

1 Using Gradient Forest to predict climate response and adaptation 2 in Cork Oak

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4 Running title: Climate response and adaptation in Cork Oak

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60 MV conducted the data analysis with FPM and OSP, all authors participate in the writing
61 up and reviewing of the manuscript. OSP and FPM design the original sampling design.

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Using Gradient Forest to predict climate response and adaptation in Cork Oak

Abstract

Climate change is impacting locally adapted species such as the keystone tree species cork oak (*Quercus suber* L.). Quantifying the importance of environmental variables in explaining the species distribution can help build resilient populations in restoration projects and design forest management strategies. Using landscape genomics, we investigated the population structure and ecological adaptation this tree species across the Mediterranean Basin. We applied genotyping by sequencing and derived 2,583 single nucleotide polymorphism markers genotyped from 81 individuals across 17 sites in the studied region. We implemented an approach based on the nearest neighbor haplotype “coancestry” and uncovered a weak population structure along an east-west climatic gradient across the Mediterranean region. We identified genomic regions potentially involved in local adaptation and predicted differences in the genetic composition across the landscape under current and future climates. Variants associated with temperature and precipitation variables were detected and we applied a nonlinear multivariate association method, gradient forest, to project these gene–environment relationships across space. The model allowed the identification of geographic areas within the western Mediterranean region most sensitive to climate change: southwestern Iberia and northern Morocco. Our findings provide a preliminary assessment toward a potential management strategy for the conservation of cork oak in the Mediterranean Basin.

Key words: climate change, local adaptation, landscape genomics, Gradient Forest, *Quercus suber* L.

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35 **Introduction**

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37 The adverse effects of climate change on European forests will largely depend on the
38 capacity of trees to tolerate temperature and precipitation changes. In the Mediterranean
39 Basin, higher temperatures and increased aridity are predicted to have serious consequences
40 on species composition (Dukes et al., 2005; Petit et al., 2005) with anticipated latitude and
41 elevation shifts in response to these changes (Benito et al., 2014). Local adaptation will
42 determine the fate of these species, providing insight into the magnitude and location of the
43 potential effects of climate change, knowledge that will help to mitigate these future effects.
44 Scientists have raised concerns regarding the ability of trees to cope with climate change
45 (Lindner et al., 2010; Alberto et al., 2013; Sork et al., 2013). Variations are observed in trees
46 phenotypic (Príncipe et al., 2019) and genetic features across the landscape and their response
47 will depend on the genetic architecture of traits associated with the response to current climate
48 conditions. However, the long lifespan of tree species questions their ability to mitigate the
49 effect of a changing climate (Hughes et al., 2008; Kremer et al., 2014). Finding the most
50 appropriate way to protect trees is central as their future may directly impact the global
51 carbon cycle and the rate of climate change due to the importance of forest ecosystem (Sork et
52 al., 2013).

53 Forest tree populations are the result of natural demographic and selective processes where
54 gene flow and natural selection are shaping spatial genetic patterns and driving phenotypic
55 variations (Sork, 2016). Using common garden experiments, several studies emphasized the
56 importance of species phenology in mediating adaptation to climate (Savolainen et al., 2007;
57 Alberto et al., 2013). They highlighted variations in tree resistance to cold, drought or the
58 ability of trees to grow under various conditions and aimed to improve forest management
59 practices (Bower & Aitken, 2008; Aitken & Bemmels, 2016). However, these types of
60 experiments are long and costly and biologists turned to genetics to gain knowledge about
61 spatial patterns of adaptation. The development of landscape genomics has shown that gene-
62 environment correlation can mirror phenotypic correlations with environmental gradients
63 (Coop et al., 2010).

64 In naturally evolving environments, gene flow occurs more often within populations living
65 in close distance to each other. Genomic data have been able to elucidate patterns of Isolation

66 by Distance (IBD) and to examine spatial relationships across the landscape. With the
67 advances in high-throughput sequencing, researchers have started to examine patterns of
68 Isolation by Environment (IBE). The field of landscape genomics emerged as a framework to
69 study interactions between adaptive processes in natural populations and environmental
70 heterogeneity (Schoville et al., 2011; Sork et al., 2013; Neale et al., 2017). Thousands of
71 genetic markers can be investigated in the light of georeferenced samples to gain insights on
72 evolutionary processes using approaches referred as Environmental Association Analysis
73 (EAA) (Rellstab et al., 2015; Čalić et al., 2016) or genetic–environment association (GEA)
74 (Lotterhos & Whitlock, 2015). By correlating genomic data and environmental variables, it
75 became possible to identify environmental and genomic factors driving local adaptation
76 (Hoban et al., 2016).

77 EAA approaches allow to better characterize target species and can help toward a better
78 management of seeds for revegetation purposes. Forest restoration has traditionally been
79 restricted to the use of local seeds (Broadhurst et al., 2008). However, reduced seed sourcing
80 can lead to a limited gene pool which may result in inbreeding depression for future
81 generations especially when ecosystems are under stress or when population size is shrinking.
82 Using integrative population genetic and ecological modelling, it appears now possible to
83 guide seed choices in a process known as Assisted Gene Flow (Aitken & Whitlock, 2013).
84 Obtaining seeds from other geographical areas may improve forest management strategies by
85 increasing genomic and phenotypic diversity (Williams et al., 2014; Supple et al., 2018).
86 These practices may help current populations mitigate the adverse effects of climate change
87 (Prober et al., 2015).

88 The first approaches used to model species adaptation to its environment were based on
89 species distribution models (SDM) which rely mostly on species (or subspecies) presence
90 data. These models were not fit to account for the intraspecific variation due to local
91 adaptation (Fitzpatrick & Keller, 2015). In their paper, Fitzpatrick and Keller (2015)
92 demonstrated how to apply community-level modelling approaches to map turnover of allele
93 frequencies along environmental gradients. They used Gradient Forest (GF) (Ellis et al.,
94 2012), a regression tree-based method and Generalized Dissimilarity Modelling (GDM)
95 (Ferrier et al., 2007), a distance-based modelling approach. These methods have promising
96 applications as they can quantify the role of spatial and environmental variables in structuring
97 genetic variations which allow to describe non-linear changes along environmental gradients,
98 and thus overcome the limitation of traditional genotype–environment associations. Several
99 studies successfully applied these methods on tree species (Gugger et al., 2018; Martins et al.,

100 2018; Supple et al., 2018; Ingvarsson & Bernhardsson, 2020), being able to describe
101 association between genetic structure and environmental variables. For instance, Gugger et
102 al., (2018) generated a dataset of over 11,000 single nucleotide polymorphisms (SNPs) from
103 311 *Acacia koa* trees in Hawaii. They predicted using future climatic scenarios that changes
104 in rainfall patterns may result in “genetic offset” (sensu Fitzpatrick & Keller, 2015) where
105 trees may no longer be genetically adapted to fit their environment. These methods appear as
106 a useful tool to guide reforestation strategies through the selection of tree populations better
107 equipped to face climate change.

