Systems/Circuits

Distinct Populations of Motor Thalamic Neurons Encode Action Initiation, Action Selection, and Movement Vigor

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Motor thalamus (Mthal) comprises the ventral anterior, ventral lateral, and ventral medial thalamic nuclei in rodents. This subcortical hub receives input from the basal ganglia (BG), cerebellum, and reticular thalamus in addition to connecting reciprocally with motor cortical regions. Despite the central location of Mthal, the mechanisms by which it influences movement remain unclear. To determine its role in generating ballistic, goal-directed movement, we recorded single-unit Mthal activity as male rats performed a two-alternative forced-choice task. A large population of Mthal neurons increased their firing briefly near movement initiation and could be segregated into functional groups based on their behavioral correlates. The activity of "initiation" units was more tightly locked to instructional cues than movement onset, did not predict which direction the rat would move, and was anticorrelated with reaction time (RT). Conversely, the activity of "execution" units was more tightly locked to movement onset than instructional cues, predicted which direction the rat would move, and was anticorrelated with both RT and movement time. These results suggest that Mthal influences choice RT performance in two stages: short latency, nonspecific action initiation followed by action selection/invigoration. We discuss the implications of these results for models of motor control incorporating BG and cerebellar circuits.

Key words: basal ganglia; cerebellum; motor thalamus; movement initiation; movement vigor; Parkinson disease

Significance Statement

Motor thalamus (Mthal) is a central node linking subcortical and cortical motor circuits, though its precise role in motor control is unclear. Here, we define distinct populations of Mthal neurons that either encode movement initiation, or both action selection and movement vigor. These results have important implications for understanding how basal ganglia, cerebellar, and motor cortical signals are integrated. Such an understanding is critical to defining the pathophysiology of a range of BG- and cerebellum-linked movement disorders, as well as refining pharmacologic and neuromodulatory approaches to their treatment.

Introduction

The basal ganglia (BG) are implicated in action initiation, action selection, and movement vigor. BG neurons exhibit sharp responses as movements are initiated (Thorn et al., 2010) or suppressed (Schmidt et al., 2013), and selectively manipulating BG activity can provoke or suppress movement (Kravitz et al., 2010). Single-unit BG activity reflects the selection of lateralized alter-

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natives (i.e., left or right head movements or saccades; Lauwereyns et al., 2002; Gage et al., 2010; Schmidt et al., 2013), BG manipulations bias action selection (Yamamoto et al., 2012; Leventhal et al., 2014; Hamid et al., 2016), and modeling studies suggest circuit-level mechanisms by which the BG could implement action selection (Maia and Frank, 2011). A BG role in regulating vigor, which can be conceptualized as the effort one is willing to exert in pursuit of a goal (Summerside et al., 2018), is suggested by the bradykinesia of Parkinson disease and many basic investigations (Horak and Anderson, 1984b; Mink and Thach, 1991; Bastian et al., 2003; Desmurget and Turner, 2010; Panigrahi et al., 2015; Albin and Leventhal, 2017; Thura and Cisek, 2017). For example, BG activity is correlated with decision urgency (Thura and Cisek, 2017) and movement velocity (Panigrahi et al., 2015).

The BG project to motor thalamus (Mthal), which presumably transmits action initiation, selection, and/or vigor signals to corticospinal tracts. Standard "rate" models of BG—thalamocortical interactions suggest that GABAergic BG output tonically

suppresses Mthal, which is released to generate movement when BG output pauses (Albin et al., 1989; DeLong, 1990). Although this model makes many accurate predictions, it is incomplete (Ellens and Leventhal, 2013). Consistent with rate models, BG output lesions improve parkinsonism. However, they also treat hyperkinetic movement disorders (Cif and Hariz, 2017). High-frequency stimulation of basal ganglia output nuclei, which likely activates efferent axons (Hashimoto et al., 2003; McIntyre et al., 2004; Boulet et al., 2006; Chiken and Nambu, 2016; Xiao et al., 2018), has clinical effects similar to lesions. Furthermore, suppressing BG output consistently slows movement, in direct opposition to rate model predictions (Horak and Anderson, 1984b; Mink and Thach, 1991; Bastian et al., 2003; Desmurget and Turner, 2010).

Corticothalamic (Yamawaki and Shepherd, 2015; Galvan et al., 2016) and cerebellothalamic (Kuramoto et al., 2011) connections also strongly influence Mthal activity (Bosch-Bouju et al., 2013; Guo et al., 2017). Cortical layer V projection neurons send collaterals to Mthal, and layer VI neurons project both directly and indirectly via reticular thalamus to Mthal (Bosch-Bouju et al., 2013). Deep cerebellar nuclear (DCN) projections form strong perisomatic "driver-like" synapses on thalamocortical neurons that are largely distinct from BG-recipient neurons (Kuramoto et al., 2011; Rovó et al., 2012). These cortical, reticular thalamic, and cerebellar inputs are potential sources of divergence between BG output and Mthal activity. The precise behavioral function(s) of Mthal, as well as the mechanisms by which they are implemented, therefore remain unclear.

To study Mthal contributions to ballistic movement, we recorded single-unit Mthal activity as rats performed a BG-dependent two-alternative forced-choice task in which the pitch of an auditory cue instructs rats to move left or right (Carli et al., 1985; Dowd and Dunnett, 2005; Leventhal et al., 2012, 2014). In a stop-signal task with an identical forced-choice component, BG output decreases at movement onset, and remains low throughout movement (Schmidt et al., 2013). We therefore hypothesized that Mthal activity would be elevated throughout movement, predict which action is selected, and predict how quickly the rat completes the task. Although our results partially agreed with our predictions, we found a complex pattern of neuronal modulation suggesting that action initiation and invigoration are mediated by distinct populations of Mthal neurons.

