

1 **MESSENGER observations of planetary ion characteristics in the vicinity**
2 **of Kelvin-Helmholtz vortices at Mercury**

3
4 Sae Aizawa [1][2], Jim M. Raines [3], Dominique Delcourt [4], Naoki Terada [2], and
5 Nicolas André [1]

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7 *[1] IRAP, CNRS-UPS-CNES, Toulouse, France*

8 *[2] Department of Geophysics, Graduate School of Science, Tohoku University, Sendai, Japan*

9 *[3] Department of Climate and Space Sciences and Engineering, University of Michigan, Ann Arbor,*
10 *Michigan, USA*

11 *[4] LPC2E, Université Orléans-CNRS, Orléans, France*

12
13 Corresponding author: Sae Aizawa (sae.aizawa@irap.omp.eu)
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16 **Key Points :**

- 17 **1) We investigate the statistical characteristics of planetary ions derived from**
18 **MESSENGER FIPS observations in the presence of KH waves.**
19 **2) Large counts in FIPS data and a decelerating signature are observed above 2.0**
20 **keV/e for KH events.**
21 **3) KH waves may play a role in the deceleration of planetary ions in the Hermean**
22 **magnetosphere.**

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35 **Abstract.** The MErcury Surface, Space ENvironment, Geochemistry, and Ranging
36 (MESSENGER) spacecraft regularly observed the magnetospheric flanks of Mercury during its
37 orbital phase. Data from the Magnetometer (MAG) and the Fast Imaging Plasma Spectrometer
38 (FIPS) allow us to investigate the statistical properties of planetary ions (Na^+) in the presence
39 of Kelvin-Helmholtz (KH) waves at the duskside magnetopause. We collect the data from orbits
40 with clear signatures of KH waves under northward interplanetary magnetic field, as well as
41 from adjacent orbits that do not have KH signatures, and we compare the energy characteristics
42 between the KH and non-KH events. Although low planetary counts in FIPS data make the
43 comparison of these characteristics difficult, we find that in the presence of KH waves: (1) large
44 counts of planetary ions are observed, (2) differences in Na^+ energy spectra are only seen inside
45 the magnetosphere, where they show a deceleration signature for ions with energies above 2.0
46 keV/e. These results suggest that planetary ions are not escaping from the magnetosphere and
47 that electric field structures related to KH waves can decelerate planetary ions originating from
48 the magnetotail region. The understanding of the energy distribution of planetary ions in the
49 magnetospheric flanks of Mercury is important for a better understanding of plasma convection
50 in the magnetosphere.

51

52 **1. Introduction**

53 The well-known magnetohydrodynamic Kelvin-Helmholtz (KH) instability is
54 considered as an important phenomenon for the solar wind penetrating planetary
55 magnetospheres when the interplanetary magnetic field (IMF) is parallel to the planetary
56 magnetic field. Generally, it occurs when there is a velocity shear between two adjacent plasma
57 flows, and forms a rolled-up KH vortex when fully developed. Around magnetized planets, the
58 magnetopause is a boundary layer between the solar wind and magnetospheric plasmas with a
59 strong velocity shear and the KH instability is expected to develop even if plasma is
60 collisionless (Hasegawa et al., 2004). The KH vortices around magnetized planets play roles in
61 the transport of mass and momentum of plasma, resulting in mixing two different plasmas
62 (Miura, 1984; Fujimoto and Terasawa, 1994). It can also be a trigger of the magnetic
63 reconnection inside the vortex that leads to plasma mixing across the shear layer (e.g., Nykyri
64 and Otto, 2001; Nakamura and Fujimoto, 2006).

65 At Earth's magnetopause, there are many observational reports of KH waves provided
66 by various spacecraft (e.g., Chen and Kivelson, 1993; Mozer et al., 1994; Fujimoto et al., 2003;
67 Hasegawa et al., 2004; Hwang et al., 2011; Yan et al., 2014). KH waves at Earth have been
68 observed on both dawn and dusk sides. As for Mercury, several studies reported evidences of
69 KH vortices in MESSENGER data (Slavin et al., 2008; Sundberg et al., 2011, 2012; Gershman
70 et al., 2015; Liljeblad et al., 2014, 2016). Unlike in cases at Earth, KH waves at Mercury have
71 been observed mainly in duskside magnetospheric flanks, and its occurrence is 95% of all
72 observations at Mercury. Many authors have suggested that this strong asymmetry on the KH
73 occurrence at Mercury may be due to the kinetic effect or the lack of large-scale laminar flow
74 on the dawnside (Liljeblad et al., 2014; Paral and Rankin, 2013). In particular, because of the
75 small size of Mercury's magnetosphere compared to that of Earth, the spatial and temporal scale
76 of physical phenomena around Mercury and those of the ion gyration motion can be comparable,
77 thus the gyration motion of particles and the variation of fields may affect each other.
78 Gershman et al. (2015) reported that the observed frequency of KH waves on the dusk side is
79 modified by the Na^+ gyrofrequency. Gingell et al. (2015) examined the effect of the gyration
80 motion of planetary sodium ions using hybrid simulations and they revealed that the
81 gyroresonance between the KH instability and the ion motion leads to a strong asymmetry for
82 the growth of the KH instability between the dawn and dusk sides. In addition, Aizawa et al.
83 (2018) investigated the particle energization in the KH vortex using test-particle tracing
84 technique and MHD simulation, and revealed that the planetary ions can be non-adiabatically
85 accelerated/decelerated depending on the energy that the particle experiences during its

86 gyration motion. They suggested that the electric field variations due to the development of the
87 KH instability can be responsible for particle acceleration in the magnetospheric flanks of
88 Mercury.

89 The present study focuses on the energy distribution of planetary ions in the
90 magnetospheric flanks where the macroscopic instability and microscopic ion kinetics affect
91 each other. We investigate the effect of the presence of KH waves on the
92 acceleration/deceleration of planetary ions. We use the data set from the Fast Imaging Plasma
93 Spectrometer (FIPS) and the Magnetometer (MAG) instruments onboard MESSENGER (The
94 MErcury Surface, Space ENvironment, GEochemistry, and Ranging) spacecraft. In Section 2,
95 we first describe the criteria for the data selection and the method of analysis. The results
96 obtained from a case study and a statistical survey are presented in Section 3 and discussed in
97 Section 4. Section 5 summarizes our conclusions.

98

99 **2. Data selection and Analysis**

100 The MESSENGER spacecraft, the first Mercury orbiting spacecraft, was launched in
101 2004 and stayed in orbit from March 11, 2011 until April 30, 2015. Although MESSENGER
102 payload was mostly dedicated to planetology studies, the plasma environment around Mercury
103 has been investigated using MAG to measure the ambient magnetic field with a time resolution
104 of 20 s^{-1} , and FIPS to measure the composition of heavy ions with an energy-per-charge range
105 from 50 eV/e up to 13.3 keV/e . FIPS is a time-of-flight plasma mass spectrometer and it can
106 distinguish H^+ , He^+ , He^{2+} , O^+ -group (mass-per-charge 16-20), and Na^+ -group (mass-per-
107 charge 21-30). FIPS measured the planetary ions that are expelled from the exosphere after
108 photo-ionization. FIPS provided information on Mercury's magnetosphere and surrounding
109 space plasma environment with a time resolution of $\sim 10 \text{ s}$. Since MESSENGER was protected
110 from the harsh conditions close to the Sun using sunshield, the FIPS instrument had a limited
111 field of view (FOV) of only $1.4 \pi \text{ sr}$ with an angular resolution of $\sim 15^\circ$ (e.g., Raines et al., 2013;
112 Gershman et al., 2013). In this study, the data from both FIPS and MAG in the entire orbital
113 phase of MESSENGER have been analyzed.

114 Like in previous studies, KH waves were identified using the magnetic field data. Here,
115 we selected all data featuring fluctuations near the duskside magnetopause crossing point. The
116 detailed data selection procedure consists of the following steps:

- 117 1) In order to restrict the certain frequency of KH waves as previously reported, a
118 bandpass filter is applied for the selection of the oscillation between 0.01 and 0.1
119 Hz (e.g., Gershman et al., 2015).

120 2) Other oscillations such as flux transfer events (FTE), mirror waves, or
121 magnetopause motions (e.g., Liljeblad et al., 2014) are removed. FTE and other
122 oscillations are checked by visual inspection of the reversals of the y-component of
123 the magnetic field (e.g., Slavin et al., 2010).

124 3) The periodicity of KH waves is checked by visual inspection.

125 Once KH events were identified, we record Na⁺-group counts in FIPS data and examine
126 their energy distribution. To build our statistics and compare KH and non-KH cases, we
127 consider for each KH event two preceding and two subsequent orbits of MESSENGER as non-
128 KH events (Note that some of them may exhibit KH waves. In that case, those events are
129 considered as KH events. Please see the supplementary material). Note here that MESSENGER
130 had a 12-hour orbit in the period from March 11, 2011 to April 25, 2012 and a 8-hours orbit in
131 the rest of the orbital phase of the mission, and that the azimuthal drift from one orbit to the
132 other is small. We assume that the same region had been observed between KH and non-KH
133 cases.

134 The energy distribution of planetary ions is derived by summing Na⁺-group ion phase
135 space density (PSD) in time. This indicates that the energy distribution is highly dependent on
136 its time range and total counts in FIPS. In this study, two different normalizations are applied
137 to derive the plasma properties. One is done by using the total PSD value over all energy
138 channels, and the other by using the PSD value at the particular energy of 1.0 keV/e (or nearest
139 energy when FIPS does not have counts at 1.0 keV/e). These two normalizations proved
140 necessary to remove the artefacts in the properties of the Na⁺ PSD, viz., differences due just to
141 the ambient density (or flux) of the particles and not differences due to the energization that are
142 the focus of the present study. Note that cases having only one count within a given energy bin
143 for the whole summation period were removed because the data uncertainty becomes
144 automatically 100%. The error bar in each event is calculated by $\varepsilon = f \frac{\sqrt{N}}{N}$ where f is the phase
145 space density of planetary ions and N the FIPS counts. Since the results obtained from our two
146 ways of normalization do not show significant differences, the results of the normalization
147 based on the total PSD value will be shown hereafter for simplicity and readability.

