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2	DR RANDAL WESTRICK (Orcid ID: 0000-0001-8775-8460)	
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8	Deficiency of Plasmi	nogen Activator Inhibitor-2 Results in Accelerated Tumor Growth
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10	Randal J. Westrick ^{1,2,3,8} ,	Lisa Payne Røjkjær ^{4,8} , Angela Y. Yang ⁴ , Michael H. Roh ⁶ , Amy E.
11		Siebert ¹ , and David Ginsburg ^{4,5,7}
12		
13	¹ Oakland University Department of Biological Sciences	
14	² Oakland University Department of Bioengineering	
15	³ Oakland University Centers for Data Science and Big Data Analytics and Biomedical Research	
16	⁴ Life Sciences Institute, University of Michigan	
17		
18	⁵ Howard Hughes Medical Institute, University of Michigan	
19	⁶ Department of Pathology, University of Michigan	
20	⁷ Departments of Human Genetics, Internal Medicine and Pediatrics, University of Michigan	
21	⁸ These authors contributed equally to this work	
22		
23	Corresponding authors:	David Ginsburg, MD
24		5214 LSI Building
25		210 Washtenaw Avenue
26		Ann Arbor MI 48109 USA
27		email: <u>ginsburg@umich.edu</u>
28		Telephone: 734-647-4808
29		Fax: 734-936-2888.
30		

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31	Randal Westrick, PhD		
32	305 Dodge Hall,		
33	118 Library Drive,		
34	Rochester, MI, 48309-4479 USA		
35	email: <u>rjwestrick@oakland.edu</u>		
36	Telephone: 248-370-3577		
37	Fax: 248-370-4225.		
38			
39	(15 pages, 225 words in abstract, 2,987 words, 17,151 characters not including abstract, title		
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41	Running head: PAI-2 in tumor growth		
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43			
44	Essentials		
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46	 Low PAI-2 (SERPINB2) is associated with increased tumor growth and metastasis 		
47			
48	 Aged PAI-2 deficient (SerpinB2^{-/-}) mice spontaneously develop tumors 		
49			
50	SerpinB2 ^{-/-} mice display accelerated B16 melanoma or Lewis lung carcinoma growth		
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52	Non-hematopoietic PAI-2 regulates B16 melanoma and Lewis Lung carcinoma tumor growth		
53			
54	Summary		
55	Background: Upregulation of the plasminogen activation system, including urokinase		
56	plasminogen activator (uPA), has been observed in many malignancies, suggesting that co-		
57	opting the PA system is a common method by which tumor cells accomplish extracellular matrix		
58	proteolysis. PAI-2, a serine protease inhibitor, produced from the SERPINB2 gene, inhibits		
59	circulating and extracellular matrix-tethered uPA. Decreased SERPINB2 expression has been		
60	associated with increased tumor invasiveness and metastasis for several types of cancer. PAI-2		
61	deficiency has not been reported in humans and PAI-2 deficient (SerpinB2-/-) mice exhibit no		
62	apparent abnormalities.		
63	Objectives: We investigated the role of PAI-2 deficiency on tumor growth and metastasis.		

Methods: To explore the long-term impact of PAI-2 deficiency, a cohort of *SerpinB2*-/- mice were aged to >18 months, with spontaneous malignancies observed in 4/9 animals, all of apparently vascular origin. To further investigate the role of PAI-2 deficiency in malignancy, *SerpinB2*-/- and wild type control mice were injected with either B16 melanoma or Lewis lung carcinoma tumor cells, with markedly accelerated tumor growth observed in *SerpinB2*-/- mice for both cell lines. To determine the relative contributions of PAI-2 from hematopoietic or non-hematopoietically derived sources, bone marrow transplants between wildtype C57BL/6J and *SerpinB2*-/- mice were performed.

Results and Conclusions: Our results suggest that PAI-2 deficiency increases susceptibility to spontaneous tumorigenesis in the mouse, and demonstrate that *SerpinB2* expression derived from a non-hematopoietic compartment is a key host factor in the regulation of tumor growth in both the B16 melanoma and Lewis Lung carcinoma models.

