

JGR Space Physics

RESEARCH ARTICLE

10.1029/2020JA027871

Key Points:

- We investigate the statistical characteristics of planetary ions derived from MESSENGER FIPS observations in the presence of KH waves
- Large counts in FIPS data and a decelerating signature are observed above 2.0 keV/e for KH events
- KH waves may play a role in the deceleration of planetary ions in the Hermean magnetosphere

Supporting Information:

- · Supporting Information S1
- · Table S1

Correspondence to:

S. Aizawa, sae.aizawa@irap.omp.eu

Citation:

Aizawa, S., Raines, J. M., Delcourt, D., Terada, N., & André, N. (2020). MESSENGER observations of planetary ion characteristics in the vicinity of Kelvin-Helmholtz vortices at Mercury. *Journal of Geophysical Research: Space Physics*, 125, e2020JA027871. https://doi.org/10.1029/2020JA027871

Received 31 JAN 2020 Accepted 23 SEP 2020 Accepted article online 5 OCT 2020

MESSENGER Observations of Planetary Ion Characteristics in the Vicinity of Kelvin-Helmholtz Vortices at Mercury

Sae Aizawa^{1,2}, Jim M. Raines³, Dominique Delcourt⁴, Naoki Terada², and Nicolas André¹

¹IRAP, CNRS-UPS-CNES, Toulouse, France, ²Department of Geophysics, Graduate School of Science, Tohoku University, Sendai, Japan, ³Department of Climate and Space Sciences and Engineering, University of Michigan, Ann Arbor, MI, USA, ⁴LPC2E, Université Orléans-CNRS, Orléans, France

Abstract The MErcury Surface, Space Environment, GEochemistry, and Ranging (MESSENGER) spacecraft regularly observed the magnetospheric flanks of Mercury during its orbital phase. Data from the Magnetometer (MAG) and the Fast Imaging Plasma Spectrometer (FIPS) allow us to investigate the statistical properties of planetary ions (Na⁺) in the presence of Kelvin-Helmholtz (KH) waves at the duskside magnetopause. We collect the data from orbits with clear signatures of KH waves under northward interplanetary magnetic field, as well as from adjacent orbits that do not have KH signatures, and we compare the energy characteristics between the KH and non-KH events. Although low planetary counts in FIPS data make the comparison of these characteristics difficult, we find that in the presence of KH waves: (1) large counts of planetary ions are observed and (2) differences in Na⁺ energy spectra are only seen inside the magnetosphere, where they show a deceleration signature for ions with energies above 2.0 keV/e. These results suggest that planetary ions are not escaping from the magnetosphere and that electric field structures related to KH waves can decelerate planetary ions originating from the magnetotail region. The understanding of the energy distribution of planetary ions in the magnetosphere.

1. Introduction

The well-known magnetohydrodynamic (MHD) Kelvin-Helmholtz (KH) instability is considered as an important phenomenon for the solar wind penetrating planetary magnetospheres when the interplanetary magnetic field (IMF) is parallel to the planetary magnetic field. Generally, it occurs when there is a velocity shear between two adjacent plasma flows and forms a rolled-up KH vortex when fully developed. Around magnetized planets, the magnetopause is a boundary layer (BL) between the solar wind and magnetospheric plasmas with a strong velocity shear and the KH instability is expected to develop even if plasma is collisionless (Hasegawa et al., 2004). The KH vortices around magnetized planets play roles in the transport of mass and momentum of plasma, resulting in mixing two different plasmas (Fujimoto & Terasawa, 1994; Miura, 1984). It can also be a trigger of the magnetic reconnection inside the vortex that leads to plasma mixing across the shear layer (e.g., Nakamura & Fujimoto, 2006; Nykyri & Otto, 2001).

At Earth's magnetopause, there are many observational reports of KH waves provided by various spacecraft (e.g., Chen & Kivelson, 1993; Fujimoto et al., 2003; Hasegawa et al., 2004; Hwang et al., 2011; Mozer et al., 1994; Yan et al., 2014). KH waves at Earth have been observed on both dawn and dusk sides. As for Mercury, several studies reported evidences of KH vortices in MErcury Surface, Space ENvironment, GEochemistry, and Ranging (MESSENGER) data (Gershman et al., 2015; Liljeblad et al., 2014, 2016; Slavin et al., 2008; Sundberg et al., 2011, 2012). Unlike in cases at Earth, KH waves at Mercury have been observed mainly in duskside magnetospheric flanks, and its occurrence is 95% of all observations at Mercury. Many authors have suggested that this strong asymmetry on the KH occurrence at Mercury may be due to the kinetic effect or the lack of large-scale laminar flow on the dawnside (Liljeblad et al., 2014; Paral & Rankin, 2013). In particular, because of the small size of Mercury's magnetosphere compared to that of Earth, the spatial and temporal scale of physical phenomena around Mercury and those of the ion

©2020. American Geophysical Union. All Rights Reserved.

AIZAWA ET AL. 1 of 11



gyration motion can be comparable; thus, the gyration motion of particles and the variation of fields may affect each other. Gershman et al. (2015) reported that the observed frequency of KH waves on the dusk side is modified by the Na⁺ gyrofrequency. Gingell et al. (2015) examined the effect of the gyration motion of planetary sodium ions using hybrid simulations, and they revealed that the gyroresonance between the KH instability and the ion motion leads to a strong asymmetry for the growth of the KH instability between the dawn and dusk sides. In addition, Aizawa et al. (2018) investigated the particle energization in the KH vortex using test-particle tracing technique and MHD simulation and revealed that the planetary ions can be nonadiabatically accelerated/decelerated depending on the energy that the particle experiences during its gyration motion. They suggested that the electric field variations due to the development of the KH instability can be responsible for particle acceleration in the magnetospheric flanks of Mercury.

