

# Equivariant Analytic Torsion for Compact Lie Group Actions

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We define the equivariant analytic torsion for a compact Lie group action and study its dependence on the geometric data. © 1994 Academic Press, Inc.

## 1. INTRODUCTION

The Ray–Singer analytic torsion  $\mathcal{T}(Z, F; g^{TZ}, h^F)$  is a real-valued spectral invariant of a closed connected Riemannian manifold  $Z$  and a flat complex vector bundle  $F$  on  $Z$ , which are equipped with a Riemannian metric  $g^{TZ}$  and a Hermitian metric  $h^F$ , respectively [10, 9]. When  $\dim(Z)$  is odd,  $\mathcal{T}(Z, F; g^{TZ}, h^F)$  is independent of the choices of  $g^{TZ}$  and  $h^F$  and hence gives a smooth topological invariant  $\mathcal{T}(Z, F)$  of the pair  $(Z, F)$ . In the original case considered by Ray and Singer,  $F$  admits a unitary structure and  $\mathcal{T}(Z, F)$  equals the Reidemeister torsion of  $(Z, F)$ , a homeomorphism invariant [5, 8].

It turned out to be fruitful to consider an equivariant extension of the analytic torsion, in which a finite group acts by isometries on  $(Z, F)$  [6, 7]. A natural question is then whether one can extend the definition of the analytic torsion to the case of the action of a compact Lie group  $G$  on  $(Z, F)$  by isometries. The right approach to this question was not clear. A hint is given by the recent work of the present author with J.-M. Bismut [3], in which the analytic torsion of a fiber bundle is defined as a differential form on the base. Morally speaking, one would apply the fiber bundle results to the following situation. Let  $BG$  be a classifying space for  $G$  and let  $EG$  be the contractible space upon which  $G$  acts freely, with  $BG = EG/G$ . As  $G$  acts on  $Z$ , there is a fibration with fiber  $Z$ , total space  $EG \times_G Z$ , and base  $BG$ . Thus one may hope to obtain a torsion invariant for the  $G$ -action on  $(Z, F)$  which lies in  $H^*(BG; \mathbb{C})$ .

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Inspired by the fiber bundle results, in this note we give a direct construction of the equivariant analytic torsion for the action of  $G$  on  $(Z, F)$ . We must make the further assumption that the  $G$ -action on the flat bundle  $F$  has vanishing moment. Then we define the equivariant torsion of  $(Z, F; g^{TZ}, h^F)$  as a  $G$ -invariant formal power series on the Lie algebra  $\mathfrak{g}$ . As the vector space of such formal power series is a completion of  $H^*(BG; \mathbb{C})$ , our construction fits into the framework of equivariant cohomology. We show that if  $\dim(Z)$  is odd then the nonconstant part of the formal power series expansion of the torsion is independent of  $g^{TZ}$  and  $h^F$ . Thus we obtain smooth topological invariants of the  $G$ -action on  $(Z, F)$ . To show that these invariants are nontrivial, we compute them in the case of  $U(1)$  acting on a circle.

We refer to [1, Chaps. 7, 8] for background on equivariant differential forms and equivariant local index theory techniques. We note that many of these techniques are due to Bismut [2]. As many of the propositions in this note are analogs of those in [3], we are sketchy in some of the proofs.

## 2. THE EQUIVARIANT ANALYTIC TORSION

We follow the notation of [1]. In particular,  $[\cdot, \cdot]$  denotes a graded commutator. The Einstein summation convention is used freely. We let  $\text{tr}$  and  $\text{tr}_s$  denote traces and supertraces on finite-dimensional vector spaces. If  $W$  is a finite-dimensional vector bundle on a manifold  $Z$ , the trace of an endomorphism  $T \in \text{End}(W)$  is denoted by  $\text{tr}[T] \in C^\infty(Z)$ .

Let  $G$  be a compact Lie group, with Lie algebra  $\mathfrak{g}$ . Let  $\mathbb{C}[\mathfrak{g}]^G$  denote the space of  $G$ -invariant complex-valued formal power series on  $\mathfrak{g}$ .

Let  $Z$  be a closed connected oriented Riemannian manifold of dimension  $n$  upon which  $G$  acts by orientation-preserving isometries. The tangent bundle of  $Z$  is denoted by  $TZ$ , the Riemannian metric is denoted by  $g^{TZ}$ , a local orthonormal basis of  $TZ$  is denoted by  $\{e_i\}_{i=1}^n$ , and the dual basis is denoted by  $\{\tau^i\}_{i=1}^n$ . When convenient, we may assume that the  $\{e_i\}_{i=1}^n$  have vanishing covariant derivative at a point. The complexified exterior bundle of  $Z$  is denoted by  $\wedge^*(Z)$ , and its space of smooth sections is denoted by  $\Omega^*(Z)$ . The Riemannian metric on  $Z$  induces an inner product  $\langle \cdot, \cdot \rangle_{\Omega^*(Z)}$  on  $\Omega^*(Z)$ . Given  $x \in \mathfrak{g}$ , let  $X$  be the corresponding vector field on  $Z$ . Let  $L_X: \Omega^*(Z) \rightarrow \Omega^*(Z)$  be Lie differentiation in the  $X$ -direction. Let  $i_X: \Omega^*(Z) \rightarrow \Omega^{*-1}(Z)$  be interior multiplication by  $X$  and let  $e_X: \Omega^*(Z) \rightarrow \Omega^{*+1}(Z)$  be exterior multiplication by the dual 1-form to  $X$ . Then  $e_X$  and  $i_X$  are adjoint operators. If  $Y$  is a vector field on  $Z$ , put

$$\begin{aligned} c(Y) &= e_Y - i_Y \\ \hat{c}(Y) &= e_Y + i_Y. \end{aligned} \tag{1}$$

