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10	Short running title: Temporal turnover and tree traits
11	Functional traits contribute in opposite directions to taxonomic turnover in northeastern
12	US forests over time
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23	Abstract:
24	• Aims: Understanding the processes driving forest dynamics requires considering that
25	species within communities do not respond in a coordinated manner to external factors.
26	We argue that temporal turnover in species composition results from contrasting
27	differences in species life-history and functional strategies (demography and seed and
28	wood traits) that might simultaneously promote stability and dynamism by operating on

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distinct subsets of species. Specifically, fast taxonomic turnover should be promoted by
 species that take advantage of sporadically available resources, while forest stability
 should be promoted by species with conservative and stress-tolerant life-histories.

• Location: Northeastern USA.

Methods: We combine demographic information (survival, recruitment) over a 14-yr
 period from temperate tree communities in the northeastern USA with trait information
 on species seed mass and wood density as a proxy for their reproductive and resource
 acquisition strategies, to evaluate the differential contribution of species with contrasting
 ecological strategies (low vs. high seed mass/wood density) to rates of compositional
 turnover in temperate forests.

 Results: The apparent dynamism of USA forests is mostly driven by high mortality and low recruitment of small-seeded species and by high mortality and recruitment of low wood density species. Simultaneously, species with the opposite traits, i.e., high seed mass and wood density, contribute more to stability. Our findings suggest that forests dynamics in the northeastern USA are the outcome of opposing contributions of life history and plant traits that simultaneously promote forest stability and rapid taxonomic turnover by operating differentially across tree species.

Conclusions: Small-seeded and low wood density species promote faster forest turnover
 than species with the opposite traits. Not accounting for these functional differences in
 community-level analyses is likely to mask the complex dynamics of temperate forests.
 This study demonstrates the importance of studying forest composition and structure
 under a dynamic scope that accounts for differences in functional strategies across
 species.

52 Keywords: community diversity, community dynamics, seed mass, temporal turnover,

53 temperate tree communities, wood density.

54 Introduction

55 Understanding plant community dynamics –shifts in taxonomic and functional composition over 56 time– is needed for predicting forest changes, a critical matter under current and projected 57 climatic scenarios (Parmesan & Yohe 2003). Addressing this issue, however, is particularly 58 challenging because multiple drivers, including climate, pests, and disturbance, simultaneously 59 operate on forest communities and may have opposing effects on floristic composition. For 60 instance, a recent study in the forests of North America suggests that changes in climate are 61 favoring drought-tolerant over drought-intolerant species (Trugman et al. 2020), yet successional 62 processes in these same forests are simultaneously benefiting the establishment of early/mid successional species, which tend to underperform in conditions of low water availability 63 64 (Chapman & McEwan 2016). Similarly, while climatic conditions might favor the establishment 65 of species able to cope with new stresses, species-specific pests might heavily impair the 66 performance of some of these species (Abrams and Mostoller 1995). These conflicting responses 67 may nullify each other resulting in a net outcome that does not reveal all the complexity of processes driving forest dynamics, and thereby imposing important challenges for predicting the 68 69 fate of today's forests. For temperate forests in the USA, it is well known that species may 70 respond idiosyncratically to different factors (Sprugel 1976; Davis & Woods 1989; McEwan et 71 al. 2011). Reaching a synthetic understanding of shifts in community composition requires 72 moving beyond the notion that plant dynamics are an aggregated and coordinated change in 73 species composition over time towards a more individualistic view of dynamics where species 74 have different contributions to temporal turnover (Gleason 1926).

