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# 46 Contributions

- 47 Vivianne Chappuis and Daniel Buser contributed to the study conception and design.
- 48 Data acquisition, analyses and interpretation were performed by Clemens Raabe and
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# 57 Conflict of Interest Statement

- 58 Clemens Raabe declares that he has no conflict of interest.
- 59 Alberto Monje declares that he has no conflict of interest.
- 60 Samir Abou-Ayash declares that he has no conflict of interest.
- 61 Daniel Buser declares that he has no conflict of interest.
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67

# 68 Abstract

# 69 Objectives:

To evaluate the long-term effectiveness of 6 mm implants in various indications with a micro-rough surface after 4.6-18.2 years in function and to assess key factors associated with implant survival, success and biological/technical complications.

# 73 Materials and Methods:

Fifty-five patients with seventy-four 6 mm implants placed from 2000 to 2013 attended the re-examination assessing well-established clinical and radiographic parameters, biologic and prosthetic complications, and patient-reported outcome measures.

# 78 Results:

79 Five implants were lost after a mean follow-up period of 9.1 years resulting in a 80 survival rate of 93.2%. All losses occurred in free-end situations in the mandible. Smoking habit significantly reduced implant survival (hazard ratio 36.25). Two 81 82 implants exhibited a history of peri-implantitis, and one implant showed progressive 83 marginal bone loss (MBL) resulting in a success rate of 89.2%. The mean MBL 84 amounted to 0.029 mm. Increased MBL was found for implants placed in the maxilla (0.057 mm) and for implants with a diameter of 4.1 mm (0.043 mm). Soft tissue 85 86 thickness (1.39 mm) and width of keratinized mucosa (1.91 mm) had no effect on 87 MBL. Patient-reported outcome measures showed high satisfaction (mean VAS scores 88%) and high quality of life (mean OHIP-G14 score 2.2). 88

89 Conclusion:

90 The present study demonstrated survival and success rates of 93.2 % and 89.2 % for 91 6 mm implants used in various indications. A factor leading to higher implant failure 92 was smoking, whereas modulating factors increasing annual MBL included implants 93 placed in the maxilla and implants with a diameter of 4.1 mm compared to 4.8 mm.

94

# 95 MeSH term keywords:

96 Dental Implants, Alveolar Bone Loss, Patient Reported Outcome Measures, Clinical

97 Trial, Osseointegration

98 Word count:

99 250

#### 100 Introduction

101 Short 6 mm dental implants have become a safe treatment option for patients with 102 reduced bone height in order to avoid complex vertical bone augmentation 103 procedures. Short 6 mm implants enable minimally invasive surgical treatment 104 concepts using standard implant placement protocols with low risks for intra- and 105 post-operative complications and are particularly suitable for implant rehabilitations of 106 older patients (≥75 years) or in compromised systemic medical conditions (Jung et 107 al., 2018; Schimmel, Srinivasan, McKenna, & Müller, 2018). In addition, short 6 mm implants are associated with reduced treatment times and costs compared to the 108 109 placement of longer implants in combination with complex vertical augmentative 110 interventions (Monje et al., 2013). Data on long-term success rates of 6 mm implants 111 considering the risk of complications and patient-reported outcome measures 112 (PROMs) is limited (Lai et al., 2013; Romeo et al., 2014; Rossi et al., 2018; Naenni et 113 al., 2018) in comparison to the well-documented use of standard length implants 114 (Buser et al., 2012; Jung, Zembic, Pjetursson, Zwahlen, & Thoma, 2012; Chappuis et 115 al., 2018).

116 Advances in material sciences and implant surface technology increased the 117 predictability of short dental implants with a micro-rough implant surface. 118 Nevertheless, differences in surface characteristics resulted in a wide variation of 119 survival rates between 86.7–100 % for 6 mm implants (Papaspyridakos et al., 2018). 120 Several modulating factors influencing the survival and success rate of short implants 121 have been addressed in the literature: first, the influence of the bone density and 122 bony structure on the survival rate of short implants was discussed. Recent reviews 123 reported more failures of short implants in the maxilla compared to the mandible due to differences in bone density (Srinivasan et al., 2014; Ravidà et al., 2019). Second, 124 125 the reduced length might also result in higher susceptibility for mechanical stress 126 caused by overloading (Petrie & Williams 2005). No association between occlusal 127 overload and loss of osseointegration was only confirmed for standard length 128 implants (Heitz-Mayfield et al., 2004; Isidor, 2006; Naert, Duyck, & Vandamme, 129 2012). Finally, an unfavorable crown-to-implant ratio (CIR) of 6 mm implants 130 facilitated more stress to crestal bone levels (Petrie & Williams, 2005; Morand & 131 Irinakis, 2007) and increased marginal bone loss (Villarinho et al., 2017; Di Fiore et 132 al., 2019). In contrast, other authors reported, that high CIR is not associated with increased marginal bone loss or implant failures (Nunes et al., 2016; Naenni et al.,2018).

