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INITIATION TIMING IN A MODEL FOR PARALLEL COMPUTATION

by

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## Abstract

This paper deals with a particular case of a model for parallel computation as formulated by Karp and Miller. A parallel computation is viewed as a directed graph in which a node represents a sequence of operations to be performed upon data on the node input branches with the results of an operation being placed upon the output branches. An operation associated with a node  $n$  may initiate only if there is at least one data item on each input branch to  $n$ . Upon initiation,  $n$  removes one data item from each input branch and upon termination, places one data item on each output branch. For such a computation graph  $G$  necessary and sufficient conditions that a set of real numbers  $\{t_i^r \mid r=0,1,\dots, \text{ and } n_i \text{ is a node of } G\}$  represent a sequence of initiation times for the nodes  $n_i$  of  $G$  are given. A periodic set  $\{t_i^r = t_i + r\gamma\}$  is given so that  $G$  computes periodically, and the minimum period  $\pi$  is determined in terms of the graph parameters. A maximal computation rate periodic schedule is also given for the case that  $G$  is required to compute synchronously, i.e. at integer times. Finally, in the case of a synchronous computation graph  $G$ , an analysis is given of the so-called free running execution of  $G$  and this is found to yield the maximum computation rate of  $G$ .



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## 1. Introduction

The concept of a computation effected in a parallel fashion entails the notion of a number of processing units with interconnected data channels, with each unit capable of performing some function, provided only that the necessary input data is available to it. A model characterizing such a system has been developed by Karp and Miller of IBM. Briefly this model is as follows:

The computation is represented as a directed graph in which a node  $n_i$  denotes an operation to be performed upon data which lie upon the input branches to  $n_i$ . The results of the operation by node  $n_i$  are placed upon the output branches of  $n_i$ . A branch, therefore, represents a data queue. With each branch  $b_{ij}$  directed from node  $n_i$  to node  $n_j$  is associated a quadruple  $(A_{ij}, U_{ij}, W_{ij}, T_{ij})$ , the elements of which are interpreted as

$A_{ij}$  - the initial number of data items on branch  $b_{ij}$ .

$U_{ij}$  - the number of data items placed on  $b_{ij}$  upon the termination of the operation associated with  $n_i$ .

$W_{ij}$  - the number of data items removed from  $b_{ij}$  under a first-in first-out queue discipline, upon the initiation of the operation associated with node  $n_j$ .

$T_{ij}$  - a threshold. In order to initiate the operation associated with node  $n_j$ , the queue length on  $b_{ij}$  must be greater than, or equal to  $T_{ij}$  for all input branches  $b_{ij}$  to node  $n_j$ .

Let  $G$  be a computation graph with node set  $\{n_i\}$ . For every branch  $b_{ij}$  let  $T_{ij} = U_{ij} = W_{ij} = 1$  and let every directed loop of  $G$  contain at

least one initial data item. It is known (See [3] or [5].) that such a graph represents a nonterminating computation. We seek an execution of  $G$  which is periodic in the sense that if a node  $n_j$  first initiates at time  $t_j$ , it will initiate thereafter at times  $t_j + \gamma$ ,  $t_j + 2\gamma$ , ..., where  $\gamma$ , the period, is the same for all nodes of  $G$ . Clearly, if the computation is to be controlled by a clock signal, such an execution is desirable. We shall show in Section 2 that such an execution exists for all such computation graphs. Moreover, it is possible to define a parameter  $\pi$  for  $G$  such that the above periodic schedule is possible with  $\gamma = \pi$  and under which  $G$  computes at the maximum possible rate.

In Sections 3 and 4 we consider various problems which arise when the frequency of the clock signal controlling the initiation of the nodes of  $G$  is a priori specified. It then turns out that the maximum computation rate periodic schedule of Section 2 is not applicable when  $\pi$  is not an integer. This leads us in Section 3 to define the notion of a synchronous computation graph computing under the so-called free running execution. Under this execution, a node initiates at integer times if and only if each input branch contains at least one data word. The principal result of Section 3 is that a synchronous computation graph under the free running execution computes at the maximum possible rate and that this rate is  $1/\pi$ . In Section 4 we provide a periodic execution for synchronous computation graphs  $G$ . This execution has the following form:

Let  $\pi = \lambda/\alpha$  where  $\lambda$  and  $\alpha$  are integers, and let  $n_i$  be a node of  $G$ . Then there are integers  $t_i^0 < t_i^1 < \dots < t_i^{\alpha-1} < t_i^0 + \lambda$  such that  $n_i$  initiates only at times



$$\begin{aligned}
& t_i^0, t_i^1, \dots, t_i^{\alpha-1}, \\
& t_{i+\lambda}^0, t_{i+\lambda}^1, \dots, t_{i+\lambda}^{\alpha-1}, \\
& t_{i+2\lambda}^0, t_{i+2\lambda}^1, \dots, t_{i+2\lambda}^{\alpha-1}, \\
& \dots \dots \dots
\end{aligned}$$

Clearly, under this execution G computes at the maximum rate  $1/\pi$ .

## 2.A. A Periodic Schedule

Throughout this paper, unless stated otherwise, we shall be concerned with computation graphs  $G$  such that for each branch  $b_{ij} = (n_i, n_j)$  we have  $T_{ij} = W_{ij} = U_{ij} = 1$  and such that every directed loop of  $G$  contains at least one initial data item. Thus each node of  $G$  is eligible for initiation if and only if there is at least one data word on each of its input branches. With each node  $n_j$  of  $G$ , associate a positive real number  $\tau_j$ , the execution time of  $n_j$ .  $\tau_j$  is to be interpreted as follows: if  $n_j$  initiates at time  $t$ , then at time  $t$ , one data word is removed from each of the input branches to  $n_j$ , and at time  $t + \tau_j$ , one data word is placed upon each of the output branches of  $n_j$ . We say that a directed graph is in-point connected if there exists a node  $n$  such that if  $n_i \neq n$ , then there is a directed path from  $n_i$  to  $n$ . The node  $n$  is called an in-point.

Let  $G$  be an in-point connected computation graph with in-point  $n_1$ . If there is a branch from  $n_i$  to  $n_j$ , write  $n_i \rightarrow n_j$ , and let  $A_{i,j}$  be the initial number of data words on this branch. By  $\pi(n_i)$  for  $n_i \neq n_1$ , we shall mean a path (which exists by definition)

$$n_{i_k} \rightarrow n_{i_{k-1}} \rightarrow \dots \rightarrow n_{i_2} \rightarrow n_{i_1}$$

where  $n_{i_1} = n_1$ , and  $n_{i_k} = n_i$ .

Write

$$\sum_{r=1}^{k-1} A_{i_{r+1}, i_r} \quad \text{as} \quad \sum_{\pi(n_i)} A$$

and

$$\sum_{r=i_2}^{i_k} \tau_r \quad \text{as} \quad \sum_{\pi(n_i)} \tau.$$

We shall assume that  $G$  contains at least one directed loop.

Let  $L$  be a loop of  $G$ ,

$$n_{i_1} \rightarrow n_{i_2} \rightarrow \dots \rightarrow n_{i_k} \rightarrow n_{i_{k+1}}$$

where  $n_{i_{k+1}} = n_{i_1}$ .

Define

$$\pi_L = \frac{\sum_{r=1}^{i_k} \tau_r}{\sum_{r=1}^k A_{i_r, i_{r+1}}}$$

and let

$$\pi = \max_{\text{loops } L} \{\pi_L\}$$

Let  $\gamma \geq \pi$  and consider the following assignment of real numbers  $t_i$  to the nodes  $n_i$  of  $G$ .

$$t_1 = 0 \tag{1}$$

$$t_i = \min_{\pi(n_i)} \left[ \gamma \sum_{\pi(n_i)} A - \sum_{\pi(n_i)} \tau \right] \quad \text{for } n_i \neq n_1$$

We shall prove (Corollary 3) that an execution exists for  $G$  such that for all nodes  $n_j$  of  $G$ ,  $n_j$  initiates only at times  $t_j, t_j + \gamma, t_j + 2\gamma, \dots$

In [1], Cuninghame-Green considers a similar problem in which  $A_{ij} = 1$  for all branches  $(n_i, n_j)$  but for which  $\tau_i$  is actually a branch (rather than node) parameter  $a_{ij}$ . He defines a quantity  $\lambda = \max_L \{ \sum_L a/\ell \}$  where  $\ell$  is the length of the loop  $L$ . Under the identification

$\lambda - a_{ij} = \pi A_{ij} - \tau_i$ , our problem becomes formally identical with his. Cuninghame-Green poses the problem of determining a set of initial starting times for the nodes of  $G$  such that a periodic execution with period  $\pi$  is possible and he solves this for the special case that  $G$  is a loop. In this section we show that the assignment (1) with  $\gamma = \pi$  is precisely such a set of initial starting times (Corollary 3).