75 Forest dynamics can be described as the outcome of differences in life-history and 76 ecological strategies across species that simultaneously promote either rapid change or stability. 77 On one hand, fast taxonomic turnover can be promoted by species that can take advantage of 78 sporadically available resources and that are often transient members of the community. On the 79 other hand, other species may promote stability and act as core components of communities, 80 being more resistant to climatic changes, disturbance, and environmental uncertainties 81 (Thompson et al. 2013). Accounting for differences in terms of resource use and reproductive 82 strategies across species should allow to identify those that enhance floristic stability and those 83 that promote dynamism (Coyle et al. 2013; Norden et al. 2017; Umaña et al. 2017; Snell Taylor 84 et al. 2018; Wang et al. 2018). For instance, species characterized by short life-spans and 85 acquisitive resource-use strategies are expected to take advantage of pulses in resource availability and, thereby, should contribute more to fast dynamics (high recruitment and/or low 86 87 survival rates), representing more transient community components. In contrast, species 88 characterized by long life cycles and conservative resource-use strategies should exhibit more 89 stable dynamics and represent core components of the community (low recruitment and/or low 90 survival rates).

91 To gain further insight into the complexity of forests' community-level temporal 92 taxonomic turnover, we propose to identify the relative contribution of different tree species to 93 forest dynamics, depending upon their functional traits -morphological and physiological 94 attributes that influence the interaction between an organism and its surrounding environment 95 (Arnold 1983; McGill et al. 2006; Violle et al. 2007). Seed size and wood density show 96 important variation across species (Westoby 1998; Díaz et al. 2016) and are critical traits 97 determining plant demography and ultimately forest dynamics (Chave et al. 2009; Adler et al. 2014). In particular, seed mass represents a trade-off between producing many small, stress-98 99 intolerant seeds or few large, stress-tolerant seeds (Muller-Landau, 2010; Rees, 1995; Turnbull, 100 Rees, & Crawley, 1999; Westoby, Jurado, & Leishman, 1992). Small-seeded species are 101 recognized to have good colonization abilities as they can disperse widely and have high 102 potential rates of per capita seedling recruitment, but may fail to persist in older size classes due 103 to their low stress-tolerance (Coomes & Grubb, 2003; Everham III, Myster & VanDeGenachte, 104 1996; but see Moles, 2018). In contrast, large-seeded species are stress-tolerant, which in turn 105 leads to high survival rates yet accompanied by low seedling recruitment due to low per capita 106 seed production (Muller-Landau 2010). Similarly, variation in wood density reflects differences 107 in a range of ecological strategies in which high wood density species tend to have long life-108 spans and conservative resource-use strategies, while low wood density species typically exhibit 109 short life cycles and are characterized by acquisitive resource-use strategies (Wright et al. 2010; 110 Osazuwa-Peters et al. 2017). In brief, small-seeded and/or low wood density species, associated 111 with fast demography (either low survival or high seedling recruitment), are expected to 112 contribute to rapid turnover in taxonomic composition over time, while large-seeded and/or high 113 wood density species, which exhibit slow demography, are expected to contribute to taxonomic stability. 114

In this study, we evaluated the role of traits promoting either dynamism or stability in tree species in temperate forests. We examined patterns of tree species turnover across 1053 forest plots monitored over a 14-yr period in the Northeast USA. The forests in this region are at different successional stages and are currently undergoing shifts in species composition and dominance due to variable disturbance history, abiotic factors, climatic factors, and diseases (Abrams & Nowacki 1992; Bürgi et al. 2000; Thompson et al. 2013). We hypothesized that small-seeded and low wood density species contribute to rapid changes in community

122 composition over time, while large-seeded and low wood density species contribute to 123 taxonomic stability. These patterns should be the result of different demographic processes 124 where taxonomic turnover driven by small-seeded species and low wood density species may 125 occur through high recruitment and/or low survival of these species. Specifically, we asked: (1) 126 What is the contribution of species with different seed sizes and wood densities to patterns of 127 turnover in community composition? (2) How do demographic rates (i.e., recruitment and 128 survival) of species with distinct seed size and wood density values explain differences in 129 temporal turnover at the community level?