Materials and Methods

Subjects

All animal procedures were approved by the Institutional Animal Care and Use Committee of the University of Michigan. Five adult male Long–Evans rats (250–275 g, Charles River Laboratories) were housed in groups of 2–3 on a reverse light/dark cycle before electrode implantation. They were subsequently housed individually to protect the implants. Food restriction was imposed on all animals during training and testing for no more than 5 d in a row, with 2 d of free feeding in between. Upon arrival in the laboratory, rats were handled daily for 1 week to acclimate them to the laboratory environment.

Behavioral task

Operant chambers were outfitted with five illuminated nose-poke holes at the front and a food port at the back (ENV-009, Med Associates). At the beginning of each trial, one of three center nose ports was illuminated signaling the rat to poke into that port and hold for a variable delay (0.5–1 s, pulled from a uniform distribution). The rat was then cued with a low (1 kHz) or high (4 kHz) pitched tone lasting 250 ms, instructing them to poke the left or right adjacent port, respectively. Trials completed within 1 s of the tone in the proper direction were deemed "correct" and rewarded with a 45 mg sucrose pellet at the food port. The intertrial interval

was 15 s. Procedural errors including initiating the trial via an unlit center port, withdrawing from the center port before the tone, or failing to poke a side port within 1 s were unrewarded and the house light was illuminated for the intertrial interval. "Incorrect" trials in which the rat moved to the wrong side port but met the timing criteria were unrewarded, but the house light was not illuminated. No effort was made to track head movement.

Training

Rats began training on the two-alternative forced choice task at 6 weeks of age, progressing through training levels at an individualized pace. First, all nose ports were lit and the rat was rewarded for poking any port. Next, one of the three central ports was lit and the rat was trained to poke and hold for a progressively longer delay period, with 250 ms of white noise signaling the reward. Finally, a low or high pitch tone (instructing left or right movement) replaced the white noise. The deadline for the rat to enter a side port after the tone was gradually reduced to 1 s as their performance improved. Rats were deemed ready for electrode implantation when their accuracy was 80% for 3 consecutive days and their body weight exceeded 400 g.

Implant preparation

Implants were designed using 3D modeling software (SolidWorks) and printed with biocompatible resins (3D Systems ProJet 3500 HD Max). The electrode interface board (EIB) was designed using custom software (Advanced Circuits) and assembled by hand. The electrodes were either individual 50 μ m tungsten wires (California Fine Wire) or tetrodes made from 12 μ m nickel-chrome wire (Sandvik PX000004). The electrodes were separated using a custom matrix made with polyimide tubing (HPC Medical Products, 72113300022-039) resulting in 0.3 mm spacing. All electrodes were drivable via a central, mechanical platform controlled with a single drive screw. Tetrodes were gold plated twice according to vendor instructions (Neuralynx) to a final impedance <220 k Ω . Electrodes were submerged in mineral oil before implantation.

Surgical procedures

Rats were placed on free feed at least 24 h before surgery. Anesthesia was induced and maintained via isoflurane inhalation at 5% and ~2% (adjusted as needed), respectively. Following induction, atropine (0.05 mg/kg, Henry Schein AtroJect SA) and carprofen (5 mg/kg, Sigma-Aldrich) were administered subcutaneously to reduce bronchial secretions and postoperative pain, respectively. Enrofloxacin (8 mg/kg, VetOne Enrosite) was administered following surgery to prevent wound infections. Body temperature was monitored and maintained via anal thermometer and abdominal heating pad (37°C; Physitemp Instruments, TCAT-2LV).

Rats were secured in a stereotaxic frame using ear bars. The incision site was shaved, cleaned with ethanol and betadine, and injected with lidocaine (Henry Schein LidoJect) as a local anesthetic. A \sim 10 mm anterior-to-posterior incision was made to expose the skull. The pericranium was removed and the skull cleaned with hydrogen peroxide. Bone screws (Fine Science Tools, 19010-00) were placed bilaterally along the lateral cranial ridges, and two screws placed in the posterior skull plate over the cerebellum served as ground and reference for electrophysiology. The skull was leveled and a craniotomy was performed over the recording site (Mthal; AP: -3.1 mm, ML: 1.2 mm, DV: -7.1 mm). The electrodes were lowered into the brain and the gap between the implant base and skull was filled using a biocompatible silicone adhesive (World Precision Instruments, Kwik-Sil). The implant was secured using dental cement (Teets Denture Material, 525000). Rats recovered from surgery for at least 1 week before retraining on the behavioral task.

Electrophysiological recordings

Electrodes were driven down daily until at the anticipated target depth. At the end of each recording session, electrodes were driven down at least 60 μ m so that new units were identified in each session. Wideband signals (0.1 Hz to 10 kHz) from the EIB were transmitted through a digital headstage, motorized commutator, preamplifier, data processor, and streamed to storage (Tucker-David Technologies: ZD64, AC32, PZ4, RZ2, RS4). Data were filtered in MATLAB (RRID:SCR_001622; 244 Hz to 6.10 kHz) and manually sorted into single units (Offline Sorter,

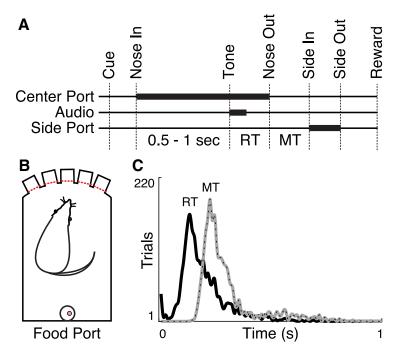


Figure 1. Behavioral task. **A**, Trials began by illuminating one of the three center ports in a five-port behavior chamber (Cue). The rat poked and held its nose in the lit port (Nose In) for a variable interval (0.5–1 s, pulled from a uniform distribution) until a 1 or 4 kHz auditory cue played (Tone) instructing the rat to move one port to the left or right, respectively. Nose Out, Side In, and Side Out indicate when the rat withdrew from the central port, poked the adjacent port, and withdrew from the adjacent port, respectively. "Reward" indicates the time of reward pellet retrieval. **B**, schematic diagram of the operant chamber. **C**, RT and MT distributions (10 ms bins, 5-point smoothed) for all trials.