Lemma 1

If  $n_i \rightarrow n_j$ , then

$$t_i + \tau_i \leq t_j + \gamma A_{i,j}.$$

PROOF: (1) Suppose  $n_i = n_j$ . Then  $n_i \rightarrow n_i$  is a loop of  $G$  whence

$$\frac{\tau_i}{A_{i,i}} \leq \pi \leq \gamma,$$

i.e.

$$t_i + \tau_i \leq t_i + \gamma A_{i,i}.$$

(2) Suppose  $n_j = n_1$ . Then  $n_i \rightarrow n_j$  is a path  $\pi(n_i)$  and we have immediately

$$t_i \leq \gamma A_{i,j} - \tau_i = t_j + \gamma A_{i,j} - \tau_i$$

(3) Suppose  $n_i = n_1$ . Let  $\pi(n_j)$  be a path for which

$$t_j = \gamma \sum_{\pi(n_j)} A - \sum_{\pi(n_j)} \tau$$

Then

$$\begin{aligned} t_j &= \gamma A_{i,j} + \gamma \sum_{\pi(n_j)} A - \tau_1 - \sum_{\pi(n_j)} \tau - \gamma A_{i,j} + \tau_1 \\ &= \gamma \sum_L A - \sum_L \tau - \gamma A_{i,j} + \tau_1 \end{aligned}$$

where we write  $L$  as the loop  $n_1 \rightarrow \pi(n_j)$ , and  $\sum_L A$  as the sum around  $L$  of the initial branch weights of  $L$ ,  $\sum_L \tau$  as the sum of the execution times of the nodes of  $L$ .

But

$$\frac{\sum_L \tau}{\sum_L A} \leq \pi \leq \gamma$$

i.e.

$$\gamma \sum_L A - \sum_L \tau \geq 0.$$

Hence

$$t_j \geq \tau_1 - \gamma A_{i,j} = t_1 + \tau_1 - \gamma A_{i,j}.$$

(4) Suppose  $n_i \neq n_j$ ,  $n_i \neq n_1$ ,  $n_j \neq n_1$ . Let  $\pi(n_j)$  be a path for which

$$t_j = \gamma \sum_{\pi(n_j)} A - \sum_{\pi(n_j)} \tau.$$

If  $\pi(n_j)$  does not contain  $n_i$ , then  $\pi(n_i): n_i \rightarrow \pi(n_j)$ , is a path from  $n_i$  to  $n_1$  whence

$$\begin{aligned}
t_i &\leq \gamma \sum_{\pi(n_i)} A - \sum_{\pi(n_i)} \tau \\
&= \gamma A_{i,j} + \gamma \sum_{\pi(n_j)} A - \tau_i - \sum_{\pi(n_i)} \tau \\
&= \gamma A_{i,j} - \tau_i + t_j.
\end{aligned}$$

Otherwise  $\pi(n_j)$  contains  $n_i$ . Then  $\pi(n_j)$  has the form

$$n_{i_s} \rightarrow n_{i_{s-1}} \rightarrow \dots \rightarrow n_{i_r} \rightarrow \dots \rightarrow n_{i_1}$$

where  $n_{i_s} = n_j$ ,  $n_{i_r} = n_i$ , and  $n_{i_1} = n_1$ . Moreover  $n_{i_r} \rightarrow n_{i_s}$ . Then

$$\begin{aligned}
t_j &= \gamma \sum_{\pi(n_j)} A - \sum_{\pi(n_j)} \tau \\
&= \gamma A_{i,j} + \gamma \sum_{\pi(n_j)} A - \tau_i - \sum_{\pi(n_j)} \tau + \tau_i - \gamma A_{i,j} \\
&= \gamma \sum_L A - \sum_L \tau + \gamma \sum_{\pi(n_i)} A - \sum_{\pi(n_i)} \tau + \tau_i - \gamma A_{i,j}
\end{aligned}$$

where we write  $L$  as the loop

$$n_{i_r} \rightarrow n_{i_s} \rightarrow n_{i_{s-1}} \rightarrow \dots \rightarrow n_{i_r}$$

and  $\pi(n_i)$  is the path from  $n_{i_r}$  ( $=n_i$ ) to  $n_1$

$$n_{i_r} \rightarrow n_{i_{r-1}} \rightarrow \dots \rightarrow n_1.$$

But

$$\gamma \sum_{\pi(n_i)} A - \sum_{\pi(n_i)} \tau \geq t_i$$

and

$$\frac{\sum \tau}{\sum A} \leq \pi \leq \gamma$$

i.e.