The present study focuses on the energy distribution of planetary ions in the magnetospheric flanks where the macroscopic instability and microscopic ion kinetics affect each other. We investigate the effect of the presence of KH waves on the acceleration/deceleration of planetary ions. We use the data set from the Fast Imaging Plasma Spectrometer (FIPS) and the Magnetometer (MAG) instruments onboard the MESSENGER spacecraft. In section 2, we first describe the criteria for the data selection and the method of analysis. The results obtained from a case study and a statistical survey are presented in section 3 and discussed in section 4. Section 5 summarizes our conclusions.

2. Data Selection and Analysis

The MESSENGER spacecraft, the first Mercury orbiting spacecraft, was launched in 2004 and stayed in orbit from 18 March 2011 until 30 April 2015. Although MESSENGER payload was mostly dedicated to planetology studies, the plasma environment around Mercury has been investigated using MAG to measure the ambient magnetic field with a time resolution of $20 \, \text{s}^{-1}$ and FIPS to measure the composition of heavy ions with an energy-per-charge range from 50 eV/e up to 13.3 keV/e. FIPS is a time-of-flight plasma mass spectrometer, and it can distinguish H⁺, He⁺, He²⁺, O⁺ group (mass-per-charge 16–20) and Na⁺ group (mass-per-charge 21–30). FIPS measured the planetary ions that are expelled from the exosphere after photoionization. FIPS provided information on Mercury's magnetosphere and surrounding space plasma environment with a time resolution of ~10 s. Since MESSENGER was protected from the harsh conditions close to the Sun using sunshield, the FIPS instrument had a limited field of view (FOV) of only 1.4 π sr with an angular resolution of ~15° (e.g., Gershman et al., 2013; Raines et al., 2013). In this study, the data from both FIPS and MAG in the entire orbital phase of MESSENGER have been analyzed.

Like in previous studies, KH waves were identified using the magnetic field data. Here, we selected all data featuring fluctuations near the duskside magnetopause crossing point. The detailed data selection procedure consists of the following steps:

- 1. In order to restrict the certain frequency of KH waves as previously reported, a band-pass filter is applied for the selection of the oscillation between 0.01 and 0.1 Hz (e.g., Gershman et al., 2015).
- 2. Other oscillations such as flux transfer events (FTE), mirror waves, or magnetopause motions (e.g., Liljeblad et al., 2014) are removed. FTE and other oscillations are checked by visual inspection of the reversals of the *y* component of the magnetic field (e.g., Slavin et al., 2010).
- 3. The periodicity of KH waves is checked by visual inspection.

Once KH events were identified, we record Na⁺ group counts in FIPS data and examine their energy distribution. To build our statistics and compare KH and non-KH cases, we consider for each KH event two preceding and two subsequent orbits of MESSENGER as non-KH events. (Note that some of them may exhibit KH waves. In that case, those events are considered as KH events. Please see the supporting information). Note here that MESSENGER had a 12-hr orbit in the period from 18 March 2011 to 25 April 2012 and an 8-hr orbit in the rest of the orbital phase of the mission and that the azimuthal drift from one orbit to the other is small. We assume that the same region had been observed between KH and non-KH cases.

The energy distribution of planetary ions is derived by summing Na⁺ group ion phase space density (PSD) in time. This indicates that the energy distribution is highly dependent on its time range and total counts in FIPS. In this study, two different normalizations are applied to derive the plasma properties. One is done by using the total PSD value over all energy channels and the other by using the PSD value at the

AIZAWA ET AL. 2 of 11

particular energy of 1.0~keV/e (or nearest energy when FIPS does not have counts at 1.0~keV/e). These two normalizations proved necessary to remove the artifacts in the properties of the Na^+ PSD, namely, differences due just to the ambient density (or flux) of the particles and not differences due to the energization that are the focus of the present study. Note that cases having only one count within a given energy bin for the whole summation period were removed because the data uncertainty becomes automatically 100%. The

error bar in each event is calculated by $\varepsilon = f \frac{\sqrt{N}}{N}$, where f is the PSD of planetary ions and N the FIPS counts. Since the results obtained from our two ways of normalization do not show significant differences, the

Since the results obtained from our two ways of normalization do not show significant differences, the results of the normalization based on the total PSD value will be shown hereafter for simplicity and readability.

In addition, the FIPS clock angle (i.e., the angle between the Y axis in the Mercury-Solar-Orbital [MSO] coordinate system [X is directed from the center of the planet toward the Sun, Z is normal to Mercury's orbital plane, and Y is taken to complete the right hand system] and the FIPS boresight vector) also is an important factor for the Na⁺ PSD behavior. If FIPS has a completely different clock angle between the considered orbit and the adjacent one, detected particles should come from a different region due to the limited FOV, and thus, it makes difficult to compare the plasma properties. Accordingly, the data reported in this study were all recorded within the same clock angle, namely, between 180° and 270° which is the most significant statistical range for our study.

Since some previous studies have already provided a list of identified KH events obtained from MESSENGER observations (Gershman et al., 2015; Sundberg et al., 2012), we first consider the nightside-duskside events (i.e., observed between 18:00 and 21:00 local time [LT]) extracted from their list (hereinafter referred to as Sundberg-Gershman [SG] events). Then we separately analyzed all data obtained during crossings of the nightside-duskside magnetopause observed between 19:00 and 21:00 LT and $\pm 30^{\circ}$ of the latitude from the equator during the whole MESSENGER orbital phase. Note that the southward IMF cases are excluded in this study because it is more difficult to identify KH waves and of its low occurrence at Mercury under that configuration (Liljeblad et al., 2014).