135 In summary, poor bone structure of atrophic alveolar ridges, posterior locations with 136 high occlusal forces, and unfavorable CIRs may represent risk factors jeopardizing 137 the long-term survival and success rate of 6 mm implants. One restricting factor for 138 the broad use of short implants remains the lack of long-term evidence. In order to 139 optimize the long-term effectiveness of 6 mm short dental implants, there is a need to 140 identify key modulating factors for implant survival and success to facilitate 141 comprehensive treatment planning and enhance treatment outcomes.

The present study aimed to assess the long-term effectiveness of 6 mm implants after 4.6-18.2 years in place. The primary objective was the survival and success rate of 6 mm implants with a micro-rough surface including the evaluation of modulating factors. As secondary objectives, the annual marginal bone loss (MBL), the biological and technical complications, and patient's quality of life were investigated.

147

# 148 Material and Methods

#### 149 Study design

The study was approved by the local institutional review board (KEK-BE: 2017-00019, Cantonal Ethics Commission [Kantonale Ethikkomission], Bern, Switzerland), is in accordance with the Declaration of Helsinki (2013), was registered on clinicaltrials.gov (NCT04017026), and is compliant with the STROBE (Strengthening the Reporting of Observational Studies in Epidemiology) guidelines.

The records of all patients who had received an implant from 2000 to 2013 at the 155 156 Department of Oral Surgery at the University of Bern were browsed electronically for 157 the following inclusion criteria. Partially and fully edentulous patients treated with 6 158 mm implants and an age  $\geq$  18 years were eligible to be included in this investigation. 159 The implant design included a tissue-level implant (Straumann AG, Basel, 160 Switzerland) with a micro-rough surface (SLA or SLActive®) and an implant diameter 161 of 4.1 or 4.8 mm. The implant sites required at least six weeks of healing after tooth 162 extraction, sufficient bone height of  $\geq$  6 mm (including lateral and vertical bone 163 augmenting procedures except sinus floor elevation) and 2 mm of keratinized 164 mucosa prior to implant placement. The exclusion criteria were compromised general 165 health contraindicating surgical interventions, insufficient oral hygiene, unwillingness 166 to participate in the present study and pregnancy.

The patients were contacted and invited by phone or letter to attend a clinical reexamination between May 2018 and April 2019. For patients with lost or removed implants, the patient records were analyzed or further information was gathered from their private dentist to include them in the investigation. Written informed consent was obtained from all patients of this investigation after a thorough explanation of the study's objectives and after answering arising questions.

#### 173 Surgical and Restorative Procedure

174 The implant surgeries were performed by trained and board-certified oral surgeons 175 working as full-time faculty members in the department. The implants were inserted 176 according to a standardized protocol established at the University of Bern (Buser & 177 von Arx, 2000) with the margin between machined and micro-rough surface being 178 placed slightly sub-crestal (1 mm). If necessary, bone augmentation was performed 179 prior to (autogenous block graft harvested from an intraoral donor site such as the 180 chin or the ramus of the mandible) or simultaneous with implant placement (guided 181 bone regeneration using autogenous bone chips, deproteinized bovine bone material 182 (Bio-Oss) and a noncrosslinked collagen membrane (Bio-Gide); both Geistlich 183 Pharma, Wolhusen, Switzerland). The prosthodontic treatment was carried out by the 184 referring dentist or clinic after a healing period of at least 8 weeks using either screw 185 or cement retained fixed dental prostheses (FDPs: single crowns, splinted crowns, 186 bridges, or bridges with extensions) or removable dental prostheses (RDPs: bar or 187 attachment supported complete dentures).

# 188 Follow-up Examinations

#### 189 1) Clinical evaluation

190 After recording the general health status (smoking habit, medical risk factors, 191 medication), the patients underwent clinical and radiographic re-examinations. The 192 assessed clinical parameters included the modified plaque index (mPLI) (Mombelli, 193 Oosten, Schürch, & Lang, 1987), the modified sulcus bleeding index (mSBI) 194 (Mombelli et al., 1987), probing depths (PD), the width of keratinized mucosa (KM) 195 around the implant, and the distance from the implant shoulder to the mucosal 196 margin (DIM) at three buccal and one oral site of each implant. Subsequently, the 197 soft tissue thickness at the buccal aspect was assessed by an ultrasonic device 198 (PIROP G-Scan, ECHO-SON S.A., Krancowa, Poland). Finally, biological, technical, 199 and mechanical complications were recorded or past episodes retrieved from the 200 patients' charts.

