2 Title

Quantitative sensory testing of spinal cord and dorsal root ganglion stimulation in chronic pain patients

Running Title

Quantitative sensory testing of SCS and DRGS

Authors' Names

Vishwanath Sankarasubramanian, Ph.D.,^{1,2} Srinivas Chiravuri, M.D.,³ Ehsan Mirzakhalili, Ph.D.,^{1,2} Carlos J.

Anaya, MSE,^{1,2¶} J. Ryan Scott, MPH,³ Chad M. Brummett, M.D.³ Daniel J. Clauw, M.D.,^{3,4} Parag G. Patil,

M.D., Ph.D.,^{1,3,5} Steven E. Harte, Ph.D.,^{3,4} Scott F. Lempka, Ph.D.^{1,2,3}

[¶]These authors contributed equally to this work

Institutional Affiliations

¹Department of Biomedical Engineering, University of Michigan, Ann Arbor, MI, USA

²Biointerfaces Institute, University of Michigan, Ann Arbor, MI, USA

³Department of Anesthesiology, University of Michigan, Ann Arbor, MI, USA

⁴Department of Internal Medicine, Division of Rheumatology, University of Michigan, Ann Arbor, MI,

USA

⁵Department of Neurological Surgery, University of Michigan, Ann Arbor, MI, USA

Source(s) of Financial Support

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1111/ner.13329

This article is protected by copyright. All rights reserved.

This research was funded by two pilot grants from the Michigan Institute for Clinical & Health Research (MICHR), the MICHR Postdoctoral Translational Scholars Program (PTSP) grant awarded to Dr. Sankarasubramanian (1UL1TR00224001), and the MICHR Translational Science grant awarded to Dr. Lempka (UL1TR002240).

Authorship Statements

All authors were responsible for the study concept and design. Dr. Sankarasubramanian and Dr. Chiravuri recruited the patients. Dr. Chiravuri performed the neurostimulation trials and Dr. Patil performed the stimulator implants. Dr. Sankarasubramanian conducted the study and performed the quantitative sensory testing assessments. Dr. Mirzakhalili and Carlos J. Anaya assisted Dr. Sankarasubramanian with data collection. Ryan Scott performed the statistical analyses. Dr. Sankarasubramanian analyzed and interpreted the data, and prepared the manuscript draft, tables, and figures with guidance from Drs. Harte and Lempka. All authors provided intellectual input and assisted with manuscript revisions. All authors approved the final version of the manuscript.

Conflicts of Interest

Dr. Brummett is a consultant for Heron Therapeutics and Aloso Health. Dr. Clauw has consulted for Pfizer, Zynerba, Aptinyx, Samumed, Cerephex, Tonix, and Daiichi Sankyo, and has received research support from Pfizer, Cerephex, and Aptinyx. Dr. Harte consults for and receives research support from Aptinyx. Drs. Harte and Clauw are inventors of the Multimodal Automated Sensory Testing (MAST) device used in this study. Dr. Harte is also a member of Arbor Medical Innovations, LLC (Ann Arbor, MI, USA), licensee of the MAST device from the University of Michigan. Dr. Lempka has equity in Hologram Consultants, LLC and is a member of the scientific advisory board for Abbott Neuromodulation. Dr. Lempka also holds stock options, receives research support, and serves on the scientific advisory board of Presidio Medical, Inc. All other authors declare no competing interests.

Corresponding Author

Scott F. Lempka, Ph.D.

Department of Biomedical Engineering

University of Michigan

2800 Plymouth Road, NCRC 014-184

Ann Arbor, MI 48109-2800, USA

Email: lempka@umich.edu

3

Background/Objective: The physiological mechanisms underlying the pain-modulatory effects of clinical neurostimulation therapies, such as spinal cord stimulation (SCS) and dorsal root ganglion stimulation (DRGS), are only partially understood. In this pilot prospective study, we used patient reported outcomes (PROs) and quantitative sensory testing (QST) to investigate the physiological effects and possible mechanisms of action of SCS and DRGS therapies.

Materials and Methods: We tested 16 chronic pain patients selected for SCS and DRGS therapy, before and after treatment. PROs included pain intensity, pain-related symptoms (e.g., pain interference, pain coping, sleep interference, etc.) and disability, and general health status. QST included assessments of vibration detection threshold (VDT), pressure pain threshold (PPT) and tolerance (PPToL), temporal summation (TS), and conditioned pain modulation (CPM), at the most painful site.

Results: Following treatment, all participants reported significant improvements in PROs (e.g., reduced pain intensity [p<0.001], pain-related functional impairment (or pain interference) and disability [p=0.001 for both]; better pain coping [p=0.03], sleep [p=0.002]), and overall health [p=0.005]). QST showed a significant treatment-induced increase in PPT [p=0.002] and PPToL [p=0.011], and a significant reduction in TS [p=0.033] at the most painful site, but showed no effects on VDT and CPM. We detected possible associations between a few QST measures and a few PROs. Notably, higher TS was associated with increased pain interference scores at pre-treatment [r=0.772, p=0.009], and a reduction in TS was associated with the reduction in pain interference [r=0.669, p=0.034] and pain disability [r=0.690, p=0.027] scores with treatment.

Conclusions: Our preliminary findings suggest significant clinical and therapeutic benefits associated with SCS and DRGS therapies, and the possible ability of these therapies to modulate pain processing within the central nervous system. Replication of our pilot findings in future, larger studies is necessary to characterize the physiological mechanisms of SCS and DRGS therapies.

Keywords: Chronic pain, neuropathic pain, electric stimulation, spinal cord stimulation, dorsal root ganglion stimulation, quantitative sensory testing

Chronic pain that is refractory to conventional treatment modalities (e.g., pharmacological therapies, injections, nerve blocks, surgery) often necessitates treatment with neurostimulation. Spinal cord stimulation (SCS) and dorsal root ganglion stimulation (DRGS) are two common neurostimulation therapies for patients with refractory chronic pain conditions, such as failed back surgery syndrome (FBSS) and complex regional pain syndrome (CRPS).¹⁻⁶ While conventional (or tonic) SCS has been used for decades in the treatment of chronic pain, DRGS was developed more recently (approved by the U.S. Food and Drug Administration in 2016) to specifically target refractory focal pain (e.g. CRPS).⁷ Despite the widespread clinical use of SCS and DRGS, these therapies enjoy only limited success rates.^{6, 8, 9} An incomplete understanding of the mechanisms underlying pain relief and therapeutic benefit associated with SCS and DRGS is believed to be a major contributor towards the limited success rate of these therapies.¹⁰⁻¹² Also, recently, several new forms of SCS that incorporate novel waveform paradigms (e.g., burst SCS, kilohertz-frequency SCS) have made their way into the clinic.¹³⁻¹⁵ However, these technical innovations have not been matched by corresponding improvements in our scientific understanding of the mechanisms of action of SCS.^{16, 17} We believe that mechanistic gaps will continue to limit the impact, optimization, and long-term reliability of SCS and DRGS therapies.

One significant knowledge gap is our understanding of how SCS and DRGS modulate pain processing within the central nervous system.¹⁶ Quantitative sensory testing (QST) can be used to infer pain processing mechanisms. There are many different types of QST procedures and modalities, some of which are "static" and measure sensory thresholds or tolerance at a point in time, whereas other measures are "dynamic" and are thought to probe specific spinal and/or supraspinal mechanisms, such as temporal summation (TS) and conditioned pain modulation (CPM). TS of pain is the perception of increased pain intensity from repetitive application of noxious stimuli. It is considered the behavioral correlate of the electrophysiological phenomenon of "wind-up" observed in the spinal dorsal horn.¹⁸⁻²⁰ Individuals with many different types of chronic pain exhibit increased TS.^{18, 21-25} Similarly, CPM refers to the phenomena of "pain inhibiting pain" and is thought to measure innate descending inhibitory activity, where the application of a noxious stimulus decreases the pain elicited by a second noxious stimulus applied elsewhere on the body.^{26, 27} Recent evidence from human studies suggests that TS and CPM appear to change over time with SCS, possibly reflecting an effect of SCS on spinal wind-up and descending pain inhibition, respectively.²⁸⁻³⁰ These early findings are promising and emphasize the potential significance and use of dynamic pain measures, such as TS and CPM, in evaluating and/or predicting the treatment efficacy of SCS and DRGS. However, it is important that prospective investigations also include assessments that evaluate the clinical and somatosensory profiles of patients undergoing SCS and DRGS treatment. Such investigations can provide better insights into the physiological effects of SCS and DRGS and the underlying therapeutic mechanisms.

The goal of this pilot study was to investigate the physiological effects and possible mechanisms of action of SCS and DRGS therapies in chronic pain patients, using a prospective design. We tested chronic pain patients who were selected for SCS or DRGS therapy as part of standard clinical care. We performed testing prior to treatment (baseline) and following treatment with SCS or DRGS. We used validated self-report questionnaires to assess clinical outcomes (e.g., pain intensity, pain-related symptoms and disability, and general health status). We used QST to assess somatosensory outcomes (e.g., sensory-detection thresholds, pain thresholds and tolerance) and spinal/supraspinal mechanisms (e.g., TS, CPM). We hypothesized that SCS and DRGS would produce significant improvements in clinical outcomes and decrease sensory and/or pain hypersensitivity (via measured increases in sensorydetection thresholds, pain thresholds and tolerance), reduce spinal wind-up (via attenuation of TS), and improve descending pain inhibition (via potentiation of inhibitory CPM).

MATERIALS AND METHODS

Patients

We conducted the study at the University of Michigan (Ann Arbor, MI, USA) after obtaining approval from our medical Institutional Review Board. We included chronic pain patients \geq 18 years of age, who were candidates for SCS or DRGS therapy (as determined by their own physicians), and able to speak, read, and understand English. In general, patients with moderate to severe chronic, refractory pain of the trunk and/or limbs were considered suitable candidates for SCS therapy (e.g., patients diagnosed with FBSS, CRPS, neuropathic limb pain), and patients with refractory focal pain of the lower extremities were considered suitable candidates for DRGS therapy (e.g., patients with groin pain, foot pain). Patients selected for SCS and DRGS procedures cleared a standard psychological evaluation. To minimize bias, we followed consecutive enrollment to include all patients meeting the study inclusion criteria. We excluded patients: 1) who were currently participating or had recently participated (≤ 3 months) in any other therapeutic trials or studies, or who had other ongoing neuromodulatory treatments (e.g., peripheral nerve stimulation, transcutaneous electrical nerve stimulation) that may confound the results of the study, 2) who were unable or unwilling to cooperate with clinical testing, or who were noncompliant with study directives, or 3) who had any impairment, activity, or situation that in the judgement of the principal investigator or study staff, would prevent satisfactory completion of the study protocol or QST procedures (e.g., patients with severe cognitive, emotional, psychological, physical or sensory impairment). All patients provided written informed consent prior to study participation.