3. Results

3.1. Case Study

Sundberg et al. (2012) and Gershman et al. (2015) analyzed MESSENGER MAG data under northward IMF and identified KH events. They identified 25 KH events in the dayside (between 14:00 and 16:00 LT, not analyzed in this study) and 34 in the nightside (between 18:00 and 21:00 LT). One of them observed on Orbit Number 1179 exhibits a typical KH signature with enough FIPS counts as is illustrated in Figure 1. Here the MSO coordinate system is used. As shown in the trajectory on the left, MESSENGER was moving from the southern hemisphere to the northern hemisphere. On the right, the top panel is the proton energy spec $trogram\ in\ units\ of\ flux\ (s^{-1}\ cm^{-2}\ sr^{-1}\ kV^{-1}),\ each\ vertical\ time\ step\ corresponds\ to\ one\ full\ FIPS\ scan\ over\ a$ period of 10 s. The second panel is the Na⁺ group ions' energy spectrogram in the PSD units (s³ km⁻⁶). The third panel shows the total counts for Na⁺ group ion for each FIPS scan. The remaining four panels are from MAG, showing Bx, By, Bz, and the magnetic field intensity, respectively. Here KH waves can be seen between 14:40 and 14:51 as delineated by two vertical gray lines. In order to compare the properties of the Na⁺ PSD, we divide the region into three regions, that is, 10 min of magnetosheath (MSH) between 14:29 and 14:39, BL where we identify KH waves between 14:40 and 14:51, and 10 min of magnetosphere (MSP) between 14:52 and 15:02, following the identification of the magnetopause crossing at 14:51 (note that his boundary is defined here using the minimum variance analysis [e.g., Sonnerup & Cahill, 1967] and visual inspection). Due to its limited FOV, FIPS does not observe many counts of Na⁺ group ions in the MSH region, while some Na⁺ group ions are detected in the BL and MSP regions.

Orbit Number 1180 is taken as the corresponding non-KH event for a comparison (see Figure 2). Three regions, MSH, BL, and MSP, are defined based on the magnetopause crossing point. BL region has the same duration as in the KH event based on the magnetopause crossing point, and MSH and MSP regions are taken with 10 min of duration in the same manner for the KH case. In the case of Orbit 1180, the MSH, BL, and MSP are between 22:24 and 22:34, 22:35 and 22:46, and 22:46 and 22:56, respectively.

AIZAWA ET AL. 3 of 11

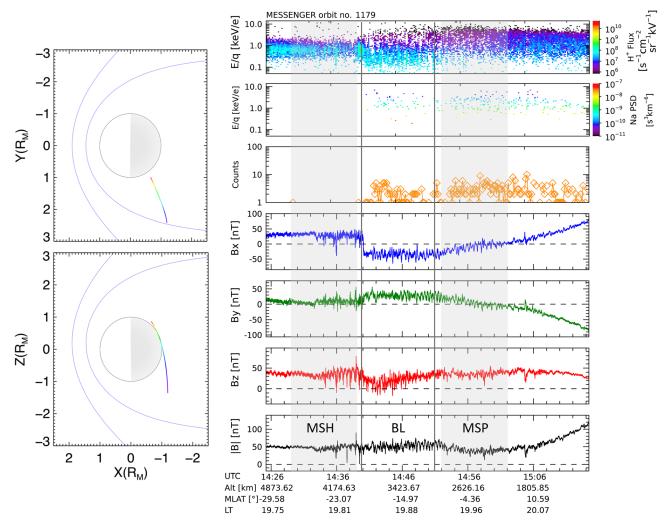


Figure 1. A typical KH signature taken from the SG events, observed during Orbit Number 1179. (right) From top to bottom: proton energy-time spectrogram in the unit of flux, Na⁺ group energy-time spectrogram in the unit of PSD, total Na⁺ group counts, time series of magnetic field component (x, y, and z; in MSO coordinates), and intensity. In the boundary region (BL), KH waves are seen between 14:40 and 14:51 as delineated by vertical gray lines. (left) The trajectory of MESSENGER in the X-Y plane (top) and in the X-Y plane (bottom), in MSO coordinates. Two blue lines represent the model of bowshock and magnetopause by Slavin et al. (2009) and Shue et al. (1997), respectively. The parameters of the bowshock are given by $X_0 = 0.5 R_M$, $p = 2.75 R_M$, and $\varepsilon = 1.04$, and those of the magnetopause are given by $R_{SS} = 1.45 R_M$ and $\alpha = 0.5$ (Winslow et al., 2013). The spacecraft crossed the magnetopause in the southern hemisphere and approached the northern cusp region during the time interval displayed.