Then we have

$$\begin{aligned} c(Y_1) c(Y_2) + c(Y_2) c(Y_1) &= -2\langle Y_1, Y_2 \rangle_{TZ}, \\ \hat{c}(Y_1) \hat{c}(Y_2) + \hat{c}(Y_2) \hat{c}(Y_1) &= 2\langle Y_1, Y_2 \rangle_{TZ}, \\ c(Y_1) \hat{c}(Y_2) + \hat{c}(Y_2) c(Y_1) &= 0. \end{aligned} \tag{2}$$

Thus  $c$  and  $\hat{c}$  generate two graded-commuting Clifford algebras.

The space of equivariant differential forms  $\Omega_G(Z)$  is  $((\mathbf{C}[g] \otimes \Omega^*(Z)))^G$ , the  $G$ -invariant  $\Omega^*(Z)$ -valued formal power series on  $g$ . It is convenient to write an equivariant differential form  $\alpha$  as if it were actually an  $\Omega^*(Z)$ -valued function on  $g$ . Thus we write  $\alpha$  as  $\alpha_x$ , where  $x \in g$  and  $\alpha_x \in \Omega^*(Z)$ . The equivariant differential  $d_g$  is then given by  $(d_g \alpha)_x = d\alpha_x - i_x \alpha_x$ .

Let  $\nabla^{TZ}$  be the Levi-Civita connection on  $TZ$ . We also let  $\nabla^{TZ}$  denote the induced connection on  $\wedge^*(Z)$ . Let  $R^{TZ}$  be the curvature 2-form. Define  $\hat{R}^{TZ} \in \Omega^2(Z; \text{End}(\wedge^*Z))$  by

$$\hat{R}^{TZ} = \frac{1}{4} \langle e_j, R^{TZ} e_k \rangle_{TZ} \hat{c}^j \hat{c}^k. \tag{3}$$

The Riemannian moment  $\mu_x^{TZ} \in \text{End}(TZ)$  of  $x \in g$  acts on  $Y \in TZ$  by [1, Example 7.8]

$$\mu_x^{TZ} Y = -\nabla_Y^{TZ} X. \tag{4}$$

Define  $\hat{\mu}_x^{TZ} \in C^\infty(Z; \text{End}(\wedge^*Z))$  by

$$\hat{\mu}_x^{TZ} = \frac{1}{4} \langle e_j, \mu_x^{TZ} e_k \rangle_{TZ} \hat{c}^j \hat{c}^k. \tag{5}$$

The equivariant curvature is given by

$$R_x^{TZ} = R^{TZ} + \mu_x^{TZ}. \tag{6}$$

Define  $\text{Pf}: so(n) \rightarrow \mathbf{R}$  to be the Pfaffian if  $n$  is even and zero if  $n$  is odd. Then the equivariant Euler class  $\chi \in \Omega_G(Z)$  is given by

$$\chi_x = \text{Pf} \left( \frac{R_x^{TZ}}{2\pi} \right). \tag{7}$$

It is equivariantly closed.

Let  $F$  be a flat  $G$ -equivariant complex vector bundle on  $Z$ . Let  $\nabla^F$  denote the flat connection on  $F$ . Let  $\Omega^*(Z; F)$  denote the space of smooth  $F$ -valued differential forms on  $Z$ . It is a  $\mathbf{Z}$ -graded vector space, with the number operator  $N$  acting as multiplication by  $j$  on  $\Omega^j(Z; F)$ . The action of  $x \in g$  on  $\Omega^*(Z; F)$  is denoted by  $L_x$ . We extend  $i_x$ ,  $e_x$ ,  $c(X)$ , and  $\hat{c}(X)$  to act on  $\Omega^*(Z; F)$ . If  $\mathcal{O}$  is a trace-class operator on the  $L^2$ -completion of  $\Omega^*(Z; F)$ , we let  $\text{Tr}_s[\mathcal{O}] \in \mathbf{C}$  denote the supertrace of  $\mathcal{O}$  with respect to the  $\mathbf{Z}_2$ -grading on  $\Omega^*(Z; F)$ .