130

### 131 Materials and methods:

132 Survey data: We used data from the northeast USA Forest Inventory and Analyses database 133 (FIA) that included local tree communities across 13 states (Connecticut, Delaware, Maine, 134 Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode 135 Island, Vermont, and West Virginia). We selected communities monitored from 2001 to 2014 136 across 1053 permanent plots (three census periods per plot) and including 25 tree species. Each 137 plot consisted of four circular subplots with a 7.32 m fixed radius and separated by 36.6 m. 138 Within each plot, all trees with a diameter at breast height (DBH) > 12.7cm were tagged, 139 identified, and monitored for survival. We used subplots as our sampling unit for all the analyses. 140 We included plots that fulfill following conditions: 1) plots measured at least twice, 2) no 141 evidence of logging since the last measurement, 3) no evidence of damage by insects, disease or 142 fire since the last measurement, and 4) closed-canopy forests that included plots established in 143 forested land (with at least 10% cover by live trees of any size). Agriculturally managed areas 144 such as fruit orchards, or land areas in urban sites such as city parks were not considered in this 145 study (Woudenberg et al. 2010). The selected plots represented closed-canopy forests in the 146 Northeast US that have not been disturbed in the recent past (2000-2014) but could have been 147 disturbed before the studied period representing secondary forests.

# 148 <u>Trait data</u>: We compiled information on seed mass (mg) and wood density $(g \cdot cm^{-3})$ from the

- 149 Seed Information Database (SID) from the Kew Royal Botanic Gardens webpage
- 150 (http://data.kew.org/sid/) and the Global wood density Database webpage
- 151 (https://datadryad.org/stash/dataset/doi:10.5061/dryad.234), respectively. Both traits were

moderately correlated (r = 0.5, P = 0.02, Appendix S1, Fig. S1.1) and were not related to species
abundance (Appendix S1, Fig. S1.2-3).

154

155 Analyses: We evaluated changes in taxonomic similarity for each subplot over 14 years 156 (temporal turnover) by calculating similarity in species composition between consecutive 157 censuses based on Hill numbers using as a reference point the species composition in the first 158 census. This way, we obtained a trajectory for each plot composed of two similarity values. Hill 159 numbers represent the effective number of species, in other words, the number of equally 160 abundant species needed to get the same diversity value (Chao, Chiu, et al. 2014). Although Hill 161 numbers have been typically used to evaluate alpha-diversity, they can also serve to measure 162 taxonomic similarity. Specifically, to compare pairs of assemblages (e.g. subplot<sub>i</sub> at census 1 and 163 subplot, at census 2). Hill numbers of the pooled assemblages (gamma diversity) were 164 decomposed into independent alpha and beta components of diversity and monotonically 165 transformed into similarity measures (Chao, Chiu, et al. 2014). These indices are more 166 appropriate than other commonly used metrics because they obey to the replication principle 167 (linearity with respect to increases in diversity) (Jost 2006; Jost et al. 2011; Chao, Gotelli, et al. 168 2014). We used three similarity metrics that differentially weight species abundance (number of 169 individuals). The incidence-based Sørensen index, and the abundance-based, Horn and Morisita-Horn indices, give values for subplot floristic similarity between time  $t_0$  and time  $t_n$ , where n 170 171 represents the year when a specific tree community was re-censused (Chao, Chiu, et al. 2014). 172 We selected to as the reference point to be able to compare changes in taxonomic similarity over 173 time. The Sørensen index weighs all species equally, while the Horn index weighs each species 174 based on their relative abundance (Horn 1966; Jost et al. 2011). The Morisita-Horn is more 175 sensitive to dominant species, while the Horn index is more susceptible to the abundance of rare 176 species (Jost et al. 2011).

