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# *In vivo* stability and biocompatibility of implanted calcium alginate disks

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Elizabeth A. Nunamaker, Erin K. Purcell, Daryl R. Kipke

Department of Biomedical Engineering, University of Michigan, Ann Arbor, Michigan 48109-2099

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**Abstract:** Alginate is a commonly used biomedical hydrogel whose *in vivo* degradation behavior is only beginning to be understood. The use of alginate in the central nervous system is gaining popularity as an electrode coating, cell encapsulation matrix, and for duraplasty. However, it is necessary to understand how the hydrogel will behave *in vivo* to aid in the development of alginate for use as a neural interface material. The goal of the current study was to compare the rheological behavior of explanted alginate disks and the inflammatory response to subcutaneously implanted alginate hydrogels over a 3-month period. Specifically, the effects due to (1) *in situ* gelling, (2) diffusion gelling, and (3) use of a poly-L-lysine (PLL) coating were investigated. While all samples' com-

plex moduli decreased 80% in the first day, *in situ* gelled alginate was more stable for the first week of implantation. The PLL coating offered some stability increases for diffusion gelled alginate, but the stability in both conditions remained significantly lower than that in *in situ* gelled alginate. There were no differences in biocompatibility that clearly suggested one gelation method over another. These results indicate that *in situ* gelation is the preferred method in neural interface applications where stability is the primary concern. © 2007 Wiley Periodicals, Inc. *J Biomed Mater Res* 83A: 1128–1137, 2007

**Key words:** hydrogel; alginate; degradation; biocompatibility

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## INTRODUCTION

Recently, there have been several reports using alginate in advanced neural interface technologies. These include microelectrode coatings, cell scaffolds, neural stem cell encapsulation, and development of an artificial dura mater.<sup>1–7</sup> Alginate shows promise and utility as a material in the development of advanced neural interfaces. Its long-term biocompatibility, mild reaction conditions that allow hydrogel cross-linking while in direct contact with neural tissues, and minimal risk of seizure activity in rats are especially important considering the imminent and prolonged contact of the hydrogel components with neural tissues.<sup>1,8–14</sup> Unfortunately, little is known about the long-term stability of alginate, which may be important to the lifetime of advanced neural prosthetic devices incorporating this material.

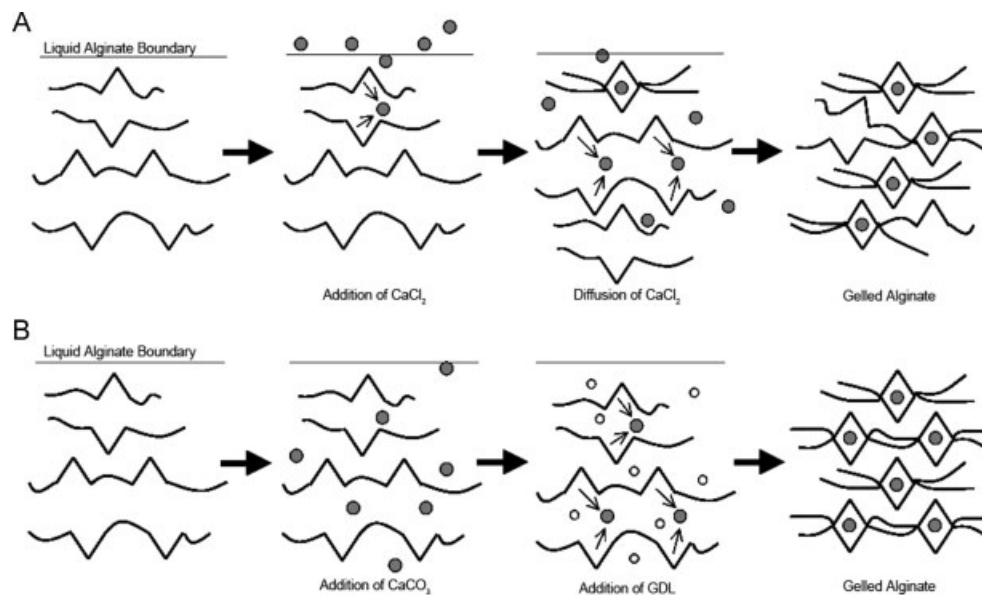
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Correspondence to: D.R. Kipke; e-mail: dkipke@umich.edu

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Alginate is a naturally occurring polysaccharide copolymer that can be used to create hydrogels with a broad range of material properties. Derived from brown algae, alginate is composed of blocks of both  $\beta$ -D-mannuronic acid (M) and  $\alpha$ -L-guluronic acid (G) linked together by 1,4-bonds.<sup>15,16</sup> Alginate is water soluble and can be ionically cross-linked by various divalent ions.<sup>17</sup> Currently  $\text{Ca}^{2+}$  is preferred to cross-link alginate for biomedical applications because of the mild reaction conditions compared to the cellular toxicity of both  $\text{Ba}^{2+}$  and  $\text{Sr}^{2+}$ .<sup>10,17–19</sup> In the process of cross-linking, the calcium ions interact with the carboxyl groups of the G blocks of two neighboring alginate chains forming an “egg box” orientation.<sup>20</sup> Because of the large variety of alginate sources and G and M block ratios, the properties of the resulting cross-linked gels can be highly variable. Alginate with a higher G content typically results in stronger gels; while alginate with a higher M content yields more flexible gels.<sup>21</sup>

Two methods of gelation have been extensively described and used to create alginate hydrogels: diffusion gelling and *in situ* gelling (Fig. 1).<sup>10,17,19,22–30</sup>  $\text{CaCl}_2$  is a commonly used calcium source that relies on diffusion to cross-link alginate.  $\text{Ca}^{2+}$  can readily dissociate from the  $\text{Cl}^-$  to cross-link the first carboxyl



