The space density of cataclysmic variables: constraints from the *ROSAT* North Ecliptic Pole survey

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ABSTRACT

We use the *ROSAT* North Ecliptic Pole (NEP) survey to construct a small, but purely X-ray flux-limited sample of cataclysmic variable stars (CVs). The sample includes only four systems, two of which (RX J1715.6+6856 and RX J1831.7+6511) are new discoveries. We present time-resolved spectroscopy of the new CVs and measure orbital periods of 1.64 ± 0.02 and 4.01 ± 0.03 h for RX J1715.6+6856 and RX J1831.7+6511, respectively. We also estimate distances for all the CVs in our sample, based mainly on their apparent brightness in the infrared. The space density of the CV population represented by our small sample is $1.1^{+2.3}_{-0.7} \times 10^{-5} \, \mathrm{pc}^{-3}$. We can also place upper limits on the space density of any subpopulation of CVs too faint to be included in the NEP survey. In particular, we show that if the overall space density of CVs is as high as $2 \times 10^{-4} \, \mathrm{pc}^{-3}$ (as has been predicted theoretically), the vast majority of CVs must be fainter than $L_X \simeq 2 \times 10^{29} \, \mathrm{erg \, s}^{-1}$.

Key words: binaries: close – stars: dwarf novae – novae, cataclysmic variables.

1 INTRODUCTION

Cataclysmic variable stars (CVs) are semidetached interacting binary stars, consisting of a white dwarf primary accreting from a companion that is usually a late-type, approximately main-sequence star. Warner (1995) gives a comprehensive review of the subject.

Mass transfer, and the evolution of CVs, is driven by angular momentum loss from the orbit. At long orbital periods ($P_{\mathrm{orb}} \gtrsim 3$ h), angular momentum loss through magnetic braking is thought to dominate over that resulting from gravitational radiation. The canonical disrupted magnetic braking model of CV evolution was designed to explain the period gap (a pronounced drop in the number of CVs at $2 \lesssim P_{\mathrm{orb}} \lesssim 3$ h). In this scenario, magnetic braking stops when P_{orb} reaches $\simeq 3$ h, the secondary loses contact with its Roche lobe, and gravitational radiation is left as the only angular momentum loss mechanism (e.g. Robinson et al. 1981; Rappaport, Verbunt & Joss 1983; Spruit & Ritter 1983). The secondary regains contact with its Roche lobe when gravitational radiation has decreased P_{orb} to $\simeq 2$ h, and mass transfer resumes. As the secondary star loses mass, its thermal time-scale eventually exceeds the mass-transfer time-scale (even though the mass-loss time-scale increases as M_2

decreases). When this happens, the secondary is not able to shrink rapidly enough in response to mass-loss, so that the orbital evolution slowly moves back through longer periods (e.g. Paczyński 1981; Paczyński & Sienkiewicz 1981; Rappaport, Joss & Webbink 1982). CVs in this final phase of evolution, where P_{orb} is increasing, are referred to as 'period bouncers'. This reversal in the direction of change in P_{orb} causes a sharp cut-off in the P_{orb} distribution of hydrogen-rich CVs at about 76 min, called the period minimum.

Several serious discrepancies between the predictions of theory and the properties of the observed CV sample remain. Theoretical models persistently place the period minimum about 10 min short of the observed cut-off at $P_{\mathrm{orb}} \simeq 76$ min (see e.g. Kolb 2002 for a review). It has also long been suspected that the predicted ratio of short- to long-period CVs is larger than is implied by observations (e.g. Patterson 1998). Pretorius, Knigge & Kolb (2007) recently confirmed that this is a real discrepancy, rather than the result of observational bias.

In order to make progress, it is necessary to place quantitative constraints on other key parameters predicted by CV formation and evolution scenarios. The most fundamental of these is the overall space density of CVs, ρ. However, observational estimates of ρ differ by more than an order of magnitude at present, and little effort has been made to quantify the statistical and systematic uncertainties affecting these estimates. In order to understand this situation, it is

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useful to take a closer look at some of the more extreme values in the literature.

Cieslinski et al. (2003) suggested a very low space density of $\rho \leqslant 5 \times 10^{-7}\,\mathrm{pc}^{-3}$ for dwarf novae (DNe), the most common type of CV. This result was based on DN outbursts detected in the OGLE-II survey, and assumes that most DNe in the OGLE-II fields within 1 kpc would have been recovered. However, the time coverage of the survey ($\simeq 4\,\mathrm{yr}$) was much shorter than the longest DN recurrence time-scales (e.g. $\simeq 30\,\mathrm{yr}$ for WZ Sge), and long interoutburst intervals are known to be associated precisely with the intrinsically faintest CVs that probably dominate the overall population. At the other end of the spectrum, Shara et al. (1993) obtained a rather high estimate of $\rho \sim 10^{-4}\,\mathrm{pc}^{-3}$ from a photometric survey for faint (possibly hibernating) CVs, but here the CV nature of the objects that were counted remains to be established. Finally, Schwope et al. (2002) obtained $\sim 3 \times 10^{-5}\,\mathrm{pc}^{-3}$ from a CV sample constructed from the *ROSAT* Bright Survey. However, this number was primarily based on two systems with estimated distances of ~ 30 pc, both of which were subsequently shown to be 5–10 times more distant (Thorstensen, Lépine & Shara 2006).

These examples illustrate that the statistical errors associated with observational space density estimates are usually dominated by uncertain distances and small number statistics, whereas the dominant systematic errors are caused by selection effects. We also suspect that the hard-to-quantify systematic uncertainties usually outweigh the statistical errors, which probably explains why virtually no space density estimate in the literature has come with an error bar. Given all this, our goal here is to provide a new determination of ρ from a small CV sample with very simple, well-defined selection criteria. Since our sample does not suffer from unquantifiable systematic errors, we are also able to provide meaningful errors on ρ that fully account for small number statistics and uncertain distances.

An attribute that should be shared by all CVs is X-ray emission generated in the accretion flow. This is useful, because it is much easier to construct a reasonably deep flux-limited CV sample in X-rays than it is in the optical. For an optical sample, it is usually necessary to cut down on the number of sources that can be followed up by introducing additional selection criteria based on, e.g. colour, variability, or emission lines; any such selection makes it more difficult to understand the completeness of the resulting sample. Another advantage of an X-ray flux-limited sample is that $F_{\mathrm{X}}/F_{\mathrm{opt}}$ decreases with increasing P_{orb} (i.e. with increasing optical luminosity). This means that CVs do not span as wide a range in X-ray as in optical luminosity.

The *ROSAT* North Ecliptic Pole (NEP) survey (e.g. Gioia et al. 2003; Henry et al. 2006) is constructed using *ROSAT* All Sky Survey (RASS; see Voges et al. 1999 and Voges et al. 2000) data around the NEP; the RASS reaches its greatest sensitivity in this 81-deg^2 region, centred on $\alpha_{2000} = 18^{\mathrm{h}}00^{\mathrm{m}}$, $\delta_{2000} = +66°33'$. The NEP survey thus comprises the deepest wide angle, contiguous region ever observed in X-rays; it consists of a complete sample of 442 sources above a flux of about $10^{-14}\,\mathrm{erg\,cm^{-2}\,s^{-1}}$ in the 0.5–2.0 keV band (the flux limit varies from 1.2×10^{-14} to $9.5 \times 10^{-14}\,\mathrm{erg\,cm^{-2}\,s^{-1}}$ over the survey area[1]). This unique combination of depth and breadth, together with moderate Galactic latitude and extinction, provides an excellent opportunity to investigate the space density of CVs. Very importantly, out of the 442 X-ray sources included in the survey, all but two (the number of spurious sources expected in a sample like

this; Gioia et al. 2003) have been optically identified and spectroscopically observed. Therefore, we are able to construct a complete, purely X-ray flux-limited CV sample from the NEP survey.