Keywords: Cancer, Fibrinolysis, PAI-2, Serine Protease Inhibitor, Tumor

Introduction

Components of the plasminogen activation (PA) system, including urokinase plasminogen activator (uPA), are thought to play key roles in malignant tumor growth and metastasis[1]. Plasminogen activator inhibitor 2 (PAI-2), a serine protease inhibitor (SERPIN) produced by the *SERPINB2* gene, is a potent inhibitor of uPA[1]. PAI-2 is a predominantly intracellular SERPIN whose expression is induced by inflammatory mediators[2]. It is one of the most highly upregulated transcripts in activated macrophages and keratinocytes and is also highly inducible in fibroblasts and endothelial cells[2]. PAI-2 exists in two forms: a 47 kilodalton (kD) non-glycosylated intracellular form, and a secreted 60 kD glycosylated form, though neither is generally detectable in plasma, except during pregnancy[3]. The regulation of *SERPINB2* gene expression is complex, with known induction by a variety of inflammatory molecules including tumor necrosis factor alpha (TNFα) and lipopolysaccharide (LPS)[2]. Though PAI-2 is an efficient inhibitor of uPA, additional target proteases may exist *in vivo*, including several putative intracellular proteases[2] [4].

Clinical studies in breast, lung and ovarian cancer patients have shown a striking correlation of low tumor-associated PAI-2 levels with poor prognosis, including increased lymph node involvement and decreased overall survival[2, 5, 6]. Expression of *SERPINB2* in several cell types in the context of the local tumor environment could potently prevent malignant cell invasion[7]. Extracellular matrix degradation by colon carcinoma and monocyte invasion into human amniotic membranes is inhibited in the presence of exogenous PAI-2[8]. Transfection of

SERPINB2 into melanoma and sarcoma cell lines resulted in decreased ability to degrade extracellular matrix and a reduced capacity for metastasis[2]. Similarly, gene transfer of SerpinB2 into the liver was demonstrated to reduce fibrosarcoma primary tumor size in nude mice and significantly decrease the incidence of metastasis[2]. In addition, the plasminogen activation system has been demonstrated to play a prominent role in tumor progression in the mouse transplantable B16 melanoma and Lewis lung carcinoma tumor models[2, 9, 10]. Taken together, these observations suggest that localization of PAI-2 within the tumor microenvironment may play an important role in the regulation of tumor growth.

Though PAI-2 deficiency has not been reported in humans, PAI-2 deficient (*SerpinB2*-/-) mice exhibit normal development and survival, as well as normal wound healing and response to infectious challenge[11]. In the present study, spontaneous tumors were observed in a subset of aging *SerpinB2*-/- mice (>1 year of age). Analysis of wild type (WT) control and *SerpinB2*-/- mice challenged by injection with either B16 melanoma or Lewis lung carcinoma (LLC) cells, as well as chimeric animals generated by bone marrow transplant (BMT), suggest that *SerpinB2* expression within a non-hematopoietically-derived host compartment plays a key role in the limitation of tumor growth and metastasis in the mouse.

Results

Spontaneous tumor development in SerpinB2-/- mice

A cohort of 9 male *SerpinB2*^{-/-} mice were observed until the cutoff date of 22 months of age with 44% (4 of 9) developing a spontaneous malignant tumor between 18-22 months. Three of these 4 tumors exhibited the histological appearance of angiosarcomas (Figure 1), with 1 tumor originating in the liver, another in the periarticular region of the hip, and one in both the liver and the flank. The fourth animal developed a large polyploid tumor in the dorsal flank that was classified as a fibrosarcoma. In contrast, as reported by Rudolph et al., the expected rate of spontaneous tumors in a mixed B6129 background (similar to the aged *SerpinB2*^{-/-} mice), is ~3% (2 out of 63) mice. In addition, the *SerpinB2*^{-/-} mice had a higher rate of spontaneous tumor formation than homozygous telomerase deficient mice (mTR^{-/-}) and unlike the more common tumor types observed in aging B6129 mice, exhibited rare angio and fibrosarcomas[12, 13].