**Figure 1.** Diffusion and *in situ* gelling of liquid alginate. (A) Diffusion gelling. Calcium ions diffuse through the liquid alginate boundary, cross-linking alginate strands as the ions move through the volume of alginate. Cross-links are not uniformly distributed throughout the gel. (B) *In situ* gelling. CaCO<sub>3</sub> is mixed with alginate to create a homogeneous mixture (black circles). D-Glucono- $\delta$ -lactone (GDL, grey circles) is added to acidify the solution and release calcium ions, making them available for cross-linking. The resultant hydrogel has a uniform distribution of cross-links.

groups with which it comes into contact. This rapid reaction creates a skin around the volume of liquid alginate. Subsequently, the calcium ions diffuse inward (i.e., diffusion gelling), increasing the skin's thickness as the alginate bolus is cross-linked.<sup>31,32</sup> Although this results in rapid gel formation, the resulting hydrogel structure is highly variable.<sup>12,32</sup> Despite this, diffusion gelling remains an ideal approach that is widely used for rapidly encapsulating cells in microspheres of alginate.<sup>23,33–37</sup>

CaCO<sub>3</sub> and CaSO<sub>4</sub> are commonly used Ca<sup>2+</sup> sources that rely on internal gelling through the release of calcium ions *in situ*. In the case of CaCO<sub>3</sub>, poorly soluble calcium ions in the form of CaCO<sub>3</sub> are homogeneously mixed with alginate and then the Ca<sup>2+</sup> is solubilized by the addition of catalyst, such as D-glucono- $\delta$ -lactone (GDL). GDL slowly acidifies the alginate:CaCO<sub>3</sub> solution, driving the release of Ca<sup>2+</sup>.<sup>26,38</sup> Calcium ions bind to the nearest available carboxyl groups on the alginate molecules, cross-linking the hydrogel in a spatially uniform manner. This method can be time intensive due to the time required for the hydrolysis of GDL and subsequent calcium release; however, the resultant hydrogel has a more uniform and reproducible structure.<sup>23,26,38</sup>

Diffusion gelled alginate has previously been shown to destabilize both *in vitro* and *in vivo*.<sup>39–41</sup> Prolonged exposure to sodium ions decreases both compressive and shear stiffness of alginate, suggesting that physiological conditions will soften the gel over a time period of up to 7 days after gelation.<sup>40</sup> Further, LeRoux et al. showed that high M alginate

hydrogels exhibited a significant decrease in complex modulus but retained solid-like behavior after 7 days of exposure to 0.15M NaCl and 1.8 mM CaCl<sub>2</sub>.<sup>40</sup> Kong et al., however, demonstrated the superior retention of elastic modulus of high G alginate over high M alginate implanted up to 35 days.<sup>39</sup> This effect is due to calcium's higher affinity for G sites than M sites, resulting in a more dense distribution of cross-links in a high G concentration hydrogels. This dense distribution of cross-links enhances the stability of high G alginate hydrogels after prolonged exposure to the inert electrolytes found *in vivo*, thereby decreasing the effect of ion exchange at the cross-link site.<sup>39</sup> While these previous studies support our current investigations of high G alginate stability *in vivo*, there are currently no studies that investigate stability differences based on gelation method.

Poly-L-lysine (PLL) coatings are commonly used to stabilize alginate capsules and provide a barrier to immune system components such as IgG in cell encapsulation applications.<sup>42,43</sup> Unfortunately, there is evidence that the PLL coating itself may be toxic to encapsulated cells and cause an unfavorable foreign body response.<sup>44–47</sup> Additionally, claims that PLL coatings improve alginate mechanical stability have been based on *in vitro* findings. PLL-coated alginate capsules seeded with proliferative cells remained intact for longer periods of time compared to noncoated capsules in culture.<sup>37,48</sup> Given the potential toxicity of PLL on encapsulated cells, these results may be confounded by a slight reduction in

**TABLE I**  
**The Gelation Conditions for All Hydrogels to be Implanted Subcutaneously and Analyzed via Rheology or Histology**

	Experiment	Alginate Volume (ml)	Cross-linker Volume	GDL (mg)	PLL Coating	<i>n</i> per Time Point
CaCO <sub>3</sub>	Histology	0.5	5 mg	7.1	No	6
	Rheology	2	20 mg	28.4	No	5
CaCl <sub>2</sub>	Histology	0.5	0.5 mL; 200 mM	0	No	6
	Rheology	2	2 mL; 200 mM	0	No	5
CaCl <sub>2</sub> /PLL	Histology	0.5	0.5 mL; 200 mM	0	Yes	6
	Rheology	2	2 mL; 200 mM	0	Yes	5

cell proliferation, which could in turn result in more stable capsules. While quantitative testing has revealed that increased PLL membrane thickness corresponds with more mechanically stable nonseeded capsules *in vitro*, quantitative *in vivo* mechanical testing has not been reported in the literature.<sup>49</sup> Given that polycation coatings remain controversial after 25 years of research due to questionable biocompatibility, and that quantitative *in vivo* material testing data is lacking, there is a clear need to explore the *in vivo* biocompatibility and stability of PLL-coated alginate in comparison to uncoated alginate.<sup>50</sup>

In the current study, we investigated alginate disks cross-linked with CaCl<sub>2</sub> or CaCO<sub>3</sub> and measured each of the following parameters over time: (1) weight changes, (2) complex modulus, (3) loss angle, and (4) biological response to the subcutaneously implanted disks. Additionally, we investigated the effects of PLL coatings on CaCl<sub>2</sub> cross-linked alginate disks on these same four parameters. The goal of this paper was to identify stability and biocompatibility differences in diffusion gelled and *in situ* gelled alginate samples and evaluate the necessity of PLL coatings for enhanced stability. Specifically, we wanted to identify any advantages (biocompatibility or stability) of the specific gelation methods and hydrogel coating to guide future development of advanced neural interface technologies.

