopic steady-state during the day but find no species-specific patterns. The ratio of T to ET varied from 53% to 61%, and was generally invariant during the day, indicating that diurnal evaporation and transpiration fluxes respond to similar atmospheric and micrometeorological conditions at this site. Finally, we compared the isotope-inferred ratio of T to ET with results from another ET partitioning approach that uses eddy covariance and sap flux data. We find broad mid-day agreement between these two partitioning techniques, in particular the absence of a diurnal cycle, which should encourage future ecohydrological isotope studies. Isotope-inferred estimates of transpiration can inform land surface models and improve our understanding of land-atmosphere water fluxes.

**Keywords:** water isotopes, evapotranspiration, partitioning, water cycle, forest hydrology, sap flux, eddy covariance

**1. Introduction**

Evapotranspiration (ET) connects the water and carbon cycles and plays an important role maintaining terrestrial energy balance (Dunn & Mackay, 1995; Ellison et al., 2017; Swann et al., 2012; Worden et al., 2007). Despite its broad significance, estimates of terrestrial water fluxes from reanalysis, upscaled observations, and land surface models (LSMs) differ by up to

62 50% and predicting future land-atmosphere water fluxes remains a challenge (Mao et al., 2015;  
63 Mueller et al., 2013; Vinukollu et al., 2011). Central to this uncertainty are yet unresolved  
64 responses of plants to climate and land use change (Frank et al., 2015; Jackson et al., 2001;  
65 Massmann et al., 2019; Schlesinger & Jasechko, 2014). In a higher CO<sub>2</sub> world, some predict  
66 changes to leaf area index (LAI), stomatal conductance, soil moisture, and terrestrial runoff will  
67 intensify the water cycle (Brutsaert, 2017; Ohmura & Wild, 2002; Zeng et al., 2018; Zhang et al.,  
68 2016); others anticipate these vegetation-induced changes will decrease water cycling (Gedney et  
69 al., 2006; Labat et al., 2004). Consequently, a growing body of ecohydrological research is  
70 aimed at studying terrestrial water fluxes to better understand what drives water exchange  
71 between the land and the atmosphere, how terrestrial hydrology may change in the future, and  
72 how plants regulate freshwater resources.

73 ET is comprised of ecosystem evaporation (E, including surface evaporation and  
74 evaporation of canopy-intercepted water) and plant transpiration (T). The ratio of T to ET,  
75 hereafter referred to as  $F_T$ , provides insight into the role that vegetation plays in terrestrial water  
76 recycling and links plant hydrology with climate and meteorological conditions (Stoy et al.,  
77 2019). A complete understanding of this ratio is an important step towards predicting how plants  
78 will respond to land use and climate changes and how hydrologic balance may change in the  
79 future. To date, there is no consensus about the values of global, regional, and ecosystem  $F_T$   
80 (Anderegg et al., 2019; Bowen et al., 2019; Stoy et al., 2019). In particular, estimates of T and  $F_T$   
81 from LSMs and remote sensing algorithms, which rely on ecosystem-scale information, do not  
82 currently agree with ground-based observations of T and  $F_T$  that can vary on spatial scales of less  
83 than a kilometer (Good et al., 2015; Talsma et al., 2018; Wei et al., 2017). Most LSMs and  
84 remote sensing data cannot capture sub-grid cell variations of lateral water flow (Chang et al.,  
85 2018; Ji et al., 2017; Maxwell & Condon, 2016), plant water stress (Fang et al., 2017; Matheny,  
86 Bohrer, Stoy, et al., 2014), and micrometeorological forcing (Badgley et al., 2015) that are  
87 necessary to accurately model  $F_T$ . Further complicating our understanding of land-atmosphere  
88 water exchange, some ground-based observations of ET may not actually capture conditions at  
89 the transpiring or evaporating surfaces. For example, near-surface gradients of water vapor  
90 concentrations and vapor pressure deficits can make it difficult to relate ET measurements, most  
91 of which are made using eddy covariance above canopies, to leaf and soil fluxes within canopies  
92 (Aron et al., 2019; Jarvis & McNaughton, 1986; Kauwe et al., 2017). Therefore, additional leaf-

93 and soil-level flux measurements are needed to improve estimates of  $F_T$  and predictions of  
94 terrestrial water fluxes.

95 Stable water isotopes can improve our understanding of water fluxes from the land to the  
96 atmosphere because the component processes, evaporation and transpiration, have distinct  
97 isotopic signatures (Yakir & Sternberg, 2000). Evaporation causes a large fractionation that  
98 enriches vapor in the lighter isotope. Because plants generally do not fractionate water during  
99 uptake and a vast amount of water passes through plants without fractionating, transpiration  
100 generally adds vapor with a higher proportion of heavy isotopes to the atmosphere (Ehleringer &  
101 Dawson, 1992). Using these fingerprints, many researchers have use water isotopes to measure  
102  $F_T$  and learn about land-atmosphere water exchange (Xiao et al., 2018 and references therein).

103 Isotopic ET partitioning requires knowledge of the isotope ratios associated with  
104 evapotranspiration ( $\delta_{ET}$ ), evaporation ( $\delta_E$ ), and transpiration ( $\delta_T$ ). Until recently, isotope-inferred  
105 estimates of evapotranspiration were limited to a low temporal resolution (day-to-annual  
106 timescales). As a result, the isotopic composition of transpired vapor was not measured and  
107 instead was assumed to be in isotopic steady-state (equal to that of source water) (Haese et al.,  
108 2013). However, observations from high-resolution laser absorption spectrometers now enable  
109 estimates of  $\delta_T$  and show that transpiration can deviate from isotopic steady-state when periods  
110 of stable environmental conditions are too short to allow  $\delta_T$  to reach the isotopic composition of  
111 source water (Dubbert et al., 2013, 2017; Dubbert, Cuntz, et al., 2014; Dubbert, Piayda, et al.,  
112 2014; Simonin et al., 2013). These  $\delta_T$  observations may improve estimates of land-atmosphere  
113 water fluxes and our understanding of the role plants play in the water cycle. However, thus far  
114 studies of  $\delta_T$  have focused only on a small subset of species and environments, and it is still quite  
115 challenging to model short term (sub-diurnal) variations of  $\delta_T$  (Dubbert, Cuntz, et al., 2014) or  
116 incorporate non-steady-state transpiration into isotope-enabled land surface models (Wong et al.,  
117 2017). Additional observations of  $\delta_T$  from a wide variety of species and environments can inform  
118 estimates of  $F_T$  and may help reconcile  $F_T$  differences between observations and LSMs or remote  
119 sensing.

120 Forests play a critical role in land-atmosphere water exchange, but very few studies have  
121 directly used water isotopes to partition forest ET (Lai, Ehleringer, Bond, & Paw, 2006; Lee,  
122 Kim, & Smith, 2007; Moreira et al., 1997). Instead, most isotopic ET partitioning studies are  
123 based in croplands or grasslands where water management is easy to control and canopy cover is

124 low, uniform, and continuous (e.g., Aouade et al., 2016; Lu et al., 2017; Wu et al., 2017). To  
125 address this gap, we measured the isotopic composition of transpired vapor from three tree  
126 species, bigtooth aspen (*Populus grandidentata*), red oak (*Quercus rubra*), and red maple (*Acer*  
127 *rubrum*), in a mixed deciduous forest in northern lower Michigan. We then use  $\delta_T$  measurements  
128 to estimate forest  $F_T$ . Our objectives are to: 1) quantify the temporal and species-specific  
129 variability of  $\delta_T$ , 2) use water isotopes to estimate forest  $F_T$ , and 3) evaluate whether  
130 measurements of non-steady-state  $\delta_T$  improve isotopic ET partitioning. Finally, we compare our  
131 results from the isotopic ET partitioning with results from another partitioning technique that  
132 uses eddy covariance and sap flux data. Taken together, these objectives examine whether water  
133 isotopes provide accurate quantitative estimates of forest ET fluxes. If so, isotope-inferred  $F_T$  and  
134  $\delta_T$  may inform isotope-enabled LSMs and improve predictions of land-atmosphere water  
135 exchange. Broadly, this work builds upon a growing field of high-resolution isotope  
136 ecohydrology studies that seek to understand the role of vegetation in local, regional, and global  
137 water budgets.

## 138 139 **2. ET Partitioning**

### 140 141 *2.1 Theoretical Isotopic ET Flux Partitioning*

142 The isotopic two-source model is commonly used to partition evapotranspiration (ET)  
143 because evaporation (E) and transpiration (T) fluxes have distinct isotopic compositions. In this  
144 framework, ET is defined as

$$145 \quad 146 \quad ET = E + T. \quad \text{Eq. 1}$$

147  
148 Following isotopic mass balance and using delta ( $\delta$ ) notation, Eq. 1 can be expressed as

$$149 \quad 150 \quad \delta_{ET}ET = \delta_E E + \delta_T T \quad \text{Eq. 2}$$

151  
152 where  $\delta_{ET}$ ,  $\delta_E$ , and  $\delta_T$  are the isotopic compositions of evapotranspiration, evaporation, and  
153 transpiration, respectively. A list of all symbols and abbreviations used in this study is presented  
154 in Table 1. Throughout this manuscript, we use  $\delta$  notation in per mil (‰), where R is the ratio of

155 the heavy isotope to the light isotope ( $\delta = (R_{\text{sample}} / R_{\text{standard}} - 1) \times 1000$ ) and the standard is Vienna  
156 Standard Mean Ocean Water (VSMOW) (Coplen, 1996; Gat, 1996). Combining Eq. 1 and Eq. 2  
157 yields  $F_T$ , the ratio of T to ET:

$$F_T = \frac{T}{ET} = \frac{\delta_{ET} - \delta_E}{\delta_T - \delta_E}. \quad \text{Eq. 3}$$

161 This linear, two-source mixing model has been used in a number of previous studies to partition  
162 water fluxes of evapotranspiration (e.g., Wang and Yakir, 2000; Xiao et al., 2018; Yakir and  
163 Sternberg, 2000).