We use the CVs detected in the *ROSAT* NEP survey to provide robust observational constraints on the CV space density, by carefully considering the uncertainties involved. The constraints include an estimate based on the observed sample of CVs, as well as upper limits on the size of a hypothetical population of CVs with X-ray luminosities low enough to evade the survey entirely. We also present radial velocity studies of the two new CVs discovered in this survey.

2 CVs IN THE *ROSAT* NEP SURVEY

The NEP survey area includes three known CVs, namely IX Dra, SDSS J173008.38+624754.7 (we will abbreviate this to SDSS J1730), and EX Dra. All three were detected in the RASS, but IX Dra is slightly too faint to be formally included in the NEP sample.

We inspected the optical spectra of all Galactic NEP sources, and found only two additional objects with the spectral appearance of interacting binaries. A more detailed study of stellar sources included in the survey has yielded accurate spectral classifications of all stellar NEP sources (Micela et al. 2007), and no additional CV candidates. We are therefore confident that we have identified every CV in the NEP sample.

The two interacting binary candidates are RX J1715.6+6856 and RX J1831.7+6511, which were confirmed as CVs by the follow-up observations described below. Fig. 1 gives finding charts for the two newly discovered CVs; their coordinates are listed in Table 1. Gioia et al. (2003) report photographic magnitudes of $E = 18.6$ and $O = 18.3$ for RX J1715.6+6856, and $E = 14.8$ and $O = 15.2$ for RX J1831.7+6511 (the errors in these measurements are ± 0.5 mag; O and E are similar to Johnson B and Kron–Cousins R, respectively).

2.1 Observations of the newly discovered CVs

In order to confirm that RX J1715.6+6856 and RX J1831.7+6511 are CVs, and to measure their orbital periods, we obtained medium-resolution, time-resolved spectroscopy with the Calar Alto Faint Object Spectrograph (CAFOS) on the Calar Alto 2.2-m telescope. Table 1 gives a log of the observations. The G-100 grism was used in combination with a slit width of 1.2 arcsec, yielding a spectral resolution of $\simeq 4.2\,\text{Å}$ over the wavelength range 4300–8000 Å. A few spectra of each object were also taken using the B-200 grism, and a slit width of 1.5 arcsec. These spectra have lower resolution
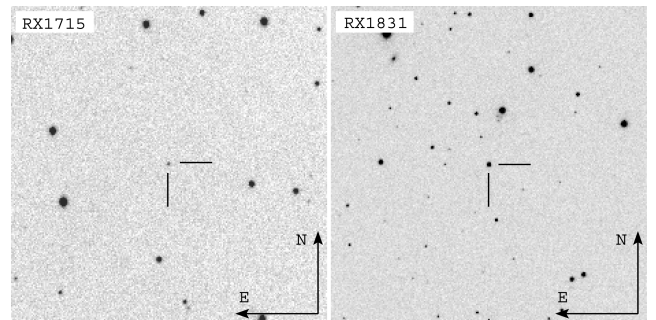

Figure 1. $4 \times 4\,\mathrm{arcmin}^2$ finding charts of the optical counterparts of RX J1715.6+6856 (left-hand panel) and RX J1831.7+6511 (right-hand panel), made using white light acquisition images taken with CAFOS. North is at the top and east to the left-hand side in both images.

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[1] Assuming a 10-keV thermal bremsstrahlung spectrum; this choice is justified in Section 3.

Table 1. Log of the observations, and coordinates for the optical counterparts of the two X-ray sources.

Object	α_{2000}	δ_{2000}	Date at the start of the night	First integration HJD 245 3900.0+	Grism	Integration time (s)	Number of spectra
RX J1715.6+6856	17:15:41.7	+68:56:43	2006 July 27	44.367 809 50	B-200	1000	7
			2006 July 28	45.373 548 50	G-100	1000	14
			2006 July 29	46.355 629 75	G-100	800	9
RX J1831.7+6511	18:31:44.4	+65:11:32	2006 July 27	44.511 188 22	G-100	600	16
				44.660 934 72	B-200	600	2
			2006 July 28	45.590 544 18	G-100	600	10
			2006 July 29	46.477 921 21	G-100	600	22
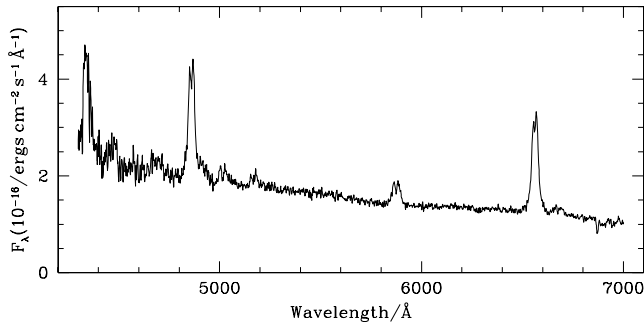
Figure 2. The average of all the spectra of RX J1715.6+6856 taken with the G-100 grating. Individual spectra were shifted to the rest frame using the radial velocities calculated from the Hα line before being averaged. The system is identified as a CV by its broad, double-peaked Balmer, He I and He II emission lines. Also present is the Fe II λ5169 line.

($\simeq$9.4 Å) and were not used for radial velocity measurements. The spectrophotometric standard star BD+28°4211 (Oke 1990) was observed on all three nights to provide flux calibration. Except for the first hour of the first night, conditions were photometric during our observations. Regular arc lamp exposures were taken to maintain an accurate wavelength calibration.

The data were reduced using standard procedures in IRAF,[2] including optimal extraction (Horne 1986). We computed radial velocities of both the Hα and Hβ lines, using the Fourier cross-correlation method described by Tonry & Davis (1979), as implemented in the FXCOR routine in IRAF. In the case of the Hα line, we correlated the wavelength range 6450–6650 Å, while for the measurements of Hβ radial velocities, the correlation was restricted to the range from 4700 to 5020 Å. For both RX J1715.6+6856 and RX J1831.7+6511, the Hα line yielded higher signal-to-noise ratio radial velocity curves; we therefore use the radial velocities measured for this line in determining the orbital periods.