Let  $h^F$  be a  $G$ -invariant Hermitian metric on  $F$ . We do not require that  $h^F$  be covariantly constant with respect to  $\nabla^F$ . Let  $(\nabla^F)^*$  be the adjoint connection to  $\nabla^F$ , with respect to  $h^F$ . Define a Hermitian connection on  $F$  by

$$\nabla^{F,u} = \frac{1}{2}((\nabla^F)^* + \nabla^F) \tag{8}$$

and define  $\psi \in \Omega^1(Z; \text{End}(F))$  by

$$\psi = (\nabla^F)^* - \nabla^F. \tag{9}$$

Then

$$\nabla^{F,u} = \nabla^F + \frac{\psi}{2}. \tag{10}$$

The curvature of  $\nabla^{F,u}$  is

$$R^{F,u} = -\frac{\psi^2}{4}. \tag{11}$$

We let  $\nabla^{TZ \otimes F,u}$  denote the tensor product of the connections  $\nabla^{TZ}$  and  $\nabla^{F,u}$ . Define  $\mathcal{R} \in \Omega^2(Z; \text{End}(\wedge^*(Z) \otimes F))$  by

$$\mathcal{R} = (\hat{R}^{TZ} \otimes I_F) + (I_{\wedge^*(Z)} \otimes R^{F,u}). \tag{12}$$

The Hodge duality operator on  $\Omega^*(Z)$  extends to a linear operator  $*$  on  $\Omega^*(Z; F)$ . There is an inner product on  $\Omega^*(Z; F)$  given by

$$\langle \omega_1, \omega_2 \rangle_{\Omega^*(Z; F)} = \int_Z \langle \omega_1(z) \wedge * \omega_2(z) \rangle_{h^F}. \tag{13}$$

Let  $d_F: \Omega^*(Z; F) \rightarrow \Omega^*(Z; F)$  denote exterior differentiation and let  $d_F^*$  be its adjoint.

The moment of  $x \in g$  relative to  $\nabla^F$  is defined to be [1, Definition 7.5]

$$\mu_x^F = L_x - (d_F i_x + i_x d_F). \tag{14}$$

*Assumption 1.* The flat bundle  $F$  is such that  $\mu_x^F$  vanishes for all  $x \in g$ .

**DEFINITION 1.** For  $x \in g$  and  $t > 0$ , define operators on  $\Omega^*(Z; F)$  by

$$D_{x,t} = \sqrt{t} d_F - \frac{i_x}{4\sqrt{t}} \tag{15}$$

$$D'_{x,t} = \sqrt{t} d_F^* + \frac{e_x}{4\sqrt{t}} \tag{16}$$

$$H_{x,t} = -(D'_{x,t} - D_{x,t})^2. \tag{17}$$

LEMMA 1. *We have*

$$(D_{x,t})^2 = (D'_{x,t})^2 = -\frac{1}{4}L_x \tag{18}$$

$$[L_x, D_{x,t}] = [L_x, D'_{x,t}] = 0 \tag{19}$$

$$H_{x,t} = (D'_{x,t} + D_{x,t})^2 + L_x \tag{20}$$

$$[D_{x,t}, H_{x,t}] = [D'_{x,t}, H_{x,t}] = 0 \tag{21}$$

$$[N, D_{x,t}] = 2t \frac{d}{dt} D_{x,t} \tag{22}$$

$$[N, D'_{x,t}] = -2t \frac{d}{dt} D'_{x,t}. \tag{23}$$

*Proof.* The proof follows from a simple calculation. ■

Equation (20) shows that  $H_{x,t}$  is essentially the same as the Bismut Laplacian [1, Definition 8.9]. It is precisely the same if  $h^F$  is covariantly constant with respect to  $\nabla^F$ .

Define a connection  $\mathcal{D}_{x,t}$  on  $\wedge^*(Z) \otimes F$  by saying that for  $Y$  a vector field on  $Z$  and  $s \in \Omega^*(Z; F)$ ,

$$(\mathcal{D}_{x,t})_Y s = \nabla_Y^{TZ \otimes F, u} s - \frac{\langle X, Y \rangle_{TZ}}{4t} s. \tag{24}$$

Let  $\Delta_{x,t}$  be the corresponding rough Laplacian on  $\Omega^*(Z; F)$ . Let  $K \in C^\infty(Z)$  be the scalar curvature.

PROPOSITION 1. *We have the Lichnerowicz-type formula*

$$\begin{aligned} H_{x,t} = t \left( \Delta_{x,t} + \frac{K}{4} + \frac{1}{2} c^i c^j \mathcal{R}(e_i, e_j) \right) + \hat{\mu}_x^{TZ} \\ + t \left( \frac{1}{4} \psi_j^2 + \frac{1}{8} \hat{c}^j \hat{c}^k [\psi_j, \psi_k] - \frac{1}{2} c^j \hat{c}^k \nabla_{e_j}^{TZ \otimes F, u} \psi_k \right). \end{aligned} \tag{25}$$

*Proof.* If  $h^F$  is covariantly constant with respect to  $\nabla^F$  then Eq. (25) follows from [1, Proposition 8.12]. If  $G$  is trivial then Eq. (25) is equivalent to [4, Theorem 4.13]. The proof in the general case is done by combining the proofs of the two above special cases. We omit the details. ■

We see from Proposition 1 that  $H_{x,t}$  is an elliptic operator. The heat kernel  $e^{-H_{x,t}}$  is a trace-class operator whose supertrace can be formally expanded in  $x$ , to give an element of  $\mathbf{C}[g]^G$ . From [1, Proposition 8.11], for all  $t > 0$ ,  $\text{Tr}_s[e^{-H_{x,t}}]$  equals  $\text{ind}_G(e^{-x}, d_F + d_F^*)$ , the equivariant index of  $d_F + d_F^*$ :  $\Omega^{\text{even}}(Z; F) \rightarrow \Omega^{\text{odd}}(Z; F)$  evaluated at the group element  $e^{-x}$ . The homotopy

invariance of cohomology implies that  $\text{ind}_G(e^{-x}, d_F + d_F^*)$  equals  $\text{rk}(F) \chi(Z)$  for all  $x \in \mathfrak{g}$ . Thus  $\text{Tr}_s[e^{-H_{x,t}}]$  is independent of both  $t$  and  $x$ .