The obtained normalized Na⁺ PSDs and count distribution in each region corresponding to the event of Figures 1 and 2 are presented in Figure 3. Colored symbols represent the KH case, and black symbols show the non-KH case. It is clearly seen that top panels of Figure 3 do not show clear differences between the KH and non-KH cases, and the KH case has larger counts in the energy around a few keV. A significant difference here between KH and non-KH events is the total counts of the Na⁺ group ions in FIPS data. For those orbits parameters like the spacecraft location, that is, LT, true anomaly angle (TAA for Mercury), latitude, and the FIPS clock angle are quite similar. In addition, the averaged Bz in MSH region, an important parameter for the growth of the KH instability, is 23.1 nT for the KH and 13.3 nT for the non-KH case; thus, the solar wind conditions can be assumed quite similar. However, there is such a large difference in the Na⁺ group counts despite these two orbits having mostly the same observation conditions. The difference between Na⁺ energy-count distribution between both KH and non-KH events (hereinafter referred to as the Na⁺ group count ratio) is shown in Figure 4. This ratio is obtained from the difference between counts in the KH and non-KH and then divided by the total non-KH counts in each region. Clearly, FIPS detected higher counts when KH waves are present. Also there are significant Na⁺ group counts at the

AIZAWA ET AL. 4 of 11

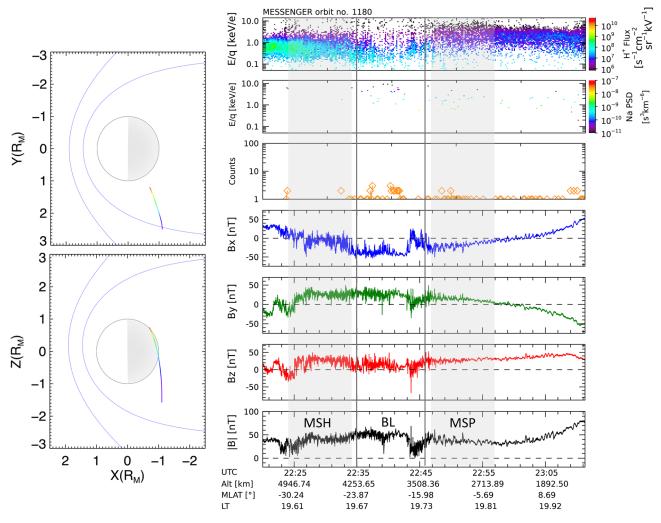


Figure 2. An adjacent non-KH case from Orbit Number 1180. The format of the figure is the same as the one of Figure 1. The spacecraft has a similar trajectory. In the case of Orbit Number 1180, the MSH, BL, and MSP are observed between 22:24 and 22:34, 22:35 and 22:46, and 22:46 and 22:56, respectively, based on the magnetopause crossing identified at 22:46 and the duration of the corresponding region for Orbit Number 1179 (see Figure 1).

lower energy, ~1.0 keV/e, while the counts are very low during non-KH events at this lower energy range. We will investigate later if these features are due to the acceleration or transport of ions by the KH instability. Indeed, picked up planetary ions of exospheric origin with a few eV could be observed around a few keV if they had undergone nonadiabatic acceleration due to the KH development (Aizawa et al., 2018).

3.2. Statistical Survey

Because KH waves are frequently detected and FIPS detected large counts of Na $^+$ group ions in the night-side-duskside of the magnetopause, all data recorded during magnetopause crossings for the entire orbital phase of MESSENGER have been analyzed, in addition to the 33 KH events from the SG events. These 33 KH events were identified using the MAG data from April 2011 and February 2013 and are in the range of local time between 18:00 and 21:00. In this study, we first select all orbits with the magnetopause crossings within $\pm 30^\circ$ of latitude in the nightside (19:00–21:00 LT) events. We selected a smaller range in the local time so that we can discuss the influence only in the nightside magnetosphere. Although the SG events and events from the magnetopause crossings were analyzed separately, the results do not differ. In this section, we combine both cases and analyze them together.

From the SG event, 6 out of the remaining 33 KH events are also removed due to the restricted range of the clock angle applied in the study. The remaining 27 KH cases from the SG events are used in the statistical

AIZAWA ET AL. 5 of 11



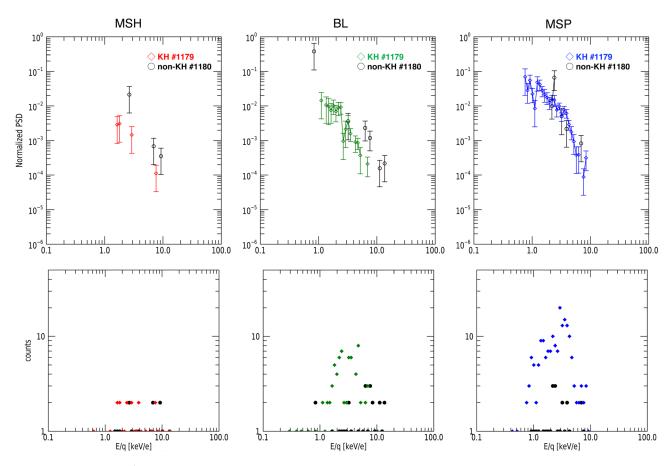


Figure 3. The properties of the Na⁺ PSD (top row) and count distribution (bottom row) observed in each region (MSH, BL, and MSP; see text for details). Colored diamonds are used for the KH case observed during Orbit Number 1179, and black circles for the non-KH case observed during Orbit Number 1180. Error bars are calculated by $\varepsilon = f \frac{\sqrt{N}}{N}$, where f is the phase space density of planetary ions and N the FIPS counts.