#### 201 2) Radiographic evaluation

Digital periapical radiographs (Soredex Minray, Helsinki, Finland) were taken using stock film holders (XCP film holder, Dentsply Sirona, Bensheim, Germany) and the parallel technique. The datasets were evaluated independently by two examiners (V.C., C.R.) with the image-processing software ImageJ2, including an evaluation of interrater agreement.

After calibrating the software by measuring the implant length and thread distance, the annual marginal bone loss was assessed by measuring the distance from the implant shoulder to the first bone-to-implant contact (DIB) (Buser, Weber, & Lang, 1990) at the mesial and distal sites of the implant on both the closest to 1-year postoperative and follow-up radiographs. The annual MBL was then calculated by the difference obtained postoperatively and at the follow-up divided by the period between the two radiographs.

214 3) Patient-reported outcome measures

The individual patient's satisfaction was assessed using patient-reported outcome measures. Each patient was asked to fill in the oral health impact profile (OHIP G-14) questionnaire. Six additional questions addressed the patient's satisfaction regarding the incorporation, esthetics and hygiene in a 100 mm visual analog scale (

219

220 Figure 1). All questionnaires were self-completed.

4) Classification of implant survival, success and complications

Implant survival was classified as the implant still present at re-examination. Implant
success was defined according to the criteria of Buser et al. (1990) and Albrektsson
et al. (1986) (Table 1) also accounting for any findings in the past (e.g. resolved
infections).

Biologic complications were defined as inflammation of the peri-implant mucosal
and/or osseous tissue with progressive loss of supporting bone (Schwarz, Derks,
Monje, & Wang, 2018). Mechanical complications included failures of prefabricated
components, whereas technical complications consisted of failures of the laboratory
fabricated crowns (Salvi & Brägger, 2009).

#### 231 Statistical analysis

Patient data were first analyzed descriptively. Implant survival rates were assessed univariately and in an explorative way by using Cox proportional hazard regression models and assuming all implant data to be independent. Hazard Ratios were calculated and assessed, but only for dichotomous and numeric covariates so that

236 the ratio of "dropouts" vs. "estimated parameters" is 5/1 = 5. Note that this ratio is 237 adequate in an explorative context, but has its limitations as the computed models 238 lack statistical power due to the limited number of failed implants – leading to larger 239 Cls for hazard ratios and masking potential significances. The inter-rater agreement 240 was assessed for radiographic measurements with the help of the intraclass 241 correlation coefficient. A preliminary multiple regression analysis was performed to 242 screen for twelve potential risk factors on bone loss. Thereby, a backward stepwise 243 selection minimizing the Akaike Information Criterion (AIC) was used. The resulting 244 five risk variables were then assessed with the help of a linear mixed model, 245 correcting for the impact of the patient. Goodness-of-fit for the linear mixed model 246 was tested using the Shapiro-Wilk test on both residuals and random effects. Also, 247 the residuals were visually assessed for possible patterns. The number of estimated 248 fixed parameters in the final mixed model was seven in a sample size of 69, yielding 249 a ratio of 69/7 = 9.9. For all analyses, p-values less than 0.05 were considered 250 statistically significant. All analyses were performed with the statistics software R, 251 version 3.5.0 (R Core Team, 2018).

# 252 **Results**

#### 253 Study sample

254 Seventy-eight individuals met the search criteria after thoroughly reviewing the 255 patient records. Fifteen of those patients were not willing to participate in a clinical 256 investigation, four patients lived in a foreign country or had moved away, two patients 257 suffered from severe illness and two patients had passed away. Fifty-five patients 258 were evaluated consisting of 18 men (32.7 %) and 37 women (67.3 %) with a mean 259 age of 60.8 years (26 - 87 y) at implant surgery and a mean follow-up period of 9.1 years (4.6 - 18.2 y). Fifty patients were non-smokers at the timepoint of 260 261 reexamination, two were light smokers (< 10 cigarettes per day) and three were 262 heavy smokers (≥ 10 cigarettes per day). In all these patients, 74 tissue-level 263 implants with a length of 6 mm (Straumann AG, Basel, Switzerland) and a micro-264 rough SLA® (n=16) or SLActive® (n=58) surface were inserted.