From small-time heat-kernel asymptotics, it follows that  $\text{Tr}_s[Ne^{-H_{x,t}}]$  has a small- $t$  asymptotic expansion of the form  $t^{-n/2}$ . (a power series in  $t$ ). In fact, by adapting the arguments of [4, Theorem 7.10] and [3, Theorem 3.21], one can show that the  $t^0$ -term in the asymptotic expansion is given by

$$t^0\text{-term of } \text{Tr}_s[Ne^{-H_{x,t}}] = \begin{cases} n \text{rk}(F) \chi(Z)/2 & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd.} \end{cases} \tag{26}$$

Put

$$\chi'(Z; F) = \sum_{j=0}^n (-1)^j j \text{rk}(H^j(Z; F)). \tag{27}$$

Following [3, Theorem 3.21], the  $t \rightarrow \infty$  asymptotics of  $\text{Tr}_s[Ne^{-H_{x,t}}]$  are given by

$$\text{Tr}_s[Ne^{-H_{x,t}}] = \chi'(Z; F) + O\left(\frac{1}{\sqrt{t}}\right). \tag{28}$$

DEFINITION 2. The equivariant analytic torsion  $\mathcal{T} \in \mathbb{C}[g]^G$  is such that for all  $x \in \mathfrak{g}$ ,

$$\mathcal{T}_x = -\frac{d}{ds} \Big|_{s=0} \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} (\text{Tr}_s[Ne^{-H_{x,t}}] - \chi'(Z; F)) dt. \tag{29}$$

It follows from Eqs. (26) and (28) that the expression being differentiated in (29) is well-defined for  $\text{Re}(s) \gg 0$ , and its meromorphic extension to the complex plane is holomorphic near  $s=0$ . Clearly,  $\mathcal{T}_0$  coincides with the Ray–Singer analytic torsion [10, 9].

PROPOSITION 2. Let  $\{h^F(\varepsilon)\}_{\varepsilon \in \mathbb{R}}$  be a smooth 1-parameter family of  $G$ -invariant Hermitian metrics on  $F$ . Define  $(h^F)^{-1} (dh^F/d\varepsilon) \in C^\infty(Z; \text{End}(F))$ , with an obvious notation. Then

$$\frac{d}{d\varepsilon} \text{Tr}_s[Ne^{-H_{x,t}}] = t \frac{d}{dt} \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{x,t}} \right]. \tag{30}$$

*Proof.* We abbreviate  $(h^F)^{-1} (dh^F/d\varepsilon)$  by  $V$ . Clearly,

$$\frac{d}{d\varepsilon} D_{x,t} = 0.$$

Let  $\bar{F}^*$  be the antidual bundle to  $F$ . A Hermitian metric  $h^F$  induces an isomorphism  $\hat{h}^F: F \rightarrow \bar{F}^*$ . Define an operator  $\bar{D}_{x,t}^*$  on  $\Omega(Z; \bar{F}^*)$  by

$$\bar{D}_{x,t}^* = \sqrt{t} d_{F^*} - \frac{i_X}{4\sqrt{t}}.$$

Then

$$D'_{x,t} = (\hat{h}^F)^{-1} \bar{D}_{x,t}^* \hat{h}^F$$

and so

$$\frac{d}{d\varepsilon} D'_{x,t} = \left[ D'_{x,t}, (\hat{h}^F)^{-1} \frac{d\hat{h}^F}{d\varepsilon} \right] = [D'_{x,t}, V].$$

As the supertrace of a graded commutator vanishes, we may equally well assume that

$$\frac{d}{d\varepsilon} D_{x,t} = -\frac{1}{2} [D_{x,t}, V], \quad \frac{d}{d\varepsilon} D'_{x,t} = \frac{1}{2} [D'_{x,t}, V]. \quad (31)$$