## MATERIALS AND METHODS

### Alginate hydrogel preparation

High G content sodium alginate (66% G acid) was acquired from Novamatrix (Pronova UP LVG, Drammen, Norway). Noncoated hydrogels were prepared as previously described, but with sterilized chemical components.<sup>7</sup> Briefly, 1.95 wt % 43 mPa s alginate was cross-linked following one of the reaction schemes outlined in Figure 1. The gelation method and volume of the resulting hydrogels for each specific experiment is outlined in Table I. Rheology hydrogels were created in a sterile 10 mL beaker: 2 mL alginate was reacted with (1) 100 mM CaCO<sub>3</sub> (Sigma, St. Louis, MO) and 80 mM GDL (Sigma, St. Louis, MO) (final concentrations), or (2) 2 mL 200 mM CaCl<sub>2</sub> (Sigma, St. Louis, MO) (Table I). Histology hydrogels were created in a single well

of a sterile 24-well tissue culture plate: 500  $\mu$ L of alginate was reacted with (1) 100 mM CaCO<sub>3</sub> and 80 mM GDL (final concentrations), or (2) 500  $\mu$ L 200 mM CaCl<sub>2</sub> (Table I). Hydrogels created via *in situ* gelling (CaCO<sub>3</sub>) were well mixed before addition of GDL to ensure homogeneous distribution of cross-links throughout the gel. Hydrogels created via diffusion gelling (CaCl<sub>2</sub>) were cross-linked by slowly pipetting the CaCl<sub>2</sub> solution onto the bolus of alginate. All gels were allowed to fully cross-link under sterile conditions for 12 h prior to implantation.

To test the effect of a PLL coating, CaCl<sub>2</sub> hydrogels made for rheology and histology were additionally coated with PLL (CaCl<sub>2</sub>/PLL) as described by Strand et al. (Table I).<sup>51</sup> Briefly, alginate disks were rinsed in physiological saline and incubated for 10 min in 0.1% PLL in saline. PLL-HCl (15,000–30,000 MW) was obtained from Sigma (St. Louis, MO). Disks were rinsed again in saline and incubated for 10 min in 0.1% alginate in saline. Disks were rinsed a final time in saline prior to implantation. To maintain similarity to PLL coated hydrogels, CaCl<sub>2</sub> gels were also rinsed in saline several times prior to implantation.

### Surgery

Alginate hydrogel stability and biocompatibility were tested in 300 g Sprague Dawley rats (Charles River Laboratories, Wilmington, MA). All procedures were approved by the University of Michigan Committee on Use and Care of Animals. Anesthesia was administered using an intraperitoneal injection of an anesthetic cocktail (comprised of Ketamine, Xylazine, and Acepromazine, each at concentrations of 100 mg/mL and a respective mixing ratio of 5:0.5:1) administered at 0.15 mL/100 g body weight. Rats were indicated for rheology or histology before surgical implantation due to the size difference in the gels. All hydrogels were implanted in separate subcutaneous pouches created on the abdomen and the skin was securely closed with surgical staples.

Forty-eight rats were used in the rheology study, each receiving four hydrogels. The hydrogel conditions (CaCO<sub>3</sub>, CaCl<sub>2</sub>, or CaCl<sub>2</sub>/PLL) were chosen at random for each animal, but no animal received more than two gels of the same condition. At the time of hydrogel recovery, the rats were deeply anesthetized with anesthetic cocktail (0.3 mL/100 g body weight) and then euthanized with a cardiac injection of 1 mL Fatal-Plus<sup>®</sup> (Pentobarbital 390 mg/mL, Vortech Pharmaceutical, Dearborn, MI). Five hydrogels per condition were recovered at each time point (1, 3, 5, 7, 14, 21, and 90 days) by removing the hydrogel from the subcutaneous

pouch. All hydrogels were weighed and rheological measurements taken immediately following explantation.

Twelve rats were utilized in the histology study. Each rat was implanted with two gels per condition for all conditions (CaCO<sub>3</sub>, CaCl<sub>2</sub>, and CaCl<sub>2</sub>/PLL), resulting in a total of six implants per animal. At each predetermined time point (7, 14, 21, and 90 days), the hydrogels were recovered from three rats per time point to evaluate the biological response to the implants. Rats were euthanized in the same manner as described for the rheology study. The tissue and alginate disk were explanted *en bloc* and treated with Bouin's Fixative for 24 h.

### Disk weight change

To determine the swelling/shrinking behavior of the hydrogels, all gels indicated for rheology were weighed prior to implantation. Immediately upon explantation, all gels were weighed again to determine an increase or decrease in disk weight. The average weight difference and standard error were calculated for each hydrogel condition (CaCO<sub>3</sub>, CaCl<sub>2</sub>, and CaCl<sub>2</sub>/PLL) and time point (1, 3, 5, 7, 14, 21, and 90 days).

### Rheology: complex modulus and loss angle

The viscoelastic behavior of the hydrogels was tested with a parallel plate rheometer (AR 550, TA Instruments, New Castle, DE) using a 25-mm plate at 37°C. The alginate samples were compressed to 10% and subjected to a 1% strain across a frequency sweep of 1–100 rad/s at each level. Sandpaper (150-grit) was placed on the plate surfaces to minimize the occurrence of slip at the plate/sample interface. The storage ( $G'$ ) and loss moduli ( $G''$ ) were calculated by the rheometer and recorded for further analysis.

