

Blue Emissive *fac/mer*-Iridium (III) NHC Carbene Complexes and their Application in OLEDs

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Abstract

The photophysical and electrochemical properties of N-heterocyclic carbene complexes of Iridium (III) ($\text{Ir}(\text{C}^{\wedge}\text{C})_3$, where $\text{C}^{\wedge}\text{C}$ = N-phenyl,N-methyl-pyrazinoimidazol-2-yl (pmpz),

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N,N-di-p-tolyl-pyrazinoimidazol-2-yl (tpz)) are reported. Facial and meridional isomers of Ir(pmpz)₃ were prepared, but only the facial isomer could be isolated for Ir(tpz)₃. The *fac*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃ complexes have emission maxima at 465 nm in polystyrene, whereas the emission maximum of *mer*-Ir(pmpz)₃ is red-shifted to 495 nm. The emission energies and photoluminescent quantum yield (Φ_{PL}) in solution decrease on going from nonpolar to polar solvents; however, all the complexes are efficient emitters in polystyrene at room temperature ($\Phi_{\text{PL}} = 88\text{-}96\%$) and 77K. Blue phosphorescent organic LEDs employing *fac*-Ir(tpz)₃ as a emissive dopant achieved a high external electroluminescence efficiency ($\sim 18\pm 1\%$) and brightness (29000 cd/m²) at low current density.

Keywords: organic, OLED, phosphorescence, iridium, blue

Introduction

Organic light emitting diodes (OLEDs) have attracted considerable attention for full color displays and solid-state lighting.^[1] This interest is due in large part to the development of transition metal complexes as phosphorescent emitters, making it possible to harvest both singlet and triplet excitons leading to 100% electroluminescence quantum efficiency.^[2] Cyclometalated iridium(III) complexes have emerged as one of the most promising triplet emitters because of their versatile color tunability, chemical stability, good thermal properties and high photoluminescent quantum yields (Φ_{PL}).^[3-7] These phosphors often involve an octahedral Ir³⁺ ion with bidentate ligands, C[^]N:, comprised of a covalently bonded aryl moiety and a datively bonded nitrogen group such as pyridyl, to give a tris-cyclometalated complex, Ir(C[^]N:)₃. While efficient OLEDs using red and green Ir-based phosphorescent emitters are commercially viable,^[8-10] the stability of OLEDs using blue-emitting transition metal-containing complexes are presently insufficient for practical applications.^[11]

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Recently cyclometalated N-heterocyclic carbenes (NHC)-Ir based chromophores, Ir(C[^]C:)₃, have attracted attention due to their promising properties as blue emitters.^[12-19] These C[^]C: based emitters have an aryl group as do C[^]N: ligands, but utilize a carbene in place of the nitrogen basic moiety. Our group reported one of the first blue-emitting Ir-carbene complexes for OLEDs, using N-phenyl, N-methyl-imidazol-2-yl (pmi) and N-phenyl, N-methyl-benzimidazol-2-yl (pmb) ligands.^[16] Since then, several homoleptic^[20-23] and heteroleptic^[18] derivatives of these complexes have also been reported. These Ir(C[^]C:)₃ complexes have advantages over blue emissive Ir(C[^]N:)₃ complexes as they do not suffer from deactivation of the excited state via thermal population of triplet metal-centered (³MC) states, which can severely diminish their Φ_{PL}. Replacing the nitrogen basic moiety in the C[^]N: ligand with a strong field carbene ligand, largely mitigates this problem by destabilizing the ³MC states, which makes them thermally inaccessible. Interestingly, it was found that even when the ³MC states are thermally populated, the carbene iridium complexes were able to undergo reversible population of the radiative state leaving the Ir-carbene bond intact.^[24] Since the Ir–N bond dissociation in the excited state has been shown to be problematic in Ir(C[^]N:)₃ complexes,^[24] computational results have suggested that replacement with the stronger Ir–C carbene bond will result in a more robust emitter.^[24, 25]

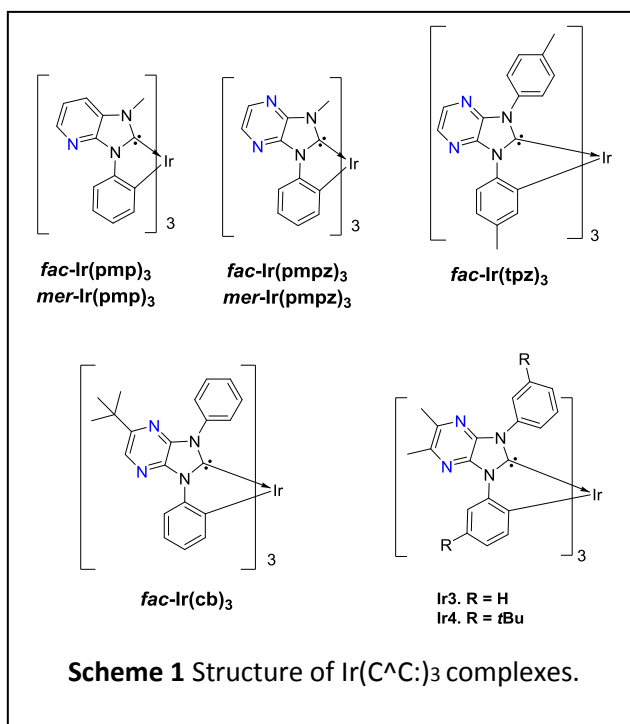
Further work on Ir(C[^]C:)₃ complexes led to the use of the electrophilic N-phenyl, N-methyl-pyridylimidazol-2-yl ligand (pmp) to create highly efficient deep blue facial (*fac*) and meridional (*mer*) Ir complexes [Ir(pmp)₃] (Scheme 1).^[1] Since this report, several analogues of these compounds have been reported.^[12, 14] OLEDs employing *fac*- and *mer*-Ir(pmp)₃ as deep blue emitters have achieved external efficiencies of 15%. However, due to their high triplet energies, these emitters could only be doped into inherently unstable phosphine oxide-based host materials, leading to short device lifetimes. Additionally, the lowest unoccupied molecular orbital (LUMO) energies of

these complexes are relatively shallow, impeding electron injection and transport into the emissive layers. Here we investigate Ir(III) complexes employing two different N-aryl, N-aryl/methyl-pyrazinoimidazol-2-yl carbene ligands, *i.e.* Ir(pmpz)₃ and Ir(tpz)₃ (Scheme 1), that have deeper LUMO energy levels and lower emission energies than their pyridyl analogs. These compounds are closely related to three other reported Ir(C[^]C:)₃ complexes, Ir3 and Ir4^[13] and