#### 265 Surgical and Restorative Procedure

The majority (91.9 %) of surgical interventions used a standard implant placement protocol of at least 6 months following tooth extraction. A simultaneous bone augmentation was necessary in 10.8 % of procedures; a staged augmentation was necessary also in 10.8 % of procedures. Postoperative healing was uneventful in all except one patient, who suffered from an early peri-implant infection on two 6 mm
implants one month postoperatively. These implants were regrafted successfully and
healed. 93.2 % of the implants were restored with FDPs. 86.5 % of all restorations
provided splinting to at least one adjacent implant (length 6 – 12 mm) (Table 2).

# 274 Survival Rate and Incidence of Biologic, Technical and Mechanical 275 Complications

In total, five implants were lost resulting in a survival rate of 93.2 % after a mean 276 277 follow-up period of 9.1 years (Figure 2). Two implants were lost in one smoker after 6.8 vears due to periimplantitis, whereas three implants were lost due to 278 279 spontaneous non-inflammatory loss of osseointegration after 4.8 years (n=2 in one 280 smoker) and 11.6 years (n=1 in a non-smoker). All of the implant losses appeared in 281 free-end situations of the mandible and in implants being restored with splinted 282 restorations. A history of biologic complications evolved in two implants of a single 283 patient as a peri-implant infection one month postoperatively, that was resolved by a 284 peri-implant augmentative procedure. At the last clinical follow-up examination, no 285 biologic complications were present in any of the short implants. History of periimplantitis occurred in a rate of 5.4 % at implant-level. Only minor technical 286 287 complications were recorded (8.1 %). Chipping was the most frequent, occurring in 288 five restorations. Additionally, the framework of a bridge fractured and required a new 289 restoration. Mechanical complications only presented as screw-loosening in three 290 cases (4 %) (

291

#### 292 Table 3).

#### 293 Clinical Parameters

294Overall, 94 % of patients attended a regular dental maintenance care program at least once a year. Patients295presented good oral hygiene showing low plaque and bleeding indices (mean mPLI  $0.25 \pm 0.48$ , mean mSBI 0.11296 $\pm 0.37$ ). Mean PD amounted to  $3.01 \pm 1.03$  mm whilst the mean DIM of  $-0.65 \pm 1.31$  mm indicated the location of297the implant shoulder slightly submucosal. Mean amount of KM at the buccal implant shoulder was  $1.91 \pm 1.76$  mm298with a mean soft tissue thickness of  $1.39 \pm 0.70$  mm (

- 299
- 300 Table 3). Representative clinical images and PAs are shown in Figure 3.

#### 301 Radiographic Parameters

302 Sixty-nine surviving implants in 52 patients were evaluated by two independent 303 examiners to assess the annual MBL as well as anatomical and clinical crown-to-304 implant ratios. High intraclass correlation coefficients (0.77 - 0.93) were found for all

their measurements, except fair values for the annual MBL (0.50), which was associated with a low interrater agreement in a single patient presenting double contours on the PA. After exclusion of this patient, a high intraclass correlation coefficient (0.80) was also found for the annual MBL. Subsequently, the average values between both examiners were used for further analysis.

310 The mean annual MBL was  $0.029 \pm 0.071$  mm in total with  $0.057 \pm 0.086$  mm in the maxilla and  $0.016 \pm 0.059$ 311 mm in the mandible (

- 312
- 313 Table 3).

**11** 

#### 314 Patient-Reported Outcome Measurements

- Regarding quality of life, the OHIP presented a mean value of 2.2. The six additional questions regarding the
   incorporation, esthetics and hygiene revealed a high mean satisfaction of 85 91 % (
- 317
- 318 Figure 1).

#### 319 Success Rate

Two implants had a history of periimplantitis and therefore did not fulfill the success criteria (Albrektsson, Zarb, Worthington, & Eriksson, 1986; Buser et al., 1990). A third implant was clinically unsuspicious but presented an annual MBL of 0.29 mm and therefore did not fulfill the success criteria (Albrektsson et al., 1986). The resulting success rates were 90.5 % for the criteria by Buser et al. (1990) and 89.2 % by Albrektsson et al. (1986).

#### 326 Analysis of modulating factors

Smoking was the only significant factor jeopardizing the survival rate of 6 mm implants as 4 out of 10 implants in smokers were lost (hazard ratio of 36.35 compared to non-smokers, p=0.001) (Figure 4). Higher risks for implant failures were observed for implants in free-end situations of the mandible and implants being restored with splinted FDPs, as all losses clustered in these groups. Restrictively, no regression analysis could be performed for indication, jaw, and restoration due to a lack in variance of the losses. A summary of hazard ratios is shown in *Table 4*.