164 We determined  $\delta_{ET}$  with a Keeling mixing model (Keeling, 1958; Yakir and Sternberg,  
165 2000), where  $\delta_{ET}$  is estimated as the y-intercept of a linear regression between the isotopic  
166 composition of atmospheric water vapor ( $\delta_a$ ) and the reciprocal of the water vapor concentration.

167 The isotopic composition of transpired vapor ( $\delta_T$ ) is calculated from leaf chamber  
168 measurements following Wang et al. (2012). Using this approach,  $\delta_T$  is defined as

$$\delta_T = \frac{q_m \delta_m - q_a \delta_a}{q_m - q_a} \quad \text{Eq. 4}$$

172 where  $q$  is the water vapor concentration,  $m$  refers to measurements when the chamber was closed  
173 around a leaf, and  $a$  refers to measurements when the chamber was open to ambient vapor (Wang  
174 et al., 2012).

175 The isotopic composition of soil evaporation ( $\delta_E$ ) is estimated using the Craig and  
176 Gordon (1965) model:

$$\delta_E = \frac{\alpha_{eq}^{-1} \delta_s - h \delta_a - \varepsilon_{eq} - (1-h) \varepsilon_k}{(1-h) + 10^{-3}(1-h) \varepsilon_k} \quad \text{Eq. 5}$$

180 using meteorological measurements and isotopic values of soil water ( $\delta_s$ ) and atmospheric vapor  
181 ( $\delta_a$ ). Here  $\alpha_{eq} (> 1)$  is the temperature dependent equilibrium fractionation factor (Majoube,  
182 1971),  $\varepsilon_{eq}$  is calculated as  $(1 - 1/\alpha_{eq}) \times 10^3$ ,  $\varepsilon_k$  is the kinetic fractionation term, and  $h$  is the  
183 relative humidity at the temperature of the evaporating surface.

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## 2.2 ET Partitioning from Sap Flux and Eddy Covariance Data

ET partitioning from sap flux and eddy covariance measurements follows the approach described by Williams et al. (2004). In this technique, the latent heat-derived ET is separated into biotic (T) and abiotic (E) components using eddy covariance estimates of latent energy and direct measurements of sap flux. To partition ET, we assumed that transpiration accounted for nearly all of the ET flux on the driest days during the growing season and derived a scaling equation to estimate the ratio of T to ET on days when evaporation was not negligible (Kool et al., 2014). Additional details on this scaling are provided in the Supplement.

## 3. Methods

### 3.1 Site Description

This study was conducted at the 46 m AmeriFlux-affiliated eddy covariance tower site at the University of Michigan Biological Station (UMBS) in northern lower Michigan (45.59°N, 84.70°W, AmeriFlux database site-ID US-UMB). The forest at this site has been dominated by bigtooth aspen (*Populus grandidentata*) and paper birch (*Betula papyrifera*), but is currently transitioning to a mixed composition dominated by red oak (*Quercus rubra*), red maple (*Acer rubrum*), white pine (*Pinus strobus*), American beech (*Fagus grandifolia*), and sugar maple (*Acer saccharum*). As a result of heavy logging in the early 20<sup>th</sup> century, the forest has a relatively uniform age and canopy structure. Mean canopy height is ~ 22 m and mean peak LAI is 3.9 m<sup>2</sup> m<sup>-2</sup>. The site receives 766 mm of precipitation annually and the mean annual temperature is 5.5°C (Matheny et al., 2017). Soils at the UMBS site are well drained Haplorthods of the Rubicon, Blue Lake, or Cheboygan series and consist of ~ 95% sand and ~ 5% silt (Nave et al., 2011). Additional site details are available in Matheny et al. (2017) and Gough et al. (2013).

### 3.2 Isotope Measurements

#### 3.2.1 Surface Waters

214 We collected a variety of surface waters and shallow groundwaters during the 2017  
215 growing season to characterize the isotopic composition of potential source waters for trees and  
216 to examine seasonal hydrologic variability near our study site. We collected event-scale  
217 precipitation at the tower site in a plastic bucket lined with mineral oil to prevent evaporation  
218 (Friedman et al., 1992; Scholl et al., 1996). We used a needle point syringe to extract  
219 precipitation and avoid transferring any oil to the collection vial. The sampling bucket was  
220 cleaned, dried, and given a fresh layer of oil between samples. From April to October, we  
221 collected monthly samples from the edge of a nearby lake and from the mouth of a groundwater  
222 spring. The groundwater spring originates from a seep at the bottom of the lake (Hendricks et al.,  
223 2016). We collected shallow (within 3 m of the surface) groundwater in April, June, and  
224 November from 15 wells near the mouth of the spring. All liquid water samples were collected in  
225 HDPE vials (Wheaton Industries, 986716) and analyzed within a few weeks of collection, so we  
226 do not expect any fractionation between the plastic HDPE collection containers and the sampled  
227 water (Spangenberg, 2012). We used a Picarro L2130-i cavity ringdown spectrometer (CRDS)  
228 with an A0211 high-precision vaporizer and attached autosampler to measure  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  of  
229 liquid water samples. We used Picarro ChemCorrect software to monitor samples for organic  
230 contamination. For liquid samples, precision was better than 0.1‰ and 0.3‰ for  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ,  
231 respectively.

232

### 233 3.2.2 Vapor

234 To analyze water vapor isotopes, we deployed two cavity ringdown spectrometers, a  
235 Picarro L2120-i and a Picarro L2130-i, in a temperature-controlled shed located next to the 46 m  
236 eddy covariance tower. We used a Picarro Standard Delivery Module (SDM, A0101) to deliver  
237 liquid laboratory standards to monitor for drift and calibrate isotope data to the VSMOW–SLAP  
238 scale (Bailey et al., 2015). Each SDM was setup with a Drierite (26800) column and a Picarro  
239 high precision vaporizer (A0211) maintained at 140°C and ambient pressure. We analyzed  
240 standards at night in order to minimize interference with data collection during the day when  
241 transpiration was higher.

242 Cavity ringdown spectrometers are known to exhibit an isotope-ratio bias due to changes  
243 in cavity humidity (Aemisegger et al., 2012). To correct for this bias, we used version 1.2 of the  
244 University of Utah vapor processing scripts to derive cavity-humidity correction equations and



245 instrument precision (Fiorella et al., 2018). We present the  $1\sigma$  uncertainty at 10,000 ppmv, the  
246 lowest measured vapor mixing ratio, and 25,000 ppmv, near the highest measured mixing ratio.  
247 For d-excess ( $d = \delta^2\text{H} - 8 \cdot \delta^{18}\text{O}$  (Dansgaard, 1964)), we assume oxygen and hydrogen errors are  
248 independent.  $1\sigma$  uncertainty on the L2120-i ranged from 0.28‰ for  $\delta^{18}\text{O}$ , 0.93‰ for  $\delta^2\text{H}$ , and  
249 2.45‰ for  $d$  at 10,000 ppmv to 0.20‰, 0.59‰, and 1.68‰ (for oxygen, hydrogen, and  $d$ ,  
250 respectively) at 25,000 ppm. On the L2130-i,  $1\sigma$  uncertainty ranged from 0.13‰ for  $\delta^{18}\text{O}$ ,  
251 0.43‰ for  $\delta^2\text{H}$ , and 1.14‰ for  $d$  at 10,000 ppmv to 0.09‰, 0.29‰, and 0.78‰ (for oxygen,  
252 hydrogen, and  $d$ , respectively) at 25,000 ppm. Additional information about the cavity humidity  
253 correction equations is available in the Supplement.

254 We installed a vapor sampling manifold on the eddy covariance tower and selected three  
255 similarly-sized nearby trees – a bigtooth aspen, a red oak, and a red maple – for transpiration  
256 measurements. We chose these species because together they account for more than 70% of the  
257 LAI and a majority of the sap flux at the site (Figure 1). Leaves and branches from the aspen and  
258 oak were accessible from a platform on the eddy covariance tower 15 m above the ground. No  
259 maple branches were accessible directly from the eddy covariance tower, so we built a small 5 m  
260 tower a few meters from the base of the eddy covariance tower to reach a maple tree. The  
261 uppermost extent of all three sampled trees reached the upper canopy and was exposed to full  
262 sunlight.

