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# TOPICAL REVIEW

# Powering MEMS portable devices a review of non-regenerative and regenerative power supply systems with special emphasis on piezoelectric energy harvesting systems

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# Abstract

Power consumption is forecast by the International Technology Roadmap of Semiconductors (ITRS) to pose long-term technical challenges for the semiconductor industry. The purpose of this paper is threefold: (1) to provide an overview of strategies for powering MEMS via non-regenerative and regenerative power supplies; (2) to review the fundamentals of piezoelectric energy harvesting, along with recent advancements, and (3) to discuss future trends and applications for piezoelectric energy harvesting technology. The paper concludes with a discussion of research needs that are critical for the enhancement of piezoelectric energy harvesting devices.

(Some figures in this article are in colour only in the electronic version)

#### **Nomenclature**

A Excitation acceleration

 $A_{\rm E}$  Area of the of electrostatic plates

B Flux density

b<sub>m</sub> Mechanical damping

C Capacitance

 $C_{\rm b}$  Capacitance of the bender

 $C_k$  Equivalent capacitance, which represents

the mechanical stiffness

 $C_{\text{Load}}$  Load capacitance

 $C_{\rm p}$  Elastic constant for the piezoelectric material

- C<sub>sh</sub> Elastic constant for the center shim of the bimorph
- d Piezoelectric strain coefficients
- D Electric displacement
- $d_{\rm E}$  Separation between electrostatic plates
- E Electric field
- $\tilde{E}$  Maximum energy density
- $E_{\rm C}$  Energy stored
- $E_{\rm E}$  Energy stored in an electrostatic system
- $E_{\rm y}$  Young's modulus
- F Applied force

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- $F_{\rm a}$  Antiresonance frequency
- $F_{\rm E}$  Perpendicular force between electrostatic plates

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Smart IV	Tater. Struct. 17 (2008) 043001
$F_{\rm r}$	Resonance frequency
g	Voltage coefficient
i	Current
k	Piezoelectric material electromechanical
70	coupling factor
$k_{ m eff}$	Effective electromechanical coupling factor
l.	Side length of the coil (assumed to be a square)
$l_{\mathrm{b}}$	The length of the piezoelectric element
ιь	not covered by the seismic mass
$l_{\rm c}$	Length of the electrode covering the
ıc	piezoelectric material
$L_{\rm coil}$	Coil inductance
$L_{\rm con}$	Equivalent inductance/inertia of the generator
m	Seismic mass
N	The number of turns in the generator coil
n	Data point index
n'	Equivalent turns ratio of the transformer
	Instantaneous power, pyroelectric constant
$p \\ \mathcal{P}$	Pyroelectric constant
P	Electrical power generated from
1	sinusoidal excitation vibration
$ ilde{P}_{ m ave}$	Average power density
$P_{\rm in}$	Input power
$P_{\text{out}}$	Output power
	Damping coefficient
q	Electrical energy extracted by the
$q_{ m e}$	transduction mechanism
$Q_{\mathrm{E}}$	Charge on electrostatic plates
$Q_{\mathrm{M}}$	Mechanical quality factor
~	Parasitic losses
$q_{ m p} \ R$	Resistance
$R_{\rm b}$	Equivalent resistance equal to the
Νb	mechanical damping
$R_{\rm coil}$	Coil resistance
$R_{\text{load}}$	Load resistance
S	Strain tensor
$s^{\rm E}$	Elastic compliance matrix evaluated at a
S	constant electric field
T	Elastic compliance matrix evaluated at a
1	constant electric field
t	Time
$t_{\rm c}$	Thickness of the piezoelectric material
$t_{ m sh}$	Thickness of the shim material
$U_{ m in}$	Input energy from the excitation vibrations per cycle
$U_{ m out}$	Energy delivered to an electrical load
$V_{ m E}$	Voltage on electrostatic plates
w	Width of the beam
	External sinusoidal vibration
y Y	Amplitude of vibration
_	Displacement of seismic mass
Z	•
$\alpha$	Coefficient of thermal expansion

Spring constant

constant stress

Permittivity of free

Permittivity of the material

space =  $8.854 \times 10^{-12} \,\mathrm{F}\,\mathrm{m}^{-1}$ 

Permittivity values that are evaluated at a

χ

 $\varepsilon_o$ 

 $\epsilon^{\mathrm{T}}$ 

- $\eta$  Device efficiency
- λ Transmission coefficient
- M Total number of data points measured
- v Constant obtained from empirical data
- $\theta$  Temperature difference
- $\rho$  Density of the proof mass material
- $\sigma$  Stress from mechanical loading
- $\omega$  Excitation frequency
- $\omega_n$  Natural frequency
- $\zeta_{\rm e}$  Electrical damping ratio
- $\zeta_p$  Parasitic mechanical damping ratio
- $\zeta_t$  Transducer damping ratio

### 1. Introduction

Power consumption is forecast by the International Technology Roadmap of Semiconductors (ITRS) to pose long-term technical challenges for the semiconductor industry [1]. Higher chip operating frequencies, higher interconnect capacitance and resistance, and increasing gate leakage from on-chip transistors all contribute to the increasing power requirements of microprocessor units (MPUs) [1]. Indeed, the performance, mass and volumes, and lifetimes of power supplies presently limit most applications of microelectromechanical systems (MEMS) technology.

Two complementary strategies are presently being pursued for portable electronics. The first of these, miniaturization of power supplies, has included studies of thin film and microbatteries [2-10], microcombustors [11-17], microheat engines [18, 19], microturbines [20, 21] and microfuel cells [22-26] for powering MEMS. The devices listed in this first category typically fall under the category of nonregenerative power supplies, e.g. systems that have a finite amount or require a continuous supply of active materials or fuel for power generation. The second of these general strategies, in situ regenerative power supplies, can be implemented for standalone or hybrid power systems. Regenerative power technologies include power from microphotovoltaic arrays [27–33], microthermophotovoltaic cells [12, 34–39], electromagnetic [40, 41] and inductively coupled plasmas [42–46], acoustic noise [47-49], thermoelectrics [50-52], electrostatic [47, 53, 54] and piezoelectric [55–58] devices.

In this review, we provide an overview of state-of-the-art strategies for powering MEMS, with detailed discussions of both non-regenerative (section 2) and regenerative (section 3) power supplies. Then, we focus our attention on the use of piezoelectrics in energy harvesting (section 4), and discuss both the fundamentals of the technology, along with areas of usage and anticipated application. We close with a discussion of future trends and applications, along with research needs in the area of energy harvesting (section 5).

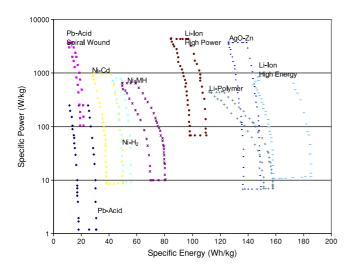
# 2. Non-regenerative power supply

#### 2.1. Batteries

Electrochemical cells, because of their modularity, portability and practicality, are the most commonly used power source

**Table 1.** Examples of mobile phones and the types of batteries used to power them, along with the percentage of total mass used by the portable battery.

D	evice	Battery characteristics
Motorola, V180		Battery type: lithium ion Nominal voltage: 3.6 V Mass of device: 70 g Mass of battery: 25 g Per cent of total mass: 36%
Blackberry	Incepted the state of the state	Battery type: lithium ion Nominal voltage: 3.7 V Mass of device: 120 g Mass of battery: 20 g Per cent of total mass: 17%
Dell Laptop		Battery type: lithium ion Nominal voltage: 11.1 V Mass of device: 2.435 kg Mass of battery: 315 g Per cent of total mass: 13%



**Figure 1.** Ragone plot comparing the performance of various battery electrochemistries.

for complementary metal-oxide semiconductor (CMOS) based MEMS. Batteries can be used for both power generation and energy storage, provide continuous energy, have relatively high energy and power densities, and can generally be easily replaced without substantial modifications to a device. Batteries also provide direct and continuous current. They therefore do not require complex signal conditioning for conversion of alternating current to direct current, as do regenerative power supplies, such as photovoltaic, electromagnetic and piezoelectric generators. A Ragone plot comparing battery technologies is shown in figure 1 [59–67].

The majority of portable electronics are powered by prismatic, nickel metal hydride (NiMH), nickel cadmium or lithium-ion rechargeable batteries, wherein, the power system

is several times larger than the size of the device itself [55]. The disparity between battery and host device size results from the nonlinear scaling of battery size with the diminishing sizes of transistors [1] in CMOS-based MEMS. For example, mobile phone batteries account for up to 36% of the mass of the entire phone, as shown in table 1. The difficulty in reducing battery size is based on the inherent specific energy and energy densities of commonly used primary and secondary batteries (tables 2(a) and (b)) [2–7, 61, 68–73], and the inability of these batteries to meet growing life cycle demands of portable electronics and biomedical devices. Therefore a number of workers have developed lithium-ion thin-film, thick-film and 3D batteries (table 3) [2, 3, 9, 74–89] for powering MEMS-based portable electronics.

Lithium-ion cells are one of the most commonly used types of batteries for portable electronics. Lithium-ion thin-film cells are comprised of metal oxides such as lithium cobalt ( $\text{LiCoO}_2$ ), lithium manganese oxide ( $\text{LiMn}_2\text{O}_4$ ) and lithium nickel cobalt ( $\text{LiNi}_{1-x}\text{Co}_x\text{O}_2$ ), for the positive electrode, a ceramic material for the negative electrode, a solid electrolyte and a current collector such as gold or platinum. These cells operate via a reversible intercalation process, wherein  $\text{Li}^+$  ions are removed or inserted into a host material that is either metal oxide or graphite [73].

Lithium-ion cells are suitable for many portable electronics applications, but barriers remain to achieving sizes and form factors for more widespread adoption. Advantages of lithium-ion batteries are their relatively long cycle life, broad temperature range, long shelf life, low self-discharge rate, rapid charge capability, high rate and power discharge capability, high energy efficiency, high operating voltage, lack of memory effect, and high specific energy and energy density compared to other battery electrochemistries (table 2 [2–7, 61, 68–73] and table 3 [2, 3, 9, 74–89] and figure 1).

**Table 2.** (a) Primary battery composition, nominal voltage, energy density, specific energy and shape. (b) Secondary battery composition, nominal voltage, energy density, specific energy and shape.

			(a)				
	Anode	Cathode	Electrolyte	Nominal voltage (V)	Energy density (W h l <sup>-1</sup> )	Specific energy (W h kg <sup>-1</sup>	) Shapes
Zinc/air	Zn	O <sub>2</sub>	KOH (aqueous solution)	1.5	1270–1560	370–490	Button
Li/SO <sub>2</sub>	Li	$SO_2$	Organic solvent, salt solution	2.85-3.0	313–498	160–307	Cylindrical
Li/SOCl <sub>2</sub>	Li	SOCl <sub>2</sub>	SOCl <sub>2</sub> w/AlCl <sub>4</sub>	3.6	715–1100	380–537	Cylindrical, spirally wound, prismatic
Li/MnO <sub>2</sub>	Li	$MnO_2$	Organic solvent, salt solution	3.0	371–789	129–343	Button, cylindrical, thin film and rectangular
Li/FeS <sub>2</sub>	Li	$FeS_2$	Organic solvent, salt solution	1.5	487–540	247–310	Cylindrical
Li/I <sub>2</sub>	Li	I <sub>2</sub> (P2VP)	Solid	2.8	820-1030	220-280	Button
Zn/Ag <sub>2</sub> O	Zn	$Ag_2O$	KOH or NaOH (aqueous solution)	1.5	207–543	56–137	Button
			(b)				
Zinc/silver oxide	Zn	AgO	KOH (aqueous solution)	1.5	180	105	Prismatic
Nickel metal hydride	MH	NiOOH	KOH (aqueous solution)	1.2	53–360	41–100	Cylindrical, button, prismatic
Rechargeable primary Zn/MnO <sub>2</sub>	Zn	$MnO_2$	KOH (aqueous solution)	1.5	210–458	51–150	cylindrical
Lithium ion	С	LiCoO <sub>2</sub>	Organic solvent	4.0	200–450	61–200	Cylindrical and prismatic
Lithium- polymer	С	LiFePO <sub>4</sub>	Organic solvent	3.2	160–217	74–91	Prismatic

Table 3. Thin-film battery composition, current, voltage, dimensions and energy density.

Description	Anode	Cathode	Electrolyte	Current and voltage	Dimensions	Energy density
Lithium-ion micro 3D microchannel plate (MCP)	Lithiated graphite	$MoS_2$	Hybrid polymer electrolyte (HPE)	$2001000~\mu\mathrm{A~cm^{-2}}$	MCP substrate diameter = 13 mm, thickness = 0.5 mm	Capacity = 2.0 mAh cm <sup>-2</sup>
place (Mer)				Cutoff voltage = 1.3-2.2 V	Electrode active cell area = $0.2 \text{ cm}^2$	
Thin-film lithium ion	LiCoO <sub>2</sub>	Amorphous SnO	Amorphous LVSO solid electrolyte	2.7 V	Area— $0.23 \text{ cm}^2$ Thickness— $2 \mu \text{m}$	
				Current density = $200 \mu \text{A cm}^{-2}$		_
Thin-film lithium (Li/MoO <sub>3</sub> )	Li	$MoO_{3-x}$	Li <sub>2</sub> O–V <sub>2</sub> O <sub>5</sub> –SiO <sub>2</sub> solid	2.3 V		$667~\mu\mathrm{W}~\mathrm{h}~\mathrm{cm}^{-2}$
3/				Current density = $10 \mu A cm^{-2}$	_	
Li <sub>4/3</sub> Ti <sub>5/3</sub> O <sub>4</sub> thin film	Li	$\text{Li}_{4/3}\text{Ti}_{5/3}\text{O}_4$	1 M LiPF <sub>6</sub> /EC-DMC		Thickness—230 nm	$57 \ \mu \text{A h cm}^{-2} \ \mu \text{m}^{-1}$
Li <sub>4</sub> Ti <sub>5</sub> O <sub>12</sub> thin film	Li	$\text{Li}_4\text{Ti}_5\text{O}_{12}$	1 M LiPF <sub>6</sub> /EC-EMC	1.55 V	230 nm	$53 \ \mu \text{A h cm}^{-2} \ \mu \text{m}^{-1}$
				Current density = $10 \mu A \text{ cm}^{-2}$		

Disadvantages include their degradation at high operating temperatures, need for protective circuitry for charging of cells, capacity loss or thermal runaway when overcharged, and venting when crushed [73, 90, 91]. Specific challenges to the implementation of lithium-ion thin-film, thick-film and

microbattery battery technologies to portable electronics include present limitations on intercalation of sufficiently high volumes of lithium and the irreversibility of the structural changes that result from the intercalation [6]; integration of fabrication techniques with CMOS techniques; development of

economical substrates other than platinum or platinum coated substrates [92]; management of capacity fade with cycling [93] and reduction of interface degradation [94, 95].