RRID:SCR_000012). Potential duplicate units (i.e., the same unit recorded in multiple sessions) were identified by comparing the spike waveforms, unit autocorrelograms, cross-correlograms, and firing rates between sessions ("Tracking neurons over multiple days", MATLAB Central ID 30113; Fraser and Schwartz, 2012). Fifty-three potential duplicate units were excluded from subsequent analysis. The behavior chambers were controlled by LabVIEW software that stored behavioral data and a video of each session. Digital pulses indicating behavioral events (e.g., cues, nose pokes) and video frames were transmitted from the behavioral control software to the electrophysiology rig to synchronize behavior and electrophysiology.

Data analysis

Classification of single-unit event responsiveness. Peri-event time histograms (PETHs) of Z-scored firing rates were used to determine the taskrelated modulation of single-unit activity. We used a ± 1 s window with 20 ms bins smoothed by a three-point moving average for all PETH analyses. The mean and SD used in calculating each Z-score was obtained from the 2 s period before the "Cue" event (Fig. 1A). We chose this epoch because animals were not engaged in the task, and by observation, were unlikely to be exploring the cage or grooming. Primary unit classes were determined by finding the event for which the absolute value of a unit's Z-score was maximal and exceeded 1 within ± 200 ms around the event. Secondary unit classes were determined by finding the next highest absolute peri-event Z-score with the following restrictions. Secondary events could not be immediately before or after a unit's primary event. Second, to ensure at least a moderate degree of modulation, the absolute value of the secondary Z-score had to either exceed 1 or be greater than one-half of the unit's maximum primary Z-score. Units whose activity was not modulated strongly enough to be assigned to an event were classified as nonresponsive (NR). Only primary task-modulated units could be assigned secondary event classifications (i.e., the secondary classification of primary NR units could only be NR).

Identification of directionally selective units. Directional coding on a per-unit basis was assessed by creating ± 1 s PETHs independently for contralateral and ipsilateral trials. The ipsilateral PETHs were subtracted

from the contralateral PETHs, resulting in a time series representing the firing rate difference between the two trial types. Statistical comparisons between PETHs were performed with a shuffle test (Schmidt et al., 2013). We randomly reassigned trial type labels 1000 times to calculate surrogate PETH differences. p Values were determined as the fraction of surrogate PETH differences greater (or less) than the actual PETH difference. In each time bin, a unit was counted as directionally selective if p < 0.01. We labeled any unit that showed sustained selectivity for at least 40 ms around the Nose Out event (-200 to 400 ms)"directionally selective" and exclusively classified them as contralaterally or ipsilaterally selective. If a unit was selective for both directions at different times, we classified it based on the earliest time when our selection criteria were met. To quantify the magnitude of a unit's directional selectivity, we summed the difference between the ipsilateral and contralateral PETHs for bins in which this difference was significant (-200 to 400 ms around Nose Out; see Fig. 4C). Directional selectivity for incorrect trials was assessed using the same criteria but was limited by the small number of such trials. Directional selectivity at the Side Out event was determined using the same criteria except trials were sorted by the direction the rat moved to the food port based on manual video review.

Correlations between single-unit activity, RT, and MT. Visual inspection of our RT distribu-

tion revealed distinct early (express), "main", and late components (Figs. 1C, 5A, B). We empirically identified the main RT distribution (Noorani and Carpenter, 2011) as between 50 and 350 ms. Similarly, the movement time (MT) distribution comprised two distinct components (there is no express component of the MT distribution). Empirically, the main MT distribution was identified between 0 and 400 ms. Consistent with results from similar tasks, our ordinary trials (i.e., those with RT and MT within the main distributions) comprised \sim 90% of all trials.

The main RT and MT distributions for all trials were divided into 10 quantiles, and average PETHs were generated for directionally and non-directionally selective units. We identified two epochs around the Nose Out event where single-unit Z-scores varied systematically with RT or MT (see Fig. 5) for further analysis. The minimum Z-score for each quantile was extracted from between -0.7 and -0.2 s with respect to the Nose Out event (see Fig. 5, black arrows), and the maximum peri-event Z-score was extracted from between -0.2 and 0.3 s (see Fig. 5, red arrows). These values were then regressed against RT and MT (see Fig. 5).

All subject data were stored in a MySQL database, analyzed using MATLAB, and versioned using Git.