2.1.1 Observational results for RX J1715.6+6856

Fig. 2 shows the average spectrum of RX J1715.6+6856, which displays broad, double-peaked Balmer and He I emission lines superimposed on a blue continuum; He II λ4686 and Fe II λ5169 are also detected in emission. The system has the spectral appearance of a quiescent DN. No large amplitude brightness variations were evident in our data, and we estimate $V = 18.3 \pm 0.2$ from our spectroscopy (where the uncertainty is dominated by slit losses).
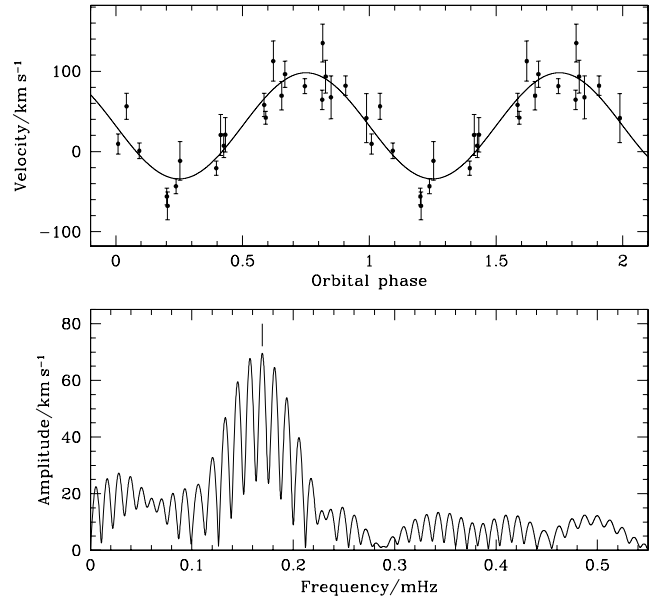
Figure 3. Lower panel: The Fourier transform of the Hα radial velocity curve of RX J1715.6+6856 obtained on 2006 July 28 and 29. A vertical bar at 0.1695 mHz (1.639 h) indicates the period that provides the best fit to our data. Upper panel: The radial velocity measurements, folded in the best-fitting period. One cycle is repeated in this plot; the superimposed sine function is a non-linear least-squares fit to the data.

At $V = 18.3$, RX J1715.6+6856 is close to the magnitude limit of our telescope/instrument combination, and the time resolution and base line of our data yield only a fairly uncertain period. The Fourier transform in Fig. 3 shows that the radial velocity curve is aliased; the largest amplitude signal is at 0.1695 mHz (corresponding to a period of 1.639 h), and is marked by a vertical bar in the lower panel of Fig. 3. The two nearest peaks are at 0.1575 and 0.1816 mHz. We fitted sinusoids to the data by non-linear least-squares, using the periods and amplitudes of these three signals as starting values. We found that the 1.639 h period provided a significantly better fit than the other aliases (the standard deviation of the residuals for a fit near this period is $\simeq$15 per cent lower than for either of the other choices). The 1.639 h period also yields the largest best-fitting amplitude (66.1 km s^{-1}). Nevertheless, there is some uncertainty in the cycle count. Assuming that we have identified the correct alias, the orbital period is of RX J1715.6+6856 is 1.64 ± 0.02 h. The top panel of Fig. 3 shows the radial velocities of Hα, phase-folded using this period, with the sine fit overplotted.

2.1.2 Observational results for RX J1831.7+6511

RX J1831.7+6511 was descending into a photometric low state over the three nights of our observations, fading by roughly a magnitude in *V*. This was most likely the decline from a DN outburst. The spectra show fairly narrow Balmer and He I emission lines (the FWHM of the Hα line is $\simeq 800\,\mathrm{km\,s^{-1}}$). The Hβ and Hγ line profiles change from broad absorption wings with emission cores in the bright state, to pure emission in the faint state. This transition is illustrated in Fig. 4, which displays nightly averages of the G-100 spectra after shifting to the rest frame using the radial velocities calculated from the Hα line. We also detect Fe II λ5169 in RX J1831.7+6511, increasing in strength as the system fades.

The lower panel of Fig. 5 shows a Fourier transform of the radial velocity curve of RX J1831.7+6511 (including all three nights of data). A vertical bar at 0.0693 mHz (4.01 h) indicates the highest amplitude signal, but there is also strong power present at the one-day aliases (0.0578 and 0.0808 mHz). Non-linear least-squares fits of sine functions to the data produce the largest amplitude for the 4.01 h period; the standard deviation of the residuals is also roughly 45 per cent larger for fits using the other two candidate periods. We are therefore reasonably confident that the signal at 4.01 h represents the orbital modulation, and find $P_{\mathrm{orb}} = 4.01 \pm 0.03$ h. The top panel of Fig. 5 shows the Hα radial velocity curve, phase-folded using the orbital period, together with the best-fitting sine function. The amplitude of the fit is $79.7\,\mathrm{km\,s^{-1}}$.
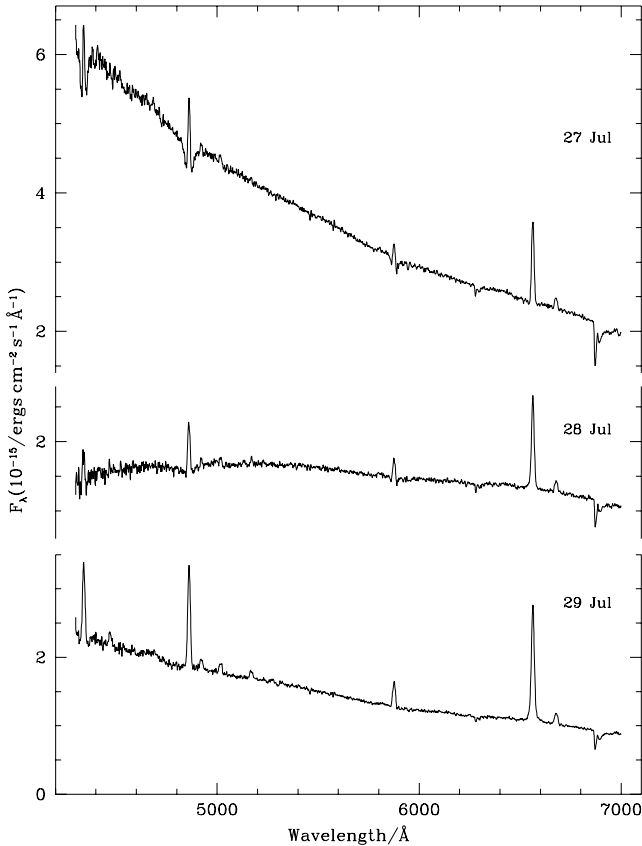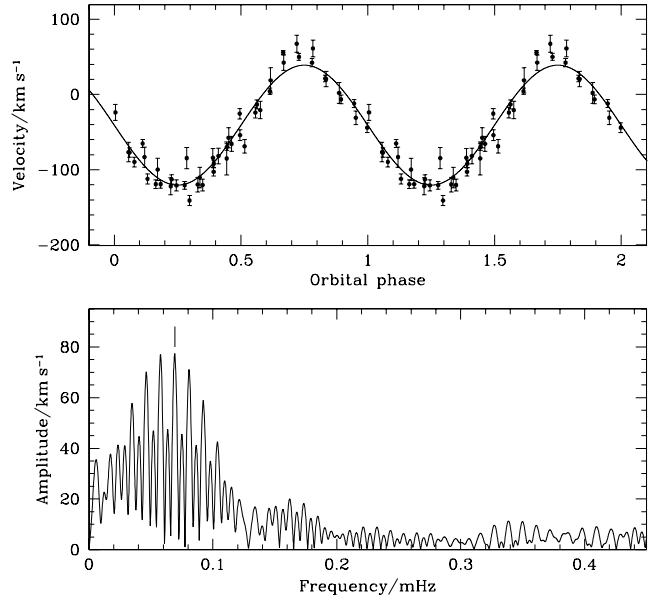
Figure 5. The low-frequency end of the Fourier transform of the Hα radial velocity curve of RX J1831.7+6511 (bottom panel). A vertical bar at 0.0693 mHz (4.01 h) marks the signal that most likely corresponds to the orbital period. The top panel shows the phase-folded radial velocity curve, with the best-fitting sine function overplotted (all data are plotted twice).

