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8 **Intranasal delivery of allergen in a nanoemulsion adjuvant inhibits allergen-**  
9 **specific reactions in mouse models of allergic airway disease**

10

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15

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33 critically reviewed and approved the final manuscript.  
34  
35

### 36 **Abstract**

37 Background: Atopic diseases are an increasing problem that involve both immediate  
38 hypersensitivity reactions mediated by IgE and unique cellular inflammation. Many forms of  
39 specific immunotherapy involve the administration of allergen to suppress allergic immune  
40 responses but are focused on IgE mediated reactions. In contrast, the effect of allergen-specific  
41 immunotherapy on allergic inflammation is complex, not entirely consistent and not well  
42 understood. We have previously demonstrated the ability of allergen administered in a  
43 nanoemulsion (NE) mucosal adjuvant to suppress IgE mediated allergic responses and protect  
44 from allergen challenge in murine food allergy models. This activity was associated with  
45 decreases in allergen specific IL-10 and reductions in allergic cytokines and increases in  
46 regulatory T cells.

47 Objective: Here we extend these studies to using 2 distinct models, the ovalbumin (OVA) and  
48 cockroach (CRA) models of allergic airway disease which are based predominantly on allergic  
49 inflammation.

50 Methods: Acute or chronic allergic airway disease was induced in mice using ovalbumin and  
51 cockroach allergen models. Mice received three therapeutic immunizations with allergen in NE,  
52 and reactivity to airway challenge was determined.

53 Results: Therapeutic immunization with cockroach or OVA allergen in NE markedly reduced  
54 pathology after airway challenge. The 2 models demonstrated protection from allergen  
55 challenge-induced pathology that was associated with suppression of Th2-polarized immune  
56 responses in the lung. In addition, the reduction of ILC2 numbers in the lungs of allergic mice  
57 along with reduction in epithelial cell alarmins, IL-25 and IL-33, suggest an overall change in the  
58 lung immune environment induced by the NE immunization protocol.

59 Conclusions & Clinical Relevance: These results demonstrate that suppression of allergic airway  
60 inflammation and bronchial hyperreactivity can be achieved using allergen-specific  
61 immunotherapy without significant reductions in allergen-specific IgE and suggest that ILC2  
62 cells may be critical targets for this activity.

### 63 **Key Messages**

- 64 • Therapeutic immunization with allergen and NE suppresses allergic airway  
65 inflammation in two mouse models.
- 66 • Intranasal NE vaccines modulate allergen-specific cytokine milieu to suppress Th2  
67 cytokine production in the lungs.
- 68 • NE-vaccine induced suppression of allergic airway disease is associated with reduced  
69 ILC2s and alarmins.

70

### 71 **Introduction**

72 Atopic disease has increased dramatically, both in incidence and severity, creating an  
73 expanding, unmet medical need.<sup>1,2</sup> An important consideration is that while the public focus has  
74 been on IgE mediated immediate hypersensitivity reactions, there are actually two components to  
75 atopic diseases. Along with immediate IgE reactions cellular immune responses of the Th2  
76 phenotype cause significant and chronic inflammatory problems.<sup>3,4</sup> Therefore, an important goal

77 is to understand both the immediate IgE hypersensitivity reactions and the cellular inflammation  
78 that cause atopic diseases.

79 Approaches to treat allergic disease fall into two major categories; allergen specific and  
80 non-specific approaches. The various forms of allergen-specific immunotherapy involve  
81 chronically administered an allergen to a patient, either by injection or on the skin or mucosal  
82 surfaces, at progressively increasing doses. The focus of immunotherapy is predominantly to  
83 suppress allergen specific immediate IgE reactions, which has been accomplished with varying  
84 degrees of success.<sup>5-10</sup> The mechanism by which this therapy blocks IgE reactions is not entirely  
85 defined, but likely involves multiple immune alterations and unique changes in different  
86 individuals. Among these alterations, immunotherapy has been associated with the development  
87 of “blocking antibodies” that bind antigen preventing IgE binding and allergic reactions.<sup>11</sup> In  
88 addition, some approaches to immunotherapy can reduce IgE concentrations and/or allergen-  
89 specific Th2 cells after long term administration.<sup>12,13</sup> In most cases, however, it is felt that  
90 immunotherapy does not induce tolerance to the allergen as discontinuation of chronic  
91 administration of the allergen result in rapid recurrence of allergic sensitivity.<sup>7,8,14</sup> In addition,  
92 allergen immunotherapy has not been thought to be useful for treating atopic diseases related to  
93 cellular allergic inflammation. In particular, immunotherapy has not been effective for diseases  
94 like eosinophilic esophagitis, food protein-induced enterocolitis syndrome or uniformly useful  
95 for asthma or atopic dermatitis, where cellular inflammation with eosinophils and other allergic  
96 inflammatory cells predominate.<sup>15-20</sup>

97 In contrast to allergen-specific immunotherapy, recent advances in biological drugs have  
98 yielded therapeutics that block cytokines or inactivate allergic inflammatory cells, and these  
99 approaches have provided new insights into the treatment of allergic disease. Anti-cytokine  
100 therapy is not antigen specific but has been shown to reduce inflammation in a variety of  
101 diseases associated with allergic inflammation, particularly atopic dermatitis, asthma, allergic  
102 rhinitis and polyps and eosinophilic esophagitis.<sup>17,21,22</sup> The mechanism of action of these  
103 therapies involves blocking the cytokines produced by Th2 phenotype lymphocytes that promote  
104 the infiltration, proliferation and activation of allergic inflammatory cells, predominantly  
105 eosinophils, in local tissue. In contrast to biologicals that target IgE directly, some of the anti-

106 cytokine therapies can also cause long-term reductions in IgE.<sup>23</sup> Of interest, however, the  
107 reductions in IgE with these biologicals occur much slower than the improvement in  
108 inflammatory symptoms, and it is unclear whether anti-cytokine therapy acutely reduces  
109 immediate hypersensitivity reactions. Given these observations, there appears to be a dichotomy  
110 in the approach to treat the two mechanisms of atopic diseases and a fundamental lack of clarity  
111 on the relationship between immediate hypersensitivity reactions and chronic allergic  
112 inflammation.

113 We have recently examined a new approach to treating allergic disease.<sup>24</sup> We have been  
114 able to protect animals from anaphylaxis in murine models of peanut and milk allergy.<sup>25,26</sup> We  
115 accomplished this using specific allergen immunizations formulated in an adjuvant that redirects  
116 pre-existing Th2 immunity to a more balanced, Th1/Th17 phenotype. This process altered the  
117 allergen-specific T cell response by enhancing the production of Th1 cytokines, while also  
118 producing IL-10 and T regulatory cells.<sup>25</sup> This immunization is able to block immediate  
119 hypersensitivity reactions to allergen despite allergen specific IgE levels that would otherwise  
120 support anaphylaxis. Importantly, unlike traditional immunotherapy the inhibition of immediate  
121 hypersensitivity responses was maintained long after allergen/adjuvant administration was  
122 stopped.<sup>26</sup> This was associated with reductions in gut inflammation that suggested a direct effect  
123 on allergic inflammation not seen with other types of immunotherapy.

124 Given these observations we sought to evaluate the effect of this allergen-specific  
125 immunization in a model of atopic disease based predominantly on Th2 cellular inflammation  
126 rather than immediate hypersensitivity reactions (such as food anaphylaxis). Therefore, we  
127 examined the effect of allergen/adjuvant immunizations on allergic inflammation and clinical  
128 outcomes in two, well-defined allergic airway disease models.