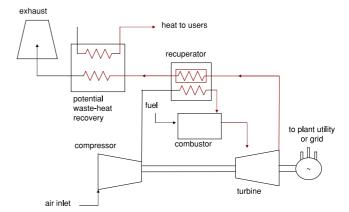
Other challenges for application of lithium and lithiumion thin-film cells to portable electronics are low current and capacity due to reduced amounts of active material available in the cells. To address low current and capacity limitations of both lithium and lithium-ion planar thin-film cells, workers have investigated (1) thin-film silicon-zirconium-silver [96] cells, which have enhanced capacity retention during cycling; (2) thick-film nickel metal hydride cells, which allow for increased values of power and energy/unit area [2], and (3) 3D microbatteries that have increased capacity due to increased area and electro-active layers [8]. Although conventional surface MEMS techniques can achieve out-of-plane geometry with acceptable tolerances, they do not readily support structures that have component dimensions perpendicular to the plane of the wafer (that are large compared to the thickness of the wafer), which would be necessary to increase the battery active material for longer device lifetimes.

Design strategies for hybrid battery systems have been investigated to enhance the power supply lifetime and ultimate lifetime of the host device. One strategy [97–99] involved comparison of three methods of designing a power supply. The first approach of power supply design included specification of a single, aggregate power supply resulting in the use of a single electrochemistry and cell size. The second approach included specification of several power supplies, by a priori division of power sources by power range, and the third approach involved specification of an arbitrary number of power 'bundles', based on available space in the host device. The battery selections provided by each approach were compared according to final power supply mass and/or volume and lifetime for a given application. Application of this strategy to a gas chromatograph [98] resulted in power supply masses and volumes for approach 2 that were 47.1 and 43.8%, and 48.3 and 87.5% for approaches 1 and 3, respectively.

# 2.2. Microcombustors, turbine and heat engines

Microturbine systems spanning miniature combustors, turbines, compressors, recuperators/regenerators and generators (figure 2 [100]) have been proposed as alternatives to microbatteries because of their potentially high power densities. Some advantages of microturbines are multi-fuel capabilities, greater energy efficiencies, reduced emissions and relatively easy fabrication [100]. One disadvantage of microturbines is that the cycle efficiency (without use of a recuperator) is lower than reciprocating engines, in applications with a high load factor and/or high rotation speeds. In cases where high rotational speeds are required, gear reduction equipment and direct drive high frequency alternators coupled with stationary rectifiers may be required, resulting in increased complexity, size and mass of the system.

These systems have captured the attention of the Department of Energy's Office of Energy Efficiency and Renewable Energy, which has, in recent years, undertaken a multi-year program to develop advanced microturbine systems



**Figure 2.** Schematic of a typical microcombustion system.

up to 1000 kW from existing microturbines systems which offer 25–75 kW [100]. This plan also calls for a minimum of fuel-to-electricity conversion efficiency of 40%;  $NO_x$  emissions less than 7 ppm for natural gas machines; 11 000 h of operation between major overhauls, and a minimum 45 000 h of service life [20, 100].

Most of the work in microturbines has focused on enhancement of individual system components for overall system gain, e.g. miniaturization of turbines and speed of ball bearings, which limit the power and efficiency of the system, and blade profile losses [100]. Miniaturization of combustors to the microscale, which is constrained by residence time for complete combustion, high rates of heat transfer, and material and thermodynamic cycle constraints have also been studied [20]. Hydrocarbon–air combustors have been investigated [101], but hydrocarbon–air reactions can be 5–50 times slower than hydrogen–air reactions [17], and for hydrocarbon fuels, such as propane and ethylene, power densities decrease by a factor of 10 as a result of reaction time [17].

Management of  $NO_x$  emissions is critical for hydrocarbon combustion systems. Several workers have investigated lean, premixed combustion systems, wherein a small amount of fuel is mixed with air prior to combustion to maintain a low flame temperature, while still achieving complete combustion, to reduce  $NO_x$  emissions [17, 102]. Problems associated with premixed combustion include flame blow-out, flash-back and pressure oscillations in the combustion chamber [102, 103].

Implementation of MEMS manufacturing techniques for microcombustors is also challenging because the materials commonly used for MEMS fabrication, single-crystal silicon and glass, cannot achieve appropriate strengths or lifetimes at combustor operating temperatures [103]. A more suitable material, i.e. a silicon nitride ceramic [17], has been investigated for these applications, using both mechanical micromachining and MEMS machining technologies, though significant redesign of the structure and use of a catalyst and/or preheating before ignition is necessitated with this material [11].

Development of microcombustors for photovoltaic (PV) microsystems has also been investigated for application to MEMS devices [11, 12, 15, 27–29]. Table 4 provides novel

**Table 4.** Novel thermophotovoltaic (TPV) cell data on combustion chamber size, hydrogen flow rate and approximate power density based on an assumed package size of 1 cm<sup>3</sup>.

Composition	Combustion chamber volume	Open circuit $(V_{\rm OC})$ /short circuit current $(I_{\rm SC})$	Flow rate of hydrogen (g h <sup>-1</sup> )	Power	Power density W cm <sup>-3</sup> (assuming entire package = 1 cm <sup>3</sup> )
Microcylindrical silicon combustor, 9-layer	$0.113 \text{ cm}^3$	_	4.2	0.92 W (0.4 mm wall thickness)	0.92
dielectric filter, GaSb photovoltaic array				0.78 W (0.6 mm wall thickness) 0.57 W (0.8 mm	
				wall thickness)	0.57
SiC emitter, 9-layer dielectric filter, GaSb photovoltaic array	$0.113 \text{ cm}^3$	2.28 V/0.59 A	4.2	1.02 W	1.02
SiC emitter, 9-layer dielectric filter, GaInAsSb photovoltaic array	0.113 cm <sup>3</sup>	2.32 V/0.52 A	4.2	1.45 W	1.45
Microtube combustor, emitters, photovoltaic cells	0.113 cm <sup>3</sup> Entire package volume = 1.0 cm <sup>3</sup>	_	3.88	4.4 W	4.4
Microtube combustor (SiC or platinum), emitters, photovoltaic cells	_	2.3 V/0.4 A	4.2	0.81 W (SiC) 0.78 W (platinum)	0.81 0.78

thermophotovoltaic (TPV) cell data on combustion chamber size, hydrogen flow rate and approximate power density based on an assumed package size of 1 cm<sup>3</sup>. These systems typically consist of a heat source, a microflame tube combustor made of a selective emitter material, a dielectric filter and a photovoltaic array [28]. In these systems, hydrogen is often chosen as the fuel because of its high heating value and short reaction time. The process of operation for these devices consists of burning a hydrogen—air mixture in the microcombustor, which results in photon emission. The filter in turn recycles the photons with energies lower than the bandgap of the PV cells to the microcombustor and transmits the photons with energies greater than the bandgap of the PV cells. When the photons with high enough energies encounter the PV cell array, free electrons are released, thereby producing electrical power.

Microcombustor designs for PV systems must maintain a balance between sustained combustion and generation of sufficient heat [28]. The reduction of the size of the combustor results in a higher surface area-to-volume ratio that allows for increased radiation power and higher power density for the entire PV system. However, combustion ignition and quench reactions are subdued by large amounts of heat loss resulting from large surface area to volume ratios. Therefore, workers are currently investigating the factors influencing heat loss and uniform temperature through and along the wall of the flame tube, such as flame tube dimensions, the hydrogen to air ratio and flow rate [28].

# 2.3. Microfuel cells

Fuel cells have also been considered for powering MEMS devices. Fuel cells are comprised of an anode (hydrogen fuel source) and cathode (usually oxygen from air) separated by an electrolyte. Fuel cells are typically distinguished by the electrolyte used. If an acid electrolyte is used, the anode

releases electrons, producing hydrogen ions (H<sup>+</sup>, protons). The hydrogen ions pass through the electrolyte, while the electrons pass through an electrical circuit. Hydrogen ions and electrons react with oxygen to form water. Conversely, if an alkaline electrolyte is used, alkali hydroxyl (OH<sup>-</sup>) ions (produced at the cathode) react with hydrogen at the anode, to produce electrons and water [104]. Types include solid oxide fuel cells (SOFCs), molten carbonate fuel cells (MCFCs), phosphoric acid fuel cells (PAFCs) and polymer electrolyte membrane fuel cells (PEMFCs). Advantages of fuel cell technology are (1) competitive energy density and specific energy values, (2) low emissions, (3) quiet operation and (4) ability to serve as both heat and power systems. Table 5 [24, 105–112] summarizes information on several novel microfuel cells.

PEMFCs have been rigorously studied, because of their resistance to mechanical vibrations (no electrolyte leakage), relatively small size (due to thin electrolyte membrane) and low operational temperatures (20–100 °C) in comparison to other fuel cell systems [22]. PEMFCs operate at low temperatures and thus require catalysts on the electrodes. The best and most commonly used catalyst for PEMFCs is platinum, which is costly. The majority of the work on the development of planar fuel cell technologies has focused on patterning technology for fabrication of microflow fields and membrane electrodes, design studies of integrated flow fields, material compatibility, interconnection of planar single cells and assembly processes that are compatible with MEMS fabrication techniques [105, 106, 109, 110, 112–114].

Both direct and indirect methanol fuel cells have also been investigated for microscale implementation. Direct methanol fuel cells produce six electrons when methanol is mixed with water. Indirect fuel cells require a reformer to produce hydrogen molecules from methanol. Both indirect and direct methanol fuel cells experience fuel crossover when hydrogen

**Table 5.** Microfuel cell type, electrolyte, current, voltage, dimensions and power density.

Type	Electrolyte	Current/voltage	Dimensions	Power/area
Polymer electrolyte fuel cell (PEFC) <sup>1</sup>	Polymer	0.8 V	5 mm × 15 mm	$90~\mu\mathrm{W~cm^{-2}}$
Proton exchange membrane planar fuel cell <sup>2</sup>	Polymer	1.5 V 40 mA	$1 \text{ cm} \times 1 \text{ cm}$ and $200 \mu\text{m}$ thickness	$80 \text{ mW cm}^{-2}$
Microdirect methanol fuel cell (DMFC) with membrane-electrode assemblies (MEA) <sup>3</sup>	Nafion proton exchange membrane	37 mA cm <sup>-2</sup> 0.22 V	25.1 mm × 25.1 mm × 0.5 mm	$8~\mathrm{mW~cm^{-2}}$
Microdirect ethanol fuel cell <sup>4</sup>	Nafion 115 membrane	0.26 V	$1 \text{ cm} \times 1 \text{ cm} \times 0.0627 \text{ cm}$	$8.1 \text{ mW cm}^{-2}$
Microdirect methanol fuel cells ( $\mu$ DMFC) with methanol-resistant membrane-electrode assemblies (MEA) <sup>5</sup>	Nafion 112 membrane	90 mA cm <sup>-2</sup> at 0.3 V	Active area—1.625 cm <sup>2</sup>	34 mW cm <sup>-2</sup> at 0.33 V 62.5 mW cm <sup>-2</sup> at 40 °C 100 mW cm <sup>-2</sup> at 60 °C
Microproton exchange membrane fuel cell (PEMFC)	Polymer	$\sim 460  \mathrm{mA \ cm^{-2}}$ at 1.0 V	Channel: width = $500 \mu m$ and depth = $300 \mu m$ Rib width = $500 \mu m$	$\sim 142~\text{mW cm}^{-2}$
Metallic PEM fuel cell	Polymer	$250 \mathrm{mA \ cm^{-2}}$ at 0.6 V		$\sim 195 \text{ mW cm}^{-2}$
Single chamber solid oxide fuel cell	$Ce_{0.8}Gd_{0.1}O_{1.95}$	0.7–0.77 V	Microcell active area = $0.051 \text{ cm}^2$	$17 \text{ mW cm}^{-2}$
Direct methanol fuel cell, PEM	Polymer	$0.35  \text{mA cm}^{-2}$ at $0.74  \text{V}$	Silicon plates: top = $260 \mu m$ , bottom = $150 \mu m$ , depth = $80 \mu m$	$0.20~\mathrm{mW~cm^{-2}}$

or methanol molecules flow through the electrolyte and react with oxygen, reducing the overall flow of electrons and generation of electricity. Application of membrane-electrode assemblies (MEAs) with modified support backing has been used to diminish this effect [115].

Balancing the amount of water in the electrolyte to maintain conductivity, without flooding the electrode, or blocking the pores in the electrode or gas diffusion layer is another critical design constraint for PEMFCs. Proof-of-concept devices have been described, which demonstrate techniques for water management and microfluidic subsystems. For example, a microscale direct methanol fuel cell that included a silicon wafer with arrays of etched holes selectively coated with a non-wetting agent for collecting water at the cathode has been developed [116]. In this cell, a silicon membrane micropump is used to pump excess water back to the anode and a passive liquid gas separator is used for CO<sub>2</sub> removal.

Others have investigated utilization of steel plates as bipolar plates, with photochemically etched flow fields, to enhance sealing of the cells and reduce contact resistance associated with silicon-based direct methanol microfuel cells [24]. Fabrication of microfuel cells via MEMS fabrication techniques has also been an area of interest, wherein in-plane anodic and cathodic microchannels (as opposed to two separate substrates) have been fabricated using photolithography, deep reactive ion etching, and electron beam deposition [117]. MEMS ion etching has also been used for gas channel preparation and formation of porous silicon layers

for membrane-electrode assemblies for reduction of diffusion paths, support of bulk flow and enhancement of efficiency of material transport [118]. Hybrid micro- and macromachining processes have also been utilized to optimize reliability of the microfuel cell structure [119]. Stainless steel bipolar plates with microfeature flow channels have been investigated for enhanced cell drainage [110], while planar electrodes fabricated from nanoimprint technology have been explored for the creation of fine patterns on the PEM, as an alternative to graphite-based porous electrodes [113].

Despite the numerous advances in PEM direct methanol fuel cells, high performance hinges on advances in delivery of microfluidic systems, water and temperature management; current collector design; minimization of contact resistance between components, and development of solid proton exchange membranes, such as nafion, which tend to change shape depending on the degree of humidification and degrade with temperature fluctuations.