148 In addition, the FIPS clock angle (i.e., the angle between the Y-axis in the Mercury-
149 Solar-Orbital (MSO) coordinate system (X is directed from the center of the planet toward the
150 Sun, Z is normal to Mercury's orbital plane, and Y is taken to complete the right hand system)
151 and the FIPS boresight vector) also is an important factor for the Na⁺ PSD behavior. If FIPS
152 has a completely different clock angle between the considered orbit and the adjacent one,

153 detected particles should come from a different region due to the limited FOV and thus it makes
154 difficult to compare the plasma properties. Accordingly, the data reported in this study were
155 all recorded within the same clock angle, viz., between 180 – 270° which is the most significant
156 statistical range for our study.

157 Since some previous studies have already provided a list of identified KH events
158 obtained from MESSENGER observations (Sundberg et al., 2012; Gershman et al., 2015), we
159 first consider the nightside-duskside events (i.e., observed between 18:00 and 21:00 of Local
160 Time (LT)) extracted from their list (hereinafter referred to as Sundberg-Gershman (SG) events).
161 Then we separately analyzed all data obtained during crossings of the nightside-duskside
162 magnetopause observed between 19:00 and 21:00 of LT ~~to~~, and +/- 30° of the latitude from the
163 equator during the whole MESSENGER orbital phase. Note that the southward IMF cases are
164 excluded in this study because it is more difficult to identify KH waves and of its low occurrence
165 at Mercury under that configuration (Liljeblad et al., 2014)

166

167 3. Results

168 3.1 Case study

169 Sundberg et al. (2012) and Gershman et al. (2015) analyzed MESSENGER MAG data
170 under northward IMF and identified KH events. They identified 25 KH events in the dayside
171 (between 14:00 and 16:00 LT, not analyzed in this study) and 34 in the nightside (between
172 18:00 and 21:00 LT). One of them observed on orbit number 1179 exhibits a typical KH
173 signature with enough FIPS counts as is illustrated in Figure 1. Here the MSO coordinate system
174 is used. As shown in the trajectory on the left, MESSENGER was moving from the southern
175 hemisphere to the northern one. On the right, the top panel is the proton energy spectrogram in
176 units of flux ($\text{s}^{-1}\text{cm}^{-2}\text{sr}^{-1}\text{kV}^{-1}$), each vertical time step corresponds to one full FIPS scan
177 over a period of 10 s. The second panel is the Na^+ -group ions' energy spectrogram in the PSD
178 units (s^3km^{-6}). The third panel shows the total counts for Na^+ -group ion for each FIPS scan.
179 The remaining 4 panels are from MAG, showing B_x , B_y , B_z and the magnetic field intensity,
180 respectively. Here KH waves can be seen between 14:40 – 14:51 as delineated by two vertical
181 gray lines. In order to compare the properties of the Na^+ PSD, we divide the region into three
182 regions, i.e., 10 min of magnetosheath (MSH) between 14:29 and 14:39, boundary layer (BL)
183 where we identify KH waves between 14:40 and 14:51, and 10 min of magnetosphere (MSP)
184 between 14:52 and 15:02, following the identification of the magnetopause crossing at 14:51
185 (note that his boundary is defined here using the minimum variance analysis (e.g., Sonnerup
186 and Cahill, 1967) and visual inspection). Due to its limited FOV, FIPS does not observe many

187 counts of Na⁺-group ions in the MSH region, while some Na⁺-group ions are detected in the
188 BL and MSP regions.

189 Orbit number 1180 is taken as the corresponding non-KH event for a comparison (see
190 Figure 2). Three regions, MSH, BL, and MSP, are defined based on the magnetopause crossing
191 point. BL region has the same duration as in the KH event based on the magnetopause crossing
192 point, and MSH and MSP regions are taken with 10 min of duration in the same manner for the
193 KH case. In the case of orbit 1180, the MSH, BL, and MSP are between 22:24 and 22:34, 22:35
194 and 22:46, 22:46 and 22:56, respectively.

195 The obtained normalized Na⁺ PSDs and count distribution in each region corresponding
196 to the event of Figure 1 and Figure 2 are presented in Figure 3. Colored symbols represent the
197 KH case and black symbols show the non-KH case. It is clearly seen that top panels of Figure
198 3 do not show clear differences between the KH and non-KH cases, and the KH case has larger
199 counts in the energy around a few keV. A significant difference here between KH and non-KH
200 events is the total counts of the Na⁺-group ions in FIPS data. For those orbits parameters like
201 the spacecraft location, i.e., LT, true anomaly angle (TAA for Mercury), latitude, and the FIPS
202 clock angle are quite similar. In addition, the averaged B_z in MSH region, an important
203 parameter for the growth of the KH instability, is 23.1 nT for the KH and 13.3 nT for the non-
204 KH case, thus the solar wind conditions can be assumed quite similar. However, there is such
205 a large difference in the Na⁺-group counts despite these two orbits having mostly the same
206 observation conditions. The difference between Na⁺ energy-count distribution between both
207 KH and non-KH events (hereinafter referred to as the Na⁺-group count ratio) is shown in Figure
208 4. This ratio is obtained from the difference between counts in the KH and non-KH, and then
209 divided by the total non-KH counts in each region. Clearly, FIPS detected a higher counts when
210 KH waves are present. Also there are significant Na⁺-group counts at the lower energy, ~ 1.0
211 keV/e, while the counts are very low during non-KH events at this lower energy range. We will
212 investigate later if these features are due to the acceleration or transport of ions by the KH
213 instability. Indeed, picked up planetary ions of exospheric origin with a few eV could be
214 observed around a few keV if they had undergone non-adiabatic acceleration due to the KH
215 development (Aizawa et al., 2018).

216

217 **3.2 Statistical survey**

218 Because KH waves are frequently detected and FIPS detected large counts of Na⁺-
219 group ions in the nightside-duskside of the magnetopause, all data recorded during
220 magnetopause crossings for the entire orbital phase of MESSENGER have been analyzed, in

221 addition to the 33 KH events from the SG events. These 33 KH events were identified using
222 the MAG data from April 2011 and February 2013, and are in the range of local time between
223 18:00 and 21:00. In this study, we first select all orbits with the magnetopause crossings within
224 +/- 30 degrees of latitude in the nightside (19:00 – 21:00 LT) events. We selected a smaller
225 range in the local time so that we can discuss the influence only in the nightside magnetosphere.
226 Although the SG events and events from the magnetopause crossings were analyzed separately,
227 the results do not differ. In this section, we combine both cases and analyze them together.

228 From the SG event, 6 out of the remaining 33 KH events are also removed due to the
229 restricted range of the clock angle applied in the study. The remaining 27 KH cases from the
230 SG events are used in the statistical study. From the magnetopause crossing events, applying
231 the criteria mentioned in Section 2, we end up with 35 KH events. The breakdown of our 35
232 KH events is: 8 of them in 2012, 12 in 2013, 14 in 2014, and 1 of them in 2015, respectively.
233 After removing all invalid data (such as cases where Na⁺-group counts are negligible, when the
234 magnetic field points southward in the magnetosheath region, there are cases overlapped with
235 the SG events, and the FIPS clock angle is between 180 – 270°), we obtain 22 KH events. It is
236 worth noting that our selected KH events after March 30, 2013 have never been analyzed
237 before. Combining the 27 KH cases from the SG event and our 22 KH cases, we finally analyse
238 49 KH events in this statistical study (see tables in the supplementary material). As for non-KH
239 cases, we checked all two preceding and two subsequent orbits of MESSENGER as candidates
240 for the corresponding KH event. We check all parameters such as averaged Bz in MSH, counts
241 in FIPS, and FIPS clock angle and confirm whether it is the KH event or not. In principle, each
242 KH case has 4 corresponding non-KH cases. However, sometimes some of them show also KH
243 waves. As non-KH cases, we have 72 cases in total. Details can be found in the supplementary
244 material.

245 Figure 5 shows the count distribution of Na⁺-group ions in both the magnetosheath and
246 the magnetosphere as identified in Section 3 as a function of the averaged Z-component of the
247 magnetosheath magnetic field. We note that KH events have a magnetic field magnitude higher
248 than 10 nT. In the linear theory of the growth of the KH instability, it is well known that the
249 component of the magnetic field parallel to the wavenumber of the KH waves (here Bx and By)
250 inhibits the development of the instability (e.g., Elphic and Ershkovich, 1987). Thus, the
251 occurrence of the KH cases, i.e., more cases at stronger Bz, is understandable. On the other
252 hand, Na⁺ -group counts in the magnetosheath do not show significant differences between the
253 KH and non-KH cases while those in the magnetosphere show the large counts when the KH

254 waves are present. The Na^+ -group counts in KH cases do not show any significant dependence
255 on the Bz.