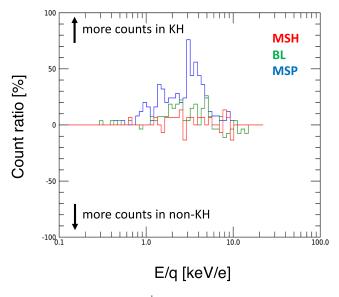


Figure 4. Histogram of the Na⁺ group count ratio between KH (Orbit Number 1179) and non-KH (Orbit Number 1180) events. Positive values indicate that more counts are observed during KH events compared to non-KH ones.

study. From the magnetopause crossing events, applying the criteria mentioned in section 2, we end up with 35 KH events. The breakdown of our 35 KH events is 8 of them in 2012, 12 in 2013, 14 in 2014, and 1 of them in 2015, respectively. After removing all invalid data (such as cases where Na+ group counts are negligible, when the magnetic field points southward in the magnetosheath region, there are cases overlapped with the SG events, and the FIPS clock angle is between 180° and 270°), we obtain 22 KH events. It is worth noting that our selected KH events after 30 March 2013 have never been analyzed before. Combining the 27 KH cases from the SG event and our 22 KH cases, we finally analyze 49 KH events in this statistical study (see tables in the supporting information). As for non-KH cases, we checked all two preceding and two subsequent orbits of MESSENGER as candidates for the corresponding KH event. We check all parameters such as averaged Bz in MSH, counts in FIPS, and FIPS clock angle and confirm whether it is the KH event or not. In principle, each KH case has four corresponding non-KH cases. However, sometimes some of them show also KH waves. As non-KH cases, we have 72 cases in total. Details can be found in the supporting information.

Figure 5 shows the count distribution of Na⁺ group ions in both the magnetosheath and the magnetosphere as identified in section 3 as a function

AIZAWA ET AL. 6 of 11

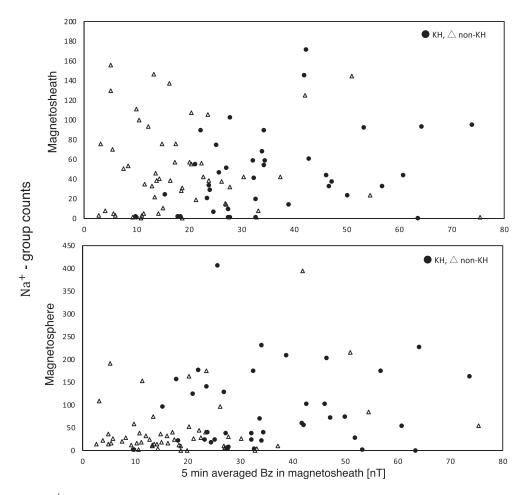


Figure 5. Na⁺ count distribution observed in the magnetosheath (top) and magnetosphere (bottom) as a function of 5-min-averaged *Bz* in the magnetosheath. Black dots are used for KH events, and white triangles for non-KH events.

of the averaged Z component of the magnetosheath magnetic field. We note that KH events have a magnetic field magnitude higher than 10 nT. In the linear theory of the growth of the KH instability, it is well known that the component of the magnetic field parallel to the wavenumber of the KH waves (here Bx and By) inhibits the development of the instability (e.g., Elphic & Ershkovich, 1984). Thus, the occurrence of the KH cases, that is, more cases at stronger Bz, is understandable. On the other hand, Na^+ group counts in the magnetosheath do not show significant differences between the KH and non-KH cases while those in the magnetosphere show the large counts when the KH waves are present. The Na^+ group counts in KH cases do not show any significant dependence on the Bz.

Then, in order to discuss the property of Na⁺ group ions between the KH and non-KH cases, we check the pair of events. Out of 49 KH cases, we finally obtained 16 cases with adjacent non-KH events. The quantitative analysis of the properties of the Na⁺ PSD for these 16 cases is presented in Figure 6. First, we obtained the properties for each paired cases and then took the average of 16 cases. It is stressed here that the shape of the properties should be compared because the PSD value is normalized. In Figure 6, the properties in both the MSH and BL regions do not show significant differences between the KH and non-KH cases while a large difference for the Na⁺ PSD between KH and non-KH events in the MSP region. Although the property in the energy below 0.7 keV is quite similar between the KH and non-KH cases, we see steeper structure when KH waves are present (see blue line in Figure 6). It indicates that the properties of Na⁺ group ions, namely, the distribution of planetary ions, are significantly different among them. Most notably, it can be seen in this figure that, above 2.0 keV/e, planetary ions are decelerated when KH waves are recorded. It should be also mentioned here that there is negligible acceleration around 1 keV. In addition to this quantitative Na⁺

AIZAWA ET AL. 7 of 11

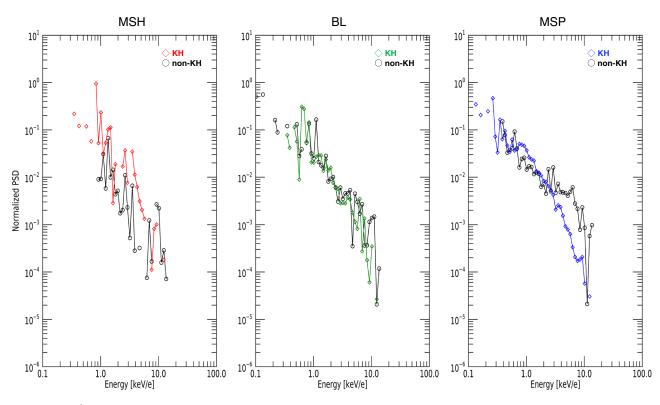


Figure 6. Averaged Na⁺ PSD behaviors and count distribution observed in each region (MSH, BL, and MSP; see text for details) for the 16 paired KH events identified in the text. Colored diamonds are used for KH cases, and black circles for non-KH cases.

PSD behavior, the result of the Na⁺ group counts in FIPS is shown in Figure 7. Although the count ratio can be low due to the fact that we take the average, the histogram of Figure 7 shows again that the counts of Na⁺ group are higher with KH waves.