263 We built two transparent flow-through sampling chambers following the description in  
264 Wang et al. (2012) to make  $\delta_T$  measurements at 5 and 15 m. Each chamber was approximately  
265 20 cm long, 15 cm wide, and 5 cm tall. This size accommodated large (up to ~15 cm) oak leaves  
266 but was kept small to minimize lag or memory effects between switching samples. Just before a  
267 closed-chamber transpiration measurement, we manually inserted a live leaf (still attached to the  
268 tree) into the chamber and sealed the chamber. Each chamber had two small (~ 2 cm) openings  
269 to pull in ambient vapor during closed-chamber measurements. The chamber hung from the tree  
270 for the duration of each transpiration measurement period. Occasionally we had to reorient the  
271 chamber to prevent the leaf from touching the side of the chamber because any contact points  
272 between the leaf and the chamber promoted condensation. Every closed-chamber measurement  
273 was made on a different leaf. At the end of the transpiration measurement period, we opened the  
274 chamber, removed the leaf, and measured ambient vapor from the open chamber.

275 Sampling lines extended from the chambers to the Picarro analyzer. The 5 m chamber  
276 had two sampling lines, one to measure vapor when the chamber was closed around a leaf and  
277 another to measure vapor when the chamber was open. The 15 m chamber had three sampling  
278 lines, one for closed oak measurements, one for closed aspen measurements, and one for open  
279 chamber measurements. A final ambient-only sampling line extended above the canopy and was  
280 collocated adjacent to 34 m meteorological and flux measurements from the eddy covariance  
281 tower. All sampling lines were constructed from non-fractionating Bev-A-Line tubing (Simonin  
282 et al., 2013), encased in insulation, and wrapped with a warm wire to prevent condensation. The  
283 whole sampling manifold was held below ambient pressure by a diaphragm pump that operated  
284 at ~5 L/min to maintain constant airflow and minimize memory effects between samples.

285 Each Picarro analyzer controlled a multi-position valve (VICI/Valco EMT2SD6MWE) to  
286 switch between sampling locations. We measured each ambient vapor for 5 minutes and  
287 transpired (closed chamber) vapor for 10 minutes. We define a cycle of isotopic measurements  
288 as a loop through each port on the multi-position valve, and assume that the average isotopic  
289 composition at each sampling location represents the isotopic composition at that location for the  
290 full cycle of measurements.

291 Initially we planned to use the L2120-i to analyze ambient vapor and the L2130-i to  
292 analyze transpired vapor. This setup was designed to measure the highest possible temporal  
293 resolution of  $\delta_T$ . However, the L2130-i analyzer malfunctioned after the June sampling  
294 campaign, which forced us to reconfigure our approach and use the L2120-i to measure all six  
295 locations in August and October. We measured vapor isotopes during three periods in 2017: June  
296 19 (DOY 170); August 14 (DOY 226), August 15 (DOY 227), and August 16 (DOY 228); and  
297 October 6 (DOY 279) and October 9 (DOY 282). These days were selected to study transpiration  
298 during periods when water fluxes were high (June and August) and low (October). Missing days  
299 in October (DOY 280 and 281) are due to technical issues with the Picarro analyzers, poor  
300 weather, and other logistical difficulties at the field site.

301

### 302 *3.2.3 Terrestrial and Biological Waters*

303 We used a soil auger to collect soil from the top 10 cm around noon on June 19, August  
304 16, and October 6. Xylem samples were collected mid-day at breast height using an increment  
305 borer on August 16, October 6, and October 9. To avoid disrupting the hydraulics of the trees

306 that were monitored for transpiration, we collected xylem samples from trees near the eddy  
307 covariance tower. We collected leaves from the transpiration-monitored trees because leaves  
308 from other trees were out of reach and the removal of a few leaves from a fully leafed-out tree  
309 was not expected to significantly affect plant hydraulics. Leaf samples were collected around  
310 8am, 11am, 2pm, and 5pm on August 15, August 16, October 6, and October 9. To collocate  
311 measurements of leaf water and transpired vapor, we collected maple leaves at 5 m and oak and  
312 aspen leaves at 15 m. Soil, xylem, and leaf samples were stored in a refrigerator after collection.

313 Waters from soil, xylem, and leaf matrices were extracted on a cryogenic vacuum  
314 distillation line following the methods of West et al. (2006). The midrib was not removed from  
315 leaves prior to the distillation. Distilled soil waters were analyzed for oxygen and hydrogen  
316 isotopes on a Picarro L2130-i as described earlier. Due to complications arising from the  
317 presence of organic compounds (West et al., 2010), leaf and xylem waters were analyzed for  
318  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  using a Thermo Scientific Delta V gas isotope ratio mass spectrometer (TC/EA-  
319 IRMS hereafter) that does not suffer from organic contamination. The TC/EA-IRMS was  
320 interfaced with a Thermo Scientific FlashIRMS elemental analyzer running in pyrolysis mode. A  
321 0.5  $\mu\text{L}$  aliquot of distilled water was injected into a glassy carbon furnace maintained at 1450°C.  
322 The product gases were separated chromatographically on a Restek Molesieve 5A column (60/80  
323 mesh, 2m x 2 mm ID isothermal at 50°C) and were introduced to the IRMS by means of a  
324 continuous flow open-split interface (Conflo IV) optimized to each gas for linearity and  
325 sensitivity. Each gas was normalized to an injection of internal reference gas, and each batch of  
326 samples was then normalized to VSMOW by means of complementary analysis of known  
327 standards under these same conditions. Precision of TC/EA-IRMS analyses was better than 0.4‰  
328 for  $\delta^{18}\text{O}$  and 2.4‰ for  $\delta^2\text{H}$ .

329

### 330 *3.3 Sap Flux*

331 Sap flux is considered a proxy for transpiration (Granier & Loustau, 1994; Phillips &  
332 Oren, 1998). We used a network of custom-built Granier (1987) style thermal dissipation probes  
333 in 60 trees to continuously monitor sap flux at our field site. For this project, we installed six  
334 additional sap flux probes in the maple and oak trees that were used to measure transpiration to  
335 ensure they were hydrologically similar to others at the site. Sap flux measurements were made

336 every minute and reported as 30-minute averages. Additional details about the sap flux sensors  
337 and network are available in Matheny et al. (2014b) and Matheny et al. (2017).

338

### 339 *3.4 Meteorological and Eddy Covariance Measurements*

340 Temperature and relative humidity (HMP45g, Vaisala, Helsinki, Finland) were measured  
341 at 3, 15, and 34 m from the eddy covariance tower. 3 m measurements were reported every  
342 minute; 15 and 34 m measurements were reported as 30-minute averages. To facilitate  
343 comparison with other meteorological and eddy covariance data, 3 m temperature and relative  
344 humidity were averaged to common 30-minute timesteps. Daily precipitation amount was  
345 measured approximately 6 km east of our field site at the Pellston Regional Airport. These data  
346 are available from the National Oceanic and Atmospheric Administration Climate Data Online  
347 archive (Network ID USW00014841).

348 Eddy covariance CO<sub>2</sub> and H<sub>2</sub>O fluxes were measured above the canopy at 34 m. The  
349 latent heat flux was measured at high resolution (10 Hz) using the eddy covariance approach:  
350 water vapor and CO<sub>2</sub> concentrations were measured using a closed-path infrared gas analyzer  
351 (LI7000, LI-COR Biosciences, Lincoln, NE, USA); wind velocity and temperature were  
352 measured with a 3-D ultrasonic anemometer (CSAT3, Campbell Scientific, Logan, UT, USA).  
353 The latent heat flux was corrected by the Webb-Pearman-Leuning correction to account for  
354 density fluctuations in water vapor fluxes (Webb et al., 1980). A complete description of the  
355 eddy covariance data processing is available in Gough et al. (2013). All eddy covariance  
356 variables were reported as 30-minute averages. Spikes in the eddy covariance data were  
357 identified using a median filter (Starkenburg et al., 2016) and removed.

358

### 359 *3.5 Data Processing: $\delta_T$ Calculations and ET Partitioning*

360 All isotopic, meteorologic, and eddy covariance data were processed to a common time-  
361 step to facilitate analysis. The common time of  $\delta_T$  measurements was rounded to the nearest half  
362 hour of the closed-chamber measurements. Following Eq. 4,  $\delta^{18}\text{O}_T$  was calculated from isotope  
363 and humidity measurements when the chamber was open (measuring ambient vapor) and closed  
364 (measuring transpired vapor). The Picarro simultaneously measures isotopic compositions and  
365 specific humidity; no additional parameters or measurements are needed to calculate  $\delta_T$  (Wang  
366 et al., 2012). We omit the first 2 minutes of each measurement period to minimize memory

367 effects from switching sampling ports and used the average of measurements from minutes 3-5  
368 for the  $\delta^{18}\text{O}_T$  calculation (Aemisegger et al., 2012). Although the closed-chamber measurements  
369 continued for 10 minutes, we chose not to use transpired vapor measurements from minutes 5-10  
370 because we observed that condensation occasionally built up in the chambers after 5 minutes.

371 Air within the canopy is usually poorly mixed (Aron et al., 2019), so we used above-  
372 canopy measurements for the Keeling regression to derive ecosystem-scale  $\delta_{ET}$ . In contrast,  $\delta_T$   
373 measurements are separated by species (e.g.,  $\delta_{T,\text{maple}}$ ,  $\delta_{T,\text{aspen}}$ , and  $\delta_{T,\text{oak}}$ ). At UMBS, maple,  
374 aspen, and oak account for ~ 22%, 26%, and 26%, respectively, of the total LAI (Figure 1b). To  
375 ensure we did not overpredict the transpiration flux from these three species, we scaled  $\delta_{T,\text{maple}}$ ,  
376  $\delta_{T,\text{aspen}}$ , and  $\delta_{T,\text{oak}}$  values by the percentage of total LAI accounted for by each species. This  
377 approach can produce species-specific values of  $F_T$ , although that is not our focus in this study  
378 because similar measurements are already done at UMBS from sap flux data (Figure 1a). Instead,  
379 in this study, we combine transpiration fluxes from maple, oak, and aspen trees to approximate  
380 an ecosystem-wide flux. We refer to  $F_T$  calculated from the scaled  $\delta_T$  measurements as non-  
381 steady-state  $F_T$ .