$$\gamma \sum A - \sum \tau \geq 0$$

Hence

$$t_j \geq t_i + \tau_i - \gamma A_{i,j}.$$

Define  $x(n,t) = 0$  if and only if node  $n$  initiates for the first time at some time  $t' \geq t$ . For  $k=1,2,\dots$ , define  $x(n,t) = k$  if and only if node  $n$  initiates for the  $k$ -th time at some time  $t' < t$  but has not initiated for the  $(k+1)$ -th time at some time  $t'' < t$ . Thus  $x(n,t)$  is the number of initiations of node  $n$  up to, but not including, time  $t$ .

If  $n_i \rightarrow n_j$ , define

$$b_{ij}(t) = A_{i,j} + x[n_i, (t-\tau_i)^+] - x(n_j, t)$$

where

$$\begin{aligned} x[n_i, (t-\tau_i)^+] &= x(n_i, t-\tau_i) \text{ if } n_i \text{ does not initiate at time } t-\tau_i. \\ &= x(n_i, t-\tau_i) + 1 \text{ if } n_i \text{ does initiate at time } t-\tau_i. \end{aligned}$$

$b_{i,j}(t)$  is interpreted as the number of data words on branch  $(n_i, n_j)$  at time  $t$ .

Theorem 2

Let  $G$  be a computation graph. With each node  $n_i$  of  $G$  associate a set of real numbers  $\{t_i^r \mid t_i^r < t_i^{r+1}, \quad r=0,1,\dots\}$ .

There exists an execution of  $G$  such that  $n_j$  initiates only at times  $t_j^0, t_j^1, \dots, t_j^r, \dots$ , if and only if for all branches  $(n_i, n_j)$  of  $G$  we have

$$t_i^r + \tau_i \leq t_j^{r+A_{ij}}.$$

PROOF:



Let  $n_i \rightarrow n_j$  and suppose there exists  $r$  such that  $t_i^r + \tau_i > t_j^{r+A_{ij}}$ .

Then

$$t_i^r + \tau_i = t_j^{r+A_{ij}} + \epsilon_{ij}$$

where  $\epsilon_{ij} > 0$ . Therefore

$$\begin{aligned} b_{ij}(t_j^{r+A_{ij}}) &= A_{ij} + x[n_i, (t_j^{r+A_{ij}} - \tau_i)^+] - x(n_j, t_j^{r+A_{ij}}) \\ &= A_{ij} + x[n_i, (t_i^r - \epsilon_{ij})^+] - (r+A_{ij}) \\ &\leq A_{ij} + r - (r+A_{ij}) \\ &= 0 \end{aligned}$$

which contradicts the condition that  $n_j$  initiates at time  $t_j^{r+A_{ij}}$ .



We shall prove, by induction on  $n$ , that for all nodes  $n_j$  of  $G$ ,  $n_j$  initiates at time  $t_j^n$ . Let the distinct numbers of the set  $\{t_i^0\}$  be  $T_1 < T_2 < \dots < T_m$ . For the case  $n=0$ , we apply induction on the subscript  $r$  of  $T_r$ .



Suppose  $r=1$  and let  $t_j^0 = T_1$ . Then if  $n_i \rightarrow n_j$ , we have  $t_i^0 + \tau_i \leq t_j^{A_{ij}}$ . If  $A_{ij} = 0$ , then  $t_i^0 + \tau_i \leq t_j^0 = T_1$  and since  $\tau_i > 0$ ,  $t_i^0 < T_1$  a contradiction. Hence  $A_{ij} \geq 1$  for all branches  $(n_i, n_j)$  so that  $n_j$  can initiate at time  $t_j^0$ .