2.2 Distance estimates

Several independent methods of estimating distances to CVs are discussed by Patterson (1984) and Warner (1987). We will use methods based on DN outburst maximum, the strength of emission lines, and the brightness of the secondary star.

The relation between orbital period and the absolute *V* magnitudes of DNe at maximum found by Warner (1987), as recalibrated by Harrison et al. (2004), is

$$M_{V_{\mathrm{max}}} = 5.92 - 0.383 P_{\mathrm{orb}}/\mathrm{h}. \tag{1}$$

In this equation, $M_{V_{\mathrm{max}}}$ is corrected for binary inclination (*i*) using

$$\Delta M_V(i) = -2.50 \log[(1 + 1.5\cos i)\cos i] \tag{2}$$

(Paczyński & Schwarzenberg-Czerny 1980). To apply this relation one thus needs knowledge of *i*, as well as a measurement of *V* at maximum. The scatter of data around the $M_{V_{\mathrm{max}}}$–P_{orb} relation is roughly ±0.5 mag.

The equivalent widths (EWs; throughout this paper we will take the EWs of emission lines as positive) of disc emission lines are theoretically expected to increase with decreasing mass transfer rate ($\dot{M}$); Patterson (1984) gives an empirical relation

$$\mathrm{EW}(\mathrm{H}\beta) = 0.3 M_{V,\mathrm{disc}}^2 + e^{0.55(M_V - 4)} \tag{3}$$

that predicts $M_{V,\mathrm{disc}}$ (the absolute *V* magnitude of the disc) to within about 1.5 mag for EW (Hβ) $\gtrsim$ 15 Å. For EW(Hβ)$\lesssim$15 Å, the data imply only $M_{V,\mathrm{disc}} \lesssim +6$. Bailey (1981) describes a method of estimating distances to CVs that relies on finding the *K*-band surface brightness of the secondary from $V - K$ colour of the system. It has since been shown that this method is not as reliable as was first thought, and that the *V* and *K* magnitudes of a CV alone cannot provide a secure distance estimate (e.g. Beuermann 2000). Knigge (2006) provides an alternative, based on a semi-empirical donor sequence for CVs that gives absolute magnitudes for the secondaries as a function of P_{orb}. Knigge (2006) finds offsets of $\Delta J = 1.56$,

Figure 4. The average nightly spectrum of RX J1831.7+6511. The system faded from $V = 15.0$ to 15.9 over the three nights, with the strength of the emission lines increasing, as is commonly seen during the decline from DN outbursts (e.g. Hessman et al. 1984).

$\Delta H = 1.34$ and $\Delta K = 1.21$ between his sequence and the absolute *JHK* magnitudes of a sample of CVs with parallax distances. The scatter of the sample magnitudes around the offset sequence are $\sigma_{\Delta J} = 1.25$, $\sigma_{\Delta H} = 1.12$, and $\sigma_{\Delta K} = 1.07$. We will use these offsets and errors to obtain distance estimates; the limitations of the method are discussed in more detail by Knigge (2006).

The infrared observations used here are from the Two Micron All Sky Survey (2MASS; Skrutskie et al. 2006). We interpolate linearly on the high-resolution version of the donor sequence to find predicted absolute magnitudes for the secondaries at the orbital periods of the observed CVs. The CIT magnitudes of Knigge (2006) were transformed to the 2MASS system using the prescription of Carpenter (2001), updated to reflect the final 2MASS data release.[3] Errors from the transformation are insignificant for our purposes, and will not be quoted (although we did include them).

To estimate extinction effects, we compared a model of Amôres & Lépine (2005)[4] to the model of Drimmel, Cabrera-Lavers & López-Corredoira (2003) at several positions in the NEP region, and found an average difference of $\simeq$45 per cent between the A_V values predicted by the two. We will use the Amôres & Lépine (2005) extinction values, and assume errors of 50 per cent. Extinction is obviously a function of distance; we therefore do a few iterations to ensure that the value we finally use in the distance calculation is that given by the model at the estimated distance to the object; for lower limits on distances we use the total Galactic extinction. To convert from visual extinction to that in the 2MASS bands, we use $A_J = 0.282A_V$, $A_H = 0.175A_V$ and $A_{K_S} = 0.112A_V$ (Cambrésy et al. 2002).

We assume that errors in absolute magnitude, apparent magnitude and extinction are Gaussian, and use this to find a probability distribution for the distance to each source. The distances we quote are in all cases the median, together with the symmetric 1σ confidence interval around the median (this is the interval defined so that the integral of the distribution between its lower bound and the median, as well as between the median and its upper bound, is 34 per cent of the integral over all distances).

Two of the three techniques we use to estimate distances require the orbital period of the CV. For RX J1715.6+6856, RX J1831.7+6511 and SDSS J1730 there is some cycle count ambiguity in the P_{orb} measurements, and there is also some uncertainty in the orbital period of IX Dra (see below). However, the period uncertainty is in all cases negligible for the distance estimates given here.

2.2.1 RX J1715.6+6856

RX J1715.6+6856 was not detected in 2MASS; we estimate $K_S > 15.6$ from the magnitudes of the faintest stars detected in the field. At the period of RX J1715.6+6856 (1.64 h), the donor sequence predicts $M_{K_S} = 8.74$ for the secondary star (if this system is not a period bouncer; below we make the same assumption for SDSS J1730), giving $d > 235$ pc.

Using the $M_{V,disc}$–EW ($H\beta$) relation, and EW ($H\beta$) = 62 Å (from our spectroscopy) we obtain $M_V = 10.2 \pm 1.5$ (assuming that the disc dominates the flux in *V*), and with $A_V = 0.08 \pm 0.04$, we have $d = 400^{+400}_{-200}$ pc.

2.2.2 SDSS J1730

The discovery of SDSS J1730 was announced by Szkody et al. (2002), who observed a DN outburst, and measured EW($H\beta$) = 63 Å (in quiescence) and an orbital period of roughly 1.95 h; the refined value is $P_{orb} = 1.837 \pm 0.002$ h (John Thorstensen, private communication). As expected at this orbital period, SDSS J1730 is an SU UMa star (vsnet-alert 6798); the fractional superhump period excess indicates that it has not evolved to the period minimum (Patterson et al. 2005).

Knigge (2006) gives $M_{K_S} = 8.22$ for a typical secondary at this period, and from the observed $K_S = 15.22 \pm 0.18$ we therefore obtain a lower limit of $d > 250$ pc, and an estimate of $d = 440^{+280}_{-170}$ pc (using $A_V = 0.08 \pm 0.04$).

The observed EW($H\beta$) gives $M_{V,disc} = 10.3 \pm 1.5$, and with $V = 15.9 \pm 0.1$, we have $d = 130^{+130}_{-60}$ pc (again assuming that the *V*-band flux originates only from the disc). Since this distance is only barely consistent with the robust lower limit, and since any white dwarf contribution to the *V*-band flux will imply that it is too low, we will adopt the donor-based distance estimate.