Testing procedures and time points

We performed testing in the participants prior to treatment (i.e. baseline) and following treatment with SCS or DRGS. We tested participants following a successful SCS or DRGS trial (at ~7-10

days after the trial procedure) or after permanent implantation of their SCS or DRGS device (at ~4-6 weeks' post-implant) (Fig. 1). A successful SCS or DRGS trial was defined per the standard clinical protocol of \geq 50% pain relief achieved during the trial with stable or reduced pain medications, and with at least stable levels of daily physical activity in the participant.³¹ For post-treatment testing, we did not adjust the settings on the participants' SCS or DRGS device or system. We only performed evaluations in the participants who were receiving clinically-effective SCS or DRGS treatment (determined based on participant feedback in standard clinic visits).

Clinical assessments

Clinical pain

We measured clinical pain intensity using a patient-reported visual analog scale (VAS) (0-100 units) administered at the start of the study visit(s). VAS ratings are the most commonly-used clinical outcome measure in SCS and DRGS.^{6, 14, 32}

Pain-related symptoms and disability and general health status

We measured pain severity and interference, sensory and affective dimensions of pain, pain disability, pain coping, sleep interference, psychological distress, and general health status using the Brief Pain Inventory (BPI) short-form questionnaire,³³ Short Form McGill Pain Questionnaire (SF-MPQ),³⁴ Pain Disability Index (PDI) questionnaire,³⁵ Coping Strategies Questionnaire (CSQ),³⁶ Patient-Reported Outcomes Measurement Information System Sleep Disturbance (PROMIS-SD) short-form questionnaire,³⁷ Hospital Anxiety and Depression Scale (HADS) questionnaire,³⁸ and the EuroQol fivedimension three-level version (EQ-5D-3L) questionnaire,³⁹ respectively, administered at the start of the study visit(s). Pain severity and interference. Using the BPI, participants self-rated on a 11-point numerical rating scale (NRS) (0 = no pain to 10 = pain as bad as you can imagine), their current pain, as well as their worst, least, and average pain over the last 24 hours. We calculated pain severity as the mean of the four items, with higher scores indicating greater levels of pain severity. Using the same BPI and 11-point NRS, participants also self-rated how much pain had interfered (0 = does not interfere to 10 = completely interferes) with their general activity, mood, walking ability, normal work, relations with others, sleep, and enjoyment of life, over the last 24 hours. We calculated pain interference as the mean of the seven interference items, with higher scores indicating greater levels of pain severity.

Sensory and affective pain. Using the SF-MPQ, participants self-rated on a four-point intensity scale (0 = none to 3 = severe), the specific quality of pain they have (from a list of 15 pain descriptors). We calculated the sensory and affective pain indices as the sum of the intensity rank values of the words chosen for sensory and affective descriptors (11 sensory and four affective).

<u>Pain disability</u>. Using the PDI, participants self-rated on a 11-point scale (0 = completely able to function to 10 = total unable to function), their ability to function in each of the following domains: family/home responsibilities, recreation, social activities, occupation, self-care, and life-support activity. We measured pain-related disability as the sum of the responses.

Pain coping. Using the one- and two-item versions of the CSQ, participants self-rated on a sixpoint scale (0 = never do that to 6 = always do that), the extent to which they used a given coping strategy to overcome the pain. We calculated the total score as the sum of the averages of the two items on each scale.

<u>Sleep interference</u>. Using the PROMIS-SD, participants self-rated each of the eight items assessing sleep disturbance on a five-point scale (1 = not at all and 5 = very much). We calculated the total score as the sum of the responses, with higher scores indicating greater levels of sleep interference.

<u>Psychological distress</u>. Using the HADS, participants self-rated on a four-point scale (0 = absence to 3 = extreme presence), each of the two 7-point items (total 14 items) assessing anxiety and depression, respectively. We calculated the anxiety and depression scores by summing the responses for each of the two subscales. Higher scores indicated greater levels of anxiety and depression.

<u>General health status</u>. Using the EQ-5D-3L, participants self-rated on a three-point scale (1 = no problems to 3 = extreme problems), their health status in each of the following five dimensions: mobility, self-care, usual activities, pain/discomfort, and anxiety/depression. We calculated the total score by combining the individual scores selected for each of the five dimensions of health into a single five-digit number sequence. We subsequently converted the five-digit number sequence into a single summary score (called "index value") describing the overall health state, with lower scores indicating bad overall health and higher scores indicating good overall health.

Somatosensory assessments

We evaluated somatosensory outcomes using static and dynamic QST. We performed testing in a quiet, temperature-controlled room (20 °C to 25 °C) to avoid distraction of the participants. We performed all tests at the primary pain site for each participant (i.e. self-identified body area of worst clinical pain), and at a pain-free control site (e.g. dominant volar forearm). The order of testing was randomized. If severe hyperalgesia or allodynia prevented us from testing at the primary pain site, we selected an adjacent, less sensitive pain area. In case of bilateral pain, we tested both sides, and considered the mean value of the measurement for data analysis. To reduce the possibility of postural compression of the nerves, we performed testing with the participant resting in a stationary position (i.e., sitting, supine, or prone). We familiarized all participants to the tasks to reduce testing-related anxiety. For all tests, we read identical and clear instructions to the participants, and advised them that they could stop testing at any time without penalty if the sensations became intolerable. A single examiner (VS) performed all assessments.

Static QST measurements

We measured sensory-detection threshold to vibratory stimuli (i.e. first noticeable sensation), and pain threshold (i.e. first noticeable pain sensation) and pain tolerance (i.e. no longer able to withstand the pain sensation) to pressure stimuli. We delivered increasing vibratory stimulation (at a rate of 0.3 µm/s to a maximum vibration of 130 µm) and increasing pressure stimulation (at a rate of approximately 0.5 kgf/cm² to a maximum of 10 kgf/cm²) using a handheld vibrometer (VSA-3000, Medoc Ltd., Ramat Yishai, Israel) and an analog pressure algometer (FPK Algometer, Wagner Instruments, Greenwich, CT, USA), respectively. We determined the vibratory detection threshold (VDT), pressure pain threshold (PPT), and pressure pain tolerance (PPToL) by averaging the values across three consecutive trials of each procedure separated by intervals of ~10-20 seconds.

Dynamic QST measurements

Temporal summation (TS). We measured TS of mechanical pain using 256 millinewton (mN) and 512 mN pinprick stimulators (MRC Systems GmbH, Heidelberg, Germany) and following a standardized protocol.^{40, 41} We performed the 256 and 512 mN tests in a randomized order with a 1-minute break between testing. We applied a single fixed intensity stimulus (256 mN or 512 mN) perpendicular to the testing site for ~0.5 seconds. Following a 5-s pause, we applied a train of ten identical stimuli with a frequency of 1 Hz (using a metronome) within an area of ~1 cm². Immediately following the single stimulus and the train of ten stimuli, we asked patients to verbally rate their perceived average pain intensity using a 0-100 NRS. We averaged the values across three consecutive trials (each trial was a single stimulus followed by a train of ten stimuli) separated by intervals of ~10 seconds. We calculated

TS scores by subtracting the average pain rating of the single-stimulus trials from the average pain rating of the ten-stimuli trials. If the difference was a positive number, we concluded that there was pain summation, where larger numbers indicated increased pain summation or TS. If the difference was zero or a negative number, we concluded that there was no pain summation or TS.

Conditioned pain modulation (CPM). We measured CPM using a noxious "conditioning" stimulus that evoked descending pain inhibition, and a "test" stimulus that determined the efficiency of the descending pain inhibition. We used pressure delivered by the algometer as the test stimulus, and contralateral thumbnail pressure pain delivered by the Multimodal Automated Sensory Testing (MAST) system (Arbor Medical Innovations, Ann Arbor, MI, USA)⁴² as the conditioning stimulus. The MAST system is a QST platform designed for delivering and measuring pressure pain sensitivity.⁴³⁻⁴⁵ It consists of a wireless, hand-held stimulator able to provide computer-controlled pressure stimuli to the thumbnail with a mechanically-driven 1 cm² rubber-tipped probe, and a touchscreen-based rating scale to capture participant feedback. The design and validation of the MAST system has been described previously.⁴² To evaluate CPM, we determined PPT before (baseline PPT from the algometry test) and during the application of a conditioning stimulus (conditioning PPT). The current protocol was modified from the method of Locke⁴⁶ and others^{47, 48} to use painful pressure delivered by the MAST system as conditioning stimulus instead of cold water. The MAST thumbnail pressure protocol was previously validated for CPM.^{27, 49, 50} Immediately following the algometry test, we applied a series of brief pressure pulses for 10-s to the contralateral thumbnail (using the MAST) to determine a pressure intensity that induced a moderate level of pain for the participant (i.e. a rating of ~30-50/100 on a NRS). After a 10minute delay, we induced CPM by applying 60 s of this continuous pressure to the contralateral thumbnail. Parallel to the last 30 s of conditioning, we used the algometer to reapply increasing pressures (three pressures, ~10 s apart) to the testing site to determine the conditioning PPT. We calculated CPM as the difference between the mean conditioning PPT and the mean baseline PPT. If the

difference was positive, we concluded that there was an inhibitory (intact) CPM. If the difference was zero or negative, we concluded that there was no CPM or facilitatory (deficient) CPM, respectively.

Statistical analysis

Patient refusal to complete portions of the QST battery resulted in missing QST data in some analyses. No power analysis was conducted for this pilot study. We used descriptive statistics to characterize our study population. We reported continuous measures as mean ± standard deviation, and categorical variables as percentages. We calculated the mean values of all self-report measures and QST measures at pre-treatment and post-treatment. For the QST measures, we also calculated the mean differences in the measures between sites (primary pain site vs. control site), at pre-treatment and posttreatment. Because our sample size was small (n = 16), and our dataset was not normally distributed, we used the Wilcoxon signed-rank test, a non-parametrical test for statistical comparisons, to assess for treatment effects of SCS and DRGS. Previously published SCS studies have used the Wilcoxon signedrank test for comparing paired samples of non-normally distributed data in relatively small and large populations of chronic pain patients.^{29, 51} We calculated the effect size (or "r" value) for the Wilcoxon's test using previously established formula for this test.^{52, 53} We interpreted absolute values of r between 0.10 and 0.30 as small effects, values between 0.30 and 0.50 as medium effects, and values \geq 0.50 as large effects.^{52, 53} We used Spearman's rho bivariate correlations to examine the relationships between the self-report measures and QST measures. We performed all statistical analyses using IBM SPSS version 26 (IBM Corp, Armonk, NY, USA). All analyses were two-tailed with significance set at p < 0.05. Due to the exploratory nature of this pilot study and because the comparisons were planned a priori, we did not perform procedures to correct for multiple comparisons.^{54, 55}