Then

$$\begin{aligned} \frac{d}{d\varepsilon} \text{Tr}_s[Ne^{-H_{x,t}}] &= -\int_0^1 \text{Tr}_s \left[ Ne^{-uH_{x,t}} \frac{dH_{x,t}}{d\varepsilon} e^{-(1-u)H_{x,t}} \right] du \\ &= \frac{1}{2} \int_0^1 \text{Tr}_s [Ne^{-uH_{x,t}} \\ &\quad \times [(D'_{x,t} - D_{x,t}), [(D'_{x,t} + D_{x,t}), V]] e^{-(1-u)H_{x,t}}] du \\ &= \frac{1}{2} \int_0^1 \text{Tr}_s [[N, (D'_{x,t} - D_{x,t})] e^{-uH_{x,t}} \\ &\quad \times [(D'_{x,t} + D_{x,t}), V] e^{-(1-u)H_{x,t}}] du \\ &= -t \int_0^1 \text{Tr}_s \left[ \frac{d(D'_{x,t} + D_{x,t})}{dt} e^{-uH_{x,t}} \right. \\ &\quad \left. \times [(D'_{x,t} + D_{x,t}), V] e^{-(1-u)H_{x,t}} \right] du \\ &= -t \int_0^1 \text{Tr}_s \left[ \left[ \frac{d(D'_{x,t} + D_{x,t})}{dt}, (D'_{x,t} + D_{x,t}) \right] e^{-uH_{x,t}} \right. \\ &\quad \left. \times Ve^{-(1-u)H_{x,t}} \right] du \\ &= -t \int_0^1 \text{Tr}_s \left[ Ve^{-(1-u)H_{x,t}} \frac{dH_{x,t}}{dt} e^{-uH_{x,t}} \right] du \\ &= t \frac{d}{dt} \text{Tr}_s [Ve^{-H_{x,t}}]. \quad \blacksquare \end{aligned} \quad (32), (33)$$

By Hodge theory, we can identify the  $\mathbf{Z}$ -graded vector space  $H^*(Z; F)$  with  $\text{Ker}(d_F^* - d_F)$ . Then  $H^*(Z; F)$  inherits a Hermitian inner product  $h^{H^*(Z; F)}$ . Let

$$P: \Omega^*(Z; F) \rightarrow \text{Ker}(d_F^* - d_F) \tag{34}$$

be the orthogonal projection operator.

PROPOSITION 3. *As operators on  $H^*(Z; F)$ ,*

$$(h^{H^*(Z; F)})^{-1} \frac{dh^{H^*(Z; F)}}{d\varepsilon} = P(h^F)^{-1} \frac{dh^F}{d\varepsilon} P. \tag{35}$$

*Proof.* It is enough to prove the validity of (35) when  $\varepsilon = 0$ . Let  $\{v_i(\varepsilon)\}$  be a 1-parameter family of bases of  $\text{Ker}(d_F^* - d_F)$  which is orthonormal when  $\varepsilon = 0$  and whose de Rham cohomology classes are independent of  $\varepsilon$ . Then  $dv_i/d\varepsilon \in \text{im}(d_F)$  and

$$\begin{aligned} \frac{d\langle v_i, v_j \rangle_{\Omega^*(Z; F)}}{d\varepsilon} &= \left\langle \frac{dv_i}{d\varepsilon}, v_j \right\rangle_{\Omega^*(Z; F)} + \left\langle v_i, \frac{dv_j}{d\varepsilon} \right\rangle_{\Omega^*(Z; F)} + \frac{dh^{\Omega^*(Z; F)}}{d\varepsilon}(v_i, v_j) \\ &= \frac{dh^{\Omega^*(Z; F)}}{d\varepsilon}(v_i, v_j) \\ &= \left\langle v_i, (h^F)^{-1} \frac{dh^F}{d\varepsilon} v_j \right\rangle_{\Omega^*(Z; F)} \\ &= \left\langle v_i, P(h^F)^{-1} \frac{dh^F}{d\varepsilon} P v_j \right\rangle_{\Omega^*(Z; F)}. \end{aligned} \tag{36}$$

However,

$$\frac{d\langle v_i, v_j \rangle_{\Omega^*(Z; F)}}{d\varepsilon} = \frac{dh^{H^*(Z; F)}}{d\varepsilon}(v_i, v_j). \tag{37}$$

Combining (36) and (37) gives the validity of (35) when  $\varepsilon = 0$ . ■

PROPOSITION 4. *We have*

$$\lim_{t \rightarrow \infty} \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{s,t}} \right] = \text{Tr}_s \left[ P(h^F)^{-1} \frac{dh^F}{d\varepsilon} P \right]. \tag{38}$$

*Proof.* The heuristic idea of the proof is that as  $t \rightarrow \infty$ , the  $1/\sqrt{t}$  terms of (15) and (16) become irrelevant. Then  $H_{s,t}$  approaches  $-t(d_F^* - d_F)^2$  and  $e^{-H_{s,t}}$  approaches  $P$ . The special feature of the present situation is that there are no terms of order  $t^0$  in (15) or (16). The details of the proof are as in [3, Theorem 2.13] and we omit them. ■



PROPOSITION 5. *The expression  $\text{Tr}_s[(h^F)^{-1} (dh^F/d\varepsilon) e^{-H_{x,t}}]$  has a limit as  $t \rightarrow 0$  given by*

$$\lim_{t \rightarrow 0} \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{x,t}} \right] = \int_Z \chi_x \cdot \text{tr} \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{\psi^{2/4}} \right]. \tag{39}$$

*Proof.* The proof follows from local index theory techniques as in [2, Section 2] or [1, Section 8.3]. That is, doing an appropriate rescaling and using Proposition 1, one finds

$$\lim_{t \rightarrow 0} \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{x,t}} \right] = \int_Z \chi_x \cdot \text{tr} \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-R^F \cdot u} \right]. \tag{40}$$