## 129 **Materials and Methods**

130 *Antigen and adjuvants.* Endotoxin-free ovalbumin (OVA) was purchased from Lionex  
131 (Branschweig, Germany). The cockroach allergen (CRA) was clinical grade, skin test CRA  
132 (Hollister Stier, Toronto, Canada) that was purified by centrifugation using Amicon Ultra-15  
133 Centrifugal Filter Unit with Ultracel-3 membrane, 3000 MWCO to obtain endotoxin free CRA.

134 Nanoemulsion adjuvant (NE) was produced by a high speed emulsification of ultra-pure soybean  
135 oil with cetyl pyridinium chloride, Tween 80 and ethanol in water, with resultant NE droplets  
136 with average 350-400 nm diameter.<sup>27,28</sup> Aluminum hydroxide (alum, alhydrogel) was purchased  
137 from InvivoGen (San Diego, CA). Incomplete Freund's Adjuvant (IFA) was purchased from  
138 Sigma-Aldrich (St. Louis, MO). The absence of endotoxin in all reagents was confirmed using  
139 limulus assay.

140 *Ovalbumin allergic airway disease model.* Specific pathogen-free BALB/c mice (females 4-5  
141 weeks old) were purchased from Jackson Laboratory and immunized as per the schedule shown  
142 in Figure 1. For all immunizations, mice were anesthetized under isoflurane anesthesia using the  
143 IMPAC6 precision vaporizer. Allergic sensitization was induced with intraperitoneal  
144 immunizations (i.p.) of 20 µg OVA adsorbed on 2 mg alum.<sup>29</sup> Intranasal (i.n.) immunizations  
145 were administered as 12 µl (6 µl /nare) of a formulation containing 20 µg of OVA mixed with  
146 20% NE.<sup>25,26</sup> OVA mixed with PBS, PBS alone, and 20% NE only (no allergen) served as  
147 controls for the study. Mice were challenged intratracheally with 100 µg of OVA on three  
148 alternating days during week 16. Mice were sacrificed 2 days after the third challenge. All  
149 animal procedures were approved by the University of Michigan Institutional Animal Care and  
150 Use Committee (protocol numbers PRO00005743 and PRO00007671, approved 7/1/2014 and  
151 6/19/2017, respectively).

152 *Lung histology.* At the time of sacrifice, lungs were perfused with 4% formaldehyde for fixation.  
153 After fixation, lungs were embedded in paraffin, sliced transversally into 5-µm thick sections,  
154 and stained with hematoxylin and eosin or periodic acid-Schiff (PAS) to detect cellular  
155 infiltration and mucus production. The lung sections were scored for inflammation using the  
156 following scoring system: 0, absent; 1, minimal; 2, slight; 3, moderate; and 4, severe.<sup>30</sup> Total  
157 number of airways were counted and scored as mucus positive or mucus negative to determine  
158 the percentage of airways producing mucus. Eosinophils were identified by morphometric  
159 analysis at 1000x magnification. Individual eosinophils were counted from 100 high powered  
160 fields (HPF) per lung.

161 *Analysis of cytokine expression.* To assess allergen-specific recall responses, red blood cell-  
162 depleted splenocytes or lymphocytes isolated from cervical lymph nodes or lungs were cultured

163 ex vivo  $\pm$  OVA (20  $\mu$ g/ml). After 72 hours, cytokine secretion was measured in cell culture  
164 supernatants using Luminex Multiplex detection system (Millipore, Billerica, MA). To quantify  
165 cytokines in lung tissue, lungs were isolated one day after the final OVA challenge and  
166 homogenized in 350  $\mu$ L of T-PER tissue extraction buffer (Thermo Scientific), and frozen at -  
167 80°C. Samples were subjected to an additional freeze/thaw cycle, and then centrifuged at  
168 10,000xg for 5 min at 4°C to remove debris. Cytokines in lung supernatants were analyzed using  
169 a Luminex Multiplex kit. ELISpot assays were run using kits from Mabtech according to  
170 manufacturer's instructions. Briefly, sterile 96-well multiscreen filter plates with PVDF  
171 membrane (Millipore) were coated overnight with anti-IFN- $\gamma$ , IL-5 or IL-17 capture antibodies,  
172 blocked with 5% fetal bovine serum, and cells were added at 500,000 to 1,000,000 cells per well.  
173 Cells were cultured  $\pm$  OVA (20  $\mu$ g/ml) for 40 hours and cytokine secreting cells were detected  
174 by incubation with biotinylated antibodies to the respective cytokines followed by streptavidin-  
175 alkaline phosphatase. Spots were developed by addition of BCIP/NBT substrate and counted  
176 using an AID ELISpot reader system.

177 *Mouse chronic CRA allergic airway disease model.* Mice were sensitized by i.p. and  
178 subcutaneous (s.c.) injection of 500 protein nitrogen units (pnu) of CRA mixed 1:1 in IFA  
179 (Sigma-Aldrich, St. Louis, MO). Next, mice were challenged intranasally with 150 pnu of CRA  
180 on days 14, 18 and 22 after initial CRA sensitization to localize the response to the lung.<sup>31</sup> Mice  
181 were immunized on days 28, 56 and 84 with a formulation containing 20  $\mu$ g of CRA mixed with  
182 20% NE (12  $\mu$ l/mouse; 6  $\mu$ l /nare). CRA mixed with PBS and 20% NE only with no allergen  
183 were used as controls. Mice were challenged by intratracheal injection with 500 pnu CRA on  
184 days 98 and 102. Mice were sacrificed, and samples were taken one day after the last allergen  
185 challenge.

186 *Quantitative RT-PCR* Lung tissue was homogenized and RNA was extracted using TRIzol  
187 reagent (Invitrogen, Carlsbad, CA). mRNA concentration was quantified by NanoDrop, followed  
188 by cDNA synthesis using a High Capacity cDNA Reverse Transcription kit (Applied  
189 Biosystems, Foster City, CA). Real-time quantitative PCR was performed using Power SYBR  
190 green PCR master mix (Applied Biosystems, Foster City, CA). Gene expression was quantified  
191 by  $\Delta\Delta$ Ct analysis and normalized to GAPDH levels within individual samples.

192 *Measurement of airway hyperreactivity (AHR).* AHR was assessed using a mouse  
193 plethysmograph specifically designed for low tidal volumes (Buxco Research Systems), as  
194 described previously.<sup>32</sup> Briefly, mice were anesthetized with sodium pentobarbital, intubated and  
195 ventilated at a volume of 200  $\mu$ l with a frequency of 120 breaths/min. The plethysmograph was  
196 sealed, so changes in lung volume are represented by changed box pressure. Airway resistance  
197 was measured in by assessing tracheal pressure and comparing to the corresponding box pressure  
198 changes. Baseline levels were determined, and mice were challenged via tail vein with  
199 0.35 mg/kg of methacholine. The peak airway resistance recorded to quantify AHR.