Enhanced growth of heterologous tumors in SerpinB2^{-/-} mice

To investigate the role of PAI-2 in the host response to exogenously introduced tumor cells, male *SerpinB2^{-/-}* mice and littermate controls from an intercross of *SerpinB2^{+/-}* mice backcrossed 3 generations to C57BL/6J (N3) were challenged by left hind footpad inoculation of B16 melanoma cells[14] (derived from C57BL/6J mice). All 7 *SerpinB2^{-/-}* mice and 3 of 5 WT

littermate controls developed visible tumors by 34 days post-inoculation, with significantly larger tumor size observed in the *SerpinB2*-/- mice (Figure 2A; mean tumor volume in *SerpinB2*-/- recipients = 292 ±58 mm³ vs. WT control mice = 16 ±9 mm³; p < 0.003). In addition, 2/7 *SerpinB2*-/- mice developed numerous lung metastases with chest wall involvement, with no lung metastases observed among the 5 WT controls. The local footpad tumors in the *SerpinB2*-/- mice appeared highly invasive, with infiltration between smooth muscle bundles and extension into the sub-epidermal layer, in contrast to a circumscribed appearance in the WT mice (Figure 3A-D). In two *SerpinB2*-/- mice, the inoculated footpad melanoma extended into the leg and hip, a finding not seen in any of the 5 control mice.

To address the potential confounding effects of the mixed 129/C57BL/6J strain background, a second set of experiments were conducted in mice after 7 backcross generations into C57BL/6J (N7), including 9 SerpinB2^{-/-} mice, 8 heterozygous SerpinB2^{+/-} littermates and 13 WT littermate controls. Visible tumors developed in 8/13 WT, 9/9 SerpinB2^{-/-} and 8/8 heterozygous mice (Figure 2B). Numerous lung metastases developed in 3/9 SerpinB2^{-/-} mice, but in none of the heterozygotes or WT controls. A significant increase in mean local tumor volume was again observed in SerpinB2^{-/-} mice compared to WT controls with intermediate values in the heterozygotes (Figure 2B; mean tumor volume: SerpinB2^{-/-} 214 ± 42 mm³, SerpinB2^{+/-} 83 ± 20 mm³, WT 31 ± 13 mm³). One SerpinB2^{-/-} mouse was euthanized at day 28 because of extensive tumor invasion from the footpad into the leg, and was excluded from evaluation.

Similar sets of experiments were performed in *SerpinB2-I-* and WT mice using LLC injected either into the footpad or intradermally on the back (Figure 2C and D). A highly significant increase in local tumor growth was observed in N3 *SerpinB2-I-* mice compared to WT littermate controls at both sites of tumor administration, associated with a more invasive histological appearance (Figure 3E and F). Two of 4 *SerpinB2-I-* mice inoculated intradermally exhibited progression of LLC tumor to the spine, a finding not seen in any of the WT controls.

The optimal host response to injected B16 melanoma or LLC tumor cells requires SerpinB2 expression by non-hematopoietically derived host cells

SerpinB2 is highly expressed in macrophages[15-17], suggesting a potential role for these or other hematopoietically derived cells in the host responses to B16 melanoma and LLC observed above. To test this hypothesis, BMT was performed into N7 SerpinB2-/- recipients and age and sex-matched WT littermate controls using either donor SerpinB2-/- or WT fetal liver cells (FLC). All four sham-transplanted mice died within 4 days of irradiation, demonstrating effective myeloablation. There was no mortality among the other transplanted groups. WT mice

receiving *SerpinB2*-^{/-} FLC should be *SerpinB2* deficient in all cell populations of hematopoietic origin with normal expression in all other cell types, whereas *SerpinB2*-^{/-} mice reconstituted with WT FLC should exhibit the converse pattern, with normal *SerpinB2* expression restricted to cells of hematopoietic origin including monocytes/macrophages (Figure 4). Footpad injections of B16 melanoma cells were performed six weeks after BMT. *SerpinB2*-^{/-} mice reconstituted with WT FLCs demonstrate accelerated tumor growth similar to that observed in untransplanted *SerpinB2*-^{/-} mice or *SerpinB2*-^{/-} mice reconstituted with *SerpinB2*-^{/-} FLCs (Figure 4; compared to Figure 2B). In contrast, WT mice receiving either *SerpinB2*-^{/-} or WT FLCs exhibited reduced tumor volume (Figure 4), similar to untransplanted WT mice (Figure 2).

