# Qualitative Behavior <br> of Dissipative Wave Equations on Bounded Domains 

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#### Abstract

The qualitative behavior of solutions of the mixed problem $u_{t t}=\Delta u-a(x) u_{t}$ in $\mathbb{R} \times \Omega, u=0$ on $\mathbb{R} \times \partial \Omega$, is studied in the case when $a>0$ and $\Omega \subset \mathbb{R}^{n}$ is bounded. Roughly speaking, if $a \geqq a_{\min }>0$, then solutions decay at least as fast as $\exp t\left(\varepsilon-\frac{1}{2} a_{\min }\right)$, with the possible exception of a finite dimensional set of smooth solutions whose existence is associated with a phenomenon of overdamping. If $a_{\max }$ is sufficiently small, depending on $\Omega$, then no overdamping occurs.

Let $\Omega \subset \mathbb{R}^{n}$ be a bounded open set lying on one side of its smooth boundary $\partial \Omega$. We are interested in solutions of the dissipative wave equation


$$
\begin{equation*}
u_{t t}=\Delta u-a(x) u_{t} \quad \text { on } \quad \mathbb{R} \times \Omega \tag{1}
\end{equation*}
$$

where $\Delta$ is the Laplacian and where the coefficient of friction $a(x)$ is a smooth positive function on $\bar{\Omega}$. On the boundary the Dirichlet boundary condition

$$
\begin{equation*}
u=0 \quad \text { on } \mathbb{R} \times \partial \Omega \tag{2}
\end{equation*}
$$

is imposed. The methods used generalize immediately to a wide class of equations and boundary conditions.

A feeling for the phenomena encountered can be obtained by considering the special case $a=$ constant, which can be solved by an eigenfunction expansion. Let $\Phi_{j}, j=1,2, \ldots$, be an orthonormal sequence of eigenfunctions of $\Delta$ with Dirichlet conditions. That is, $\Delta \Phi_{j}=\lambda_{j} \Phi_{j}$ in $\Omega, \Phi_{j}=0$ on $\partial \Omega$ and $0>\lambda_{1}>\lambda_{2} \geqq \lambda_{3} \ldots$. Then

$$
\begin{equation*}
u=\sum u_{j}(t) \Phi_{j} \tag{3}
\end{equation*}
$$

where $u_{j}(t)$ satisfies the damped spring equation

$$
\begin{equation*}
\ddot{u}_{j}+a \dot{u}_{j}-\lambda_{j} u_{j}=0 \tag{4}
\end{equation*}
$$

with $u_{j}(0), \dot{u}_{j}(0)$ determined by the Cauchy data of $u$. The general solution of (4) is

$$
\begin{gather*}
\beta_{+} e^{r+t}+\beta_{-} e^{r-t}, \quad \beta_{ \pm} \in \mathbb{C}  \tag{5}\\
r_{ \pm}=\frac{-a \pm \sqrt{a^{2}+4 \lambda_{j}}}{2} . \tag{6}
\end{gather*}
$$

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Since $\lambda_{j} \rightarrow-\infty$ as $j \rightarrow \infty$ we see that for $j$ large $\operatorname{Re} r_{ \pm}=-a / 2$ and the corresponding terms in (3) decay like $e^{-t a / 2}$. The "slow modes" with $\operatorname{Re} r>-a / 2$ occur when the spring equation (4) is overdamped. In summary, solutions consist of a finite dimensional part decaying exponentially, but slower than $e^{-t a / 2}$, and a part decaying like $e^{-t a / 2}$. Our goal is to prove similar results when $a$ depends on $x$. To do this, an appropriate framework for discussing (1), (2) must be built.

The energy of a solution $u$ at time $t$ is defined as

$$
E(t, u)=\int_{\Omega}\left(\left|u_{t}(t, x)\right|^{2}+|\nabla u(t, x)|^{2}\right) d x .
$$

The basic energy identity for smooth solutions of (1), (2) is then

$$
\frac{d}{d t} E(t, u)=-2 \int_{\Omega} a(x)\left|u_{t}(t, x)\right|^{2} d x \leqq 0
$$

This relation would seem to indicate that if one increases $a$ then the energy should decay more rapidly. It was pointed out in [5, pp. 365-367] however that this is not quite correct, since for large $a$ the overdamped states actually decay slower. With the exception of this finite dimensional set of states, however, the idea is right.