Anatomic localization of recording sites

Animals were deeply anesthetized and electrolytic lesions were made by passing 15–30 μ A between each electrode and the ground wire. Animals were killed in accordance with AVMA guidelines by cardiac perfusion with 10% paraformaldehyde (PFA; Sigma-Aldrich, P6148). The implant was removed, cleaned with ethanol and stored. The brain was sequentially stored in the following solutions: 10% PFA, 20% sucrose/PBS, 30% sucrose/PBS, 50% OCT, 100% OCT (PBS-PBS,OCT, Fisher Healthcare Tissue-Plus Optimal Cutting Temperature Compound). Brain slices were taken on a cryostat (Leica CM3050 S) at 30 μ m intervals, stained using cresyl violet acetate to highlight Nissl substance, and digitally imaged under bright-field illumination. Electrolytic lesions were identified from images in Adobe Photoshop (RRID:SCR_014199) and electrode tracks were followed to the dorsal entry point. The implant (with electrodes intact) was imaged and analyzed to create a three-dimensional

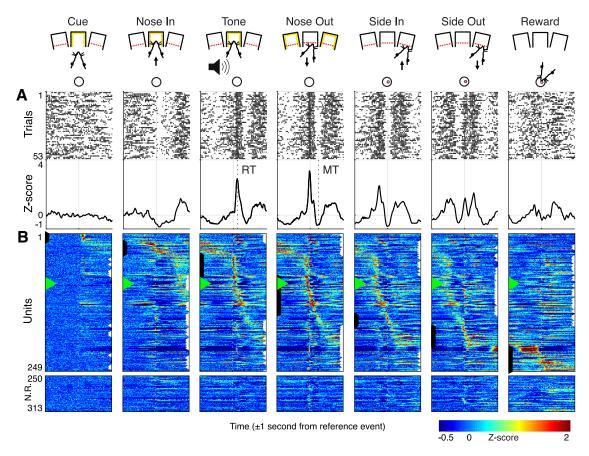


Figure 2. Single-unit Mthal activity during task performance. *A*, Single-unit raster (top) and PETHs (bottom) of a Nose Out responsive unit. Vertical dashed lines indicate the median RT (132 ms) and MT (249 ms) in this session. *B*, PETHs for all units sorted by their primary unit class and the timing of their maximal Z-score. Black and white arrows along the column edges indicate the primary and secondary unit classification, respectively. Green triangles in *B* indicate the unit from *A*.

electrode map to correlate with the location of electrolytic lesions in the histology images. We morphed the histology images to match standard rat brain maps (Paxinos and Watson, 2007).

Statistics

Directional coding was determined using a shuffle test with 1000 random permutations (MATLAB randperm) of trial labels (i.e., contraversive vs ipsiversive movement). We used a binomial inverse cumulative distribution (MATLAB binoinv) to determine the fraction of units expected to show directional coding by chance (Schmidt et al., 2013; p < 0.01). Statistical significance between fractions of units belonging to a particular class or group was determined using the χ^2 test. RT and MT regressions were fit using a linear polynomial curve to determine R^2 with p values computed using Pearson's linear correlation coefficient (MATLAB fit and corr, respectively). Each fit was reported with 95% confidence intervals (MATLAB polyconf). Electrophysiological characteristics were plotted using MATLAB boxplot. Unless stated otherwise, numbers are reported as the mean \pm SD.

Results

Motor thalamic modulation at movement onset

We trained rats on a two-alternative forced-choice task that strongly modulates BG activity (Schmidt et al., 2013) and depends on intact BG function (Leventhal et al., 2014). Rats were cued to poke and hold in one of the three center ports in a five-port behavior chamber for a variable interval, then directed one port to the left or right by the pitch of an instructional cue (Fig. 1A, B). Choice accuracy (77 \pm 17% during recording sessions), reaction time (RT) distributions, and MT distributions were consistent with previous results (Schmidt et al., 2013; Leventhal et al.,

2014; Fig. 1C). Once well trained, rats were implanted with microelectrodes targeting Mthal.

To determine the behavioral correlates of Mthal activity, PETHs of single-unit Z-scored firing rates were constructed and collapsed into a peri-event heatmap (Fig. 2). The two nonadjacent events to which each unit responded most strongly were designated the "primary" (strongest response) and "secondary" (second strongest response) events for that unit. Units that failed to achieve an absolute Z-score of at least 1 were classified as NR (64/313; Figs. 2B, 3A). Most task-responsive units (96%) were classified based on a positive Z-score (i.e., a peri-event increase in firing rate). Notably, 57% of all units were either primary or secondary Tone or Nose Out units, meaning that they were highly modulated when the rat decided which direction to move and quickly initiated that movement. These firing rate modulations were brief, returning to baseline or lower before the lateral movement completed ("Side In"). This result is inconsistent with a strict rate model, and suggests that Mthal may be required to initiate, but not sustain, movement.

Although Tone and Nose Out units were modulated closely in time, they were preferentially time-locked to qualitatively different events. We further evaluated whether Tone and Nose Out units might represent functionally distinct populations by examining the distribution of their secondary classes (Figs. 2B, 3B). The secondary events of primary Tone units were fairly evenly distributed among other task events. However, primary Nose Out units were more likely than primary Tone units to be second-

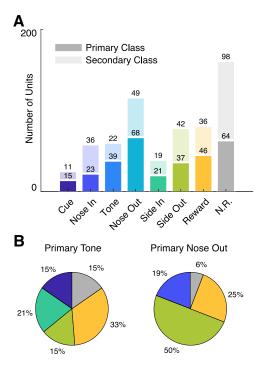


Figure 3. Numbers of units with activity time-locked to behavioral events. *A*, Distribution of primary (bottom, dark bars) and secondary (top, light bars) unit classes. Numbers above each bar indicate the number of units preferentially locked to each event. *B*, Distributions of secondary events for primary Tone (left) and Nose Out (right) units. Tone units show an approximately equal preference for firing at other events while Nose Out units are highly modulated at Side Out

ary Side Out units, when the rat is changing direction and moving quickly ($p=1.9\times10^{-4}$, χ^2 goodness of fit test comparing proportions of secondary Side Out units). This suggests that "Nose Out" units may have a general role in generating movement, whereas Tone unit activity is specifically linked to external cues.