Discussion

Although decreased *SerpinB2* expression has been repeatedly associated with poor cancer prognosis[2], the role of PAI-2 in human tumors is unclear. In a comprehensive analysis of multiple cancer types, mutations in SERPINB2 were not identified as "tumor drivers" [18]. Similarly, heterozygosity for germline *SerpinB2* loss-of-function mutations is observed in the general population with a frequency of ~1:2500[19], and would be expected to result in a familial cancer predisposition syndrome with a similar frequency, if PAI-2 functioned as a tumor suppressor.

These data suggest a regulatory function for *SerpinB2* expression in non-tumor cell types, potentially playing a role in host defense. Consistent with this hypothesis, analysis of PAI-2 in tumor sections is associated with stromal cells such as endothelial cell, fibroblasts, and macrophages[2]. The observation that heterozygous *SerpinB2+/-* mice demonstrate an invasive B16 melanoma phenotype intermediate between those of *SerpinB2-/-* and wild type mice suggests a gene dosage effect.

Given the known expression of *SERPINB2* in a number of hematopoietically-derived cell types, including monocyte/macrophages and stem cells[2], the observation that BMT of WT FLCs into *SerpinB2*-- (or *SerpinB2*-- FLCs into WT) mice had no effect on B16 melanoma or LLC tumor growth was surprising. These data demonstrate that the accelerated tumor growth observed in *SerpinB2*-- mice is not due to a specific deficiency within the macrophage or another hematopoietically-derived cell population, but rather from a non-hematopoietically derived source. However, we cannot exclude a role for memory T-lymphocytes or tissue phase macrophages, which, although hematopoietically derived, turn over at very low rates and propagate by self renewal in tissues[20]. The spontaneous development of tumors in aged *SerpinB2*-- mice is also consistent with an important role for *SerpinB2* gene expression by a non-hematopoietic host cell compartment in naturally occurring cancers, in addition to

exogenously introduced cancer models. These data raise the possibility of an important role for PAI-2 produced by stromal cells within the tumor microenvironment[2]. Recently, Harris et al. demonstrated that stromal cell PAI-2 is required for normal collagen remodeling *in vitro*, establishing a novel role for stromal PAI-2 in tumor growth and invasion[21].

Mechanistically, it is possible that PAI-2 could affect tumor growth via a function unrelated to plasminogen activator inhibition. These functional roles could partially or wholly contribute to the inhibition of tumorigenesis and growth. The intracellular localization of PAI-2 suggests that it could function to regulate intracellular processes impacting tumor growth[22]. For example, PAI-2 has previously been shown to inhibit TNF-α-induced apoptosis[23, 24], as well as acting as a downstream effector of p38 signaling to maintain macrophage survival during *bacillus anthracis* triggered apoptosis[25]. Similarly, PAI-2 has also been shown to maintain the survival of TNF stimulated cells by stabilizing transglutaminase 2 through interaction with PAI-2's C-D interhelical domain, leading to caspase 3 inactivation by transglutaminase 2 and increased survival[26]. Loss of PAI-2 may also lead to loss of retinoblastoma-mediated repression of proapoptotic gene transcription, rendering stromal cells more sensitive to apoptosis[24, 27].