382 To test the effects of assumed steady-state transpiration on isotope-inferred  $F_T$ , we  
383 compare non-steady-state  $F_T$  with  $F_T$  estimated with two steady-state  $\delta_T$  assumptions: a source  
384 water assumption that uses the Craig and Gordon (1965) leaf water model and defines  $\delta_T$  as  
385 xylem water ( $\delta_x$ ) and a precipitation assumption that sets  $\delta_T$  as  $\delta_p$ . A summary of the various  
386 techniques and assumptions we use to estimate  $F_T$  is presented in Table 2.  $\delta_s$  and  $\delta_x$  can vary  
387 spatially across a landscape (Brooks et al., 2010; McDonnell, 2014) and mostly likely reflect a  
388 mixture of water from past precipitation events and other incoming surface and groundwater  
389 flows (Barbour, 2007). Preferential flow paths through the porous (> 90% sand) UMBS soil may  
390 also bias the isotopic composition of available soil water (Brooks et al., 2010). Neither the source  
391 water nor the precipitation assumptions consider these environmental complexities, and a  
392 detailed assessment of soil hydrology is beyond the scope of this study. Instead, the steady-state  
393 assumptions used in this study are our best attempt to capture a representative transpiration flux  
394 from the forest.

395

#### 396 **4. Results**

397

#### 398 *4.1 Seasonal and Synoptic Scale Variability*

399 Seasonal variations of local meteorology, sap flux, and latent heat flux are shown in  
400 Figure 2. Temperature, specific humidity, sap flux, and latent heat flux increased through the  
401 spring, reached a maximum in the summer, and decreased in the fall. Soil moisture was greatest  
402 in the spring when the soil was moist from winter snowmelt and decreased through the growing  
403 season as water percolated through the soil or returned to the atmosphere via evapotranspiration  
404 (Figure 2f). Soil moisture increased rapidly after precipitation events, but due to the high sand  
405 content, limited storage potential, and increased ET fluxes after rain, decreased quickly after  
406 each storm pulse (Figure 2f). Sap flux and latent heat were positively correlated (Pearson's  $r >$   
407  $0.75$ ) throughout the growing season and moderately well correlated with above-canopy VPD ( $r >$   
408  $0.53$ ) (Figures 2d and 2e). Imprinted on this seasonal variation, meteorological, eddy  
409 covariance, and sap flux measurements varied on 3-4 day timescales as weather systems passed  
410 through the study region (Figure 2). Daily precipitation totals varied from 0 to 1.18 cm (Figure  
411 2f). In general, on rainy days, temperature, sap flux, and latent heat were lower and specific  
412 humidity was higher.

413 Monthly variability of terrestrial (rain, lake, soil, and ground) and plant (xylem and leaf)  
414 waters  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  are shown in Figure 3. Precipitation, surface water, and shallow  
415 groundwater cluster around the global meteoric water line (GMWL,  $\delta^2\text{H} = 8 * \delta^{18}\text{O} + 10\text{‰}$   
416 (Craig, 1961)). The local meteoric water line (LMWL,  $\delta^2\text{H} = 7.9 * \delta^{18}\text{O} + 13.6\text{‰}$ ) at UMBS has a  
417 slope close to the that of the GMWL and an intercept that reflects the high degree of moisture  
418 recycling downwind of Lake Michigan (Bowen et al., 2012; Putman et al., 2019). The isotopic  
419 compositions of soil ( $\delta_s$ ), xylem ( $\delta_x$ ), and leaf ( $\delta_l$ ) waters generally fall below the GMWL along  
420 lines with shallow slopes ( $\sim 2.5\text{‰‰}^{-1}$ ) and very low intercepts ( $\sim -37\text{‰}$ ), indicative of  
421 evaporative enrichment.

422 Timeseries of meteoric water isotopes through the 2017 growing season are shown in  
423 Figure 4. Event-scale  $\delta^{18}\text{O}_p$  generally varied between  $-4$  to  $-12\text{‰}$  ( $-10$  to  $-80\text{‰}$  for  $\delta^2\text{H}$ ),  
424 although a large ( $\sim 1.2$  cm) storm in late June had a particularly low isotopic composition ( $-17.1$   
425 and  $-120.6\text{‰}$  for oxygen and hydrogen, respectively, Figure 4a). Precipitation d-excess ( $\sim 13\text{‰}$ )  
426 was relatively consistent from May to October, with the exception of three mid-summer storms  
427 that had low d-excess ( $< 6.1\text{‰}$ , Figure 4b).  $\delta^{18}\text{O}$  of the lake and groundwater spring, which flows

428 from a seep at the bottom of the lake, increased 1.2‰ and 0.3‰, respectively, through the  
429 growing season (Figure 4a). Together, these trends indicate that some lake water evaporated  
430 during the growing season.  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  of groundwater was almost always less than that of  
431 surface water. The groundwater spring ( $\delta^{18}\text{O}$  -9.1‰ to -8.5‰) was therefore likely a mixture of  
432 lake water ( $\delta^{18}\text{O}$  -8.1‰ to -6.9‰) and shallow groundwater ( $\delta^{18}\text{O}$  -12.2‰ to -8.1‰). The  
433 seasonal trends in  $\delta^{18}\text{O}$  and d-excess of the spring suggest that the contribution of groundwater to  
434 the spring decreased through the growing season.

435

#### 436 *4.2 Diurnal Isotope Variability*

437 Soil and xylem waters were evaporatively enriched relative to precipitation on all the  
438 days we measured these pools (Figure 5). In August,  $\delta^{18}\text{O}_p$  of the rain event just before the  
439 measurement period (-9.1 ‰) was less than that of  $\delta^{18}\text{O}_x$  for maple, aspen, and oak (-4.2, -6.7, -  
440 7.8‰, respectively) (Figures 5a and 5b). Similarly, on October 6,  $\delta^{18}\text{O}_p$  (-5.5‰) was lower than  
441  $\delta^{18}\text{O}_x$  (-4.8, -4.3, and -3.9‰, maple, aspen, and oak, respectively, Figure 5c); on October 9  
442  $\delta^{18}\text{O}_p$  (-4.7‰) was lower than or nearly equal to  $\delta^{18}\text{O}_x$  (-4.9, -3.4, and -3.3‰, maple, aspen,  
443 and oak, respectively, Figure 5d). Precipitation d-excess in August, October 6, and October 9 was  
444 higher (14.5, 17.6, and 25.2‰, respectively) than d-excess of xylem water, suggesting that the  
445 difference between  $\delta^{18}\text{O}_x$  and  $\delta^{18}\text{O}_p$  is likely related to evaporative enrichment prior to uptake  
446 (Figures 5e-5h).  $\delta^{18}\text{O}_s$  was never equal to  $\delta^{18}\text{O}_p$ , which suggests that soil water experienced  
447 fractionation by post-depositional processes (likely evaporation), was a mixture of water from  
448 multiple previous rain events, and/or was fed by other nearby sources (Figures 5a-5d). Near  
449 surface soil water d-excess was lower than that of precipitation, indicating that soil water was  
450 also evaporatively enriched relative to the most recent precipitation (Figures 5e-5h).

451 Observed  $\delta^{18}\text{O}_l$  of all three species exhibited a pronounced ( $> 10\%$ ) daily pattern with the  
452 most evaporative enrichment (highest  $\delta^{18}\text{O}_l$  values) in the afternoon when temperature was at a  
453 maximum, relative humidity was at a minimum, and sap flux was high (Figures 5a-5d). As  
454 expected, d-excess of leaf water exhibited the opposite diurnal pattern with the greatest values in  
455 the morning and the lowest values in the mid-afternoon (Figures 5e-5h). Observed  $\delta^{18}\text{O}_l$  are  
456 generally lower than estimated steady-state  $\delta^{18}\text{O}_l$ , which may result from a discrepancy between  
457 observed  $\delta^{18}\text{O}_l$ , which includes midrib and vein water, and modeled  $\delta^{18}\text{O}_l$ , which estimates water

458 at the evaporation sites. Alternatively, the offset between observed and estimated  $\delta^{18}\text{O}_l$  may  
459 suggest that, even at midday when the transpiration flux was high (Figure 1a) and leaf-water  
460 turnover time was quickest, leaves were not at isotopic steady-state (Figures 5a-5d).