108 In the present study, we aimed at investigating the potential adaptive response of cork oak
109 (*Quercus suber* L.) to climate change. The species is present throughout the western
110 Mediterranean region, where it holds high economic importance and a vast ecological
111 significance in sustaining terrestrial biodiversity and other regulating ecosystem services
112 (Benito Garzón et al., 2008). The current distribution of cork oak ranges from the Atlantic
113 coasts of North Africa and the Iberian Peninsula to the southern regions of Italy (Fig. 1). The
114 evolutionary origin of the cork oak is thought to have been occurred in the western
115 Mediterranean region, where the species was able to persist throughout climate oscillations of
116 the Quaternary period (2.6 Ma) (Magri et al., 2007). In the Iberian Peninsula and Morocco,
117 palynological evidence hints at a long-term persistence of cork oak dating from the last glacial
118 period (Magri et al., 2007). Several study cases have attempted to model the species
119 distribution across various timelines using Ecological Niche Modelling (ENM) (Vessella et
120 al., 2015, 2017; Correia et al., 2018). However, these models assume the uniformity of
121 climate response below the species level and do not account for the multidimensionality of
122 genomic variation.

123 Here, we seek to understand the ecological drivers of adaptation in cork oak by reanalyzing
124 a previously published dataset which revealed lack of significant population structure
125 (Pina-Martins et al., 2019). We expanded previous investigations (Costa et al., 2011; Modesto
126 et al., 2014; Pina-Martins et al., 2019) by implementing a population structure analysis based
127 on the nearest neighbor haplotype “coancestry” and by combining population genomics and
128 gene-environment associations to further study the local adaptation of these tree keystone
129 species. Moreover we implemented an innovative nonlinear multivariate approach, gradient
130 forest, to identify areas at risk of climate maladaptation and predict genetic changes required
131 to keep pace with a changing environment. This identification of the spatial regions where
132 gene-environment relationships will be most disrupted, the ‘genetic offset’, is of critical
133 importance for a knowledge based adaptive management of this economic important species.

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139 **Methods**

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141 Sampling and Genomic data processing

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159 Population Structure and Summary Statistics

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For a pilot approach, we ran the Stacks pipeline with the same parameters but varying the MAF between 0.00, 0.01 and 0.03 (Table S3). Based on this test, a minor allele frequency <0.01 was used for subsequent analyses. For the analysis of population structure and summary statistics we use two datasets: (a) the adaptive-SNP dataset and (b) the “neutral” dataset. The latter exclude SNPs that were identified as significant climate-associated SNPs

166 by the Environmental Association Analysis (see below), to assess the effect of putative “non
167 neutral” SNPs on the overall pattern of populations differentiation and structure. The
168 Bulgarian population is known to be introduced, probably from Iberia, consequently those
169 samples were included in the population structure analysis but excluded from the Mantel test
170 and any further analyses.

171 To explore gene flow and pattern of isolation by distance on the genetic structure of cork
172 oak, we calculated pairwise F_{ST} in R 3.3.0 (R Core Team, 2016) using the “hierfstat” package
173 (Goudet, 2005). Mean nucleotide diversity (π) and expected heterozygosity (H) were
174 estimated for each population using STACKS.

175 To analyze the population structure, we used fineRADStructure v.0.3.1 (Malinsky et al.,
176 2018). FineRADStructure is a model-based Bayesian clustering approach that groups together
177 individuals with high levels of shared coancestry. The high resolution population structure
178 inference is based on this coancestry matrix, which is used to cluster individuals according to
179 the similarity of their RAD haplotypes. This improved capacity is the result of combination of
180 the new RADpainter with fineSTRUCTURE. The former is simple method of finding the
181 closest relatives for each allele and summed up into the coancestry matrix. Each individual is
182 considered to either being a donor or a recipient of DNA fragments. The coancestry matrix
183 then records the inferred recombination events between each donor and recipient prior to
184 coalescing with another genome. RADpainter was designed to take full advantage of RAD
185 data sets (see Malinsky et al. 2018 for further details on the calculations of the coancestry
186 matrix). The latter is a Markov chain Monte Carlo (MCMC) clustering algorithm. The
187 optimal population structure is obtained by exploring the space of population configurations
188 and a proposed population configuration is accepted with a probability derived from the ratio
189 of the likelihood with the previous configuration, a likelihood that in turn depends on the
190 terms of the scaled coancestry matrix. Based on the final output, we can infer the number of
191 clusters, quantify ancestry sources in each group, and also explore relationships between
192 groups (Lawson et al., 2012; Malinsky et al., 2018). Additionally, population structure was
193 visualized on a principal component analysis (PCA) with the “adegenet” package (Jombart,
194 2008).

195 Mantel tests assess the association between genetic and geographic distance and detect
196 spatial autocorrelation (Mantel, 1967). Genetic variation was calculated as the Bray-Curtis
197 distances between loci. The geographic distances consisted of the Euclidean distances
198 between sampling localities. Mantel tests were performed using the vegan package (Goslee &
199 Urban, 2007) in R with 10,000 permutations.

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201 Genetic–Environment Association and Outlier Detection

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203 Outlier detection was performed in BAYESCAN v2.1 (Foll & Gaggiotti, 2008) using
204 100,000 iterations with a burning of 50,000 steps, and a thinning interval size of 10. The latter
205 has been recognized as the most effective population differentiation method (De Mita et al.,
206 2013; Lotterhos & Whitlock, 2014). To minimize the chance of false positives due to
207 multiple testing, we applied a False Discovery Rate (FDR) criterion of 0.05 (Benjamini &
208 Hochberg, 1995). Q-values were calculated in R 3.3.0 (R Core Team, 2016) using the
209 “qvalue” package (Storey et al., 2015). Since cork oak might exhibit a weak pattern of
210 isolation by distance, this F_{ST} outlier analysis provides credible candidate SNPs resulting from
211 spatially divergent selection pressures.