Assume, for all nodes  $n_i$  for which  $t_i \leq T_r$ ,  $r < m$ , that  $n_i$  initiates at time  $t_i^0$ . Suppose  $t_j^0 = T_{r+1}$ . If  $A_{ij} > 0$  for all branches  $(n_i, n_j)$  of  $G$  then  $n_j$  can initiate at time  $t_j^0$ . Otherwise  $A_{ij} = 0$  for some branch  $(n_i, n_j)$  so that

$$t_i^0 + \tau_i \leq t_j^{A_{ij}} = t_j^0 = T_{r+1}.$$

i.e.  $t_i < T_{r+1}$  so that  $t_i = T_q$  for some  $q \leq r$ . By the induction hypothesis on  $r$ ,  $n_i$  initiates at time  $t_i^0$ . But then  $n_i$  terminates at time  $t_i^0 + \tau_i \leq t_j^0$  so that at time  $t_j^0$  the branch  $(n_i, n_j)$  contains a data word. This is true for all input branches to  $n_j$  so that  $n_j$  can initiate at time  $t_j^0$ . This completes the induction on  $r$  and hence establishes the result for  $n=0$ .

Now assume, for all nodes  $n_j$  of  $G$ , that  $n_j$  initiates at times  $t_j^0, t_j^1, \dots, t_j^n$ . We prove that  $n_j$  initiates at time  $t_j^{n+1}$ .

Let  $T_1 < T_2 < \dots < T_m$  be the distinct members of the set  $\{t_i^{n+1}\}$ .

Again we apply induction on the subscript  $r$  of  $T_r$ .

Suppose  $r=1$  and let  $t_j^{n+1} = T_1$ . We prove that  $A_{ij} > 0$  for all branches  $(n_i, n_j)$ . For if not, there exists a branch  $(n_i, n_j)$  such that

$A_{ij} = 0$ . Then

$$t_i^{n+1} + \tau_i \leq t_j^{n+1+A_{ij}} = t_j^{n+1} = T_1.$$

i.e.  $t_i^{n+1} < T_1$  a contradiction.

Now for any branch  $(n_i, n_j)$

$$b_{ij}(t_j^{n+1}) = A_{ij} + x[n_i, (t_j^{n+1} - \tau_i)^+] - x(n_j, t_j^{n+1}).$$

Suppose  $n+1 < A_{ij}$ . Then

$$\begin{aligned} b_{ij}(t_j^{n+1}) &\geq A_{ij} - x(n_j, t_j^{n+1}) \\ &= A_{ij} - (n+1) \text{ by the induction hypothesis on } n \\ &> 0. \end{aligned}$$

Otherwise  $n+1 \geq A_{ij}$  and  $t_i^{n+1-A_{ij}}$  is defined, so that

$$t_i^{n+1-A_{ij}} + \tau_i \leq t_j^{n+1}.$$

Therefore

$$b_{ij}(t_j^{n+1}) \geq A_{ij} + x[n_i, (t_i^{n+1-A_{ij}})^+] - x(n_j, t_j^{n+1}).$$

But  $A_{ij} \geq 1$  so that  $n+1 - A_{ij} \leq n$ . Then by the induction hypothesis on  $n$ ,

$$x[n_i, (t_i^{n+1-A_{ij}})^+] = n+1 - A_{ij} + 1.$$

Also, by the induction hypothesis on  $n$ ,  $x(n_j, t_j^{n+1}) = n+1$ . Hence  $b_{ij}(t_j^{n+1}) \geq 1$  and  $n_j$  can initiate at time  $t_j^{n+1}$ .

Assume, then, for all nodes  $n_i$  for which  $t_i^{n+1} \leq T_r$ ,  $r < m$ , that  $n_i$  initiates at times  $t_i^0, t_i^1, \dots, t_i^{n+1}$ . Let  $n_j$  be a node for which  $t_j = T_{r+1}$ . If  $A_{ij} > 0$  for all input branches  $(n_i, n_j)$  of  $n_j$ , then by the same argument as above for  $r=1$ ,

$$b_{ij}(t_j^{n+1}) \geq 1.$$

and  $n_j$  can initiate at time  $t_j^{n+1}$ . Otherwise  $A_{ij} = 0$  for some branch  $(n_i, n_j)$ . Then