256 Then, in order to discuss the property of Na^+ -group ions between the KH and non-KH
257 cases, we check the pair of events. Out of 49 KH cases, we finally obtained 16 cases with
258 adjacent non-KH events. The quantitative analysis of the properties of the Na^+ PSD for these
259 16 cases is presented in Figure 6. First we obtained the properties for each paired cases, and
260 then took the average of 16 cases. It is stressed here that the shape of the properties should be
261 compared because the PSD value is normalized. In Figure 6, the properties in both the MSH
262 and BL regions do not show significant differences between the KH and non-KH cases while
263 a large difference for the Na^+ PSD between KH and non-KH events in the MSP region.
264 Although the property in the energy below 0.7 keV is quite similar between the KH and non-
265 KH cases, we see steeper structure when KH waves are present (see blue line in Figure 6). It
266 indicates that the properties of Na^+ -group ions, viz., the distribution of planetary ions, are
267 significantly different among them. Most notably it can be seen in this figure that, above 2.0
268 keV/e, planetary ions are decelerated when KH waves are recorded. It should be also mentioned
269 here that there is negligible acceleration around 1 keV. In addition to this quantitative Na^+ PSD
270 behavior, the result of the Na^+ -group counts in FIPS is shown in Figure 7. Although the count
271 ratio can be low due to the fact that we take the average, the histogram of Figure 7 shows again
272 that the counts of Na^+ -group are higher with KH waves.

273

274 **4. Discussion**

275 Figures 6 and 7 show some differences between the KH and non-KH events that raise
276 the following questions: Why are differences in the properties of Na^+ PSD observed only in the
277 magnetosphere between KH and non-KH cases? Why the counts are higher in KH and MSP
278 regions during KH events than non-KH events?

279 First of all, we note that all data considered in this study were obtained in the nightside-
280 duskside region. In that region, we expect two different populations of ions. The first one is
281 composed of ions incoming from the magnetotail, that likely experience energization up to
282 several keV due to non-adiabatic motion in the magnetotail (Delcourt et al., 2003). Because of
283 their large Larmor radii, most of these energetic ions are lost at the magnetopause before gaining
284 access to the dayside magnetosphere. The other one is composed of ions originating directly
285 from the exosphere which does not circulate in the magnetotail. These ions at low energies (~
286 a few eV) cannot be observed by FIPS that has a lower limit of 100 eV. In this study, we did

287 not analyze the data in the dayside where the pickup ions are considered as the dominant
288 population because the counts in the FIPS instrument were too low to provide the properties of
289 planetary ions. On the other hand, in the region of our interest, the nightside-dusk
290 magnetospheric flanks, FIPS detected enough counts to provide the information. Thus, ions
291 coming from the magnetotail can be considered as the dominant population. Under that
292 assumption, the large differences in the properties of the Na⁺ PSD observed only in the
293 magnetosphere can be understood if those high-energy ions are somehow decelerated when KH
294 waves are recorded. According to the configuration that the KH instability likely occurs at
295 planets' magnetopause, the Z-component of the magnetic field is dominant, and the plasma
296 flows in both the magnetosheath and magnetosphere. In general, the particle acceleration occurs
297 when the field changes rapidly in the respect to the ion gyration motion, such as the magnetic
298 reconnection and the relatively small curvature of the magnetic field line. However, in this
299 statistical analysis, we see that the energy distribution of Na⁺-group changed in the sense of
300 deceleration when KH waves are recorded. The most possible mechanism to understand this
301 deceleration is due to the electric field variation occurring during the development of the KH
302 instability. Aizawa et al., (2018) has discussed the effect of the electric field during the growth
303 of the KH instability on the particle acceleration. Due to the Z-component of the magnetic field
304 and plasma flows, the electric field calculated by Ohm's law lies in the equatorial plane and it
305 can non-adiabatically accelerate or decelerate ambient planetary ions depending on both the
306 initial particle energy and the direction between the ion motion and electric field vectors. They
307 show that, when the ion has similar energy as the energy calculated by fields in the
308 magnetosheath they are possibly decelerated when ions are moving in the opposite direction of
309 the electric field. The solar wind speed for each KH event can be estimated from the FIPS data
310 to be between 360 to 490 km/s, equivalently between 1.5 keV and 3.0 keV. These values are
311 consistent with the energy range where the different properties of the Na⁺ PSD are observed
312 between KH and non-KH events. For example, if an ion has 3.0 keV in the magnetosphere and
313 moving towards the dayside magnetosphere, its gyration motion has opposite rotation than that
314 of KH vortices. This ion can be decelerated and lose its energy and it will be the same level as
315 the energy in magnetosheath or less, meaning they could be observed in the energy below 3.0
316 keV. Therefore, although we could not obtain detailed electric field components from
317 MESSENGER observations, we suggest that the deceleration of ions due to their interactions
318 with the KH vortices can explain the difference observed in the properties of the Na⁺ PSD.

319 The higher Na⁺ counts during KH cases have also been observed in the statistical study.
320 This feature can be explained in two ways. The first is that observed ions were initially picked

321 up ions that were subsequently accelerated by the development of the KH instability. Because
322 of the limited energy range of FIPS, ions with low energy, like just created and escaped from
323 the exosphere (< 10 eV), cannot be detected. However, if such ions are energized due to the
324 development of the KH instability, they may have enough energy to be detected by FIPS and
325 thus can also account for the observed increase in Na^+ counts. In this case, the properties of the
326 Na^+ PSD are expected to be changed in the sense of acceleration in the energy range around a
327 few keV. However, the figure shows the deceleration signature thus, it is stressed here that the
328 picked up ions are not a dominant population. The second explanation is that the presence of a
329 significant population of Na^+ ions at all energies in the magnetosphere may itself enhance the
330 development of the KH instability, as suggested by Fujimoto et al. (2007). In any case, those
331 ions seem not to escape from the magnetosphere and stay inside the magnetosphere. Under the
332 ideal northward IMF, the large convection electric field in the magnetosheath is pointing toward
333 the magnetopause and that in the magnetosphere also points toward the magnetopause. This
334 configuration prevents ions from escaping from the magnetosphere.

335

336

337 **5. Summary**

338 Analysis of MESSENGER FIPS data in the magnetospheric flanks under northward
339 IMF reveals two significant differences for the properties of the Na^+ PSD data between KH
340 and non-KH events: (1) a deceleration signature for ions with energy above 2.0 keV/e in the
341 presence of KH waves, and (2) higher counts in FIPS data when the KH waves are observed.
342 The dominant ion population that FIPS observed in the region of this study seems to be ions
343 originating from the magnetotail with the energy a few keV. They are decelerated by the KH
344 structures due to the opposite gyromotion of ions in KH waves electric field, and they stagnate
345 inside the magnetosphere because of the convection electric field (see Figure 8). On the other
346 hand, the very small acceleration signatures around 1 keV/e are observed. Although this is
347 almost negligible, it may be related to the acceleration of picked up ions from the exosphere
348 that is not the dominant population in the region of interest. By comparing the counts of
349 planetary ions in FIPS, we see clear increases for KH events between KH and non-KH events.
350 We suggest that, following their deceleration, these ions stagnate in this region of space after
351 convection from the distant tail due to the electric field.

352 The understanding of those ions in the magnetospheric flanks is important for a better
353 understanding of plasma convection in the magnetosphere. However, due to the limited
354 instruments of MESSENGER to observe the plasma environment at Mercury, it is difficult to

355 get the electric field data. In order to examine the hypothesis we suggested, ~~the~~ further
356 investigation will be required. The BepiColombo mission, the joint project of the European
357 Space Agency and the Japan Aerospace Exploration Agency, has the full package of
358 instruments sophisticated to observe Mercury's plasma environment.