212 Redundancy analysis (RDA) was performed in R using the package “vegan” (Oksanen et
213 al., 2013). RDA is an ordination method which examines the variations of how a set of
214 variables is explained by another set. In this study, RDA is used to investigate how much of
215 the genetic variation is attributable to either climate or spatial distances, versus how much can
216 be explained by their joint effect. Gene ontology (GO) terms were investigated and
217 summarized using Blast2GO (Conesa & Götz, 2008) to identify genes harboring putatively
218 selected SNPs that might play a role in adaptation.

219 Additionally, we detected local adaptation by testing for associations between SNPs allelic
220 frequencies changes and climatic gradients. We tested the nineteen Bioclimatic (BIO)
221 WordClim variables V2.0 (Fick & Hijmans, 2017) at 30 arc-seconds (~1 km) resolution using
222 a Latent Factor Mixed Model implemented in LFMM 1.3 (Frichot et al., 2013). Information
223 for each sample was extracted in R (v 3.1.1) using the “raster” (Hijmans & van Etten, 2012)
224 and “dismo” (Hijmans et al., 2012) packages. LFMM is a Bayesian approach used to detect
225 selection in landscape genomics. Briefly, the method investigates the influence of population
226 structure on allele frequencies by introducing unobserved variables as latent factors (K)
227 (Stucki et al., 2017) to detect signatures of local adaptation while accounting for population
228 structure. K -values ranging from one to eight, and three independent repetitions for each K ,
229 were run using the Bayesian clustering method described in the LFMM manual. The method
230 resulted in choosing a $K = 2$ (Fig. S1). We performed three independent LFMM runs using
231 10,000 iterations and burn-in of 5,000 using the LEA package in R. The $|z|$ -scores were
232 averaged to strengthen the genetic-environment association and a FDR of 5% was used to

233 uncover significant SNPs (Frichot & François, 2015). Adjusted p-values (q) were estimated
234 using a genomic inflation factor (λ) procedure (Devlin & Roeder, 1999). A visual
235 examination of histograms of adjusted p-values was performed to assess the confounding
236 effect of the population structure as recommended in the LFMM manual (Fig. S2).

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238 Gradient forest analysis

239 We modelled current and future patterns of genetic variation using a gradient forest (GF)
240 approach. GF analysis was implemented using “gradientForest” (Breiman, 2001) in R. The
241 method is a nonparametric, machine-learning regression tree approach which allows mapping
242 patterns of turnover in biological composition using nonlinear functions of an environmental
243 gradient. We conducted an initial GF analysis on the nineteen Bioclimatic (BIO) WordClim
244 variables to assess the relative importance of each predictor variable using weighted R^2
245 values (split importance - Ellis et al., (2012)) (Fig. S3). Split importance measures the amount
246 of variation explained, appearing high along the gradient where allelic frequency change is
247 large. After the initial model, we removed eleven variables (BIO1, BIO2, BIO3, BIO4, BIO5,
248 BIO10, BIO11, BIO15, BIO16, BIO17 and BIO18) due to high correlation (Pearson’s
249 correlation coefficient $|r| > 0.8$) and lower explanatory power than the other remaining
250 variables (Table S2). The GF turnover function describes the magnitude of turnover in genetic
251 distance along the gradient while considering all the other variables constant (Fitzpatrick &
252 Keller, 2015). As a result, in our final modeling approach, we tested for environmental
253 correlation using eight variables, four temperature variables (BIO6, minimum temperature of
254 coldest month; BIO7, temperature annual range; BIO8, mean temperature in the wettest
255 quarter; BIO9, mean temperature in the driest quarter) and four precipitation variables
256 (BIO12, annual precipitation; BIO13, precipitation of the wettest month, BIO14, precipitation
257 of the driest month; BIO19 precipitation of the coldest quarter). These variables were tested
258 for signature of local adaptation. Spatial variables were defined using principal coordinates of
259 neighborhood matrices (PCNMs) or Moran’s eigenvector maps (MEM) based on the
260 geographic coordinates (Dray et al., 2006) using the `pcnm` function in “vegan”. We modeled
261 climatic and spatial drivers of genomic variation using GF methods on two distinct SNP sets:
262 (a) the neutral-SNP dataset and (b) the significant climate-associated SNPs. To visualize the
263 GF results, the transformed environmental variables were reduced into multivariate synthetic
264 variables using principal component analysis (PCA) and the first three principal components
265 (PCs) were assigned to a red-green-blue color palette. A Procrustes superimposition (Gower,

266 1971) on the PCAs was applied to compare mapped genetic composition for the neutral-SNP
267 and the adaptive SNP datasets as described in Martins et al. (2018). The Procrustes rotation
268 compared the PCAs generated in the two models and estimated the differences between them.
269 The Procrustes residuals represent the absolute distance in genetic composition between SNPs
270 datasets at each location.

271 Finally, we used GF to estimate the genetic offset under future climate. To estimate
272 vulnerability under climate change, Bioclim variables for future climate were obtained for the
273 year 2070 under the RCP emission scenario 8.5 using the general circulation model (GCM):
274 Community Climate System Model version 4 (CCSM4) (Gent et al., 2011). The results from
275 the GF analyses were used to predict genetic change (“genetic offset”). The genetic offset is a
276 predictive measure to identify the spatial regions where gene-environment relationships will
277 be the most disrupted between current and future climates (Fitzpatrick & Keller, 2015). For
278 each grid cell, euclidian distances between current and future genetic composition were
279 calculated and serves as the metric for genetic offset (Ellis et al., 2012). The future
280 predictions inform on how much genetic composition across the landscape needs to change so
281 that current gene-environment relationships are maintained.

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287 **Results**

288 Genetic diversity and Population Structure

289 The total dataset comprised 81 samples as 15 samples were removed due to missing data
290 and 2,583 SNPs were uncovered, with 80% representation in both samples and populations.
291 Depth of coverage for each SNPs averaged 30.8 (SD=13.37). The second dataset ended up
292 with 2,318 “neutral” SNPs after removing the 265 climate-associated SNPs by the
293 Environmental Association Analysis (Table S3).