The proposition now follows from combining (11) and (40). ■

PROPOSITION 6. *We have*

$$\frac{d\mathcal{F}_x}{d\varepsilon} = -\text{tr}_s \left[ (h^{H^*(Z; F)})^{-1} \frac{dh^{H^*(Z; F)}}{d\varepsilon} \right] + \int_Z \chi_x \cdot \text{tr} \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{\psi^{2/4}} \right]. \tag{41}$$

*Proof.* Put  $W = \lim_{t \rightarrow \infty} \text{Tr}_s [(h^F)^{-1} (dh^F/d\varepsilon) e^{-H_{x,t}}]$ . We can switch the order of differentiation and integration to obtain

$$\begin{aligned} \frac{d\mathcal{F}_x}{d\varepsilon} &= -\frac{d}{d\varepsilon} \frac{d}{ds} \Big|_{s=0} \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} (\text{Tr}_s [N e^{-H_{x,t}}] - \chi'(Z; F)) dt \\ &= -\frac{d}{ds} \Big|_{s=0} \frac{1}{\Gamma(s)} \int_0^\infty t^s \frac{d}{dt} \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{x,t}} \right] dt \\ &= -\frac{d}{ds} \Big|_{s=0} \frac{1}{\Gamma(s)} \int_0^\infty t^s \frac{d}{dt} \left( \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{x,t}} \right] - W \right) dt. \end{aligned} \tag{42}$$

One then integrates by parts, and as in [6, pp. 437–438], one obtains

$$\begin{aligned} \frac{d\mathcal{F}_x}{d\varepsilon} &= -\lim_{t \rightarrow \infty} \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{x,t}} \right] \\ &\quad + \left( \text{the } t^0 \text{ term in the small-}t \text{ expansion of } \text{Tr}_s \left[ (h^F)^{-1} \frac{dh^F}{d\varepsilon} e^{-H_{x,t}} \right] \right). \end{aligned} \tag{43}$$

The proposition now follows from combining (43) and Propositions 3–5. ■

Now fix  $h^F$  and let  $\{g^{TZ}(\varepsilon)\}_{\varepsilon \in \mathbf{R}}$  be a smooth 1-parameter family of  $G$ -invariant Riemannian metrics on  $Z$ . Let  $\ast(\varepsilon)$  be the corresponding

Hodge duality operators on  $\Omega(Z; F)$ . There is a canonically defined class  $\hat{\chi} \in \Omega_G(Z)/\text{im}(d_g)$ , constructed from  $g^{TZ}$  and  $dg^{TZ}/d\varepsilon$ , such that

$$\frac{d\chi}{d\varepsilon} = d_g \hat{\chi}. \tag{44}$$

Define  $c(h^F) \in \Omega^*(Z)$  by

$$c(h^F) = \sum_{j=0}^{\infty} \frac{\text{Tr}[\psi^{2j+1}]}{(2j+1)4^j j!}. \tag{45}$$

It is easy to check that  $c(h^F)$  is closed and that its de Rham cohomology class is independent of  $h^F$ . As  $h^F$  is  $G$ -invariant,  $c(h^F)$  is equivariantly closed as an element of  $\Omega_G(Z)$ .

PROPOSITION 7. *We have*

$$\frac{d\mathcal{F}_x}{d\varepsilon} = -\text{tr}_s \left[ (h^{H^*(Z; F)})^{-1} \frac{dh^{H^*(Z; F)}}{d\varepsilon} \right] + \int_Z \hat{\chi}_x \cdot c(h^F). \tag{46}$$

*Proof.* The analogs of Propositions 2, 3, and 4 hold, with  $(h^F)^{-1} (dh^F/d\varepsilon)$  replaced by  $*^{-1}(d*/d\varepsilon)$ . The proofs are virtually the same as before. In order to compute the  $t \rightarrow 0$  limit of  $\text{Tr}_s[*^{-1}(d*/d\varepsilon) e^{-H_{x,t}}]$ , one can introduce an auxiliary variable  $\sigma$  as in [4, Section 4]. Upon rescaling  $\sigma$  as in [4, Section 4] and the other variables as in [1, Section 8.3], one uses Proposition 1 to show

$$\lim_{t \rightarrow 0} \text{Tr}_s \left[ *^{-1} \frac{d*}{d\varepsilon} e^{-H_{x,t}} \right] = \int_Z \mathcal{R}_x^k \cdot \text{tr}[(\nabla^F, u\psi_k) e^{\psi^2/4}], \tag{47}$$

where  $\mathcal{R}_x^k$  is a certain tensor constructed from  $g^{TZ}$  and  $dg^{TZ}/d\varepsilon$ . (The last term in (25) is responsible for the  $(\nabla^F, u\psi_k)$  term in (47).) Then

$$\begin{aligned} \lim_{t \rightarrow 0} \text{Tr}_s \left[ *^{-1} \frac{d*}{d\varepsilon} e^{-H_{x,t}} \right] &= \int_Z \mathcal{R}_x^k \cdot \text{tr}[\tau^j(\nabla_{e_j}^{TZ \otimes F}, u\psi_k) e^{\psi^2/4}] \\ &= \int_Z \mathcal{R}_x^k \cdot \text{tr}[\tau^j(\nabla_{e_k}^{TZ \otimes F}, u\psi_j) e^{\psi^2/4}] \\ &= \int_Z \mathcal{R}_x^k \cdot \nabla_{e_k}^{TZ} c(h^F) \\ &= - \int_Z \nabla_{e_k}^{TZ} \mathcal{R}_x^k \cdot c(h^F). \end{aligned} \tag{48}$$