359

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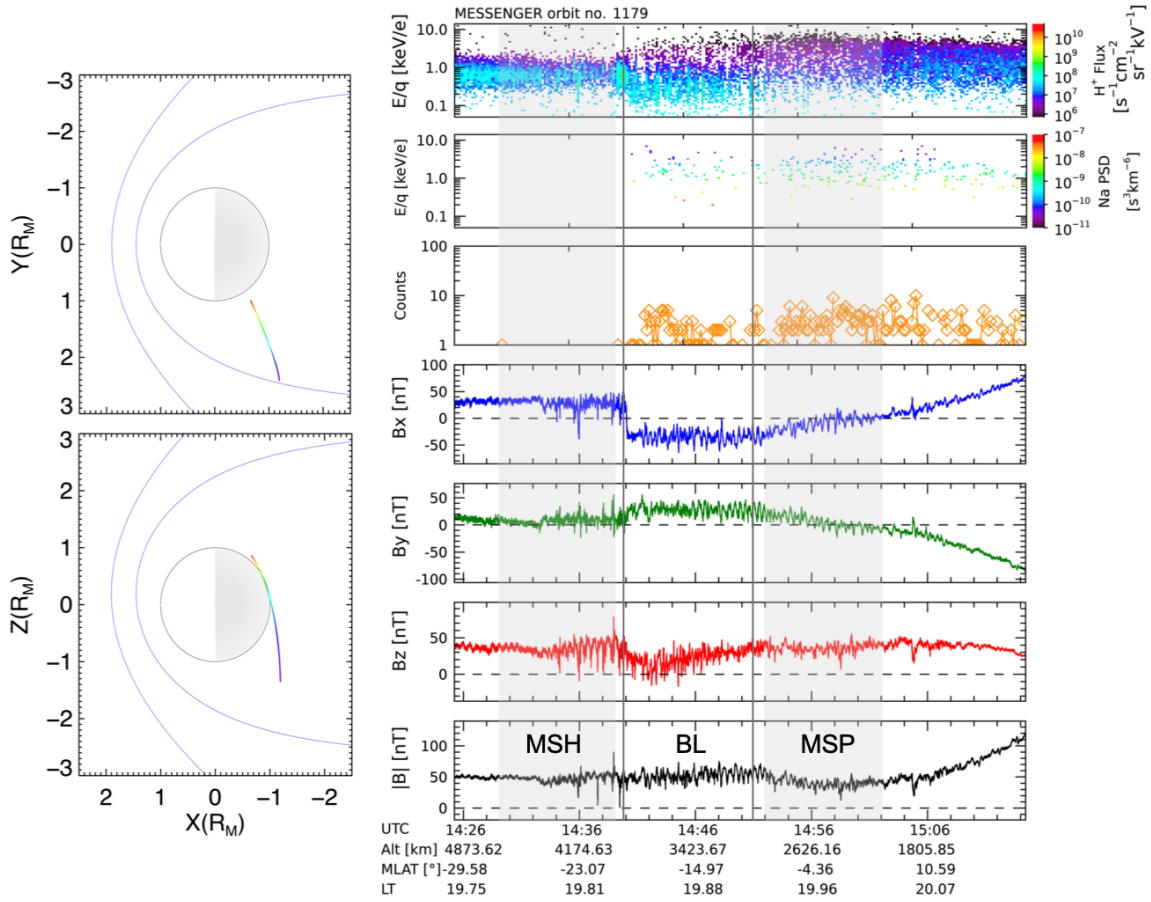
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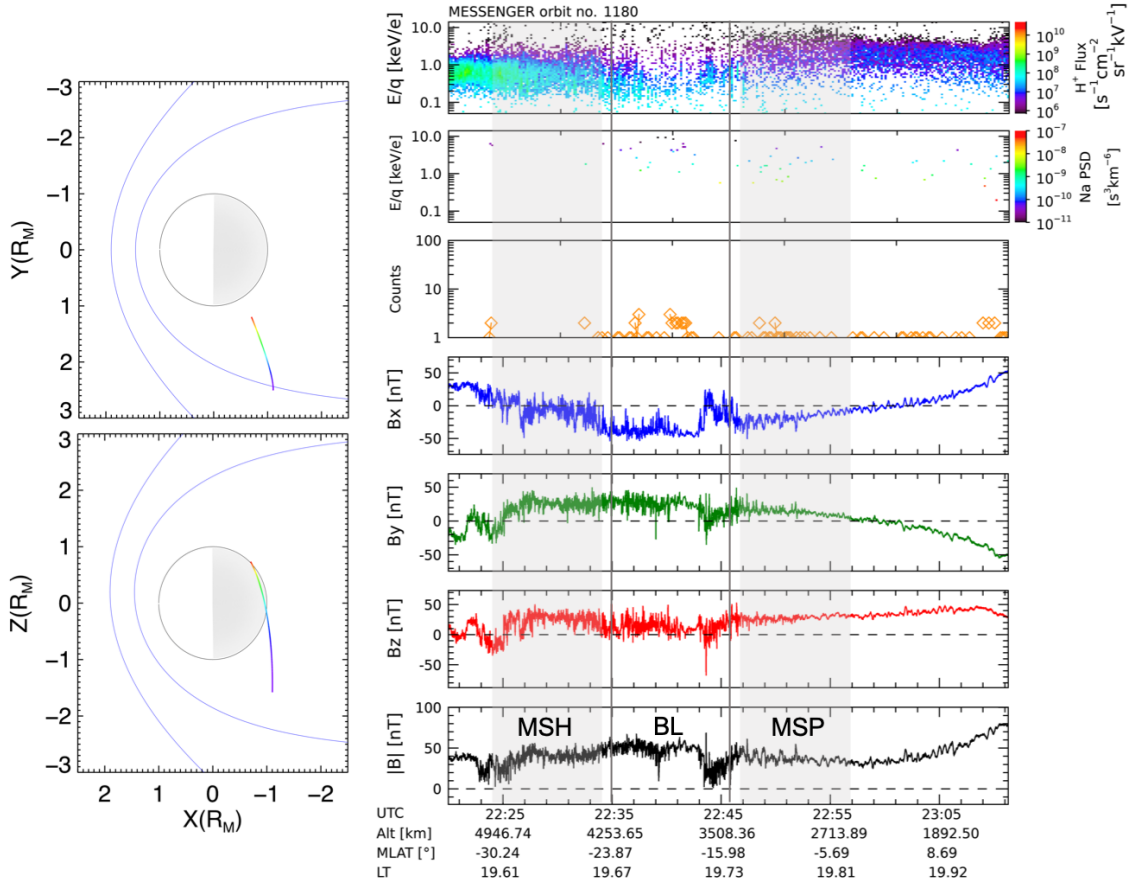
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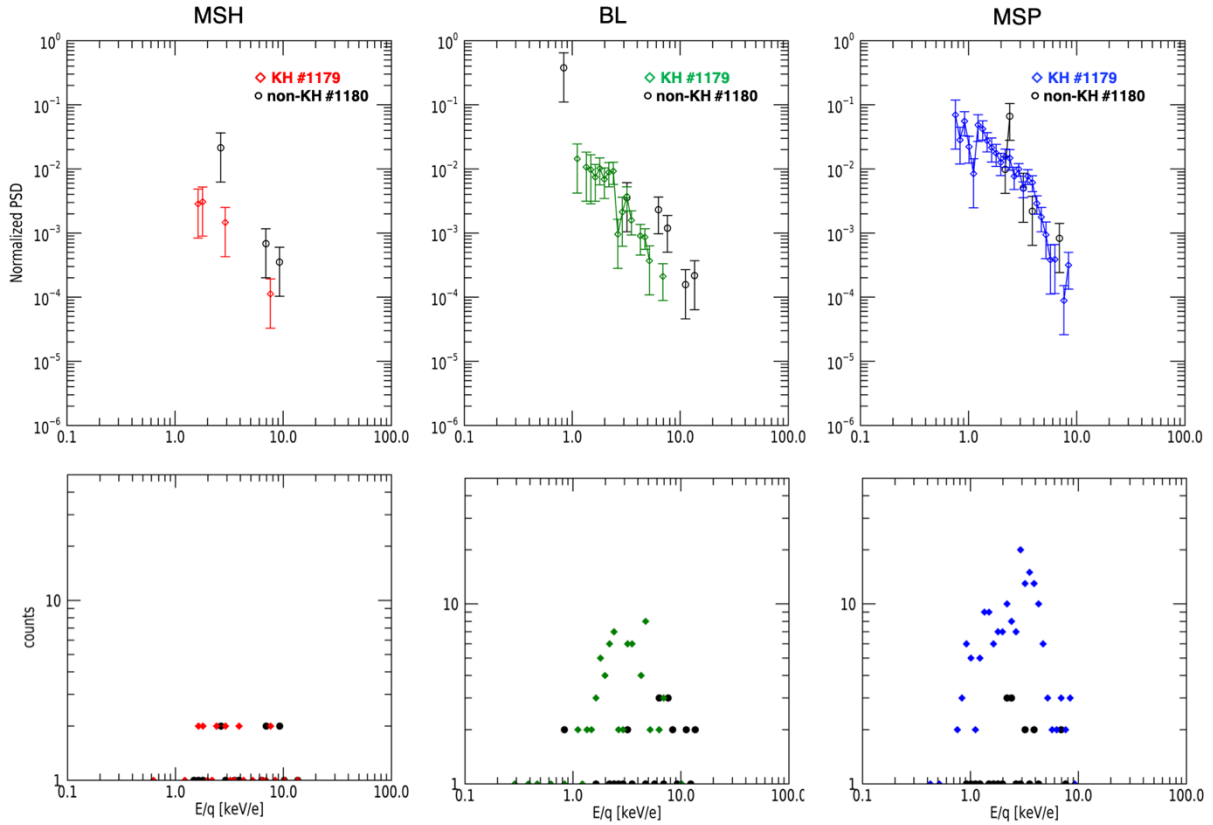
Fig 1. A typical KH signature taken from the SG events, observed during orbit number 1179. (Right) From top to bottom: proton energy-time spectrogram in the unit of flux, Na⁺ -group energy-time spectrogram in the unit of PSD, total Na⁺ -group counts, time series of magnetic field component (x, y, z; in MSO coordinates) and intensity. In the boundary region (BL), KH waves are seen between 14:40 and 14:51 as delineated by vertical gray lines. (Left) the trajectory of MESSENGER in the X-Y plane (top) and in the X-Z plane (bottom), in MSO coordinates. Two blue lines represent the model of bowshock and magnetopause by Slavin et al. (2009) and Shue et al. (1997), respectively. The parameters of the bowshock are given by $X_0 = 0.5 R_M$, $p = 2.75 R_M$, and $\varepsilon = 1.04$ and those of the magnetopause are given by $R_{SS} = 1.45 R_M$ and $\alpha = 0.5$ (Winslow et al., 2013). The spacecraft crossed the magnetopause in the southern hemisphere and approached the northern cusp region during the time interval displayed.

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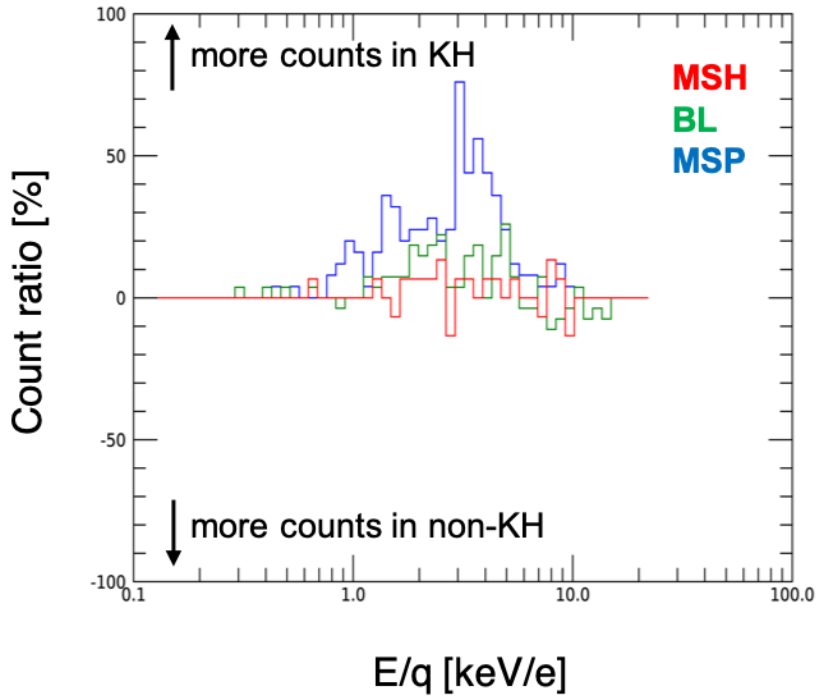
Fig 2. An adjacent non-KH case from orbit number 1180. The format of the Figure is the same as the one of Figure 1. The spacecraft has a similar trajectory. In the case of orbit number 1180, the MSH, BL, and MSP are observed between 22:24 and 22:34, 22:35 and 22:46, 22:46 and 22:56, respectively, based on the magnetopause crossing identified at 22:46 and the duration of the corresponding region for orbit number 1179 (see Figure 1).