Author Manuscrip

Participant demographics and clinical characteristics

We enrolled 16 chronic pain participants (10 males, 6 females) from August 2018 to March 2020 that were candidates for SCS or DRGS therapy. Enrolled participants were consecutive patients who consented to the study and who were able to complete the evaluation process. Participants were 48.7 (standard deviation = 10.6) years old on average, predominantly white (93.8%), and of different pain etiologies. Seven participants were diagnosed with lumbar FBSS (43.8%), two with CRPS (12.5%), three with ilioinguinal neuralgia (18.8%), two with cranial neuralgia (12.5%), one with chemo-induced peripheral neuropathy (6.25%), and one with bilateral finger pain (6.25%). All participants had chronic refractory pain of the trunk and/or limbs. Six participants had low back pain (37.5%), six had lower extremity pain of the legs, groin, or feet (37.5%), and four had upper extremity pain of the arms, fingers, or ear (25.0%). Nine participants (56.3%) had radiating pain. Most participants described their pain as burning, sharp, and/or aching suggesting the likelihood of neuropathic pain. Nine of the 16 participants (56.3%) had chronic pain for < 5 years, and seven (43.8%) had chronic pain for > 5 years. All participants had tried multiple non-interventional (e.g., physical therapy, occupational therapy, behavioral therapy, chiropractic care, acupuncture) and/or interventional treatments (e.g., injections, nerve blocks, surgeries) before being deemed candidates for neurostimulation. All participants used pain medication. Eleven participants used opioids (68.8%), nine used antidepressants (56.3%), ten used anticonvulsants (62.5%), 14 used non-steroidal anti-inflammatory drugs (87.5%), ten used muscle relaxants (62.5%), and five used sedative-hypnotic drugs (31.3%). Ten of the 16 participants (62.5%) were alcohol users (current or past users), six were current or former cigarette smokers (37.5%), and one reported marijuana use (6.25%). Regarding medical history, seven participants were hypertensive (43.8%), two were obese (12.5%), and one was diabetic (6.25%). Regarding pain-associated symptoms, six participants had allodynia, hyperalgesia, and/or hyperesthesia (37.5%), and all participants reported

Clinical and somatosensory characteristics

We used descriptive statistics to characterize the baseline clinical and somatosensory profiles for the entire study population. All participants (n = 16) completed the self-report questionnaires and QST assessments. Six participants chose not to complete the PPToL assessment, and six participants did not complete TS testing at the 512 mN intensity.

Treatment characteristics

Twelve participants (75.0%) underwent successful treatment with SCS, and four underwent successful treatment with DRGS (25.0%). Eight of the 12 SCS participants (66.7%) received thoracic SCS, while four received cervical SCS (33.3%). Nine SCS participants (75%) received burst stimulation, two received tonic or conventional stimulation (16.7%), and one received 10 kHz stimulation (8.33%). Six of the 16 participants (37.5%) were assessed following successful SCS trial treatment for ~7-10 days, and ten (62.5%) were assessed following treatment with a permanent SCS or DRGS system for ~4-6 weeks. Thirteen participants (81.3%) were treated with an Abbott SCS or DRGS system (Abbott Laboratories, Chicago, IL, USA), two with a Boston Scientific SCS system (12.5%) (Boston Scientific Corporation, Valencia, CA, USA), and one with a Nevro SCS system (6.25%) (Nevro, Redwood City, CA, USA). The treatment characteristics are summarized in Table 2.

Effects of SCS and DRGS on clinical outcomes

Following SCS or DRGS treatment, all participants reported significant improvements in clinical pain intensity, pain-related symptoms and disability, and general health status. We observed significant

treatment-induced reductions in clinical pain intensity (p < 0.001), pain severity (p = 0.001), pain interference (p = 0.001), sensory pain index (p = 0.002), affective pain index (p = 0.004), pain disability (p = 0.001), pain coping index (p = 0.030), sleep interference (p = 0.002), anxiety (p = 0.003), and depression (p = 0.001) (Table 3), and a large treatment effect size on these measures ($r \ge -0.50$ for all measures except pain coping; Table 3). We also observed a significant treatment-induced improvement (increase) in general health index (p = 0.005) and a large treatment effect size (Table 3).

Effects of SCS and DRGS on QST outcomes

Static QST outcomes

<u>PPT and PPToL</u>. We observed overall increases in PPT and PPToL of participants following SCS or DRGS treatment. The observed treatment-induced increases were significant at the primary pain site (p = 0.002 and p = 0.011 for PPT and PPToL, respectively) (Fig. 2, Table 4), and not significant at the control site (p = 0.605 and p = 0.391 for PPT and PPToL, respectively) (Table 4). The observed treatment effect size was large for the primary pain site (r = 0.56 and r = 0.57 for PPT and PPToL, respectively) and small for the control site (r = 0.09 and r = 0.19 for PPT and PPToL, respectively). Notably, pre-treatment PPT and PPToL were significantly lower at the primary pain site than at the control site (mean PPT primary pain site vs. control site = 2.81 ± 2.23 kgf/cm² vs. 4.81 ± 1.87 kgf/cm², p = 0.009; mean PPToL primary pain site vs. control site = 3.99 ± 3.20 kgf/cm² vs. 7.72 ± 2.21 kgf/cm², p = 0.011) (Table 5).

<u>VDT</u>. We found no significant differences in VDT of participants at the primary pain site (p = 0.501) or at the control site (p = 0.836) following SCS or DRGS treatment (Table 4), and also no significant differences between the pre-treatment VDT at these sites (p = 0.121) (Table 5).

Dynamic QST outcomes

<u>TS</u>. We observed an overall reduction in TS (256 mN) scores of participants following SCS or DRGS treatment. The observed treatment-induced reduction in TS scores were significant at the primary pain site (p = 0.033) (Fig. 3, Table 4), and not significant at the control site (p = 0.889) (Table 4). The observed treatment effect size was medium for the primary pain site (r = -0.39) and small for the control site (r = -0.02). Notably, pre-treatment TS (256 mN) scores were significantly higher at the primary pain site than at the control site (mean TS score primary pain site vs. control site = 14.7 ± 15.6 NRS units vs. 7.81 ± 10.5 NRS units, p = 0.028), respectively (Table 5). We found no significant differences in TS (512 mN) scores of participants at the primary pain site (p = 0.173) or at the control site (p = 0.176) following SCS or DRGS treatment (Table 4), and also no significant differences between the pre-treatment TS (512 mN) scores at these sites (p = 0.063) (Table 5).

<u>CPM</u>. We found no significant differences in CPM of participants at the primary pain site (p = 0.256) or at the control site (p = 0.717) following SCS or DRGS treatment (Table 4), and also no significant differences between the pre-treatment CPM at these sites (p = 0.877) (Table 5).

Relationships between clinical outcomes and QST outcomes

Pre-treatment and post-treatment correlations

At pre-treatment, TS (256 mN) scores showed a strong positive correlation with self-reported pain interference scores (r = 0.772, p = 0.009). PPT showed a moderate negative correlation with self-reported pain coping scores (r = -0.570, p = 0.021) and a moderate positive correlation with general health status scores (r = 0.634, p = 0.008). VDT, PPToL, TS (512 mN), and CPM showed no significant correlations with any of the clinical outcome measures at pre-treatment.

At post-treatment, PPT and PPToL both showed moderate negative correlations with selfreported pain coping scores (r = -0.634, p = 0.008; r = -0.616, p = 0.019). VDT, TS (256 mN), TS (512 mN), and CPM showed no significant correlations with any of the clinical outcome measures at posttreatment.

Difference correlations

Change (reduction) in TS (256 mN) scores from pre-treatment to post-treatment showed a moderate positive correlation with change (reduction) in self-reported pain interference scores (r = 0.669, p = 0.034) and pain disability scores (r = 0.690, p = 0.027). We also found moderate negative correlations between the change (increase) in VDT and the change (reduction) in self-reported sleep interference scores (r = -0.629, p = 0.009). Change (increase) in PPToL showed strong positive correlation with change (reduction) in affective pain index scores (r = 0.798, p = 0.01). Change in CPM from pre-treatment to post-treatment showed no significant correlations with change in any of the clinical outcome measures.

DISCUSSION

In this pilot prospective study, we used QST and self-reported variables to investigate the physiological effects and possible mechanisms of action of SCS and DRGS therapies in chronic pain participants. We found that SCS and DRGS treatment provided significant improvements in clinical pain intensity, pain-related symptoms and disability, and overall health. From the QST measures, we found significant treatment-induced increases in PPT and PPToL at the most painful site, and a significant reduction in TS, but found no effects on VDT and CPM. We found potentially meaningful correlations between a few QST measures and self-report measures. Notably, we found significant correlations between PPT and pain coping, PPToL and affective pain, TS and pain interference, and TS and pain disability, indicating possible associations between these variables.

Author Manuscri

We found that SCS and DRGS treatment significantly improved pain intensity in the participants (mean improvement ~ 70%). Specifically, 93.8% (15/16) of the participants reported greater than 30% improvements in pain relief and 62.5% (10/16) of participants reported greater than 70% improvements in pain relief with treatment. Given that a 30% or greater improvement in pain relief is clinically significant,⁵⁶ our findings highlight the substantial clinical benefit participants attained with SCS and DRGS therapies. Noticeably, the magnitude of attained pain relief is similar to previously-reported pain scores in prospective SCS and DRGS studies.^{2-4, 6, 57} In addition to pain relief, participants also reported clinically significant (30% or greater) improvements in activities of daily living (mean improvement \sim 66%), sensory and affective dimensions of pain (mean improvement \sim 68% and \sim 81%, respectively), psychological distress (mean improvement ~55%), sleep (mean improvement ~30%), and overall health (mean improvement ~37%), with treatment. The improvements in pain-related symptoms, especially with mood and sleep, speak to a centrally-mediated mechanism of action of SCS and DRGS.^{58, 59} Overall, our current findings on the treatment effects of SCS and DRGS are consistent with previous study findings and demonstrate the significant clinical benefits of these therapies. Future studies should continue to examine these clinically important variables and how they are affected over time by these treatments.