200 *Measurement of serum antibodies.* Blood was collected at the end of the study, and sera were  
201 harvested by centrifugation. OVA- and CRA-specific IgG1, IgG2a and IgE antibodies were  
202 determined by ELISA in serially diluted serum, using OVA- and CRA-coated 96-well plates and  
203 alkaline phosphatase conjugated detection antibodies as described previously.<sup>27</sup>

204 *Flow cytometry.* The animals' lungs were removed and digested with 1 mg/ml collagenase A  
205 (Roche, Indianapolis, IN) and 20 U/ml DNaseI (Sigma, St. Louis, MO) in RPMI 1640 containing  
206 10% FCS. Single cell suspensions were achieved by dispersion through an 18-gauge needle and  
207 filtration through 100- $\mu$ m cell strainer. Cells were resuspended in PBS and stained by flow  
208 cytometry. All antibodies used for flow cytometry were purchased from BioLegend unless  
209 otherwise noted. Fc receptors were blocked with purified anti-CD16/ 32 and surface markers  
210 were identified using antibodies against the following antigens: B220, CD3, CD4, CD11b,  
211 CD25, CD45, CD90, Gr-1, ST2 and Ter119. Cells were fixed, permeablized and labeled for  
212 intracellular Foxp3 (eBioscience) and GATA3 (eBioscience). Cell types were defined as follows:  
213 *Treg:* CD4+CD25+Foxp3+. *Activated Th cells:* CD4+CD69+. *ILC2:* Lin-CD45+  
214 CD90+ST2+GATA3+. For innate lymphoid cell staining, lineage markers were CD3, CD11b,  
215 B220, Gr-1, and TER119. Samples were acquired on a NovoCyte flow cytometer (Acea  
216 Biosciences). Data were analyzed using FlowJo (Treestar).

217 *Statistics.* Statistical comparisons were assessed by the Mann-Whitney test using GraphPad  
218 Prism version 8 (GraphPad Software). The  $p$  value  $< 0.05$  was considered as significant.

## 219 **Results**

### 220 **NE vaccines protect against airway inflammation in the OVA allergic airway disease model**



221 As described previously, BALB/c mice were sensitized with OVA and alum to induce an  
222 allergic phenotype.<sup>33,34</sup> Animals were then immunized 3 times with either NE adjuvant-OVA  
223 vaccines or allergen in PBS as a control to demonstrate that the effects were specific to the  
224 vaccine and not due to intranasal allergen exposure (Figure 1A). Additional controls included  
225 intranasal instillation of PBS or NE adjuvant only without allergen. Following inhalation  
226 challenge with OVA, histopathological analyses of lung tissue were performed to characterize  
227 the effect of the NE allergy vaccine. As shown in Figure 1, mice sensitized with OVA-alum had  
228 significant infiltration of inflammatory cells in the lungs after allergen challenge ( $p=0.0061$  vs  
229 nonsensitized group). This inflammation was greatly diminished in mice that received  
230 therapeutic the OVA-NE vaccine, as documented by significant decrease in cellular infiltrates  
231 ( $p=0.0016$ ). The inflammation in the lungs of the OVA-NE immunized mice after antigen  
232 challenge was focal in nature and did not disrupt the pulmonary architecture. NE immunization  
233 also induced significant reductions in allergen-induced mucus production (Figure 1D;  $p=0.0002$ ).  
234 Sensitized mice had mucus in approximately 28% of their airways after OVA challenge as  
235 compared with 8% of the airways in mice receiving the NE immunizations. In the NE-treated  
236 mice, the airways that contained mucus had significantly less mucus and fewer mucus-producing  
237 cells, suggesting an inhibition of the goblet cell hyperplasia observed in OVA-sensitized mice  
238 who were not immunized with NE. These effects were specific to the OVA-NE vaccine, as  
239 sensitized mice that received PBS, OVA alone or NE alone all had similar increases in  
240 inflammation and mucus.

241 **Intranasal immunization with NE adjuvant suppresses acute allergic Th2 cytokine**  
242 **production and IgE in the OVA model.**

243 To examine the effect of NE adjuvant alterations in the cellular immune response to  
244 OVA, cytokine production was evaluated by Luminex (Figure 2A, 2B) and ELISpot (Figure 2C)  
245 to quantify, respectively, both the amount of cytokine secreted and the number of cytokine  
246 producing cells. OVA-alum sensitization produced a Th2-polarized cellular response, with IL-5  
247 and IL-13 production from lymphocytes isolated from the cLN and spleen, and IL-9 production  
248 in the lungs (Figure 2A, 2B). Intranasal instillation of OVA alone or NE alone had no effect, as  
249 cytokine secretion from these mice was the same as sensitized mice that received i.n. PBS.  
250 Lymphocytes isolated from cervical lymph nodes of mice that received subsequent OVA-NE

251 immunizations produced significantly more Th1 cytokines, including IFN- $\gamma$  and IL-2, and  
252 significantly less Th2 cytokines such as IL-5 and IL-13 (Figure 2B). Additionally, OVA-NE  
253 treatment significantly increased both IL-17 and IL-10 production ( $p=0.0001$  and  $0.0047$ ,  
254 respectively). Similar patterns were observed in cultured splenocytes from these animals, with  
255 more dramatic reductions in IL-5 and IL-13 (Figure 2C). This indicated that the OVA-NE nasal  
256 immunizations altered both local and systemic immune responses.

257 ELISpot analysis of cytokine-producing cells revealed similar results. Upon *ex vivo* OVA  
258 stimulation with allergen, cells from OVA-alum sensitized mice produced predominantly IL-5  
259 vs. IFN- $\gamma$  (Figure 2C). This changed in animals immunized with OVA-NE, where IFN- $\gamma$  and IL-  
260 17 cells predominated (Figure 2C). In addition, there were no significant differences in the  
261 cellular profile between sensitized mice that received OVA-NE immunization and nonsensitized  
262 mice that were immunized with OVA-NE alone (Figure 2C). This suggested the OVA-NE  
263 immunizations could redirect the Th2 phenotype of the OVA T cell response that were induced  
264 by sensitization towards a Th1/Th17 response.

265 The OVA-specific humoral immune responses were also characterized to determine if the  
266 suppression of Th2 immunity and induction of Th1/Th17 altered OVA-specific IgE and IgG  
267 subclasses. Allergen specific IgE was not detectable in the blood of non-sensitized animals.  
268 Titers of anti-OVA IgE increased dramatically after alum immunization, to  $10^4$ , and subsequent  
269 immunization with the OVA-NE vaccine decreased IgE significantly ( $p=0.0139$ ; 10-fold, Figure  
270 3A). However, OVA-specific IgE remained significantly elevated compared to non-sensitized  
271 controls. In addition, while IgG2a was significantly increased by the OVA-NE vaccine, IgG1  
272 titers were not changed (Figure 3B-C). Similar to the data described above, i.n. instillation of the  
273 vaccine containing both NE and OVA was required to modulate the immune response, as  
274 treatment with either component alone had no effect.