2.2.3 RX J1831.7+6511

RX J1831.7+6511 has $P_{orb} = 4.01$ h (implying $M_{K_S} = 6.20$ for the secondary), $K_S = 14.96 \pm 0.14$ and $A_V = 0.11 \pm 0.06$ (i.e. $A_{K_S} = 0.012 \pm 0.007$). This gives a lower limit of $d > 559$ pc, and an estimate of $d = 980^{+630}_{-380}$ pc.

For comparison, we measure EW($H\beta$) = 14 Å and $V = 15.9 \pm 0.2$ from our 2006 July 29 spectra. Assuming the system was in quiescence, the $M_{V,disc}$–EW($H\beta$) relation gives $M_V \lesssim +6$, and thus $d \gtrsim 900$ pc.

2.2.4 EX Dra

Being a deeply eclipsing system, EX Dra has been the subject of several detailed studies. It is a U Gem star with $P_{orb} = 5.0385$ h (Fiedler, Barwig & Mantel 1997), a secondary spectral type between M1V and M2V (Billington, Marsh & Dhillon 1996; Baptista, Catalán & Costa 2000; c.f. Harrison, Osborne & Howell 2005), and an orbital inclination of $i = 85^{+3\circ}_{-2}$ (Baptista et al. 2000; Fiedler et al. 1997 obtained a similar value). The out-of-eclipse quiescent *V* magnitude is 15.3, and the system reaches $V = 12.3$ at maximum. At mid-eclipse in quiescence, $V = 16.66 \pm 0.07$, $I = 14.46 \pm 0.05$, and essentially all of the light originates from the secondary star (Baptista et al. 2000; Baptista & Catalán 2001; Shafter & Holland 2003). Mid-eclipse photometry has provided distance estimates based on the photometric parallax of the secondary of 240 $\pm$ 90 pc (Shafter & Holland 2003) and 290 $\pm$ 80 pc (Baptista et al. 2000).

As a check, we can also use the CV donor sequence of Knigge (2006) to estimate the distance to EX Dra. We assume here that in quiescence, the secondary is the only source of mid-eclipse light. The sequence gives $M_V = 8.80 \pm 0.37$ for secondaries with spectral types in the range from M1 to M2. This gives a distance of 360^{+70}_{-60} pc. At $P_{orb} = 5.0385$ h, predicted magnitudes for the secondary star are $M_V = 9.56 \pm 0.96$ and $M_I = 7.51 \pm 0.77$ (the errors are derived from an error of ± 1 spectral type in the sequence, and are probably conservative). If we use the measured period rather than spectral type, we find distance estimates of 260^{+140}_{-90} and 240^{+120}_{-80} pc from the apparent mid-eclipse *V* and *I* magnitudes, respectively. The first of these estimates uses $A_V = 0.08 \pm 0.04$, while for the other two we

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[3] The updated colour transformations are available at http://www.astro.caltech.edu/~jmc/2mass/v3/transformations/.

[4] The model presented in that paper that takes into account the spiral structure of the Galaxy.

set $A_V = 0.06 \pm 0.03$. The reason these distances differ is that EX Dra is not exactly on the donor sequence (see fig. 9 of Knigge 2006).

The $M_{V_{\max}}$–P_{orb} relation gives $d = 140^{+60}_{-50}$ pc. A likely reason for this small value is that the dependence on i given by equation (2) is too sensitive at large i, because radiation from the disc rim becomes significant (Smak 1994).

Our three donor-based distance estimates and those of Shafter & Holland (2003) and Baptista et al. (2000) are, of course, not independent, but they are all formally consistent with each other. We will adopt a distance of 260^{+140}_{-90} pc for this system.

2.2.5 IX Dra

Liu et al. (1999) confirmed IX Dra as a CV. It is a member of the group of SU UMa stars with unusually short outburst recurrence times, sometimes referred to as ER UMa stars (Ishioka et al. 2001). The quiescent brightness is $V = 17.5$, and maximum of normal outburst is roughly $V = 15.4$. Olech et al. (2004) measured a superhump period of 1.6072 h, and found a second photometric period of 1.595 h in superoutburst light curves. If the second period is interpreted as P_{orb}, the small fractional superhump period excess $[\epsilon = (P_{\mathrm{sh}} - P_{\mathrm{orb}})/P_{\mathrm{orb}}]$ implies a very low ratio of secondary to white dwarf mass (Patterson 1998), and thus that IX Dra has a secondary of substellar mass (Olech et al. 2004). However, the period bouncer status of IX Dra is suspect for two reasons. The first is that the high outburst duty cycle (about 30 per cent) implies a high $\dot{M}$ (however, note that DI UMa has very low ϵ, and similar outburst characteristics; Patterson 1998). More importantly, it relies on the 1.595 h signal being the orbital period; a large amplitude (in this case about 0.05 mag) photometric orbital modulation is not usually seen during superoutburst, and the superhump modulation makes it difficult to measure other signals reliably. Confirmation of the orbital period is needed to decide whether this system is likely to be a period bouncer.

The inclination of IX Dra is not known, but the fact that there is no eclipse (even at supermaximum) constrains it to $<70°$. This gives a limit of $d \gtrsim 545$ pc from the $M_{V_{\max}}$–P_{orb} relation.

2MASS provides only a lower limit on K_S and a marginal detection in H for IX Dra; we therefore use $J = 16.47 \pm 0.12$. If we used the period bouncer branch of the donor sequence, we would obtain a distance estimate that is inconsistent with the lower limit given above. We therefore use the pre-period minimum branch of the donor sequence ($M_J = 9.85$), despite the possibility that IX Dra is a period bouncer. In the direction of IX Dra, $A_V \simeq 0.1$ for distances greater than $\simeq 400$ pc. Using the J-band offset of 1.56, we find $d = 430^{+340}_{-190}$ pc.

3 THE SPACE DENSITY OF CVs

Here, we give an estimate of the space density of CVs based on our sample (Section 3.1), and also consider, in Section 3.2, how large a population of systems with given (low) L_X could have escaped detection in the NEP survey.

DNe typically have softer X-ray spectra in outburst than in quiescence, although a wide range in X-ray behaviour has been observed in different systems (e.g. Warner 1995). The RASS was conducted over a period of about six months, and during this time the NEP area was observed many times. This means that, while the duration of the survey was short compared to the outburst recurrence time-scale of faint DNe, high duty cycle, frequently outbursting CVs, such as EX Dra, were probably observed both in outburst and quiescence. We will not attempt to account for this complication.

We assume that the vertical density profile of CVs is exponential

$$\rho(z) = \rho_0 \mathrm{e}^{-|z|/h}, \tag{4}$$

with z the perpendicular distance from the Galactic plane (i.e. $z = d \sin b$, where b is Galactic latitude). The local space density is defined as $\rho_0 = \rho(0)$, the mid-plane value of ρ. We will ignore any radial dependence of ρ in Section 3.1 (it certainly has a negligible effect on the results presented there), but include it in Section 3.2 (simply because it is not too computationally difficult).