Other workers involved in fabrication of microscale solid oxide fuel cells (SOFC) have identified several areas for enhanced performance of this technology: (1) minimization of ionic resistance of the electrolyte; (2) reduction of stresses incurred during fabrication and operation, which is influenced by thermal mechanical stability, reliability and heat transfer within the cell, and (3) thermal isolation to prevent heat loss since SOFC operate at temperatures of several hundred degrees centigrade [23, 26].

Table 6	Commercial	solar cell	system performan	ce characteristics.
Table 0.	Commercial	SOIAL CELL	System Demonnan	CE CHALACIELISTICS.

Panel dimensions (inches)	Price per panel	Material composition	Peak voltage (V)	Peak current	Peak power (W)	Power/area (W m <sup>-2</sup> )
$29.5 \times 12.9 \times 1.3$	\$181.00	CIS/thin film	15.6 (peak) 12 V (nominal)	1.29 A	20	0.006
$58.1 \times 26 \times 1.4$	\$499.18	Crystalline silicon	16.7	6.60 A	110	0.011
$50.9 \times 13 \times 1.3$	\$234.00	CIS (copper indium diselenide)	16.6 (peak) 12 (nominal)	2.41 A	40	0.006
$56 \times 25 \times 2$	\$534.00	Polycrystalline silicon no. of cells: 36 in series module efficiency: 13.1%	17.1	6.43	110	0.012
$50.9'' \times 12.9'' \times 1.3''$	\$229.00	CIS (copper indium diselenide)	15.8 (peak) 12 (nominal)	2.28	36	0.006

## 3. Regenerative energy harvesting and MEMS

Powering devices from scavenged ambient energy from the environment presents an opportunity for replacing or extending the lifetime of common power sources such as batteries, and is the primary area of interest of the present review. Photovoltaics, thermoelectrics and kinetic approaches are first discussed, followed by an in-depth review of piezoelectric devices, which are perhaps one of the most promising sets of technologies among vibration-based technologies for application to MEMS devices.

# 3.1. Solar cells

Solar power systems are one of the most commonly considered strategies of 'energy harvesting'. These systems consist of solar arrays and signal processing circuitry. Power from solar cells results from the photovoltaic effect, which is the direct conversion of incident light into electricity [120]. Photons generated from sunlight are absorbed (producing proton holes), stimulating current orthogonal to the flow of proton holes. The advantages of solar array systems include their ease of integration, modularity, lack of emission or noise, lack of moving parts and use of a readily available resource, i.e. sunlight. Disadvantages of solar systems include the additional signal processing circuitry required to provide high quality continuous current at a specific voltage; variability in quality and amount of power generation and relatively large surface area required for arrays of cells. Other disadvantages of PV systems that are prohibitive to MEMS applications are low conversion efficiencies and high cost, in addition to the spotty availability of sunlight.

Most commercially manufactured solar cells are based on multi-crystalline silicon or monocrystalline silicon with cell and module efficiencies of 10–16%, while novel fabrication techniques demonstrated in the laboratories have efficiencies of about 24% (one metamorphic GaInP/GaInAs/Ge three-junction cell with 40% efficiency at 240 suns [121]), but are costly [30, 32, 33, 106, 120, 122–140]. Common commercial and novel solar PV panels, along with their respective power capabilities, are presented in table 6 [141–143] and table 7 [130, 132, 144–151]. Table 7 provides the material composition, open circuit voltage, short circuit current, power per unit area and power conversion efficiency of a number

of novel solar cells. Material compounds such as III-V compounds, e.g. gallium arsenide (GaAs), indium phosphide (InP) and gallium antimonide (GaSb), are being explored as materials for PV cells, but are both expensive and contain either toxic or scarce elements [120]. One additional problem with thin-film solar cells is that, in order to reach higher power density values, larger surface areas are required. To address some of these issues, workers have fabricated various types of systems. These include: PV devices in series to achieve high voltage delivery for application to electrostatic MEMS [30], systems comprised of microphotovoltaic arrays coupled with a microwave antenna [31], thermal integral microgeneration (TIMGen) systems for use with solar-or fuelderived heat [32] and PV arrays subjected to laser light of different wavelengths for application to laser-or LED-driven PV systems [33]. Microcrystalline silicon and polysilicon have also been investigated for use in the middle and bottom cells of nip (n-type, intrinsic, p-type layers) triple-junction cells [137]. Fundamental transport mechanisms that influence single-junction nip  $\mu$ C–Si structures where the intrinsic layer is deposited by the hot-wire CVD technique [152, 153] have also been undertaken.

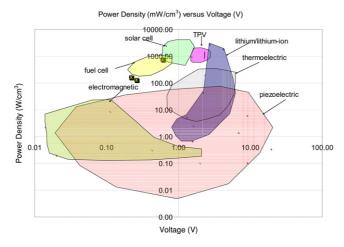
The efficiencies and fabrication techniques for thin-film solar cells based on CdTe and CuGaInSe<sub>2</sub> have improved, despite the forecast lack of abundance of some constituent materials, which may limit large-scale production of these cells. Also, the use of organic materials such as dyes, semiconductor polymers [130, 133, 136, 154–156] that incorporate both micro- and nanotechnology, along with tandem/multi-junction devices [149, 151, 154, 157, 158], have resulted in pronounced enhanced efficiencies (table 7). The typical power densities of novel solar cells [141–143] are comparable with some thin- and thick-film lithium and lithiumion batteries [2, 3, 9, 74–89] as detailed in figure 3; the voltages produced by solar cells require significant processing to achieve values realized by battery technology.

# 3.2. Thermoelectric power

Generation of electricity via thermal gradient, the so-called Seeback effect, is another form of regenerative power supply proposed for powering portable MEMS devices. Novel thermoelectric devices are presented in table 8 [52, 153, 159–175]. A typical system is shown in figure 4

**Table 7.** Novel solar cell data detailing material composition, open circuit voltage, short circuit current, power provided per unit area and power conversion efficiency.

Active area	Material composition	Open circuit voltage/short circuit current	Fill factor (%)	Power/area mW cm <sup>-2</sup>	AM simulated solar intensity mW cm <sup>-2</sup>	Power conversion efficiency (%)
0.0725 cm <sup>2</sup>	GaAlAs/GaAs	0.7 V/3.7 mA	69	35.72	100	24.6
$0.15 \text{ cm}^2$	3-methylthiophene onto textured n-Si wafers	0.26 V/2.0 mA	0.24	3.47	30	0.42
Not given	Dye-sensitized nanocrystalline TiO <sub>2</sub>	$0.344 \text{ V/} \\ 5.93 \text{ mA cm}^{-2}$	45	2.04	100	0.91
$4.0 \text{ cm}^2$	Crystalline silicon	$0.696 \text{ V/} $ $42.0 \text{ mA cm}^{-2}$	83.6	29.23	100	24.7
$1 \text{ cm}^2$ thick = 99 $\mu$ m	Multicrystalline	$0.664 \text{ V/} \\ 37.7 \text{ mA cm}^{-2}$	80.9	25.03	1000	20.3
$1 \text{ cm}^2$ thick = 218 $\mu$ m	Multicrystalline	0.653 V/ 38.8 mA cm <sup>-2</sup>	78.6	25.34	1000	19.9
$1 \text{ cm}^2$ thick = 220 $\mu$ m	Phosphorus gettered multicrystalline silicon	0.660 V/39.8 mA cm <sup>-2</sup>	80.7	26.27	_	21.2
$0.25 \text{ cm}^2$ thick = 354.87 $\mu$ m	AlGaAs/Si monolithic Tandem solar cell	$1.5 \text{ V}/23 \text{ mA cm}^{-2}$	77.2	34.5	1 sun	19.9
$top = 0.25 \text{ cm}^2$ $bottom = 0.33 \text{ cm}^2$	Three-terminal monolithic GaAs/Si cascade solar cell	0.857 V/ 30.7 mA cm <sup>-2</sup>	77.9	26.31	135.3	_



**Figure 3.** Plot of power density versus voltage for common regenerative and lithium/lithium-ion power supply strategies.

[153] (only one cell), with n- and p-type semiconductor legs connected electrically in series by metal strips and thermally in parallel [153]. Thermocouples are examples of thermoelectric elements that have a temperature difference between two junctions of dissimilar materials that results in an electrical current. In figure 4, heat from a source at a temperature,  $T_w$ , is conducted to an electrically insulated heat exchanger with a thermal conductance,  $K_1$ . Heat flows from the source to the hot side of the heat exchanger at a rate  $Q_h$  and from the exchanger to the thermocouple. Electrical current subjected to a resistive load of  $R_1$  is produced from the temperature differential between the hot and cold sides of the thermocouple. Heat flows from the cold junction at a rate of  $Q_c$ , at a temperature of  $T_c$ , to the cold side of the heat exchanger that has a conductance of  $K_2$  [153].

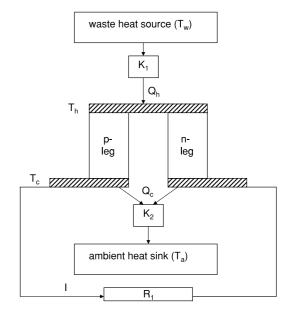


Figure 4. Schematic of a typical thermoelectric system.

The advantages of thermoelectric generators are that they are reliable, solid state and have long operation times with little noise and emissions [153, 165]. Yet, commercial realization of thermoelectric generators has been delayed due to material problems associated with thermocouple modules. These modules are fabricated from intermetallic compounds such as Bi<sub>2</sub>Te<sub>3</sub>, Pb–Te and Si–Ge, which have intrinsically low melting and/or decomposition temperatures, low energy conversion efficiency and toxic content and are presently scarce [51]. Limited energy conversion efficiencies and operational temperatures have restricted the usage of thermoelectric devices

Table 8. Open circuit output voltage, power, power density and power per unit area values for novel thermoelectric devices.

Composition	$\Delta T$	Dimensions	Open circuit $(V_{\rm OC})$	Power	Power/area or power density
BiTe thermopile, 158	_	Thermopile size:	1.6 V	250 μW	2.47 mW cm <sup>-3</sup>
thermocouples per stage	_	$0.67 \text{ cm} \times 0.84 \text{ cm} \times 0.18 \text{ cm}$			
Coiled generator fabricated	5	1 cm <sup>2</sup>	0.8 V	$0.8 \mu\mathrm{W}$	$0.8~\mu\mathrm{W~cm^{-2}}$
using screen print technique Polymer-based wafer level	20	$2 \text{cm}^2$ Area = 0.26 cm <sup>2</sup>	1.6 V —	1.6 μW —	$12.0 \text{ nW cm}^{-2}$
fabrication (51 thermocouples) PbTe-based, cross-plane quantum-dot superlattice (QDSL) unicouple devices fabricated from nanostructured thick films	220	Height = $150 + 10 \mu m$ Length = $95 \mu m$ Cross sectional area = $4 mm^2$	_	89 mW	$2.2~{\rm W}~{\rm cm}^{-2}$
Thermoelectric generator coupled with catalytic butane combustor 34 thermocouples	_	Each element = $0.95 \text{ mm} \times 0.95 \text{ mm} \times 2.0 \text{ mm}$ Total volume = $0.955 \text{ cm}^3$	2.31 V	184 mW	192.67 mW cm <sup>-3</sup>
Generator fabricated using polysilicon surface micromachining	5	Area = 1 cm <sup>2</sup> Thickness = 400 nm	5 V	$1~\mu\mathrm{W}$	$1.0~\mu\mathrm{W~cm^{-2}}$
Micro Sb–Bi thermocouple strips embedded within a 50 $\mu$ m thick flexible epoxy film (100 pairs of thermocouples)	30	$16 \text{ mm} \times 20 \text{ mm} \times 0.05 \text{ mm}$	0.25	_	_

in applications such as radioisotope thermoelectric generators [176]. Realization of power generating thermoelectric devices for MEMS applications are limited due to the lack of large temperature gradients (>10 °C) in small device volumes (<1 cm<sup>3</sup>) [162]. Therefore, most research has focused on optimization of thermoelectric materials and module geometry [162, 173]. Oxide materials such as Ca<sub>2.7</sub>Bi<sub>0.3</sub>Co<sub>4</sub>O<sub>9</sub> (Co-349) for p-type and La<sub>0.9</sub>Bi<sub>0.1</sub>NiO<sub>3</sub> (Ni-113) for n-type materials [51]; filled skutterudite materials for high temperature thermoelectric applications [159]; V-VI compounds Bi<sub>2</sub>Te<sub>3</sub> and (Bi, Sb)<sub>2</sub>Te<sub>3</sub> [161] and nanoarchitectured devices made of Bi<sub>2</sub>Te<sub>3</sub> and BiSbTe nanobundled elements [165] have been investigated as alternative materials. N-type materials that operate in the temperature range of 300–1272 K and p-type materials that operate in ranges from 300 to 975 K have also been fabricated [52, 160, 163, 170, 177].

Proof-of-concept devices of hybrid thermoelectric systems and new methods for evaluation of thermoelectric generator efficiency [153] such as a two-stage solar concentrator for combined heat and thermoelectric power generation [50] and a thermoelectric generator coupled with a thermo-acoustic refrigerator [168], have also been investigated.

## 3.3. Vibration energy harvesting systems

Recently energy harvesting from external mechanical excitation has become an area of interest. A plot of power density versus output voltage for common forms of regenerative power production is provided in figure 4 [55, 58, 120, 142, 178–194]. This plot indicates that vibration-based energy harvesting devices have power density values that are comparable to some other power sources such as thin- and thick-film lithium and lithium-ion batteries. Electrostatic energy systems are not included in this plot since the voltages produced by most electrostatic generators are significantly out of range (0.01–100.00 V

in the plot) of those plotted. Also, pulsed piezoelectric generators have not been included in figure 4 for two reasons: first, their power density values are typically outside of the range of values plotted, e.g. 0.151 MW cm<sup>-3</sup> [187, 188, 195], and second, the pulse generators that reach power densities of these values have not yet been realized for portable electronics and MEMS devices. We have chosen to focus the remainder of this review on vibration-based energy harvesting with an emphasis on piezoelectric energy harvesting because much of the work is in its infancy and many of these technologies can be incorporated into hybrid power systems with existing commercial technologies. Furthermore, the purpose of this discussion is not to suggest that vibration-based energy scavenging is superior to other forms of regenerative energy systems, but rather to elucidate the applications and areas of needed investigation for enhancement of piezoelectric energy harvesting devices.