275

### 276 **Nanoemulsion adjuvant vaccine reduces histopathology and disease parameters in chronic,** 277 **CRA-induced allergic airway disease in mice.**

278 To determine if the therapeutic immunization with NE was effective at preventing airway  
279 inflammation in a second chronic model of allergic airway disease, we used the well-defined,  
280 mouse model of chronic cockroach allergen (CRA)-induced airway where airway remodeling is

281 accompanied by intense peribronchial leukocyte recruitment, mucus hypersecretion,  
282 development of airway hyperreactivity (AHR) and significant peribronchial and airway  
283 thickening.<sup>31,35-37</sup> The inflammation in this chronic model is driven purely by Th2-polarized  
284 immune response, as the allergen preparation contains no endotoxin and the immunization uses  
285 no innate cell adjuvant for sensitization. The experimental design used in this model is outlined  
286 in Figure 3A. Following the standard 22-day sensitization period, the animals were treated  
287 intranasally with a CRA-NE vaccine every 4 weeks for a total of 3 administrations. For the CRA  
288 studies, control groups were administered CRA in PBS and NE only to demonstrate the observed  
289 effects were due to the CRA-NE vaccine and not either of the individual components. Our data  
290 in the OVA model (Figure 1) and our previous work with peanut allergy models demonstrated no  
291 significant effect of administering small doses of allergen intranasally compared with instillation  
292 of PBS.<sup>25</sup> Fourteen days after the final intranasal administration, the animals were CRA  
293 challenged twice by intratracheal administration. Examination of the histopathology of the lungs  
294 demonstrated a reduction in overall inflammation and mucus production in the airways of  
295 animals given NE-CRA vs animals who received CRA alone (Figure 4B). Eosinophilic  
296 infiltration was increased in sensitized mice following CRA challenge, while this was  
297 significantly suppressed in mice that were treated with the CRA-NE vaccine (Figure 4C). In  
298 addition, mRNA levels of *muc5ac* and *gob5/clca3* were significantly reduced in the lungs of  
299 mice treated with the CRA-NE vaccine, indicating reduced mucus expression that correlated  
300 with less histopathology (Figure 4D). In addition, animals were also tested for changes in airway  
301 hyperreactivity (AHR) using a methacholine challenge. Animals that received the NE-CRA had  
302 a significant decrease in AHR compared to those receiving CRA only (Figure 4E). Together  
303 these data showed that inflammation, mucus hypersecretion and physiologic changes in the lung  
304 of mice markedly improved in NE-CRA immunized animals.

305

306 **Nanoemulsion adjuvant vaccine induced reductions in pathology in CRA allergic airway**  
307 **disease model occurs without significant modulation of the humoral immune response .**

308 Humoral immunity to the eliciting allergen was also characterized in the CRA model of allergic  
309 airway disease to determine if similar effects were induced by the NE vaccine in this chronic  
310 model. Surprisingly, unlike in the OVA model, NE vaccination did not significantly alter the

311 CRA-specific IgE, IgG1 or IgG2a in the chronic allergic airway disease model, where sensitized  
312 mice have high titers of all three antibody classes (Figure 5). Therefore, the reduction of  
313 inflammation and airway hyperreactivity induced by can occur with minimal modulation of the  
314 humoral immune response.

315

316 **Nanoemulsion adjuvant-induced reduction in IL-13 is associated with decreases in ILC2s**  
317 **in the lung.**

318 Because the protection conferred by the NE vaccines in the acute OVA model was associated  
319 with changes in Th2 cytokines, we hypothesized that NE vaccines protected predominantly by  
320 altering the allergen-associated cellular inflammation. In the CRA model, allergen-specific  
321 production of Th2 cytokines was very high in the LN, with no significant differences in CRA-NE  
322 immunized mice (Supplemental Figure 1). Given that these mice had significantly reduced  
323 inflammation following CRA challenge, cellular responses were next assessed in the lungs to  
324 determine if local changes were associated with protection from challenge. IL-13 is a key Th2  
325 cytokine linked to the severity of disease in allergic airway disease, and we found that it was  
326 significantly decreased in the lungs of mice that received the CRA-NE vaccine (Figure 6A). We  
327 also examined lymphocyte populations in the lung that have been associated with allergic  
328 responses to assess whether the CRA-NE vaccine alters their numbers. There was no difference  
329 in the total CD4 or total CD8 cell populations in the lungs of vaccine treated vs. CRA treated  
330 mice (data not shown), and there was no difference in Treg cells (CD4<sup>+</sup>, CD25<sup>+</sup>, Foxp3<sup>+</sup>) or  
331 activated Th1 cells (CD4<sup>+</sup>, CD69<sup>+</sup>) in the lungs (Figure 6B and 6C). In contrast, there were very  
332 significant decreases in ILC2 cells (Lin<sup>-</sup>, CD45<sup>+</sup>, CD90<sup>+</sup>, ST2<sup>+</sup>, GATA3<sup>+</sup>) in the lungs of the  
333 mice treated with the NE adjuvanted CRA as compared with control sensitized animals (Figure  
334 6D). This suggests that in this chronic allergic airway disease model the lung ILC2 cells are a  
335 prominent source of IL-13 and were significantly decreased by intranasal administration of NE-  
336 adjuvanted allergen.

337 **Nanoemulsion adjuvant-immunized mice have reduced alarmins in the lungs following**  
338 **allergen challenge**

339 The activation and proliferation of ILC2 cells depends upon alarmin cytokines, including IL-25  
340 and IL-33.<sup>38-41</sup> We hypothesized that reduced lung ILC2s in NE-immunized mice may be due to

341 changes in the production of these cytokines. Cytokine levels were quantified in lungs isolated  
342 following CRA challenge. Expression of both IL-25 and IL-33 were significantly reduced in the  
343 lungs of mice that received the CRA-NE vaccine prior to allergen challenge (Figure 6E). We  
344 next wanted to determine if alarmins were similarly suppressed in the OVA model to identify  
345 this as a common target of the NE vaccines in the two distinct models. Similar decreases in these  
346 alarmins was confirmed in the lung isolates from OVA-NE immunized mice (Supplementary  
347 Figure 2). These data suggest that intranasal administration of NE-adjuvanted allergen reduces  
348 lung ILC2 cells through suppression of the alarmins IL-25 and IL-33.

349

## 350 **Discussion**

351 Allergic disease pathogenesis involves both allergen-specific IgE, which is responsible  
352 for immediate hypersensitivity reactions, and Th2-polarized cellular inflammation. Most  
353 therapeutic approaches to treat atopic diseases have been directed at either IgE or cellular  
354 immunity; however the interactions between these components as well as their relative  
355 contributions to atopic diseases are not entirely clear. Here we sought to evaluate the effects of  
356 allergen-specific immunotherapy using a NE adjuvant that biases immune responses towards a  
357 Th1 and Th17 phenotype while suppressing Th2 polarized immune responses and cellular  
358 inflammation in the tissue. We have previously reported that nasal immunization with allergen  
359 in NE results in markedly decreased reactivity in murine models of food allergy.<sup>24-26</sup> Of interest,  
360 this occurred without an absolute elimination of allergen specific IgE titers but was associated  
361 with reductions of allergen-specific production of IL-4, IL-5 and IL-13 and increased production  
362 of IL-2, IFN- $\gamma$ , IL-10 and IL-17 in lymphocytes of treated animals.<sup>24-26</sup> There was increased  
363 production of allergen-specific IgG, and therefore blocking antibody that could also have played  
364 a role in the reduced immediate reactivity. Therefore, we decided to examine the activity of this  
365 therapeutic immunization strategy in two models of allergic airway disease that are based  
366 predominantly on cell-mediated Th2 inflammation rather than IgE reactivity.