Widely used empirical values of the Galactic scaleheight of CVs, h, may be found in Patterson (1984) and Duerbeck (1984). However, since all observational CV samples contain a serious z-dependent bias, we will use theoretical h values instead. Also, rather than assuming a single scaleheight for all CVs, we will follow Pretorius et al. (2007) in setting $h = 120, 260$ and 450 pc for long-period systems, short-period systems, and period bouncers, respectively.[5]

CVs are expected to have X-ray emission that can be described as thermal bremsstrahlung with kT roughly between 5 and 20 keV (Patterson & Raymond 1985); observations indicate a larger variety of X-ray spectral shapes (e.g. Naylor et al. 1988; Vrtilek et al. 1994; Richman 1996). The range in F_X resulting from varying kT from 5 to 20 keV for a thermal bremsstrahlung spectrum is about as large as the observational error (which is an insignificant contribution to our uncertainty in ρ_0). We will therefore simply assume a $kT = 10$ keV thermal bremsstrahlung spectrum for all CVs. We follow Henry et al. (2006) in quoting X-ray fluxes and luminosities in the 0.5–2.0 keV band, calculated from *ROSAT* PSPC count rates in the 0.1–2.4 keV band.

3.1 The $1/V_{\max}$ method

The space density is found by counting the CVs inside the volume observed, while accounting for both the fact that our sample is flux limited rather than volume limited, and the variation of space density with z. The method involves essentially allowing the 'volume limit' to vary according to the luminosities of the systems in our sample, while the dependence on z is taken care of by defining a 'generalized' volume

$$V_j = \Omega \frac{h^3}{|\sin b|^3} \left[2 - \left(x_j^2 + 2x_j + 2 \right) \mathrm{e}^{-x_j} \right] \tag{5}$$

(e.g. Schmidt 1968; Felten 1976; Stobie, Ishida & Peacock 1989; Tinney, Reid & Mould 1993), where Ω is the solid angle covered by the survey and $x_j = d_j |\sin b|/h$, with d_j the maximum distance at which a CV included in the sample could have been detected (given its L_X and the survey flux limit). Equation (5) assumes that ρ is a function only of z, and that this dependence is given by equation (4). The index j represents the CVs in our sample. Because both b and the flux limit are variable over Ω, we compute each V_j as a sum over solid angles $\delta\Omega$ small enough so that b and the flux limit (and thus d_j) can be assumed to be constant over each $\delta\Omega$. This is done by dividing the NEP area into a 36×36 pixel grid. ρ_0 is then the sum of the space densities represented by each CV, i.e.

$$\rho_0 = \sum_j 1/V_j.$$

The $1/V_{\max}$ values for the five CVs, obtained by using the best distance and F_X estimates, are given in Table 2, together with other relevant parameters.

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[5] This is clearly still a very simplified picture, but it is an improvement over assuming a single small scaleheight for the entire CV population.

Table 2. Orbital periods, X-ray fluxes, distances and luminosities of the five CVs in the NEP survey area, together with the contribution each systems makes (if errors are ignored) to the mid-plane space density. Note that, although IX Dra is in the area covered by the survey, it is not included in the NEP sample.

Object	P_{orb} (h)	F_X (erg cm^{-2} s^{-1})	d (pc)	L_X (erg s^{-1})	$1/V_{max}$ (pc^{-3})
EX Dra	5.0385	$(6.4 \pm 0.7) \times 10^{-14}$	260^{+140}_{-90}	5×10^{29}	8.8×10^{-6}
RX J1831.7+6511	4.01	$(1.7 \pm 0.2) \times 10^{-13}$	980^{+630}_{-380}	2×10^{31}	1.4×10^{-6}
SDSS J1730	1.837	$(3.4 \pm 0.3) \times 10^{-13}$	440^{+280}_{-170}	8×10^{30}	3.1×10^{-7}
RX J1715.6+6856	1.64	$(8.5 \pm 1.7) \times 10^{-14}$	400^{+400}_{-200}	2×10^{30}	1.3×10^{-6}
IX Dra	1.595	$(2.2 \pm 0.5) \times 10^{-14}$	430^{+340}_{-190}	5×10^{29}	5.4×10^{-6}

We neglect extinction in finding the maximum distance at which a source could be detected from its estimated distance (for which extinction was included) and observed F_X. This is reasonable because extinction is low in the NEP area (the absorbed F_X is at least 0.78 times the unabsorbed flux for all positions in the survey volume), and because, for the distances of most of our sources, the column densities are already at close to the total Galactic values. In other words, at distances beyond our sources, extinction is approximately constant, so that the maximum volumes we obtain are unaffected if extinction is ignored.
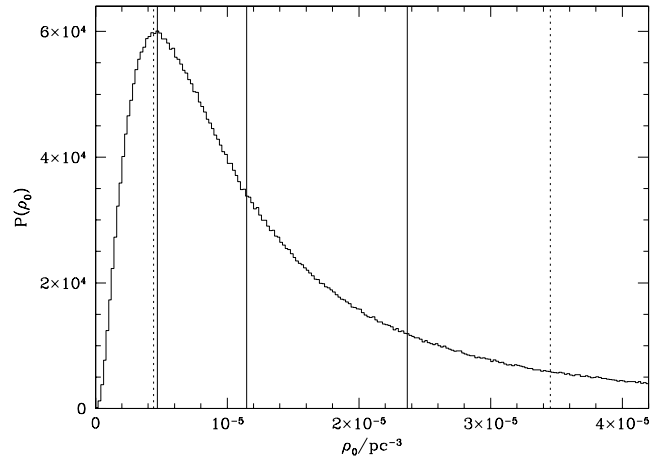
Both distance errors and the small sample size contribute significantly to the overall uncertainty on ρ_0, although the latter effect dominates. In order to account fully for all sources of statistical error (including the uncertainty in distances, and also the error on F_X), we determine the probability distribution of ρ_0 via a Monte Carlo simulation. Thus we create a large number of mock CV samples with properties designed to fairly sample the full parameter space permitted by the data. We then compute an estimate of ρ_0 for each mock sample, thus gradually generating a probability distribution for ρ_0.

The key step in this Monte Carlo simulation is the generation of appropriate mock samples. This is done by treating each CV in the real sample as a representative of a larger population of similar CVs, and allowing each of these populations to contribute to each mock sample. In creating a particular mock sample, we therefore consider each CV in the real sample in turn, and generate a mock counterpart to it by drawing the X-ray flux and distance from the appropriate probability distributions. This takes care of the observational uncertainties in F_X, as well as the uncertainties in distances. However, there is also Poisson uncertainty associated with the small sample size, which would be ignored if each mock sample contained exactly the same number of CVs as the real sample. We deal with this by assigning a weighting factor to each counterpart CV in each mock sample. The weighting factor needs to be drawn independently for each counterpart CV from the probability distribution of the expected number of sources belonging to this population in a NEP-like survey. This probability distribution can be constructed from Bayes' theorem. If the expected number of sources in a NEP-like survey is μ for a particular population,[6] the actual number observed in a particular survey will be N_{obs}, where this is drawn from the Poisson distribution $P(N_{obs}|\mu)$. Then Bayes' theorem gives

$$P(\mu|N_{obs}) \propto P(N_{obs}|\mu)P(\mu),$$

where $P(\mu)$ is the prior on μ. Note that, in practice, $N_{obs} \equiv 1$ by construction for each population represented in the real sample (since each CV is taken to represent a population of similar CVs). Also, since μ is a scalefactor for the space density of each population, the