The preliminary multiple linear regression analysis found five risk factors influencing annual MBL: jaw (maxilla, mandible), localization (incisors, premolars, molars), implant diameter (4.1 mm, 4.8 mm), grinding habits (yes, no) and patients' age. The subsequent linear mixed model then revealed that the following three factors had a significant influence:

339 Three factors modulated annual MBL compromising implant success rate:

- 1) Jaw (p=0.02) an annual MBL of 0.057 mm was found for implants in the maxilla
- 341 versus 0.016 mm in the mandible,
- 342 2) Diameter of the implant (*p*=0.05) an annual MBL of 0.043 mm was found for 4.1
  343 mm implants versus 0.019 mm for 4.8 mm implants, and
- 344 3) Patients age (*p*=0.02) each additional year of age at surgery increased annual
  345 MBL by 0.002 mm.
- No concluding significant effects were found for factors localization (p = 0.22) and grinding habits (0.17).
- 348

# 349 Discussion

# 350 Principal findings

This investigation evaluated the long-term effectiveness of 6 mm implants and revealed survival and success rates of 93.2 % and 89.2 % after a mean follow-up of 9.1 years (range 4.6 - 18.2 y). Smoking was the only factor impairing survival rates significantly. The annual MBL contributing to the failure rate was significantly increased for implants placed in the maxilla, for implants with a diameter of 4.1 mm compared to 4.8 mm, and for patients with a higher age at surgery. Soft tissue thickness and the width of the KM did not significantly influence the annual MBL.

358

# 359 Agreements and disagreements with previous findings

360 Long-term outcomes of dental implant procedures are a relevant factor in the 361 decision-making process for implant treatments. Although short-term data is 362 promising, long-term survival rates of 6 mm implants are scarce, considerably inferior 363 to those of standard length implants and therefore appear less predictable (Buser et 364 al., 2012; Chappuis et al., 2018; Papaspyridakos et al., 2018; Vazouras et al., 2020). 365 In the present study, the only risk factor significantly impairing the survival rate of 6 366 mm implants was smoking. However, as the sample of smokers was very small and 367 two smoking patients had two implant losses each, bias cannot be ruled out and the 368 impact of smoking on 6 mm implant survival must be interpreted with great caution. 369 Abduljabbar et al. (2018) investigated the influence of smoking on 6 mm implants 370 after 6 years and found no effect on the clinical and radiographic status but did not 371 report any survival or failure rates (Abduljabbar et al., 2018). However, smoking is a 372 well-known and confirmed risk factor for dental implant failure (Moraschini & 373 Barboza, 2016). In addition, all implant losses were located in free-end situations of

374 the mandible and restored with splinted FDPs. Due to a lack of variance in losses, no 375 regression analysis could be performed for splinting, indication and jaw. Two of the 376 five losses were related to biologic complications whilst three implants suddenly 377 became mobile after 4.8 - 11.6 years in function without previous signs of 378 progressive peri-implant bone resorption. The latter was also described in two recent 379 long-term studies for all lost 6 mm implants (Rossi et al., 2016; Naenni et al., 2018). 380 Both authors hypothesized different reasons for the implant loss, which might be 381 related to each other. Implant crowns do not wear off as much as natural tooth 382 structures, leading to stronger occlusal contacts on the implant restoration over time 383 (Naenni et al., 2018). This overload might cause microfractures at the bone-implant 384 interface of short implants (Rossi et al., 2016) and inhibit bone healing processes. 385 Accordingly, splinting of 6 mm implants (Ravidà et al., 2019) and thorough 386 adjustment of the occlusion during the follow-ups may prevent overloading.