367 Our results in the current studies demonstrate that allergen-specific immunotherapy could  
368 markedly reduce allergic inflammation in both the OVA (“acute”) and CRA (“chronic”) allergic

369 airway disease models. The OVA model was used to develop the therapeutic NE immunization  
370 protocol and demonstrate reduction in inflammation. These findings were confirmed in the more  
371 biologically relevant CRA model which employs cockroach allergen which is a common trigger  
372 of allergic airway disease in humans. The CRA model also induces a very robust Th2 cellular  
373 immune response and severe airway hyper-responsiveness. Therapeutic immunization with  
374 allergen formulated in NE resulted in suppression of inflammation associated with allergen  
375 challenge. Consistent with our previous work in food allergy models, protection from reactivity  
376 to allergen challenge was associated with reduction of IL-5 and IL-13, and increases in IFN- $\gamma$ ,  
377 IL-2 and IL-10 production by lymphocytes from regional lymph nodes and the spleen in the  
378 OVA model and lungs of the CRA model. This appeared to be independent of IgE reactivity, as  
379 antibody titers were either minimally changed (OVA model) or totally unchanged (CRA model)  
380 after treatment. Of interest, ILC2 cells, thought to be a major source of allergic cytokines during  
381 chronic asthma responses in humans and animals, were markedly reduced after the  
382 immunizations. This coincided with a reduction in epithelial-derived innate alarmin cytokines  
383 IL-25 and IL-33, which induce activation of ILC2s and maturation of Th2 cells. Together, these  
384 results indicate that NE adjuvant/allergen-specific immunotherapy is altering allergic  
385 inflammation, specifically alarmin expression and ILC2 accumulation, as the major effect on the  
386 allergic phenotype in these animals, and not simply altering the dynamics of IgE/allergen  
387 interactions.

388 Other recent findings reinforce that changes in Th2 cytokines and their signaling are  
389 important in suppressing allergic inflammation. Dupilumab, which blocks IL-4 and IL-13  
390 signaling through the common alpha chain of these receptors and has been shown to be widely  
391 effective in blocking allergic inflammation in atopic dermatitis, asthma, and nasal  
392 polyps.<sup>22,23,42,43</sup> These are all diseases that are dependent on allergic inflammation rather than  
393 anaphylactic IgE reactions.<sup>44</sup> The speed of dupilumab action, with changes seen within days,  
394 suggests these cytokines are important in propagating allergic inflammation leading to  
395 pathogenic outcomes that alter lung function. Similar effects are observed with anti-IL-5 in  
396 diseases where eosinophils predominate and cause airway damage and remodeling of the  
397 airways. In contrast, anti-IgE has a more limited effect on atopic dermatitis and nasal polyps and  
398 is more effective with IgE mediated reactions, such as food anaphylaxis and urticaria.<sup>45</sup>

399 Therefore, the suppression of allergic cytokine production and effector cells such as eosinophils  
400 would appear to be central to preventing pathology in non-anaphylactic/IgE mediated allergic  
401 diseases. Thus, the ability of the nanoemulsion vaccine to primarily alter the type 2 immune  
402 responses, including T cells, eosinophils and ILC2, likely would provide a longer term and more  
403 significant impact on chronic allergic responses in the lungs.

404 The NE-based vaccines are administered intranasally at low volumes (6  $\mu$ l/nare) that are  
405 retained in the nasal cavity and are not inhaled into the lungs or swallowed to enter the  
406 gastrointestinal tract.<sup>27,46</sup> However, antigen is taken up by dendritic cells in the nasal mucosa,  
407 leading to delivery to and retention in nasal associated lymphatic tissue and regional lymph  
408 nodes.<sup>47</sup> NE vaccines also upregulate mucosal homing markers on T cells, resulting in antigen-  
409 specific immune responses in mucosal immune sites, such as the lungs and intestine.<sup>25,26,48</sup> Mice  
410 that receive the NE-based vaccines have antigen-specific immune responses in both local (nasal  
411 mucosal, regional LN) and more distant (spleen, intestine) immune compartments, so the NE  
412 vaccines induce both local and more systemic effects. Specifically, in the chronic CRA model  
413 here, the effects of the NE vaccines were observed mostly in the lungs, suggesting that the local  
414 effects at the site of allergic inflammation are key drivers of modulation and suppression of  
415 disease.

416 The actual mechanism of how therapeutic NE immunizations alter the allergic phenotype  
417 in these animals is not entirely clear. This is not an induction of “tolerance” since despite  
418 increases in IL-10 production there is more allergen specific Th1, Th17 and IgG generated in  
419 response to allergen than before treatment. It also is not desensitization, as our prior work  
420 showed that the reductions in immediate reaction and immune modulation were maintained for  
421 long periods of time after treatment was stopped.<sup>26</sup> Therefore, the sustained unresponsiveness of  
422 allergic Th2 responses that was achieved with these immunizations appears to primarily divert  
423 the immune responses toward less pathogenic inflammation and cytokine profiles. There are  
424 several potential mechanisms that may be operative that alter the immune response. IL-10 is  
425 increased in these animals and has suppressive effects on allergic immune responses.<sup>49,50</sup>  
426 However, it is unlikely that IL-10 is solely responsible since the response preferentially  
427 suppresses the Th2 response while the Th1 response is increased. The protective effects are

428 likely due to an overall change in the cytokine milieu specifically in the lungs, which alters the  
429 recruitment of inflammatory cells, including ILC2s and eosinophils, thus suppressing allergic  
430 inflammation in the lung.

431 The NE formulation used here induces IL-17. IL-17 plays a potentially dual role in the  
432 allergic response in the lungs. While IL-17 can drive sensitization to allergen, once mice are  
433 already sensitized, exogenous IL-17 reduces both lung eosinophilic inflammation and AHR  
434 through inhibition of DC function, chemokines, and IL-4 and IL-5.<sup>51</sup> Other groups have  
435 demonstrated that the pathology associated with IL-17 in allergic responses occurs when IL-17 is  
436 produce along with high levels of Th2 cytokines.<sup>52,53</sup> Because NE vaccines suppress Th2  
437 cytokines, particularly IL-13, the NE-induced IL-17 is likely not pathogenic and may in fact play  
438 a positive role in suppression of allergic disease. Th17 cell-mediated immunity may also  
439 suppress IgE responses, as has been recently indicated for Th17 immunity associated with human  
440 autoimmune disease.<sup>54</sup>

441 Another potential mechanism that contributes to the overall change in the immune  
442 environment in NE-immunized mice is that cellular migration into the lung is reduced due to  
443 alterations in chemotactic factors or adhesion molecules that may be altered due to the primary  
444 alteration of cytokine profiles. Eosinophil recruitment to the lungs was reduced in the NE-  
445 immunized mice. We have previously demonstrated that NE immunization reduces mast cell  
446 accumulation in the small intestine in food allergy models. Eosinophils and mast cells are  
447 effector cells responsible for reactivity to allergen in the lungs and intestine, respectively, and  
448 recruitment of both of these effector cell types can be regulated by ILC2s.<sup>55,56</sup> Since the driving  
449 force behind ILC2 cells are innate epithelial cytokines, TSLP, IL-25, and IL-33, changes in the  
450 production of these cytokines/alarmins may be important. One interesting possibility is that the  
451 mucosal Th1/Th17 immune response generated with the NE-adjuvant is directly suppressing the  
452 Th2 immunity through an effect on these cells through the reduction of alarmin production,  
453 consistent with the reduced IL-25 and IL-33 in the lungs of NE-immunized mice.