4. Discussion

Figures 6 and 7 show some differences between the KH and non-KH events that raise the following questions: Why are differences in the properties of Na⁺ PSD observed only in the magnetosphere between KH and non-KH cases? Why the counts are higher in KH and MSP regions during KH events than non-KH events?

First of all, we note that all data considered in this study were obtained in the nightside-duskside region. In that region, we expect two different populations of ions. The first one is composed of ions incoming from the magnetotail, that likely experience energization up to several keV due to nonadiabatic motion in the magnetotail (Delcourt et al., 2003). Because of their large Larmor radii, most of these energetic ions are lost at the magnetopause before gaining access to the dayside magnetosphere. The other one is composed of ions originating directly from the exosphere which does not circulate in the magnetotail. These ions at low energies (approximately a few eV) cannot be observed by FIPS that has a lower limit of 100 eV. In this study, we did not analyze the data in the dayside where the pickup ions are considered as the dominant population because the counts in the FIPS instrument were too low to provide the properties of planetary ions. On the other hand, in the region of our interest, the nightside-duskside magnetospheric flanks, FIPS detected enough counts to provide the information. Thus, ions coming from the magnetotail can be considered as the dominant population. Under that assumption, the large differences in the properties of the Na⁺ PSD observed only in the magnetosphere can be understood if those high-energy ions are somehow decelerated when KH waves are recorded. According to the configuration that the KH instability likely occurs at planets' magnetopause, the Z component of the magnetic field is dominant, and the plasma flows in both the magnetosheath and magnetosphere. In general, the particle acceleration occurs when the field changes rapidly

AIZAWA ET AL. 8 of 11

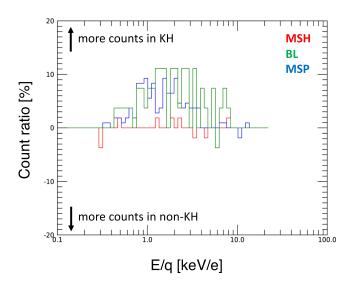


Figure 7. Histogram of the Na⁺ group count ratio between KH and non-KH events for the 16 paired KH events identified in the text. The format of the figure is the same as the one of Figure 4.

in the respect to the ion gyration motion, such as the magnetic reconnection and the relatively small curvature of the magnetic field line. However, in this statistical analysis, we see that the energy distribution of Na⁺ group changed in the sense of deceleration when KH waves are recorded. The most possible mechanism to understand this deceleration is due to the electric field variation occurring during the development of the KH instability. Aizawa et al. (2018) have discussed the effect of the electric field during the growth of the KH instability on the particle acceleration. Due to the Z component of the magnetic field and plasma flows, the electric field calculated by Ohm's law lies in the equatorial plane, and it can nonadiabatically accelerate or decelerate ambient planetary ions depending on both the initial particle energy and the direction between the ion motion and electric field vectors. They show that, when the ion has similar energy as the energy calculated by fields in the magnetosheath, they are possibly decelerated when ions are moving in the opposite direction of the electric field. The solar wind speed for each KH event can be estimated from the FIPS data to be between 360 and 490 km/s, equivalently between 1.5 and 3.0 keV. These values are consistent with the energy range where the different properties of the Na⁺ PSD are observed between KH and non-KH events. For example, if an ion has

3.0 keV in the magnetosphere and moving toward the dayside magnetosphere, its gyration motion has opposite rotation than that of KH vortices. This ion can be decelerated and lose its energy, and it will be the same level as the energy in magnetosheath or less, meaning they could be observed in the energy below 3.0 keV. Therefore, although we could not obtain detailed electric field components from MESSENGER observations, we suggest that the deceleration of ions due to their interactions with the KH vortices can explain the difference observed in the properties of the Na⁺ PSD.

The higher Na^+ counts during KH cases have also been observed in the statistical study. This feature can be explained in two ways. The first is that observed ions were initially picked up ions that were subsequently accelerated by the development of the KH instability. Because of the limited energy range of FIPS, ions with low energy, like just created and escaped from the exosphere (<10 eV), cannot be detected. However, if such ions are energized due to the development of the KH instability, they may have enough energy to be detected

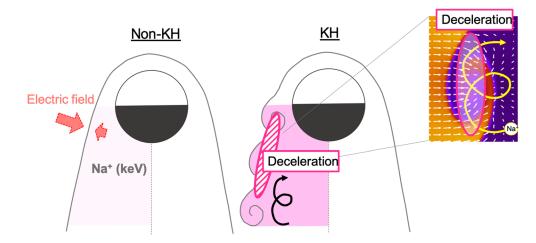


Figure 8. Illustration of the particle behavior in the vicinity of KH vortices. The different tint of pink in the region of interest shows the fact that the higher counts were observed in the KH case. Planetary ions observed in the magnetosphere seem not to escape to the magnetosheath due to the convection electric field shown in the right panel, which is the same for both the KH and non-KH cases. However, the electric field created during the development of the KH instability causes deceleration of ions. Although the zoomed figure on the right is the electric field (white arrows) under a pure northward IMF case, the ion experiences the larger electric field pushing back the ion against its motion, appearing as a deceleration in the Na⁺ PSD signature.

AIZAWA ET AL. 9 of 11



by FIPS and thus can also account for the observed increase in Na⁺counts. In this case, the properties of the Na⁺ PSD are expected to be changed in the sense of acceleration in the energy range around a few keV. However, the figure shows the deceleration signature; thus, it is stressed here that the picked up ions are not a dominant population. The second explanation is that the presence of a significant population of Na⁺ ions at all energies in the magnetosphere may itself enhance the development of the KH instability, as suggested by Fujimoto et al. (2007). In any case, those ions seem not to escape from the magnetosphere and stay inside the magnetosphere. Under the ideal northward IMF, the large convection electric field in the magnetosheath is pointing toward the magnetopause and that in the magnetosphere also points toward the magnetopause. This configuration prevents ions from escaping from the magnetosphere.