Figure 6. The ρ_0 distribution resulting from our simulation. This sample includes RX J1715.6+6856, SDSS J1730, RX J1831.7+6511 and EX Dra; we used scaleheights of 260 and 120 pc for short- and long-period systems, respectively. Solid lines mark the mode, median and mean at 4.7×10^{-6}, 1.1×10^{-5} and 2.4×10^{-5} pc^{-3}, and dotted lines show a 1σ interval from 4.4×10^{-6} to 3.5×10^{-5} pc^{-3}.

appropriate uninformative prior to use is $P(\mu) = 1/\mu$ (e.g. Jeffreys 1961).[7]

To summarize, we draw a random distance and F_X from the appropriate probability distribution functions (assuming that the error in F_X is Gaussian) for each of the systems in the sample, compute V_j, generate μ_j, and obtain ρ_0 by summing μ_j/V_j over j. Repeating this a large number of times produces a probability distribution for ρ_0. Fig. 6 shows the ρ_0 histogram obtained from this calculation. The mode, median and mean of this distribution are 4.7×10^{-6}, 1.1×10^{-5} and 2.4×10^{-5} pc^{-3}, and are marked by solid lines. The dotted lines show the symmetric 1σ confidence interval around the median, which is 4.4×10^{-6} to 3.5×10^{-5} pc^{-3}.

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[6] Note that μ does not need to be an integer.

[7] We carried out several tests to check that this technique produces reliable error estimates. In the tests, we take a space density, luminosity function and flux limit as input, and use these to simulate observed samples. The ρ_0 distribution implied by each sample is then calculated in the same way as above. We found that for $\simeq 68$ per cent of these ρ_0 distributions the true input ρ_0 is contained in any particular 1σ interval. This holds when the expected number of detected sources is as small as 4, but also for much larger samples, when the distributions become narrower. We ignored Galactic structure in these tests (i.e. ρ was taken to be constant), but experimented with several different (simple) luminosity functions, as well as with including errors in luminosity. We also ran some simulations to verify that a uniform prior is not appropriate in this calculation. These numerical tests indicate that the method we use is reliable, and that we have adopted a suitable prior.

Table 3. Space density estimates from different simulations. Systems are identified as 1 – EX Dra, 2 – RX J1831.7+6511, 3 – SDSS J1730, 4 – RX J1715.6+6856 and 5 – IX Dra in the first column. h_1 and h_2 are the scale-heights, in parsecs, assumed for short- and long-period systems, respectively. The values given for ρ_0 are the medians of the ρ_0 distributions, and the errors represent symmetric 1σ confidence intervals, around the median.

Sample and scaleheight	ρ_0 (pc^{-3})
Systems 1, 2, 3, 4; $h_1 = 260, h_2 = 120$	$1.1^{+2.3}_{-0.7} \times 10^{-5}$
Systems 1, 2, 3, 4; $h_1 = h_2 = 120$	$1.5^{+2.5}_{-0.8} \times 10^{-5}$
Systems 1, 2, 3, 4, 5; $h_1 = 260, h_2 = 120$	$2.1^{+4.2}_{-1.3} \times 10^{-5}$
Systems 2, 3, 4; $h_1 = 260, h_2 = 120$	$3.1^{+5.5}_{-1.9} \times 10^{-6}$
Systems 1, 2; $h_2 = 120$ (long period)	$7.7^{+18}_{-5.1} \times 10^{-6}$
Systems 3, 4; $h_2 = 260$ (short period)	$1.4^{+5.2}_{-1.0} \times 10^{-6}$

A reason for some concern is that IX Dra was only just too faint to be included in the NEP sample (the fact that it might be a period bouncer adds to this problem). More importantly, a single system, EX Dra, dominates the space density estimate. Our choice of scale-heights is also non-standard. To investigate the sensitivity of the result to these factors, we list in Table 3 the space densities that would be obtained if we (i) used a single scaleheight of 120 pc, (ii) included IX Dra and (iii) excluded EX Dra. Note that as different as the best estimates (we give the median of each distribution) are, they are all consistent with each other. Table 3 also gives the space densities for short- and long-period systems separately.

### 3.2 Upper limits on the space density of an undetected population

An unavoidable assumption of the $1/V_{\max}$ method is that the detected objects are representative of the luminosity function of the true underlying CV population. No evidence of even a large population of CVs is expected to show up in a flux-limited survey, if such a population is sufficiently faint.

The faintest measured values of L_X for CVs are a few times 10^{29} erg s^{-1} s (e.g. Verbunt et al. 1997; Wheatley, Burleigh & Watson 2000; Schwope et al. 2007).[8] However, we do not really know how faint CVs can be: the faintest expected secular luminosities can be estimated from the gravitational radiation $\dot{M}$, but, since faint CVs are DNe, they spend most of their time at fainter luminosities.

Riaz, Gizis & Harvin (2006) find 10^{27} erg s^{-1} < L_X < 10^{33} erg s^{-1} for a large sample of nearby dwarfs with spectral types between K5 and M6; brown dwarfs have L_X < 10^{29} erg s^{-1} (often several orders of magnitude less than 10^{29} erg s^{-1}; e.g. Stelzer et al. 2006). CV secondaries have shorter spin periods than expected for the objects in these studies, but, even so, we cannot be confident that the secondary star alone guarantees L_X > 10^{29} erg s^{-1}. This is especially true in the case of period bouncers.

We use another Monte Carlo simulation to find the upper limit on the space density of a hidden population, assuming that all members

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[8] Verbunt et al. (1997) list $L_X = 3.16 \times 10^{28}$ erg s^{-1} for AY Lyr, but this resulted from a very low distance estimate of 57 pc (Sproats, Howell & Mason 1996). The distance is based on a measurement of $K = 13.08$, taken during outburst. 2MASS photometry indicates $K_S > 15.6$ in quiescence, and using the Knigge (2006) sequence (with no offset), we find $d > 277$ pc, implying that the system is not particularly faint in X-rays.
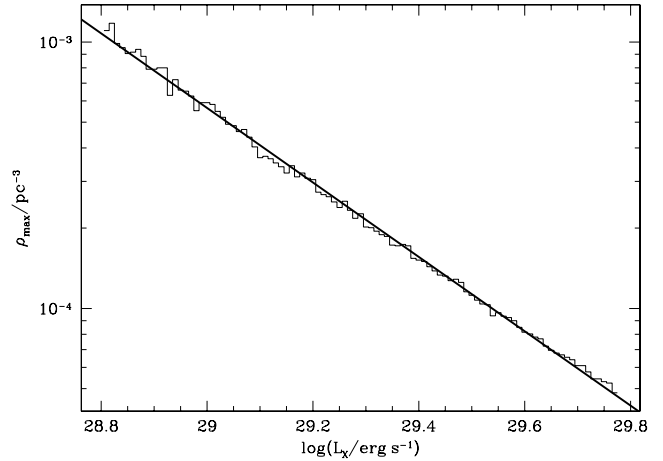
Figure 7. The upper limit on the mid-plane space density as a function of X-ray luminosity for an unseen population of CVs. The data from the simulation are shown as a fine histogram, and a fit is overplotted as a bold curve.

of this population have the same (low) L_X. The Galaxy is modelled as an axisymmetric disc, with exponential vertical and radial (r) density profiles. The Galactic Centre distance is taken as 7620 pc (Eisenhauer et al. 2005), and the small offset of the Sun from the Galactic plane is neglected. At least part of a hidden population may consist of pre-period bounce systems, but we conservatively assume a single scaleheight of 450 pc (appropriate to period bouncers). The radial scalelength is taken as 3000 pc. The local space density is then ρ_0, where $\rho = \rho_0 \exp(-|z|/450 \text{ pc})$, for r near 7620 pc.