Effects of SCS and DRGS on somatosensory outcomes

We found that SCS and DRGS treatment significantly reduced pressure pain hypersensitivity in the participants. A similar effect of SCS was reported by Marchand et al.⁶⁰ and Ahmed et al.⁶¹ for heat pain hypersensitivity in chronic pain patients; whereas Kemler et al. reported a non-significant effect of SCS on static (e.g. pressure-evoked) and dynamic (e.g. brush-evoked) mechanical hypersensitivity in CRPS patients.⁶² We found that participants showed increased sensitivity at baseline (i.e., low PPTs, low

PPToLs), indicating hyperalgesia, and the possible presence of pain sensitization within the central nervous system (central sensitization) or peripheral nervous system (peripheral sensitization).⁶³ Because sensitivity was higher at the most painful site and lower at a remote pain-free site, it is likely that pain sensitization was mostly local. However, following treatment, notable improvements in pressure pain hypersensitivity (or hyperalgesia) was achieved (i.e., higher PPTs, higher PPToLs). The treatment-induced improvements in symptoms were significant at the most painful site and non-significant at the remote pain-free site, suggesting that the effects of stimulation were also mostly local. An early SCS study by Shealy et al. first demonstrated a stimulation-induced increase on deep muscle pain thresholds in chronic pain patients.⁶⁴ Additionally, studies have also suggested that local mechanical (e.g. pressurepain) hyperalgesia is mostly mediated by activity of myelinated A δ fibers and unmyelinated C fibers.⁶⁵⁻⁶⁷ Therefore, our observed findings on the reduction of local hyperalgesia following SCS or DRGS treatment could be attributed to the stimulation-induced suppression of A δ /C fiber activity, which in turn could partly be contributing to the ongoing pain relief (or analgesia) in the participants.^{60, 62, 68} A potential change in A δ /C fiber activity could be due to a centrally-mediated mechanism of action or a peripherally-mediated mechanism of action of SCS/DRGS, or both. However, it was impossible for us to determine these mechanisms using our current methods. Future studies are needed to investigate and identify specific mechanisms that are likely involved in the modulation of hyperalgesia and underlying $A\delta/C$ nerve fiber activity in chronic pain patients treated with SCS or DRGS.

We found that SCS and DRGS treatment showed no effects on VDT in the participants. Early SCS studies by Lindblom and Meyerson, and Eisenberg et al. have reported significant effects of stimulation on VDT in chronic pain patients.^{69, 70} However, more recent studies have reported minor effects or no effects on VDT with SCS.^{28, 51, 71} We anticipated that SCS and DRGS treatment would significantly modulate (increase) VDT via stimulation-induced excitation of low-threshold, large-diameter Aβ fibers in the dorsal columns of the spinal cord, and in the dorsal root ganglia, at spinal levels innervating the pain

region(s) or corresponding dermatome of the body, respectively. Failure to observe treatment effects on VDT may have been due to the variability in stimulation effects of SCS and DRGS across participants due to relative changes in body position at testing. Since we tested participants across different body positions (e.g., upright, supine, prone), variable stimulation (i.e., overstimulation or understimulation) of the target Aβ fibers may have resulted from position-related differences in the thickness of the cerebrospinal fluid layer interposing the epidurally-placed electrode (of the SCS or DRGS lead) and the target.^{72, 73} The variability in stimulation effects would particularly be greater for SCS than DRGS due to the larger interposed cerebrospinal fluid layer thickness. Future studies should further investigate the potential effects of relative changes in body positions on VDT testing in SCS and DRGS patients.

Effects of SCS and DRGS on spinal/supraspinal mechanisms

We found that SCS and DRGS treatment significantly attenuated TS of mechanical pain in the participants. In general, participants exhibited pain summation at baseline (high TS scores) and increased pain summation at the primary pain site (~47% higher TS scores compared to the control site). However, following treatment, a significant reduction in pain summation was achieved. Participants reported ~45% lower TS scores when tested at the primary pain site. Previous studies have explored the effects of SCS on TS. Campbell et al. reported SCS-induced decreases in TS of thermal pain in chronic pain patients,²⁸ while Eisenberg et al. reported similar effects in patients with clinical radicular pain.²⁹ In a more recent study, Schuh-Hofer et al. demonstrated SCS-induced decreases in mechanical pain TS in chronic pain patients.³⁰ Our current findings on the treatment-induced effects of SCS and DRGS on TS verify previous findings on the effects of SCS on TS, and together demonstrate the ability of SCS and DRGS therapies to modulate (reduce) TS of pain in chronic pain patients. At the preclinical level, animal experiments have demonstrated the ability of SCS to modulate spinal wind-up of C-fiber inputs in wide dynamic range (WDR) neurons.^{74, 75} At the clinical level, TS of pain is thought to reflect pain facilitation,

whereby repeated painful stimuli result in increased pain.^{18, 76, 77} TS of pain is closely associated with central sensitization, and represents enhanced excitability of the WDR neurons in the dorsal horn of the spinal cord (i.e., wind-up) in response to the repetitive painful stimuli.⁷⁸⁻⁸⁰ This association is relevant, as WDR neurons are candidates for the transmission cells in the gate-control pain circuit, and they are critical for spinal pain processing and the development of neuropathic pain.^{81, 82} Therefore, the attenuation of TS following SCS or DRGS treatment, may well be attributed to the stimulation-induced depression of hyperexcitability of WDR neurons, which in turn may partly be contributing to the ongoing pain relief or analgesia in the participants.

We found that SCS and DRGS treatment showed no effects on descending pain inhibition in the participants measured by CPM. Only two studies have previously investigated the effects of SCS on CPM. Campbell et al. showed that in 24 chronic pain patients treated with SCS, reduced inhibitory CPM at baseline was correlated with decreased self-reported clinical pain at 3 months post-implantation, suggesting that chronic pain patients with evidence of reduced endogenous (descending) pain inhibition may obtain the greatest benefit from SCS.²⁸ In a recent study, Schuh-Hofer et al. showed that in eight chronic pain patients with existing SCS implants, stimulation was able to strengthen descending pain inhibition.³⁰ However, in our study, we observed no treatment effects of SCS or DRGS on descending pain inhibition. It is possible that the heterogeneity in pain etiology of our study population may have attributed to the lack of treatment effects. It is also possible that the lack of effects may have been due to our modified protocol used for CPM testing. However, this is unlikely because we observed a net CPM (inhibitory) effect in the participants at both pre-treatment (mean CPM value = 1.02 ± 1.24 kgf/cm²) and post-treatment (mean CPM value = 1.35 ± 1.32 kgf/cm²) suggesting that our CPM method likely worked in modulating descending pain. Future studies should investigate the possible treatment effects of SCS and/or DRGS on CPM in more homogeneous patient populations, using similar^{27,49,50} or related CPM

methodology. Furthermore, a control group may also be needed in these investigations to verify the possibility of treatment effects of stimulation on CPM.

Relationships between clinical outcomes and somatosensory outcomes

We found potentially meaningful correlations between a few QST measures and self-report measures. We observed a moderate negative correlation between PPT and self-reported pain coping at pre-treatment and post-treatment. Similarly, we observed a strong positive correlation between the treatment-induced increase in PPToL and the treatment-induced reduction in affective pain. These results suggest that psychological variables, such as pain coping and pain affect (i.e., feelings of unpleasantness and emotions associated with pain) may influence the development and/or perception of pain sensitivity in the participants, or vice-versa. Perhaps a more important finding of our study is the observed relationship between TS and self-reported pain interference. These variables showed a strong positive correlation with each other at pre-treatment, and a moderate positive correlation with each other to changes with treatment. This finding is particularly important because it involves the measurement of evoked pain (i.e. degree of TS) by dynamic QST that is thought to better represent a sensitized nociceptive system as compared to pain measured by static QST (e.g., pain thresholds, pain tolerance).⁸³ Future studies are necessary to verify these possible relationships between QST and selfreport measures. Future studies should also continue to use dynamic QST measures (e.g., TS, CPM) and explore possible relationships between these measures and clinical pain intensity.

Study strengths and limitations

The strength and novelty of this study is its prospective design and the use of a large number of validated QST measures (e.g., VDT, PPT, PPToL, TS, CPM) to investigate the physiological effects and possible mechanisms of action of SCS and DRGS therapies in chronic pain patients. However, there are

several limitations of our study. First and foremost is the small sample size (n = 16) and the mixed population sample of the study (e.g., SCS vs. DRGS, trial vs. implant, male vs. female). We acknowledge that our pilot study was primarily designed to be hypothesis generating and to generate exploratory results intended to lay the groundwork for more complete research studies in the future. Therefore, this study is just the first step in our larger research program. We also recognize the challenges and importance of obtaining a large and homogenous population sample for research in this field. To specifically address concerns regarding the study's heterogenous design, we conducted some sensitivity analyses to determine if the treatment-reported effects of SCS and DRGS on clinical outcomes and QST outcomes are roughly the same when compared to SCS vs. DRGS, trial vs. implant, male vs. female. These initial sensitivity analyses suggest similar trends across conditions (data not shown) and these findings will help generate specific hypotheses for future, large, mechanistic studies in SCS and DRGS. But overall, the takeaway message from our combined analyses is that SCS and DRGS therapies appear to modulate experimental pain in chronic pain participants, and that more work needs to be done to verify findings and subgroup analyses. Second, while our study included a large number of validated QST measures to investigate the possible physiological mechanisms of action of SCS and DRGS in chronic pain patients, several additional QST measures could also be considered that might show additional results (e.g., cold detection thresholds, heat detection thresholds, cold pain threshold, heat pain threshold, mechanical detection threshold, mechanical pain threshold, and dynamic mechanical allodynia).⁴⁰ Third, we included participants with diverse pain etiologies that may have obscured our ability to accurately interpret study results related to the therapeutic efficacy or underlying mechanisms of SCS and DRGS. Furthermore, we included all forms of SCS, including several newer forms of SCS (e.g., burst SCS, kilohertz-frequency SCS). Recent evidence suggests that these newer forms of SCS may provide pain relief via different therapeutic mechanisms of action relative to conventional SCS.^{13-15, 84-86} To provide better insights into the anticipated efficacy and underlying therapeutic mechanisms of SCS and DRGS,

future studies should include more homogeneous populations consisting of patients with specific pain etiologies (e.g., FBSS, CRPS, groin pain) who are receiving specific types of stimulation (e.g., tonic SCS, burst SCS, kilohertz-frequency SCS). These studies should aim to establish relationships between the mechanisms of action of specific types of SCS or DRGS and the pathological mechanisms of specific pain conditions. These relationships are essential to predict the therapeutic efficacy of SCS and DRGS and to ultimately improve patient selection.

CONCLUSIONS

The results of this pilot study suggest significant clinical and therapeutic benefits associated with

SCS and DRGS therapies, and the possible ability of these therapies to modulate pain processing within

the central nervous system. Replication of our results in future, larger studies is necessary to

characterize the physiological mechanisms of SCS and DRGS therapies.

REFERENCES

- 1. Kemler MA, Barendse GA, van Kleef M, et al. Spinal cord stimulation in patients with chronic reflex sympathetic dystrophy. *N Engl J Med.* Aug 31 2000;343(9):618-624.
- North RB, Kidd DH, Farrokhi F, Piantadosi SA. Spinal cord stimulation versus repeated lumbosacral spine surgery for chronic pain: a randomized, controlled trial. *Neurosurgery*. 2005;56(1):98-106; discussion 106-107.
- **3.** Kumar K, Taylor RS, Jacques L, et al. Spinal cord stimulation versus conventional medical management for neuropathic pain: a multicentre randomised controlled trial in patients with failed back surgery syndrome. *Pain.* Nov 2007;132(1-2):179-188.
- **4.** Liem L, Russo M, Huygen FJ, et al. A multicenter, prospective trial to assess the safety and performance of the spinal modulation dorsal root ganglion neurostimulator system in the treatment of chronic pain. *Neuromodulation*. Sep-Oct 2013;16(5):471-482; discussion 482.
- **5.** Deer TR, Grigsby E, Weiner RL, Wilcosky B, Kramer JM. A prospective study of dorsal root ganglion stimulation for the relief of chronic pain. *Neuromodulation*. Jan-Feb 2013;16(1):67-71; discussion 71-62.
- **6.** Deer TR, Levy RM, Kramer J, et al. Dorsal root ganglion stimulation yielded higher treatment success rate for complex regional pain syndrome and causalgia at 3 and 12 months: a randomized comparative trial. *Pain.* Apr 2017;158(4):669-681.
- Deer TR, Pope JE. Dorsal root ganglion stimulation approval by the Food and Drug Administration: advice on evolving the process. *Expert Rev Neurother*. Oct 2016;16(10):1123-1125.