Action selection in the motor thalamus

Activity in multiple BG nuclei is correlated with action selection (i.e., the choice to move left or right) in similar nose-poke tasks (Gage et al., 2010; Schmidt et al., 2013), and unilateral inactivation of BG nuclei or striatal dopaminergic manipulations bias action selection (Carli et al., 1985; Baunez et al., 2001; Dowd and Dunnett, 2005; Leventhal et al., 2014). We therefore examined whether action selection signals were transmitted through Mthal.

To determine whether Mthal encodes movement direction, we subtracted mean peri-event Z-scores for ipsilateral movements from those for contralateral movements (Fig. 4), and determined the fraction of units at each moment whose firing rates correlated with movement direction (Fig. 4B; Schmidt et al., 2013). Directional coding increased earlier for contraversive than ipsiversive movements; contraversive selectivity peaked 140 ms after Nose Out, whereas ipsiversive selectivity peaked 200 ms after Nose Out. The maximum fraction of units coding movement direction within any time bin was 24%, also occurring 200 ms after Nose Out. Thus, Mthal strongly encodes movement direction, but not until just before movement initiation, suggesting that Mthal drives lateralized movement.

We next examined the functional characteristics of individual directionally selective units, defined by direction-specific activity for at least two consecutive time bins (40 ms) around the Nose Out event (Fig. 4C). Mthal activity tended to correlate with the

direction moved rather than the direction cued on the infrequent occasions that rats moved the wrong direction (14/21 directionally selective units with >5 incorrect trials exhibited movementcorrelated rather than instruction-correlated activity), suggesting that directionally-selective Mthal activity is linked to movement and not auditory perception. This is further supported by the observation that Nose Out units were more likely to be directionally selective than Tone units (39% of Nose Out units vs 18% of Tone units were directionally selective; Fig. 4D; $p = 1.6 \times 10^{-8}$). However, individual units frequently encoded opposite directions at the Nose- and Side-Out events (57% of units with concordant directional selectivity; p = 0.17 against the null hypothesis of 50% concordance). The median duration of single-unit directional selectivity was 140 ms compared with the median MT of 258 ms. Therefore, Tone units may contribute to nonspecific aspects of movement initiation, after which Nose Out units provide a brief signal to drive movement to the left or right.

Motor thalamus encodes movement vigor

The BG are believed to play a critical role in appropriately invigorating or scaling movement (Desmurget and Turner, 2010; Dudman and Krakauer, 2016; Yttri and Dudman, 2016; Thura and Cisek, 2017). Movement vigor can be conceptualized as the metabolic cost of performing an action (Niv et al., 2007; Summerside et al., 2018). In the context of this forced-choice task, this metabolic cost should be related to how quickly rats initiate (RT) and execute (MT) their chosen action (Niv et al., 2007).

If the directional selectivity we observed in Mthal results from selective movement invigoration in one direction, then the activity of directionally selective, but not nondirectionally selective, units should predict RT and MT. To investigate this possibility, we superimposed RT and MT on single trial rasters separately for directionally and nondirectionally selective units around the Nose Out event (Fig. 5). We then asked whether there was a relationship between trial-by-trial activity and RT/MT.

The RT distribution was divided into three regions (Noorani and Carpenter, 2011). The first is a set of very short RTs (<50 ms; Fig. 5 A, B, gray overlays at the top of the rasters) that likely represents "express" movements in which the rat anticipated, rather than responded to, the instructional cue (Carpenter and Williams, 1995). At very long RTs (>350 ms; Fig. 5 A, B, gray overlays at the bottom of the rasters), motor thalamic activity no longer was modulated by the task. We therefore focused on the intermediate "main" RT distribution (89% of all trials; Fig. 5A, B, cyanviolet overlay). Nondirectionally selective Tone and Nose Out units showed a single firing peak just before the Nose Out event, consistent with the fact that most Tone units were not directionally selective. To our surprise, however, the activity of these units was strongly related to RT (Fig. 5A). Their firing rates at Nose Out were anti-correlated with RT ($R^2 = 0.75$, $p = 1.1 \times 10^{-3}$). Furthermore, there was a decrease in firing several hundred milliseconds before Nose Out whose depth was also related to RT ($R^2 =$ 0.78, $p = 6.8 \times 10^{-4}$). Finally, for very short and long RT, the approximately linear relationship between RT and neural activity disappeared.

We performed a similar analysis on the relationship between nondirectionally selective unit activity and MT (Fig. 5C). As was the case for RT, units were not strongly modulated around the Nose Out event for very long MT (> \sim 400 ms). Because there is no express component of MT distributions, we analyzed correlations between motor thalamic activity and MTs between 0 and 400 ms (88% of all trials). Unlike for RT, there was no relationship between the firing rate of nondirectionally selective units

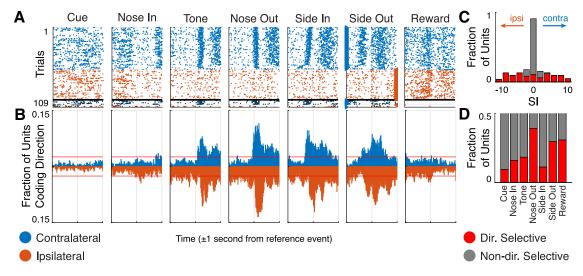


Figure 4. Directional selectivity of Mthal units. **A**, Peri-event rasters from a single unit sensitive to movement direction. Trials are segregated into four groups from top to bottom: cued contralateral, moved contralateral; cued ipsilateral, moved ipsilateral, moved contralateral; and cued contralateral, moved ipsilateral. Trials are sorted by MT within each group. Markers on either side of the Side Out event indicate the direction the rat turned to retrieve the sugar pellet. **B**, Fraction of units showing directional selectivity on correct trials. Counts above the horizontal axis indicate increased firing for contralateral movement; counts below the axis indicate increased firing for ipsilateral movements. Horizontal red lines indicate a chance level of directional selectivity. **C**, Histograms of selectivity indices (SIs) for directionally (red bars) and nondirectionally selective (gray bars) units. **D**, Fraction of units that exhibit directional selectivity (red bars) at the Nose Out event according to their event responsiveness.

and MT in the pre- or peri-Nose Out periods ($R^2 = 0.0048$, p = 0.85 and $R^2 = 0.12$, p = 0.33, respectively).