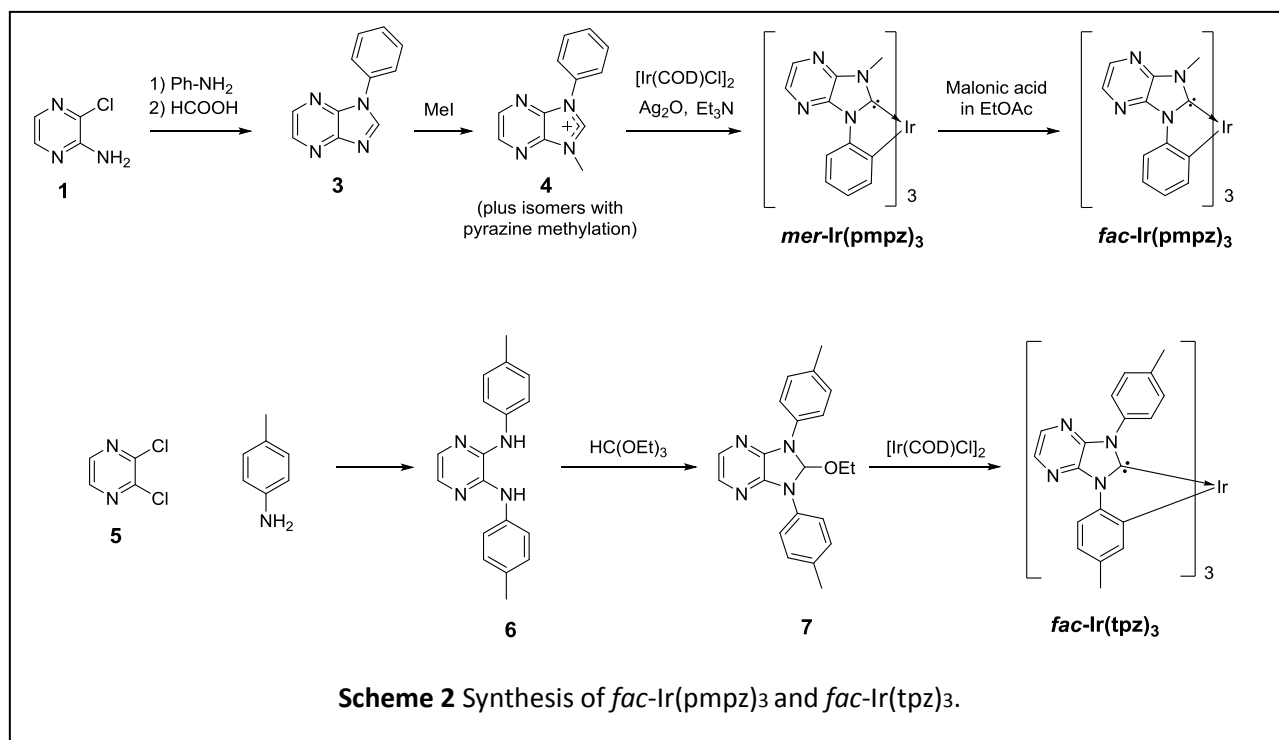
fac-Ir(cb)₃^[26, 27] (Scheme 1). Emission from *fac*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃ in comparable solvents is red-shifted relative to Ir3 and Ir4; however, the former complexes have significantly higher photoluminescent quantum yields. The photophysical properties of *fac*-Ir(tpz)₃ are comparable to *fac*-Ir(cb)₃ doped at 2% in polymethylmethacrylate (PMMA) films^[28] but emission is slightly red-shifted, which allows for the use of more stable hosts. Syntheses of these new materials, their chemical stability and properties (electrochemical, photophysical and electroluminescent) are discussed.

Results and Discussion:

Synthesis:



Synthesis of *mer*-Ir(pmpz)₃ is accomplished in four steps (Scheme 2). Methylation of the pyrazinoimidazole in the third step yielded two regioisomers, one with the desired methylation on the imidazole nitrogen and the other methylated on one of the pyrazino nitrogens. The ratio of the desired to undesired products is roughly 1:1. Unfortunately, separation of the two components by crystallization or chromatography proved problematic; thus the isomeric mixture that was 50% pure product was used in the final step— cyclometallation of the ligand on iridium using Ir(COD)₂Cl₂—to obtain exclusively *mer*-Ir(pmpz)₃, albeit at low yield. This meridional isomer can be converted to *fac*-Ir(pmpz)₃ through acid induced isomerization.^[29] To our surprise, about 50% of *mer*-Ir(pmpz)₃ isomerizes into *fac*-Ir(pmpz)₃ during thermal heating under vacuum (see Figure S29). To the best of our knowledge this is the first thermal isomerization of Ir(C[^]C:)₃ complexes reported. The overall yields of *mer*-Ir(pmpz)₃ and *fac*-Ir(pmpz)₃ were 9% and 2%, respectively. Due to the low overall yield of the Ir(pmpz)₃ complexes, we targeted the more synthetically accessible isoelectronic complex, Ir(tpz)₃. As depicted in Scheme 2, the first step of Ir(tpz)₃ synthesis is a coupling reaction between 2,3-dichloropyrazine and toluidine to give diamino pyrazine, **6**, which is cyclized with triethyl orthoformate to obtain the final ligand, **7**. Unlike the reaction using the pmpz ligand, cyclometallation of the tpz ligand onto iridium led only to *fac*-Ir(tpz)₃. The exclusive formation of the facial isomer using the tpz ligand is presumably due to unfavorable interligand steric interactions in the meridional form. The overall yield of the three-step process for preparing *fac*-Ir(tpz)₃ is 18%. The *fac*-Ir(tpz)₃ complex is found to be remarkably stable as sublimation yields of 95% were achieved with no accompanying decomposition products.