In contrast to our results, Schroder et al. observed no significant differences in tumor growth in SerpinB2-1- vs. control mice injected with LLC or B16 melanoma cells[28]. While these data are in direct contrast to those reported here, important differences in the experimental conditions are worth noting. Exclusively 5-8 week old male mice were used in our experiments, while Schroder et al. performed their experiments exclusively in female mice. Sex significantly affects tumor growth in hepatocellular carcinoma and hepatocarcinogenesis in humans and mice[29]. SerpinB2 expression in response to lipoprotein(a) has been shown to be sex specific and is only observed in males[30]. Thus, sex could contribute to the disparities in tumor growth rates between these two studies. Additional differences in study design include the site of inoculation (left hind footpad vs. subcutaneous back), the numbers of cells used in the inoculation (1 x 10⁵ LLC and B16 melanoma in our study vs. 4-5 X 10⁵ used by Schroder et al). In addition, changes in the gut microbiome could play an important role in the differences in tumor growth in experiments performed at different institutions. Mice lacking endothelial specific Krit1 or Ccm2 exhibit markedly different manifestations of cerebral cavernous malformation as a function of the gut microbiome, initially uncovered by examination of the same mouse colony in 2 different vivariums[31]. Since PAI-2 is a stress protein that is highly inducible in activated macrophages and monocytes, similar shifts in microbiome in different laboratories could also potentially influence the host response to an implanted tumor.

Taken together, our results suggest that non-hematopoietically derived PAI-2 plays a previously underappreciated role in the response to malignancy. Our findings provide the basis for future studies on the regulation of tumor growth by PAI-2. Investigating the tumor response in mice with specific PAI-2 deficiency in fibroblasts or other stromal cellular constituents[22-26, 32] could provide additional insights into the tumoristatic function of PAI-2.

Methods

Mice. Wild type C57BL/6J (Jax stock # 000664) mice were purchased from the Jackson Laboratories. *SerpinB2* deficient mice generated by gene targeting as previously reported[11], were backcrossed for 3 or 7 generations to C57BL/6J (N3, N7) and then intercrossed to generate homozygous null and WT littermate controls. All mice were housed in University of Michigan animal housing facilities, and all experiments were performed in accordance with the University of Michigan animal use guidelines. *Serpinb2* genotype was determined by PCR as previously described[11]. Male mice between 5 and 8 weeks of age were used in the tumor experiments; the recipient mice used in the transplant experiments were 8 week old males.

Tumor cell lines. Both the B16-F1 melanoma (B16 melanoma) and LLC cell lines, originally isolated from a C57BL/6 mouse strain, were purchased from the American Type Culture Collection (ATCC; #CRL-6323 and #CRL-1642, respectively). All cell lines were maintained in Dulbecco's modified eagle media (DMEM) (Life Technologies) supplemented with 10% fetal calf serum (FCS), streptomycin, penicillin and L-glutamine and were passaged no more than 5 times.

Tumorigenic assays. For the tumor inoculations, 1 x 10⁵ B16 melanoma or LLC cells in 40 ul of sterile Hanks Balanced Salt Solution HBSS (Invitrogen/ThermoFisher Scientific) were injected into the left hind footpad of each animal in an age matched cohort of WT and *SerpinB2* deficient mice after anesthesia with intraperitoneal pentobarbital. All experiments were performed with the operator blinded to the genotype of the mice. For the dorsal intradermal tumor inoculations, 1 x 10⁵ LLC cells in 0.1 mL of HBSS were injected. Footpad tumors were monitored for 34 days, at which time tumor size was measured using calipers, and the volumes were calculated using the formula (w² x I)/2 where w = tumor width and I = tumor length[33]. This formula approximates the area of an ellipse. After tumor measurement, all animals underwent a left hip disarticulation under anesthesia. Incisions were closed using surgical staples. All animals were subsequently sacrificed 34 days post-operatively to assess lung metastases by gross visual inspection. The thoracic cavity was opened via the removal of the sternum and anterior ribs. The lungs were then inflated via intratracheal injection Fekete's