461 Although the diurnal pattern of leaf water isotopes was consistent between maple, oak,  
462 and aspen, the magnitude of diurnal  $\delta_l$  change and values of  $\delta^{18}\text{O}_l$  and  $\delta^{18}\text{O}_x$  varied between  
463 species. For example, in August morning (8am)  $\delta^{18}\text{O}_x$  and  $\delta^{18}\text{O}_l$  of oak were lower than  $\delta^{18}\text{O}_x$   
464 and  $\delta^{18}\text{O}_l$  of either maple or aspen (Figure 5a). Additionally, minimum morning  $\delta^{18}\text{O}_l$  varied on  
465 consecutive sampling days, with lower  $\delta^{18}\text{O}_{l,\text{maple}}$  and  $\delta^{18}\text{O}_{l,\text{aspen}}$  on August 16 than August 15  
466 (Figures 5a and 5b). In contrast, October  $\delta^{18}\text{O}_{x,\text{maple}}$ ,  $\delta^{18}\text{O}_{x,\text{oak}}$ , and  $\delta^{18}\text{O}_{x,\text{aspen}}$  were within 1‰ of  
467 each other ( $\sim -4\text{‰}$ ), but  $\delta^{18}\text{O}_{l,\text{maple}}$  was  $\sim 5\text{‰}$  lower than  $\delta^{18}\text{O}_{l,\text{oak}}$  and  $\delta^{18}\text{O}_{l,\text{aspen}}$  (Figures 5c and  
468 5d).

469  $\delta^{18}\text{O}_T$  varied between  $-15$  and  $6\text{‰}$  and frequently deviated from  $\delta^{18}\text{O}_x$ ,  $\delta^{18}\text{O}_s$ , or  $\delta^{18}\text{O}_p$ ,  
470 indicating that transpiration was not at isotopic steady state on sub-diurnal timescales (Figure 6).  
471 In general,  $\delta^{18}\text{O}_T$  was lower in the morning when relative humidity was high and increased  
472 through the day as transpiration increased.  $\delta^{18}\text{O}_T$  was always greater than  $\delta^{18}\text{O}_a$  ( $-23.6$  to  $-$   
473  $16.7\text{‰}$ , Figure 6) and therefore pushed the isotopic composition of atmospheric water vapor to  
474 higher values. No consistent species-specific  $\delta^{18}\text{O}_T$  trend emerged, and  $\delta^{18}\text{O}_{T,\text{aspen}}$ ,  $\delta^{18}\text{O}_{T,\text{oak}}$ , and  
475  $\delta^{18}\text{O}_{T,\text{maple}}$  varied considerably day-to-day and on sub-diurnal timescales (Figure 6).  $\delta^{18}\text{O}_E$  varied  
476 between  $-38.3$  and  $-31.2\text{‰}$  and pushed  $\delta^{18}\text{O}_a$  to lower values (Figure 6).

477

#### 478 *4.3 Diurnal ET Partitioning*

479 A summary of ET partitioning results is presented in Table 2. Using Eq. 3 and the  
480 measured values of  $\delta^{18}\text{O}_T$ , transpiration from maple, oak, and aspen accounted for  $37 \pm 2\%$  of  
481 the ET flux. This value, referred to as non-steady-state  $F_T$ , did not exhibit a consistent diurnal  
482 cycle (Figure 7). We compare non-steady-state  $F_T$  with  $F_T$  calculated from two steady-state  
483 isotope assumptions: that  $\delta_T$  is equal to xylem water (source water assumption) and that  $\delta_T$  is  
484 equal to  $\delta_p$  of the most recent storm event (precipitation assumption). The precipitation  
485 assumption, which assumes that the only available source water is recent precipitation, allows us  
486 to estimate a transpiration flux from all species in the forest, including ones from which we did  
487 not measure  $\delta_x$ . The precipitation assumption is our best attempt to quantitatively estimate a plot-



488 level transpiration flux; it does not address the timescale over which plants access available soil  
489 water or the complexities of preferential flow paths through soils, both of which affect  $\delta_x$  and  $\delta_T$   
490 (Allen et al., 2018; Brooks et al., 2010; Evaristo et al., 2015).

491  $F_T$  estimated from the source water assumption ( $36 \pm 2\%$ , Figure 7) is nearly identical to  
492 non-steady-state  $F_T$ . The precipitation assumption produces a higher estimate of  $F_T$  ( $53 \pm 3\%$ ,  
493 Figure 7). The offset between these  $F_T$  values arises because the precipitation assumption  
494 includes a water flux from all tree species at the site while the source water assumption only  
495 includes only the species from which we measured  $\delta_x$  (maple, oak, and aspen) and accounts for  $\sim$   
496 70% of the site LAI. Correcting for this LAI discrepancy (scaling  $F_T$  results from the  
497 precipitation assumption to include only 70% of the trees) and assuming that each species  
498 produces a similar amount of transpiration per unit leaf (Jarvis & McNaughton, 1986), we find  
499 that the source water assumption (36%) and the precipitation assumption (37%) produce nearly  
500 identical estimates of  $F_T$ . Agreement between the two steady-state  $\delta_T$  assumptions suggests that  
501 at this site either technique is a precise approach to measuring forest  $F_T$ . The plot-level  $F_T$  results  
502 ( $53 \pm 3\%$ ) agree with other estimates of forest  $F_T$  (Berkelhammer et al., 2016; Matheny, Bohrer,  
503 Vogel, et al., 2014; Sun et al., 2014; Tsujimura et al., 2007; Zhou et al., 2016). Like non-steady-  
504 state  $F_T$ ,  $F_T$  calculated using the either source water or precipitation assumptions exhibits no  
505 diurnal variation (Figure 7).

506 Finally, we compare isotopic ET partitioning results with  $F_T$  estimated using eddy  
507 covariance and sap flux data (Figure 7). The sap flux network at this site is extensive and,  
508 coupled with eddy covariance data, provides a wide range of information about forest water  
509 fluxes including an estimate of  $F_T$ . For simplicity, we refer to  $F_T$  calculated using eddy  
510 covariance and sap flux data as the ecohydrologic ET partitioning technique. Plot-level  
511 ecohydrologic  $F_T$  was  $65 \pm 12\%$ ; ecohydrologic  $F_T$  scaled to include only the transpiration flux  
512 from maple, oak, and aspen was  $43 \pm 9\%$  (Figure 7). Agreement between the isotopic and  
513 ecohydrologic partitioning techniques was stronger midday (10am to 4pm,  $61 \pm 8\%$  plot-level  
514  $F_T$ ;  $40 \pm 7\%$   $F_T$  for maple, oak, and aspen) when water fluxes were high and weaker in the  
515 morning and evening when water fluxes were lower. When  $F_T$  from the isotopic and  
516 ecohydrologic ET partitioning techniques diverged, the ecohydrologic partitioning technique

517 tended to estimate higher  $F_T$  than the isotopic technique (Figure 7). Neither partitioning approach  
518 revealed a consistent or pronounced daytime  $F_T$  cycle.

519

## 520 **5. Discussion**

521

### 522 *5.1 Isotope Data as an Indicator of Local Hydrology*

523

#### 524 *5.1.1 Observations of Non-Steady-State $\delta_T$*

525 It has long been recognized that on timescales longer than the plant-water turnover time  
526 the isotopic composition of vapor that is transpired from a leaf must equal the water that enters  
527 the leaf from the source (Dongmann et al., 1974). Accordingly, most isotope models assume that  
528 transpiration is a non-fractionating process, at least on longer timescales (Farquhar & Cernusak,  
529 2005; Flanagan et al., 1991; Haese et al., 2013; Wang & Yakir, 1995). However, on short  
530 timescales (sub-diurnal to a few days) recent observations have revealed that  $\delta_T$  deviates from  
531 steady-state conditions because environmental conditions change quicker than the turnover of  
532 plant water (Dubbert et al., 2017; Dubbert, Piayda, et al., 2014; Harwood, Gillon, Griffiths, &  
533 Broadmeadow, 1998; Simonin et al., 2013; Wang & Yakir, 1995; Yakir, Berry, Giles, &  
534 Osmond, 1994).  $\delta_T$  varies with abiotic and biotic conditions including stomatal conductance,  
535 temperature, humidity, and  $\delta_a$  (Simonin et al., 2013). At the leaf level,  $\delta_T$  is also controlled by the  
536 transpiration rate, stomatal density, and leaf water content (Buckley, 2019; Dubbert et al., 2017).  
537 The Craig and Gordon (1965) model predicts that temperature and humidity are correlated with  
538  $\delta_T$  (Dongmann et al., 1974; Farquhar et al., 1993; Farquhar & Cernusak, 2005; Farquhar &  
539 Lloyd, 1993; Farris & Strain, 1978; Flanagan et al., 1991), which Simonin et al. (2013)  
540 confirmed in a leaf-cuvette study and we find to be true in naturally varying conditions (Figure  
541 6).

542 We measured  $\delta_T$  from three broadleaf deciduous trees but did not find consistent species-  
543 specific  $\delta_T$  patterns (Figure 6). In contrast, in a controlled greenhouse, Dubbert et al. (2017)  
544 measured  $\delta_T$  from a variety of herbs, shrubs, and trees and linked  $\delta_T$  variations to species-specific  
545 differences in the transpiration rate, stomatal aperture, stomatal density, and leaf water content.  
546 At our field site, oak have an extensive rooting structure and can access a deeper, isotopically  
547 more depleted soil water pool than maple, which are shallow rooting (Matheny et al., 2017),

548 although these uptake dynamics may be site-specific (Lanning et al., 2020). We therefore  
549 expected that the isotopic composition of xylem, leaf, and transpired water from oaks would be  
550 less than that from maples and aspen, but this was only true of xylem and leaf water in August  
551 when soil moisture was low. Rain storms on October 4 and October 7 moistened the soil and  
552 provided near-surface moisture for the maple, oak, and aspen trees to transpire. When the soil  
553 was drier during the August sampling period, the oak favored a more abundant, deeper,  
554 isotopically more negative water source (Matheny et al., 2017). Taken together, these results  
555 suggest that when broadleaf deciduous trees are not water stressed species-specific effects on  
556 local isotope signals are difficult to identify and distinguish. In contrast, when these trees are  
557 water stressed, species-specific differences may be evident in water isotope signals.