The complex modulus ( $G^*$ ), which represents the frequency-dependent stiffness of the hydrogel, and loss angle,  $\delta$ , which provides a relative measure of viscous effects to elastic effects in a material, were calculated as previously described.<sup>7</sup> Low values of  $\delta$  indicate minimal internal damping, a result of energy dissipation and internal friction in deformation cycles due to internal entanglements and cross-link density ( $\delta = 0^\circ$ , elastic solid;  $\delta = 90^\circ$ , Newtonian viscous fluid).<sup>40</sup> The response of alginate to shear is a good indicator of the fatigue resistance and how it will perform *in vivo*. Brain tissue typically exhibits a complex modulus ranging from 1 to 24 kPa and alginate is expected to remain in this range.<sup>52</sup> For application in or on the brain, the frequency range of 1–5 Hz was chosen, as this represents the heart rate frequency range of humans and rats and therefore the highest oscillation rate the gels would be exposed to *in vivo*. Average  $G^*$  and  $\delta$  and the respective standard errors at 1 and 5 Hz were calculated.

### Histology

Tissue samples were dehydrated in a graded series of ethanol washes (10–70%), embedded in paraffin, and sectioned with a microtome. Two 8- $\mu$ m-thick sections per

implant were each stained with hematoxylin and eosin (H&E) or Masson's trichrome (MT) based on previous reports.<sup>53,54</sup> H&E-stained sections were used to detect the presence of inflammatory response cells.

MT-stained sections were used to assess the degree of cell infiltration surrounding the implant on a qualitative scale (0–4), and to quantitatively evaluate the thickness of the encapsulating collagen layer as described.<sup>41,53,55</sup> The scoring levels indicated increasing levels of infiltration: 0 – no cells present on the surface of the alginate; 1 – less than 50% of the surface area of alginate covered by cellular attachment indicating minor infiltration; 2 – greater than 50% of the surface area of alginate covered by cellular attachment indicating moderate infiltration; 3 – 100% of the surface area of alginate covered by cellular attachment indicated severe infiltration. Due to the amount of variation seen in both of these measurements, infiltration scores were taken from 10 separate hydrogel fragments in different regions of the same tissue section. Similarly, collagen thickness was measured around 10 separate hydrogel fragments by taking eight equally spaced measurements around each fragment. The repeated measures for each parameter (cell infiltration and encapsulation thickness) for a given tissue section were averaged, resulting in one infiltration score and one encapsulation thickness per section. For each parameter the results for a condition then were combined to calculate the average and standard error for each condition (7, 14, 21, and 90 days for CaCO<sub>3</sub>, CaCl<sub>2</sub>, and CaCl<sub>2</sub>/PLL).

### Statistics

All results were analyzed for normality and subsequently analyzed by the appropriate ANOVA (parametric or nonparametric). When indicated, the appropriate *post hoc* test was utilized to determine significant differences in the data. The statistical test used in each situation is reported with the results for each section of the study.

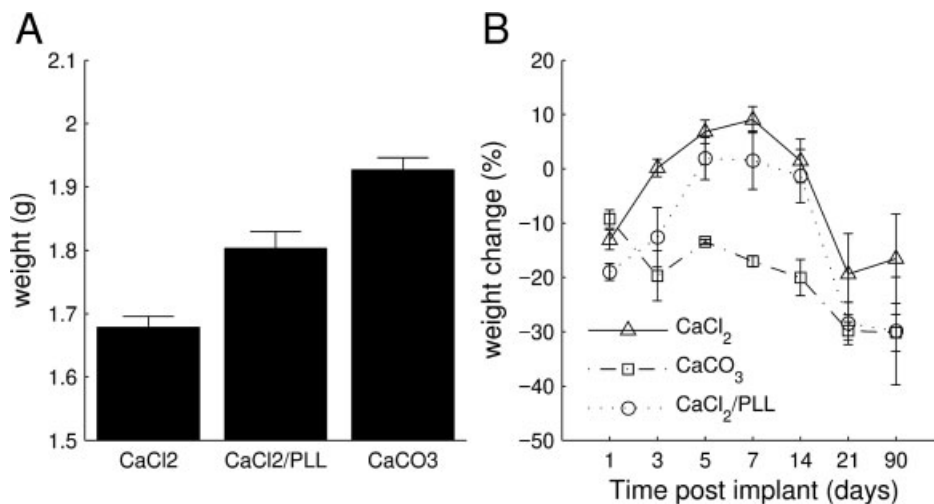
## RESULTS

### Disk weight change

Because of the nature of gelation and coating of the hydrogels, the gels had significantly different initial weights (Dunn's comparison test). CaCO<sub>3</sub> reacted alginate was significantly heavier than CaCl<sub>2</sub> or CaCl<sub>2</sub>/PLL reacted alginate ( $p < 0.001$ , Fig. 2A). CaCl<sub>2</sub>/PLL hydrogels were also significantly heavier than CaCl<sub>2</sub> reacted alginate ( $p < 0.001$ , Fig. 2A) due to the PLL coating of the hydrogel.