- Solution and the trachea clamped to prevent backflow. The exterior of the lungs and associated thoracic cavity were visually examined to detect the presence of major lung metastases growing into the chest wall. The respiratory system consisting of the trachea attached to the right and left lungs was then removed from the mice. Surface pulmonary nodules were counted manually, with the examiner blinded to the genotype of the mouse, as previously described [34].
- Mice receiving dorsal intradermal injections of LLC cells were sacrificed 22 days following initial tumor inoculation for tumor excision and measurement with calipers. Tumor volumes were calculated as above.
 - Bone marrow transplantation. Fetal livers of both sexes were harvested from WT C57BL/6J and SerpinB2+ mice (from an intercross of SerpinB2+/- mice N7 on C57BL/6J) as previously described[35]. Briefly, fetal livers were harvested at 18.5 days gestation, homogenized, resuspended in cryomedia (65% Roswell Park Memorial Institute 1640 (RPMI) (Invitrogen/ThermoFisher Scientific), 10% dimethyl solfoxide (DMSO), 25% Fetal Bovine Serum FBS) (Invitrogen/ThermoFisher Scientific), and stored at -80°C for future use. Male mice were used as bone marrow recipients. On the day of transplantation, all mice received 1300 centigrays (cGy) of radiation in two divided doses, three hours apart. Each mouse received a total of 5 x 108 FLCs in a volume of 0.3 ml sterile RPMI via tail vein injection. Four mice received radiation only ("sham-transplanted") followed by tail vein injection of 0.3 ml of sterile RPMI. All mice were then monitored daily and were euthanized at the onset of severe illness (lethargy, ruffled fur). The four sham transplanted mice died by day 10 after transplant. At 6 weeks post-transplant, surviving mice were injected in the left hind footpad with 1 x 10⁵ melanoma cells in 40 microliters of sterile HBSS, as described above. On day 34 post-tumor injection, all animals were sacrificed to evaluate both primary tumor volume and gross metastatic tumor spread. To assess engraftment of the transplanted mice, DNA was isolated from peripheral blood using the Bio-Rad Instagene Dry Blood kit, and PCR was performed as previously described²¹.
- Histochemistry. After caliper measurement, tumor specimens were preserved in zinc formalin,
 and 8μm paraffin sections were stained with hematoxylin and eosin.
- 296 **Statistical analysis.** The statistical significance of differences between groups was determined 297 by Student's *t*-test. Two-sided p-values of <0.05 were considered statistically significant. For 298 the bone marrow transplant experiment, a Chi-squared test was used.

299 Authorship Details

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- R.J. Westrick, L.P. Røjkjær, and D. Ginsburg designed the research study; R.J. Westrick, L.P.
- Røjkjær, and A.Y. Yang performed the experiments; R.J. Westrick, L.P. Røjkjær, A.Y. Yang,
- 303 M.H. Roh, A.E. Siebert, and D.G. analyzed the data; and R.J. Westrick, L.P. Røjkjær, and D.
- Ginsburg wrote the manuscript, with critical comments from A.Y. Yang, M.H. Roh, and A.E.
- 305 Siebert.

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Conflict of Interest Disclosures

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Each of the authors report no conflicts of interest for this manuscript

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- 322 Figure Legends
- 323 Figure 1: Histological examination of spontaneous tumors arising in aged SerpinB2^{-/-}
- 324 mice.
- Hematoxylin and eosin staining of zinc formalin-fixed, paraffin-embedded tumors pathologically
- defined as angiosarcomas, which developed in the (A) hip and (B) liver in two independent
- 327 animals.
- Figure 2: Host SerpinB2 status modulates primary tumor size.
- 329 B16 melanoma or Lewis lung carcinoma was injected into the left hind footpad (A-C) or the
- dorsal intradermal region (D) of each animal. Mice with tumors are represented by solid
- 331 symbols; mice that did not develop tumors are indicated with open symbols. Panels A and B are
- 332 the results of B16 melanoma experiments and panels C and D are the results of the LLC
- experiments. A. N3 SerpinB2^{-/-} mice had a mean tumor volume = 292 mm³ vs. 16 mm³ in WT
- 334 mice; p<0.003. B. N7 SerpinB2^{-/-} mean tumor volume 214 mm³ vs. SerpinB2^{+/-} 83 mm³; p<0.01,