387 To optimize treatment concepts, we need a better understanding of the factors that 388 influence the performance of short implants. Therefore, not only implant survival 389 rates were investigated, but also success rates and the annual MBL. Various 390 definitions for implant success are described in the literature without consensus 391 regarding the ideal criteria. We selected two well established definitions to categorize 392 the results leading to slightly different success rates (A: Albrektsson et al., 1986; B: 393 Buser et al., 1990). Both 6 mm implant success rates (A: 89.2 %, B: 90.5 %) were 394 inferior to the results of standard implants (Buser et al., 2012). Success criteria were 395 not fulfilled in 7 (B) and 8 (A) cases respectively: five implants were lost (A, B), two 396 implants developed a peri-implant infection, which was successfully treated (A, B) 397 and one implant presented an annual MBL of 0.29 mm (A). However, all other 6 mm 398 implants had ≤ 0.2 mm annual MBL. A mean MBL of 0.63 - 0.8 mm was reported for 399 6 mm implants after 10 years of function (Lai et al., 2013; Rossi et al., 2018), which 400 would result in an annual MBL of 0.063-0.08 mm. The recent findings are in line with the latter and support the hypothesis, that short implants undergo the same MBL as 401 402 standard implants (Monje et al., 2014). The influencing factors on implant success 403 were assessed using a further analysis of the annual MBL. First, the annual MBL was 404 significantly higher (p=0.02) in the maxilla (0.057 mm) compared to the mandible 405 (0.016 mm) which might be associated with the reduced bone density of the maxilla. 406 a tendency also reported by Rossi et al. (2018). Nevertheless, those results might be 407 affected by shorter follow-up intervals for implants in the maxilla (7.8 years) than in 408 the mandible (9.8 years), as increased bone remodeling takes place specifically in

409 the first postoperative year (Albrektsson et al., 1986). Second, the annual MBL was 410 significantly modulated by the implant diameter. Implants with 4.1 mm in diameter 411 had a twofold higher annual MBL compared to implants with 4.8 mm in diameter 412 (*p*=0.05). Therefore, larger implant diameter might protect the marginal bone from 413 stress-induced resorption as an increasing implant diameter reduces stress to the 414 crestal bone, especially in short implants (Petrie & Williams, 2005). Third, the 415 patient's age at surgery influenced the annual MBL, as each additional year of age 416 increases annual MBL by 0.002 mm (p=0.02). A recent consensus report (Schimmel 417 et al., 2018) stated that age is not a risk factor for implant failure, but may affect peri-418 implant MBL. Peri-implant MBL in this age-group may be also influenced by 419 medication intake (Chappuis et al., 2018). However, as only minor changes were found and the patient's age is an inalterable factor, the clinical relevance of this 420 421 finding remains guestionable. Interestingly, width and thickness of the keratinized 422 mucosa did not affect the annual MBL. However, these findings are contradictory to 423 the results of a recent meta-analysis (Thoma et al., 2018), showing better peri-424 implant health and less MBL for grafted soft tissues. As splinted restorations were 425 used in the majority of 6 mm implants, the measurement of the height of the 426 restoration was not applicable. Splinting transfers the occlusal forces to several 427 implants and the effect of CIR does not come into play, as this is the case in single-428 tooth restorations. Therefore, the clinical or anatomical CIR was not assessed in this 429 investigation.

430 To the best of the authors' knowledge, this is the first long-term investigation 431 including PROMs for 6 mm implants. Generally, patients were highly satisfied with 432 the procedure and outcome of the treatment and showed mean values of 2.2 in the 433 OHIP-G14 questionnaire which is in line with the mean score of 1.6 mentioned for 434 screw-retained partial dentures in the literature (Preciado, Del Río, Lynch, & Castillo-435 Oyagüe, 2013). Regarding the VAS, slightly lower values were found for the hygiene 436 of the implants and the overall procedure. The first might be due to the mostly 437 posterior implant position, that may challenge older patients with limited manual 438 abilities. The second could be related to the treatment modalities of a university clinic 439 working on a referral base, resulting in additional appointments for examination or 440 follow-up visits for the patient.

441

#### 442 Limitations and recommendations for future research

443 The present investigation has several limitations. The study cohort had a rather small 444 sample size of 55 patients (74 implants) and various follow-up periods. In some 445 instances, the radiographs were dated earlier than 12 months postoperatively for the 446 assessment of the MBL. Additionally, the investigated implants included two 447 diameters (4.1 mm or 4.8 mm) and surfaces (SLA or SLActive) and were installed in 448 various locations. The restoration was delivered at different timepoints by dentists of 449 unknown expertise using multiple types of dental prostheses, which might have 450 distorted the results. Nevertheless, this investigation reveals additional long-term 451 results of 6 mm implants and might be the first one assessing PROMs. Further long-452 term investigations may clarify the tendencies found for the influence of indication, 453 jaw and type of restoration on the survival and success rates of 6 mm implants.