5. Summary

Analysis of MESSENGER FIPS data in the magnetospheric flanks under northward IMF reveals two significant differences for the properties of the Na⁺ PSD data between KH and non-KH events: (1) a deceleration signature for ions with energy above 2.0 keV/e in the presence of KH waves and (2) higher counts in FIPS data when the KH waves are observed. The dominant ion population that FIPS observed in the region of this study seems to be ions originating from the magnetotail with the energy a few keV. They are decelerated by the KH structures due to the opposite gyromotion of ions in KH waves electric field, and they stagnate inside the magnetosphere because of the convection electric field (see Figure 8). On the other hand, the very small acceleration signatures around 1 keV/e are observed. Although this is almost negligible, it may be related to the acceleration of picked up ions from the exosphere that is not the dominant population in the region of interest. By comparing the counts of planetary ions in FIPS, we see clear increases for KH events between KH and non-KH events. We suggest that, following their deceleration, these ions stagnate in this region of space after convection from the distant tail due to the electric field.

The understanding of those ions in the magnetospheric flanks is important for a better understanding of plasma convection in the magnetosphere. However, due to the limited instruments of MESSENGER to observe the plasma environment at Mercury, it is difficult to get the electric field data. In order to examine the hypothesis we suggested, further investigation will be required. The BepiColombo mission, the joint project of the European Space Agency and the Japan Aerospace Exploration Agency, has the full package of instruments sophisticated to observe Mercury's plasma environment.

Data Availability Statement

The FIPS and MAG data are available on the Planetary Data System (https://pds.nasa.gov).

References

- Aizawa, S., Delcourt, D., & Terada, N. (2018). Sodium ion dynamics in the magnetospheric flanks of Mercury. *Geophysical Research Letters*, 45, 595–601. https://doi.org/10.1002/2017GL076586
- Chen, S.-H., & Kivelson, M. G. (1993). On nonsinusoidal waves at the Earth's magnetopause. Geophysical Research Letters, 20(23), 2699–2702. https://doi.org/10.1029/93GL02622
- Delcourt, D. C., Grimald, S., Leblanc, F., Berthelier, J.-J., Millilo, A., Mura, A., et al. (2003). A quantitative model of the planetary Na⁺ contribution to Mercury's magnetosphere. *Annales de Geophysique*, 21(8), 1723–1736. https://doi.org/10.5194/angeo-21-1723-2003
- Elphic, R. C., & Ershkovich, A. I. (1984). On the stability of the ionopause of Venus. *Journal of Geophysical Research*, 89(A2), 997–1002. https://doi.org/10.1029/JA089iA02p00997
- Fujimoto, M., Baumjohann, W., Kabin, K., Nakamura, R., Slavin, J. A., Terada, N., & Zelenyi, L. (2007). Hermean magnetosphere-solar wind interaction. Space Science Reviews, 132(2–4), 529–550. https://doi.org/10.1007/s11214-007-9245-8
- Fujimoto, M., & Terasawa, T. (1994). Anomalous ion mixing within an MHD scale Kelvin-Helmholtz vortex. *Journal of Geophysical Research*, 99(A5), 8601–8613. https://doi.org/10.1029/93JA02722
- Fujimoto, M., Tonooka, T., & Mukai, T. (2003). In P. T. Newell & T. Onsager (Eds.), Vortex-like fluctuations in the magnetotail flanks and their possible roles in plasma transport, the Earth's low-latitude boundary layer, Geophysical Monograph Series (Vol. 133, pp. 241–251). Washington, D. C: American Geophysical Union. https://doi.org/10.1029/133GM24
- Gershman, D. J., Raines, J. M., Slavin, J. A., Zurbuchen, T. H., Sundberg, T., Boardsen, S. A., et al. (2015). MESSENGER observations of multiscale Kelvin-Helmholtz vortices at Mercury. *Journal of Geophysical Research: Space Physics*, 120, 4354–4368. https://doi.org/10.1002/2014JA020903
- Gershman, D. J., Slavin, J. A., Raines, J. M., Zurbuchen, T. H., Anderson, B. J., Korth, H., et al. (2013). Magnetic flux pileup and plasma depletion in Mercury's subsolar magnetosheath. *Journal of Geophysical Research: Space Physics*, 118, 7181–7199. https://doi.org/10.1002/ 2013IA019244
- Gingell, P. W., Sundberg, T., & Burgess, D. (2015). The impact of a hot sodium ion population on the growth of the Kelvin-Helmholtz instability in Mercury's magnetotail. *Journal of Geophysical Research: Space Physics*, 120, 5432–5442. https://doi.org/10.1002/2015JA021433

Acknowledgments

The work of S. Aizawa was supported by the International Joint Graduate Program in Earth and Environmental Sciences (GP-EES). French coauthors acknowledge the support of CNES for the BepiColombo mission.