The use of vibration energy harvesting devices is an ever-expanding area of interest for wireless, self-powered microsystems and macroscaled devices. There are a number of opportunities for energy harvesting via vibration. Table 9 [47, 48, 55, 196] includes the acceleration (m s<sup>-2</sup>) and peak frequency (Hz) values of a number of low level vibrating structures. In this section, an overview of general kinetic energy theory will be presented, followed by a comparison of electrostatic, electromagnetic and piezoelectric energy harvesting technologies.

3.3.1. Kinetic energy harvesting theory. Kinetic energy harvesting involves conversion of mechanical energy into electrical energy, wherein environmental displacements (kinetic energy source) are coupled with a transduction mechanism. In these systems, a mechanical component is attached to an inertial frame, which serves as a fixed reference as depicted in figure 5. In figure 5, energy is generated from the relative displacement or mechanical strain between the fixed inertial

**Table 9.** Acceleration magnitude and frequency of potential sources of vibration among common commercial devices.

Vibration source	Acceleration (m s <sup>-2</sup> )	Frequency <sub>pea</sub> (Hz)
Car engine	12	200
compartment		
Base of 3-axis	10	70
machine tool		
Blender casing	6.4	121
Clothes dryer	3.5	121
Person tapping	3	1
their heel		
Car instrument	3	13
panel		
Door frame just	3	125
after door closes		
Small microwave oven	2.5	121
HVAC vents in	0.2 - 1.5	60
office building		
Windows next to	0.7	100
a busy road		
CD on notebook	0.6	75
computer		
Second story floor	0.2	100
of busy office		

frame and the attached mass. In the case of electromagnetic devices, the transduction mechanism is velocity, while in electrostatic devices it is relative position. The piezoelectric transduction mechanism employs the strain, e.g. deformation of the device, for generation of electricity. In general, optimal output energy from kinetic energy harvesting devices is obtained when the coupling between the kinetic energy source and transduction mechanism is optimized [197]. Models predicting the power generated from vibration systems typically are comprised of (but are not limited to) inertial spring and mass systems, where each transduction mechanism exhibits a different damping characteristic.

The model most commonly used to describe kinetic energy harvesting systems is based on a resonant secondorder spring and mass system with a linear damper as shown in figure 5 [198]. Because the damping mechanism is proportional to the velocity in this model, it (in theory) best represents an electromagnetic device. Thus, this model can provide good information on resonant generators, and some aspects of it can be used for the design of the other two transducer applications, namely piezoelectric and electrostatic. In this model [198–200], vibration from the inertial frame, e.g. the housing, induces movement of the mass, m, which is out of phase with the generator housing. The inertial frame is excited by an external sinusoidal vibration of the form,  $y(t) = Y \sin(\omega t)$  that drives the generator. This model only applies to systems where the mass of the vibrating source (housing) is much greater than the mass of the generator and systems where the vibration source is relatively unaffected by the movement of the generator. Specifically, this model applies to systems where energy is to be scavenged from the inertial frame. The net displacement of the mass is z(t), and the differential equation that describes the movement of the mass

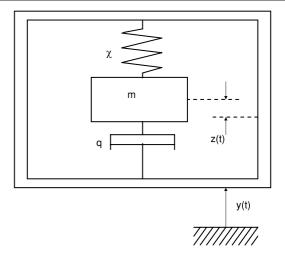


Figure 5. Schematic for a typical kinetic energy scavenging system.

with respect to the generator housing is expressed as

$$m\ddot{z}(t) + q\dot{z}(t) + \chi z(t) = -m\ddot{y}(t). \tag{1}$$

In equation (1) [197, 198], m is the seismic mass, q is the damping coefficient, t is time and  $\chi$  is the spring stiffness. In equation (1), the damping coefficient is comprised of both the parasitic losses  $q_p$  and electrical energy extracted by the transduction mechanism,  $q_e$ .

The steady-state solution for the displacement of the mass is given by

$$z(t) = \frac{\omega^2}{\sqrt{\left(\frac{\chi}{m} - \omega^2\right)^2 + \left(\frac{q\omega}{m}\right)^2}} Y \sin(\omega t - \phi), \qquad (2)$$

where  $\phi$  is the phase angle, expressed as

$$\phi = \tan^{-1} \left( \frac{q\omega}{\chi - \omega^2 m} \right). \tag{3}$$

The instantaneous power, p(t), generated from the resonant system is the product of the force on the mass  $(-m\ddot{y}(t))$  and the mass's velocity  $(\dot{y}(t) + \dot{z}(t))$ :

$$p(t) = -m\ddot{y}(t)[\dot{y}(t) + \dot{z}(t)]. \tag{4}$$

Mechanical power is converted to electrical power when damping is present. For a sinusoidal excitation vibration, the electrical power generated, P(t), by the system is given by

$$P(t) = \frac{m\zeta_{t}Y^{2} \left(\frac{\omega}{\omega_{n}}\right)^{3} \omega^{3}}{\left[1 - \left(\frac{\omega}{\omega_{n}}\right)^{2}\right]^{2} + \left[2\zeta_{t}\frac{\omega}{\omega_{n}}\right]^{2}}.$$
 (5)

In equation (5),  $\zeta_t$  is the transducer damping ratio ( $\zeta_t = q/2m\omega_n$ ),  $\omega_n$  is the natural frequency of the system, Y is the amplitude of vibration and  $\omega$  is the excitation frequency. Several conclusions may be drawn from the model. First, the maximum output power occurs at the natural frequency of the generator [198], e.g. when  $\omega_n = \sqrt{k/m}$ , namely

$$P_{\text{max}} = \frac{mY^2\omega_{\text{n}}^3}{4\zeta_{\text{t}}}.$$
 (6)

Also it can be concluded from equation (6) that the value of the maximum power is indeed finite, and that reduction of the damping factor results in increased mass displacement, which is ultimately limited by the size and geometry of the device. Second, if the natural frequency of the generator housing is set equal to the seismic mass frequency, the maximum power generated is limited by the movement of the seismic mass. Thus, the maximum power can be expressed in terms of maximum distance the seismic mass can move,  $Z_{\rm max}$ :

$$P_{\text{max}} = m\zeta_t \omega_{\text{n}}^3 Z_{\text{max}}^2. \tag{7}$$

Third, for applications where the frequencies of vibration are well defined and concentrated around one point, a low damping factor will provide higher peak responses and power generation. Fourth, if the fundamental vibration frequency varies over time, a higher damping factor is needed to increase the bandwidth of the generator. Fifth, power generation is proportional to the cube of the vibration frequency.

The power available from the vibrating beam structure is also limited by undesirable parasitic mechanical damping,  $\zeta_p$ , such as air resistance. Equation (8) [197] is an expression for power in terms of both the parasitic,  $\zeta_p$ , and electrical,  $\zeta_e$ , damping ratios:

$$P = \frac{\zeta_{\rm e}}{4(\zeta_{\rm p} + \zeta_{\rm e})^2} m Y^2 \omega_{\rm n}^3. \tag{8}$$

Others have also expressed power in terms of the excitation acceleration magnitude of the input vibration:

$$P = \frac{\zeta_{\rm e}}{4\omega_{\rm n}(\zeta_{\rm e} + \zeta_{\rm p})^2} mA^2. \tag{9}$$

In equation (9), A is excitation acceleration and can be computed from  $A = \omega_n^2 Y$ . In equation (9) power is inversely proportional to the natural frequency of the seismic mass. Equations (8) and (9) can be used to draw several conclusions regarding power generation from piezoelectric systems [48]. First, if the acceleration magnitude is constant or decreasing with frequency the converter should be designed to resonate at the lowest fundamental frequency in the input spectrum. Second, since the mass of the converter is proportional to the power generated, the converter should be as large as possible, while meeting size constraints of the system. Third, the power generated by a vibrating system is maximized when the electrical damping ratio,  $\zeta_e$ , is equal to the mechanical damping ratio (parasitic),  $\zeta_p$ . Fourth, power was greatly diminished in cases where  $\zeta_p$  was greater than  $\zeta_e$ , but was only slightly reduced for cases where  $\zeta_e$  was greater than  $\zeta_p$ . In other words, a highly damped system will slightly under-perform as long as the majority of the damping is electrically induced.

3.3.2. Comparison of electromagnetic, electrostatic and piezoelectric energy harvesting transduction mechanisms. Electromagnetic materials produce electricity from the motion of a magnetic field relative to a conductive coil, which causes current to flow in the coil [201–203]. Typically, the electricity is generated by either the relative movement of the magnet and

coil, or due to the changes in the magnetic field [197, 198] Therefore, the amount of electricity generated can be a function of magnetic field strength, relative motion velocity and the number of turns of the coil. In most systems, the magnets are attached to the cantilever structure and act as inertial masses. The expressions for power defined in section 3.3.1 can be determined by incorporating the appropriate electromagnetic expression for the damping ratio,  $q_e$ . In figure 5, q represents the electromagnetic transduction mechanism, e.g. the magnet and coil arrangement. An expression for the damping ratio for electromagnetic devices has been determined to be [203]

$$q_{\rm e} = \frac{(N\ell B)^2}{R_{\rm load} + R_{\rm coil} + j\omega L_{\rm coil}},$$
 (10)

where N is the number of turns in the generator coil,  $\ell$  is the side length of the coil (assumed to be a square) and B is the flux density.  $R_{\rm load}$  and  $R_{\rm coil}$  are the load and coil resistance, respectively, and  $L_{\rm coil}$  is the coil inductance. In equation (10),  $R_{\rm Load}$  can be adjusted to produce maximum power, e.g. achieve the case where  $q_{\rm e}$  is equal to  $q_{\rm p}$ . The expression for the optimal value for  $R_{\rm Load}$ ,  $R'_{\rm Load}$ , has been determined to be [199]

$$R'_{\text{Load}} = R_{\text{coil}} + \frac{(N\ell B)^2}{q_{\text{p}}}.$$
 (11)

Also the maximum average power provided to the load,  $R'_{Load}$ , is given by

$$P_{\text{Load-max}} = \frac{mA^2}{16\zeta_p \omega_n} \left( 1 - \frac{R_{\text{coil}}}{R'_{\text{Load}}} \right). \tag{12}$$

A number of wafer-scale and macroscale devices have been fabricated, and details of these devices are provided in table 10 [178, 189, 200, 203–211].

Electromagnetic energy harvesting technology is well established and is utilized in many electrical generators. Furthermore, these systems require no input voltage source to initiate power generation, though the voltage generated is usually between 0.1 and 0.2 V. Hence transformers are usually needed to meet voltage requirements for MEMS applications. Macroscaled, high performance bulk magnets, and multi-turn and macroscale coils are readily available, but there remain challenges for fabrication of MEMS scale systems due to the poor properties of planar magnets [197]. Also, the number of turns that can be achieved with planar coils are limited, in addition to the restricted amplitudes of vibration that result in commensurately reduced velocities in MEMS electromagnetic devices. Lastly, the assembly and alignment of wafer-scale (sub-millimeter) electromagnetic systems remain a challenge for implementation to MEMS devices [197].

Electrostatic generators are comprised of two conductive plates that are electrically isolated via air, vacuum or an dielectric insulator (capacitor), which move relative to one another [212]. There are two modes of electrostatic energy conversion: one where the charge on the capacitor is constrained, while the voltage increases and the capacitance decreases, and the other when the voltage across the capacitor is constrained, while the charge moves from the capacitor as

Power density  $P(\mu W)$ F(Hz) $A \text{ (m s}^{-2})$ Volume  $(mW cm^{-3})$ Voltage Description 0.056 0.3 4400 382  $0.0054 \text{ cm}^3$ GaAs, polyimide 530 322  $\sim$ 14 mVrms  $0.24 \text{ cm}^3$ Steel 2.1 cm<sup>3</sup> (simulated)  $190.5 \text{ nW cm}^{-3}$ 700 12.4 0.4 nW Silicon 180 322 2.7  $0.84 \text{ cm}^3$ 0.214 Steel 45 nW  $3.4 \mu m$  $7 \text{ mm} \times 7 \text{ mm} \times 4 \text{ mm}$ Silicon-based technologydisplacement magnet and a fixed micromachined coil  $13 \text{ mm} \times 13 \text{ mm}$ and a movable magnet membrane 830 110 95.5  $1 \text{ cm}^3$ 0.830 Copper/brass (simulated) 7000 35  $9 \text{ cm}^3$ 0.778 LTCC/beryllium/copper 52 428 mVrms 46 0.5  $0.15 \text{ cm}^3$ 0.306 4 magnets arranged on an etched cantilever with a wound coil 2 - 25Resonant  $0.25 \text{ cm}^3$ 0.008 - 0.102 Vrms Air-cored tubular architecture and flexible translator bearing  $0.05 \text{ cm}^3$ 111.2 mV 412 149.3 8.24 In-plane rotary generator, consisting: multi-layer planar copper microcoil and mutipolar hard magnet 0.5 9500 1.92 Silicon

Table 10. Output voltage, power and power density data for novel electromagnetic devices.

the capacitance decreases [48]. The plates are charged via an external source such as a battery with a voltage, V. The charging of the plates creates equal and opposite charges on the plates, which results in stored charge when the voltage source is disconnected. Electrostatic transduction operates via a constant force, the  $F_{\rm E}$  damping effect, so-called Coulomb damping [190]. The power dissipated by these devices, e.g. the energy dissipated within the damper, is calculated from the product of the force and distance and is expressed as [213]

$$P_{\rm E} = \frac{4Y F_{\rm E} \omega \omega_{\rm c}^2}{2\pi} \left[ \frac{1}{\left(1 - \omega_{\rm c}^2\right)^2} - \left(\frac{F_{\rm E}}{mY \omega^2 \omega_{\rm c}} U\right)^2 \right]^{1/2}, \quad (13)$$

where  $\omega_c = \omega/\omega_n$  and  $U = \sin(\pi/\omega_c)/[1 + \cos(\pi/\omega_c)]$ . The optimum damping force for an electrostatic energy harvesting generator is given by [197]

$$F_{\text{E,opt}} = \frac{Y\omega^2 m}{\sqrt{2}} \frac{\omega_{\text{c}}}{\left| \left( 1 - \omega_{\text{c}}^2 U \right) \right|}.$$
 (14)

The capacitance of these devices can be expressed as [197]

$$C = \frac{Q_{\rm E}}{V_{\rm E}} \tag{15}$$

where C is capacitance in farads,  $Q_{\rm E}$  is the charge on the plate in coulombs and  $V_{\rm E}$  is the voltage on the plates in volts. For a parallel plate capacitor, the capacitance is given by

$$C = \varepsilon \frac{A_{\rm E}}{d_{\rm E}},\tag{16}$$

where  $\varepsilon$  is the permittivity of the material between the plates in units of F m<sup>-1</sup>,  $A_{\rm E}$  is the area of the plates in m<sup>2</sup> and  $d_{\rm E}$  is the separation between the plates in meters.