558

### 559 *5.1.2 Surface, Terrestrial, and Biologic Water Isotope Variability*

560 The isotopic composition of precipitation at UMBS reflects the dominant fractionation  
561 processes in northern Michigan, Rayleigh distillation and ‘lake-effect’ precipitation (Bowen et  
562 al., 2012). Previous estimates suggest that up to 32% of precipitation in this region is derived  
563 from evaporation over Lake Michigan (Bowen et al., 2012; Gat et al., 1994; Machavaram and  
564 Krishnamurthy, 1995). This high degree of moisture recycling explains the high (~ 13‰)  
565 observed precipitation d-excess. The seasonal increase (decrease) of  $\delta^{18}\text{O}_{\text{lake}}$  ( $\text{d-excess}_{\text{lake}}$ )  
566 indicates that evaporation of local surface water likely also added vapor with a high d-excess to  
567 the atmosphere (Figure 4).

568 The dome-shaped pattern of diurnal  $\delta_{\text{l}}$  has been observed in many studies and is related to  
569 the changes in vapor pressure deficit and transpiration rate (Cernusak et al., 2016 and references  
570 therein). Among the broadleaf deciduous trees in this study, the shape and magnitude of the  
571 diurnal  $\delta^{18}\text{O}_{\text{l}}$  pattern was independent of species type and are broadly consistent with common  
572 isotopic leaf water models (Farquhar & Cernusak, 2005). The initial, morning isotopic  
573 composition of  $\delta^{18}\text{O}_{\text{l}}$  did, however, vary between the three species and is particularly notable on  
574 August 16 ( $\delta^{18}\text{O}_{\text{l,oak}}$ , Figure 5 b and 5e) and October 6 ( $\delta^{18}\text{O}_{\text{l,maple}}$ , Figure 5c and 5f). These  
575 differences may be related to rooting strategy when the soils are dry (Matheny et al., 2017) or  
576 may arise due to the high sand content and low moisture retention of soils that can cause high  
577 spatial variability of  $\delta_{\text{s}}$  or  $\delta_{\text{x}}$  at the site (He et al., 2013; Nave et al., 2011).

578

## 579 5.2 ET Partitioning

580 ET partitioning distinguishes the evaporation and transpiration components of the ET  
581 flux and helps provide a quantitative understanding of ecological processes within the water  
582 cycle (Jasechko et al., 2013; Kool et al., 2014). Isotopic ET partitioning is predicated on E and T  
583 fluxes of distinct isotopic compositions and accurate estimates of  $\delta_{ET}$ ,  $\delta_E$ , and  $\delta_T$ . Currently, there  
584 is no consensus on the best approach to measure the isotopic composition of the ET flux, and  
585 researchers use either Keeling mixing models or the flux-gradient technique (Good et al., 2012).  
586 The flux-gradient method works best over smooth, homogenous surfaces such as lakes and  
587 grasses (Xiao et al., 2017); we chose the Keeling approach to avoid complications of canopy  
588 turbulence that may limit the flux-gradient method (Good et al., 2012; Yakir & Wang, 1996).  
589 Other ET partitioning studies (e.g., Berkelhammer et al., 2016; Sun et al., 2014; Tsujimura et al.,  
590 2007) have also successfully used the Keeling method to calculate  $\delta_{ET}$  in forested environments,  
591 which further justifies our approach to estimating  $\delta_{ET}$ .

592 We used the Craig and Gordon (1965) model (Eq. 5) to calculate  $\delta_E$ . Here the challenging  
593 factors are an accurate and representative value for the isotopic composition of soil water at the  
594 evaporation front and the soil kinetic fractionation factor (Wang et al., 2013; Xiao et al., 2018).  
595 We collected soil from the top 10 cm and used  $\delta_s$  from a single location to estimate the  
596 evaporative flux over the entire tower footprint. This approach does not capture the spatial  
597 heterogeneity of  $\delta_s$  (Gazis & Feng, 2004; Hsieh et al., 1998), but is a common approach in most  
598 ET partitioning studies (e.g., Aouade et al., 2016; Dubbert et al., 2014; Yepez et al., 2005; Zhang  
599 et al., 2011). The closed, thick canopy cover at our field site (Aron et al., 2019) likely reduces  
600 spatial variation in  $\delta_s$ . The kinetic fractionation factor in soil evaporation studies has long been a  
601 point of debate and varies with soil tortuosity, soil moisture, and atmospheric conditions (Quade  
602 et al., 2018; Xiao et al., 2018). In our study, diurnal soil water content was relatively consistent  
603 (varied by less than 0.5 % ( $\text{m}^3 \text{m}^{-3}$ ) per day) so we elected to use the constant value for  $\epsilon_k$   
604 provided by Quade et al. (2018).

605 Most isotope-based ET studies assume transpiration is in isotopic-steady state and  
606 estimate that  $\delta_T$  is equal to  $\delta_x$  or  $\delta_s$  (e.g., Aouade et al., 2016; Wang and Yakir, 2000; Yepez et  
607 al., 2003; Zhang et al., 2011). Instead, in this study we measured  $\delta_T$  using a leaf chamber to 1)  
608 observe any non-steady-state transpiration isotope patterns and 2) evaluate whether direct  $\delta_T$

609 measurements affect isotopic ET partitioning. The technical and methodological advancements  
610 for this type of measurement have only recently been developed (e.g., Wang et al., 2012) and to  
611 date only a handful of studies have used a leaf chamber to measure  $\delta_T$  and partition  $F_T$  (Dubbert,  
612 Cuntz, et al., 2014; Good et al., 2014; Lu et al., 2017; Wang et al., 2010, 2013; Wu et al., 2017).  
613 However, nearly all of this work has been done in agricultural fields or grasslands, and still  
614 relatively little is known about  $\delta_T$  (Lanning et al., 2020) and isotope-inferred  $F_T$  in forests.

615 The daytime, plot-level values of  $F_T$  reported in this study (53% from the precipitation  
616 assumption; 61% from the ecohydrologic technique, Figure 7) agree well with other estimates of  
617 forest  $F_T$ . Berkelhammer et al. (2016) and Tsujimura et al. (2007) used water isotopes to  
618 calculate forest  $F_T$  values of 49-62% and 60-73%, respectively. Non-isotope ET partitioning  
619 techniques reveal similar  $F_T$  and range from 52% (Zhou et al., 2016) to ~70-80% (Matheny,  
620 Bohrer, Vogel, et al., 2014; Sulman et al., 2016) in deciduous broadleaf forest sites. At our field  
621 site, Matheny et al. (2014b) and Aron et al. (2019) demonstrated that ET partitioning is sensitive  
622 to forest structure and LAI, with a greater transpiration flux from closed forest canopies and a  
623 greater evaporation flux from open forest canopies. The positive relationship between LAI and  
624  $F_T$  is also observed in a variety of non-forest environments (Scott & Biederman, 2017; Wang,  
625 Good, & Caylor, 2014; Wei et al., 2017), although it is poorly parameterized in most LSMs, with  
626 estimates of  $F_T$  that are typically lower than expected (Bowen et al., 2019).

627 In this study, mid-day  $F_T$  did not exhibit a consistent cycle regardless of species, steady-  
628 state assumption, or partitioning technique (Figure 7). Because LAI sets  $F_T$ , Wang et al. (2014)  
629 proposed that  $F_T$  should be relatively consistent throughout the growing season. Although  $F_T$  can  
630 vary with passing weather systems and precipitation (e.g., Aron et al., 2019; Wen et al., 2016),  
631 periods of water stress (Good et al., 2014; Matheny et al., 2017), and the removal of biomass  
632 (e.g., harvesting or cutting grass) (Wang, Yamanaka, Li, & Wei, 2015), Berkelhammer et al.  
633 (2016) demonstrated that forest  $F_T$  was generally invariant on seasonal timescales. We come to  
634 the same conclusions on sub-diurnal timescales (Figure 7), although this observation may be  
635 dependent on vegetation type, aridity, and soil moisture. For example, in arid sites with very low  
636 soil moisture, diurnal increases in the transpiration flux may not be accompanied by a concurrent  
637 evaporation flux and  $F_T$  may increase mid-day (Zhou et al., 2018). However, the absence of a  
638 diurnal  $F_T$  cycle at our broadleaf deciduous forest site suggests that similar ecological processes  
639 and environmental conditions drive the component ET fluxes in this environment as both

640 evaporation and transpiration fluxes are controlled by external environmental factors including  
641 vapor pressure deficit, incoming solar radiation, temperature, humidity, wind speed, water  
642 availability, and ambient CO<sub>2</sub> concentration as well as a number of internal soil or plant factors  
643 (e.g., tortuosity, available surface area, water potential) (Ball, 1988; Cernusak et al., 2016;  
644 Penman, 1948; Sperry et al., 2002; Tyree & Zimmerman, 2002).