We introduce the natural Hilbert space of states, $H=\dot{H}_{1}(\Omega) \times L_{2}(\Omega)$ with norm

$$
\|(\phi, \psi)\|_{H}^{2}=\int_{\Omega}\left(|\nabla \phi|^{2}+|\psi|^{2}\right) d x
$$

and define the evolution operator $S(t): H \rightarrow H$ by

$$
S(t)(\phi, \psi)=\left(u(t), u_{t}(t)\right)
$$

where $u$ is the solution of the mixed problem (1), (2) with initial conditions

$$
\begin{equation*}
u(0)=\phi, \quad u_{t}(0)=\psi \tag{7}
\end{equation*}
$$

(If one wants to avoid weak solutions one may consider functions $(\phi, \psi) \in C_{0}^{\infty}(\Omega)^{2}$, in which case the solution of (1), (2), (7) is smooth and $S(t)$ has a unique continuous linear extension to all of $H$.) The family $S(t),-\infty<t<\infty$, is a $C_{0}$ one-parameter group of linear transformations on $H$ with $\|S(t)\| \leqq 1$ for $t \geqq 0$. The generator of this group is

$$
\begin{aligned}
G & =\left(\begin{array}{ll}
0 & 1 \\
\Delta & 0
\end{array}\right)+\left(\begin{array}{cc}
0 & 0 \\
0 & -a
\end{array}\right), \\
D(G) & =\left(H_{2}(\Omega) \cap \stackrel{\circ}{H}_{1}(\Omega)\right) \times \stackrel{\circ}{H}_{1}(\Omega) .
\end{aligned}
$$

Notice that $\left(\begin{array}{ll}0 & 1 \\ \Delta & 1\end{array}\right)$, with the same domain, is skewadjoint (see [4, §V.1]) and that $\left(\begin{array}{cc}0 & 0 \\ 0 & -a\end{array}\right)$ is a bounded dissipative operator on $H$ so that $G$ is maximal dissipative.

We are interested in $S(t)$ for $t$ large. If $\Omega$ were a Riemannian manifold without boundary and $\Delta$ the Laplace-Beltrami operator, then the results of [7], see especially $\S 4$, give a precise formula for $\|S(t)\|_{\mathscr{L} / \mathscr{X}}$ where $\mathscr{L}$ is the algebra of
bounded operators on $H$ and $\mathscr{K}$ the ideal of compact operators. In particular, $\|S(t)\|_{\mathscr{L} / \mathscr{X}}$ depends monotonically on $a$, in the sense that increasing $a$ decreases the norm of $S(t)$. We have also the simple estimates

$$
\begin{equation*}
e^{-t a_{\max } / 2} \leqq\|S(t)\|_{\mathscr{L} \mid \mathcal{X}} \leqq e^{-t a_{\min } / 2} \tag{8}
\end{equation*}
$$

where

$$
\begin{equation*}
a_{\max }=\max _{\Omega} a, \quad a_{\min }=\min _{\Omega} a . \tag{9}
\end{equation*}
$$

The proof in [7] relies on a detailed construction using geometrical optics, not available in case $\Omega$ has a boundary because of the existence of glancing rays. We will give a direct and elementary proof of inequalities corresponding to (8) in the case of regions with boundary. The method is that of energy integrals with an indefinite energy form.

Definition. Let $\pi_{1}: H \rightarrow \stackrel{\circ}{H}_{1}(\Omega)$ be defined by $\pi_{1}((\phi, \psi))=\phi$. If $h \in H$ then $u=$ $\pi_{1}(S(t) h)$ is called a finite energy solution of (1), (2). Notice that

$$
u \in C\left(\mathbb{R} \mid \stackrel{\circ}{H}_{1}(\Omega)\right) \cap C^{1}\left(\mathbb{R} \mid L_{2}(\Omega)\right) .
$$

The next result contains our basic estimate.
Theorem 1. If $u$ is a solution of (1), (2) with finite energy, then

$$
\begin{equation*}
E(t, u) \leqq c_{1}^{2} e^{-a_{\min } t} E(0, u)+c_{2}\|u(t)\|_{L_{2}}^{2} \tag{10}
\end{equation*}
$$

where

$$
\begin{aligned}
& c_{1}^{2}=\max \left(4, a_{\min }^{2} / 2 \lambda\right), \\
& c_{2}=a_{\max } a_{\min }-\lambda
\end{aligned}
$$

and $\lambda$ is given by (11).
One consequence of (10) is that for solutions which decay slower than $e^{-t a_{\min } / 2}$ the energy is dominated by $\left(c_{2}+\varepsilon\right)$ times the $L_{2}$ norm, for large time. Thus the slowly decaying modes must be fairly smooth.