AIZAWA ET AL. 10 of 11



- Hasegawa, H., Fujimoto, M., Phan, T.-D., Reme, H., Balogh, A., Dunlop, M. W., et al. (2004). Transport of solar wind into Earth's magnetosphere through rolled-up Kelvin-Helmholtz vortices. *Nature*, 430(7001), 755–758. https://doi.org/10.1038/nature02799
- Hwang, K.-J., Kuznetsova, M. M., Sahraoui, F., Goldstein, M. L., Lee, E., & Parks, G. K. (2011). Kelvin-Helmholtz waves under southward interplanetary magnetic field. *Journal of Geophysical Research*, 116, A08210. https://doi.org/10.1029/2011JA016596
- Liljeblad, E., Karlsson, T., Sundberg, T., & Kullen, A. (2016). Observations of magnetospheric ULF waves in connection with the Kelvin-Helmholtz instability at Mercury. *Journal of Geophysical Research: Space Physics*, 121, 8576–8588. https://doi.org/10.1002/ 2016JA023015
- Liljeblad, E., Sundberg, T., Karlsson, T., & Kullen, A. (2014). Statistical investigation of Kelvin-Helmholtz waves at the magnetopause of Mercury. Journal of Geophysical Research: Space Physics, 119, 9670–9683. https://doi.org/10.1002/2014JA020614
- Miura, A. (1984). Anomalous transport by magnetohydrodynamic Kelvin-Helmholtz instabilities in the solar wind-magnetospheric interaction. Journal of Geophysical Research, 89(A2), 801–818. https://doi.org/10.1029/JA089iA02p00801
- Mozer, F. S., Hayakawa, H., Kokubun, S., Nakamura, M., Okada, T., Yamamoto, T., & Tsuruda, K. (1994). The morningside low-latitude boundary layer as determined from electric and magnetic field measurements on Geotail. *Geophysical Research Letters*, 21(25), 2983–2986. https://doi.org/10.1029/94GL01296
- Nakamura, T. K. M., & Fujimoto, M. (2006). Magnetic reconnection within MHD-scale Kelvin-Helmholtz vortices triggered by electron inertial effects. *Advances in Space Research*, 37(3), 522–526. https://doi.org/10.1016/j.asr.2005.01.057
- Nykyri, K., & Otto, A. (2001). Plasma transport at the magnetospheric boundary due to reconnection in Kelvin-Helmholtz vortices. Geophysical Research Letters, 28(18), 3565–3568. https://doi.org/10.1029/2001GL013239
- Paral, J., & Rankin, R. (2013). Dawn-dusk asymmetry in the Kelvin-Helmholtz instability at Mercury. *Nature Communications*, 4(1), 1645. https://doi.org/10.1038/Ncomms2676
- Raines, J. M., Gershman, D. J., Zurbuchen, T. H., Sarantos, M., Slavin, J. A., Gilbert, J. A., et al. (2013). Distribution and compositional variations of plasma ions in Mercury's space environment: The first three Mercury years of MESSENGER observations. *Journal of Geophysical Research: Space Physics*, 118, 1604–1619. https://doi.org/10.1029/2012JA018073
- Shue, J.-H., Chao, J. K., Fu, H. C., Russell, C. T., Song, P., Khurana, K. K., & Singer, H. J. (1997). A new functional form to study the solar wind control of the magnetopause size and shape. *Journal of Geophysical Research*, 102(A5), 9497–9511. https://doi.org/10.1029/97IA00196
- Slavin, J. A., Acuña, M. H., Anderson, B. J., Baker, D. N., Benna, M., Gloeckler, G., et al. (2008). Mercury's magnetosphere after MESSENGER's first flyby. Science, 321(5885), 85–89. https://doi.org/10.1126/science.1159040
- Slavin, J. A., Anderson, B. J., Zurbuchen, T. H., Baker, D. N., Krimigis, S. M., Acuña, M. H., et al. (2009). MESSENGER observations of Mercury's magnetosphere during northward IMF. Geophysical Research Letters, 36, L02101. https://doi.org/10.1029/2008GL036158
- Slavin, J. A., Lepping, R. P., Wu, C.-C., Anderson, B. J., Baker, D. N., Benna, M., et al. (2010). MESSENGER observations of large flux transfer events at Mercury. *Geophysical Research Letters*, 37, L02105. https://doi.org/10.1029/2009GL041485
- Sonnerup, B. U., & Cahill, L. J. (1967). Magnetopause structure and attitude from Explorer 12 observations. *Journal of Geophysical Research*, 72(1), 171–183. https://doi.org/10.1029/JZ072i001p00171
- Sundberg, T., Boardsen, S. A., Slavin, J. A., Anderson, B. J., Korth, H., Zurbuchen, T. H., et al. (2012). MESSENGER orbital observations of large-amplitude Kelvin-Helmholtz waves at Mercury's magnetopause. *Journal of Geophysical Research*, 117, A04216. https://doi.org/10.1029/2011JA017268
- Sundberg, T., Boardsen, S. A., Slavin, J. A., Blomberg, L. G., Cumnock, J. A., Solomon, S. C., et al. (2011). Reconstruction of propagating Kelvin-Helmholtz vortices at Mercury's magnetopause. *Planetary and Space Science*, 59(15), 2051–2057. https://doi.org/10.1016/j.pss. 2011.05.008
- Winslow, R. M., Anderson, B. J., Johnson, C. L., Slavin, J. A., Korth, H., Purucker, M. E., et al. (2013). Mercury's magnetopause and bow shock from MESSENGER Magnetometer observations. *Journal of Geophysical Research: Space Physics*, 118, 2213–2227. https://doi.org/ 10.1002/jgra.50237
- Yan, G. Q., Mozer, F. S., Shen, C., Chen, T., Parks, G. K., Cai, C. L., & McFadden, J. P. (2014). Kelvin-Helmholtz vortices observed by THEMIS at the duskside of the magnetopause under southward interplanetary magnetic field. *Geophysical Research Letters*, 41, 4427–4434. https://doi.org/10.1002/2014GL060589

AIZAWA ET AL. 11 of 11