To examine the contribution of species seed mass and wood density to taxonomic turnover over time, we performed a sensitivity analysis evaluating the effect of removing subsets of species depending on their morphological traits in temporal species turnover, following Norden *et al.* (2017). To do so, we first classified all species using bins (Appendix S1, Tables S1.1 & S1.2). *bin-2* separated species into two categories by keeping a similar number of species in each category (small- and large-seeded species:12 and 13 species respectively; high and low 183 wood density species: 12 and 13 species respectively; Table S1.1). *bin-3* separated seed mass 184 into three categories (small, medium and large) based on differences in orders of magnitude 185 (small: 0 to <10 mg (9 species), medium: 10 to <100 mg (9 species) and large: >=100 mg (7 186 species); Table S1.1). For wood density, given that the total range of variation in this trait did not 187 cover several orders of magnitude as for seed mass, the *bin-3* classification was performed to 188 keep a similar number of species in each of the three categories (low wood density: 7 species, 189 medium wood density: 8 species, high wood density: 9 species; Table S1.2). Once these classes 190 were defined, we recalculated, for each subplot, taxonomic similarity between  $t_0$  and  $t_n$  over the 191 14 years following removal of each of these groups of species, one at a time (e.g.,  $ALL_{w/o LSM} =$ 192 excludes species with large seed mass;  $ALL_{w/o MSM}$  = excludes species with medium seed mass; 193  $ALL_{w/o SSM}$  = excludes species with small seed mass) and obtained a new trajectory of similarity 194 values over the studied time period (14 years) for each dataset.

195 We then compared temporal turnover, as measured in similarity values between 196 consecutive censuses, for the whole community (that is, including all species within each 197 subplot: ALL) to patterns obtained when removing subsets of species belonging to groups 198 characterized by their seed size and wood density, separately. For example, for each subplot, we 199 compared the trajectory describing temporal turnover based on the whole-community (ALL) to 200 that obtained when removing large-seeded species (ALL<sub>w/o LSM</sub>) (Fig. 1). We then repeated this 201 procedure for all the categories of the two bins (e.g., for *bin-2* & seed mass: ALL vs. ALL<sub>w/o LSM</sub>, ALL vs. ALL<sub>w/o LDW</sub>, for *bin-2* & wood density: ALL vs. ALL<sub>w/o LDW</sub>, ALL vs. ALL<sub>w/o HWD</sub>). 202 203 Next, we calculated E, the distance between the trajectory based on the whole-community (ALL) 204 and the trajectory based on each of the datasets excluding groups of species (e.g., ALL vs. ALL<sub>w/o SSM</sub>; ALL vs. ALL<sub>w/o LSM</sub>). E was calculated as:  $E_{jk} = \sum_{i=1}^{N} \frac{S_{ij} - S_{ijk}}{N-1}$ , where  $S_{ij}$  is the 205 206 observed taxonomic similarity for all species in the plot *j* and the interval *i*,  $S_{iik}$  is the observed 207 taxonomic similarity for the dataset excluding the group of species k in the plot j and the interval 208 *i* and *N* is the number of time intervals of each pairwise trajectory. By doing this, we obtained 209 distinct E values that resulted from the comparison between trajectories in temporal turnover 210 values for the whole community and turnover values for subsets of species that excluded species 211 based on seed size and wood density values (Fig. 1).

To interpret results in terms of species contribution to temporal turnover (e.g., species showing fast turnover or more stable dynamics), and to make them comparable across similarity

214 indices, we normalized E by dividing its value by the maximum number of intervals examined 215 for that given subplot. Positive E values indicate that the removal of a specific group of species 216 results in a decrease in temporal taxonomic similarity so that these species contribute to stability 217 over time. Conversely, negative E values indicate that removing a specific group of species 218 results in an increase in temporal taxonomic similarity implying that these species contribute to 219 taxonomic turnover over time (Fig. 1). Finally, we performed a non-parametric Kruskal-Wallis 220 and Dunn's post-hoc analyses to test for significant differences in E across the different seed 221 size/wood density groups.