Proof. First assume that $u \in C^{\infty}(\mathbb{R} \times \bar{\Omega})$. Let $\alpha=a_{\min } / 2$ and $v=e^{\alpha t} u$. Then

$$
\begin{aligned}
u_{t} & =e^{-\alpha t}\left(v_{t}-\alpha v\right) \\
u_{t t} & =e^{-\alpha t}\left(v_{t t}-2 \alpha v_{t}+\alpha^{2} v\right) \\
\Delta v & =e^{\alpha t} \Delta u=e^{\alpha t}\left(u_{t t}+a u_{t}\right) .
\end{aligned}
$$

Substituting the expressions for $u_{t}, u_{t t}$ into the last equation yields

$$
v_{t t}-\Delta v+\left(\alpha^{2}-a \alpha\right) v=-\left(a-a_{\min }\right) v_{t}
$$

(notice that $a-a_{\min } \geqq 0$ and $\alpha^{2}-a \alpha \leqq-\alpha^{2}<0$ ). Multiply this equation by $\bar{v}_{t}$, integrate over $\Omega$, and form the real part. This shows that

$$
\frac{d}{d t} \mathscr{I}(t)=-2 \int_{\Omega}\left(a-a_{\text {min }}\right)\left|v_{t}(t)\right|^{2} d x \leqq 0,
$$

where

$$
\mathscr{I}(t)=\int_{\Omega}\left(\left|v_{t}(t)\right|^{2}+|\nabla v(t)|^{2}+\left(\alpha^{2}-a \alpha\right)|v(t)|^{2}\right) d x
$$

Notice that the decreasing functional $\mathscr{I}(t)$ is indefinite since $\alpha^{2}-a \alpha<0$. To make use of the decrease of $\mathscr{I}$, observe that

$$
\begin{aligned}
e^{2 \alpha t} E(t, u) & =\int_{\Omega}\left(\left|v_{t}-\alpha v\right|^{2}+|\nabla v|^{2}\right) d x \\
& \leqq \int_{\Omega}\left(2\left|v_{t}\right|^{2}+2 \alpha^{2}|v|^{2}+|\nabla v|^{2}\right) d x \\
& \leqq 2 \mathscr{I}(t)+2 a_{\max } \alpha\|v(t)\|_{L_{2}}^{2}-\|\nabla v(t)\|_{L_{2}}^{2},
\end{aligned}
$$

where we have used the relation $2 a_{\max } \alpha+2\left(\alpha^{2}-a \alpha\right) \geqq 2 \alpha^{2}$. Let $\lambda$ be the smallest eigenvalue of $-\Delta$ with Dirichlet boundary conditions, that is

$$
\begin{equation*}
\lambda=\min _{\phi \in H_{1}(\Omega) \backslash\{0\}} \frac{\|\nabla \phi\|_{L_{2}}^{2}}{\|\phi\|_{L_{2}}^{2}} . \tag{11}
\end{equation*}
$$

Then the decrease of $\mathscr{I}$ and the inequality for $E$ yield

$$
E(t, u) \leqq 2 e^{-2 \alpha t} \mathscr{I}(0)+\left(a_{\max } a_{\min }-\lambda\right)\|u(t)\|_{L_{2}}^{2}
$$

In addition,

$$
\begin{aligned}
\mathscr{I}(0) & =\int_{\Omega}\left(\left|u_{t}(0)+\alpha u(0)\right|^{2}+|\nabla u(0)|^{2}+\left(\alpha^{2}-a \alpha\right)|u(0)|^{2}\right) d x \\
& \leqq E(0, u)+\int_{\Omega}\left(\left|u_{t}(0)\right|^{2}+\left(3 \alpha^{2}-a \alpha\right)|u(0)|^{2}\right) d x \\
& \leqq \max \left(2, \frac{\alpha^{2}}{\lambda} E(0, u)\right),
\end{aligned}
$$

where we have used the estimate $3 \alpha^{2}-a \alpha \leqq \alpha^{2}$. Substituting the above estimate for $\mathscr{I}(0)$ into the inequality for $E(t, u)$ yields (10).