294 The average genetic differentiation across loci and populations was $F_{ST} = 0.044$ (neutral
295 dataset $F_{ST} = 0.044$). The nucleotide diversity (π) averaged 0.180 and was similar across the
296 17 populations ranging from 0.160 to 0.206, while the average gene diversity was $H_E = 0.160$,
297 $SD = 0.0037$ (Table S4). Pairwise F_{ST} ranged from -0.0023 between Tuscany and Algeria to

0.0977 between the southwest and southeast of France (Table S5). Despite the low overall population differentiation, the fineRADstructure analysis revealed signs of population structure and three defined groups of populations were identified for both the full dataset and the “neutral” (Fig. 2 and S4, Table 1). Tree distribution followed an east-west gradient. Group 1 contained 29 western samples (93.5%, $F_{ST} = 0.0212$, neutral dataset) with only two samples coming from the East (Var21 and Pug5) ($F_{ST} = 0.0379$ neutral dataset). Group 2 contained samples from Corsica (n=3) and the Landes region in France (n=4) as well as two introduced Bulgarian samples. Significant genetic exchange was observed among the third group in comparison with the other two. This group was mainly composed of individuals from eastern sampled populations (97.4%, one outlier: Cat3), namely: Tunisia, Algeria, Tuscany, Sicily, Kenitra and Apulia which accounted for 70.1% (n=86) of the private alleles uncovered (Table S4). The Apulia population specifically displayed the highest number of private alleles (n=30). These results were corroborated by the PCA even though only a weak pattern of geographic structure could be observed (Fig. S5a and b). The nucleotide diversity, measured for the full dataset, but with similar results for the “neutral” dataset, seems to be higher among the eastern group than among its western counterpart ($\pi_{EAST} = 0.188$; $\pi_{WEST} = 0.173$, $p < 0.001$), with lower population differentiation in the west (West: $F_{ST} = 0.0212$, East: $F_{ST} = 0.0379$, $p < 0.001$) and with a higher number of private alleles ($n_{EAST} = 85$; $n_{WEST} = 20$) in the eastern group. Outlier detection analysis for the full dataset revealed 11 outliers with a high F_{ST} indicating divergent selection (Fig. 3) and no outlier shows a signal of balancing or purifying selection (low F_{ST}). Gene ontology associated with one of these SNPs (SNP_14085) identified a gene encoding for a squalene monooxygenase-like protein.

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322 Genetic-Environment Association

323 The environment seemed to play an important role in the current distribution of cork oak. Redundancy analysis (RDA) found that 72% of cork oak genetic distribution could be explained purely by climate and 18% by spatial distance. The samples showed signs of isolation by distance (Mantel r statistic = 0.282, $p < 0.01$). Landscape genomics analyses revealed 265 SNPs that were significantly associated with the climatic variables tested. A total of 249 SNPs was associated with the four temperature variables, whereas only 45 SNPs were linked to the precipitation variables. Thirty-four SNPs were associated with multiple environmental variables including SNP_7403 and SNP_35704 which were associated with

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331 five variables (BIO07, BIO09, BIO12, BIO13, BIO14 and BIO19) (Table S6). Functional
332 annotation revealed that 11 loci containing an associated SNP matched genes with known
333 functions, including a gene encoding for a DNA-binding transcriptional regulator DhaR for
334 SNP_187039, which was associated with three temperature variables (BIO6, BIO7) and two
335 precipitation variables (BIO13, BIO19) (Table 1). Also, SNP_6044 was correlated with three
336 precipitation variables (BIO12, BIO13, BIO19), and the harboring loci is an ortholog of a
337 gene encoding for a mannosylglycerate hydrolase. Additionally, two SNPs included in genes
338 fragments that are part of two DNA polymerase processivity factors (SNP_143637;
339 SNP_226326) were found to be associated with the mean temperature of the wettest quarter
340 (BIO8).

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346 Gradient Forest analysis and Genetic offset under future climate

347 The GF model was run initially on the neutral dataset of 2,583 SNPs and then on the 265
348 adaptive SNPs dataset found in the LFMM analysis. The GF models that explained better the
349 variation was the adaptive SNPs model (mean $R^2 = 14.0\%$) compared to the neutral-SNPs
350 model (mean $R^2 = 12.4\%$). The spatial location appeared to be the strongest predictors (Fig.
351 4, Fig. S6). After summing variables importance, all PCNMs variables explained 58% of the
352 model variation and environmental variables explained 42% in both neutral-SNPs and
353 adaptive-SNPs models. These results indicate that spatial variables had the strongest influence
354 on the turnover in allele frequency across the distribution of cork oak. The contribution of
355 environmental variables differed between the two models in which temperature annual range
356 (BIO7) and mean temperature of the driest month (BIO9) appeared with highest R^2 weighted
357 importance among climatic variables in the adaptive SNPs model. When inspecting the
358 cumulative importance for the neutral-SNPs and adaptive SNPs models (Fig. 4), we observed
359 that in the adaptive model, the turnover of allele frequencies occurs much earlier for the
360 variables temperature annual range (BIO7) and precipitation of the driest month (BIO14). For
361 the temperature annual range variable, a major change in allelic frequencies is observed at
362 21°C and a similar change can be observed for areas where precipitation in the driest month
363 are below 10 mm.

364 The two GF models gave similar results when mapped onto the ecological niche of cork
365 oak (Fig. S6). Briefly, the genetic importance values resulting from the GF models were
366 transformed into multivariable synthetic variables using PCA and these predictions converted
367 to a red-green-blue color scale using the first three axes of the PCA (see Methods). Different
368 patterns of allele frequencies turnover were observed (Fig. 5a) with potentially unmapped
369 levels of genetic diversity present in the northeast of the Iberian Peninsula and in the Landes
370 region of France. The procrustes superimposition was performed to identify regions where
371 selection could be stronger in order to prioritize areas to be sampled in future studies. The
372 procrustes residuals measure the absolute distance in genetic composition between the
373 neutral-SNP and adaptive SNP datasets. Warmer colors on Figure 5b represent the largest
374 difference in adaptive variation compared to the overall genetic composition. These areas
375 include the Portuguese and Moroccan coasts, Catalonia and northern Italy.

376 The “genetic offset” allows inferring how much the genetic composition across the
377 landscape is required to change in order to preserve the current gene-environment
378 relationships (see Methods). Most of the regions seem to be affected by this changing
379 environment especially Southwest Portugal and Northern Morocco (Fig. 6). Portugal
380 hinterland, northern Italy, Corsica or the Var regions appear as areas where the genetic offset
381 is minimal.

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383

384 **Discussion**

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386
387

388 Genetic diversity and Population Structure

389 The present study uncovered 2,583 SNPs, identified some levels of local adaptation and
390 cork oak individuals could be assigned to three groups of populations (Fig. 1). The previous
391 study used a stringent MAF choice of 0.03 yielding a dataset of 1,996 SNPs and marginally
392 differences between K1 and K2 (Pina-Martins et al., 2019). Given the relative low sample
393 size of each population (~ 4.63), we retained a minimum allele frequency (MAF=0.01) which
394 allowed to detect a reasonable number of private alleles (mean = 7.1 per population, Table
395 S3). A MAF value of 0.03 led to only one private allele. However, varying the MAF value did

396 not result in changes in population structure or in the choice of an inflation factor when
397 assessing population structure for LFMM analysis ($K = 2$). The results from the “neutral”
398 dataset for F_{ST} and Mantel test were similar to the ones of the full dataset.