One computes that  $-\nabla_{e_k}^{TZ} \mathcal{R}_x^k = \hat{\chi}_x$ . Thus the analog of Proposition 5 is

$$\lim_{t \rightarrow 0} \text{Tr}_s \left[ \star^{-1} \frac{d\star}{d\varepsilon} e^{-H_{s,t}} \right] = \int_Z \hat{\chi}_x \cdot c(h^F). \tag{49}$$

The proposition now follows as in the proof of Proposition 6.  $\blacksquare$

If  $g^{TZ}$  and  $g'^{TZ}$  are two  $G$ -invariant Riemannian metrics on  $Z$ , let  $\{g(\varepsilon)\}_{\varepsilon \in [0,1]}$  be a smooth 1-parameter family of  $G$ -invariant Riemannian metrics on  $Z$  such that  $g(0) = g^{TZ}$  and  $g(1) = g'^{TZ}$ . Define  $\tilde{\chi}(g^{TZ}, g'^{TZ}) \in \Omega_G(Z)/\text{im}(d_g)$  by

$$\tilde{\chi}_x(g^{TZ}, g'^{TZ}) = \int_0^1 \hat{\chi}_x(\varepsilon) d\varepsilon. \tag{50}$$

One can check that  $\tilde{\chi}(g^{TZ}, g'^{TZ})$  depends only on  $g^{TZ}$  and  $g'^{TZ}$ , and not on the 1-parameter family chosen. By construction,

$$d_g \tilde{\chi}(g^{TZ}, g'^{TZ}) = \chi(g'^{TZ}) - \chi(g^{TZ}). \tag{51}$$

Similarly, if  $h^F$  and  $h'^F$  are two  $G$ -invariant Hermitian metrics on  $F$ , let  $\{h(\varepsilon)\}_{\varepsilon \in [0,1]}$  be a smooth 1-parameter family of  $G$ -invariant Hermitian metrics on  $F$  such that  $h(0) = h^F$  and  $h(1) = h'^F$ . Define  $\tilde{c}(h^F, h'^F) \in \Omega^*(Z)/\text{im}(d)$  by

$$\tilde{c}(h^F, h'^F) = \int_0^1 \text{tr} \left[ h(\varepsilon)^{-1} \frac{dh}{d\varepsilon} e^{\psi^2(\varepsilon)/4} \right]. \tag{52}$$

One can check that  $\tilde{c}(h^F, h'^F)$  depends only on  $h^F$  and  $h'^F$ , and not on the 1-parameter family chosen. By construction,

$$d\tilde{c}(h^F, h'^F) = c(h'^F) - c(h^F). \tag{53}$$

Let  $h^{H^*(Z;F)}$  and  $h'^{H^*(Z;F)}$  be the Hermitian metrics on  $H^*(Z;F)$  induced by  $(g^{TZ}, h^F)$  and  $(g'^{TZ}, h'^F)$ , respectively. Let the corresponding volume forms on  $H^p(Z;F)$  be  $\text{vol}(h^{H^p(Z;F)})$  and  $\text{vol}(h'^{H^p(Z;F)})$ .

PROPOSITION 8. *We have*

$$\begin{aligned} \mathcal{F}_x(g'^{TZ}, h'^F) - \mathcal{F}_x(g^{TZ}, h^F) &= \int_Z \tilde{\chi}_x(g^{TZ}, g'^{TZ}) \cdot c(h^F) \\ &\quad + \int_Z \chi_x(g'^{TZ}) \cdot \tilde{c}(h^F, h'^F) \\ &\quad - \sum_{p=0}^n (-1)^p \ln \left( \frac{\text{vol}(h'^{H^p(Z;F)})}{\text{vol}(h^{H^p(Z;F)})} \right). \end{aligned} \tag{54}$$

*Proof.* It follows from Propositions 6 and 7 and Eqs. (50)–(53) that the difference between the two sides of (54) is independent of  $g'^{TZ}$  and  $h'^F$ . As both sides vanish when  $g'^{TZ} = g^{TZ}$  and  $h'^F = h^F$ , the proposition follows. ■

If  $G$  is trivial then Eq. (54) is equivalent to [4, Theorem 0.1].

**COROLLARY 1.** *If  $\dim(Z)$  is odd then  $\mathcal{T}_x - \mathcal{T}_0$  is independent of  $g^{TZ}$  and  $h^F$  and is thus a smooth topological invariant of the  $G$ -pair  $(Z, F)$ .*

*Proof.* If  $\dim(Z)$  is odd then  $\chi = \tilde{\chi} = 0$ , and so the corollary follows from Proposition 8. ■

If  $\dim(Z)$  is odd and  $H^*(Z; F) = 0$  then it follows from Proposition 8 that  $\mathcal{T}_0$  is also independent of  $g^{TZ}$  and  $h^F$ . However, this is simply a consequence of [10, 9], as  $\mathcal{T}_0$  is the same as the Ray–Singer analytic torsion.