If $u$ is a solution with finite energy, say $u=\pi_{1}(S(t) h)$, we choose $h_{n}=\left(\phi_{n}, \psi_{n}\right) \in$ $\left(C_{0}^{\infty}(\Omega)\right)^{2}$ with $\left(\phi_{n}, \psi_{n}\right) \rightarrow h$ in $H$, and let $u_{n}=\pi_{1} S(t) h_{n}$. Then $u_{n} \in C^{\infty}(\mathbb{R} \times \bar{\Omega})$ and $E\left(t, u_{n}\right) \rightarrow E(t, u)$ by virtue of the continuity of $S$. Applying inequality (10) to $u_{n}$ and passing to the limit $n \rightarrow \infty$ proves the theorem.

Theorem 1 has strong implications for the spectrum of $S(t)$. On $H$, let \|| be the continuous seminorm defined by

$$
|(\phi, \psi)|^{2}=\int_{\Omega}|\phi|^{2} d x .
$$

This seminorm is compact in the following sense.
Definition. If $Y$ is a Banach space and $p: Y \rightarrow \mathbb{R}$ is a continuous seminorm, then $p$ is compact if every bounded sequence $\left\{y_{n}\right\}$ in $Y$ has a subsequence $\left\{y_{n_{k}}\right\}$ such that $p\left(y_{n_{k}}-y_{n_{l}}\right) \rightarrow 0$ as $k, l \rightarrow \infty$.

Notice that if $\left\{\left(\phi_{n}, \psi_{n}\right)\right\}$ is bounded in $H$, then $\left\{\phi_{n}\right\}$ is bounded in $\stackrel{\circ}{H}_{1}(\Omega)$. Hence by Rellich's theorem there is a subsequence $\left\{\phi_{n_{k}}\right\}$ convergent in $L_{2}(\Omega)$.

This proves the compactness of $|\mid$. As a second observation note that if $A: Y \rightarrow Y$ is a continuous linear map and $p: Y \rightarrow \mathbb{R}$ is a compact seminorm, then $p \circ A$ is a compact seminorm. For any $t>0$, let $q: H \rightarrow \mathbb{R}$ be the seminorm defined by $q(h)=|S(t) h|$. The above remarks show that $q$ is compact. The basic estimate (10) shows that

$$
\begin{equation*}
\|S(t) h\|_{H} \leqq c_{1} e^{-t a_{\min } / 2}\|h\|_{H}+\sqrt{c_{2}} q(h) \tag{12}
\end{equation*}
$$

Though this is not as strong as the estimate $\|S(t)\| \leqq$ const $e^{-t a_{\min } / 2}$, we will show that it is also not much weaker (see Theorem 4).

First we study the spectrum $\sigma(S(t))$ of $S(t)$. Recall that an isolated point $\lambda$ of $\sigma(S)$ is an eigenvalue of finite multiplicity if $[z-S]^{-1}$ has a pole at $z=\lambda$. The (geometric) multiplicity of $\lambda$ as an eigenvalue is the rank of the projection

$$
(2 \pi i)^{-1} \oint_{\Gamma}(z-S)^{-1} d z,
$$

where $\Gamma$ is a circle enclosing $\lambda$ but no other part of $\sigma(S)$.
Theorem 2. Let $D$ be the disc $\left\{z\left||z| \leqq c_{1} e^{-t a_{\mathrm{min}} / 2}\right\}\right.$. Then $\sigma(S(t)) \cap \mathbb{C} \backslash D$ is a discrete subset of $\mathbb{C} \backslash D$ consisting only of eigenvalues of finite multiplicity.

Theorem 2 follows by applying the next lemma, which is a standard Fredholm type result (proof omitted).

Lemma. Suppose $H$ is a Hilbert space, $S: H \rightarrow H$ is a bounded linear transformation, and $q$ a compact seminorm on $H$. If

$$
\|S h\|_{H} \leqq C\|h\|_{H}+C^{\prime} q(h), \quad h \in H
$$

then $\sigma(S) \cap\{|z|>C\}$ consists of isolated eigenvalues with finite multiplicity.
If $G$ is the generator of the group $S(t)$, then $\sigma(G) \subset\{\operatorname{Re} z \leqq 0\}$. The following more detailed result also holds.