222 Because removing subsets of species leads to changes in alpha diversity within plots, we 223 evaluated the correlation between our response variable E and species richness and abundance 224 per plot to determine whether our results were driven by either species richness or tree 225 abundance. We found no relationship between species richness or abundance and E which 226 indicates that variation in species richness/abundance is not driving our results. All 227 supplementary results are presented in Appendix S2 (Figs. S2.1-S2.4). We also used a null model 228 approach to account for a potential spurious effect of removing species across bins (Chase et al. 229 2011). To do so, we randomized species labels in the trait matrix 999 times. We performed all the 230 analyses described above to obtain 999 null Chi<sup>2</sup> values from the Kruskal-Wallis test. Next, we compared the null Chi<sup>2</sup> values with the observed Chi<sup>2</sup> value by examining where the observed 231 232 value ranks in the null distribution. If the quantile of observed Chi<sup>2</sup> values were  $\geq 0.975$  or 0.025 = <, the differences were considered significant. The specific methods for the null model 233 234 implementation are described in Appendix 3.

235 Our analyses were based on comparisons using the year 2001 as the starting point (Fig. 236 S1.4). We repeated the analyses using the year 2002 as the starting point to examine whether the 237 use of different starting censuses  $(t_0)$  leads to varying patterns of taxonomic turnover (Fig. S1.5). 238 Given that the set of plots censused in 2001 and 2002 do not completely overlap, this new 239 analysis resulted in the evaluation of temporal species turnover for 1651 plots instead of 1053. 240 Since variation in taxonomic similarity was largely consistent for both sets of analyses (Fig. 241 S1.5, Table S1.3), we present results using the year 2001 as the starting point. 242 Finally, to assess how demographic rates of species with distinct seed size and wood 243 density values explain differences in the temporal turnover observed, we evaluated the extent to 244 which differences across species in tree recruitment rates (new individuals with more DBH >

12.7 cm entering the community) and/or survival contributed to community dynamism or
stability over time. To do so, we compared recruits and survivors in each census interval for each
of the seed mass and wood density groups, using *bin-3* and *bin-2*, quantified as a proportion of
total subplot stem density. We then used Kruskal-Wallis and post-hoc Dunn's tests to test for
significant differences in median recruitments and survival across the seed mass and wood
density groups. All analyses were performed in R 3.4.0 (R Development Core Team 2017).

252 **Results** 

253 *Differences in species contribution to taxonomic turnover among seed mass groups* 

254 We found a progressive decline in taxonomic similarity over time across all three indices 255 (Sørensen, Horn, and Morisita-Horn), indicating that tree communities tend to diverge from the 256 starting species composition (Fig. S1.4). This trend was the result of different groups of species 257 showing contrasting contributions to the forest dynamics. Specifcally, depending on seed mass, 258 species showed different contributions to community dynamism and stability over time (Fig. 2, 259 Table S1.3). Based on the *bin-3* classification and all three metrics (Sørensen, Horn, and 260 Moristia-Horn), small-seeded species contributed the most to community dynamism (e.g., 261 decreasing similarity), followed by medium, and finally by large-seeded species (Fig. 2, Table 262 A1.3). These observations were also supported by results from the null model approach 263 (Appendix S3, Fig. S3.1). Based on the bin-2 classification, we found no significant differences 264 in the contribution to taxonomic turnover of large- and small-seeded species (Fig. S1.6, Table 265 A1.3).

266

### 267 Differences in species contribution to taxonomic turnover among wood density groups

Wood density was also related to the way species contribute to patterns of community dynamism and stability over time (Fig. 3, Table S1.3). Based on the *bin-3* classification, low wood density species contributed the most to community dynamism compared to medium and high wood density species for Horn and Morisita-Horn indices (Fig. 3, Table S1.3). Also, medium wood density species contributed significantly more to community dynamism than high wood density species for all three indices (Table S1.3). These results were also supported by results obtained using the null model approach (Appendix S3 Fig. S3.2). When using the *bin-2* classification, we found that low wood density species contributed more to community dynamism than high wood
density species (Fig. S1.7, Table S1.3). The null models did not support these results.