454 Th1 cytokines have been implicated in suppressing inflammation and reactivity in some  
455 models of allergic disease, and this could explain the reductions in ILC2 in the lungs of these  
456 animals. It also has been reported that Th2 cells are critical for activation of ILC2s in the lung in  
457 a house dust mite model of allergic airway disease.<sup>57</sup> ILC2 activation in allergic airway disease



458 may require two signals, an innate signal from alarmins and an adaptive signal from T cells  
459 producing IL-4/IL-13.<sup>58</sup> Th1 cells induced by NE may also directly impact ILC2s through the  
460 production of IFN- $\gamma$ . IFN- $\gamma$  restricts ILC2 accumulation through limiting the IL-33-dependent  
461 maintenance of ILC2s in the tissue.<sup>59-61</sup> Our data suggest that the increased IFN- $\gamma$  from NE-  
462 induced Th1 cells suppresses IL-33-mediated ILC2 activation, resulting in reduced allergic  
463 disease. The NE adjuvant may be skewing the populations of innate cells, perhaps by  
464 downregulating ILC2s and increasing ILC1s or ILC3s or inducing a newly defined regulatory  
465 subset of ILCs.<sup>62,63</sup> Further investigation into this last possibility is warranted and is a focus of  
466 our ongoing work.

467 Taken together, these data identify a novel, allergen-specific approach to suppress  
468 allergic inflammation in the lung. This approach fundamentally alters the lung immune  
469 environment and alters the response towards an allergen, as opposed to inducing classic immune  
470 tolerance or desensitizing against IgE-mediated hypersensitivity reactions. It will provide an  
471 important tool for examining the control of allergic inflammation and ILC2 cells that could  
472 provide long-lasting suppression of allergic disease.

### 473 **Conflicts of interest**

474 J.R.B. and J.J.O. are inventors of the adjuvant technology involved in this research, and patent  
475 applications has been submitted for this technology (PCT/US2015/054943 and  
476 PCT/US2020/060362). J.R.B. holds stock in Blue Willow Biologics, a company that has licensed  
477 this adjuvant technology from the University of Michigan. The other authors declare no conflicts  
478 of interest.

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639

#### 640 **Figure legends**

#### 641 **Figure 1. NE immunization reduces lung histopathologic changes after allergen challenge.**

642 (A) Schedule of sensitization, immunotherapy and allergen challenge. Mice were sacrificed two  
643 days after the last challenge to assess lung histopathology. (B) Representative images of PAS  
644 staining of lungs. Scoring of severity of (C) inflammation, (D-E) mucus and (F) eosinophil  
645 accumulation in lungs. Data are expressed as mean  $\pm$  standard deviation (n=8). Statistically  
646 significant differences ( $p < 0.05$ ) are indicated by \*.

647

#### 648 **Figure 2. NE immunization suppresses Th2 immunity and induces Th1/Th17.**

649 Cellular recall immune responses to OVA protein were measured in lymphocytes harvested from the  
650 cLN, spleen and lungs following the experimental design shown in Figure 1. (A) Cytokine  
651 secretion in cLN, splenocyte and (B) lung lymphocyte cultures was determined by a Luminex  
652 multiplex assay. Cytokine production has been normalized to matched control unstimulated  
653 lymphocyte cultures from each individual animal and tissue. (C) Numbers of cytokine producing  
654 cells in the cLN were determined by ELISpot. Data are expressed as mean  $\pm$  standard deviation  
655 (n=8). Statistically significant differences ( $p < 0.05$ ) are indicated by \*.

656

#### 657 **Figure 3. Modulation of OVA-specific humoral immune response in NE-treated mice.**

658 Serum was obtained from mice at the end of the study in the OVA model. OVA-specific (A) IgE,

659 (B) IgG1 and (C) IgG2a antibodies were measured by ELISA. Statistically significant  
660 differences ( $p<0.05$ ) are indicated by \*. n.d. indicates not detected.

661

662 **Figure 4. Nanoemulsion adjuvant vaccine reduces histopathology and disease associated**  
663 **parameters in severe allergic airway disease in mice.** (A) Schedule of sensitization,  
664 immunotherapy and allergen challenge. (B) Histopathology was examined in harvested lung  
665 tissue 24 hrs after the final allergen challenge. Representative images of PAS staining of lungs  
666 are shown. (C) Eosinophils were identified in the lungs by morphometric analysis. (D) Lung  
667 mRNA was isolated from individual mice and subjected to quantitative PCR to analyze the  
668 mucus associated mRNA compared to age matched naïve, non-allergic mice. (E) Animals were  
669 subjected to airway resistance measurements 24 hour after the final allergen challenge using  
670 plethysmography. An IV Tail vein injection of methacholine (250  $\mu\text{g}/\text{kg}$ ) was used to induce  
671 AHR. Data are expressed as mean  $\pm$  standard deviation ( $n=8$ ). Statistically significant  
672 differences ( $p<0.05$ ) are indicated by \*.

673

674 **Figure 5. Persistence of allergen-specific IgE in serum of treated mice in CRA model.**  
675 Serum was obtained from mice at the end of the study in the CRA model. CRA-specific (A) IgE,  
676 (B) IgG1 and (C) IgG2a antibodies were measured by ELISA. n.d. indicates not detected.

677

678 **Figure 6. NE immunization reduces ILC2 accumulation in the lungs in severe allergic**  
679 **airway disease model.** (A) Lung mRNA was isolated from individual mice and subjected to  
680 quantitative PCR to analyze IL-13 mRNA compared to age matched naïve, non-allergic mice.  
681 Lungs from allergen challenged mice were dispersed into a single cell suspension using  
682 collagenase digestion. (B) Treg cells ( $\text{CD4}^+$ ,  $\text{CD25}^+$ ,  $\text{Foxp3}^+$ ), (C) activated Th cells ( $\text{CD4}^+$ ,  
683  $\text{CD69}^+$ ), and (D) ILC2 cells ( $\text{Lin}^-$ ,  $\text{CD45}^+$ ,  $\text{CD90}^+$ ,  $\text{ST2}^+$ ,  $\text{GATA3}^+$ ) were identified by flow  
684 cytometry. (E) Following CRA challenge, lung mRNA was isolated from individual mice and  
685 subjected to quantitative PCR to analyze the IL-25 and IL-33 mRNA compared to age matched  
686 naïve, non-allergic mice. Data are expressed as mean  $\pm$  standard deviation ( $n=8$ ). Statistically  
687 significant differences ( $p<0.05$ ) are indicated by \*.