Theorem 3. We have $\sigma(G) \subset\{\operatorname{Re} z<0\}$. Moreover for any $\varepsilon>0$ the set $\sigma(G) \cap$ $\left\{\operatorname{Re} z \geqq \varepsilon+a_{\min } / 2\right\}$ consists of a finite number of isolated eigenvalues of finite multiplicity.

Proof. The second assertion follows from Theorem 2 and the basic spectral theory of semigroups, for example, Theorems 16.7.1 and 16.7.2 of [3]. It remains to show that there are no purely imaginary eigenvalues. If $G h=i \mu h$ with $\mu \in \mathbb{R}$, and $h=(\phi, \psi) \in H$, then

$$
0=\operatorname{Re}(G h, h)=-2 \int_{\Omega} a|\psi|^{2} d x
$$

Since $a>0$ we see that $\psi=0$. The equation $G h=i \mu h$ implies $\psi=i \mu \phi$. Hence if $\mu \neq 0$ it follows that $h=0$. If $\mu=0$ we have $G h=0$, so $\Delta \phi=a \psi$. Now $\psi=0$, whence $\phi \in \check{H}_{1}(\Omega)$ and $\Delta \phi=0$. Thus $\phi=0$ and again $h=0$. This completes the proof.

Fix $\varepsilon>0$ and let $\Gamma$ be a smooth simple closed curve enclosing the eigenvalues of $G$ with real parts greater than or equal to $\varepsilon-a_{\text {min }} / 2$, but enclosing no other
part of $\sigma(G)$. Let $P$ be the corresponding spectral projection, that is,

$$
P=\frac{1}{2 \pi i} \oint_{\Gamma}(z-G)^{-1} d z .
$$

By Theorem 3, $P$ has finite rank. The general spectral theory of closed operators shows that the decomposition $H=P H \oplus(I-P) H$ reduces $G$ and $S$ in the following sense:
(i) $S(t)$ maps $P H$ and $(I-P) H$ into themselves.
(ii) $P H \subset D(G)$, and the generator of the group $\left.S(t)\right|_{P H}$ is $\left.G\right|_{P H}$. The generator of $\left.S(t)\right|_{(I-P) H}$ is $\left.G\right|_{D(G) \cap(I-P) H}$.
(iii) $\sigma\left(\left.S(t)\right|_{P H}\right) \subset\left\{|z| \geqq e^{\ell\left(t-a_{\min } / 2\right)}\right\}$
and

$$
\sigma\left(\left.S(t)\right|_{(I-P) H}\right) \subset\left\{|z| \leqq e^{t\left(\varepsilon^{\prime}-a_{\min } / 2\right)}\right\} \text { for some } \varepsilon^{\prime} \in[0, \varepsilon) .
$$

From this we derive the following basic decay theorems.
Theorem 4. For $\varepsilon>0$, let $P$ be the spectral projection of $G$ corresponding to the eigenvalues of $G$ with real part $\geqq-a_{\text {min }} / 2+\varepsilon$. Then $H=P H \oplus(I-P) H, P H$ is finite dimensional, both $P H$ and $(I-P) H$ are invariant under $S(t)$, and there is an $\varepsilon^{\prime}<\varepsilon$ such that

$$
\begin{equation*}
\|S(t)\|_{(I-P) H} \| \leqq C e^{t\left(\xi^{\prime}-a_{\min } / 2\right)}, \quad t \geqq 0 . \tag{14}
\end{equation*}
$$

Proof. All that needs to be proved is the decay estimate. Let $\tilde{S}=\left.S(1)\right|_{(I-P) H}$. Then by (iii), $\sigma(\tilde{S}) \subset\{|z| \leqq \rho\}$ for some $\rho<e^{-a_{\min } / 2+\varepsilon}$. The spectral radius formula now implies

$$
\rho=\lim _{n \rightarrow \infty}\left\|\tilde{S}^{n}\right\|^{1 / n}=\lim \left\|\left.S(n)\right|_{(I-P) H}\right\|^{1 / n} .
$$

It follows that

$$
\left\|\left.S(n)\right|_{(I-P) H}\right\| \leqq \text { const. } e^{\left(\varepsilon^{\prime}-a_{\min } / 2\right) n}
$$

for $n=0,1,2, \ldots$. Since $S$ is a contraction semigroup for $t \geqq 0$, we have

$$
\left\|\left.S(t)\right|_{(I-P) H}\right\| \leqq\left\|\left.S([t])\right|_{(I-P) H}\right\| \leqq \text { const. } e^{\left(\varepsilon^{\prime}-a_{\min } / 2\right)[t]}
$$

where [] is the greatest integer function. This inequality implies the desired estimate.