399 The summary statistics obtained from the Stacks pipeline differed from previous analyses
400 performed using the ipyrad pipeline. Variations in summary statistics and results from
401 independent null expectations (IBD or expected transition-to-transversion ratio T_s/T_v) among
402 RAD-seq pipelines have been previously reported (Shafer et al., 2017). In the current
403 analysis, a pattern of isolation by distance was observed (mantel test = 0.281, $P < 0.001$)
404 reinforcing the role of local adaptation in shaping the structure of cork oak.

405 The overall F_{ST} value of 0.0444 appeared similar to the one in previous study ($F_{ST} =$
406 0.0541) (Pina-Martins et al., 2019), with high genetic diversity ($H_E = 0.160$) which seems to
407 indicate some overall degree of differentiation with some historical gene flow.

408 To further investigate population structure, a fineRADstructure analysis was performed.
409 The software offers a high resolution based on the nearest-neighbor relationships (first
410 coalescence) between haplotypes and allows the identification of substructure within
411 populations (Malinsky et al., 2018). fineRADstructure inferred the presence of three clades
412 which displayed an east-west pattern. In comparison with other approaches used for
413 population inference, fineRADstructure offers an improved insight on the *Quercus suber* L.
414 population structure. When using STRUCTURE-like approaches, the choice of model
415 complexity ($K = 2$ – Fig S1) is based on the rate of decrease in the value the Bayesian
416 Information Criterion (BIC). This approach explores clustering between sampling locations
417 but remains limited to the choice of model complexity. On the other hand, fineRADstructure
418 implements a model-based Bayesian clustering approach that groups together individuals
419 using an inferred coancestry matrix based on patterns of haplotype similarity. This new
420 efficient way of capturing information on population structure, was developed for RADseq
421 data, a genome-wide dense markers and proven to be robust to missing RAD alleles. These
422 combinations of characteristics make fineRADstructure particularly suitable for our type of
423 data and consequently could better explain the resolution obtained when compared with
424 methods such as STRUCTURE. Similar results to ours, where fineRADstructure provided a
425 better resolution than the optimal number of clusters based on STRUCTURE-like methods,
426 and coinciding with suboptimal number of STRUCTURE-like clusters, are starting to appear
427 in the literature (Dincă et al., 2019; Balao et al., 2020). The structure pattern evidenced here
428 had already been identified, to some extent in the original STRUCTURE-like analysis, but
429 concluded it was an incomplete separation between eastern and western groups (Pina-Martins

430 et al., 2019). The use of Principal Component Analysis (Fig. S5) was also unable to clearly
431 partition the described genetic diversity although substantial overlap was observed with
432 fineRADstructure. In both cases, *Quercus suber* in the western part of the Mediterranean
433 (Group 1 – Fig 3) appeared less genetically diverse than its Eastern counterpart (Group 3).
434 However, fineRADstructure was able to capture some signal of local structure for a third
435 population in Corsica and the Landes (Group 2). In terms of biological insights,
436 fineRADstructure offers a major improvement over other methods by providing evidence into
437 what appears to be a more genetically diverse eastern population. This result highlights that
438 the western population probably resulted from postglacial recolonization history (Lumaret et
439 al., 2005; Magri et al., 2007). Previous studies using chloroplast DNA identified five different
440 haplotypes within the species, two haplotypes in the west and three in the east (Magri et al.,
441 2007). The authors argued that the presence of cork oak in the Iberian peninsula, consistent
442 with fossil records, might have an early Cenozoic origin (De Carvalho, 1958; Losa Quintana,
443 1978). The present work suggests a complicated network of relationships within eastern
444 locations suggesting that the species might have originated in this region. This hypothesis
445 appears coherent with previous studies (Bellarosa et al., 2005; Lumaret et al., 2005) which
446 stipulated that cork oak originated in the eastern part of its current range before expanding
447 westward in the Mediterranean. Further testing using different species-models and
448 approximate Bayesian computation (ABC) could contribute to understand historical range
449 shifts (Bemmels et al., 2016).

450 In addition to isolation by distance, the study revealed higher levels of nucleotide diversity
451 among the eastern group of populations with a higher number of private alleles and high
452 pairwise F_{ST} . The average among western populations ($F_{ST} = 0.0212$) was lower than in the
453 east ($F_{ST} = 0.0379$) indicating a higher gene flow in the west. A third clade (group 2 in Fig. 1
454 and Fig 2) emerged composed of samples from Corsica and the Landes region which
455 appeared as the most differentiated of the studied populations ($F_{ST} = 0.061$). However
456 additional sampling efforts are required to draw any definitive conclusions on the
457 evolutionary history of the species. Eleven SNPs were detected as outliers, representing a
458 credible set of candidate loci under divergent selection. However, demographic events might
459 lead to false-positive especially when using a fractional genome sequencing strategy (De Mita
460 et al., 2013; Lotterhos & Whitlock, 2014), limiting which inferences can be drawn from this
461 small number of loci.

462 By sequencing additional populations using genome-wide markers, we are likely to unveil
463 unmapped levels of genomic diversity and to uncover additional clades in the eastern part of

464 the range as well as providing insights onto the role of anthropogenic activities in the
465 distribution of this tree species within Spain and Portugal. Cork oak has been widely
466 cultivated for the production of cork in the Iberian Peninsula and this exploitation might
467 explain its current distribution (Carrión et al., 2000), and to some extent, its genetic
468 background in the region.

469

470 Genetic-Environment Association

471 Redundancy analysis showed that climate played a significant role in the distribution of
472 cork oak (72%). To investigate this gene-environment relationship, we deployed a Genetic
473 Environment Association (GEA) method which aimed to characterize empirical patterns of
474 adaptation (Forester et al., 2016). LFMM provides a way to investigate signatures of local
475 adaptation by the detection of high degrees of correlation between polymorphism and
476 environmental variables. This method has proven to be a robust approach (Stucki et al., 2017)
477 even if demographic factors such as IBD tend to bias the analysis (De Mita et al., 2013;
478 Hoban et al., 2016). In the present study, the majority of SNPs associated with climatic
479 variables were correlated with temperature variables and no overlap was observed between
480 the LFMM approach and the outlier detection method.