645 Finally, we compare  $F_T$  from the isotopic and ecohydrologic partitioning techniques.  
646 Isotopic and ecohydrologic derived  $F_T$  were similar during the day when ET was high, but results  
647 from the two techniques diverged in the early morning and late afternoon when water fluxes  
648 were lower. The timing of diurnal sap flux is usually well correlated with incoming solar  
649 radiation, temperature, and vapor pressure deficit (Ling et al., 2008). It is therefore possible that  
650 the high ecohydrologic  $F_T$  in the morning and evening reflects differences in the initiation and  
651 termination of early morning and late afternoon diurnal evaporation and transpiration fluxes.  
652 However, both steady-state isotopic  $F_T$  estimates remained invariant during these times (field  
653 logistics and low water fluxes prohibited direct  $\delta_T$  measurements in the early morning and  
654 evening), suggesting that the high morning and afternoon ecohydrologic  $F_T$  may be an artefact of  
655 sap flux or eddy covariance measurements. To this point, sap flux measurements are known to be  
656 biased and prone to errors when water fluxes are low (Ewers & Oren, 2000; Granier, 1987). High  
657 ecohydrologic  $F_T$  may also be explained by the refilling of dehydrated xylem tissues that does  
658 not necessarily result in the release of water to the atmosphere at that time. The mid-day  
659 agreement between isotopic non-steady-state, isotopic steady-state, and ecohydrologic  
660 partitioning techniques highlights the precision of these different approaches. Despite a multitude  
661 of assumptions and simplifications, these techniques capture the same water fluxes that are  
662 driven by incoming solar radiation, water availability, and plant hydraulics. Additional ET  
663 partitioning techniques such as solar-induced fluorescence (SIF) (Lu et al., 2018; Shan et al.,  
664 2019) may soon be available at this site and may yield new insights into the divergent  
665 partitioning results in the early morning and late afternoon.

### 666 667 *5.3 Caveats and Experimental Considerations*

668 Forests play a critical role in the water cycle and imprint a distinct signature on the  
669 isotopic composition of local and regional water cycles. However, measuring forest water fluxes  
670 is difficult because forests are heterogeneous, turbulent environments. Accordingly, studies of

671 forest  $\delta_T$  (e.g., Lanning et al., 2020) and isotopic ET partitioning have lagged behind similar  
672 studies in greenhouses or homogenous environments such as croplands and grasslands (e.g.,  
673 Dubbert et al., 2017; Good et al., 2014). While our experimental approach mitigates this gap, this  
674 study was affected by field logistics. For example, we were only able to reach three trees for  
675 transpiration measurements. As a result,  $F_T$  from  $\delta_T$  measurements, the source water assumption,  
676 and sap flux scaled to include only the transpiration flux from maples, oaks, and aspen are biased  
677 low.

678 Limitations of the experimental setup are also an important consideration. First, direct  $\delta_T$   
679 calculations require that a leaf be manually inserted and removed from a sampling chamber,  
680 which limits the number of measurements. We likely missed water fluxes before and after our  
681 measurement periods. Second, the different measurement heights (5 m for maple, 15 m for aspen  
682 and oak) may complicate species-specific observations of  $\delta_T$ . Although vertical light-induced  
683 differences in stomatal conductance and leaf temperature can balance each other (Bögelein et al.,  
684 2017), even small differences in measurement location and microclimate within the canopy can  
685 strongly affect transpiration and  $\delta_T$  (Baldocchi et al., 2002; Chen et al., 1999; Jarvis &  
686 McNaughton, 1986). Third, scaling isotopic ET partitioning from local measurements to a plot or  
687 regional scale remains a challenge given soil heterogeneity, diversity of plant ecophysiology, and  
688 a variety of vegetative and canopy structures. Sap flux measurements suffer from similar scaling  
689 challenges (Schaeffer et al., 2000), however our field site has an unusually robust sap flux  
690 network that has been successfully statistically-scaled to plot-level water fluxes (Matheny,  
691 Bohrer, Vogel, et al., 2014). Scaling individual soil and tree isotope measurements to the plot-  
692 level remains difficult (Sutanto et al., 2014).

693

#### 694 *5.4 Implications and Directions of Future Work*

695 Moving forward, we show that continuous analysis of  $\delta_a$  and routine measurements of  $\delta_x$   
696 or  $\delta_p$  can efficiently record  $F_T$ . Researchers should make measurements for the source water ( $\delta_x$ )  
697 or precipitation ( $\delta_p$ ) approaches based on site-specific characteristics such as species distribution,  
698 expected  $\delta_s$  heterogeneity, and the frequency of precipitation events. Neither approach requires  
699 laborious leaf chamber measurements and both are founded on a steady-state assumption about  
700  $\delta_T$  that is valid for mid-day (Figure 7) and seasonal (e.g., Wei et al., 2015) isotopic ET

701 partitioning. In contrast, assumptions of steady-state  $\delta_T$  may not suffice for questions related to  
702 isotope and water cycles on sub-diurnal timescales (e.g., Aron et al., 2019; Simonin et al., 2013;  
703 Welp et al., 2012). On this relatively short timescale, non-steady-state  $\delta_T$  measurements inform  
704 how transpiration forces the isotopic composition of atmospheric water vapor and may help  
705 validate the Craig and Gordon (1965) model that is commonly used to estimate  $\delta_T$  and  $\delta_E$  (e.g.,  
706 Dubbert et al., 2013; Dubbert et al., 2014; Good et al., 2012; Hu et al., 2014). Additionally,  
707 studies that measure and model  $\delta_T$  can partition species-specific  $F_T$  to learn about species-  
708 specific hydrology and responses to environmental conditions. Observation of  $\delta_T$  may also  
709 improve the parameterization of kinetic isotope effects during evaporation and transpiration,  
710 which remains a major challenge in isotope ecohydrology research (Quade et al., 2018).

711 Overall, continued efforts to accurately measure and understand local transpiration are  
712 critical to expand our knowledge of continental water recycling and understand the role that  
713 plants play in regulating water budgets. This study examines forest ET fluxes; additional  
714 observations from environments such as wetlands and tundra are still needed to assess how  
715 hydrologic processes are represented in land surface models and to monitor how water and  
716 energy fluxes respond to climate and land use change. Currently, almost all LSMs underestimate  
717  $F_T$ . Recent and ongoing efforts to incorporate water isotopes into land surface models (e.g.,  
718 Wong et al., 2017) may improve our understanding of land-atmosphere water fluxes, but these  
719 models must be validated with measurements of local  $\delta_T$  and  $F_T$ .

720

## 721 **6. Conclusions**

722 We present direct, species-specific measurements of  $\delta^{18}O_T$  from three broadleaf  
723 deciduous trees and estimate the contribution of transpiration to the ET flux in a mixed  
724 deciduous forest. The methodology to make  $\delta_T$  measurements in a field setting is new, and these  
725 are among the first  $\delta_T$  results obtained from a forest environment.  $\delta^{18}O_T$  deviated from isotopic  
726 steady-state on sub-diurnal timescales but did not exhibit a clear species-specific pattern. Using  
727 water isotopes, we found that the  $F_T$  was invariant during the day, which indicates similar  
728 atmospheric and micrometeorologic conditions control evaporation and transpiration fluxes at  
729 this site. We find strong mid-day agreement between isotopic steady-state, isotopic non-steady-  
730 state, and ecohydrologic (eddy covariance and sap flux) estimates of  $F_T$ , which suggests that  
731 assumptions of steady-state  $\delta_T$  may suffice for other forest ET partitioning studies. Agreement



732 between the isotopic and ecohydrologic partitioning techniques, in particular the absence of a  
733 diurnal cycle using either approach, should encourage use of the isotopic ET partitioning method  
734 in environments where it is impossible or logistically impractical to install sap flux sensors.  
735 Transpiration and evapotranspiration remain challenging fluxes to measure, model, and predict,  
736 but water isotopes can help improve our understanding of these important hydrological  
737 processes. Future work on non-steady-state  $\delta_T$  will improve the utility water vapor isotopes as a  
738 tool to study land-atmosphere water exchange while steady-state assumptions of  $\delta_T$  and isotopic  
739 ET partitioning can provide insight into the role of plants in terrestrial water cycling.

740

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746

#### 747 **Data Availability Statement:**

748 Data associated with this study are archived and freely available from the University of Michigan  
749 Biological Station Data Repository (<http://biostation.lsa.umich.edu/data>).

750

#### 751 **Conflicts of Interest**

752 The authors declare no conflicts of interest.

753

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764

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Table 1. Description of symbols and subscripts used in this study.

| Symbol         | Description                              | Subscript | Description               |
|----------------|--|-----------|---------------------------|
| $\alpha_{eq}$  | equilibrium fractionation factor         | a         | Atmospheric vapor         |
| $\alpha_k$     | kinetic fractionation factor             | E         | Evaporation               |
| $\delta$       | Delta notation, stable isotope value (‰) | ET        | Evapotranspiration        |
| $\delta^{18}O$ | Oxygen isotope value (‰)                 | g         | Groundwater               |
| $\delta^2H$    | Hydrogen isotope value (‰)               | l         | Leaf                      |
| $d$            | Deuterium-excess                         | lake      | Lake                      |
| E              | Evaporation                              | m         | Closed leaf chamber vapor |
| ET             | Evapotranspiration                       | p         | Precipitation             |
| $F_T$          | Transpiration/Evapotranspiration         | s         | Soil                      |
| h              | Relative humidity                        | T         | Transpiration             |
| q              | Specific humidity                        | x         | Xylem                     |
| R              | Isotope ratio (e.g., $^{18}O/^{16}O$ )   |           |                           |
| T              | Transpiration                            |           |                           |

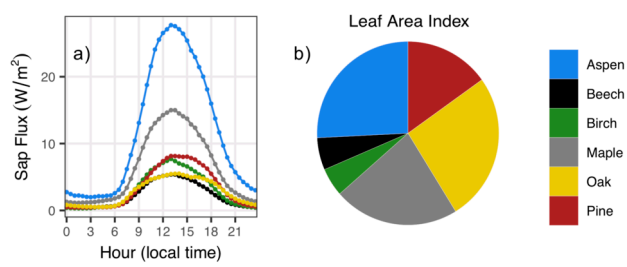
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Table 2. Summary of  $F_T$  methods, species, assumptions, and results.