**PROPOSITION 9.** *If  $\dim(Z)$  is even and  $h^F$  is covariantly constant with respect to  $\nabla^F$ , then  $\mathcal{T} = 0$ .*

*Proof.* This follows from a Hodge duality argument, as in [10] and [3, Theorem 3.26]. ■

We now compute the equivariant analytic torsion for  $U(1)$  acting on a circle by an  $r$ -fold covering. First, let  $r > 1$  be a positive integer, let  $\zeta \neq 1$  be an  $r$ th root of unity, and let  $\rho: \mathbf{Z} \rightarrow \text{Aut}(\mathbf{C})$  be the corresponding representation. Put  $F = \mathbf{R} \times_{\rho} \mathbf{C}$ . Then  $F$  is a  $U(1)$ -equivariant flat complex line bundle over  $S^1$  with vanishing moment. There is a  $U(1)$ -invariant Hermitian metric on  $F$  induced from the standard inner product on  $\mathbf{C}$ . Define the  $j$ th polylogarithm function of  $\zeta$  by

$$\text{Li}_j(\zeta) = \sum_{m=1}^{\infty} \frac{\zeta^m}{m^j}.$$

**PROPOSITION 10.** *For  $iy \in u(1)$ , we have*

$$\mathcal{T}_{iy} = 2 \sum_{j \text{ even}} \binom{2j}{j} \text{Re}(\text{Li}_{j+1}(\zeta)) \left(\frac{ry}{8\pi}\right)^j - 2i \sum_{j \text{ odd}} \binom{2j}{j} \text{Im}(\text{Li}_{j+1}(\zeta)) \left(\frac{ry}{8\pi}\right)^j. \tag{55}$$

*Proof.* The calculation proceeds by means of the Poisson summation formula, as in [3, Theorem 4.13], and we omit the details. ■

Now let  $U(1)$  act on  $S^1$  in the standard way and let  $F$  be the trivial flat complex line bundle on  $S^1$ .

**PROPOSITION 11.** *For  $iy \in u(1)$ , we have*

$$\mathcal{T}_{iy} - \mathcal{T}_0 = 2 \sum_{k=1}^{\infty} \binom{4k}{2k} \text{Li}_{2k+1}(1) \left(\frac{y}{8\pi}\right)^{2k}. \tag{56}$$

*Proof.* The proof is similar to that of Proposition 10. ■

Although Eq. (56) is derived using standard metrics on  $S^1$  and  $F$ , by Corollary 1 the result is independent of the metrics chosen. Similarly, the result of Proposition 10 is independent of the metrics chosen.

*Note 1.* Recall that if  $D$  is a  $G$ -invariant Dirac-type operator on  $Z$  and  $H_{x,t}$  is the corresponding Bismut Laplacian, then [1, Section 8.3]

$$\text{Tr}_s[e^{-H_{x,t}}] = \text{Tr}_s[e^{-x}e^{-H_{0,t}}] = \text{ind}_G(e^{-x}, D). \tag{57}$$

One may ask if there is a similar relationship for the equivariant analytic torsion. Namely, as in [6, Section X], put

$$\mathcal{F}(e^{-x}) = -\frac{d}{ds}\Big|_{s=0} \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} (\text{Tr}_s[Ne^{-x}e^{-H_{0,t}}] - \chi'(Z; F)) dt. \tag{58}$$

Then the question is whether  $\mathcal{F}_x$  equals  $\mathcal{F}(e^{-x})$ . One finds by explicit calculation that this is not the case even for a circle.

*Note 2.* The numerical coefficients in (55) differ from those of [3, Theorem 4.13] because of a slightly different definition of the analytic torsion. Essentially, instead of using the exponential function in Definition 2, Ref. [3] uses the function  $(1 + 2x)e^x$ .

*Note 3.* The analytic torsion  $\mathcal{F}_x$  is localized around the identity element  $e$  of  $G$ , in that it is the germ of a function defined around  $e$ . We see no reason why it should extend analytically to a function on the connected component  $G_0$  of  $G$ , especially in view of the arbitrariness of definition mentioned in Note 2.

Given  $\gamma \in G$ , one can define an analytic torsion which is localized around  $\gamma$  as follows. Put

$$\chi'(\gamma, Z; F) = \sum_{j=0}^n (-1)^j j \text{tr}[\gamma|_{\mathbb{H}(Z; F)}]. \tag{59}$$

Let  $g_\gamma$  be the Lie algebra of the centralizer  $G_\gamma$  of  $\gamma$ . Define  $\mathcal{F}^{(\gamma)} \in \mathbb{C}[g_\gamma]^{G_\gamma}$  by saying that for  $x \in g_\gamma$ ,

$$\mathcal{F}_x^{(\gamma)} = -\frac{d}{ds}\Big|_{s=0} \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} (\text{Tr}_s[N\gamma e^{-H_{x,t}}] - \chi'(\gamma, Z; F)) dt. \tag{60}$$

If  $G$  is finite, one recovers the equivariant torsion of [6, 7].

*Note 4.* It should be possible to define an equivariant Reidemeister torsion by means of a decomposition of  $Z$  as a  $G$ -CW complex. In particular, given a  $G$ -Morse function on  $Z$ , one obtains such a decomposition, and it should be possible to study the relationship between the analytic and cellular torsion invariants, along the lines of [4].

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