The activity of directionally selective units included an early peak before the Nose Out event similar to nondirectionally selective units, and also a slightly later peak (Fig. 5*B*). As for nondirectionally selective units, activity around the Nose Out event was anticorrelated with RT ($R^2=0.85$, $p=1.5\times10^{-4}$), and there was an early depression in their activity that predicted RT ($R^2=0.54$, p=0.016). Critically, and in contrast to nondirectionally selective units, the activity of directionally selective units strongly predicted MT in the peri-Nose Out period ($R^2=0.94$, $p=4.4\times10^{-6}$; Fig. 5*D*). There was no relationship between firing rates before Nose Out and MT for directionally selective units ($R^2=0.0074$, p=0.81).

In summary, we identified two groups of Mthal neurons whose firing patterns were strongly related to movement initiation and action selection/execution. The activity of one group tended to be more tightly locked to the instruction cue, did not predict movement direction, and predicted RT. The activity of the second group was more tightly locked to movement initiation, predicted movement direction, and predicted both RT and MT. These results suggest that the apparent directional selectivity of Mthal neurons reflects a "vigor" signal transmitted through this central motor hub. Furthermore, subpopulations of motor thalamic neurons subserve related but distinct roles in initiating and executing motor plans.

Single-unit anatomy and physiology

The BG and cerebellar-recipient thalamus are largely segregated in the rat, with ventral-anterior regions of Mthal more likely to receive BG afferents and dorsal-posterior regions more likely to receive cerebellar afferents (Deniau et al., 1992; Kuramoto et al., 2011). We therefore asked whether units with different behavioral correlates (i.e., event-responsiveness or directional selectivity) tended to aggregate in Mthal subregions. There was no apparent anatomic clustering of units based on their directional selectivity (Fig. 6A) or primary event class (Fig. 6B), though it is difficult to determine recording sites precisely since electrodes

were moved between recording sessions. Furthermore, directionally and nondirectionally selective units were indistinguishable by conventional physiologic measures (Fig. 6C). This is consistent with previous reports that extracellular recordings are homogeneous across Mthal subregions, at least when animals are not engaged in a specific task. (Anderson and Turner, 1991; Nakamura et al., 2014).

Discussion

We found functionally distinct neuronal populations in Mthal whose activity changes briefly around movement onset. Units that did not encode movement direction were more likely to respond to the instruction/imperative cue, and predicted RT ("initiation" units). Conversely, units whose activity correlated with movement direction predicted RT and MT, and were more likely to respond at movement onset ("execution" units). These results suggest that RT and MT may not be interchangeable measures of vigor, and are regulated via distinct subcortical mechanisms. Furthermore, Mthal influences choice RT performance in two stages: short latency, nonspecific action initiation followed by action selection/invigoration.

Similar to our data (Fig. 2) in simple RT tasks with one response option, Mthal activity changes are distributed from just before to just after movement onset (Macpherson et al., 1980; Anderson and Turner, 1991; Nambu et al., 1991; van Donkelaar et al., 1999; Tanaka, 2007). Although the duration of firing rate changes was not systematically examined in these studies, several units exhibited brief increases that returned to baseline before movement completion (compare Fig. 2 to Anderson and Turner, 1991, their Fig. 7B). In a choice RT task, Mthal units were modulated around movement onset, and elevated firing did not persist through movement completion (Butler et al., 1992; Forlano et al., 1993). In contrast, rat Mthal activity was briefly modulated near the grasping phase of a skilled reaching task (Bosch-Bouju et al., 2014). However, because reaches were spontaneous, it is difficult to determine precisely how Mthal activity was modulated at reach initiation. Collectively, these data suggest that phasic