# 454 Conclusion

455 In the scope of comprehensive treatment planning, 6 mm micro-rough implants offer 456 less-invasive treatment options involving mostly splinted restorations. The present 457 study demonstrated survival and success rates of 93.2 % and 89.2 % for 6 mm 458 micro-rough implants in various indications after a mean follow-up period of 9.1 459 years. A detrimental risk factor for implant failure was smoking. Factors that 460 negatively affected annual MBL and thus implant success were anatomical location 461 (maxilla compared to mandible) and implant diameter (4.1 mm compared to 4.8 mm). 462 The soft tissue thickness and the width of KM had no effect on annual MBL.

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- 597
- 598 Tables & Figures Legends
- 599 Table 1:
- 600 Criteria for implant success.
- 601
- 602 Table 2:

603 Implant characteristics, surgical and restorative procedures of the 6 mm implants (number of implants and rates). 604 All information is given for both jaws as well as maxilla and mandible separately. The information regarding lost 605 implants were included to the columns "maxilla", "mandible" and "total". Additionally, to better understand potential 606 risk factors, information about lost implants are shown separately in the column "total losses". N=74. (FDP fixed 607 dental prosthesis, RDP removable dental prothesis, GBR guided bone regeneration, CR cement retained, SR 608 screw retained)

- 609
- 610 Table 3:
- 611 Complications, survival and success (number of implants and rates) as well as clinical and radiographic 612 parameters (mean values and standard deviation, SD) of the 6 mm implants. All information is given for both jaws 613 as well as maxilla and mandible separately. N=74.
- 614
- 615 Table 4

- 616 Survival Hazard Ratios from Cox Proportional Hazard Regression. \*Is significantly higher than 1.
- 617 1) HR not computable as only females had implant losses (5)
- 618 2) HR not computable as only non-grinders had implant losses (5)
- 619 3) HR not computable as only one experimental group had implant losses (5)
- 620 4) HR not computable as only one experimental group had implant losses (5)
- 621
- 622 Figure 1
- 623 Patient-reported outcome measures were evaluated using the shown phrases. The patients had to visualize their 624 agreement to the statements on a visual analog scale, using 0 % as full disagreement and 100 % as complete 625 agreement. The boxplot of each statement is presented with x indicating mean values.
- 626
- 627 Figure 2
- 628 Overall survival rate of 6 mm implants over time (dotted lines: 95 % confidence intervals).
- 629
- 630 Figure 3
- 631 Representative clinical images of 6 mm implants from a buccal and occlusal view with corresponding PAs. The
- 632 *FDI-classification indicates the implants position, with 6 mm implants written <u>underlined</u>. Follow-up periods are 633 given in years.*
- - -
- 634
- 635 Figure 4
- 636 Survival rates of 6 mm implants in non-smokers (grey) and smokers (black) over time.

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Table 1

Criteria for implant success.

Criteria for implant success according to	Criteria for implant success according to
Albrektsson et al. (1986)	Buser et al. (1990)
Absence of persistent pain, infection,	Absence of persistent subjective
neuropathies, paresthesia or violation of	complaints, such as pain, recurrent peri-
the mandibular canal	implant infection with suppuration,
	foreign body sensation or dysesthesia
Clinically immobile implant	Absence of mobility
No peri-implant radiolucency	Absence of continuous radiolucency
0)	around the implant
Vertical bone loss less than 0.2 mm	Possibility for restoration
annually following the implant's first year	
of service	

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#### Table 1

Implant characteristics, surgical and restorative procedures of the 6 mm implants (number of implants and rates). All information is given for both jaws as well as maxilla and mandible separately. The information regarding lost implants were included to the columns "maxilla", "mandible" and "total". Additionally, to better understand potential risk factors, information about lost implants are shown separately in the column "total losses". N=74.

Procedure-related data	n (maxilla) no losses	%	n (mandible) including 5 losses	%	n (total) including 5 losses	%	n total losses
Surface							
SLA	2	8.7	14	27.5	16	21.6	1
SLActive	21	91.3	37	72.5	58	78.4	4
Implant diameter							
4.1 mm	5	21.7	27	52.9	32	43.2	3
4.8 mm	18	78.3	24	47.1	42	56.8	2
Site of insertion							
Incisor	2	8.7	0	0	2	2.7	0
Premolar	8	34.8	12	23.5	20	27.0	2
Molar	13	56.5	39	76.5	52	70.3	3
Indication							
Single-tooth gap	1	4.3	1	2.0	2	2.7	0
Free-end situation	14	60.9	38	74.5	52	70.3	5
Extended edentulous spaces	5	21.7	12	23.5	17	23.0	0
Edentulous jaws	3	13.0	0	0	3	4.1	0
Surgical Intervention							
Timepoint of implantation							
Immediate (Type 1)	0	0	0	0	0	0	0
Early 4 - 8 weeks (Type 2)	0	0	1	2.0	1	1.4	0
Early 12 - 16 weeks (Type 3)	1	4.3	4	7.8	5	6.8	0
Late 6 months (Type 4)	22	95.7	46	90.2	68	91.9	5
Augmentative Procedures							
None	20	87.0	38	74.5	58	78.4	4
Simultaneous GBR	2	8.7	6	11.8	8	10.8	0
Staged block graft	1	4.3	7	13.7	8	10.8	1