The voltage across a parallel plate capacitor and the energy stored in the capacitor are given by

$$V_{\rm E} = \frac{Q_{\rm E} d_{\rm E}}{\varepsilon_o A_{\rm E}},\tag{17}$$

where  $\varepsilon_o$  is the permittivity of free space and the energy

$$E_{\rm E} = 0.5 Q_{\rm F}^2 / C. \tag{18}$$

For the constrained charge case, the perpendicular force between the plates is given by

$$F_{\rm E} = \frac{0.5 Q_{\rm E} 2 d_{\rm E}}{\varepsilon A_{\rm E}}.\tag{19}$$

Also, for the case where the voltage is constrained, the perpendicular force between the plates is given by

$$F_{\rm E} = \frac{0.5\varepsilon A_{\rm E} V_{\rm E}^2}{d_{\rm E}}.\tag{20}$$

The harvested energy is derived from the work done against the electrostatic force between the plates. Electrostatic generators can be grouped into three categories: (1) inplane overlap varying, (2) in-plane gap closing and (3) out-of-plane gap closing [47, 48, 183, 196], wherein the three technologies can be operated via charge or voltage constrained modes. In general, the voltage constrained approach provides the most energy. However, the energy produced from the charge constrained approach can approach that of the voltage constrained approach if a capacitor is incorporated in parallel with an energy harvesting capacitor. In-plane gap closing techniques generally offer the highest output power, followed by out-of-plane closing and then in-plane overlap varying [183].

P (μW)	F (Hz)	$A \text{ (m s}^{-2})$	Mass (g)	Volume (cm <sup>3</sup> )	Voltage	Power density	Material
58	4.76	0.5	1200	_	24	_	Aluminum/polyester
8	2520	_	_	75	_	$8 \text{ nW cm}^{-3}$	Silicon
36	6	1	780	_	_	_	Aluminum/polyester
3.7	30	50	0.1	750	_	$4.9 \text{ nW cm}^{-3}$	Silicon/pyrex
110	120	2.25	_	1000	_	$0.11  \mu \mathrm{W \ cm^{-3}}$	Silicon
(simulated)							
97 mV	28 000	1395	_	_		_	Aluminum/gold/glass
0.12	45	0.08	_	_	_	_	Metal
0.065	4200	766	$2 \times 10^{-4}$	_	0.4	_	Gold/silicon
6	10	3.9	_	800	$200 V_{p-p}$	$7.5 \text{ nW cm}^{-3}$	Polymer/glass
80	30	40	_	$Area = 5.5 \text{ cm}^2$	220 V	$14.5 \ \mu \text{W cm}^{-2}$	Glass and silicon
0.3 J/cycle	_	_	0.5 proof mass	_	250 V	_ '	Quartz and silicon
24	10	_	_	$Area = 0.56 \text{ cm}^2$	2.3 kV	$42.9~\mu\mathrm{W}~\mathrm{cm}^{-2}$	Silicon and quartz

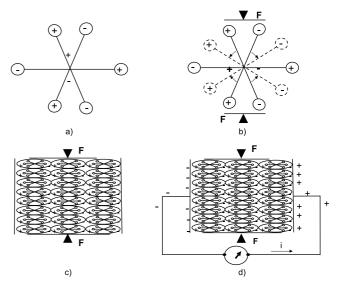
Table 11. Output voltage, power and power density data for novel electrostatic energy harvesting devices.

Electrostatic devices have tremendous capability for integration into microsystems, via the use of silicon micromachining fabrication techniques, and most of the work has focused on in-plane and out-of-plane capacitors [183]. A table of novel technologies is presented in table 11 [47, 183, 190, 204, 212, 214–219]. Power generated by the motion of the human body has been the focus of several workers, such as power generation from the left ventricular wall motion of a laboratory animal used to power a cardiac pacemaker [214] and non-resonant force Coulomb force generators, that derive energy from non-uniform, non-periodic human motion for biosensor applications [190, 220, 221].

In general, the energy density of electrostatic generators can be increased by reduction of capacitor spacing (typically of the order of nm to  $\mu$ m) or by reduction of the capacitor surface area [197].

Electrostatic generators are easily fabricated for MEMS applications, yet there are several challenges for realization of commercial devices. First, these devices require an input charge or voltage. This does not present a problem for devices that can provide an input voltage via batteries or electrets, but can pose a problem for devices where these devices are not suitable. Second, the output impedance and voltage of these electrostatic devices are high, making them less suitable for power supply devices due to reduced amounts of available current resulting from high output voltage. Third, the limited current supply necessitates custom circuitry and signal processing for implantation as energy harvesting devices. Fourth, the parasitic capacitances within the structure can result in reduced efficiency. Lastly, in wafer-scale applications, there are problems associated with electrode shorting.

Piezoelectric materials produce an electrical charge when subjected to mechanical loads. An undisturbed molecular model of a piezoelectric material is shown in figure 6(a) [222]. Here the gravity centers of the positive and negative charges of each molecule are arranged so that their respective charges cancel one another, until a load F is applied as shown in figure 6(b). The applied load causes the molecular structure to deform, which in turn causes a separation of the positive and negative gravity centers, resulting in dipoles, which polarize the material as shown in figure 6(c). The polarized material



**Figure 6.** (a) Undisturbed molecular model of a piezoelectric material, where the molecules are arranged so that their respective charges cancel one another. (b) Molecular structure subjected to an applied load *F* at both ends, where structural deformation causes a separation of positive and negative gravity centers creating dipoles. (c) A polarized piezoelectric material is depicted, wherein facing poles on the inside of the material mutually cancel each other, while positive and negative poles appear at the surface of the material. (d) Neutralization of the polarization effect by the flow of free charge.

consists of poles on the inside of the material that face and mutually cancel each other, while positive and negative poles appear at the surface of the material [222]. The polarization effect is neutralized by the flow of free charge as shown in figure 6(d).

The damping coefficient for piezoelectric transduction is expressed as

$$q_{\rm e} = \frac{2m\omega_{\rm n}^2 k^2}{2\sqrt{\omega_{\rm n}^2 + (1/(R_{\rm load}C_{\rm load})^2)}}.$$
 (21)

In equation (21), k is the piezoelectric material electromechanical coupling factor and  $C_{\text{Load}}$  is the load capacitance. Maximum power is achieved with  $\zeta_{\text{e}}$  and  $\zeta_{\text{p}}$  are equal, which results

in the following expression for the optimal load:

$$R_{\rm opt} = \frac{1}{\omega_{\rm n} C} \frac{2\zeta_{\rm p}}{\sqrt{4\zeta_{\rm p}^2 + k^4}}.$$
 (22)

The three transduction techniques for energy harvesting can be compared based on device efficiency, which is given by

$$\eta = \frac{U_{\text{out}}}{U_{\text{in}}},\tag{23}$$

where  $U_{\rm out}$  is the energy delivered to the electrical load and  $U_{\rm in}$  is the input energy from the excitation vibrations/cycle. In addition to comparison by efficiency, the coupling coefficient, k, is another parameter used to compare the performance of the transduction methods. The coupling coefficient describes how efficiently the external vibration energy is converted to energy stored within the generator. The transmission coefficient,  $\lambda$ , which is mathematically equal to the expression for efficiency provided in equation (23) [47, 197], is also used to compare vibration-based energy harvesting technologies. The maximum transmission coefficient can be expressed as a function of coupling coefficient:

$$\lambda_{\text{max}} = \frac{k}{4 - 2k^2},\tag{24}$$

and is dependent upon the resistive load that is selected to produce maximized values. The maximum power from any of the transducers can be calculated from the expression [47]

$$P_{\max,\lambda} = \lambda_{\max} \omega U_{\text{in}}.$$
 (25)

Equations (24) and (25) can be evaluated for each transduction technique from different expressions for the coupling coefficient [47]. The coupling coefficient for the electromagnetic generator is given by

$$k^2 = \frac{(B\ell)^2}{\chi L_{\text{coil}}}.$$
 (26)

The coupling coefficient for piezoelectric generators is expressed as

$$k^2 = \frac{d^2 E_y}{\varepsilon},\tag{27}$$

where d is the piezoelectric strain coefficient and  $E_y$  is Young's modulus (Pa). The coupling coefficients for electrostatic generators are nonlinear and dependent on the geometry and operating conditions of the device [197]. However, an expression for an out-of-plane parallel plate capacitor operating in constant charge mode is given by [197]

$$k^{2} = \frac{V_{\rm in}^{2} C_{\rm max}^{2}}{V_{\rm in}^{2} C_{\rm max}^{2} + m\omega^{2} z^{2} C(z)}.$$
 (28)

In equation (28),  $V_{\rm in}$  is the input voltage, z is the displacement of the top electrode and  $C_{\rm max}$  is the maximum capacitance. From this expression it is evident that the coupling coefficient varies with displacement, and hence throughout the cycle. Electromagnetic and piezoelectric generators share

the same expression for maximum energy density, which is expressed as

$$\tilde{E} = \frac{k^2 \rho (Q_{\rm M} A)^2}{4\omega},\tag{29}$$

where  $\rho$  is the density of the proof mass material,  $Q_{\rm M}$  is the mechanical quality factor of the generator and A is the magnitude of the acceleration of the excitation vibration. The average power density for an electrostatic generator can be calculated from

$$\tilde{P}_{\text{ave}} = f \frac{\rho (Q_{\text{M}} A)^2}{2\omega^2} \int_{1}^{2} k(t) \, dt,$$
 (30)

where f is the frequency of the generator. Values of transmission and coupling coefficients of the three transduction techniques have been compared [47]. In these studies, the transmission and coupling coefficient contours were plotted against the frequency and area enclosed by the conductor loop. Since the electromagnetic coefficients are dependent on the design of the device, the electromagnetic properties that were assumed in the study were 7.5 g cm $^{-3}$  and 0.1 T for the mass density and magnetic flux density, respectively. Given these values, the coefficients and power densities of piezoelectric and electromagnetic devices were comparable. However, for different design characteristics of the electromagnetic device, this may not be the case. In the same study, the average coupling coefficient was plotted versus the frequency and gap of a 1 cm<sup>2</sup> parallel plate with a mass density of 7.5 g cm<sup>-3</sup> and  $Q_{\rm M}$  of 30. Although these values were comparable to the electromagnetic and piezoelectric values, the amplitudes of the displacement values were unrealistically high compared to the minimum capacitor separation gap. In another study [55], the maximum achievable energy densities for piezoelectric, electromagnetic and electrostatic transduction were 35.4, 24.8 and 4 mJ cm<sup>-3</sup>, respectively. In this study, the piezoelectric device was made of PZT-5H, the electromagnetic device had a magnetic flux density of 0.25 T and the electrostatic device had a supply voltage of  $3 \times 10^7 \text{ V m}^{-1}$ .

The remainder of this review focuses on piezoelectric energy harvesting devices because piezoelectric energy harvesting devices are the simplest (of the three vibration-based devices, e.g. electromagnetic, electrostatic and piezoelectric) means of scavenging power directly from the structural vibration for several reasons. First, piezoelectric devices directly convert vibration energy to a voltage. Second, numerous additional components and complex geometries are not required for realization of workable devices [197]. Therefore, these devices are relatively simple in comparison to the other vibration-based energy harvesting devices to fabricate. Third, piezoelectric generators are well suited for application to both micro- and macroscaled devices, as evidenced by the available thin- and thick-film fabrication techniques [223-237] and macroscaled [58, 186–188, 238–249] devices, as opposed to electromagnetic devices, which are not easily fabricated on the microscale. Fourth, these devices do not require an input voltage as opposed to electrostatic devices. Also, piezoelectric devices can deliver relatively high output voltages (though at low electrical currents), as opposed to electromagnetic generators that may require transformers to meet applications with required voltages higher than  $\sim$ 2 V. Since production of power via piezoelectric devices requires strain and deformation of the material, the performance, lifetime and transduction efficiency are dependent upon the material properties.

# 4. Piezoelectrics for energy harvesting

### 4.1. Electromechanical governing equations and constants

Piezoelectric materials produce a voltage across them when a mechanical stress or strain is applied, or vice versa. The linear constitutive equations that describe the mechanical and electrical behavior of piezoelectric materials are [47, 211, 250]

$$S_{ij} = S_{ijkl}^{\mathrm{E}} T_{kl} + d_{kij} E_k \tag{31}$$

$$D_i = d_{ikl} T_{kl} + \varepsilon_{ik}^{\mathsf{T}} E_k. \tag{32}$$

In equations (31) and (32) subscripts i, j, k and l take values of 1, 2, and 3. S and T are strain and stress tensors, respectively. T has SI units of N m<sup>-2</sup> and represents stresses that are induced by the mechanical and electrical effects. D and E are the electric displacement and electric field vectors, with SI units of C m<sup>-2</sup> and V m<sup>-1</sup>, respectively. Also,  $s^E$  is the elastic compliance matrix evaluated at a constant electric field with SI units of m<sup>2</sup> N<sup>-1</sup>, d is a matrix of piezoelectric strain coefficients with SI units of m V<sup>-1</sup> and  $\varepsilon^T$  is a matrix of permittivity values that are evaluated at a constant stress with SI units of N V<sup>-2</sup>. In equations (31) and (32) d represents the charge created by a given force in the absence of an electric field (short circuit electrical condition), or the deflection caused by an applied voltage in the absence of an applied force (stressfree mechanical condition) [251].

Thermal effects have also been included in the constitutive equations [252, 253] for piezoelectric materials. These models are especially important for ferroelectric relaxor-type and composite materials because they behave nonlinearly with temperature and frequency. The thermo-piezoelectric constitutive equations at constant temperature and voltage that incorporate the coefficient of thermal expansion and pyroelectric effects are as follows:

$$S_{\varpi} = s_{\varpi\beta}^{E,T}(T)\sigma_{\beta} + d_{\varpi m}^{T}(T)E_{m} + \alpha_{\varpi}^{E,T}(T)\theta \qquad (33)$$

$$D_{\rm m} = d_{m\varpi}^{\rm T}(T)\sigma_{\varpi} + \varepsilon_{mk}^{\sigma,T}(T)E_k + \mathcal{P}_{\rm m}^{\sigma,T}(T)\theta, \qquad (34)$$

where superscripts E, T and  $\sigma$  indicate constant voltage, temperature and stress conditions, and  $\varpi$  and  $\beta = 1, \ldots, 6$  and k and m = 1, 2, 3. The other values,  $\mathcal{P}, s, S, T, d, E, \alpha, \theta, D$  and  $\varepsilon$ , represent the pyroelectric constant, elastic compliance tensor, strain, temperature, piezoelectric strain coefficient, electric field, coefficient of thermal expansion, temperature difference, electric displacement and electric permittivity, respectively. Although there have been a great deal of predictive models derived for performance of piezoelectric materials, the majority have been for specific designs and have neglected temperature and nonlinear material responses.