- 8. Taylor RS, Desai MJ, Rigoard P, Taylor RJ. Predictors of pain relief following spinal cord stimulation in chronic back and leg pain and failed back surgery syndrome: a systematic review and meta-regression analysis. *Pain Pract.* Jul 2014;14(6):489-505.
- **9.** Krames ES. The dorsal root ganglion in chronic pain and as a target for neuromodulation: a review. *Neuromodulation.* Jan 2015;18(1):24-32; discussion 32.
- **10.** Guan Y. Spinal cord stimulation: neurophysiological and neurochemical mechanisms of action. *Curr Pain Headache Rep.* Jun 2012;16(3):217-225.
- **11.** Zhang TC, Janik JJ, Grill WM. Mechanisms and models of spinal cord stimulation for the treatment of neuropathic pain. *Brain Res.* Jun 20 2014;1569:19-31.
- **12.** Graham RD, Bruns TM, Duan B, Lempka SF. Dorsal root ganglion stimulation for chronic pain modulates Abeta-fiber activity but not C-fiber activity: A computational modeling study. *Clin Neurophysiol.* Jun 2019;130(6):941-951.
- **13.** De Ridder D, Vanneste S, Plazier M, van der Loo E, Menovsky T. Burst spinal cord stimulation: toward paresthesia-free pain suppression. *Neurosurgery*. May 2010;66(5):986-990.
- **14.** De Ridder D, Plazier M, Kamerling N, Menovsky T, Vanneste S. Burst spinal cord stimulation for limb and back pain. *World Neurosurg.* Nov 2013;80(5):642-649 e641.
- Kapural L, Yu C, Doust MW, et al. Novel 10-kHz High-frequency Therapy (HF10 Therapy) Is Superior to Traditional Low-frequency Spinal Cord Stimulation for the Treatment of Chronic Back and Leg Pain: The SENZA-RCT Randomized Controlled Trial. *Anesthesiology.* Oct 2015;123(4):851-860.
- **16.** Sankarasubramanian V, Harte SE, Chiravuri S, et al. Objective Measures to Characterize the Physiological Effects of Spinal Cord Stimulation in Neuropathic Pain: A Literature Review. *Neuromodulation.* Feb 2019;22(2):127-148.
- **17.** Lempka SF, Patil PG. Innovations in spinal cord stimulation for pain. *Curr Opin Biomed Eng.* Dec 2018;8:51-60.
- **18.** Eide PK. Wind-up and the NMDA receptor complex from a clinical perspective. *Eur J Pain.* 2000;4(1):5-15.
- **19.** Price DD, Mao J, Frenk H, Mayer DJ. The N-methyl-D-aspartate receptor antagonist dextromethorphan selectively reduces temporal summation of second pain in man. *Pain.* Nov 1994;59(2):165-174.
- **20.** Price DD, Von der Gruen A, Miller J, Rafii A, Price C. A psychophysical analysis of morphine analgesia. *Pain.* Jul 1985;22(3):261-269.
- **21.** Neziri AY, Curatolo M, Limacher A, et al. Ranking of parameters of pain hypersensitivity according to their discriminative ability in chronic low back pain. *Pain.* Oct 2012;153(10):2083-2091.
- **22.** Petersen KK, Arendt-Nielsen L, Simonsen O, Wilder-Smith O, Laursen MB. Presurgical assessment of temporal summation of pain predicts the development of chronic postoperative pain 12 months after total knee replacement. *Pain.* Jan 2015;156(1):55-61.
- **23.** Price DD, Staud R, Robinson ME, Mauderli AP, Cannon R, Vierck CJ. Enhanced temporal summation of second pain and its central modulation in fibromyalgia patients. *Pain.* Sep 2002;99(1-2):49-59.
- **24.** Sarlani E, Grace EG, Reynolds MA, Greenspan JD. Evidence for up-regulated central nociceptive processing in patients with masticatory myofascial pain. *J Orofac Pain*. Winter 2004;18(1):41-55.
- **25.** Weissman-Fogel I, Granovsky Y, Crispel Y, et al. Enhanced presurgical pain temporal summation response predicts post-thoracotomy pain intensity during the acute postoperative phase. *J Pain.* Jun 2009;10(6):628-636.
- **26.** Le Bars D. The whole body receptive field of dorsal horn multireceptive neurones. *Brain Res Brain Res Rev.* Oct 2002;40(1-3):29-44.

- **27.** Harper DE, Ichesco E, Schrepf A, et al. Resting Functional Connectivity of the Periaqueductal Gray Is Associated With Normal Inhibition and Pathological Facilitation in Conditioned Pain Modulation. *J Pain.* Jun 2018;19(6):635 e631-635 e615.
- **28.** Campbell CM, Buenaver LF, Raja SN, et al. Dynamic Pain Phenotypes are Associated with Spinal Cord Stimulation-Induced Reduction in Pain: A Repeated Measures Observational Pilot Study. *Pain Med.* Jul 2015;16(7):1349-1360.
- **29.** Eisenberg E, Burstein Y, Suzan E, Treister R, Aviram J. Spinal cord stimulation attenuates temporal summation in patients with neuropathic pain. *Pain.* Mar 2015;156(3):381-385.
- **30.** Schuh-Hofer S, Fischer J, Unterberg A, Treede RD, Ahmadi R. Spinal cord stimulation modulates descending pain inhibition and temporal summation of pricking pain in patients with neuropathic pain. *Acta Neurochir (Wien)*. Dec 2018;160(12):2509-2519.
- **31.** Deer TR, Mekhail N, Provenzano D, et al. The appropriate use of neurostimulation of the spinal cord and peripheral nervous system for the treatment of chronic pain and ischemic diseases: the Neuromodulation Appropriateness Consensus Committee. *Neuromodulation.* Aug 2014;17(6):515-550; discussion 550.
- **32.** North RB, Kidd DH, Olin J, Sieracki JM, Boulay M. Spinal cord stimulation with interleaved pulses: a randomized, controlled trial. *Neuromodulation*. Oct 2007;10(4):349-357.
- **33.** Cleeland CS, Ryan KM. Pain assessment: global use of the Brief Pain Inventory. *Ann Acad Med Singapore*. Mar 1994;23(2):129-138.
- **34.** Melzack R. The short-form McGill Pain Questionnaire. *Pain*. Aug 1987;30(2):191-197.
- **35.** Tait RC, Chibnall JT, Krause S. The Pain Disability Index: psychometric properties. *Pain.* Feb 1990;40(2):171-182.
- **36.** Swartzman LC, Gwadry FG, Shapiro AP, Teasell RW. The factor structure of the Coping Strategies Questionnaire. *Pain.* Jun 1994;57(3):311-316.
- Yu L, Buysse DJ, Germain A, et al. Development of short forms from the PROMIS sleep disturbance and Sleep-Related Impairment item banks. *Behav Sleep Med.* Dec 28 2011;10(1):6-24.
- **38.** Zigmond AS, Snaith RP. The hospital anxiety and depression scale. *Acta Psychiatr Scand*. Jun 1983;67(6):361-370.
- **39.** Rabin R, de Charro F. EQ-5D: a measure of health status from the EuroQol Group. *Ann Med.* Jul 2001;33(5):337-343.
- **40.** Rolke R, Baron R, Maier C, et al. Quantitative sensory testing in the German Research Network on Neuropathic Pain (DFNS): standardized protocol and reference values. *Pain.* Aug 2006;123(3):231-243.
- **41.** Rolke R, Magerl W, Campbell KA, et al. Quantitative sensory testing: a comprehensive protocol for clinical trials. *Eur J Pain.* Jan 2006;10(1):77-88.
- **42.** Harte SE, Mitra M, Ichesco EA, et al. Development and validation of a pressure-type automated quantitative sensory testing system for point-of-care pain assessment. *Med Biol Eng Comput.* Jun 2013;51(6):633-644.
- **43.** Harte SE, Ichesco E, Hampson JP, et al. Pharmacologic attenuation of cross-modal sensory augmentation within the chronic pain insula. *Pain.* Sep 2016;157(9):1933-1945.
- **44.** Neville SJ, Clauw AD, Moser SE, et al. Association Between the 2011 Fibromyalgia Survey Criteria and Multisite Pain Sensitivity in Knee Osteoarthritis. *Clin J Pain.* Oct 2018;34(10):909-917.
- **45.** Wasserman RA, Hassett AL, Harte SE, et al. Pressure Pain Sensitivity in Patients With Suspected Opioid-Induced Hyperalgesia. *Reg Anesth Pain Med.* Nov-Dec 2015;40(6):687-693.
- **46.** Locke D, Gibson W, Moss P, Munyard K, Mamotte C, Wright A. Analysis of meaningful conditioned pain modulation effect in a pain-free adult population. *J Pain.* Nov 2014;15(11):1190-1198.