481 Cork oak belongs to evergreen oaks and is strictly adapted to the Mediterranean Basin
482 which is very selective in terms of temperature and rainfall (Aronson et al., 2009). In SNPs-
483 association studies, the role of temperature over precipitation has been previously highlighted
484 (Cox et al., 2011; De Kort et al., 2014; Martins et al., 2018) and the present analyses
485 emphasize the role of temperature in shaping cork oak distribution. Similarly to a previous
486 study where only 4.4% of the queried sequences could match a region in the genome
487 (Pina-Martins et al., 2019), only 11 genes (4.15%) were annotated with a protein prediction
488 (Table 1). Of these markers, SNP_12029 was associated with two temperature variables
489 (BIO6, BIO7) and the respective locus match a gene encoding for a quinolinate synthase. The
490 latter is known to be involved in the onset of leaf senescence (Schippers et al., 2008).
491 SNP_6044 was associated with three precipitation variables and the respective gene annotated
492 encodes for mannosylglycerate (MG) hydrolase. MG accumulates in thermophilic bacteria in
493 response to salt or thermal stress. In plants, the ability to hydrolyze MG is important for the
494 plant physiology and hydrolysis (Nobre et al., 2013) and this polymorphism might represent a
495 signal of local adaptation as a response to drought. However, results from environmental
496 associations models must be taken carefully as the impact of population structure on the

497 findings remains debatable (De Villemereuil et al., 2014; Lotterhos & Whitlock, 2014).
498 Integrating information on phenotypic variations in future studies might be beneficial to
499 identify putative candidate genes.

500

501 Gradient Forest analysis and genetic offset under future climate

502 Geographic variables represented the strongest driver of turnover in allele frequencies over
503 the landscape (Fig. 4a). The adaptive SNPs GF model revealed that temperature annual range
504 (BIO7) was the most important variable explaining changeover of allele frequencies across
505 cork oak distribution (Fig. 4b). The important role of spatial variables might be due to spatial
506 autocorrelation due to isolation by distance but it can also be suggestive of important
507 unmeasured environmental predictors (Martins et al., 2018). The cumulative function showed
508 a steep turnover in allele frequencies occurring in the adaptive SNPs set at 21°C. The turnover
509 could also be observed for the mean temperature in the driest month (BIO9) indicating an
510 adaptation to higher temperatures. In the neutral SNPs model, a steep change in allele
511 frequencies occurred between 20 to 30 mm of rain in the driest month (BIO14), whereas these
512 changeovers occurred around 5 to 10 mm in the adaptive SNP model. These findings might be
513 revealing of a genomic adaptation to drought.

514 Mapped projections of the GF results led to potential unmapped levels of cork oak genetic
515 diversity present in northern Portugal (Fig. 5) while similarities are expected between the
516 Landes region and Catalonia. The Procrustes superimposition identified regions where
517 adaptation is expected to be more intense. The Procrustes residuals (absolute distance in
518 genetic composition between “full SNP” and “adaptive SNP” datasets for each point location)
519 were high in Catalonia and in Baetic region meaning that these populations are potentially
520 adapting to a changing environment.

521 From future gene-environment projections, northern Morocco, southwest Portugal and
522 northern Algeria appear as the regions which are expected to experience the largest
523 disruptions. Local populations will require a significant genetic offset to persist in the region.
524 However, the Gradient Forest analysis revealed that trees are expected to find a favorable
525 region in the hinterland of the Iberian Peninsula. These findings corroborate the forecasts
526 obtained from ecological niche modelling performed on the cork Oak (Vessella et al., 2017).
527 In this study, for an ENM under the hardest scenario (CSSM4 RCP 8.5), the model predicted
528 only 30-50% of suitability of its current ecological niche and 16% at the end of the century. It
529 is worth noting that the actual evolutionary response of these populations to climate change

530 will be more complex than these projections as adaptation is the result of multiple
531 evolutionary processes such as migration, mutation, recombination and the species effective
532 population size (Fitzpatrick & Keller, 2015).

533

534 Management implications

535 The study demonstrates a weak population structure of cork oak populations along an east-
536 west gradient. Environmental association analyses revealed that temperature was more
537 frequently associated with polymorphisms than precipitation. Temperature annual range
538 appeared as the strongest environmental variable shaping genetic variation within cork oak
539 ecological niche. Moreover, the study revealed vulnerable areas of the species distribution
540 that are at risk of climate change (Fig. 6). Our results are in accordance to the ones reported in
541 a previous study based on ENM analyses which revealed, that temperature and precipitation
542 variables are important in the distribution of cork oak (Vessella et al., 2017). However,
543 making use of genetic data, the GF analysis was able to identify the drivers of genomic
544 variation within the species. This nonlinear, multivariate environmental association method
545 may help guide seed selection by identifying the suitable provenance of seeds which would
546 respond more adequately to future climates (Gugger et al., 2018).

547 In conclusion, the present study provides compelling evidence that a large area of the
548 species distribution in the Mediterranean Basin will experience drastic changes which will
549 require strong adaptation from the local populations. Gradient Forest along with other
550 approaches appear as a useful tool to develop forest management strategies at a faster pace
551 and cheaper costs than traditional approaches (Fitzpatrick & Keller, 2015; Rellstab et al.,
552 2016; Bernatchez et al., 2019). Our results reveal the potential of landscape genomics to
553 identify regions which could benefit from Assisted Gene Flow (Aitken & Whitlock, 2013)
554 such as Southwest Portugal, Baetic region and Northern Morocco but additional data is
555 required as Assisted Gene Flow must be performed with caution (Aitken & Bemmels, 2016).
556 The study allowed to identify areas within *Quercus suber*'s distribution which are most
557 sensitive to climate change. Additional sampling along two parallel transects from northern
558 Spain to Southern Portugal and Morocco is projected to further explore the genetic diversity
559 of cork oak and its adaptation to future climate.