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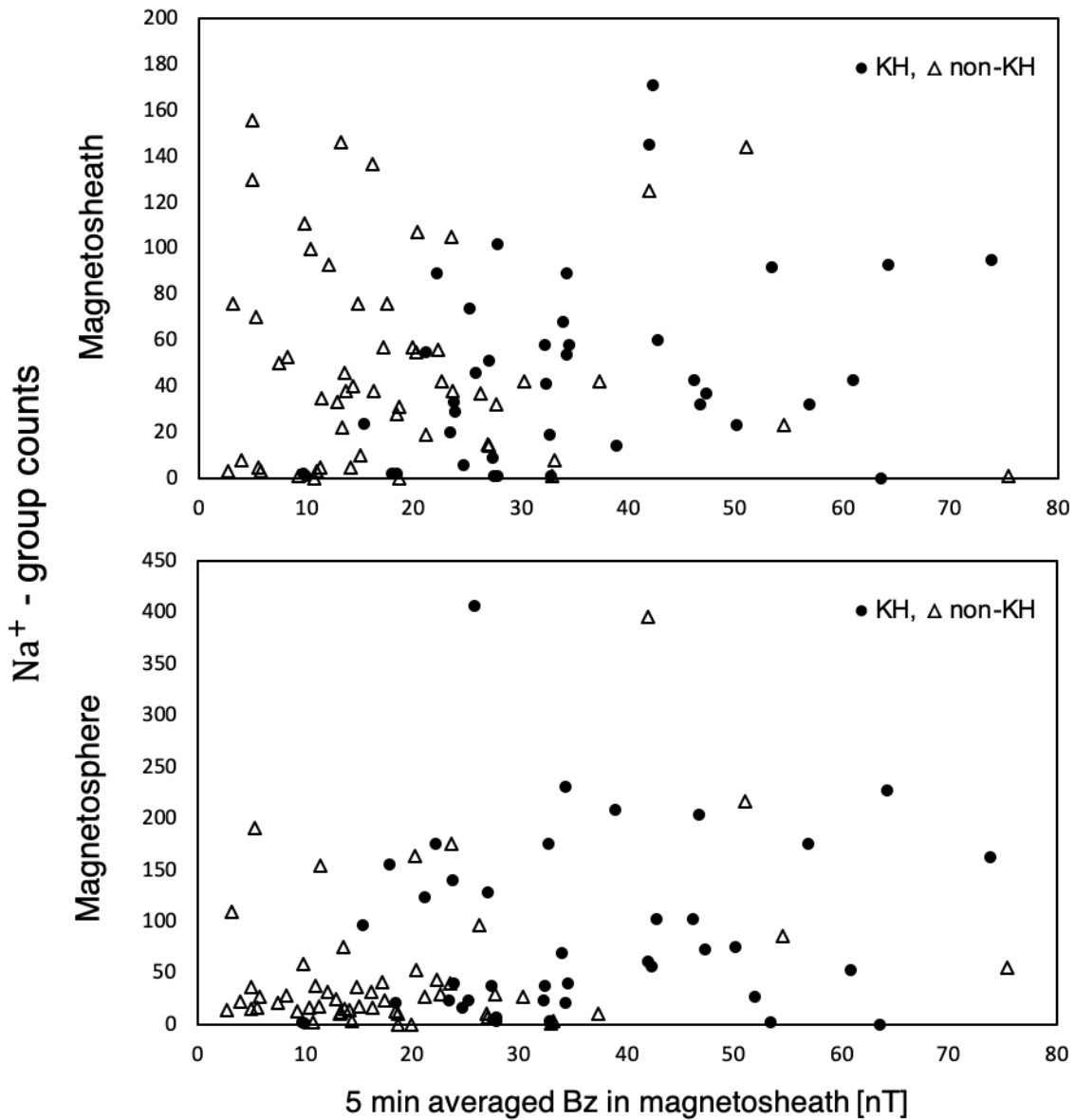
Fig 3. The properties of the Na⁺ PSD (top) and count distribution (bottom) observed in each region (MSH, BL, and MSP; see text for details). Colored diamonds are used for the KH case observed during orbit number 1179 and black circles for the non-KH case observed during orbit number 1180. Error bars are calculated by $\varepsilon = f \frac{\sqrt{N}}{N}$ where f is the phase space density of planetary ions and N the FIPS counts.

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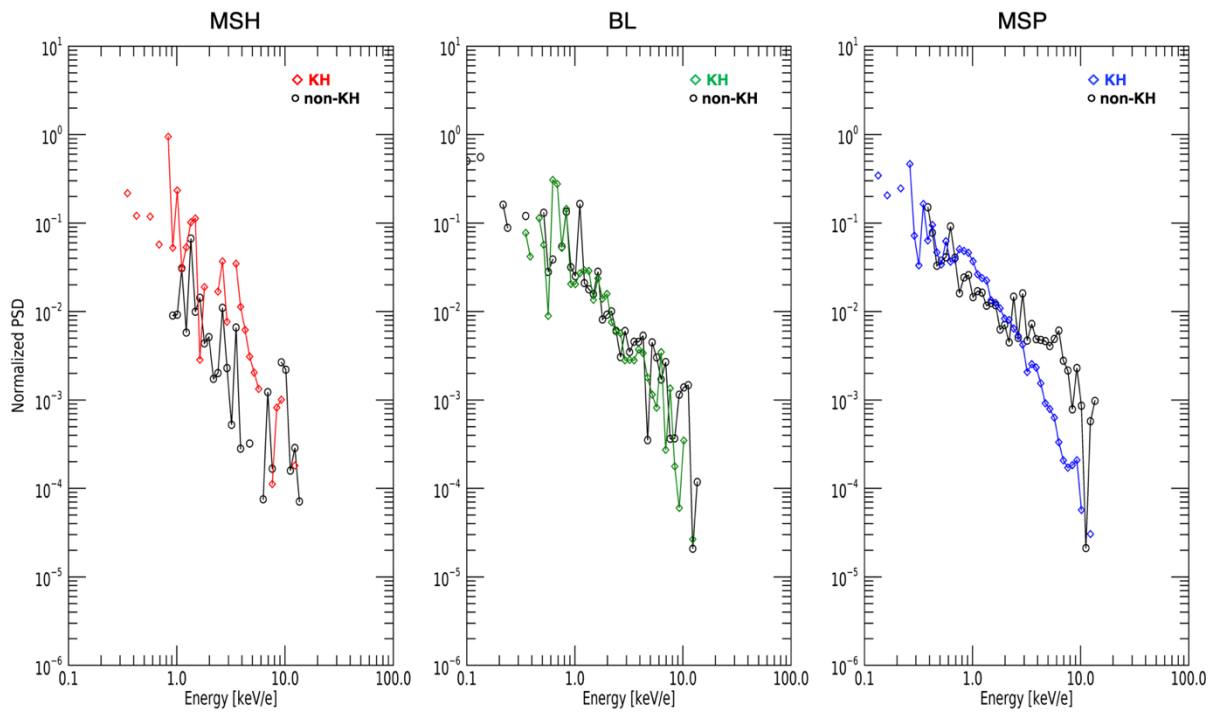
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Fig 4. Histogram of the Na^+ -group count ratio between KH (orbit number 1179) and non-KH (orbit number 1180) events. Positive values indicate that more counts are observed during KH events compared to non-KH ones.



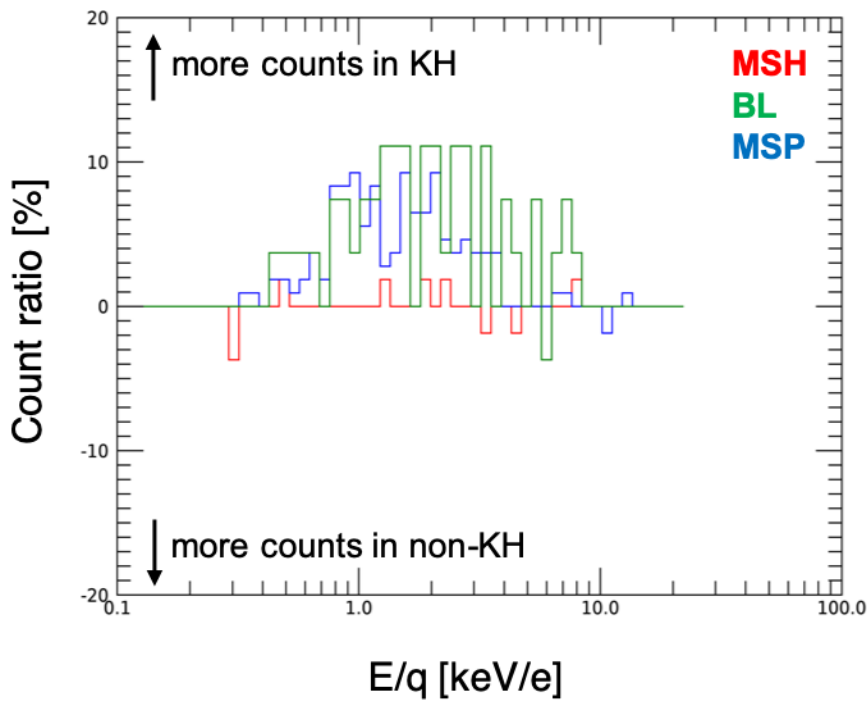
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Fig 5. Na⁺ count distribution observed in the magnetosheath (top) and magnetosphere (bottom) as a function of 5-min averaged Bz in the magnetosheath. Black dots are used for KH events and white triangles for non-KH events.