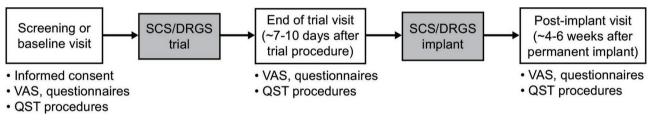
- **47.** Goodin BR, McGuire L, Allshouse M, et al. Associations between catastrophizing and endogenous pain-inhibitory processes: sex differences. *J Pain.* Feb 2009;10(2):180-190.
- **48.** Oono Y, Nie H, Matos RL, Wang K, Arendt-Nielsen L. The inter- and intra-individual variance in descending pain modulation evoked by different conditioning stimuli in healthy men. *Scand J Pain.* Oct 1 2011;2(4):162-169.
- **49.** Henry NL, Conlon A, Kidwell KM, et al. Effect of estrogen depletion on pain sensitivity in aromatase inhibitor-treated women with early-stage breast cancer. *J Pain.* May 2014;15(5):468-475.
- **50.** Schoen CJ, Ablin JN, Ichesco E, et al. A novel paradigm to evaluate conditioned pain modulation in fibromyalgia. *J Pain Res.* 2016;9:711-719.
- Bordeleau M, Carrondo Cottin S, Cantin L, et al. Effects of Tonic Spinal Cord Stimulation on External Mechanical and Thermal Stimuli Perception Using Quantitative Sensory Testing: A Multicenter Stimulation ON-OFF Study on Chronic Pain Patients. *Clin J Pain*. Mar 2020;36(3):189-196.
- **52.** Cooper HM, Hedges LV. *The Handbook of research synthesis*. New York: Russell Sage Foundation; 1994.
- **53.** Fritz CO, Morris PE, Richler JJ. Effect size estimates: current use, calculations, and interpretation. *J Exp Psychol Gen.* Feb 2012;141(1):2-18.
- **54.** Streiner DL. Best (but oft-forgotten) practices: the multiple problems of multiplicity-whether and how to correct for many statistical tests. *Am J Clin Nutr.* Oct 2015;102(4):721-728.
- **55.** Streiner DL, Norman GR. Correction for multiple testing: is there a resolution? *Chest.* Jul 2011;140(1):16-18.
- **56.** Farrar JT, Young JP, Jr., LaMoreaux L, Werth JL, Poole RM. Clinical importance of changes in chronic pain intensity measured on an 11-point numerical pain rating scale. *Pain.* Nov 2001;94(2):149-158.
- **57.** Liem L, Russo M, Huygen FJ, et al. One-year outcomes of spinal cord stimulation of the dorsal root ganglion in the treatment of chronic neuropathic pain. *Neuromodulation.* Jan 2015;18(1):41-48; discussion 48-49.
- **58.** Price DD. Psychological and neural mechanisms of the affective dimension of pain. *Science*. Jun 9 2000;288(5472):1769-1772.
- **59.** Xiao X, Zhang YQ. A new perspective on the anterior cingulate cortex and affective pain. *Neurosci Biobehav Rev.* Jul 2018;90:200-211.
- **60.** Marchand S, Bushnell MC, Molina-Negro P, Martinez SN, Duncan GH. The effects of dorsal column stimulation on measures of clinical and experimental pain in man. *Pain.* Jun 1991;45(3):249-257.
- **61.** Ahmed SU, Zhang Y, Chen L, et al. Effects of Spinal Cord Stimulation on Pain Thresholds and Sensory Perceptions in Chronic Pain Patients. *Neuromodulation.* Jul 2015;18(5):355-360.
- **62.** Kemler MA, Reulen JP, Barendse GA, van Kleef M, de Vet HC, van den Wildenberg FA. Impact of spinal cord stimulation on sensory characteristics in complex regional pain syndrome type I: a randomized trial. *Anesthesiology.* Jul 2001;95(1):72-80.
- **63.** Woolf CJ. Central sensitization: implications for the diagnosis and treatment of pain. *Pain.* Mar 2011;152(3 Suppl):S2-15.
- **64.** Shealy CN, Mortimer JT, Hagfors NR. Dorsal column electroanalgesia. *J Neurosurg*. May 1970;32(5):560-564.
- **65.** Backonja MM, Attal N, Baron R, et al. Value of quantitative sensory testing in neurological and pain disorders: NeuPSIG consensus. *Pain.* Sep 2013;154(9):1807-1819.
- **66.** Ochoa JL, Yarnitsky D. Mechanical hyperalgesias in neuropathic pain patients: dynamic and static subtypes. *Ann Neurol.* May 1993;33(5):465-472.

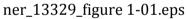
- **67.** Campbell CM, Kipnes MS, Stouch BC, et al. Randomized control trial of topical clonidine for treatment of painful diabetic neuropathy. *Pain.* Sep 2012;153(9):1815-1823.
- **68.** Campbell JN, Taub A. Local analgesia from percutaneous electrical stimulation. A peripheral mechanism. *Arch Neurol.* May 1973;28(5):347-350.
- **69.** Lindblom U, Meyerson BA. Influence on touch, vibration and cutaneous pain of dorsal column stimulation in man. *Pain.* Sep 1975;1(3):257-270.
- **70.** Eisenberg E, Backonja MM, Fillingim RB, et al. Quantitative sensory testing for spinal cord stimulation in patients with chronic neuropathic pain. *Pain Pract.* Sep 2006;6(3):161-165.
- **71.** Meier K, Nikolajsen L, Sorensen JC, Jensen TS. Effect of spinal cord stimulation on sensory characteristics: a randomized, blinded crossover study. *Clin J Pain.* May 2015;31(5):384-392.
- **72.** Cameron T, Alo KM. Effects of posture on stimulation parameters in spinal cord stimulation. *Neuromodulation.* Oct 1998;1(4):177-183.
- **73.** Ross E, Abejon D. Improving patient experience with spinal cord stimulation: implications of position-related changes in neurostimulation. *Neuromodulation*. Jun 2014;17 Suppl 1:36-41.
- **74.** Guan Y, Wacnik PW, Yang F, et al. Spinal cord stimulation-induced analgesia: electrical stimulation of dorsal column and dorsal roots attenuates dorsal horn neuronal excitability in neuropathic rats. *Anesthesiology.* Dec 2010;113(6):1392-1405.
- **75.** Yakhnitsa V, Linderoth B, Meyerson BA. Spinal cord stimulation attenuates dorsal horn neuronal hyperexcitability in a rat model of mononeuropathy. *Pain.* Feb 1999;79(2-3):223-233.
- **76.** Price DD, Hu JW, Dubner R, Gracely RH. Peripheral suppression of first pain and central summation of second pain evoked by noxious heat pulses. *Pain.* Feb 1977;3(1):57-68.
- **77.** Arendt-Nielsen L, Petersen-Felix S. Wind-up and neuroplasticity: is there a correlation to clinical pain? *Eur J Anaesthesiol Suppl.* May 1995;10:1-7.
- **78.** Vierck CJ, Jr., Cannon RL, Fry G, Maixner W, Whitsel BL. Characteristics of temporal summation of second pain sensations elicited by brief contact of glabrous skin by a preheated thermode. *J Neurophysiol.* Aug 1997;78(2):992-1002.
- **79.** Woolf CJ. Pain. *Neurobiol Dis.* Oct 2000;7(5):504-510.
- **80.** Arendt-Nielsen L, Morlion B, Perrot S, et al. Assessment and manifestation of central sensitisation across different chronic pain conditions. *Eur J Pain.* Feb 2018;22(2):216-241.
- **81.** Sdrulla AD, Guan Y, Raja SN. Spinal Cord Stimulation: Clinical Efficacy and Potential Mechanisms. *Pain Pract.* Nov 2018;18(8):1048-1067.
- **82.** Costigan M, Scholz J, Woolf CJ. Neuropathic pain: a maladaptive response of the nervous system to damage. *Annu Rev Neurosci.* 2009;32:1-32.
- **83.** Arendt-Nielsen L, Yarnitsky D. Experimental and clinical applications of quantitative sensory testing applied to skin, muscles and viscera. *J Pain.* Jun 2009;10(6):556-572.
- **84.** De Ridder D, Vanneste S, Plazier M, Vancamp T. Mimicking the brain: evaluation of St Jude Medical's Prodigy Chronic Pain System with Burst Technology. *Expert Rev Med Devices*. Mar 2015;12(2):143-150.
- **85.** Lempka SF, McIntyre CC, Kilgore KL, Machado AG. Computational analysis of kilohertz frequency spinal cord stimulation for chronic pain management. *Anesthesiology*. Jun 2015;122(6):1362-1376.
- **86.** Youn Y, Smith H, Morris B, Argoff C, Pilitsis JG. The Effect of High-Frequency Stimulation on Sensory Thresholds in Chronic Pain Patients. *Stereotact Funct Neurosurg.* 2015;93(5):355-359.

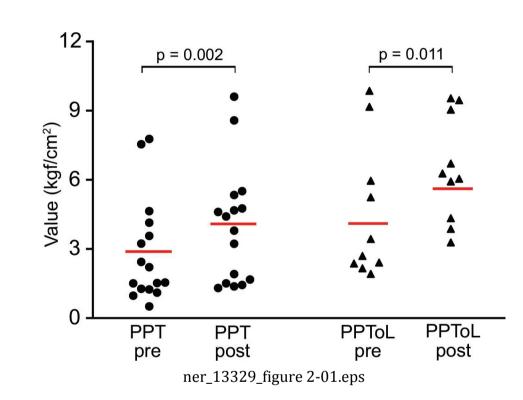
Figure 1. Study design. Research and standard-of-care procedures are denoted in white and gray, respectively. Abbreviations: QST, quantitative sensory testing; VAS, visual analog scale; SCS, spinal cord stimulation; DRGS, dorsal root ganglion stimulation.

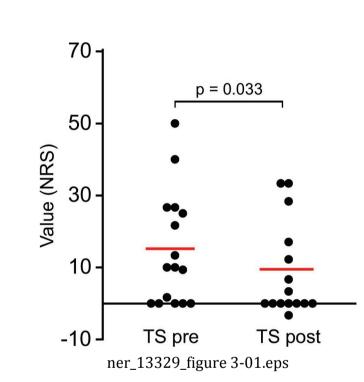
Figure 2. Column scatter plot comparing pressure pain threshold (PPT) (n = 16) and tolerance (PPToL) (n = 10) values (both in kgf/cm²) for the primary pain (affected) site at pre-treatment and post-treatment, respectively. Mean values are indicated as solid lines. p values are indicated on top.

Figure 3. Column scatter plot comparing temporal summation (TS) 256 mN scores (in NRS) for the primary pain (affected) site at pre-treatment and post-treatment (n = 16). Mean values are indicated as solid lines. p values are indicated on top.









_ **Nuthor Manuscrip**

Please wait...

If this message is not eventually replaced by the proper contents of the document, your PDF viewer may not be able to display this type of document.

You can upgrade to the latest version of Adobe Reader for Windows®, Mac, or Linux® by visiting http://www.adobe.com/go/reader_download.

For more assistance with Adobe Reader visit http://www.adobe.com/go/acrreader.

Windows is either a registered trademark or a trademark of Microsoft Corporation in the United States and/or other countries. Mac is a trademark of Apple Inc., registered in the United States and other countries. Linux is the registered trademark of Linus Torvalds in the U.S. and other countries.

Neuromodulation



Statement on Real or Perceived Conflicts of Interest for Authors

Information pertaining to all authors must be entered on this form

Neuromodulation: Technology at the Neural Interface has a primary responsibility to its readers and to the public to provide in its pages clear and unbiased scientific results and analyses. Although we rely on the expertise of our Editors, Editorial Board members and our peer reviewers to help us accomplish this, we believe that our readers should be informed of additional relationships of our authors that could pose a conflict of interest. Thus, for readers to evaluate the data and

opinions presented in *Neuromodulation: Technology at the Neural Interface*, they must be informed of financial and other interests of our authors that may be at odds with unbiased presentation of data or analysis.