Hydrogel weights varied with duration of implant (Fig. 2B). CaCO<sub>3</sub> reacted hydrogels trended towards a continual decrease in weight over the entire time course ( $p < 0.001$ , Kruskal-Wallis ANOVA). CaCl<sub>2</sub> and CaCl<sub>2</sub>/PLL gels both decreased in weight on day 1, but subsequently demonstrated a significant weight gain up to day 7 before significantly decreasing





**Figure 2.** Differences in hydrogel weight. (A) On day 0, the alginate's weights were significantly different for each condition. CaCO<sub>3</sub> reacted gels were the heaviest initially ( $p < 0.001$ ). CaCl<sub>2</sub>/PLL hydrogel were significantly heavier than CaCl<sub>2</sub> reacted gels on day 0 ( $p < 0.001$ ). (B) Hydrogel weight changes with time. All of the hydrogels decreased in weight on day 1; however, the weights of the CaCl<sub>2</sub> and CaCl<sub>2</sub>/PLL hydrogels then increased until day 7. After day 7, these gels begin to decrease in weight for the remainder of the time course. CaCO<sub>3</sub> hydrogels decreased in weight over the entire time course.  $n = 5$ .

in weight for the remainder of the implant duration ( $p < 0.005$ , Kruskal–Wallis ANOVA). Both CaCO<sub>3</sub> and CaCl<sub>2</sub>/PLL hydrogels decreased approximately 30 % by day 90, but CaCl<sub>2</sub> only decreased 17%.

### Rheology: complex modulus and loss angle

$G^*$  varied with both experimental condition and time. No significant difference in  $G^*$  existed between experimental conditions initially ( $p = 0.099$ , ANOVA). A large decrease ( $> 80\%$ ) in  $G^*$  was determined for all experimental conditions for 1 day post implant ( $p < 0.001$ , Fig. 3A). By day 3,  $G^*$  was significantly greater for CaCO<sub>3</sub> reacted alginate than CaCl<sub>2</sub> or CaCl<sub>2</sub>/PLL. This phenomena continued until day 7 ( $p < 0.01$ , Fig. 3A), after which no significant differences in  $G^*$  existed between the hydrogels. CaCl<sub>2</sub>/PLL was significantly greater than CaCl<sub>2</sub> on day 7 ( $p < 0.01$ ). When the  $G^*$  data for the hydrogels were normalized to their initial (day 0) measurements,  $G^*$  for CaCO<sub>3</sub> was significantly greater than CaCl<sub>2</sub> or CaCl<sub>2</sub>/PLL for days 1–7 for both 1 and 5 Hz ( $p < 0.01$ , Fig. 3B and C) and CaCl<sub>2</sub>/PLL was significantly greater than CaCl<sub>2</sub> on day 7 ( $p < 0.01$ ). At day 14 and above, there was no significant difference in  $G^*$  between the three different conditions, but  $G^*$  increased significantly for all experimental conditions ( $p < 0.05$ ).

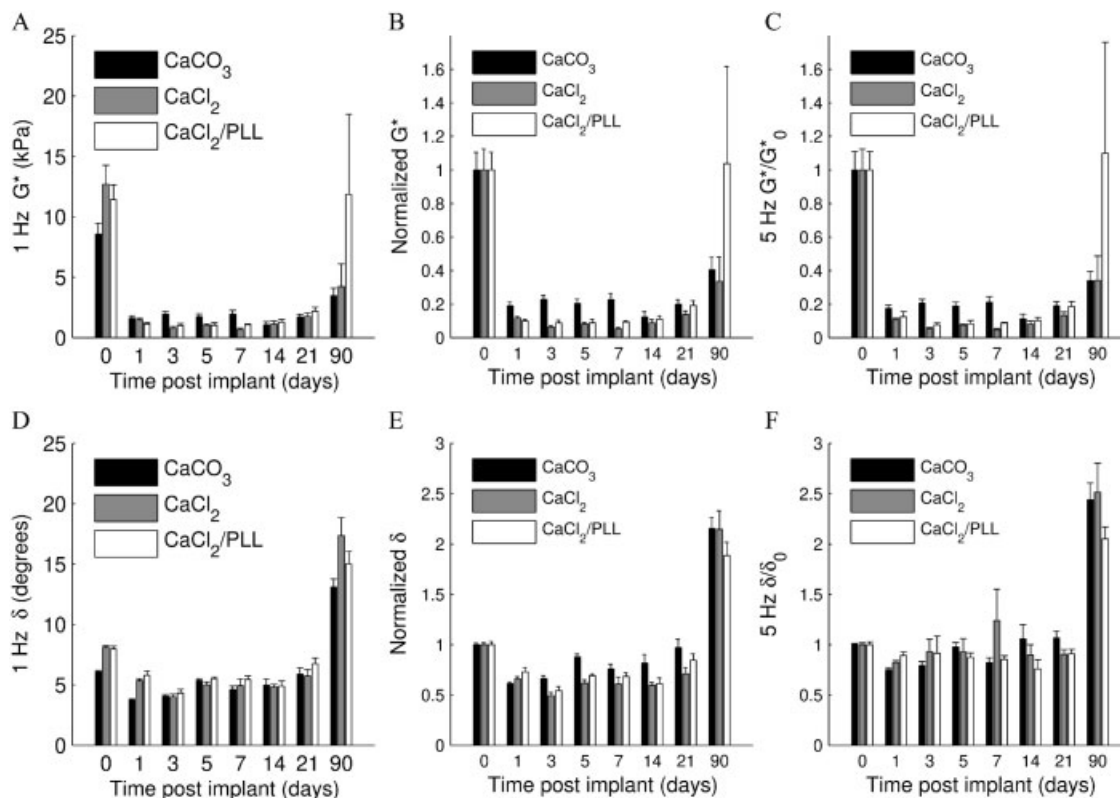
$\delta$  varied with experimental condition and time. CaCO<sub>3</sub> had a significantly lower  $\delta$  than CaCl<sub>2</sub> or CaCl<sub>2</sub>/PLL on days 0 and 1 ( $p < 0.001$ , ANOVA, Fig. 3D). All three conditions varied significantly with time ( $p < 0.001$ ).  $\delta$  significantly decreased for all conditions up to 3 days post implant ( $p < 0.01$ ) and then

slowly increased up to day 90, significantly exceeding initial  $\delta$  measurements by 2.5 times ( $p < 0.001$ ). When  $\delta$  was normalized to the initial measurements for each condition, no difference was detected until day 3 (Fig. 3E and F). On day 3, CaCO<sub>3</sub> was significantly greater than CaCl<sub>2</sub> ( $p < 0.01$ ), and on day 5, CaCO<sub>3</sub> was significantly greater than CaCl<sub>2</sub> and CaCl<sub>2</sub>/PLL ( $p < 0.01$ ) at both 1 and 5 Hz. There was no significant difference at any other time point.