277

### 278 Differences in recruitment and survival across seed mass and wood density groups

The proportion of newly recruited individuals and of survivors was significantly different among species groups depending upon seed mass and wood density. For *bin-3*, small-seeded species exhibited a lower proportion of recruits and survivors than medium- and large-seeded species (Fig. 4). Also, medium wood density species showed a significantly lower proportion of recruits, followed by low wood density species and then by high wood density species. Medium and low wood density species exhibited a significantly lower proportion of survivors than high wood density species (Fig. 4). For *bin-2*, small-seeded species exhibited a significantly lower

proportion of recruits and survivors than and large-seeded species, but we did not find significant

- 287 differences for analyses based on wood density groups (Fig. S1.8).
- 288

# 289 Discussion

By examining patterns of temporal turnover in taxonomic composition over a period of 14 years in northeastern USA forests, we showed that forest dynamics are the outcome of the opposing contributions of groups of species with distinct seed mass and wood density that simultaneously promote either stability or dynamism. Specifically, small-seeded and low wood density species contributed the most to dynamism over time compared to large-seeded and high wood density species. These trends were related to the combination of low tree survival and low replacement of small-seeded species, as well as low tree survival of low wood density species.

297

### 298 Small-seeded species are major drivers of community dynamism

299 Changes in taxonomic composition over time in tree communities are the result of demographic 300 processes, which in turn depend on species' functional traits. We expected that small-seeded 301 species would contribute more to community dynamism than large-seeded species as they have 302 greater colonization abilities and shorter life-spans, and are thereby likely to exhibit higher 303 recruitment but low survival rates (Harper et al. 1970; Howe & Westley 1986; Venable & Brown 304 1988; Muller-Landau 2010). Our findings partially support this hypothesis, as small-seeded 305 species did show higher contribution to turnover through low survival, yet they also exhibited 306 low recruitment rates into the adult stage (individuals reaching a DBH > 12.7 cm). This might be 307 explained by the fact that although small-seeded species are expected to exhibit high recruitment 308 rates at the seedling stage, here we are evaluating recruitment to latter stages. Thus, the low 309 recruitment observed is likely the result of low survival rates in earlier stages that prevent the 310 recruitment of individuals at the stage studied here. Due to low tree survival and low replacement 311 rates, small-seeded species are disappearing faster than large-seeded species from plant 312 communities, promoting changes in species relative abundance. Examples of species with small 313 seed mass in our dataset include some *Betula* species, which are typically short-lived and 314 common after disturbance, suggesting that forests in this region may be reaching advanced stages 315 of succession (Smith & Ashton 1993). However, other less light-demanding species, which are 316 indeed common components of old-growth forests, such as Abies balsamea, also have small 317 seeds. Since populations of A. balsamea are often severely impacted by wind disturbance in 318 mountain regions (Sprugel, 1976), the fast dynamics displayed by small-seeded species may be 319 associated with different drivers -wind disturbance eliminating old-growth species or advanced 320 succession promoting the disappearance of pioneer species. Surprisingly, results based on the 321 *bin-2* classification did not show significant differences between small- and large-seeded species 322 in their contribution to temporal turnover. A potential explanation for this result is that the *bin-2* 323 classification divided species into two groups that were highly heterogeneous (e.g., the range of 324 seed mass values varied by two orders of magnitude for the small-seeded group and by three 325 orders of magnitude in the large-seeded group). This high heterogeneity within groups may have 326 obscured the trends across groups.