In compliance with the <u>International Committee of Medical Journal Editors' (ICMJE) Uniform</u> <u>Requirements for Manuscripts Submitted to Biomedical Publications.</u>¹ (http://www.icmje.org/) *Neuromodulation* requires that all manuscripts should be accompanied by clear disclosures from all authors of their affiliations, funding sources, or financial holdings that might raise questions about possible sources of bias. Disclosure is accomplished in three ways:

First, by a complete listing of the current institutional affiliations of the authors.

This list must include academic as well as corporate and other industrial affiliations. As the editors deem appropriate, items in this list will be included in the author affiliations printed in the manuscript. Please indicate below:

X All affiliations of all authors are listed on the title page of the paper. Additional affiliations not on the title page are:

Second, through the acknowledgment of all financial contributions to the work being reported, including contributions "in kind."

All funding sources will be listed in the published manuscript. Please indicate below:

X All funding sources for this study are listed in the acknowledgement section of the paper. Additional funding sources not noted in the manuscript are: 1. Internat ona Comm ttee of Med ca Journa Ed tors. Un form requirements for manuscripts submitted to b omed ca journa s. http://www.cmje.org.

Third, through the execution of a statement disclosing to the Editors all financial holdings, professional affiliations, advisory positions, board memberships, patent holdings and the like that might bear a relationship to the subject matter of the contribution.

The Editors will determine whether the material disclosed to them should be published as part of the article. Please check the appropriate items below:

The following are declarable relationships:

Financial: Significant financial interest (equity holdings or stock options) in any corporate entity dealing with the material or the subject matter of this contribution. Please disclose the entity and the nature of the holding.

None

XOne or more authors has a financial relationship, as described below:

Dr. Clauw has received research support from Pfizer, Cerephex, and Aptinyx. Dr. Harte receives research support from Aptinyx. Dr. Harte is also a member of Arbor Medical Innovations, LLC (Ann Arbor, MI, USA), licensee of the MAST device from the University of Michigan. Dr. Lempka holds stock options and has received research support from Presidio Medical, Inc., and is a shareholder in Hologram Consultants, LLC.

Management/Advisory Affiliations: Within the last 3 years, status as an officer, a member of the Board, or a member of an Advisory Committee of any entity engaged in activity related to the subject matter of this contribution. Please disclose the nature of these relationships and the financial arrangements.

None

X One or more authors has a management/advisory relationship, as described below:

Dr. Lempka serves on the scientific advisory boards of Presidio Medical, Inc. and Abbott Neuromodulation.

Paid Consulting: Within the last 3 years, receipt of consulting fees, honoraria, speaking fees, travel fees or expert testimony fees from entities that have a financial interest in the results and materials of this study. Please enumerate.

None

X One or more authors has a paid consulting relationship, as described below:

Dr. Brummett is a consultant for Heron Therapeutics and Aloso Health. Dr. Clauw has consulted for Pfizer, Zynerba, Aptinyx, Samumed, Cerephex, Tonix, and Daiichi Sankyo. Dr. Harte consults for Aptinyx.

Patents: A planned, pending, or awarded patent on this work by any of the authors or their institutions. Please explain.

None

X One or more authors or the authors' institutions has a patent related to this work, as described below:

Drs. Harte and Clauw are inventors of the Multimodal Automated Sensory Testing (MAST) device used in this study.

All authors declare that we have read *Neuromodulation: Technology at the Neural Interface's* full Conflict of Interest Policy and have disclosed all declarable relationships as defined therein, if any.

Manuscript Number____

Title: ____Spinal cord and dorsal root ganglion stimulation modulate central pain processing in chronic pain patients

First Author:	Vishwanath Sankarasubramanian, PhD		
Signature:	Scott F. Lemplea	_ Date:_	08/04/2020

Th s form must be completed and submitted to the **Neuromodulation Editorial Office** prior to your manuscriptis publication. Submit form to: *Neuromodulation*, 2000 Van Ness Avenue, Suite 402, San Francisco, CA 94109 USA

Fax: +1.415.683.3218 Ema : INS@neuromodu at on.com

Neuromodulation: Technology at the Neural Interface

Authorship and Contributorship Guidelines

Neuromodulation: Technology at the Neural Interface bases its authorship criteria on those outlined by the <u>International Committee of Medical Journal Editors (ICMJE)</u>. The corresponding author must submit the manuscript, related files, and all required data and information. From the point of submission until publication, all communication related to the manuscript will be directed to and received from the designated corresponding author only.

Authorship credit should be based on the following 4 criteria:

- 1) Substantial contribution to conception and design, or acquisition of data, or analysis and interpretation of data; and
- 2) Drafting the article or revising it critically for important intellectual content; and
- 3) Final approval of the version to be published; and
- 4) Agreement to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

In addition to being accountable for the parts of the work he or she has done, an author should be able to identify which co-authors are responsible for specific other parts of the work. In addition, authors should have confidence in the integrity of the contributions of their co-authors.

All those designated as authors should meet all four criteria for authorship, and all who meet the four criteria should be identified as authors. Those who do not meet all four criteria should be acknowledged see Section II.A.3. These authorship criteria are intended to reserve the status of authorship for those who deserve credit and can take responsibility for the work. The criteria are not intended for use as a means to disqualify colleagues from authorship who otherwise meet authorship criteria by denying them the opportunity to meet criterion #s 2 or 3. Therefore, all individuals who meet the first criterion should have the opportunity to participate in the review, drafting, and final approval of the manuscript.

When a large multi-author group has conducted the work, the group ideally should decide who will be an author before the work is started and confirm who is an author before submitting the manuscript for publication. All members of the group named as authors should meet all four criteria for authorship, including approval of the final manuscript, and they should be able to take public responsibility for the work and should have full confidence in the accuracy and integrity of the work of other group authors. They will also be expected as individuals to complete disclosure forms.

Some large multi-author groups designate authorship by a group name, with or without the names of individuals. When submitting a manuscript authored by a group, the corresponding author should specify the group name if one exists, and clearly identify the group members who can take credit and responsibility for the work as authors. The byline of the article identifies who is directly responsible for the manuscript, and MEDLINE lists as authors whichever names appear on the byline. If the byline includes a group name, MEDLINE will list the names of individual group members who are authors or who are collaborators, sometimes called non-author contributors, if there is a note associated with the byline clearly stating that the individual names are elsewhere in the paper and whether those names are authors or collaborators.

For more information, please consult the International ICMJE website here.

Neuromodulation Authorship and Contributorship Form

Manuscript Title: Spinal cord and dorsal root ganglion stimulation modulate central pain processing in chronic pain patients

1) Please confirm the following:

- \square Confirm the accuracy of the content and that the content of the manuscript represents the authors' work/opinions and not those of the sponsoring agent(s), if any.
- \square Confirm that the corresponding author agrees to communicate with all other authors and will obtain their approval for the final version to be published.

Confirm that all authors are listed and have made substantial contributions to:

- the research design, or the acquisition, analysis or interpretation of data; and to •
- drafting the paper or revising it critically;
- and that all authors have approved the submitted version
- 2) Give a short description of each individual's contribution to the research and its publication (e.g. designed study, analyzed data, drafted paper).

Sample authorship description and acknowledgement:

All authors were responsible for the study concept and design. Dr. Sankarasubramanian and Dr. Chiravuri recruited the patients. Dr. Chiravuri performed the neurostimulation trials and Dr. Patil performed the stimulator implants. Dr. Sankarasubramanian conducted the study and performed the quantitative sensory testing assessments. Dr. Mirzakhalili and Carlos J. Anaya assisted Dr. Sankarasubramanian with data collection. Ryan Scott performed the statistical analyses. Dr. Sankarasubramanian analyzed and interpreted the data, and prepared the manuscript draft, tables, and figures with guidance from Dr. Lempka. All authors provided intellectual input and assisted with manuscript revisions. All authors approved the final version of the manuscript.

3) Was this research or its publication assisted by any non-financial or 'in-kind' contributions? (e.g. provision of study design, data collection, data analysis, writing assistance, literature searching, administrative support, supply of materials).



If yes, state the identity of the individuals who provided this assistance and disclose the source of any material or financial support:

Corresponding Author: _______ Jemplea Scott F. Lempka _____ Date: 08/04/2020_

 \boxtimes

Patient No.	Gender/ Age (yr.)/ Race	Pain diagnosis
1	M/66/W	llioinguinal neuralgia
2	M/52/W	Lumbar FBS
3	M/50/W	Cranial neuralgia
4	M/50/W	llioinguinal neuralgia
5	M/58/W	Lumbar FBS
6	F/35/W	llioinguinal neuralgia
7	M/25/W	Chemo- induced peripheral neuropathy
8	M/46/W	Lumbar FBS
9	M/50/O	Lumbar FBS
10	F/64/W	Upper limb CRPS

Table 1. Baseline demographics and clinical characteristics of participants.

Pain

LE

LB

UE

(ear)

(groin)

location

Pain

side

R

R

L

Radiating

pain

No

Yes

No

Pain

Aching,

burning,

dull,

sha<u>rp</u>

Aching

Zapping,

sharp

description

Treatments tried

prior to receiving

neurostimulation

Injections, nerve

PT, OT, CBT, CP,

injections, nerve

blocks, surgeries

Injections, nerve

blocks, surgeries

blocks

Pain

medication

NSAIDs, SH

Op, AD, AC,

NSAIDs, MR

NSAIDs, MR

Op, AD,

Smoking

status

Former

smoker

Non-

Non-

smoker

smoker

Alcohol

use

No

Past

user

user

Current

Drug

use

No

No

No

Pain

(yr.)