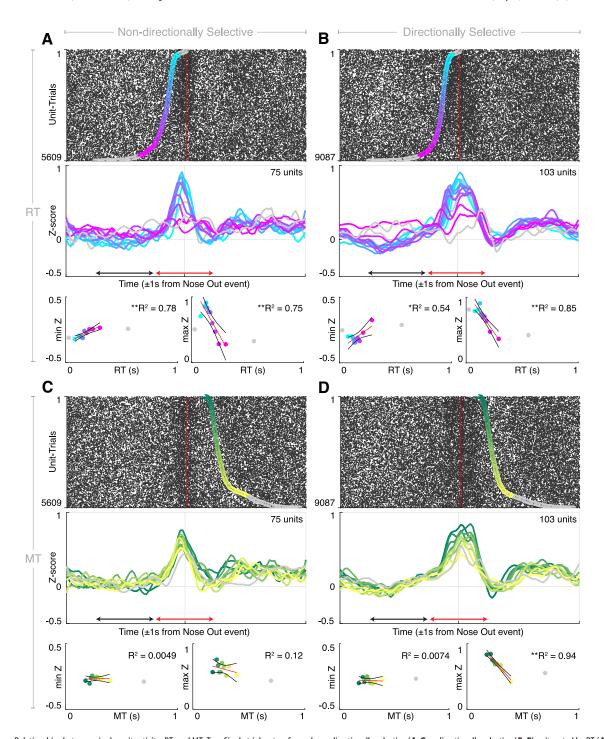


Figure 5. Relationships between single-unit activity, RT, and MT. Top, Single trial rasters for each nondirectionally selective (*A*, *C*) or directionally selective (*B*, *D*) unit sorted by RT (*A*, *B*) or MT (*C*, *D*). Cyan-violet overlays indicate the Tone event for the main RT distribution; green-yellow overlays indicate the Side In event for the main MT distribution. Gray overlays indicate short (express) RT or long RT/MT. Middle, Mean peri-event *Z*-scores for each RT (*A*, *B*) or MT (*C*, *D*) decile. Colored traces correspond to shading in the raster above. Bottom left, Linear regressions of minimum *Z*-score in the pre-Nose Out period (black arrow on the PETHs above) against RT (*A*, *B*) or MT (*C*, *D*). Bottom right, Linear regressions of maximum *Z*-score in the peri-Nose Out period (red arrow on the PETHs above) against RT (*A*, *B*) or MT (*C*, *D*). **p < 0.05, **p < 0.01.

changes in Mthal activity occur at movement transitions, whether from rest to reaching or reaching to grasping.

A pulse of Mthal activity could propel motor cortex from a preparatory state into movement execution. Upon imperative cue presentation, population-level motor cortical activity evolves dynamically depending on the specific action being executed (Churchland et al., 2012). Similar to Mthal initiation units, a component of this activity is invariant across potential actions,

predicts movement timing but not velocity, and precedes action-specific cortical activity (Kaufman et al., 2016). We speculate that initiation units trigger action-invariant components of movement-related cortical dynamics. Execution units then invigorate action-specific cortical population-level activity (Churchland et al., 2012). In both cases, Mthal modulations are early and brief relative to movement duration. In fact, many Tone and Nose Out units transiently decrease firing below baseline rates immediately after their event-related firing

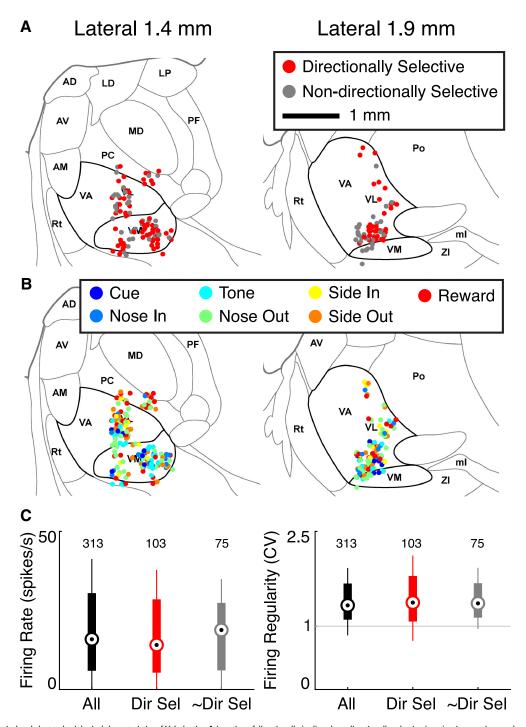


Figure 6. Anatomical and electrophysiological characteristics of Mthal units. *A*, Location of directionally (red) and nondirectionally selective (gray) units superimposed on sagittal rat brain atlas images (Paxinos and Watson, 2007). Mthal nuclei [ventral anterior (VA), ventral lateral (VL), ventromedial (VM)] are enclosed within bold lines. AD, Anterodorsal thalamus; AM, anteromedial thalamus; AV, anteroventral thalamus; LP, lateral posterior thalamus; MD, mediodorsal thalamus; ml, medial lemniscus; PC, paracentral thalamus; PF, parafascicular thalamus; Po, posterior thalamic nuclear group; Rt, reticular thalamus; ZI, zona incerta. *B*, Anatomical characterization of Mthal units based on event responsiveness. There was no clear anatomic segregation of units based on directional selectivity or event-responsiveness. *C*, Left, Median firing rates of all, directionally selective (Dir Sel), and nondirectionally selective (~Dir Sel) units. Right, Median coefficient of variation (CV) for the same units. Thick lines indicate the 10th to 90th percentiles. The whiskers extend to the most extreme data points not considered outliers.

rate increase (Figs. 2*B*, 5; Tanaka, 2007; Bosch-Bouju et al., 2014). These observations are consistent with the idea that cortical dynamics evolve in a predetermined manner depending on their initial state (Churchland et al., 2010). This is analogous to pushing a pendulum, which behaves as a harmonic oscillator: its kinematics are uniquely determined by its initial state and the force with which it is pushed (unless acted upon again; Dudman and Krakauer, 2016; Yttri and Dudman, 2018). This hypothesis should be testable by manipulating the timing of Mthal activation

with respect to the onset of a ballistic movement. Such a mechanism may be important for preplanned ballistic movements, as opposed to slower movements that require continuous adjustment (Tanaka, 2005).

The idea that precisely-timed pulses of Mthal activity regulate movement vigor may explain the apparent paradox that BG output lesions and high-frequency stimulation slow movement in healthy subjects, speed movement in parkinsonian subjects (Bastian et al., 2003), and improve dyskinesias (Marsden and Obeso,

1994; Ellens and Leventhal, 2013). High-frequency, bursty BG output in Parkinson disease (Ellens and Leventhal, 2013; Galvan et al., 2015) could force persistent Mthal bursting (Zirh et al., 1998; Magnin et al., 2000; Guehl et al., 2003; Molnar et al., 2005; Pessiglione et al., 2005; Rubin et al., 2012; Kim et al., 2017) and prevent the natural evolution of cortical dynamics. Eliminating aberrant BG output would restore baseline corticothalamic function, but not allow vigor modulation by BG-thalamocortical circuits. Critically, tonic BG output imposed by high-frequency stimulation would have a similar effect.