327

### 328 Low wood density species are major drivers of community dynamism

329 Similar to small-seeded species, and in agreement with our predictions, low wood density 330 species contributed the most to forest dynamism. This group included species of the genus 331 *Populus*, common in regenerating gaps and in successional forests of the northeast USA stands 332 (Foster, 1992; Thompson et al., 2013). Populus species exhibit acquisitive strategies, 333 characterized by fast growth rates enabling them to take advantage of high light conditions at the 334 expense of longevity. As expected, low wood density species experienced lower survival than 335 high wood density species, thereby contributing to community turnover over time. These 336 findings support previous studies indicating that forest stands of Northeastern USA are

337 undergoing succession, where early and mid-successional species, often characterized by low-338 wood density, short life cycles, and low self-replacement rates, are being replaced by old-growth 339 forest species (Foster et al., 1998). However, other non-exclusive drivers of fast dynamics 340 include climate change, responsible for increases in the intensity of drought events, causing 341 recent die-offs of Populus species (Anderegg et al. 2012). Likewise, A. balsamea fell within the 342 low-wood density group and it is likely associated with the wind-driven regeneration dynamics 343 (Sprugel 1976). Our results suggest that community dynamism is likely promoted by a 344 combination of factors where successional changes are not the only driver of fast turnover rates. 345 These forests also harbor less dynamic species with higher wood density and low 346 turnover rates. Some of these species are represented by relicts restricted to specific regions 347 within the USA (Thompson et al. 2013). This is the case of the American chestnut (Castanea 348 *dentata*), which experienced an important historical decline in its population due to a pathogenic 349 fungus during the late-1930s and, in the present, the population size of this species remains small 350 (Paillet 2002; Ellison et al. 2005). Other species within the more stable dynamics groups are 351 represented by old-growth forest species (e.g., beech-Fagus).

352 Here, we propose to characterize fast-dynamic species as transient components of 353 communities while the core species would be those that rather contribute to stability. The 354 classification of communities into core and transient species has a long history in ecology and 355 has been often associated with species abundance, where rare species tend to be at higher risk of 356 extinction and therefore are less persistent in the communities (Hanski 1982; Magurran & 357 Henderson 2003). However, our results show that the contribution to fast or stable dynamics is 358 not necessarily linked to species abundance as shown by the consistency in trends observed 359 across the different metrics examined that include incidence- and abundance-based indices. We also examined the relationship between species traits and species abundance, but the relationship 360 361 was not significant (Figs. A1.7-A1.8), which also suggests that species abundance is not driving 362 the patterns observed. Thus, classification of species as core or transient is complicated and does 363 not necessarily correspond to the variation in species abundance (Coyle et al. 2013).

364

365 *Potential contributing factors to NE USA temperate forest dynamics* 

366 Although we detected significant differences in the contribution to taxonomic turnover across

367 groups of species with differing seed mass and wood density, the results also show important

368 variation in the contribution of the species within each of the groups. Such a variation is 369 expected as the data set is highly heterogenous and represents a large area. Indeed, the observed 370 dynamics are likely the product of multiple contributing factors including diseases, natural and 371 anthropogenic disturbance, and climate change (Abrams & Nowacki, 1992; Bürgi et al., 2000; 372 Coble et al., 2017; Nowacki & Abrams, 1992; Wang, Larsen, & Kronenfeld, 2009). For instance, 373 the average summer temperatures in the northeastern USA has been increasing by 0.1°C per 374 decade and, in the long-term, these changes may result in shifts in forest composition and 375 dynamics (Rustad et al. 2012). We therefore examined the effect of precipitation and temperature 376 on temporal turnover over the 14-yr period, yet our results showed non- or weakly significant 377 trends (see Appendix S4), suggesting that trends detected in our study are unlikely to be 378 explained by climatic changes. Temperature changes so far may not be strong enough to impact 379 shifts in tree species composition for the 14-yr period studied here. Considering that trees are 380 long-lived organisms, longer-term data is necessary to thoroughly evaluate the effects of climate 381 change on forest community dynamics.