### Histology

In general, CaCO<sub>3</sub> and PLL-coated implants were more intact than uncoated CaCl<sub>2</sub> implants at 7 days. After day 14, all disks displayed increasing levels of hydrogel fragmentation with collagen around each fragment, increasing the separation of the fragment from the main disk. Macrophages were seen in the tissue around all disks at all of the time points (Fig. 4). After 21 and 90 days, fibrosis and granulation tissue were present in the surrounding connective tissue and were indicative of normal wound healing (Fig. 4).

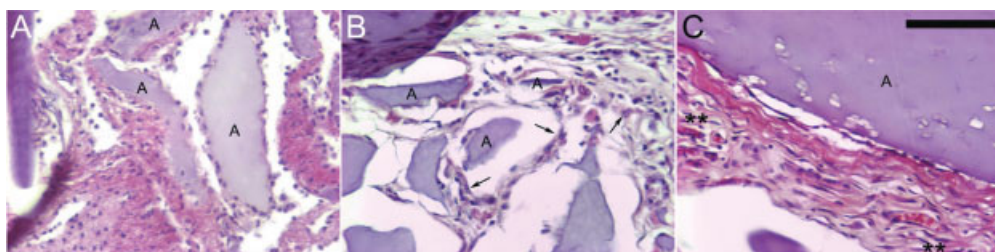
The encapsulation layer thickness varied with time (Fig. 5). Collagen encapsulation was low initially, then increased significantly at day 14 for CaCl<sub>2</sub> and CaCl<sub>2</sub>/PLL implants and 21 days for CaCO<sub>3</sub> hydrogels ( $p < 0.05$ , ANOVA). Encapsulation thickness was in the range of 15–35  $\mu\text{m}$  for all conditions at 14, 21, and 90 days post-implantation. CaCO<sub>3</sub> gels had significantly thicker encapsulation than other conditions on day 21 ( $p < 0.05$ ) but no other significant differences were detected.



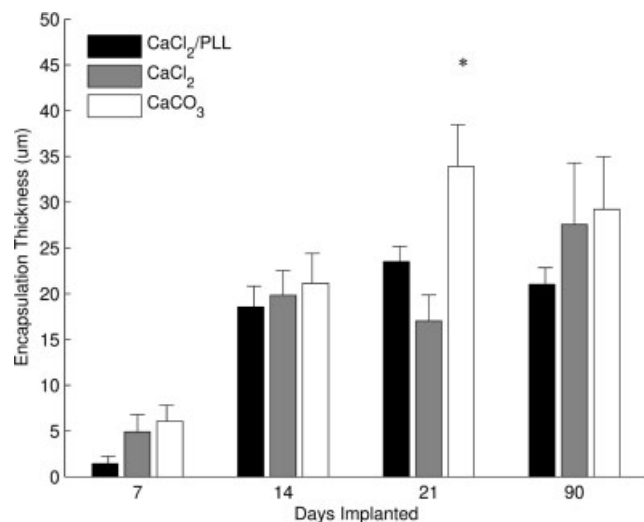
**Figure 3.**  $G^*$  and  $\delta$  changes over time at 10% compression. (A)–(C):  $G^*$  changes over time at 1 and 5 Hz. (D)–(F):  $\delta$  changes over time at 1 and 5 Hz. A. Raw data average  $G^*$  for each time point at 1 Hz. Initially,  $G^*$  for  $\text{CaCO}_3$  was smaller than  $G^*$  for either  $\text{CaCl}_2$  or  $\text{CaCl}_2/\text{PLL}$ , but increased by day 7 to become significantly greater than both conditions ( $p < 0.01$ ). After day 14,  $G^*$  increased for all conditions. B. Normalized  $G^*$  averages for each condition over time at 1 Hz.  $G^*$  for  $\text{CaCO}_3$  was greater than  $\text{CaCl}_2$  and  $\text{CaCl}_2/\text{PLL}$  for all time points except day 90. C. Normalized  $G^*$  averages for each condition over time at 5 Hz.  $G^*$  for  $\text{CaCO}_3$  remained greater than  $\text{CaCl}_2$  and  $\text{CaCl}_2/\text{PLL}$  for all time points except day 90. D. Raw data average  $\delta$  for each time point at 1 Hz.  $\delta$  for  $\text{CaCO}_3$  was lower than  $\text{CaCl}_2$  and  $\text{CaCl}_2/\text{PLL}$  until day 5. After day 14,  $\delta$  increased for all conditions ( $p < 0.001$ ). E. Normalized  $\delta$  averages for each condition over time at 1 Hz.  $\delta$  for all conditions decreased until day 3 and then increased to day 90. F. Normalized  $\delta$  averages for each condition over time at 5 Hz.  $\delta$  changes were smaller at 5 Hz than 1 Hz and no significant increase was detected until day 90 for all conditions.  $n = 5$ .