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786 Data access

787 Raw GBS data are available on NCBI's Sequence Read Archive (SRA) as “BioProject”
788 PRJNA413625.

789 Tables

790 Table 1 - Ontology of the SNPs associated with climatic variables

Locus	Environmental variables	Description
SNP_187039	Bio06, Bio07, Bio13, Bio19	DNA-binding transcriptional regulator DhaR
SNP_6044	Bio12, Bio13, Bio19	Mannosylglycerate hydrolase
SNP_12029	Bio06, Bio07	quinolinate synthase, chloroplastic

SNP_133831	Bio09	ribose-phosphate diphosphokinase
SNP_32261	Bio09	kinesin-like protein KIN-14L
SNP_3604	Bio09	DNA mismatch repair protein MSH3 isoform X1
SNP_11143	Bio07	Alanine tRNA ligase
SNP_11666	Bio08	ATP-dependent DNA helicase PcrA
SNP_226326	Bio08	DNA polymerase processivity factor
SNP_143637	Bio08	DNA polymerase processivity factor
SNP_245202	Bio08	Plipastatin synthase subunit A

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793 Figures

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795 Figure 1 - Ecological niche, dark green, of *Q. suber* with each sampling location colored
 796 according to the associated and group of populations (blue, black and red)



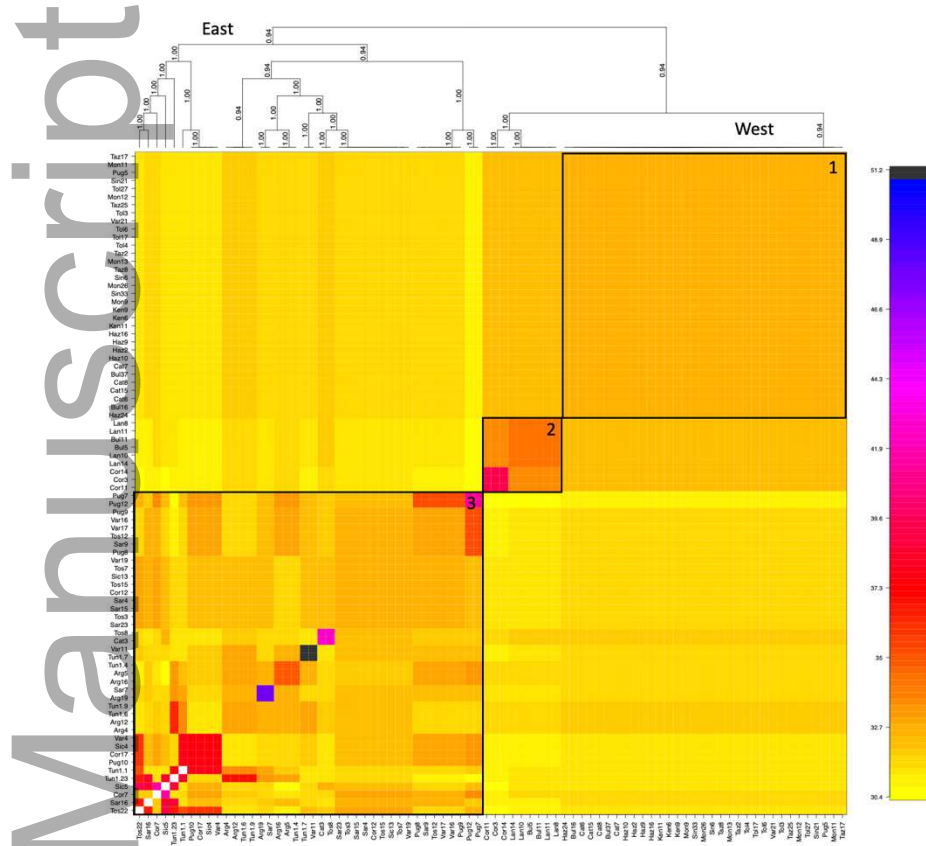
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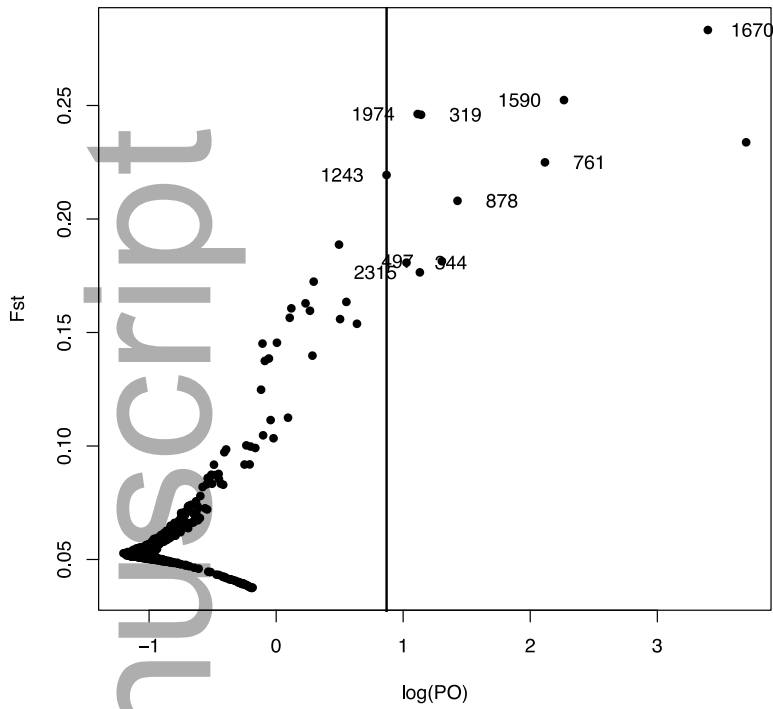
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800 Figure 2 – FineRADstructure analyses of the cork oak population structure. On the x-axis,
 801 each sample is considered as a recipient, and on the y-axis, the sample is considered a donor
 802 of genomic regions. The western (1) and eastern (3) group of populations are clearly
 803 separated with limited sharing of genomic regions between the two groups of populations.