The above theorem renders precise the heuristic description of decay described in the introduction.

Corollary 5. If $r=\sup \{\operatorname{Re} z \mid z \in \sigma(G)\}$ and $r>-a_{\min } / 2$, then there is an integer $m$ and a real number $c$ such that for all $t \geqq 0$ we have

$$
\begin{equation*}
\|S(t)\| \leqq c\left(1+t^{m}\right) e^{r t} \tag{15}
\end{equation*}
$$

Proof. Choose $\varepsilon>0$ so that $\varepsilon-a_{\text {min }} / 2<r$, and then write $H=P H \oplus(I-P) H$ as in Theorem 4. The estimate (14) suffices for the consideration of $\left.S\right|_{(I-P) H}$. How-
ever, $P H$ is finite dimensional and $\sigma\left(\left.G\right|_{P H}\right) \subset\{\operatorname{Re} z \leqq r\}$, so for $t \geqq 0$

$$
\left\|\left.S(t)\right|_{P H}\right\| \leqq \text { const. }\left(1+t^{m}\right) e^{r t}
$$

with $m+1 \leqq \operatorname{dim} P H$.
Remark. It is a simple matter to derive decay estimates for the higher derivatives of $u$. For example, if $h \in D(G)$ and $u=\pi_{1}(S(t) h)$, then $u_{t}=\pi_{1}(S(t) G h)$ is also a finite energy solution of (1), (2). Hence $E\left(t, u_{t}\right)$ decays exponentially, and in particular $\left\|u_{t}\right\|_{L_{2}}$ and $\left\|u_{t t}\right\|_{L_{2}}$ decay like $e^{-(r-\varepsilon) t}$. From equation (1) it follows that $\|\Delta u\|_{L_{2}}$ decays at the same rate. Thus $\|u\|_{H_{2}(\Omega)}=O\left(e^{-(r-\varepsilon) t}\right)$, since $\Delta: H_{2}(\Omega) \cap \dot{H}_{1}(\Omega) \rightarrow$ $L_{2}(\Omega)$ is an isomorphism. In the same way, if $h \in D\left(G^{k}\right)$ then $\left\|D_{t, x}^{\beta} u\right\|_{L_{2}}=O\left(e^{-(r-\varepsilon) r}\right)$ for $|\beta| \leqq k+1$.

The occurence of eigenvalues of $G$ with real part greater than $-a_{\text {min }} / 2$ is connected with overdamping. If $a_{\text {max }}$ is sufficiently small, one might expect that these eigenvalues do not occur and that $\|S(t)\|=O\left(e^{t\left(\varepsilon-a_{\min } / 2\right)}\right)$ as $t \rightarrow \infty$ for any $\varepsilon>0$.

Theorem 6. Let $\lambda$ be the smallest eigenvalue of $-\Delta$ with Dirichlet boundary conditions. If

$$
a_{\min }\left(2 a_{\max }-a_{\min }\right)<4 \lambda
$$

then $\sigma(G) \subset\left\{\operatorname{Re} z \leqq-a_{\text {min }} / 2\right\}$.
Remark. If $a$ is constant the above inequality becomes $4 \lambda>a^{2}$. Since $\lambda=-\lambda_{1}$ in the notation of (6), this is precisely the condition on $a$ needed to rule out overdamping.

Proof. Suppose there is a value $\mu$ with $\operatorname{Re} \mu>-a_{\min } / 2$ and an $h \in H \backslash\{0\}$ such that $G h=i \mu h$. If $h=(\phi, \psi)$ then $u=e^{\mu t} \phi$ satisfies (1), (2). We now apply the method of proof used in Theorem 1. Let $\alpha=a_{\min } / 2$ and $v=e^{\alpha t} u$. Then

$$
\begin{aligned}
e^{2 \alpha t}\|\nabla u\|_{L_{2}}^{2} & \leqq E(t, v) \\
& \leqq \mathscr{F}(t)+\left(\alpha a_{\max }-\alpha^{2}\right)\|v(t)\|_{L_{2}}^{2} \\
& \leqq \mathscr{I}(0)+\left(\alpha a_{\max }-\alpha^{2}\right) e^{2 \alpha t}\|u(t)\|_{L_{2}}^{2} .
\end{aligned}
$$