Other coefficients and factors used to describe the properties of piezoelectric materials include coupling coefficient (described in section 3.3), voltage coefficient and mechanical

quality factor. In addition to the expression for coupling coefficients found in section 3.3, an expression for a composite piezoelectric material, the effective electromechanical coupling factor,  $k_{\rm eff}$  [254], is expressed as

$$k_{\rm eff} = \sqrt{1 - \left(\frac{F_{\rm r}}{F_{\rm a}}\right)^2},\tag{35}$$

where  $F_r$  is the resonance frequency (Hz) and  $F_a$  is the antiresonance frequency (Hz) of a piezoelectric cantilever beam. The voltage coefficient, g (V m N<sup>-1</sup>) [250, 254] is expressed as

$$g = \frac{d}{\varepsilon^{\mathrm{T}}}.$$
 (36)

The mechanical quality factor,  $Q_{\rm M}$  [250], is defined as

$$Q_{\rm M} = 2\pi \frac{\text{energy stored/cycle}}{\text{energy dissipated/cycle}}.$$
 (37)

The amount of energy,  $E_{\rm C}$ , stored in a piezoelectric element is

$$E_{\rm C} = \frac{1}{2}CV^2 \tag{38}$$

where C is the capacitance of the piezoelectric source and V is the voltage produced [249].

In addition to the expression for efficiency described in section 3.3, the efficiency of piezoelectric devices can also be computed from two additional expressions [255–257]. Equation (39) provides another expression for efficiency, wherein the ability of the piezoelectric material to convert mechanical energy to electrical energy is expressed as a function of coupling coefficient and mechanical quality factor. This expression [257] is significant because it illustrates that increasing k and  $Q_{\rm M}$  enhances the efficiency of the device, and hence provides a good guideline for material selection. It illustrates that reduction of the structural stiffness can lead to the largest gain in efficiency:

$$\eta = \frac{\frac{1}{2} \left(\frac{k^2}{1 - k^2}\right)}{\frac{1}{Q_{\rm M}} + \frac{1}{2} \left(\frac{k^2}{1 - k^2}\right)}.$$
 (39)

The other [58] expression for efficiency is based on a piezoelectric cantilever beam subjected to a vibration load:

$$\eta = \frac{P_{\text{out}}}{P_{\text{in}}} \times 100\%$$

$$= \frac{1}{M} \sum_{n=2}^{M} \frac{(V_n + V_{n-1})^2 / R}{[(F_n + F_{n-1})(\delta_n - \delta_{n-1})] / (t_{n-t_{n-1}})} \times 100\%.$$
(40)

In equation (40), V is the voltage drop across the load resistance, R is the load resistance, F is the force applied to the base of the cantilever beam,  $\delta$  is the displacement of the beam, t is the time increment between captured data points, t is the data point index and t is the total number of data points measured.

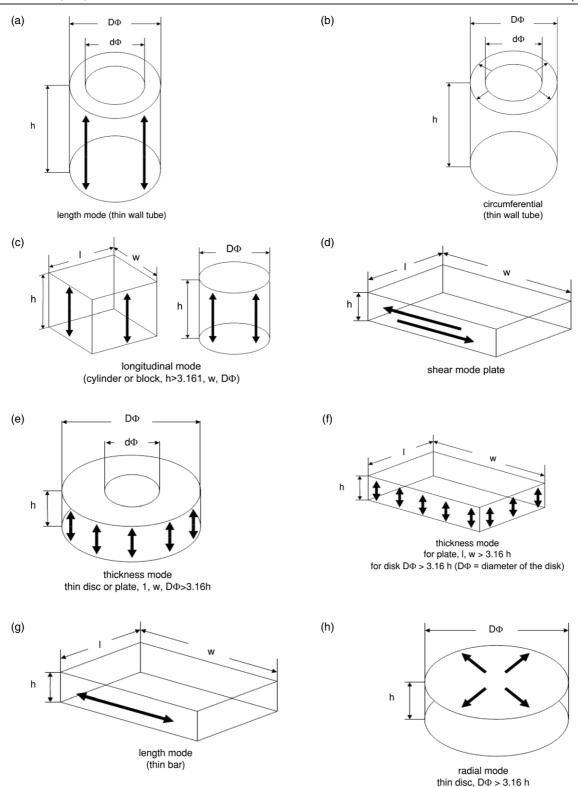
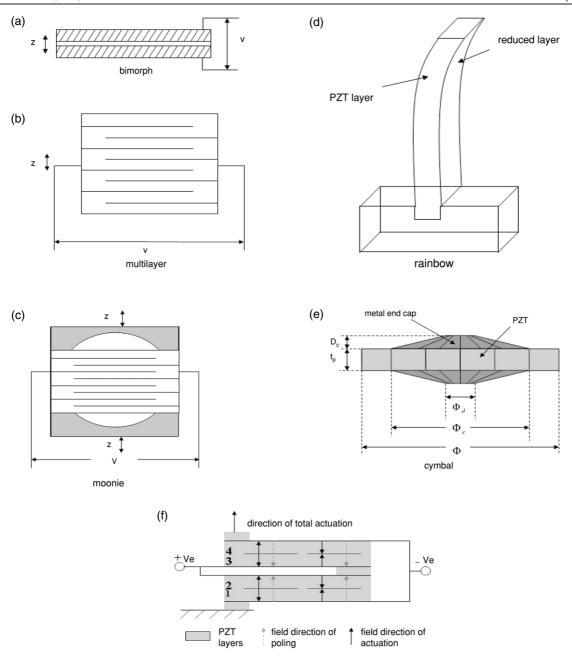


Figure 7. Common modes of vibration.

# 4.2. Piezoelectric structures and modes of mechanical loading

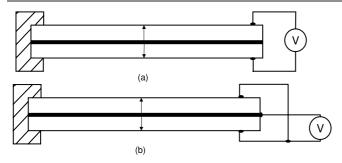
Piezoelectric materials produce an electrical charge when subjected to mechanical loads and/or vibration. The voltage and charge produced depend on the mechanical, electrical and material properties of the piezoelectric, the mechanical loading and the structure of the device used. Modes of vibration are presented in figures 7(a)–(h) [258]. Examples of piezoelectric structures are: unimorphs, bimorphs, multilayered stacks, rainbows, s-morphs, moonie and cymbal. Some of these structures are shown in figures 8(a)–(f) [258].



**Figure 8.** (a) Bimorph piezoelectric structure. (b) Multilayer piezoelectric structure. (c) Moonie piezoelectric structure. (d) RAINBOW piezoelectric structure. (e) Cymbal piezoelectric structure. (f) S-morph piezoelectric structure.

Unimorphs are the building block structure for bimorphs and multi-layer stacks. Unimorphs consist of a piezoelectric material sandwiched between two electrodes. Frequently, a metallic, elastic shim is bonded to one side of the material to improve the structural properties of the device. A bimorph consists of two piezoelectric plates bonded together to form either an antiparallel (series) or parallel-type generator, as shown in figures 9(a) and (b), respectively [258], and multi-layer structures comprise more than two layers of unimorphs. Multilayer piezoelectric structures have enhanced structural rigidity, which often result in longer device life cycles. Moonies consist of a thin multi-layer element and two metal plates with a moon-shaped cavity bonded together [258]. The moonie configuration was developed

to produce larger deflections than multi-layered structures and response times for applied voltage that are quicker than bimorphs. Cymbal (dimorph) devices consist of one or more layers of piezoelectric material sandwiched between cymbal-shaped end caps [242, 243, 254]. Reduced and internally biased oxide wafers (RAINBOW actuators) have an electromechanically reduced passive layer on an unreduced piezoelectric layer [259]. S-morphs are accordion-shaped structures developed for optimization of displacement and bending [260]. The s-morph shape is achieved by splitting the central electrode and applying opposite actuation field directions over half of the beam, resulting in a 'S' shape rather than the constant curvature of a bimorph beam. The majority of the piezoelectric structures were developed to enhance



**Figure 9.** (a) Antiparallel polarization piezoelectric bimorphs. (b) Parallel polarization piezoelectric bimorphs.

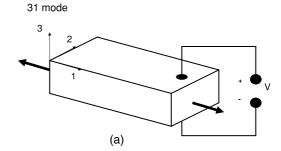
transducer performance; however, only a few of the structures (bimorphs, dimorphs and moonies) have been used for energy harvesting.

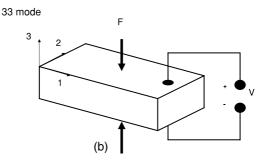
The mounting of piezoelectric generators can vary: however, the most common mounting configuration is a cantilever beam subjected to 33 and 31 loading as illustrated in figures 10(a) and (b) [48], wherein x, y, and z are labeled 1, 2 and 3, respectively. In 33-mode, the voltage and stress act in the same direction and in 31-mode the voltage acts in the 3 direction, while the mechanical stress acts in the 1 direction. For structures with a rectangular cross section and surface area, the poling direction is denoted as the '3' direction, and 33 loading refers to the collection of charge on the electrode surface perpendicular to the polarization direction when tensile or compressive mechanical forces are applied along the polarization axis. When a material experiences '31' loading, the charge is collected on the electrode surface perpendicular to the polarization direction, when force is applied perpendicular to the axis of polarization. Since the coupling factor of the 33-mode is higher than 31-mode, the 33mode can achieve higher energy conversion. However, for a very low pressure source and limited device size, the 31-mode conversion may be more suitable for energy harvesting, since larger strains can be produced with smaller input forces with 31 loading.

# 4.3. State-of-the-art in energy harvesting using piezoelectric materials

The piezoelectric effect is expressed in materials such as single crystals, ceramics, polymers, composites, thin films and relaxor-type ferroelectric materials. Of the existing piezoelectric materials, polymers and ceramics [242, 243, 251, 261] have been most widely explored as energy harvesting materials. This section describes the state-of-the-art in piezoelectric materials development for energy harvesting and transducer applications.

Single-crystal piezoelectric materials include quartz, lithium niobate (LiNbO<sub>3</sub>) and lithium tantalate (LiTaO<sub>3</sub>), and are currently used for frequency stabilized oscillators and surface acoustic devices. Single-crystal materials are isotropic, e.g. have different material properties depending on the cut of the material and direction of bulk or surface wave propagation [222, 258], and have high electromechanical coupling coefficients for surface acoustic waves. Although





**Figure 10.** (a) 31-mode of loading for piezoelectric structures. (b) 33-mode of loading for piezoelectric structures.

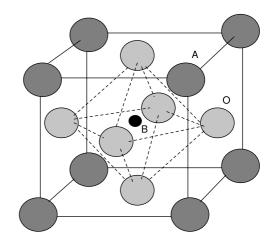


Figure 11. Perovskite structure common in most ceramic piezoelectric materials.

these types of materials have been investigated for oscillator and acoustic devices, investigation of these materials for energy harvesting is limited. In one study [262] the efficiency of lithium niobate (LiNbO<sub>3</sub>) was compared to the piezoelectric ceramic, PZT, where plates were subjected to hammer impact. The electricity generated per impact for LiNbO<sub>3</sub> was smaller than PZT, because the dielectric constant of LiNbO<sub>3</sub> was smaller than for the commercial PZT studied. However, the piezoelectric efficiency of LiNbO<sub>3</sub> (78%) was greater than the commercially manufactured PZT (68%) studied.

Ceramic piezoelectric materials include barium titanate (BaTiO<sub>3</sub>), lead zirconate–lead titanate (PbZr<sub>x</sub>Ti<sub>1-x</sub>O<sub>3</sub>)–PZT and lead titanate (PbTiO<sub>3</sub>, PCT). Most piezoelectric ceramics have a perovskite structure ABO<sub>3</sub> (figure 11 [222]), consisting of a simple cubic structure with a large cation, A,

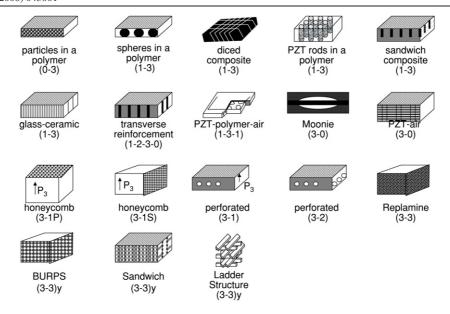


Figure 12. Schematics of typical piezoelectric ceramic composite structures.

on the corner, a smaller cation, B, in the body center and oxygen, O, in the centers of the faces [222]. These materials have been extensively studied for energy harvesting because perovskite materials can be easily tailored for various applications by incorporating different types of cations within the structure. The dielectric and piezoelectric constants of barium titanate are a function of temperature, stoichiometry, microstructure and doping. Examples of dopants used for BaTiO<sub>3</sub> materials are lead (Pb) or calcium (Ca), which enter A or B sites. As opposed to BaTiO<sub>3</sub>, the piezoelectric constants for PZT are not strongly dependent upon temperature, but rather on material composition. Doping of these materials with donors or acceptors produces dramatic material property changes. Common doping ions used are Nb<sup>5+</sup> and Ta<sup>5+</sup>, which when used in PbZr<sub>x</sub>Ti<sub>1-x</sub>O<sub>3</sub> result in soft materials such as PZT-5. One study examined the influence of doping PZT with Mn (Pb<sub>0.99</sub>Mn<sub>0.01</sub>)(Zr<sub>0.52</sub>Ti<sub>0.48</sub>)O<sub>3</sub> [263]. In this study, samples were subjected to compression from drop-weight impact tests. The results from these experiments indicated that the voltage released from the slowly applied stress (compression) was of the same order of magnitude as the impact stress tests, but the energy released from the impact stress was lower than the slowly applied stress. These tests rendered a 10-6 conversion ratio of energy for the impact stress case and 10-2 for the slowly applied stress case. Others [264] have investigated the influence of doping parameters in PZT materials. In one study PZT was doped with Mn:  $Pb[(Zr_{0.52}Ti_{0.48})O_3]_{1-x}[(Zn_{1/3}Nb_{2/3})O_3]_{x+y}MnCO_3$ , where x =0.1 and y was varied from 0.5 to 0.9 per cent weight. In these studies, a figure of merit,  $d \cdot g$  product was computed for comparison of piezoelectric materials for energy harvesting applications. The product  $d \cdot g$ , was optimized from the maximization of the expression

$$|d| = \varepsilon^{\nu},\tag{41}$$

where  $\varepsilon$  was the permittivity of the material and  $\nu$  was obtained from empirical data. In equation (41), practical values of  $\nu$ 

were in the range of 1.1-1.3. This same study also included the development of a two-step sintering process to optimize polycrystalline ceramic composition with low magnitudes of  $\nu$ .