Single crystals of *fac*-Ir(tpz)₃ (**Figure 1**) were grown in methanol/dichloromethane solution and characterized using X-ray crystallography. The details of the structure solution, crystal data, atomic coordinates, bond lengths and angles are reported in the Supporting Information and the structure has been deposited in the Cambridge Crystallographic Database (CCDC #: 2039697). The geometry around the iridium atom is pseudo-octahedral, with bond lengths and angles in the range reported for other Ir(C[∧]C)₃ complexes.^[12, 16, 21, 22] The Ir–C_(carbene) and Ir–C_(tolyl) bonds in the tpz ligands have effectively the same length, *i.e.* 2.027(2) Å and 2.087(2)–2.096(2) Å,

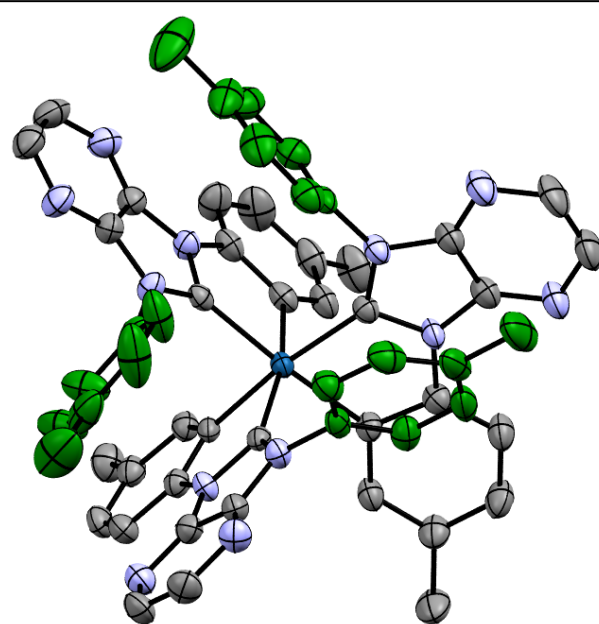


Figure 1: Thermal ellipsoid plot of *fac*-Ir(tpz)₃ from the single crystal x-ray structure. Nonmetalated tolyl groups are shown in green to highlight their orientation relative to the imidazolyl carbene of an adjacent ligand. The dihedral angles between the nonmetalated tolyl and imidazolyl group it is bound to are 60°, 73° and 85° for the three ligands. Hydrogen atoms were omitted for clarity.

respectively (data are provided in the SI). The Ir–C_(carbene) bond lengths in *fac*-Ir(tpz)₃ are similar to values found in other *fac*-Ir(C[^]C:) complexes such as *fac*-Ir(pmb)₃ and *fac*-Ir(pmb)₃ derivatives.^[16, 21] Additionally, the Ir–C_(carbene) bond lengths in *fac*-Ir(tpz)₃ are similar to those found in *mer*-Ir(C[^]C:)₃ complexes (2.023(3)–2.054(9) Å).^[12, 22] The metalated tolyl rings are twisted from planarity around the bridging C_{aryl}–N_{carbene} bond, with dihedral angles averaging ~7° between the arene and imidazolyl planes. This distortion of the C[^]C: ligand is also observed in *fac*-Ir(pmb)₃, where the twist is driven by steric interactions between hydrogen atoms on the benzimidazolyl carbene and metalated phenyl of the pmb ligand.^[16] It is worth noting that the distortion in *fac*-Ir(tpz)₃ is roughly 5° larger than *fac*-Ir(pmb)₃, likely due to the addition of the bulky tolyl group. The nonmetalated tolyl groups are nearly parallel to the pyrazino-imidazolyl moiety of an adjacent tpz ligand (Figure 1), with closest atom-atom spacing of 3.2 Å.

The pendent non-metalated tolyl groups of *fac*-Ir(tpz)₃ are fluxional, as resonances for these aromatic protons are not observed in the ¹H NMR spectra taken at room temperature. This behavior is indicative of rapid rotation of the tolyl groups in fluid solution. Upon cooling to –40 °C, four distinct aromatic resonances appear as doublets between 6 and 7 ppm (see SI), as would be expected on the basis of the crystal structure. The *ortho*-protons on the non-metalated tolyl group are inequivalent in the static structure as are the two *meta*-protons. The intensities of the tolyl aromatic protons decrease with increasing temperature as rotation of the pendent tolyl group accelerates and the signals coalesce at near room temperature. At higher temperature, the rotation of the tolyl group becomes fast enough that two distinct proton resonances appear, with different resonances for the rapidly exchanging *ortho*- and *meta*-protons.

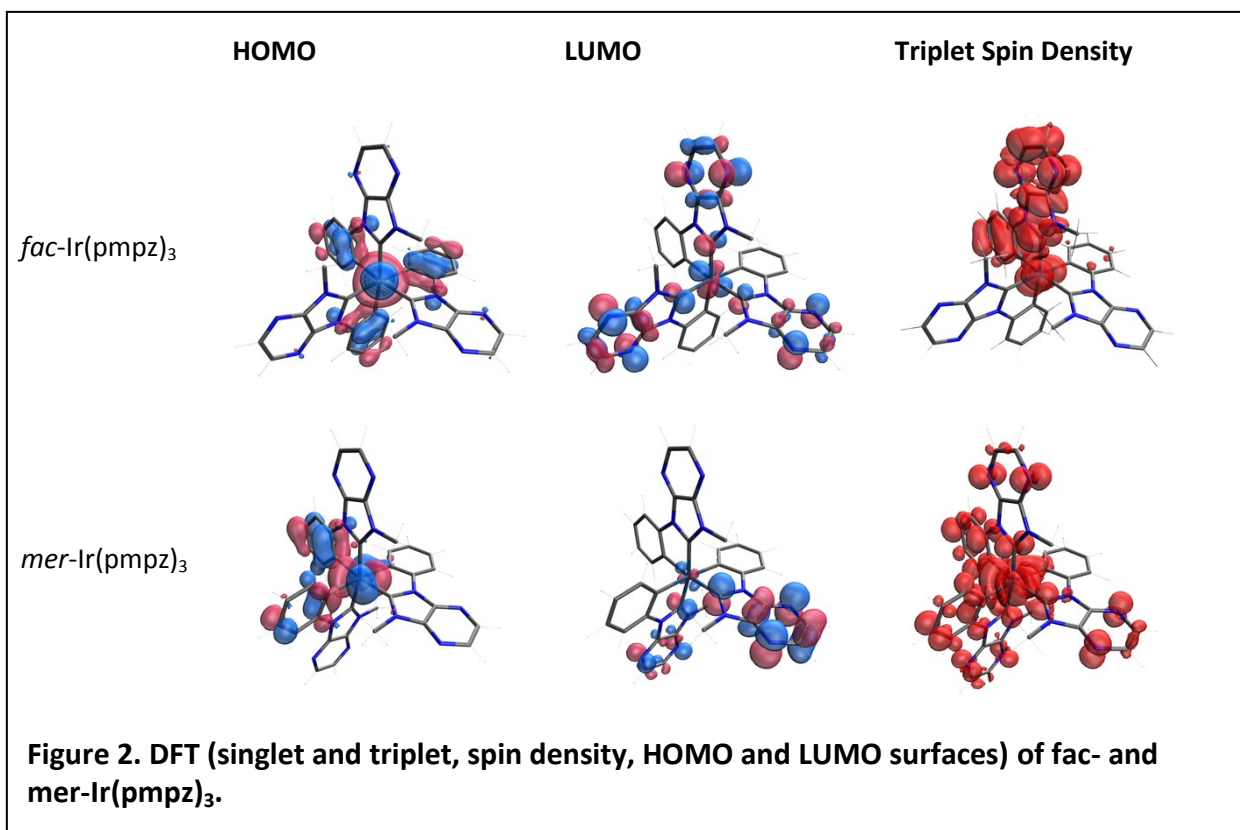
Several aspects of the reactivity of the Ir(C[^]C:)₃ complexes lend credence to the supposition that the metal-carbene linkage confers enhanced stability to these complexes over their Ir(C[^]N:)₃ congeners. Mass spectra taken of *fac*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃ display peaks only for their parent ion; no peaks from molecular fragments are observed. In contrast, mass spectra of *fac*-Ir(C[^]N:)₃ complexes show multiple fragment peaks caused by loss of the C[^]N: ligand, with little or no parent molecular ion.^[30, 31] This behavior is consistent with a strong bond between the carbene ligand and the metal ion in the Ir(C[^]C:)₃ complexes. However, mass spectra of *mer*-Ir(pmpz)₃ do show fragment peaks with m/z = 285 and 611 amu suggesting that the C[^]C: ligands of *mer*-Ir(pmpz)₃ are less tightly bound than in the corresponding facial isomer.