Cell infiltration of the alginate disks varied with time (Fig. 6). Cell infiltration was initially high (scores ranged from 0.9 to 1.5 on day 7), and then significantly

decreased for all conditions ( $p < 0.05$ , ANOVA). No significant differences in cell infiltration were detected between the conditions at any time point.



**Figure 4.** Inflammatory response to alginate over time. Micrographs are at 400 $\times$  magnification of HE stained sections. The scale bar in C represents 50  $\mu\text{m}$  in all micrographs. A.  $\text{CaCl}_2/\text{PLL}$  samples 7 days post implantation. Fragmentation of the alginate (A, not all labeled) has already begun and many macrophages and lymphocytes were present in the tissue and around the alginate. B.  $\text{CaCl}_2$  reacted alginate, 14 days post implantation. Fibroblasts had begun secreting collagen around the alginate fragments (A, not all labeled). Macrophages were still present in the tissue (indicated by arrows). C.  $\text{CaCO}_3$  reacted alginate, 90 days post implantation. A thick encapsulation layer was detectable around the alginate (A) filled with fibroblasts and new capillaries which comprise granulation tissue (\*\*). [Color figure can be viewed in the online issue, which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com).]



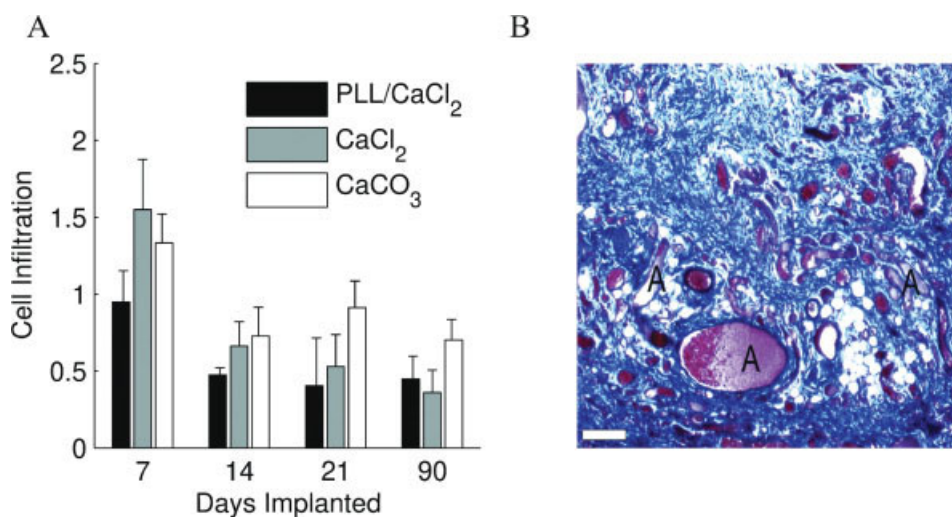
**Figure 5.** Collagen encapsulation thickness around alginate disks over time. Encapsulation thickness increased over time for all conditions ( $p < 0.05$ ). No difference existed between conditions except day 21; CaCO<sub>3</sub> reacted alginate had a significantly thicker encapsulation layer than CaCl<sub>2</sub>/PLL or CaCl<sub>2</sub> ( $p < 0.05$ , \*;  $n = 6$ ).

## DISCUSSION

To gain insight into the destabilization of alginate for the development of advanced neural interface technologies, we investigated the stability and biological response to alginate in the rat subcutaneous implantation model. The responses demonstrated in this experimental paradigm were expected to be representative of alginate degradation in neural

interface applications, albeit a more rigorous test environment. The effects due to *in situ* gelling versus diffusion gelling and use of a PLL coating were investigated. While all samples' complex moduli decreased 80% in the first day, the *in situ* gelled alginate was more stable for the first week of implant. More significantly, the *in situ* gelled alginate was the only condition that remained within the biologically relevant  $G^*$  range over the entire 3-month period. This indicates that there is an increased potential of mechanical failure for diffusion gelled alginate during the first week of implant, even in the presence of a PLL coating. However, there were no differences in the histology that would clearly suggest the use of one gelation method over another. Additionally, there appears to be no significant differences between the mechanical stability of the different hydrogels after the first week. Based on these results, the use of *in situ* gelled alginate is more desirable than diffusion gelled alginate in neural interface applications that require high stability during the first week of implantation.

*In situ* gelled alginate disks exhibited significantly different weight changes compared to diffusion gelled alginate disks. Although all of the hydrogels exhibited a decrease in weight on the first day, indicating an exudation of liquid, CaCO<sub>3</sub> continued to decrease in weight over all time points, indicative of hydrogel degradation over time.<sup>56</sup> Conversely, CaCl<sub>2</sub> and CaCl<sub>2</sub>/PLL hydrogels increased in weight for the first week indicating swelling but then decreased in weight, exhibiting similar hydrogel degradation behavior as CaCO<sub>3</sub>.<sup>56</sup> A larger weight change for



**Figure 6.** Cellular infiltration of alginate disks over time. (A) Initially, cell infiltration approached moderate levels for all gel conditions ( $2 \geq x \geq 1$ ), but decreased by day 14 and stabilized at minor infiltration levels ( $x \leq 1$ ). This decrease from day 7 was significant for all conditions ( $p < 0.05$ ) and there was no significant difference between conditions at any time point,  $n = 6$ . (B) A representative micrograph (CaCl<sub>2</sub>, 7 days post implant) illustrating the varied nature of the infiltration level in a single condition (A indicates alginate fragments). The scale bar represents 200  $\mu\text{m}$ . [Color figure can be viewed in the online issue, which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com).]