<5

>5

>5

duration

	-					-	_					
V	llioinguinal neuralgia	>5	LE (groin)	L	No	Sharp, constant	Nerve blocks, surgery	Ор	Current smoker	Past user	Yes	None
V	Lumbar FBSS	>5	LE (groin)	L	Yes	Burning, stabbing, constant	PT, OT, CP, injections, nerve blocks, surgeries	Op, NSAIDs, MR, SH	Current smoker	Current user	No	None
	llioinguinal neuralgia	<5	LE (groin)	R	No	Sharp, burning	CBT, nerve blocks	Op, AC, NSAIDs	Former smoker	No	No	None
V	Chemo- induced peripheral neuropathy	<5	LE (feet)	Both	Yes	Aching, shooting, sharp, dull	PT	Op, AD, AC, medical marijuana, CBD	Non- smoker	No	No	None
V	Lumbar FBSS	<5	LB	R	Yes	Dull, burning, shooting	PT, CP, injections, surgeries	NSAIDs	Non- smoker	Current user	No	None
	Lumbar FBSS	>5	LB	R	Yes	Sharp, stabbing, burning, dull	PT, OT, CBT, injections, surgeries	NSAIDs, MR	Non- smoker	No	No	None
	Upper limb CRPS	<5	UE (arm)	L	No	Burning, shooting,	PT, OT, CBT, CP, AP, injections	Op, AC, NSAIDs	Non- smoker	Current user	No	Hypertensive , obese

stabbing

Medical

history

Hypertensive

Hypertensive

Hypertensive

Pain-associated

symptoms

Mild fatigue,

interference

Mild fatigue,

Mild fatigue,

interference Allodynia, hyperalgesia, hyperesthesia, moderate fatigue, moderate sleep interference Mild fatigue, moderate sleep interference Mild fatigue, moderate sleep interference

Allodynia,

hyperalgesia,

hyperesthesia, moderate fatigue, moderate sleep interference

moderate sleep interference

moderate sleep

moderate sleep

	M/52/W	Lumbar FBSS	>5	LB	R	Yes	Aching	PT, OT, CBT, CP, injections, nerve blocks, surgeries	Op, AD, AC, NSAIDs, MR	Non- smoker	Past user	No	Hypertensive
12	F/52/W	Lumbar FBSS	>5	LB	R	Yes	Burning, sharp, intense	PT, OT, CBT, CP, injections, nerve blocks, surgeries	Op, AD, AC, NSAIDs, MR, SH	Non- smoker	Current user	No	None
13	F/41/W	Lumbar FBSS	>5	LB	L	Yes	Burning, sharp, pins and needles, numbing	PT, OT, injections, surgeries	AD, AC, NSAIDs, MR	Current smoker	No	No	None
14	F/36/W	Cranial neuralgia	<5	UE (ear)	R	No	Aching, shocking, electric	CBT, surgery	Op, AD, AC, NSAIDs, MR, SH	Non- smoker	No	No	Diabetic, obese
15	M/47/W	Lower limb CRPS	<5	LE (leg)	L	Yes	Burning, shooting, throbbing, stabbing, aching	PT, OT, injections, nerve blocks, surgeries	AD, AC, NSAIDs, MR, SH	Former smoker	Current user	No	None
16	F/55/W	Finger pain	<5	UE (fingers)	Both	No	Burning, throbbing, electric, tingling	Nerve blocks, surgeries	Op, AD, AC, NSAIDs, MR	Non- smoker	Current user	No	None

Mild fatigue,

interference

interference

hyperalgesia,

severe sleep

interference

hyperalgesia,

hyperesthesia, moderate fatigue, severe sleep interference

Allodynia,

Allodynia,

hyperalgesia,

mild fatigue,

severe sleep interference

hyperalgesia,

severe sleep interference

hyperesthesia, moderate fatigue,

Allodynia,

hyperesthesia,

hyperesthesia, mild fatigue,

Allodynia,

moderate sleep

Moderate fatigue, severe sleep

Patient No.	Primary pain site	Neurostimulation treatment received	Level of stimulation	Type of stimulation	Stimulator manufacturer	Clinically-effective stimulation parameters	Testing time points	Tested dermatome (pain site/control site)
1	Right groin	DRGS	Lumbar	Tonic	Abbott	18Hz, 300µs, 0.875mA	Pre-trial, post-implant	L1/C5
2	Right low back	SCS	Thoracic	Burst	Abbott	40Hz, 500Hz, 1000µs, 0.3mA	Pre-trial, post-implant	L4/C5
3	Left ear	SCS	Cervical	Burst	Abbott	40Hz, 500Hz, 1000μs, 0.15mA	Pre-trial, post-implant	C2/C5
4	Left groin	DRGS	Lumbar	Tonic	Abbott	18Hz, 300µs, 0.875mA	Pre-trial, post-implant	L1/C5
5	Left groin	SCS	Thoracic	Tonic	Boston Scientific	60Hz, 330μs, 7.7mA	Pre-trial, post-implant	L1/C5
6	Right groin	DRGS	Lumbar	Tonic	Abbott	18Hz, 200µs, 0.4mA	Pre-trial, post-implant	L1/C5
7	Bilateral feet	DRGS	Lumbar	Tonic	Abbott	18Hz, 250µs, 1.1mA (left) 18Hz, 250µs, 0.925mA (right)	Pre-trial, post-implant	L5/C5
8	Right low back	SCS	Thoracic	Tonic	Boston Scientific	1000Hz, 180µs, 4.4mA	Pre-trial, post-implant	L4/C5
9	Right low back	SCS	Thoracic	10 kHz	Nevro	10kHz, 30µs, 0.9mA	Pre-trial, post-implant	L4/C5
10	Left arm	SCS	Cervical	Burst	Abbott	40Hz, 500Hz, 1000μs, 0.2mA	Pre-trial, post-implant	C5/C5
11	Right low back	SCS	Thoracic	Burst	Abbott	40Hz, 500Hz, 1000µs, 0.6mA	Pre-trial, following successful trial treatment	L4/C5
12	Right low back	SCS	Thoracic	Burst	Abbott	40Hz, 500Hz, 1000µs, 0.6mA	Pre-trial, following successful trial treatment	L4/C5
13	Left low back	SCS	Thoracic	Burst	Abbott	40Hz, 500Hz, 1000μs, 0.6mA	Pre-trial, following successful trial treatment	L4/C5
14	Right ear	SCS	Cervical	Burst	Abbott	40Hz, 500Hz, 1000µs, 0.2mA	Pre-trial, following successful trial treatment	C2/C5
15	Left lower leg	SCS	Thoracic	Burst	Abbott	40Hz, 500Hz, 1000μs, 0.5mA	Pre-trial, following successful trial treatment	L4/C5
16	Bilateral fingers	SCS	Cervical	Burst	Abbott	40Hz, 500Hz, 1000μs, 0.2mA	Pre-trial, following successful trial treatment	C5/C5

Abbreviations: DRGS, dorsal root ganglion stimulation; SCS, spinal cord stimulation; C, cervical, L, lumbar.

Table 3. Clinical measures at pre-treatment and post-treatment. Results are reported as mean \pm standard deviation. Significant data (p < 0.05) and large effect sizes (r $\geq \pm 0.50$) are highlighted in bold.

Clinical measure	n	Instrument	Instrument score range	Pre-treatment	Post-treatment	p value	r value
Pain intensity	16	VAS	0 (no pain) – 100 (severe pain)	65.0 ± 21.0	19.4 ± 20.2	< 0.001	-0.63
Pain severity	16	BPI	0 (no pain) – 10 (severe pain)	6.19 ± 1.91	2.06 ± 1.96	0.001	-0.61
Pain interference	16	BPI	0 (no interference) – 10 (maximum interference)	6.21 ± 2.15	1.73 ± 1.97	0.001	-0.61
Sensory pain index	16	SF-MPQ	0 (best) – 33 (worst)	16.4 ± 8.25	5.25 ± 5.98	0.002	-0.54
Affective pain index	16	SF-MPQ	0 (best) – 12 (worst)	4.56 ± 4.05	0.88 ± 1.58	0.004	-0.51
Pain coping	16	CSQ	0 (no coping) – 42 (always coping)	17.9 ± 6.53	14.7 ± 9.00	0.030	-0.38
Sleep interference	16	PROMIS-SD	8 (no interference) – 40 (maximum interference)	27.8 ± 5.28	19.6 ± 5.51	0.002	-0.54
Anxiety	16	HADS	0 (no anxiety) – 21 (maximum anxiety)	6.19 ± 3.29	3.31 ± 2.89	0.003	-0.52
Depression	16	HADS	0 (no depression) – 21 (maximum depression)	6.81 ± 3.02	2.19 ± 2.26	0.001	-0.58
Pain disability	16	PDI	0 (no disability) – 60 (maximum disability)	30.7 ± 8.94	10.4 ± 11.2	0.001	-0.58
General health index	16	EQ-5D <mark>-3L</mark>	-1 (worst) to +1 (best)	0.59 ± 0.16	0.81 ± 0.17	0.005	-0.50

Abbreviations: VAS, visual analog scale; BPI, Brief Pain Inventory; SF-MPQ, Short-Form McGill Pain Questionnaire; CSQ, Coping Strategies Questionnaire; PROMIS-SD, Patient-Reported Outcomes Measurement Information System Sleep Disturbance; HADS, Hospital Anxiety and Depression Scale; PDI, Pain Disability; EQ-5D-3L, EuroQol five-dimension three-level version.

QST measure VDT PPT	Unit μm	n 16	Pre-treatment 12.0 ± 7.61	Post-treatment	p value	r value	Pre-treatment	Post-treatment	p value	r va
	μm	16	120+761							
PPT		1	12.0 ± 7.01	16.8 ± 17.6	0.501	+0.12	8.03 ± 9.18	5.92 ± 3.97	0.836	-0.0
	kgf/cm ²	16	2.81 ± 2.23	3.99 ± 2.52	0.002	+0.56	4.81 ± 1.87	5.19 ± 2.67	0.605	+0.0
PPToL	kgf/cm ²	10	3.99 ± 3.20	5.68 ± 2.35	0.011	+0.57	7.72 ± 2.21	8.11 ± 1.83	0.391	+0.3
TS (256mN)	NRS	16	14.7 ± 15.6	8.02 ± 13.0	0.033	-0.39	7.81 ± 10.5	7.54 ± 10.9	0.889	-0.0
TS (512 mN)	NRS	10	19.8 ± 21.2	13.2 ± 13.5	0.173	-0.30	9.70 ± 12.0	6.83 ± 14.9	0.176	-0.3
СРМ	kgf/cm ²	16	1.02 ± 1.24	1.35 ± 1.32	0.256	-0.20	0.86 ± 1.29	0.76 ± 0.96	0.717	+0.
			, ,	; VDT, vibratory de tioned pain modul		-			•	-pain

Table 4. Pre-treatment versus post-treatment comparison of QST measures for the pain (affected) site and the control (unaffected) site. Results are reported as mean \pm standard deviation. Significant data (p < 0.05) and large effect sizes (r $\geq \pm 0.50$) are highlighted in bold.

r value

-0.04

+0.09

+0.19

-0.02

-0.30

+0.06

Table 5. Comparison of QST measures between the pain (affected) site and the control (unaffected) site at pre-treatment. Results are reported as mean \pm standard deviation. Significant data (p < 0.05) are highlighted in bold.

			Pre-treatment						
QST measure	Unit	n	Pain (affected) site	Control (unaffected) site	p value	r value			
VDT	μm	16	12.0 ± 7.61	8.03 ± 9.18	0.121	-0.27			
PPT	kgf/cm ²	16	2.81 ± 2.23	4.81 ± 1.87	0.009	+0.46			
PPToL	kgf/cm ²	10	3.99 ± 3.20	7.72 ± 2.21	0.011	+0.45			
TS (256mN)	NRS	16	14.7 ± 15.6	7.81 ± 10.5	0.028	-0.39			
TS (512 mN)	NRS	10	19.8 ± 21.2	9.70 ± 12.0	0.063	-0.36			
СРМ	kgf/cm ²	16	1.02 ± 1.24	0.86 ± 1.29	0.877	+0.03			

Abbreviations: QST, quantitative sensory testing; VDT, vibratory detection threshold; PPT, pressure-pain threshold; PPToL, pressure-pain tolerance; TS, temporal summation; CPM, conditioned pain modulation; NRS, numerical rating scale; kgf, kilograms of force.