Because our upper limits will be systematically low if extinction is neglected, we include it here, using the model of Amôres & Lépine (2005) to find N_H for every simulated system.

We then find the value of ρ_0 for which the predicted number of faint systems detected in the NEP survey is 3 (detecting 0 such systems is then a 2σ result). Fig. 7 shows the maximum allowed ρ_0 as a function of L_X for CVs that make up the hidden population. Results from the simulation are plotted as a fine histogram, while the bold curve is a fit to the data. The fit is given by

$$\rho_{\max} = 5.7 \times 10^{-4} (L_x/10^{29} \text{ erg s}^{-1})^{-1.39} \text{ pc}^{-3}.$$

Thus for $L_X = 2 \times 10^{29}$ erg s^{-1}, we have $\rho_0 < 2 \times 10^{-4}$ pc^{-3}. Note that here ρ_0 refers only to the hypothesized undetected population, and does not include the contribution from the brighter, detected systems.

4 DISCUSSION

The ρ_0 measurement from the NEP survey is consistent with several previous observational estimates, e.g. $\sim 10^{-5}$ pc^{-3} (Patterson 1998) and $\sim 3 \times 10^{-6}$ pc^{-3} (Warner 2001). A particularly relevant study to compare to is Hertz et al. (1990), since it was also based on a sample of four X-ray selected CVs. Hertz et al. find $\rho_0 \simeq (2-3) \times 10^{-5}$ pc^{-3} (where the uncertainty includes only the possible incompleteness of the sample), again consistent with the estimate presented here.

The most important concern with our measurement is that the NEP sample is so small that it may not fairly represent the underlying CV population. This is apparent from the fact that we estimate a higher space density for long- than for short-period systems. The reason is that our estimate is dominated by the long-period system EX Dra, which has an unusually low L_X for its orbital period, probably

because of its very high inclination. Note, however, that if the X-ray luminosity of EX Dra had been higher, then it would only reduce ρ_0.

Theoretical predictions of the CV space density are typically higher than most observational values. A space density of (0.5–2) $\times$ 10^{-4} pc^{-3} results from the CV birth rate of de Kool (1992), together with the assumptions that the age of the Galaxy is 10 Gyr, and that the lifetime of a CV is longer than that (Warner 1995). When the model population of Kolb (1993) is normalized to the formation rate of single white dwarfs, the predicted mid-plane CV space density is 1.8×10^{-4} pc^{-3}. We cannot rule out these values, but our data do show that if ρ_0 is really as high as 2×10^{-4} pc^{-3}, then the dominant CV population must have $L_X \lesssim 2 \times 10^{29}$ erg s^{-1}. It is suspected that the mass transfer rates of CVs fluctuate around the secular mean on time-scales much longer than the total observational base line (see e.g. Hameury, King & Lasota 1989; King et al. 1995; Wu, Wickramasinghe & Warner 1995; McCormick & Frank 1998; Ritter, Zhang & Kolb 2000; Büning & Ritter 2004). Because the time-scale of these $\dot{M}$ cycles is not accessible to observations, we can only note that surveys useful for finding CVs typically have no sensitivity to objects that are not currently accreting.

The fact that stellar populations of different ages do not have the same Galactic distribution has to be kept in mind when interpreting (and, indeed, measuring) space densities. While the situation is clearly not really as simple as three distinct subpopulations with three scaleheights, long-period CVs make up a significantly younger population than, say, period bouncers. One should therefore clearly not expect the ratio of the mid-plane space densities of these subpopulations to be the same as the ratio of their total Galactic numbers. This may be a trivial point, but it has been completely ignored in the literature. If, e.g. we assume that 70 per cent of all CVs in the Galaxy are period bouncers, and only 1 per cent are long-period systems, and if the space densities of these two subpopulations are given by $\rho_{pb}(z) = \rho_{pb}(0) \exp(-|z|/450\,\mathrm{pc})$ and $\rho_{lp}(z) = \rho_{lp}(0) \exp(-|z|/120\,\mathrm{pc})$, respectively, then (from integrating the vertical density profiles and assuming the radial and azimuthal dependences are the same in both cases) we have $\rho_{pb}(0) = 70(120/450)\rho_{lp}(0) \simeq 20\rho_{lp}(0)$. We can also use this argument to place a tentative limit on the ratio of the total numbers of long-period CVs and period bouncers. If we assume $\rho_{pb}(0) < 2 \times 10^{-4}$ pc^{-3} and $\rho_{lp}(0) > 2.0 \times 10^{-6}$ pc^{-3}, then this ratio must be less than about 30.

There are several ongoing surveys that will produce large new samples of CVs, e.g. the Hamburg Quasar Survey, the Sloan Digital Sky Survey and the INT Photometric Hα Survey of the Northern Galactic Plane (e.g. Gänsicke, Hagen & Engels 2002; Szkody et al. 2002; Anngwerojwit et al. 2006; Witham et al. 2006). Although these samples will all be subject to more complicated selection effects than the NEP sample, they will be much larger, which will remove the main shortcoming of the present study (although it should be kept in mind that space density estimates based on larger samples can still be dominated by one or two systems; e.g. Schwope et al. 2002). The Palomar Green Survey CV sample (e.g. Ringwald 1993) is already available, and is also suitable for a rigorous measurement of ρ_0, since it is complete and has well-defined selection criteria.

5 CONCLUSIONS

Using the complete X-ray flux-limited CV sample detected in the *ROSAT* NEP survey, we have estimated the space density of CVs bright enough to be represented in the survey, and placed limits on the size of a fainter population that may have remained undetected.

We have presented observations of two newly discovered CVs, namely RX J1715.6+6856 and RX J1831.7+6511, and measured orbital periods of 1.64 and 4.01 h, respectively, for these systems. RX J1715.6+6856 and RX J1831.7+6511 are probably both DNe. This completes the identification of CVs in the *ROSAT* NEP survey.

Distances were estimated for the four systems in the NEP sample, as well as for IX Dra, which is in the area covered by the survey, but below the survey flux limit.

With the assumption that the four CVs included in the NEP survey are representative of the intrinsic CV population, the space density of CVs is $1.1^{+2.3}_{-0.7} \times 10^{-5}$ pc^{-3}. Space densities as high as the theoretically predicted $\simeq 2 \times 10^{-4}$ pc^{-3} are excluded by our data, unless the dominant CV population is fainter than $\simeq 2 \times 10^{29}$ erg s^{-1} in X-rays.

We also emphasize the fact that long-period CVs, short-period CVs, and period bouncers have different Galactic scaleheights; this needs to be accounted for when mid-plane space densities are translated to total Galactic number of systems.

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