#### **Restorative Procedures**

**FDPs** 

-							
Single crowns (CR/SR)	0/1	0/4	2/4	4/8	2/5	3/7	0/0
Splinted crowns (CR/SR)	6/5	26/22	13/7	25/14	19/12	26/16	0/2
Bridges (CR/SR)	0/3	0/13	9/5	18/10	9/8	12/11	2/0
Bridges + extension (CR/SR) RDPs	1/3	4/13	8/2	16/4	9/5	12/7	1/0
Implant supported bar, SR	2	9	0	0	2	3	0
Attachments, SR	2	9	1	2	3	4	0

FDP fixed dental prosthesis, RDP removable dental prothesis, GBR guided bone regeneration, CR cement retained, SR screw retained

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#### Table 1

Complications, survival and success (number of implants and rates) as well as clinical and radiographic parameters (mean values and standard deviation, SD) of the 6 mm implants. All information is given for both jaws as well as maxilla and mandible separately. N=74.

Follow-up data	n		n		n	
Complications	(maxilla)	%	(mandibula)	%	(total)	%
Biological	0	0	4	7.8	4	5.4
Mechanical	1	4.3	2	3.9	3	4
Technical	1	4.3	5	9.8	6	8.1
Survival and Success						
Survival	23	100	46	90.2	69	93.2
Removed or lost implants	0	0	5	9.8	5	6.8
Implants fulfilling success criteria of						
Buser et al.	23	100	44	85.3	67	90.5
Implants fulfilling success criteria of						
Albrektsson et al.	22	95.7	44	85.3	66	89.2
Clinical Parameters	Mean	SD	Mean	SD	Mean	SD
Age at surgery, years	63,56	11,42	59,50	10,95	60,80	11,26
Implant follow-up, years	7,80	3,36	9,81	3,59	9,14	3,64
Months in function (only failed						
implants)					83,40	29,96
Modified plaque index	0,28	0,47	0,24	0,32	0,26	0,38
Modified sulcus bleeding index	0,22	0,35	0,24	0,13	0,11	0,24
Probing depth, mm	3,45	1,40	2,80	0,68	3,01	1,03
Distance from gingival margin						
to implant shoulder, mm	-1,26	1,42	-0,32	1,14	-0,64	1,32
Keratinized mucosa, mm	3,39	2,02	1,16	0,94	1,91	1,76
Thickness of keratinized mucosa, mm	1,44	0,83	1,37	0,64	1,39	0,70
2-Dimensional Radiographic						
Analysis						
Distance from implant shoulder (postop)						
to the first bone-to-implant contact	1,67	0,41	2,43	0,75	2,18	0,75

Distance from implant shoulder						
(follow-up)						
to the first bone-to-implant contact	2,03	0,60	2,55	0,51	2,38	0,60
Annual marginal bone loss (mm)	0.057	0.086	0.016	0.059	0.029	0.071

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#### Table 1

Survival Hazard Ratios from Cox Proportional Hazard Regression. \*Is significantly higher than 1.

Survival Hazard	Reference	Comparison	
Ratios	Group	Group	R (95%-CI)
Smoking	No/Ex-Smoker	Smoker	36.35* (3.99 - 331.5)
Age	Age X	Age X + 1	1.01 (0.93 – 1.11)
Gender	1)		
Grinding	2)		
Medical Risk Factors	No	Yes	1.84 (0.30 – 11.19)
Surface	SLA	SLActive	2.92 (0.26 - 32.42)
Implant Diameter	4.1mm	4.8mm	0.47 (0.08 – 2.83)
Implant Site	Premolars	Molars	0.53 (0.09 – 3.20)
Indication	3)		
Restauration	4)		
Retention	Cemented	Screw Retained	1.17 (0.19 – 7.19)

1) HR not computable as only females had implant losses (5)

2) HR not computable as only non-grinders had implant losses (5)

3) HR not computable as only one experimental group had implant losses (5)

4) HR not computable as only one experimental group had implant losses (5)

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