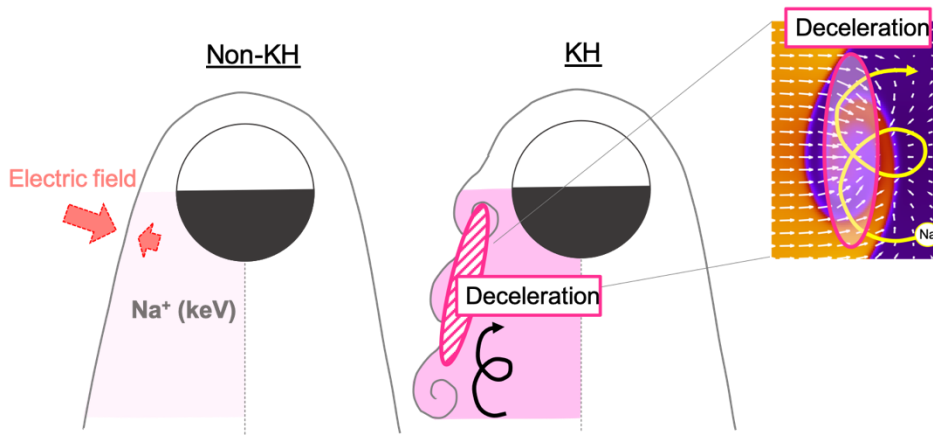
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Figure 6. Averaged Na^+ PSD behaviors and count distribution observed in each region (MSH, BL, MSP; see text for details) for the 16 paired KH events identified in the text. Colored diamonds are used for KH cases and black circles for non-KH cases.



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Figure 7. Histogram of the Na⁺ -group count ratio between KH and non-KH events for the 16 paired KH events identified in the text. The format of the Figure is the same as the one of Figure 4.



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Figure 8. Illustration of the particle behavior in the vicinity of KH vortices. The different tint of pink in the region of interest shows the fact that the higher counts were observed in the KH case. Planetary ions observed in the magnetosphere seem not to escape to the magnetosheath due to the convection electric field shown in the right panel, which is the same for both the KH and non-KH cases. However, the electric field created during the development of the KH instability causes deceleration of ions. Although the zoomed figure on the right is the electric field (white arrows) under a pure northward IMF case, the ion experiences the larger electric field pushing back the ion against its motion, appearing as a deceleration in the Na^+ PSD signature.

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Figure1.

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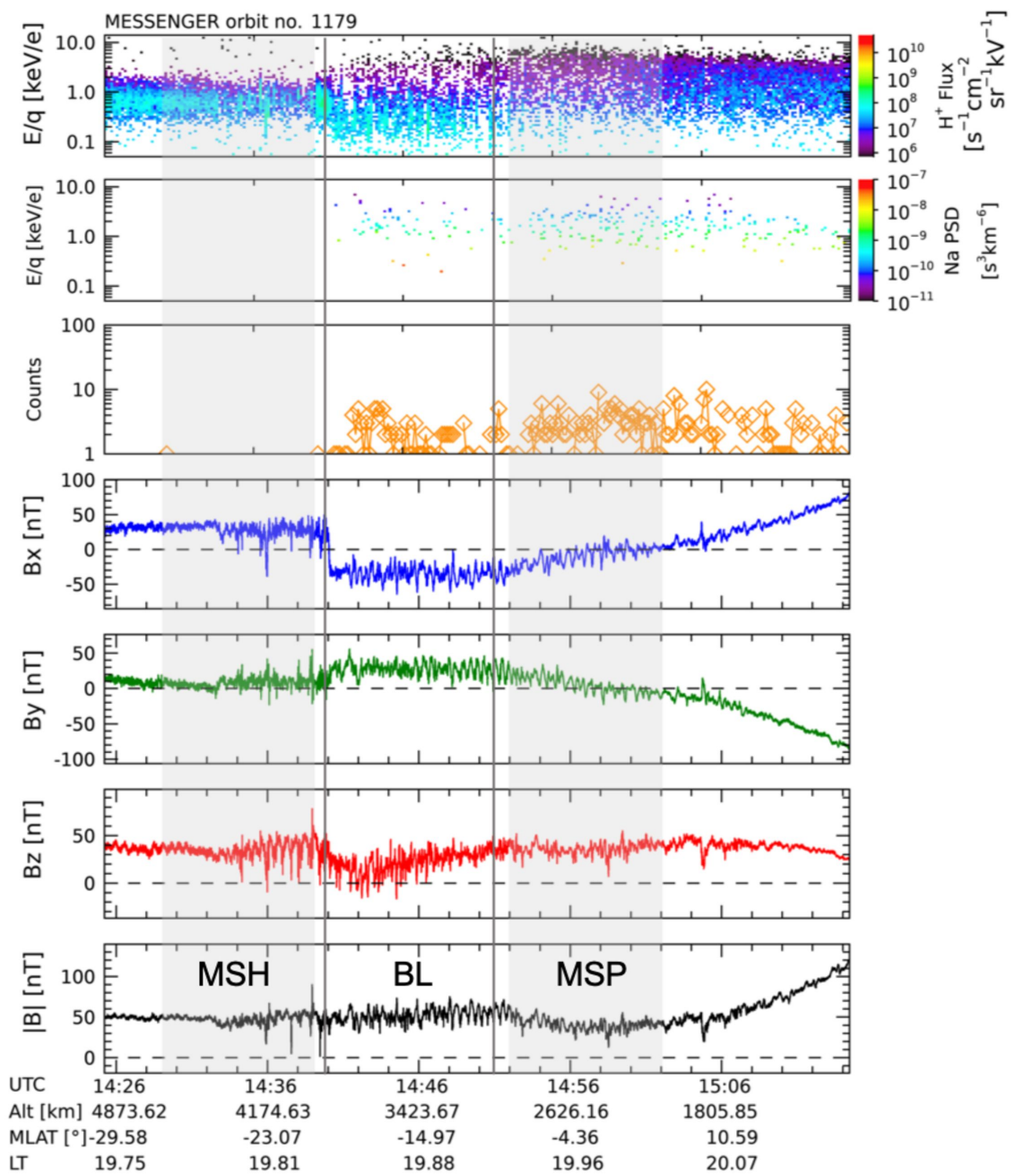
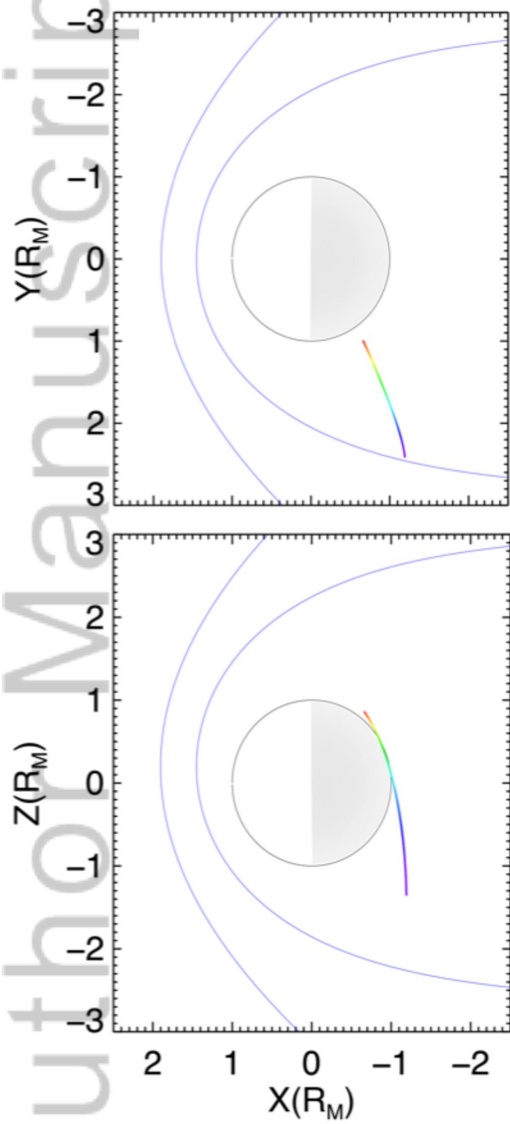


Figure2.