- 335 SerpinB2 $^{+/-}$ vs. WT 31 mm³; p<0.01, SerpinB2 $^{-/-}$ vs. WT p<0.001. Tumor volumes were
- calculated at day 34. One SerpinB2^{-/-} mouse was euthanized at day 28 because of extensive
- tumor spread throughout the leg, and was excluded from evaluation. C. Mean footpad LLC
- volume (day 31) N3 SerpinB2-/- 718 mm³ vs. WT 72 mm³; p<0.03. D. Mean dorsal intradermal
- 339 LLC volume (day 22) N3 SerpinB2-1- 1735 mm³ vs. WT 348 mm³; p<0.01. Error bars indicate
- 340 standard error of the mean.
- Figure 3: Gross and histological examination of footpad tumors following injection with
- 342 B16 melanoma or LLC.
- Representative WT (A) or SerpinB2^{-/-} mice (B) at day 34. Hematoxylin and eosin staining of zinc
- formalin-fixed, paraffin-embedded tissue from a day 34 footpad tumor of a WT (C) and
- 345 SerpinB2-- mouse (D) showing a well-circumscribed area of tumor in C compared to a much
- more invasive appearance of the melanoma in the SerpinB2^{-/-} mouse (D). Similarly, compared
- with WT (E), LLC exhibited more invasive growth in a SerpinB2^{-/-} mouse at day 31 (F).
- Figure 4: Hematopoietic SerpinB2^{-/-} does not influence B16 melanoma growth.
- 349 Bone marrow transplant (BMT) experiments were performed using FLCs as a source of
- 350 hematopoietic stem cells. All surviving mice received footpad B16 melanoma injections 6 weeks
- post-BMT, and were sacrificed at day 34. Mice with gray symbols represent WT mice receiving
- WT bone marrow, mice with red symbols represent WT mice receiving SerpinB2-/- bone marrow.
- 353 Mice with blue symbols represent SerpinB2^{-/-} mice receiving SerpinB2^{-/-} bone marrow. Mice with
- 354 black symbols represent SerpinB2-1- mice receiving WT bone marrow. Host SerpinB2-1- mice
- receiving WT or SerpinB2-1-marrow formed significantly larger tumors than the other groups
- 356 (p<0.05). Representative samples of SerpinB2 genotype (by PCR of peripheral blood) following
- 357 BMT are illustrated, demonstrating engraftment. The upper band represents the SerpinB2 allele
- and the lower band represents the WT SerpinB2+ allele. Mean footpad tumor volume of
- 359 SerpinB2^{-/-} bone marrow recipients was 160.1 mm³ vs. WT bone marrow recipients, 24.4 mm³;
- p<0.05. Bars indicate standard error of the mean for the aggregate tumor volume values based
- on the host genotype.

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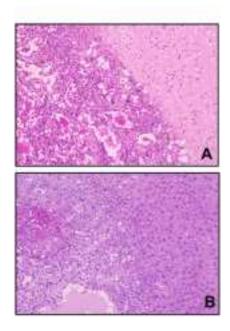
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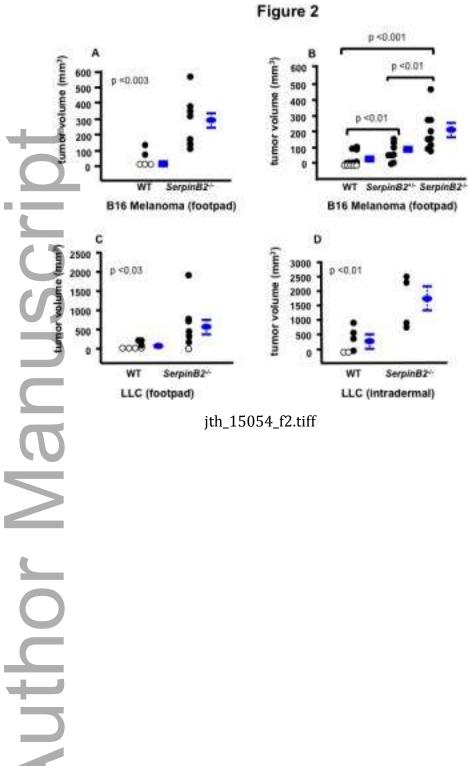
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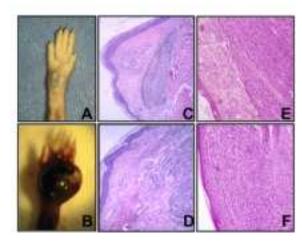
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