Instability of Ir(C[^]N:)₃ complexes, particularly during use as a luminescent dopant in OLEDs, can be correlated with their propensity to thermally react with a chemical trap.^[32-35] To further test the stability of the Ir(C[^]C:)₃ complexes, thermal substitution experiments were performed on *fac*-Ir(C[^]C:)₃ utilizing an N[^]N bidentate ligand (bathophenanthroline, BPhen) as a chemical trap.^[33] BPhen readily binds to iridium complexes upon loss of chelated ligands. For these experiments, thin films comprised of a 1:1 mixture of *fac*-Ir(tpz)₃ and Bphen were heated at 100 °C. Films of Flrpic:Bphen and *fac*-Ir(ppy)₃:Bphen (both 1:1) were prepared and subjected to the same conditions for comparison (see SI for details). Luminescence spectra taken of *fac*-Ir(tpz)₃:Bphen films after thermal aging for two days remained nearly identical to the spectra of pristine films (see SI). Furthermore, no signal corresponding to Ir(tpz)₂BPhen complex was observed in the mass spectrum, suggesting that the tpz ligand was not displaced during this thermal aging process. In contrast, emission spectra of Flrpic and *fac*-Ir(ppy)₃ were redshifted and dramatically broadened upon thermal stress, consistent with displacement of picolate (in Flrpic) and phenylpyridine [in *fac*-Ir(ppy)₃] ligands to form BPhen adducts of these complexes. Peaks corresponding to these BPhen adducts

were subsequently identified using mass spectrometry (See SI for experimental details). A parallel experiment was also performed by irradiating films having the same Ir complex:BPhen composition with 365 nm light for 48 hours. Analysis of the irradiated films using mass spectroscopy showed Flrpic and *fac*-Ir(ppy)₃ formed the same adducts with BPhen as observed in the thermal degradation studies but no adducts were formed using *fac*-Ir(tpz)₃ (see SI). This divergent reactivity further supports the presence of a strong bond between the carbene ligand and the metal ion in *fac*-Ir(tpz)₃, and hence its chemical robustness.

3.1.1. Computational Studies

Density functional theory (DFT) and time dependent DFT (TD-DFT) calculations were carried out to aid in evaluation of the electronic properties of the *fac*- and *mer*-Ir(C[^]C)₃ complexes. The three highest occupied molecular orbitals (HOMO, HOMO-1 and HOMO-2) have electron densities localized on the Ir atom and metalated phenyl rings, whereas the three lowest unoccupied molecular orbitals (LUMO, LUMO+1, LUMO+2) are near degenerate and confined to the pyrazinoimidazolyl moieties and Ir center. Representative HOMO, LUMO and triplet spin density surfaces for *fac*- and *mer*-Ir(pmpz)₃ are illustrated in Figure 2, energies for these valence orbitals, as well as the lowest singlet and triplet states are listed in Table 1. The HOMO and LUMO of *fac*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃ are stabilized relative to *fac*-Ir(pmp)₃. The LUMO in the pyrazino derivatives is more stabilized than the HOMO, consequently decreasing the singlet and triplet energies.



The overlap between the HOMO and LUMO of the meridional isomers of the Ir(C[^]C:)₃ complexes is minimal, resulting in a smaller oscillator strength than for the facial isomers (**Table 1**). The weaker orbital overlap also gives rise to a decrease in the energy gap between the singlet and triplet excited states (ΔE_{ST}) for the meridional isomers relative to their facial counterparts. The orbital overlaps for the excited states of the facial and meridional isomers were quantified by calculating the extent of spatial overlap (Λ) between the hole and electron natural transition orbitals (NTOs) for transitions associated with the S_1 and T_1 states (**Table 1**, see SI for details on the method). The S_1 state gives a lower Λ value than that of the T_1 for a given Ir(C[^]C:)₃ compound, due to slightly differing nature of the S_1 and T_1 states. The S_1 state has a predominant MLCT character, whereas the T_1 state has significant ligand centered (π - π^*) character mixed in. The Λ values are markedly lower for the meridional isomers than their facial counterparts. The value of Λ will approach unity for

strongly localized excitations such as in π - π^* transitions with substantial spatial overlap and zero for purely charge transfer (CT) transitions with no spatial overlap. For example, the computed Λ value and experimental S_1 - T_1 gap for anthracene, a compound with a localized π - π^* transition, are $\Lambda = 0.84$ (S_1) and 0.89 (T_1), $\Delta E_{ST} = 1.46$ eV^[36], whereas a compound with a CT excited state, e.g. 2,4,5,6-tetrakis(carbazol-9-yl)-1,3-dicyanobenzene (4CzIPN) gives $\Lambda = 0.29$ (S_1) and 0.32 (T_1) and $\Delta E_{ST} = 0.10$ eV^[37, 38]. More relevant are values for *fac*-Ir(ppy)₃: $\Lambda = 0.46$ (S_1) and 0.69 (T_1), $\Delta E_{ST} = 0.48$ eV.^[39] It is evident that the Λ and ΔE_{ST} values for the Ir(C[^]C:)₃ complexes, particularly the meridional isomers, suggest they have pronounced CT character in their excited states. Moreover, the dipole moments calculated for the optimized S_0 and T_1 states of the *mer*-isomers undergo a larger change than for the *fac*-isomers. The magnitude for the change falls between 7.3 to 9.9 D for the *fac*-isomers whereas the values are 18.0 and 21.8 D for *mer*-Ir(pmp)₃ and *mer*-Ir(pmpz)₃, respectively (Table 1 and Figure S23).