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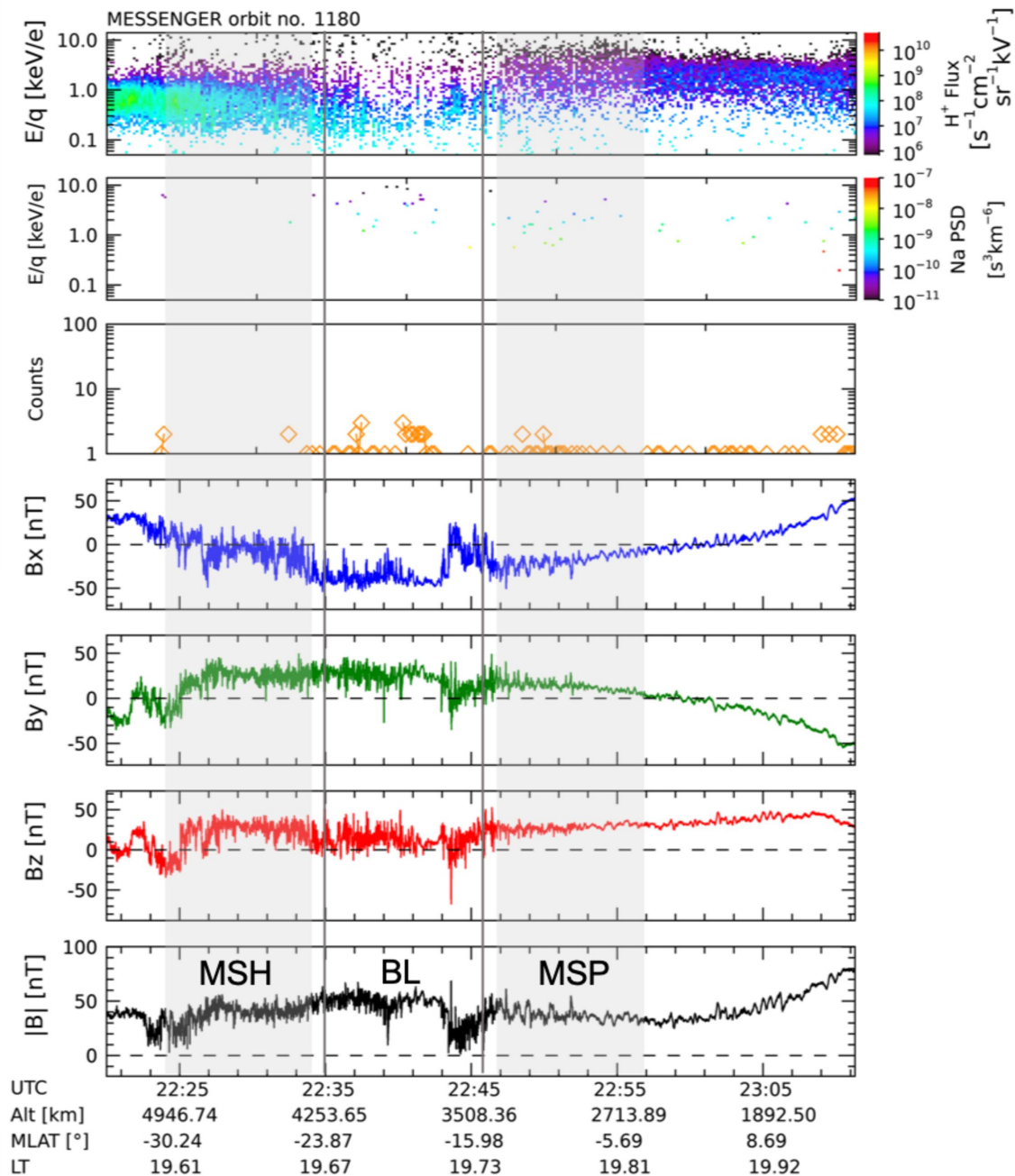
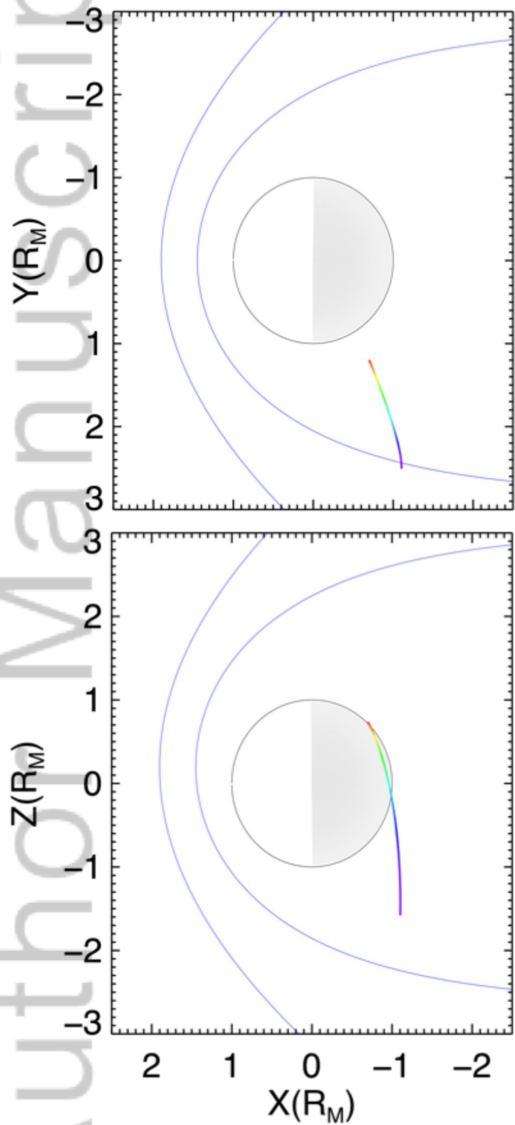


Figure3.

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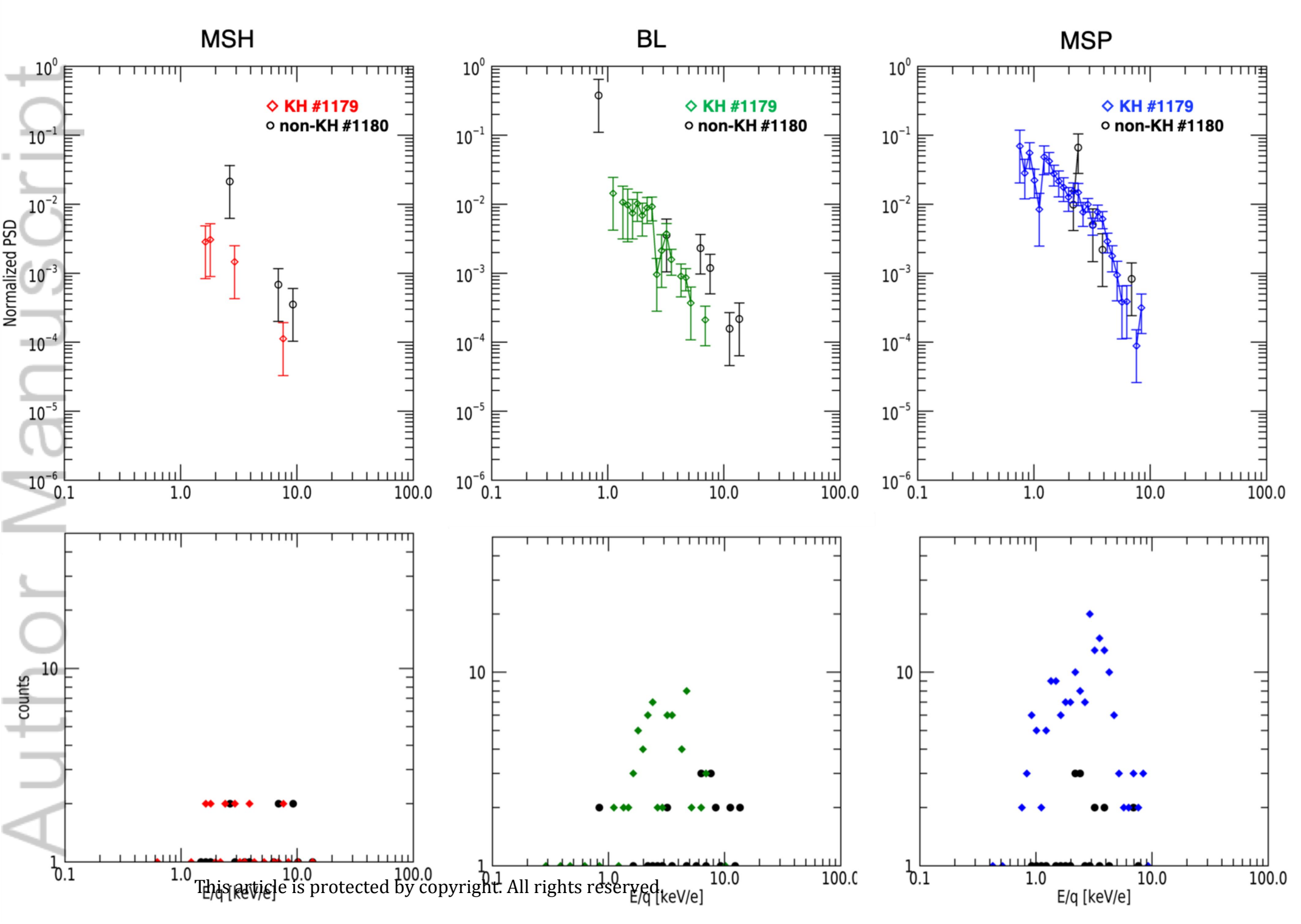


Figure4.

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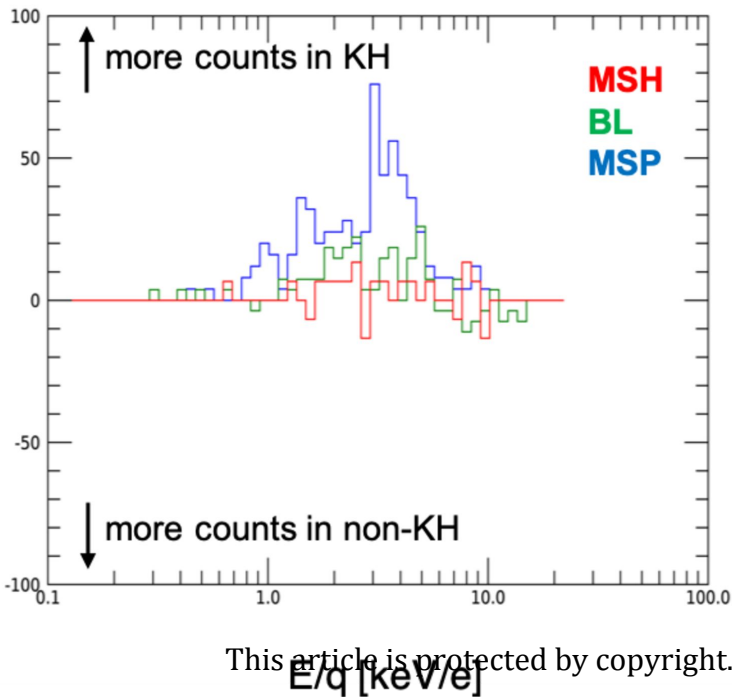


Figure5.