It remains unclear how distinct subcortical afferents influence Mthal activity and behavior. One possibility is that initiation and execution units represent cerebellar- and BG-recipient thalamocortical neurons, respectively. Suppressing DCN activity prolongs RT (Meyer-Lohmann et al., 1977; Trouche and Beaubaton, 1980). Transient increases in DCN activity precede and are timelocked to saccades (Ohmae et al., 2017) or limb movements in a simple RT task (Thach, 1975). Furthermore, Purkinje neuron inhibition releases DCN from tonic inhibition and initiates movement (Heiney et al., 2014). Finally, after pairing Purkinje neuron inhibition with an auditory cue, the auditory stimulus itself is sufficient to increase DCN activity and initiate movement (Lee et al., 2015). Cerebellum-dependent action initiation could be driven via Mthal, though the DCN also project to the superior colliculus and red nucleus (Teune et al., 2000).

Conversely, the BG are implicated in action selection (Maia and Frank, 2011; Redgrave et al., 2011; Jin et al., 2014) and regulating movement vigor (Panigrahi et al., 2015; Dudman and Krakauer, 2016). In simple RT tasks, BG output lesions/inactivations slow MT, but not RT (Horak and Anderson, 1984a; Mink and Thach, 1991; Desmurget and Turner, 2010). Furthermore, Parkinson disease patients react and move slower during choice RT tasks than healthy controls (Pullman et al., 1988). In tasks similar to the one used here, striatal dopamine depletion (Carli et al., 1985; Dowd and Dunnett, 2005 Leventhal et al., 2014), striatal inactivation (Leventhal et al., 2014), or subthalamic lesions (Baunez et al., 2001) impair action selection and prolong RT and MT. Single units in striatum, globus pallidus, the subthalamic nucleus, and SNr encode movement direction in this task (Gage et al., 2010; Schmidt et al., 2013). Thus, BG-recipient Mthal is a candidate to convey vigor and action-specific signals from the BG into corticospinal tracts. Alternatively, initiation and execution units may be distributed across Mthal subregions, with BG- and cerebellum-derived signals mixing through recurrent corticothalamic loops (Bosch-Bouju et al., 2013), disynaptic connections between the BG and cerebellum (Bostan et al., 2010; Chen et al., 2014), or sparse cerebellar projections to BG-recipient thalamus (Deniau et al., 1992; Kuramoto et al., 2011). The latter projections could explain why execution units predict RT as well as MT.

The correlation between depressed hold period Mthal activity and RT (Fig. 5*A*, *B*) suggests an attentional mechanism to anticipate the cue. Thalamocortical neurons express T-type Ca²⁺ channels that de-inactivate during hyperpolarization, increasing excitability (Kim et al., 2017). While extracellular recordings cannot determine whether firing rate depressions correspond to membrane hyperpolarization, the correlation between the depth of the hold period depression and the height of the peri-Nose Out peak implies a rebound phenomenon. Mthal hyperpolarization could be caused by enhanced BG output, though SNr hold period activity is not elevated in a similar task (Schmidt et al., 2013, their Fig. S8). Alternatively, decreased Mthal activity could reflect increased reticular thalamic inhibition (Guo et al., 2017) or decreased cortical excitation (Galvan et al., 2016; Guo et al., 2017).

However, it is also possible that network mechanisms account for the correlations between depressed pre-tone firing rate, peri-Nose Out peak firing, and behavior.

Premotor corticothalamic loops can maintain selected actions in working memory when instructive cues precede imperative cues, and disrupting corticothalamic activity reduces task performance to chance (Guo et al., 2017). In our task, the instructive and imperative cues arrive simultaneously, and nonspecific RT-correlated action initiation signals precede direction-specific MT-correlated signals (compare Figs. 5A, D). These results suggest that movement may be initiated by subcortical circuits, but action selection (at least in this task) requires corticothalamic communication. The selected action could be maintained in working memory or used immediately to influence ongoing movement (Fig. 5D). Correlations between BG-recipient Mthal activity and action selection also suggest that action-specific execution units are part of BG-Mthal-prefrontal circuits.

Most models of choice RT performance suggest that action preparation and movement initiation must occur sequentially to generate accurate movements (Bogacz et al., 2006). Our results apparently contradict these models, and recent data suggest that action preparation and initiation can occur independently (Haith et al., 2016). When choice RT options demand similar movement trajectories, "intermediate" movements between potential targets are often generated at short RTs (Hening et al., 1988). "Prepare-then-move" models interpret intermediate trajectories as errors caused by inadequate preparation. An alternative is that the motor system is efficiently initiating a trajectory that could be adjusted to either target as more data become available or further processing occurs (Hudson et al., 2007; Haith et al., 2016). Our finding that nonspecific initiation units are modulated before action-specific execution units is consistent with the latter interpretation.

In summary, in a choice RT task, Mthal activity is briefly modulated around movement onset, and correlated with the speed of movement initiation and execution. Instead of a strict rate model of BG-thalamocortical function, our results suggest that pulses of Mthal activity modify the kinematics of impending or ongoing movement. This hypothesis makes specific, testable predictions that will allow current models of BG- and cerebellar-thalamocortical function to be refined.

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