Table 1. Calculated energies for frontier orbitals, singlet and triplet transitions (in eV) and dipole moments (in Debye) for the Ir(C[^]C:)₃ complexes from DFT and TD-DFT calculations (B3LYP/LACVP).**

	HOMO	LUMO	S_1, f^a	T_1	ΔE_{ST}	Λ^b	μ	μ'^c	$\Delta\mu^d$
						S_1 / T_1	S_0 / T_1		
<i>fac</i> -Ir(pmp) ₃	-4.97	-1.14	3.23, 0.0413	3.04	0.19	0.39 / 0.59	11.5 / 8.4	4.2	7.3
<i>fac</i> -Ir(pmpz) ₃	-5.28	-1.80	2.90, 0.0355	2.69	0.21	0.35 / 0.58	7.1 / 11.3	-2.5	9.6
<i>fac</i> -Ir(tpz) ₃	-5.03	-1.61	2.85, 0.0386	2.65	0.20	0.36 / 0.56	7.7 / 2.3	-2.2	9.9
<i>mer</i> -Ir(pmp) ₃	-4.84	-1.28	2.99, 0.0045	2.93	0.06	0.28 / 0.44	7.4 / 11.4	-10.6	18.0
<i>mer</i> -Ir(pmpz) ₃	-5.13	-1.92	2.65, 0.0027	2.60	0.05	0.24 / 0.41	4.5 / 18.2	-17.3	21.8

^a f = oscillator strength. ^b Overlap integrals for the hole and electron NTOs. ^c Projection of the dipole moment vector of the T_1 state onto S_0 state. Positive values indicate the dipoles for each state are

oriented in the same direction whereas negative values indicate they oppose each other. ^d Change in the dipole moment between $\mu(S_0)$ and μ' .

3.1.2. Electrochemical and Photophysical Properties

The electrochemical properties of the Ir(C[^]C:)₃ complexes were analyzed using cyclic voltammetry (CV) and differential pulse voltammetry (DPV) (see SI for traces). The complexes display a single quasi-reversible oxidation, with the potentials of *mer*-complexes being 250 mV lower than their *fac*-counterparts. Three closely spaced, reversible reduction waves are observed for the Ir(C[^]C:)₃ complexes. The greater electronegativity of the nitrogen atom versus methene (CH) lowers the first reduction potentials of *fac*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃ by 600 mV relative to *fac*-Ir(pmp)₃, whereas the oxidation potentials differ by only 200 mV. The separation between the first and second reduction waves in *fac*-Ir(pmp)₃ (120 mV) and *fac*-Ir(pmpz)₃ (140 mV) is less than that for the first and second reduction in *fac*-Ir(ppy)₃ (300 mV),^[39] indicating weaker interligand electronic coupling in the Ir(C[^]C:)₃ complexes than in the Ir(C[^]N:)₃ counterparts. The measured redox potentials (**Table 2**) correlate well with results from DFT calculations, which suggest that the HOMO energies of the meridional isomers are shallower than those of the facial isomers, whereas the LUMO energies are comparable.

Table 2. Electrochemical properties of the Ir(C[^]C:)₃ complexes.

complex	$E_{\text{ox}}(\text{V})^{\text{a}}$	$E_{\text{red}}(\text{V})^{\text{a}}$	$\Delta E_{\text{redox}} (\text{V})$
<i>fac</i> -Ir(pmp) ₃	+0.60	-2.81, -3.03, -3.21	3.41
<i>fac</i> -Ir(pmpz) ₃	+0.80	-2.21, -2.35, -2.52	3.01
<i>fac</i> -Ir(tpz) ₃	+0.66	-2.14, -2.32, -2.50	2.80
<i>mer</i> -Ir(pmp) ₃	+0.38	-2.78, -2.99, -3.18	3.16
<i>mer</i> -Ir(pmpz) ₃	+0.55	-2.21, -2.36, -2.52	2.76

^a Peak potentials determined using DPV measured in DMF with 0.1 M TBAF and referenced to an internal ferrocenium/ferrocene couple.

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The UV-visible absorption spectra of *fac*- and *mer*-Ir(pmp)₃, *fac*- and *mer*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃ are shown in **Figure 3**. Strong absorption bands at high energy ($\lambda < 350$ nm) are assigned to ligand π - π transitions whereas bands at lower energies are assigned to spin-allowed MLCT transitions. The absorption bands for the MLCT transitions in the facial isomers have relatively high extinction coefficients ($\epsilon \sim 2.0 \times 10^4 \text{ M}^{-1}\text{cm}^{-1}$). These MLCT transitions in *fac*-, *mer*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃ complexes are lower in energy ($\lambda = 400$ – 425 nm) than similar transitions in *mer*- and *fac*-Ir(pmp)₃ ($\lambda = 350$ – 380 nm).^[1] The bathochromic shifts are consistent with the smaller ΔE_{redox} gaps in the complexes with pyrazino moieties compared to the analogs with the pmp ligand. The ¹MLCT transitions of the meridional isomers have lower extinction coefficients than their facial counterparts, as predicted by the TD-DFT calculations, consistent with poor orbital overlap between the HOMO and LUMO.