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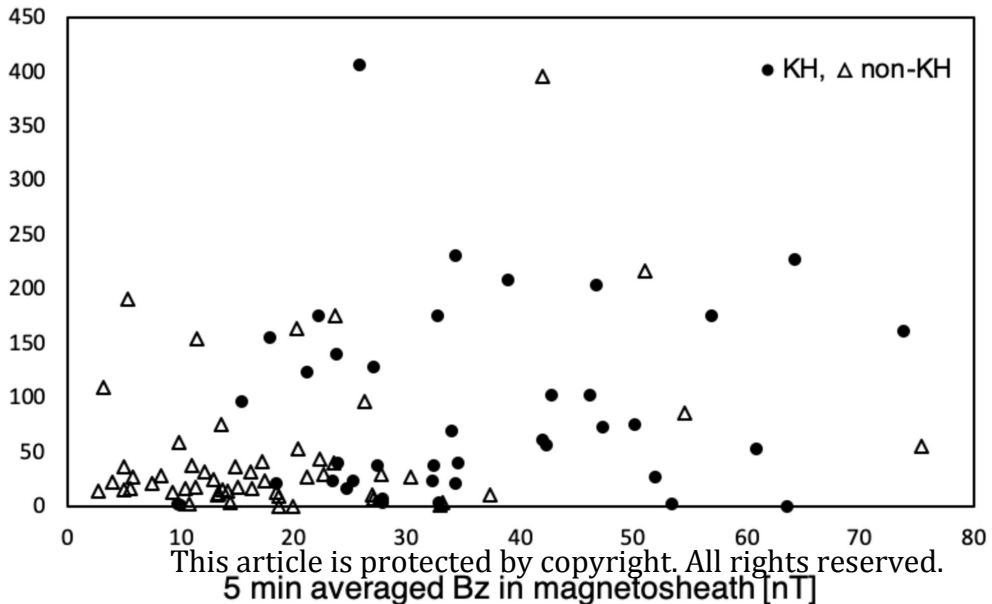
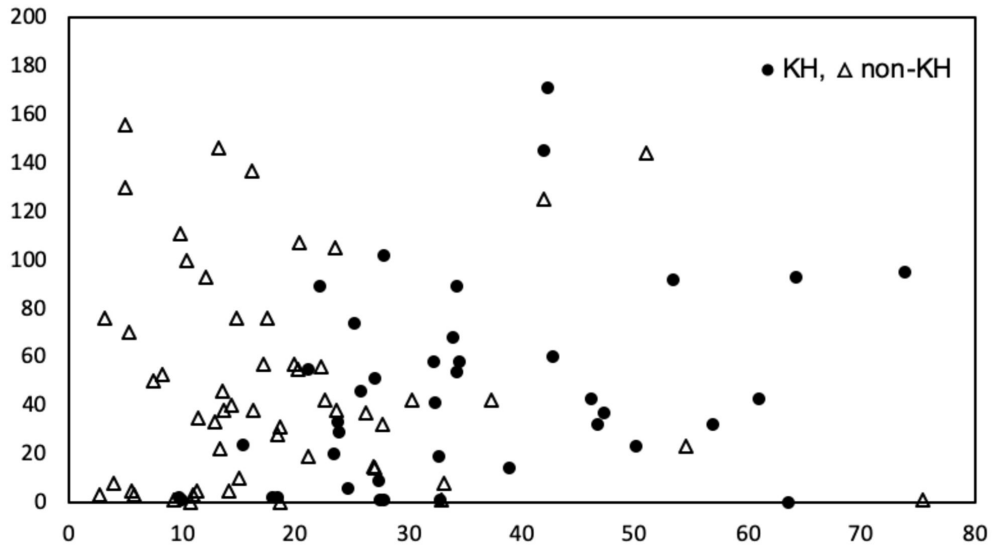


Figure6.

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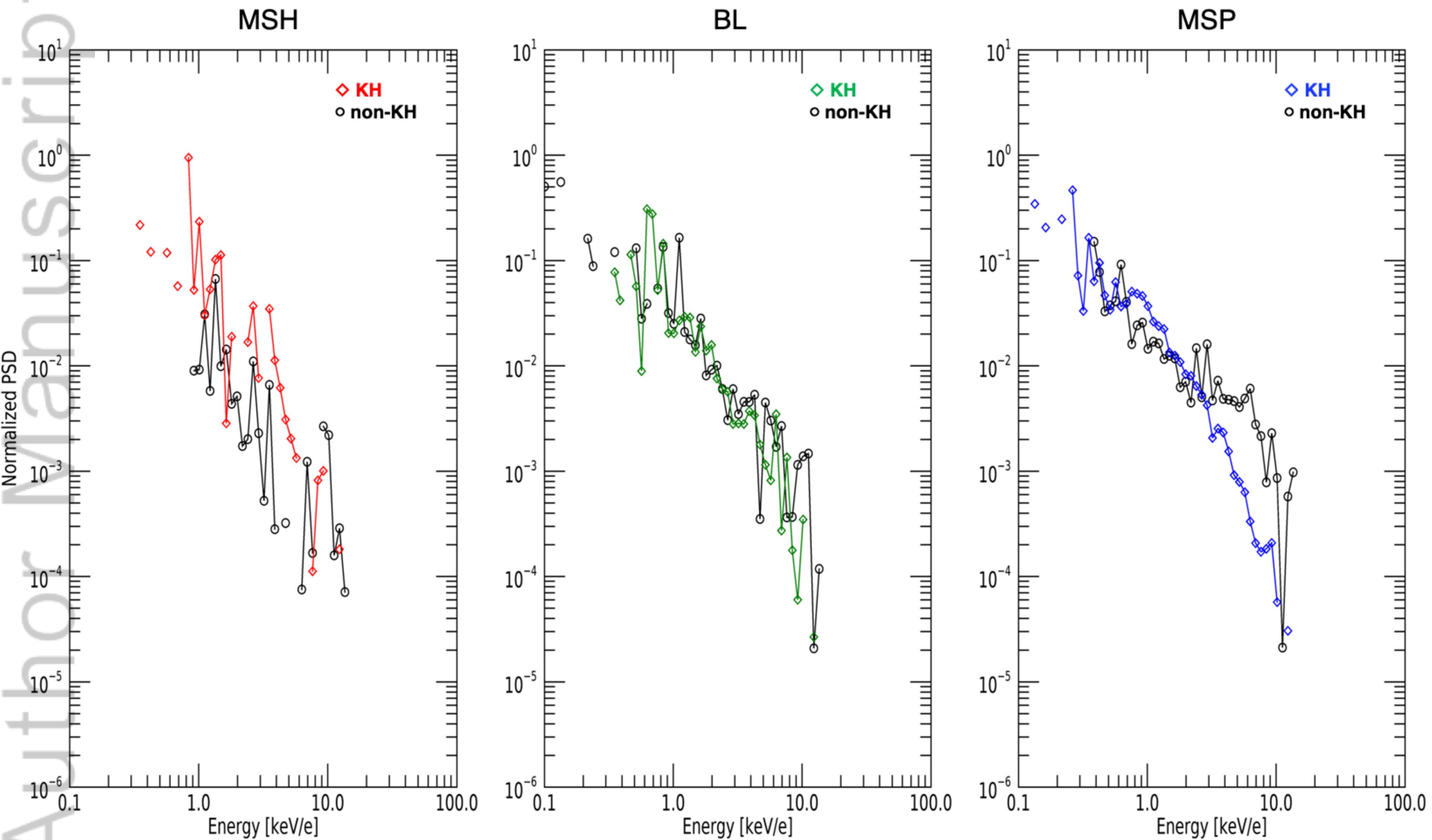


Figure 7.

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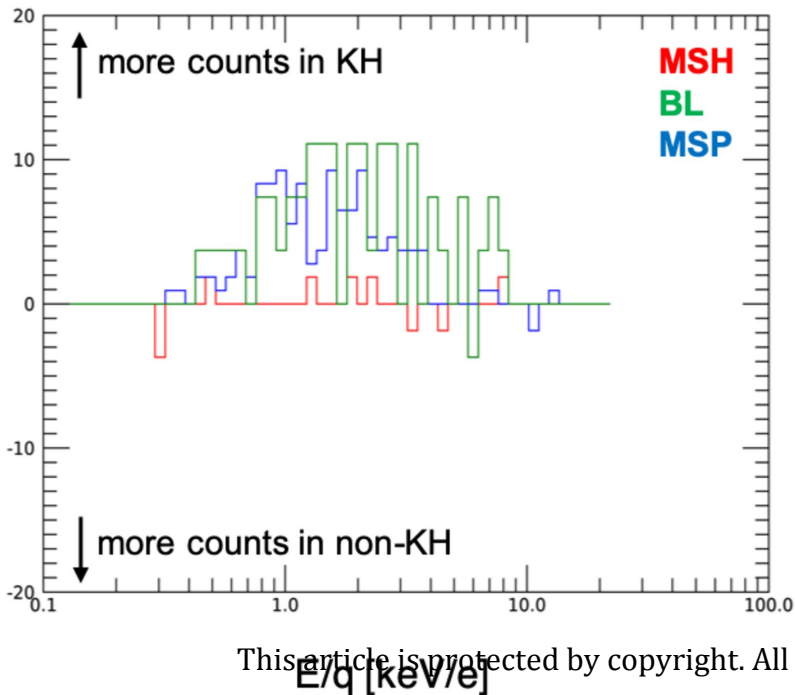


Figure8.

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Non-KH

KH

Electric field



Na⁺ (keV)

Deceleration

Deceleration

Na⁺