Since $h \neq 0$ and $G h=i \mu h$, it follows that $\phi \neq 0$. Hence $\|u(t)\|_{L_{2}} \neq 0$. Then as $t \rightarrow \infty$

$$
\frac{\|\nabla \phi\|_{L_{2}}^{2}}{\|\phi\|_{L_{2}}^{2}}=\frac{\|\nabla u\|_{L_{2}}^{2}}{\|u\|^{2}}=\alpha a_{\max }-\alpha^{2}+o(1) .
$$

Letting $t \rightarrow \infty$ we have

$$
\lambda \leqq \frac{\|\nabla \phi\|_{L_{2}}^{2}}{\|\phi\|_{L_{2}}^{2}} \leqq \alpha a_{\max }-\alpha^{2}
$$

that is, $4 \lambda \leqq a_{\text {min }}\left(2 a_{\text {max }}-a_{\text {min }}\right)$.
Remark. If one applies estimate (10) to the solution $u$, this gives the weaker estimate $2 \lambda \leqq a_{\max } a_{\text {min }}$. In particular, when $a$ is constant this does not give a sharp result. The reason is that in (10) the need to estimate $\left\|u_{t}\right\|_{L_{2}}^{2}$ introduces some leeway into the estimate for $\nabla u$.

Based on Theorem 6 and the case $a=$ constant, we make the following conjecture:

If $\lambda_{N}$ is the $N$ th largest (counting multiplicity) eigenvalue of $-\Delta$ with Dirichlet boundary conditions, and if $a_{\max }^{2}<4 \lambda_{N}$, then there are at most $N$ eigenvalues (counting multiplicity) of $G$ with real part larger than $-a_{\text {min }} / 2$.

We have been concerned with upper bounds for $S(t)$, and the theme has been that "essentially" $\|S(t)\| \leqq$ const. $e^{-t a_{\min } / 2}$. There is a corresponding lower bound which, somewhat surprisingly, is true without qualification.

Theorem 7. If $u$ is a finite energy solution of (1), (2), then there is a positive constant $c$ such that

$$
E(t, u) \geqq c e^{-a_{\max } t} E(0, u), \quad t \geqq 0 .
$$

Proof. Let $\alpha=a_{\max } / 2$ and $v=e^{\alpha t} u$. Then for $v$ we have the differential equation

$$
v_{t t}-\Delta v+\left(\alpha^{2}+a \alpha\right) v=\left(a_{\max }-a\right) v_{t} .
$$

Since $a_{\text {max }}-a \geqq 0$ the functional

$$
J(t)=\int_{\Omega}\left(\left|v_{t}(t)\right|^{2}+|\nabla v(t)|^{2}+\left(\alpha^{2}+a \alpha\right)|v(t)|^{2}\right) d x
$$

is increasing in $t$. Notice also that $\alpha^{2}+a \alpha \geqq 0$, so the norms $J^{1 / 2}$ and $E(t, v)^{1 / 2}$ are equivalent. Thus, for positive constants independent of $t, u, v$, we have

$$
\begin{aligned}
e^{-a_{\max } t} E(t, u) & \geqq \text { const. } E(t, v) \\
& \geqq \text { const. } J(t) \geqq \text { const. } J(0) \\
& \geqq \text { const. } E(0, v) \geqq \text { const. } E(0, u) .
\end{aligned}
$$

In terms of $S$ this theorem asserts that

$$
\|S(t) h\|_{H} \geqq c e^{-t a_{\max } / 2}\|h\|_{H}
$$

for all $t \geqq 0$ and $h \in H$. Since $S(-t)=S(t)^{-1}$ this shows that $\|S(-t)\| \leqq c e^{t a_{\max } / 2}$ for all $t \geqq 0$.

Corollary 8. $\sigma(G) \subset\left\{z \mid \operatorname{Re} z \geqq-a_{\max } / 2\right\}$.
Proof. For $\operatorname{Re} z<-a_{\max } / 2$ we have

$$
(G-z I)^{-1}=\int_{-\infty}^{0} e^{-z t} S(t) d t
$$

an absolutely convergent integral central in the theory of semigroups (see [3]).
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