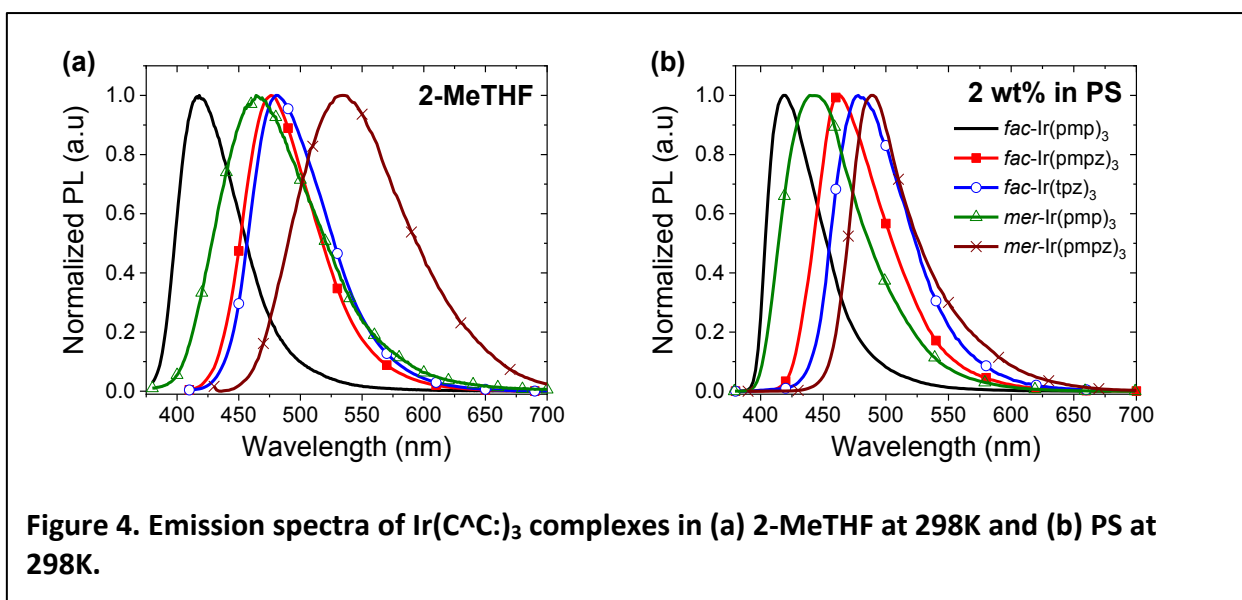
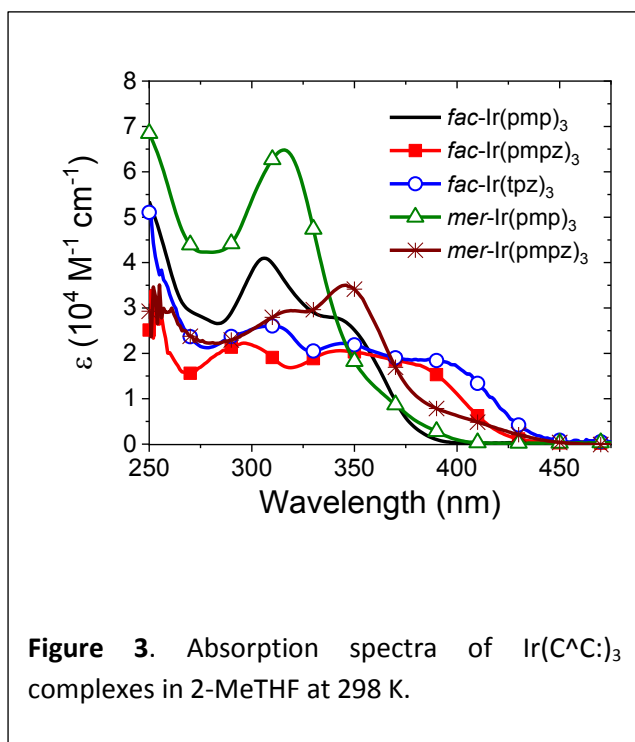


Figure 4. Emission spectra of Ir(C[^]C)₃ complexes in (a) 2-MeTHF at 298K and (b) PS at 298K.



Photoluminescence (PL) spectra of the complexes were measured in 2-MeTHF and polystyrene (PS) and shown in **Figure 4**; the photophysical parameters are summarized in **Table 3**. PL spectra and data in other solvents and in PMMA films are given in the SI. The emission lifetimes (τ) were obtained from mono-exponential fits to the data at room temperature. Radiative (k_r) and non-radiative (k_{nr}) rate constants are calculated from the emission lifetimes and

Φ_{PL} using the relationship $k_r = \Phi_{PL}/\tau$, where $\Phi_{PL} = k_r / (k_r + k_{nr})$. The emission spectra of the Ir(pmp)₃ complexes are redshifted compared to the emission spectra of the Ir(pmpz)₃ complexes in all media.^[1] The *fac*-Ir(tpz)₃ complex displays similar PL spectra with *fac*-Ir(pmpz)₃, but with a further redshift of 5 nm as a result of electron donation by the methyl group meta to iridium. Similar to *mer*-Ir(pmp)₃, the PL spectrum of *mer*-Ir(pmpz)₃ is broad and exhibits a large bathochromic shift relative to the *fac*-isomer. The broadened emission of *mer*-Ir(pmpz)₃ suggests that the excited-state geometry is significantly distorted from that of the ground state. The decrease in emission energy of *mer*-Ir(pmpz)₃ compared to its facial isomer parallels its lower ΔE_{redox} gap and correspondingly smaller energy gap.

The complexes have high PL efficiencies in nonpolar solvents ($\Phi_{PL} > 0.65$). Emission in polar solvents is markedly red-shifted and accompanied by a decrease in the PL efficiency, especially for *mer*-Ir(pmpz)₃, (e. g., $\lambda_{em} = 495$ nm, $\Phi_{PL} = 76\%$ in cyclohexane; $\lambda_{em} = 590$ nm, $\Phi_{PL} = 0.8\%$ in

acetonitrile; see SI). This red-shift and drop in PL efficiency with solvent polarity is in contrast with the PL properties of *fac*-Ir(ppy)₃ which are relatively insensitive to solvent composition.^[40] The solvatochromism of *fac*-Ir(pmpz)₃ is indicative of a pronounced charge-transfer character in the Ir(C[^]C:)₃ complexes as reflected in the low values for the overlap integrals calculated for their S₁ states and consistent with the large change in dipole moment calculated for the T₁ state (**Table 1**). The decrease in PL efficiency with emission energy is caused by an increase in the nonradiative rate constant, consistent with energy gap law (**Figure S4**).^[3] The emission spectra blue-shift and narrow in a rigid matrix (PS) and the luminescence efficiency increases (Φ_{PL} as high as 92%) as the *k_{nr}* of all the complexes is suppressed. The *k_r* of the meridional isomers in PS are some of the highest reported for Ir-based phosphors. As observed with *mer*-Ir(pmp)₃ in PS film, *mer*-Ir(pmpz)₃ has a shorter emission lifetime (τ = 0.93 μs) than its facial isomer (τ = 2.50 μs), owing to having a higher radiative rate constant (*k_r* = 9.16×10⁵ s⁻¹ versus 3.44×10⁵ s⁻¹ for the facial isomer), despite also having a faster non-radiative rate (*k_{nr}* = 1.62×10⁵ s⁻¹ versus *k_{nr}* = 0.56×10⁵ s⁻¹ for the facial isomer). The unusually high *k_r* values of the meridional isomers could be related to their small singlet-triplet gaps (Δ*E_{ST}* ~0.05 eV, based on TD-DFT).^[41] Unusually high *k_r* values were not observed with the facial isomers as they have larger exchange energies (Δ*E_{ST}* ~ 0.2 eV). Interestingly the emission lifetimes of all the complexes at T = 77 K remained relatively short.