CaCl<sub>2</sub>/PLL hydrogels than CaCl<sub>2</sub> hydrogels after 3 months was also detected but is likely due to the loss of the PLL coating, which accounted for initial weight differences between the two experimental conditions. The initial exudation of liquid explanation is supported by the measured decrease in  $\delta$  for all of the conditions on day 1. An exudation of liquid without hydrogel degradation would cause an increase in internal entanglements detected as a decrease in  $\delta$ .<sup>40</sup> This may also be responsible for the significant decrease in  $G^*$  seen on day 1. The movement of water out of the hydrogel could have changed the underlying structure of the hydrogel enough to cause the observed decrease in  $G^*$ . Based on these observations, the authors hypothesize that the exudation of liquid from the alginate matrix initially causes the hydrogel to lose stability due to a loss of hydrogen bonding within the matrix and a loss of surface tension on the surfaces of the hydrogel.

Alginate degradation over time was not evident in all of the experimental outcomes. The weight change stabilized for all of the experimental conditions between days 21 and 90. Over these same time points,  $G^*$  increased, indicating increasing hydrogel stiffness with time. However, this weight stabilization and increase in  $G^*$  was accompanied by a significant increase in  $\delta$  indicating degradation of the hydrogel structure. The lack of agreement between the data can be explained by the replacement of the degrading alginate with fibroblasts and collagen. The formation of granulation tissue amid the remaining degrading alginate fragments between these two time points contributed to the observed weight stabilization, increased  $G^*$ , and decreased  $\delta$  for all hydrogel conditions.

Alginate disk fragmentation was an unexpected phenomenon demonstrated by all of the experimental conditions. The fractionation of the implants increased with sequential time points, making mechanical testing increasingly more difficult. All of the alginate pieces collected for a given disk sample were used together for these studies. The authors hypothesize that the fragmentation was due to the combination of destabilization of calcium cross-links by phosphates in the extracellular fluid and phagocytosis of alginate fibers by macrophages.

Due to the pulsation of the brain with heart rate and respiratory rate, the alginate will be subject to oscillatory strain *in vivo*. The response of alginate to shear is a good indicator of the fatigue resistance and how it will perform *in vivo*. Brain tissue typically exhibits a complex modulus ranging from 1 to 24 kPa.<sup>53</sup> It is necessary for alginate to remain in this range to avoid fatigue when used in the central nervous system. CaCO<sub>3</sub> reacted alginate disks all remained in this range for all time points, indicating sufficient long-term stability. CaCl<sub>2</sub> hydrogels fell below this range

on days 3, 5, 7 and CaCl<sub>2</sub>/PLL hydrogels on days 3 and 5 indicating material fatigue. This is can be attributed to the swelling demonstrated by the hydrogels over this timeframe, which disrupted the hydrogels' underlying chemical interactions and structure.<sup>39,57</sup> Previously, Strand et al. determined that PLL coated alginate caused a fibrotic reaction more quickly than alginate alone.<sup>58</sup> Although a similar effect was not detected in the current study, it is possible that low level fibrosis was responsible for the increase in  $G^*$  over uncoated CaCl<sub>2</sub> gels on day 7. Based on these results, diffusion-gelled alginate hydrogels have potential to mechanically fail during the first week of implant when used as a neural interface material.

Cellular infiltration for the alginate disks was due to the large pore size and phagocytosis of the surface alginate fragments. Although alginate is inherently nonsticky and cells are not likely to adhere to the alginate, they are able to treat it as a foreign body. The pore size of gelled, high guluronic acid content alginate is relatively large, 200–400 nm.<sup>59</sup> This is sufficient to allow phosphate molecules to diffuse into the matrix, thereby destabilizing the ionic interactions that hold the hydrogel together. Additionally, the individual strands of alginate have been measured at 13–26 nm,<sup>59</sup> small enough for macrophages to begin sequestering portions of the destabilized strands. This combination of destabilization and phagocytosis is responsible for the early cellular infiltration detected histologically.

The results indicate that *in situ* gelled alginate is more stable *in vivo* than diffusion gelled alginate during the first week of implantation. Based on initial hydrogel weights alone, diffusion gelled hydrogels would have been hypothesized to have a higher cross-link density and improved stability. The significantly lower weight of CaCl<sub>2</sub> reacted hydrogels compared to CaCO<sub>3</sub> reacted hydrogels potentially indicated a lower level of water retention in the hydrogel matrix. This was hypothesized to contribute to improved long-term stability of the hydrogels but this is not what was found in the current study. CaCO<sub>3</sub> reacted alginate hydrogels had a significantly higher  $G^*$  and a significantly smaller decrease in  $G^*$  over the first 7 days ( $p < 0.01$ ). CaCO<sub>3</sub> reacted gels additionally exhibited significantly lower  $\delta$  measurements initially, indicating a denser distribution of cross-links and entanglements which possibly contributed to the improved stability *in vivo*. Previously, Kong et al. indicated that high G alginate was more stable than high M alginate due to the cross-link density of the high G alginate.<sup>39</sup> The high cross-link density allowed the hydrogel to maintain a small pore size and resist tissue growth and replacement of the alginate scaffold used in that study.<sup>39</sup> This explanation of cross-link density contributing to stability is applicable to the enhanced stability seen with



CaCO<sub>3</sub> gels over CaCl<sub>2</sub> gels in the current study. Additionally, *in situ* gelled alginate did not swell like diffusion-gelled alginate, thereby minimizing the influx of chemical species that disrupt the ionic interaction of Ca<sup>2+</sup> with the alginate carboxylic acid residues.<sup>39</sup> These mechanisms worked together, maintaining the G\* of *in situ* gelled alginate hydrogels within the biologically relevant range for advanced neural interface technologies (1–24 kPa).

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