804 The second population (2) containing samples from Corsica and the Landes region is closely
805 related to the western group. The highest amount of shared genome regions between samples
806 appears in purple and the lowest in yellow.
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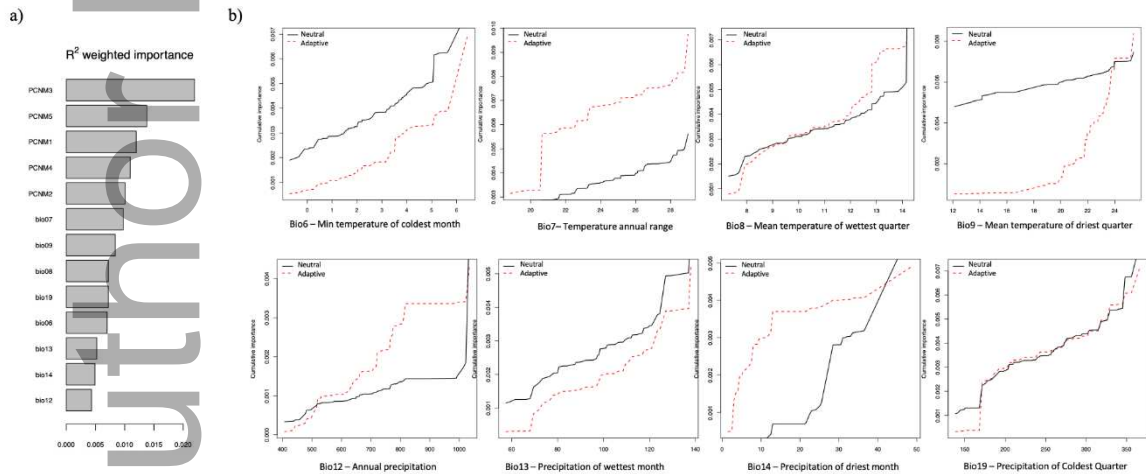


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811 Figure 3 – Results for the outlier Fst test based on 17 sampled populations of cork oak
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Figure 4 – (a) R^2 -weighted importance of environmental and spatial variables for the adaptive SNPs model. (b) Cumulative importance of allelic change along four environmental gradients for the adaptive and neutral SNPs model.



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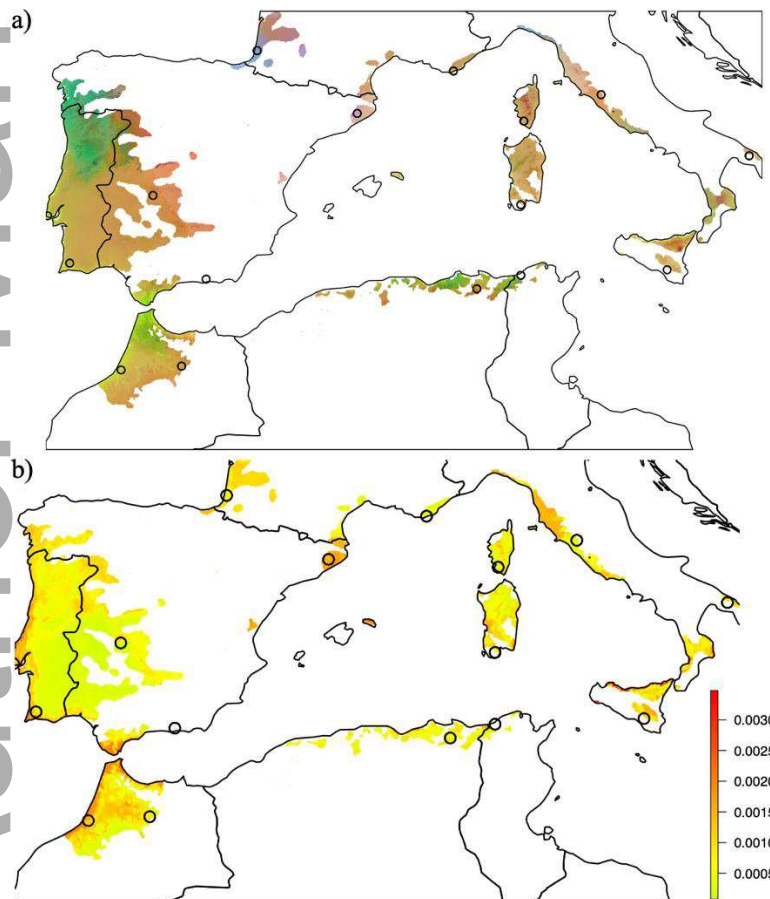
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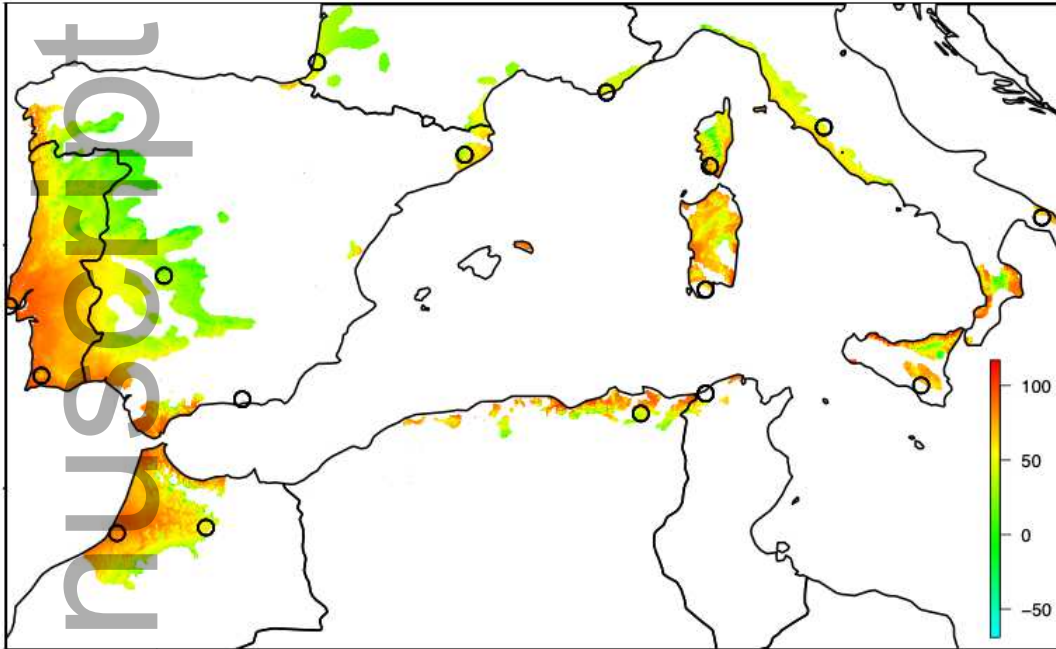
828 Figure 5 – Maps of predicted turnover in allele frequencies. a) Current landscape patterns
829 of allelic composition predicted under the gradient forest for the adaptive SNPs dataset. A
830 red-green-blue color scale was generated using the first three axes of the principal
831 components of the gradient forest prediction. Regions with similar colors are expected to have
832 similar genetic composition. b) the difference between full SNP and adaptive SNPs datasets
833 based on Procrustes residuals.



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836 Figure 6 – Predictive genetic offset (full SNPs dataset) under climate change for 2070.
837 Euclidian distances between current and future climate were calculated for each model and
838 regions with larger euclidian distances are expected to have a larger genetic offset.



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