Table 3. Photophysical properties of Ir(C^C:)₃ complexes in 2-MeTHF and polystyrene (PS).

complex	298 K					77 K	
	λ_{\max} (nm)	Φ_{PL} (%)	τ (μs)	k_r (10^5 s^{-1})	k_{nr} (10^5 s^{-1})	λ_{\max} (nm)	τ (μs)
2-MeTHF							
<i>fac</i> -Ir(pmp) ₃	417	76	1.2	6.4	2.0	393	3.9, 9.2
<i>fac</i> -Ir(pmpz) ₃	475	87	2.5	3.5	0.52	447	7.5
<i>fac</i> -Ir(tpz) ₃	481	98	2.0	4.9	0.10	458	4.4
<i>mer</i> -Ir(pmp) ₃	465	78	0.80	10	2.7	413	1.0
<i>mer</i> -Ir(pmpz) ₃	530	27	0.44	6.2	17	490	2.0
2 wt% polystyrene							
<i>fac</i> -Ir(pmp) ₃	420	78	1.1	7.1	2.00	-	3.3
<i>fac</i> -Ir(pmpz) ₃	460	86	2.5	3.4	0.56	-	5.0
<i>fac</i> -Ir(tpz) ₃	480	92	2.1	4.5	0.29	-	4.0
<i>mer</i> -Ir(pmp) ₃	440	80	0.64	13	3.1	-	1.1
<i>mer</i> -Ir(pmpz) ₃	490	85	0.93	9.2	1.6	-	1.7
Host	<i>fac</i> -Ir(tpz) ₃ doped in EL host at 10%						
<i>m</i> CBP ^a	478	90	1.85	4.9	0.50	-	-
txl ^b	488	88	1.89	4.7	0.59	-	-

a. *m*CBP = 3,3'-di(9*H*-carbazol-9-yl)-1,1'-biphenyl, b. txl1-(4-(dibenzo[*b,d*]thiophen-4-yl)-2,5-dimethylphenyl)-1*H*-phenanthro[9,10-*d*]imidazole

3.1.3. Electroluminescence Properties

Blue emission with high luminance efficiency and scalable and high yielding synthesis make $fac\text{-Ir}(\text{tpz})_3$ an ideal phosphorescent dopant for fabrication of blue OLEDs. The triplet energy for $fac\text{-Ir}(\text{tpz})_3$ is slightly lower than $fac\text{-Ir}(\text{pmpz})_3$, which allows it to be efficiently hosted in the stable host material 3,3'-di(9H-carbazol-9-yl)-1,1'-biphenyl ($m\text{CBP}$) where the photoluminescence efficiency remains high (Table 3). Additionally, the energy of the LUMO (2.3 eV) is similar to that of common electron transport materials, facilitating electron injection and transport in the devices. The electroluminescence (EL) properties were investigated by fabricating OLEDs using $fac\text{-Ir}(\text{tpz})_3$ as an emissive dopant using the device architecture shown in **Figure 5**. The choice of hole and electron

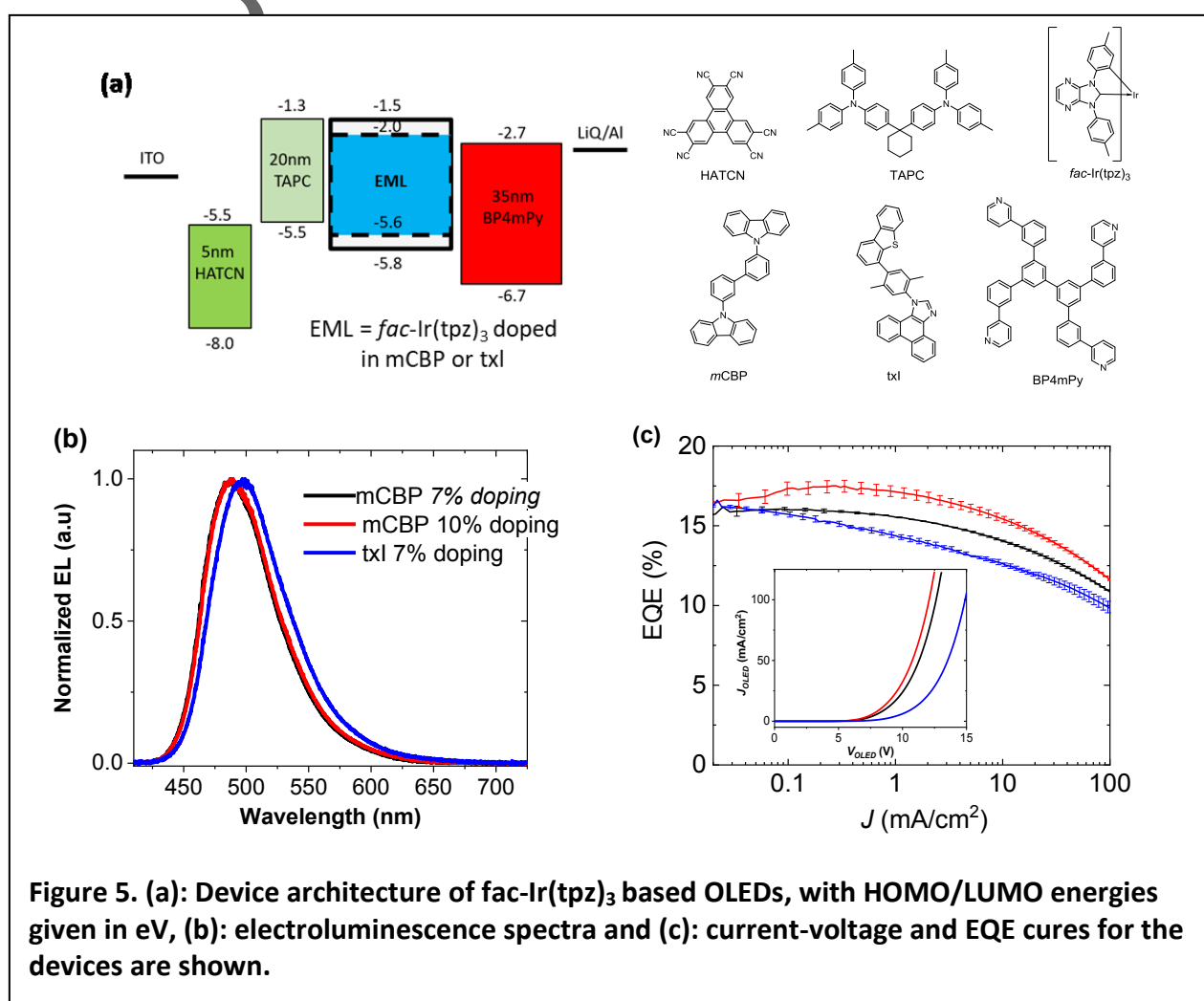


Figure 5. (a): Device architecture of $fac\text{-Ir}(\text{tpz})_3$ based OLEDs, with HOMO/LUMO energies given in eV, (b): electroluminescence spectra and (c): current-voltage and EQE curves for the devices are shown.