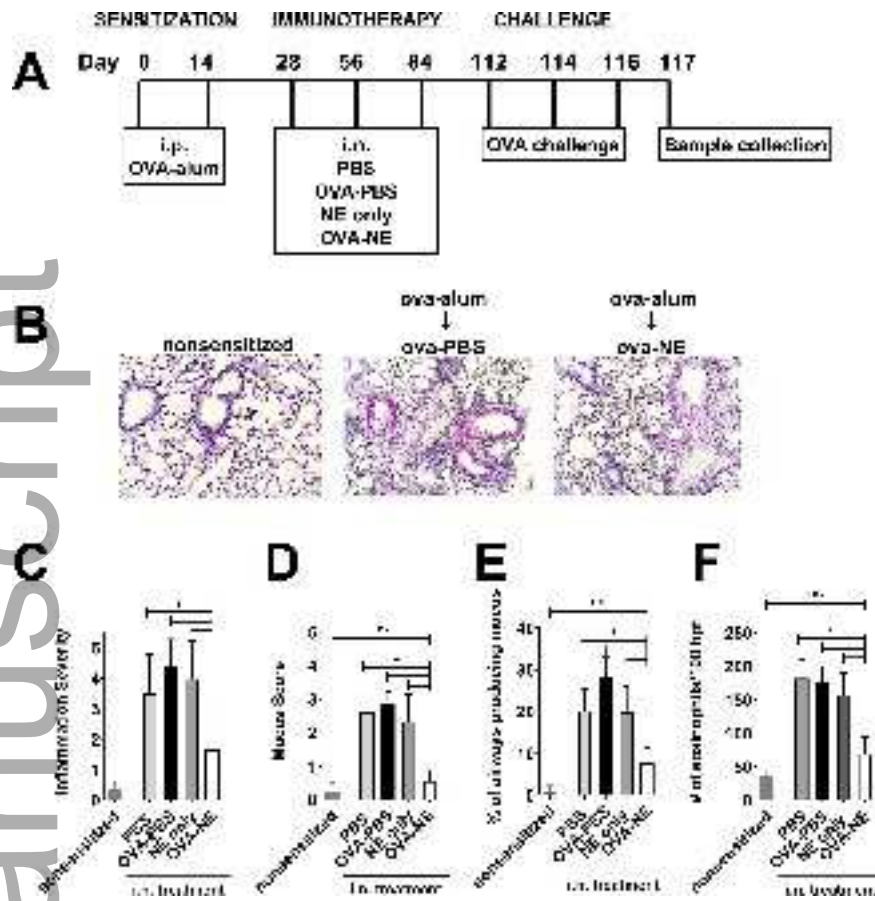
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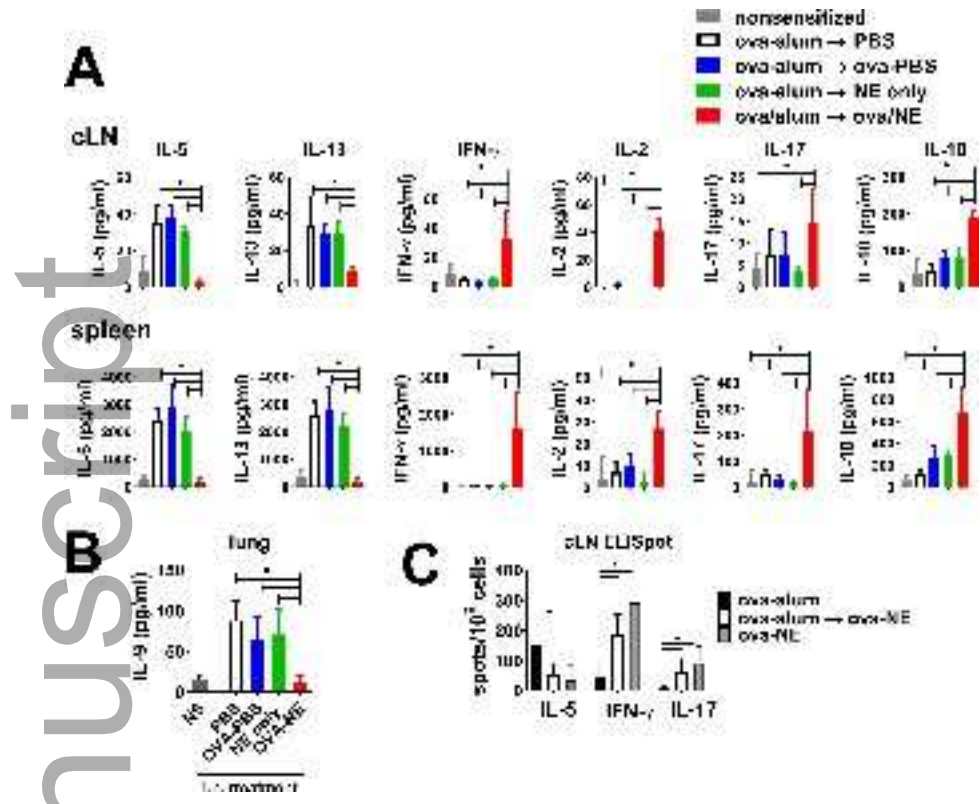
689 **Supplementary Figure 1. CRA allergic airway disease model is purely Th2 driven without**  
690 **induction of Th1 or Th17.** Cellular recall immune responses to CRA protein were measured in  
691 lymphocytes harvested from the lung draining LNs following the experimental design shown in  
692 Figure 3. Cytokine secretion from lung-draining lymph node cultures was determined by a  
693 Luminex multiplex assay. Cytokine production has been normalized to matched control  
694 unstimulated lymphocyte cultures from each individual animal. Data are expressed as mean  $\pm$   
695 standard deviation (n=8).

696  
697 **Supplementary Figure 2. NE immunization reduces alarmins in the lungs in the OVA**  
698 **model.** (A -B) Following OVA challenge, lungs were isolated from individual mice and  
699 homogenized for the analysis of (A) IL-25 and (B) IL-33 protein Data are expressed as mean  $\pm$   
700 standard deviation (n=8). Statistically significant differences ( $p<0.05$ ) are indicated by \*.