The fabrication of lead titanate materials with lanthanum and calcium has been demonstrated via soft solution processing [233], sol-gel spin coating [226] and traditional dry ceramic techniques [265]. Samarium-modified PCT was investigated for application to infrared sensors, electrooptic devices and ferroelectric memory devices. study [266, 267] samarium-modified PCT with composition  $(Pb_{0.76-x}Sm_xCa_{0.24})(Ti_{0.98}Mn_{0.02})O_3$  was prepared where x was varied from 0 to 0.08. Increasing Sm concentration increased the dielectric constant, due to the reduced tetragonality of the structure and denser structure from the Sm substitution. Polycrystalline samples of lanthanum-modified PCT ceramics [265] with composition  $Pb_{0.76-3x/2}La_xCa_{0.24}Mn_{0.02}Ti_{0.98}O_3$ were fabricated with x = 0-0.08 using a conventional dry ceramic processing technique. The dielectric constant of these materials at room temperature increased with elevated amounts of lanthanum.

*Polymer* piezoelectric materials such as polyvinylidene difluoride (PVDF) or PVF<sub>2</sub> demonstrate the piezoelectric effect when they are stretched or formed during fabrication. The copolymerization of vinylidene difluoride with trifluroethylene (TrFE) produces a copolymer called PVDF-TrFE, which does not need to be stretched to induce piezoelectric behavior.

Composite piezoelectric materials comprise a piezoelectric ceramic and a polymer. Piezoelectric composites are defined [268] based on their connectivity between phases. When only two materials are used, e.g. two phases (diphasic), there are ten possible connectivities: 0–0, 1–0, 2–0, 3–0, 1–1, 2–1, 3–1, 2–2, 3–2 and 3–3. Several composite structures that represent some of these connectivities are shown in figure 12 [269]. Many of these structures have yet to be realized, while the majority of composite piezoelectric work has been limited

to PZT rod and fibrous composites. The influence of diameter size on performance [270] of fibrous PZT composites with diameters of 15, 45, 120 and 250  $\mu \rm m$ , respectively (1–3 composite form), produced the maximum voltage achieved of 350 V with a corresponding output power of 120 mW. 1–3 piezoelectric composites comprised of PZT rods embedded within a polymer matrix are predicted to be one of the most promising structures for transducer and acoustic applications, because of high coupling factors, low acoustic impedance, mechanical flexibility and broad bandwidth coupled with low mechanical quality factor. The high coupling factors also make them ideal candidates for energy harvesting devices.

Relaxor-type ferroelectrics differ from traditional ferroelectric materials because they have a broad phase transition from paraelectric to ferroelectric state, dielectric relaxation and weak remnant polarization. The dielectric maximum and amplitude of dielectric permittivity of these materials depend on both frequency and temperature [271]. Several relaxor-type ferroelectric materials have been investigated for transducer applications: single crystals of Pb(Mg<sub>1/3</sub>Nb<sub>2/3</sub>)O<sub>3</sub> (PMN), Pb(Zn<sub>1/3</sub>Nb<sub>2/3</sub>)O<sub>3</sub> (PZN) and binary systems of these systems coupled with PbTiO<sub>3</sub>, PMN-PT and PZN-PT. These materials are under investigation because of their large coupling coefficients, large piezoelectric constants and high strain levels, which are higher than many piezoelectric ceramic materials [272]. PMN-PT single crystals have high longitudinal electromechanical coupling factors that are 90% greater than PZT [273]. PMN, PZN, PMN-PT and PZN-PT are currently under investigation for transducer technology. However, there has been little investigation of these materials as energy harvesters.

Nanowire zinc oxide arrays have been grown on a single-crystal sapphire substrate [274]. The nanowires were aligned and activated via contact from a conductive atomic force microscopy tip. The bending of the nanowires resulted in a strain field and charge separation across the nanowires. Also, the Schottky barrier formed between the AFM tip and nanowire resulted in current flow. In this study, the nanowire diameters and lengths were 300 nm and 1  $\mu$ m, respectively, and individual wires produced output power of 5 pW at 45 mV.

# 4.4. Proof-of-concept devices and fabrication techniques

Proof-of-concept prototypes and fabrication techniques have been explored for piezoelectric energy harvesting. Table 12 [19, 181, 182, 186, 230, 248, 249, 251, 254, 275, 276] details novel piezoelectric devices, their application and power, voltage and current data.

Thin- and thick-film piezoelectric material fabrication techniques such as photomask [230], screen print [182], sol-gel [277] and photolithography [19, 277] have been demonstrated for PZT, PZN-PT and PMN-PT structures for energy harvesting. In addition to these MEMS devices, macroscaled energy harvesting proof-of-concept devices have also been fabricated.

Windmills. Three types of windmill designs have been [245, 248, 275] constructed. The first design consisted of PVF<sub>2</sub> bimorphs subjected to 33 compressive loading from rotation and mechanical oscillation from the wind. It was

concluded from this study that for compressive 33-mode loading, higher oscillating frequencies were necessary, and one means of achieving this was to scale down the oscillator size and increase the number of oscillating devices. The second piezoelectric windmill design comprised of twelve PVDF piezoelectric bimorphs positioned along the circumference of the mill with cantilever supports. In this design, wind flow induced a camshaft gear mechanism to oscillate, thereby causing the bimorphs to deflect, resulting in electrical power. The third windmill design was created for small-scale applications. The structure was made primarily of plastic and comprised 18 bimorphs. This windmill when subjected to an average wind speed of 10 miles h<sup>-1</sup> produced 5 mW of continuous power.

Shoe inserts. Several workers have investigated energy harvesting from piezoelectric shoe inserts. One design comprised two shoe inserts [249] subjected to 31-mode loading, wherein one insert was a flexible PVDF bimorph mounted under the insole of the shoe, while the other was a dimorph structure consisting of a curved semi-flexible PZT material laminated onto pre-stressed metal strips, subjected to heel pressure. The authors concluded that, since the low frequency piezoelectric sources were capacitive, they produced high voltage, low energy and low current pulses (10-7 A), which were an efficient means of conversion of mechanical to electrical energy, as long as adequate power conditioning was provided. The second shoe insert design [278] was also made of a PVDF bimorph subjected to 31-mode loading conditions. These workers concluded that piezoelectric rectangular beams subjected to 31-mode loading conditions required high length to height ratios in order to produce maximum charge density. A third shoe insert design [261] comprised a two-layer curved unimorph shoe insert. This design was modeled using shallow thin shell theory and linear piezoelectric constitutive equations, and was used to identify the influence of thickness, length, width and center height on maximum charge density. These workers found that the dimensions of the unimorph influenced its overall power production. Specifically, they found that increasing the width of the unimorph resulted in higher charge generation than increasing the length. Second, they concluded that increasing the center height and thickness of the substrate (limited by available input force) also enhanced charge generation of the generator.

Other workers have investigated the influence of geometrical modification on device performance. For example, one group fabricated clamped short discs for piezoelectric energy harvesting [242, 243] and concluded that the ratio of layer thickness (piezoelectric layer to substrate layer) and electrode pattern significantly influences the energy harvested from the piezoelectric device.

Implantable devices. Several groups have investigated piezoelectric energy harvesting from implantable devices. In one study [181], PZT-5A, PZT-5H and PVDF membranes were used to produce power from fluctuating blood pressure. Although the devices did produce power, the amount of power produced was insufficient for the proposed application. The workers concluded that further development of materials, signal processing and device design were required for the

Table 12. Description of novel piezoelectric energy harvesting devices, along with power and energy capabilities.

Material	Application	Dimensions	Power	Energy/power density	Voltage	Load
PZT composite-d33 compressive loading of 39 MPa	Composite	_	_	12 mW cm <sup>-3</sup>	_	_
PVF2, d33 compressive load	Wind mill rotor design	$500 \mu\text{m} \times 90 \text{mm} \times 70 \text{mm}$	$2.4~\mu\mathrm{W}$	$0.76~\mu\mathrm{W~cm^{-3}}$	1 V	$400k\Omega$
PVDF bimorph, d31-mode		_	1.3 mW	_	18 V	$250  \mathrm{k}\Omega$
PZT dimorph, d31-mode	Shoe insert	_	8.4 mW	_	64.8 V	$500  \mathrm{k}\Omega$
PVDF bimorph windmill, 12 cantilever bimorphs d31 loading	Windmill	Each bimorph: $60 \times 20 \times 0.5 \text{ mm}^3$	10.2 mW	$1.42 \; \rm mW \; cm^{-3}$	6.8 V	$4.6 \text{ k}\Omega$
PZT-5A membrane, d31 loading from blood pressure	Biomedical	Surface area = $1 \text{ cm}^2$ Thickness = $9 \mu \text{m}$	$2.3~\mu\mathrm{W}$	$2.6~\mathrm{mW~cm^{-3}}$	_	_
PZT rectangular structure, knee implant, d33 compressive loading	Knee implant	$1.0\times1.0\times1.8~\text{cm}^3$	4.8 mW	$0.89 \; \mathrm{mW} \; \mathrm{cm}^{-3}$		_
PZT thin-film membrane generator	Hybrid	Surface area = $3 \text{ mm}^2$ Thickness = $3.4 \mu \text{m}$	56 μW	$5.5~\mathrm{W}~\mathrm{cm}^{-3}$	_	_
coupled with heat engine PZT cymbal device, d33 loading	Cymbal	Diameter = 29 mm Thickness = 1 mm	29 mW	$43.9 \text{ mW cm}^{-3}$	_	_
PZT projectile generator, d33 compressive loading	Pulse generator	Diameter = $1.27 \text{ cm}$ Thickness = $0.13 \text{ cm}$	25 kW	$151.4 \text{ kW cm}^{-3}$	500 V	10 Ω
PMN-PT composite-d33 compressive loading of 40.4 MPa	Composite	_	_	22.1 mW cm <sup>-3</sup>	_	_
Material	Dimensions	Power	Application	Energy/power density	Voltage	Resistance
Unimorph membrane transducer subjected to shaker vibration	Total radius = 20.5 mm, PZT radius = 12.5 mm, PZT thickness = 230 $\mu$ m, bras thickness = 400 $\mu$ m	1.7 mW	Low power sensors	$0.106 \text{ mW cm}^{-3}$	9 V	47 kΩ
PZT thin-film d33-mode cantilever generator	$170 \ \mu\mathrm{m} \times 260 \ \mu\mathrm{m}$	$1~\mu\mathrm{W}$	MEMS	$0.74~\mathrm{mWh~cm^{-2}}$	2.2 V	$5.2~\mathrm{M}\Omega$
PZT thick-film operating at 80 Hz	$20~\mu \mathrm{m}$ thick layers	$2~\mu\mathrm{W}$	MEMS	_	0.816 V	$333~k\Omega$
PZT with 1.5 MPa lateral stress operating at 15 Hz	Volume = $0.2 \text{ cm}^3$	1.2 mW	Autonomous wireless transmitter	$6 \text{ mW cm}^{-3}$	9 V	_
PZT stacked generator–164 layers at 1 Hz subjected to 250 N	$5 \text{ mm} \times 5 \text{ mm} \times 8 \text{ cm}$	$690~\mu\mathrm{W}$	Power from activated muscle	$345~\mu\mathrm{W~cm^{-3}}$	19.3 V	$540~k\Omega$
PZT, steel and brass drum actuator subjected to cyclic stress of 0.7 N at 590 Hz	Volume = $0.51 \text{ cm}^3$	11 mW	_	$21.57 \text{ mW cm}^{-3}$	14.07	18 kΩ
Nanowire arrays of piezoelectric and semiconductive ZnO	300 nm diameter wire Array dimensions: $6.5 \mu m \times 3.2 \mu m$	10–20 pW/wire	Biosensors and self-powered electronics	0.1–0.2 mW cm <sup>-2</sup>	20 mV	

realization of devices for adequate power production. A 33-mode PZT generator prototype for powering an orthopedic knee implant [246, 251] was fabricated for implantation within a total knee replacement implant. Although the actual device was not implanted, it was subjected to lifetime studies. The results indicated a linear decrease in power per decade of operational time. A comparison of stacked versus monolithic elements of PZT was also investigated in this study and it was concluded that elements with the same geometry produced the same output power, with matching loads. However, the stacked elements required lower matching electrical load

requirements, resulting in lower output voltages, which were more manageable in real world applications.

Wave energy. Devices, called *Eels*, have been fabricated to harvest energy from water movement [279, 280]. These devices were comprised of PVDF beams that were placed in the wake of a bluff body in oceans and rivers. Preliminary studies indicate that larger scaled devices would produce power in the milliwatt and watt power ranges.

Pulse generators. Pulse generators [186, 188] are piezoelectric materials that are subjected to a high impact force or projected at a high speed onto a rigid surface (33-mode

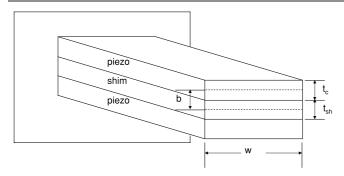


Figure 13. Typical cantilever generator.

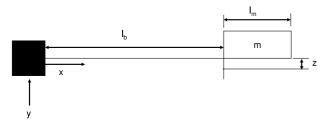


Figure 14. Side view of cantilever structure that included mass.

loading). Although these devices produced relatively large power compared to 31-mode load devices, applications for such devices are still unclear.