transport materials was based on our previous success with these materials in blue phosphorescent OLEDs.^[7] The OLED performance parameters are given in **Table 4** and plots of device efficiencies versus luminance are given in the SI. In these devices, *fac*-Ir(tpz)₃ was used as a blue dopant in an emissive layer comprised of *m*CBP as well as another high triplet energy host txI [1-(4-(dibenzo[*b,d*]thiophen-4-yl)-2,5-dimethylphenyl)-1H-phenanthro[9,10-*d*]imidazole,^[42]]. The electroluminescence spectra of *fac*-Ir(tpz)₃ in the devices are very similar to their PL spectra in thin films, indicating effective exciton confinement on the dopant. Current density–voltage (*J*–*V*) measurements show that the conductivity of devices are independent of doping concentration in *m*CBP, but varies between the two hosts, suggesting that charges are also carried by the host materials. The maximum efficiency (18±1%) was achieved in the optimized *m*CBP device and all of the devices give minimal efficiency decreases at high brightness (1000 cd/m²). Devices with Firpic in an identical architecture show similar electroluminescence and device performance at both low and high brightness levels.^[7] Similar high efficiencies, in the 15-20% range without outcoupling enhancement are not common, but have been observed for OLEDs based on other Ir(C[^]C)₃ and Ir(C[^]N)₃ phosphors.^[7, 13, 20, 26, 43-50]

Host / doping level	V _{on} (V)	L _{max} (cd/m ²)	EQE _{max} (%)	CE _{max} (cd/m ²)	Efficiency at 1000 cd/m ²			λ _{max} (CIE)
					EQE (%)	CE (cd/A)	PE (lm/W)	
<i>m</i> CBP / 7%	4.1	27000	15	33	14	32	4.3	488 (0.16, 0.38)
<i>m</i> CBP / 10%	3.9	29000	18	38	16	36	5.1	488 (0.16, 0.38)
txI / 7%	4.2	24000	16	38	13	32	3.5	497 (0.18, 0.45)
<i>m</i> CBP / 8% Firpic ^[7]	3.3	28000	17	40	16	39	5.8	475,502 ^b (0.15, 0.29)

Table 4: OLED performance parameters for *fac*-Ir(tpz)₃ based OLEDs.^a

a. V_{on} = voltage at 1 cd m^{-2} , L = luminance, CE = current efficiency, PE = power efficiency. b. the Irpic EL spectrum in *m*CBP consists of two roughly equal intensity peaks.^[7]

3.2. Conclusion

In this work, we developed three new tris-Ir(III) carbene complexes featuring pyrazinoimidazolyl cyclometalating ligand (*fac*- and *mer*-Ir(pmpz)₃ and *fac*-Ir(tpz)₃) for use as blue dopants in OLEDs. Computational, electrochemical and photophysical studies of these complexes along with the previously reported deep blue emitting tris-Ir(III) carbene complexes featuring pyridinoimidazolyl ligand (*fac*- and *mer*-Ir(pmp)₃) were carried out to interpret their excited state properties. The meridional isomers have minimal overlap between their HOMO and LUMO, resulting in small calculated energy difference between their singlet and triplet levels (ΔE_{ST}) and low oscillator strengths for the lowest singlet states. The frontier orbitals of the facial isomers have larger overlap, leading to larger ΔE_{ST} and oscillator strengths than the meridional isomers. The HOMO (~5.4 eV) and LUMO (~2.1 eV) levels of the pyrazine analogs are ideal for charge injection into the EML. All of the reported complexes have high photoluminescence efficiencies ($\Phi_{PL} = 78\text{-}92\%$) in polystyrene matrices and non-polar solvents; however, the efficiency decreases with increasing solvent polarity due to increase in nonradiative rates, consistent with the energy gap law.

Finally, *fac*-Ir(tpz)₃, the most promising complex was employed as a blue emissive dopant in OLEDs. Optimized blue PHOLED devices using this material achieved excellent electroluminescence efficiency (~18±1%), high brightness ($3.5 \times 10^4 \text{ cd/m}^2$) at low current density. Further substitution of the tpz ligand is being explored to generate a deep blue Ir(C[^]C:)₃ emitter.

Conflicts of Interest

Two of the authors (Forrest and Thompson) have a financial interest in one of the funders of this work (the Universal Display Corporation).

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Supporting Information

The supporting information contains: syntheses, NMR and mass spectral spectra of precursors and final Ir carbene complexes, photophysical properties of Ir(C[^]C:)₃ in various solvents; electrochemical studies of Ir(C[^]C:)₃ (cyclic voltammetry and differential pulse voltammetry), thermal degradation studies of *fac*-Ir(C[^]C:)₃, computational modeling of Ir(C[^]C:)₃ complexes, x-ray crystallographic analysis of *fac*-Ir(tpz)₃ and details of OLED studies for *fac*-Ir(C[^]C:)₃.

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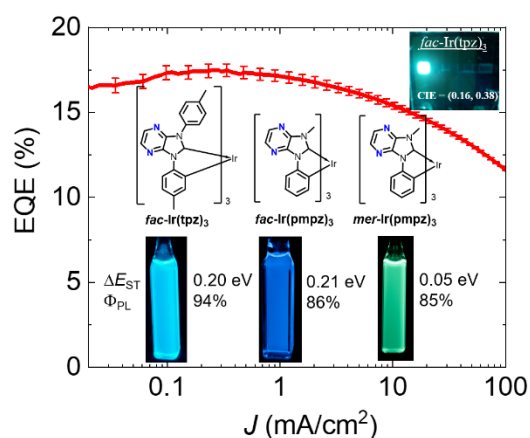
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TOC Graphic and text



The photophysical and electrochemical properties of three N-heterocyclic carbene complexes of Iridium (III) are reported. The complexes phosphoresce in the blue with high photoluminescent quantum yield ($\Phi_{PL} = 88-96\%$). Blue phosphorescent organic LEDs employing one of the complexes as a emissive dopant achieved a high external electroluminescence efficiency ($\sim 18 \pm 1\%$) and brightness (29000 cd/m^2).