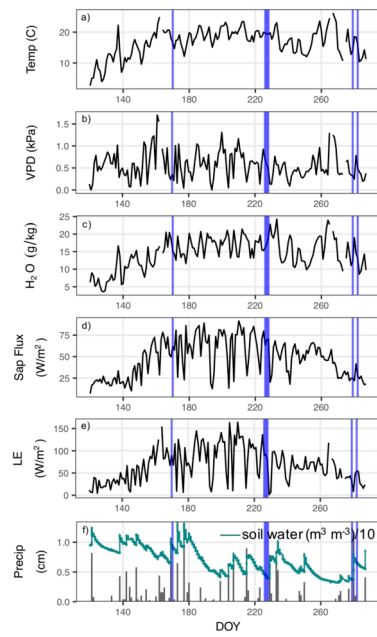
| Method  | Species                               | Assumptions           | $F_T$ Explanation  | $F_T$                                  |
|---|---------------------------------------|-----------------------|--|--|
| $\delta_T$ measurements (non-steady-state)          | Aspen, maple, oak                     |                       | Direct leaf-level measurements of $\delta_T$   | $37 \pm 2\%$                           |
| Source water assumption (steady-state $\delta_T$ )  | Aspen, maple, oak                     | $\delta_x = \delta_T$ | $\delta_T$ scaled to LAI of aspen, maple, oak  | $36 \pm 2\%$                           |
| Aspen, maple, oak ecohydrologic                     | Aspen, maple, oak                     |                       | Sap flux scaled to LAI of aspen, maple, oak  | $43 \pm 9\%$<br>( $40 \pm 7\%$ midday) |
| Precipitation assumption (steady-state $\delta_T$ ) | Aspen, beech, birch, maple, oak, pine | $\delta_p = \delta_T$ | $\delta_T$ scaled to LAI of all non-oak species + $\delta_{x,oak}$ scaled to the LAI of oak* | $53 \pm 3\%$ ,                         |

|                          |                                       |  |                           |                           |
|--------------------------|---------------------------------------|--|---------------------------|---------------------------|
| Plot-level ecohydrologic | Aspen, beech, birch, maple, oak, pine |  | Total plot level sap flux | 65 ± 12% (61 ± 8% midday) |
|--------------------------|---------------------------------------|--|---------------------------|---------------------------|

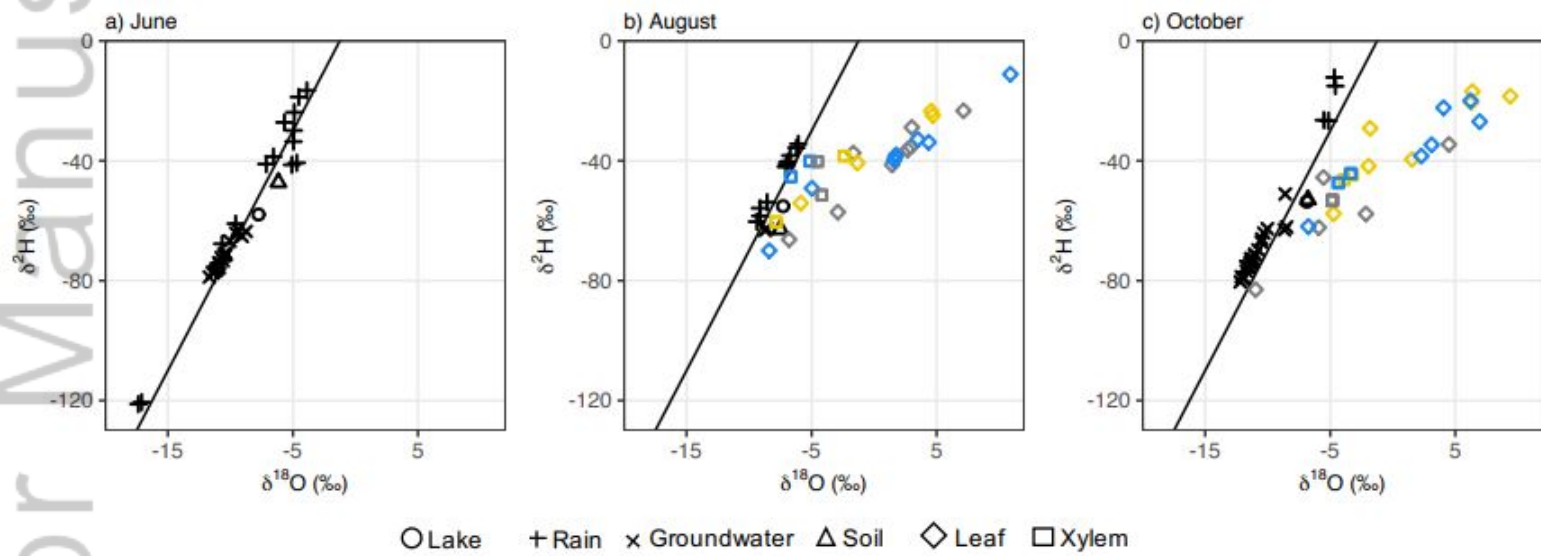
1250 \* Matheny et al. (2017) demonstrated that oak at our study site have a deeper rooting structure  
 1251 and can access soil water that is more depleted in heavy isotopes than other tree species at the  
 1252 site. As a result,  $F_T$  from the precipitation assumption is calculated from the sum of  $\delta_p$  scaled to  
 1253 the LAI of all non-oak species plus  $\delta_{x,oak}$  scaled to the LAI of oak.  
 1254



ECO\_2229\_F1.tiff



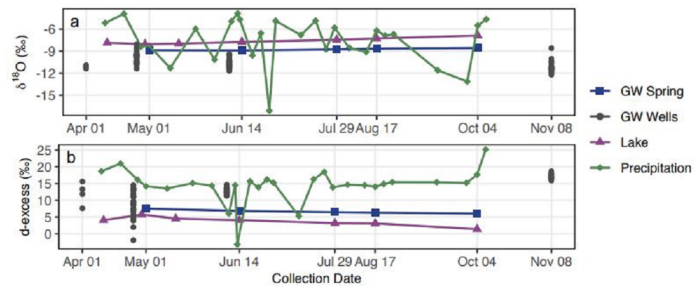
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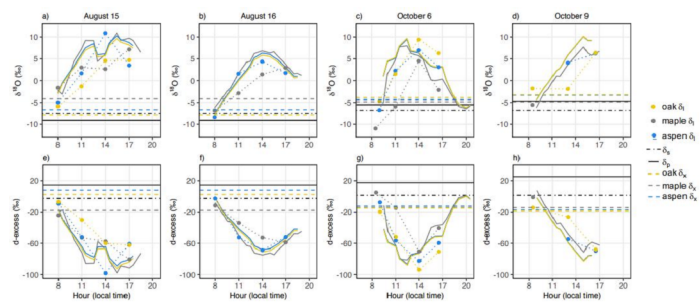
○ Lake    + Rain    × Groundwater    △ Soil    ◇ Leaf    □ Xylem

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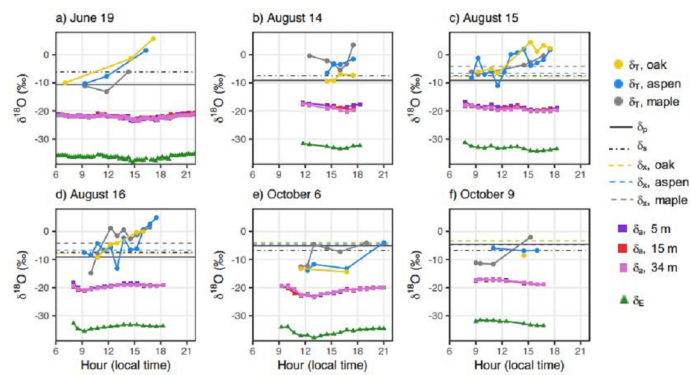




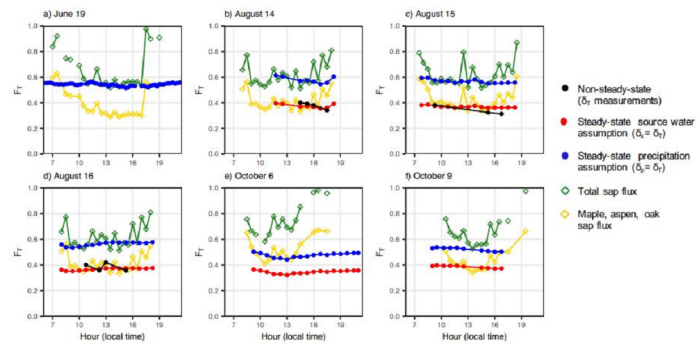
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