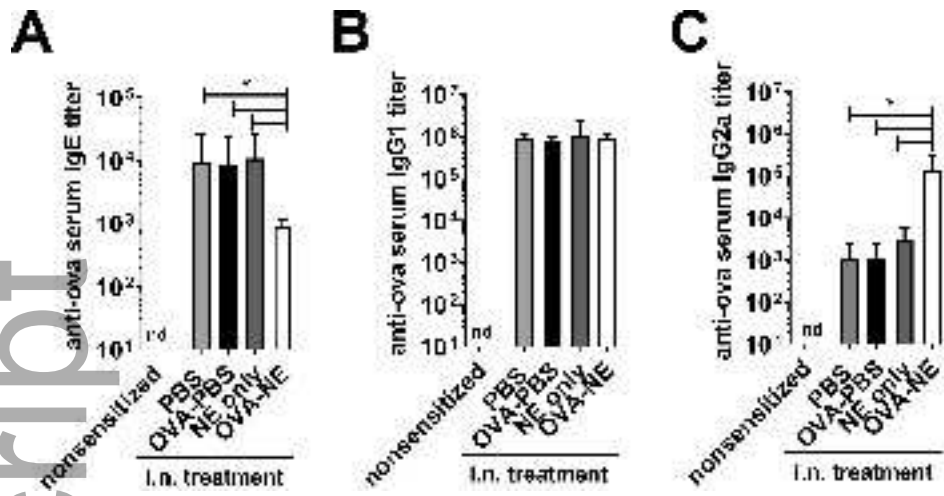
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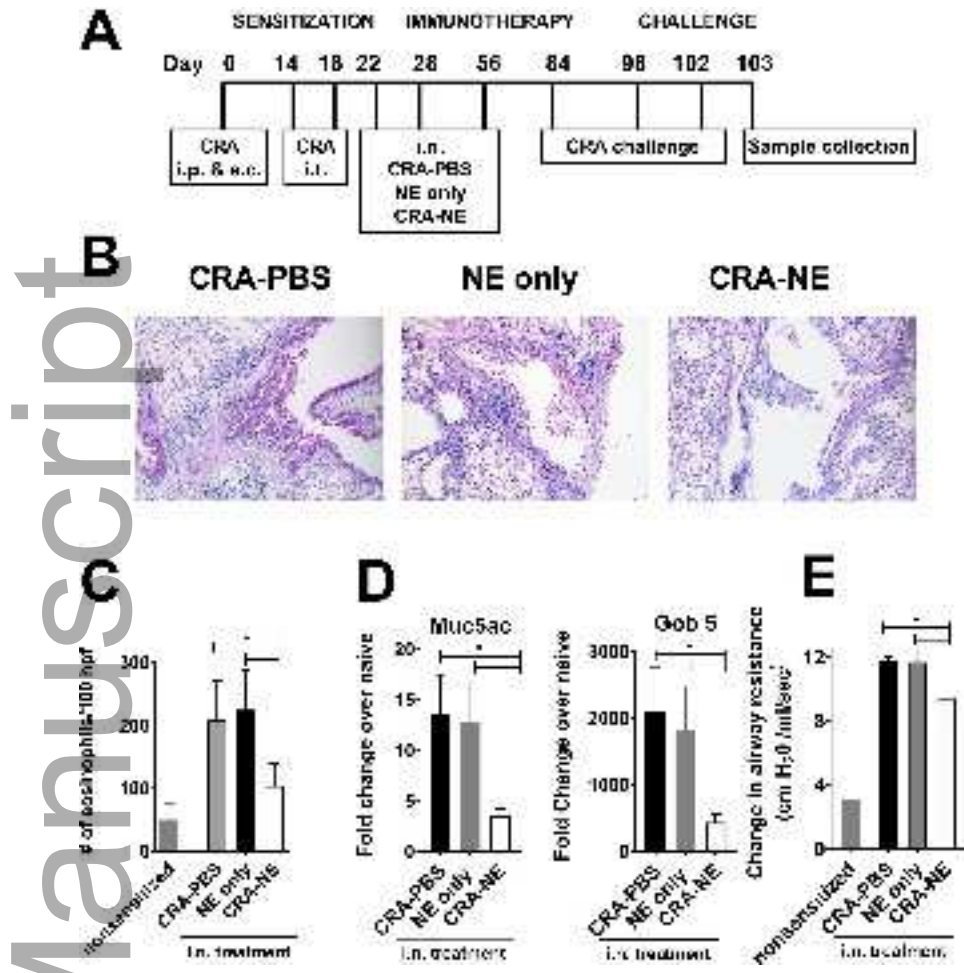
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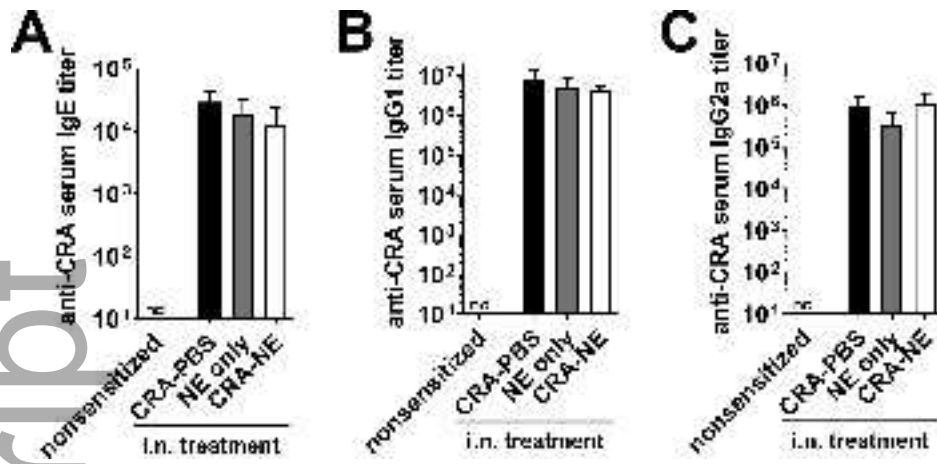
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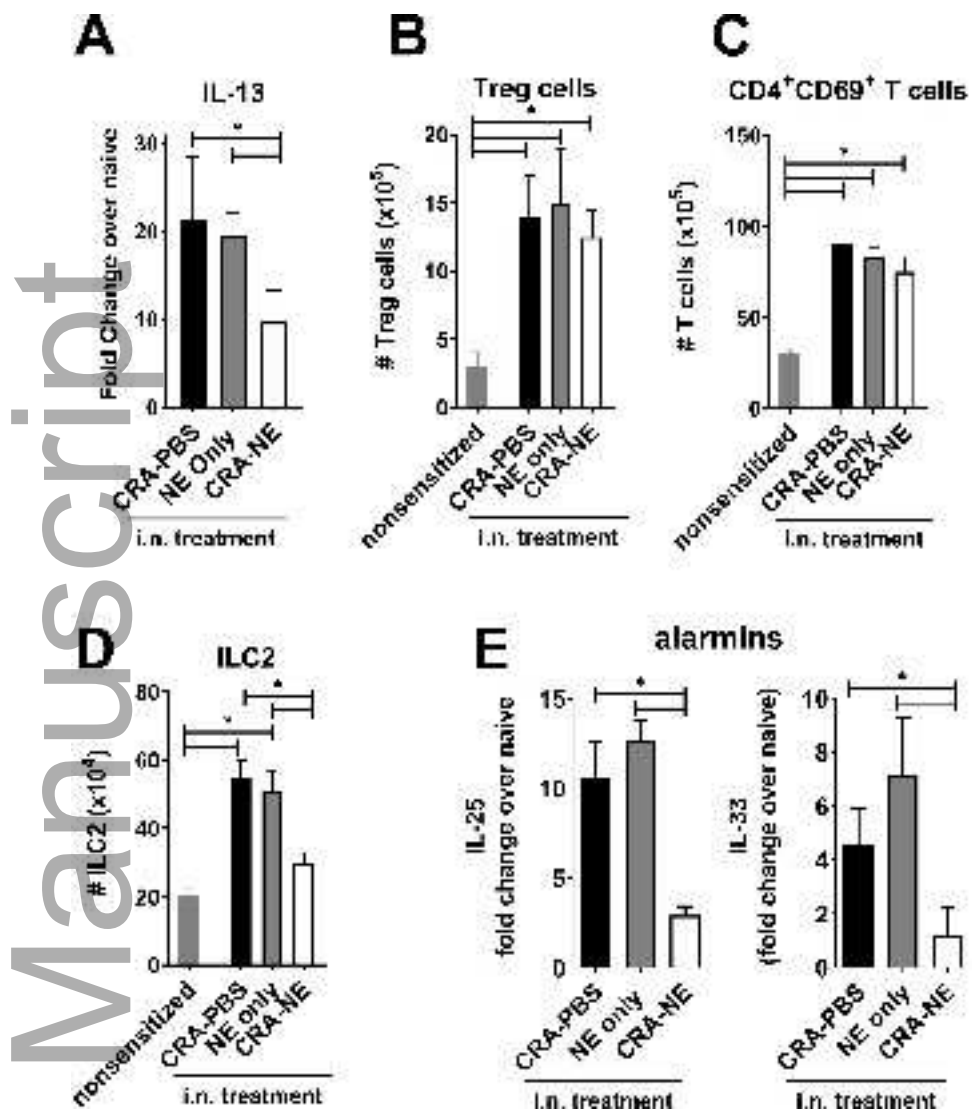
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cea\_13903\_f4.jpg



cea\_13903\_f5.jpg



cea\_13903\_f6.jpg