382

# 383 Conclusion

384 We show that forest dynamics can be partially explained by a differential contribution of species 385 with contrasting seed and wood traits that simultaneously promote stability, and dynamism. 386 Specifically, we found that fast taxonomic turnover in temperate forests is promoted by the 387 presence of small-seeded and low wood density species, while species with more conservative 388 ecological strategies characterized by high survival rates tended to contribute more to stability. 389 These results further highlight the fact that forest dynamics rather than being considered as a 390 homogenous and coordinated change of species over time reflect more individualistic 391 contributions of groups of species. By accounting for species demography and traits, we can 392 better disentangle species contribution to temporal turnover patterns, especially in areas that have 393 undergone a complex history of disturbance, diseases, and climatic changes as the Northeastern 394 USA forests.

395

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404	designed the analyses, MNU conducted the analyses, JZ prepared the FIA data for analysis.					
405	MNU wrote the first draft and all authors contribute significantly to the final version of the MS.					
406						
407	Data accessibility: the trait information is provided in the supplemental material. The census					
408	information is stored in Dryad ( http://dx.doi.org/10.5061/dryad.pv8s1).					
409						
410	List of appendices					
411	Appendix S1. Supplementary results.					
412	Appendix S2. Relationship between species richness, abundance and $E$ values.					
413	Appendix S3. Analyses including temperature and precipitation.					
414	Appendix S4. Null models.					
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# 562 FIGURE LEGENDS:

563 Fig. 1. Conceptual diagram depicting the contribution of small- and large-seeded species to 564 temporal taxonomic turnover in tree communities. The black line (ALL) represents the trajectory 565 of taxonomic similarity over time for the whole community in a given plot. The blue line (ALL 566  $w_{0 \text{ SSM}}$ ) depicts the trajectory when species with small seeds were removed from the community, 567 and the green line (ALL w/o LSM) depicts the trajectory when species with large seeds were 568 removed. E represents the distance between the trajectory with all species (ALL, black line) and 569 the blue or green trajectories. If E < 0 the group of species that was removed (blue line), in this 570 case, the small-seeded species, contributes to taxonomic turnover. If E > 0 the group of species 571 that was removed contributes to maintaining taxonomic similarity over time (green line). 572 573 Fig. 2. Boxplot showing differences in the distance, E, between trajectories that included all 574 species (ALL) and those that excluded species based on differences in seed mass (ALL  $_{w/o LSM}$  =

575 Excl. large; ALL  $_{w/o MSM}$  = Excl. medium; ALL  $_{w/o SSM}$  = Excl. small). Positive *E* values indicate

576 that excluded species contribute to community stability over time. Negative *E* values indicate

577 that excluded species contribute to community dynamism. Letters indicate the significant

578 differences among groups. The white horizontal line in the boxes indicate the median and the

579 boxes lower and upper limits represent the 25 and 75 quantiles respectively.

580

Fig. 3. Boxplot showing differences in the distance, *E*, between trajectories that included all species (ALL) and those that excluded species based on differences in wood density (ALL  $_{w/o}$  $_{HWD}$ , ALL  $_{w/o MWD}$ , ALL  $_{w/o LWD}$ ). Positive *E* values indicate that excluded species contribute to community stability over time. Negative *E* values indicate that excluded species contribute to 585 community dynamism. Notice that the big boxplot in the medium wood density category for the 586 Sørensen index is due to a higher number of plots that >0 turnover for this category compared in 587 the other two categories. Letters indicate the significant differences among groups. The white 588 horizontal line in the boxes indicate the median and the boxes lower and upper limits represent 589 the 25 and 75 quantiles respectively.

- 590
- 591 Fig. 4. Differences in proportion of tree recruits (new individuals with more DBH > 12.7 cm
- 592 entering the community) and survivors per plot per census across groups of different seed masses
- and wood densities (*bin-3*). The different letters indicate significant differences (alpha = 0.05,
- 594 Kruskal-Wallis test).

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