### 4.5. Predictive models of piezoelectric devices—circuit models

Several numerical models to describe the behavior of specific piezoelectric devices have been developed. Piezoelectric generators are typically modeled as circuit elements [47, 48, 55, 196]. The models in this section apply to generators that are poled along the 3-axis with electrodes placed perpendicular to the 3-axis (figures 13 and 14). Driving vibrations are assumed to exist only along the 3-axis, and the piezoelectric material is assumed to have a one-dimensional state of stress along the 1-axis. Given these assumptions, the constitutive equations for strain and electrical displacement reduce to the following:

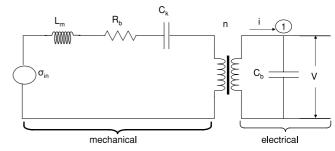
$$S_1 = S_{11}^{\rm E} T_1 + d_{31} E_3 \tag{42}$$

and

$$D_3 = d_{31}T_1 + \varepsilon_3^{\rm T} E_3 \tag{43}$$

respectively.

4.5.1. Simple model of cantilever bimorph—no resistive load [55]. The electrical circuit for a bimorph cantilever system with no resistive load is depicted in figure 15 [55]. The equivalent circuit for this model includes an equivalent inductor,  $L_{\rm m}$ , resistor,  $R_{\rm b}$ , capacitor,  $C_k$ , and stress,  $\sigma_{\rm in}$ . The equivalent inductor is the mass or inertia of the generator, the equivalent resistor represents the mechanical damping, the equivalent capacitor is the mechanical stiffness and the equivalent stress is the stress resulting from input vibration.  $C_{\rm b}$  is the capacitance of the bender, n' is the equivalent turns



**Figure 15.** Simple model of cantilever bimorph with no resistive load

ratio of the transformer, e.g. the piezoelectric coupling, and V is the voltage across the piezoelectric device. In this model, the mechanical side is uncoupled, meaning the stress is  $\sigma$ , and not T, which is induced by mechanical and electrical effects. The system of equations derived in this model are determined using Kirchhoff's voltage and current laws. Specifically, summing the voltage around the mechanical side of the circuit in figure 15 results in the expression

$$\sigma_{=}L_{\mathrm{m}}\ddot{S} + R_{\mathrm{b}}\dot{S} + \frac{S}{C_{k}} + n'V \tag{44}$$

where

$$n' = \frac{-ad_{31}C_{\rm p}}{2t_{\rm c}}. (45)$$

Summing the current at node 1 (circled in figure 15) yields the following expression for current:

$$i = C_{\rm b} \dot{V}. \tag{46}$$

Equations (44)–(46) coupled with equations (42) and (43) are used to derive a system of equations that are a function of state variables S,  $\dot{S}$  and V in [55]. The resulting system of equations in terms of state variables are

$$\ddot{S} = \frac{-C_{\rm p}}{k_1 k_2} S - \frac{b_{\rm m}}{m} \dot{S} + \frac{C_{\rm p}}{k_1 k_2} \frac{d_{31} a}{2t_{\rm c}} V + \frac{\ddot{y}}{k_2}$$
(47)

and

$$\dot{V} = \frac{2t_{\rm c}d_{31}C_{\rm p}}{a\varepsilon}\dot{S},\tag{48}$$

where  $c_p$  is the elastic constant for the piezoelectric material and  $b_m$  is the mechanical damping. In equations (45), (47) and (48), a is equal to 1 when the two layers of the device are wired in series and equal to 2 when the layers are wired in parallel. Also, in equations (47) and (48), m is the seismic mass located at the free end of the cantilever structure,  $d_{31}$  is the piezoelectric constant and  $\varepsilon$  is the dielectric constant in the 3 direction. Also

$$k_1 = \frac{b(2l_b + l_m - l_c)}{2I},\tag{49}$$

and

$$k_2 = \frac{l_b^2}{3b} \frac{(2l_b + \frac{3}{2}l_m)}{(2l_b + l_m - l_c)},$$
 (50)

where  $l_c$  is the length of the electrode covering the piezoelectric material,  $l_b$  is the length of the piezoelectric element not

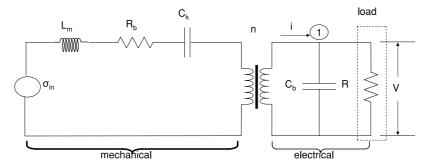


Figure 16. Model of cantilever bimorph with resistive load.

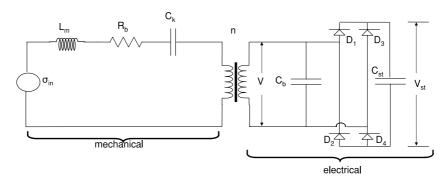


Figure 17. Model of cantilever bimorph with capacitive load.

covered by the seismic mass and  $l_{\rm m}$  is the length of the mass at the free end of the bimorph. Also, in equations (49) and (50) I is the effective moment of inertia, where  $\eta_{\rm s}=C_{\rm sh}/C_{\rm p}$ , and  $C_{\rm p}$  and  $C_{\rm sh}$  are the elastic constants for the piezoelectric material and center shim of the bimorph, respectively. I is given by

$$I = 2\left[\frac{wt_{\rm c}^3}{12} + wt_{\rm p}b^2\right] + \frac{\eta_{\rm s}wt_{\rm sh}^3}{12}.$$
 (51)

In equation (51)  $t_c$  is the thickness of the piezoelectric material,  $t_{sh}$  is the thickness of the shim material and w is the width of the beam. In these expressions,  $k_1$  is a geometric constant relating the average stress in the piezoelectric material to force exerted by the mass on the end of the beam.

The assumptions used in developing this model were (1) the mass on the end of the beam acts as a point load located at the center of mass, (2) the moment due to rotational inertia of the proof mass was neglected, which is insignificant for the fundamental vibration mode, and (3) the mass of the beam was neglected, which is assumed to have minimal influence on the result since the size of the actuators under investigation are relatively small. This model does not include a resistive or capacitive load and thus acts as a building block for the next two models describes in sections 4.5.2 and 4.5.3. Since this model does not include a resistive or capacitive load, power derived from the system cannot be calculated.

4.5.2. Model of cantilever bimorph with resistive load. This model was informed by the first model described above (section 4.5.1), where no electrical load is applied, e.g. no power is transferred. This model incorporates a resistive

load and thus allows for the calculation of power delivered to an electrical load. A circuit representation of this model is provided in figure 16. In this model, the mechanical side of the equivalent circuit remains unchanged, and thus the expression for  $\ddot{S}$ , in equation (47), remains the same, along with equation (44). However, the currents at node 1 do change due to the addition of the resistive load. Therefore the expression for current in this model becomes

$$i = C_b \dot{V} + \frac{V}{R}. ag{52}$$

Hence, the expression for  $\dot{V}$  now becomes

$$\dot{V} = \frac{2t_{\rm c}d_{31}c_{\rm p}}{a\varepsilon}\dot{S} - \frac{1}{RC_{\rm b}}V. \tag{53}$$

4.5.3. Model of cantilever bimorph with capacitive load. The model described above in section 4.5.2 is based on a simple resistive load, but is not the best approximation for an actual electrical load [55]. Instead, the equivalent circuit presented in figure 17 is a more realistic depiction of an operational system, wherein  $C_{\rm st}$  refers to a storage capacitor, which could certainly be replaced with a battery. This simplified capacitive load model is typical of many wireless sensor systems. An example of this would be a wireless sensor system where typical duty cycles are about 1% of the time, while the remaining time is for charging. Figure 17 depicts a system where the vibration converter is allowed to charge a storage capacitor for the majority of the time ( $\sim$ 99% of the time). In figure 17, three different states of operation are presented: (1) diodes  $D_1$  and  $D_4$  are conducting, (2) diodes  $D_2$  and  $D_3$  are conducting and

(3) none of the diodes are conducting. Since the mechanical portion of the equivalent circuit does not change in any of the three models presented in this section, the first system equation, equation (47) for  $\ddot{S}$ , remains the same, and when no diodes are conducting, state 3, the circuit is identical to the first model presented in section 4.5.1, which has neither a resistive or capacitive load. Hence for state 3, the second system equation, equation (48), remains the same and the voltage,  $V_{\rm st}$ , remains constant. For states 1 and 2,  $\dot{V}$  can be expressed as

$$\dot{V} = \frac{ac_{\rm p}d_{31}l_{\rm e}w}{C_{\rm b} + C_{\rm st}}\dot{S}.\tag{54}$$

For state 1, the storage voltage,  $V_{\rm st}$  is equal to the voltage across the piezoelectric element, V, and in state 2,  $V_{\rm st} = -V$ .

# 5. Conclusions and opportunities for energy harvesting using piezoelectric materials

Of the non-regenerative power supply technologies presented, batteries still appear to be the most economical option for portable electronics considering mass, volume and available surface area constraints. Lithium-ion cells remain one of the best means of powering portable electronics due to their relatively long cycle life, broad temperature range, long shelf life, low self-discharge rate, rapid charge capability, high rate and power discharge capability, high energy efficiency, high operating voltage, lack of memory effect, and high specific energy and energy density compared to other battery electrochemistries. However, realization of commercial lithium-ion thin-film, thick-film and microbattery battery technologies for application to portable electronics hinges on resolving limitations on intercalation of sufficiently high volumes of lithium, the irreversibility of the structural changes that result from the intercalation, and low current and capacity due to reduced amounts of active material available in the cells. Also, integration of lithium-ion fabrication techniques with CMOS techniques remains a challenge, along with development of economical substrates other than platinum.

Microcombustors and microfuel cells, though promising, require significant work for the realization of commercial devices, and thus far are ill equipped to compete with their macroscaled predecessors. Materials commonly used for MEMS fabrication, e.g. single crystals and glass, cannot achieve the appropriate strengths or lifetimes at combustor operating temperatures. Also, although silicon nitride ceramics have been investigated for microcombustors, significant redesign of structures and use of catalysts and/or preheating are required for operation.

PEM direct methanol fuel cells offer great promise compared to some of the other fuel cell options, but advances in microfluidic system design, temperature and water management are still required for adequate implementation for portable electronic systems.

Of the regenerative systems presented, solar cells have made significant progress in terms of efficiency. Their ease of integration, modularity, lack of emissions or noise, lack of moving parts and use of a readily available resource, i.e. sunlight, make them ideal for certain applications. However, high cost, dependence on the amount and quality of light, and limitations on available surface area for arrays limit their application.

Standalone microthermoelectric devices are currently the least viable option for MEMS applications due to the lack of large temperature gradients (>10 °C) due to small device volumes (<1 cm<sup>3</sup>) and limited energy conversion efficiencies.

Opportunities for application of vibration-based power supply systems to wireless sensor systems for ambient intelligence, condition monitoring, medical implants and smart clothing is an area of wide potential. Of the three technologies presented, electromagnetic and piezoelectric appear to be the most promising. Electrostatic devices are currently limited by their high impedance and output voltages, which reduce the amount of available current. Development of microelectromagnetic devices requires enhancement of planar magnet quality and development of assembly and alignment techniques for wafer-scale (sub-millimeter) systems. Currently, piezoelectric energy harvesting devices are the simplest means of scavenging power directly from structural vibrations for several reasons. They require few additional components and relatively simple geometries for the realization of workable devices. Also there are a number of available thin- and thick-film fabrication techniques for application to MEMS devices.

A great deal of research has focused on improving the efficiency, via physical and geometrical configuration, and adaptive circuitry, for piezoelectric energy harvesting devices. So opportunities for enhanced performance depend on development of generator materials. Most fabricated devices have comprised of PVDF and PZT, but there has been little investigation of ferroelectric relaxor-type (e.g. PMN, PZN, PMN-PT and PZN-PT) materials as energy harvesting devices, despite their high electromechanical coupling factors and piezoelectric constants [273]. Hence, the investigation of PMN, PZN, PMN-PT and PZN-PT/polymer composite fabrication and analytical techniques for prediction of performance variables for both 0–3 and 1–3 connectivity composites is an area worthy of exploration.

Adequate modeling of electromechanical and material performance of these materials will require enhancement of the traditional linear models used to describe piezoelectric energy harvesting devices. Most of these models have been for materials such as PZT, whose performance is not significantly influenced by temperature. Yet, the electromechanical properties of PMN, PZN, PMN-PT and PZN-PT materials are functions of temperature, so future work should include investigation of performance parameters as a function of temperature.

Since the majority of MEMS-scaled piezoelectric energy harvesting devices do not provide enough current to directly power systems of MEMS devices, two areas of investigation are crucial: development of hybrid systems comprising piezoelectric energy harvesting and storage devices, and enhancement of charge density via material and structure advancements. Specifically, development of strategies for designing hybrid systems will be necessary for the design of complete commercial devices. Also, investigation of methods to improve charge density in order to reduce complex signal

processing and circuitry is needed. Since workers have demonstrated that stacked devices produce enhanced charge and energy densities, mathematical models describing stacked structure performance will also lead to the realization of commercial devices capable of powering MEMS devices.

Regenerative hybrid piezoelectric energy harvesting systems are envisioned for applications requiring lengthy cycle life, such as implantable devices, where 20 year lifetimes are desirable. The ability of piezoelectric devices to capitalize off of inherent device movements and acoustic wave production offer opportunities to continuously charge secondary sources of power such as batteries, which could potentially increase the host device operational lifetime until the active materials of the battery are exhausted or the piezoelectric material cracks or breaks. Thus, development of materials capable of withstanding long lifetimes of cyclic loading is imperative. Lifetime studies have been performed for PZT materials [251], but not for energy harvesting devices comprising PMN, PZN, PMN-PT and PZN-PT and other related composite structures. Furthermore, there has been little investigation of cyclic loading type and duration on electrical and mechanical properties for energy harvesting Thus investigation of the response of these materials to continuous loading is crucial for the development of mathematical models that predict device reliability and failure modes.

Reduction of device size, coupled with an increase in the number of devices, could allow for higher frequency oscillation of piezoelectric devices, which would ultimately increase output power [275]. Indeed, as pointed out in several independent areas covered by the present review, nanotechnologies have already revolutionized energy and power density [281–283], efficiency [175, 284, 285] and capacity [175, 284–287] of many storage and generation devices, and thus further investigation of fabrication techniques for nanoscaled piezoelectric energy harvesting devices will certainly allow for the realization of hybrid nanopowered and/or self-power systems for implantable biological and nanosensor systems.

The most fruitful efforts will likely come from hybridized power supplies, which offer both on-board storage and energy harvesting from environmental sources. Though some initial work has been done in optimization of batteries [97, 98] and capacitors [288–290], the literature on optimization of combinations of storage and generation schemes remains sparse. The potential insertion of novel ceramics (composite structures comprised of PMN-PT, PZN-PT and nanoarrays) will undoubtedly create opportunities for piezoelectric materials to be used in hybrid schemes, especially given the inexorable trend to smaller microelectronics.

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