$$t_i^{n+1} + \tau_i \leq t_j^{n+1} = T_{r+1}$$

i.e.  $t_i^{n+1} < T_{r+1}$  so that  $t_i = T_q$  for some  $q \leq r$ . By the induction hypothesis on  $r$ ,  $n_i$  initiates at times  $t_i^0, t_i^1, \dots, t_i^{n+1}$ . Then

$$b_{ij}(t_j^{n+1}) = x[n_i, (t_j^{n+1} - \tau_i)^+] - x(n_j, t_j^{n+1}).$$

But  $t_j^{n+1} - \tau_i \geq t_i^{n+1}$ . Therefore

$$b_{ij}(t_j^{n+1}) \geq x[n_i, (t_i^{n+1})^+] - x(n_j, t_j^{n+1}) = (n+2) - (n+1)$$

by the induction hypothesis on  $n$ . Hence all input branches to  $n_j$  contain at least one data word at time  $t_j^{n+1}$  so that  $n_j$  can initiate at times  $t_j^{n+1}$ .

This completes the induction on  $r$  and hence on  $n$ .

### Corollary 3

Let  $G$  be in-point connected and  $\gamma \geq \pi$ . Then there exists an execution of  $G$  under which, for all nodes  $n_j$  of  $G$ ,  $n_j$  initiates only at times  $t_j, t_j + \gamma, t_j + 2\gamma, \dots$ .

PROOF:

For each node  $n_k$  of  $G$  put  $t_k^r = t_k + r\gamma$   $r=0,1,\dots$ , where  $t_k$  is obtained from the assignment (1). Then by Lemma 1,  $t_i^r + \tau_i \leq t_j^{r+A_{ij}}$  for every branch  $(n_i, n_j)$ . The result now follows from Theorem 2.

For an arbitrary nonterminating computation graph  $G$ , let us define the computation rate of a node  $n_j$  of  $G$  to be

$$\rho_j = \lim_{t \rightarrow \infty} \frac{x(n_j, t)}{t}$$

if this limit exists. In the case of a computation graph  $G$  under a periodic execution with period  $p$ , we have  $\rho_j = 1/p$  independent of  $n_j$ .

It thus makes sense in this case to define the computation rate of  $G$  to be  $\rho_G = 1/p$ .

Theorem 4

Let  $G$  be in-point connected. With  $\gamma = \pi$ , the assignment (1) yields the maximum computation rate of  $G$  under any periodic execution.

PROOF: Consider a periodic execution under which, for each node  $n_j$  of  $G$ ,  $n_j$  initiates at times  $t_j, t_j+p, t_j+2p, \dots$ . Let  $L$  be a loop of  $G$  for which  $\pi_L = \pi$ , and let  $A$  be the sum of the number of data words initiated on the branches of  $L$ . Then for any node  $n_j$  of  $L$ ,

$$x(n_j, t_j + Ap) - x(n_j, t_j) = A$$

Thus, in time  $Ap$ , a data word on  $L$  passes once around the loop. But the minimum time in which this can be done is  $\sum_L \tau_j$ ; i.e.  $Ap \geq \sum_L \tau_j$   
i.e.  $p \geq \pi_L = \pi$ .

Note that the proof of Theorem 4 reveals that, with  $\gamma = \pi$ , the assignment (1) yields a periodic execution of  $G$  such that if a node  $n_j$  lies on a loop  $L$  with  $\pi_L = \pi$ , then  $\rho_j$  is the maximum computation rate of the node  $n_j$  under any (periodic or not) proper execution of  $G$ .

In the actual calculation of times  $t_j$  for a given graph  $G$ , in the case  $\gamma = \pi$ , the following observation will be found to be useful:

If  $n_i \rightarrow n_j$ , and  $n_i, n_j$  are on a loop  $L$  for which  $\pi_L = \pi$ , then

$$t_j = t_i + \tau_i - \pi A_{i,j}.$$

PROOF: Let  $L$  be

$$n_i \rightarrow n_j \rightarrow n_k \rightarrow \dots \rightarrow n_r \rightarrow n_i.$$

Then by Lemma 1

$$\begin{aligned}
 t_j &\geq t_i + \tau_i - \pi A_{i,j} \\
 t_k &\geq t_j + \tau_j - \pi A_{j,k} \\
 &\dots\dots \\
 t_i &\geq t_r + \tau_r - \pi A_{r,i}
 \end{aligned}$$

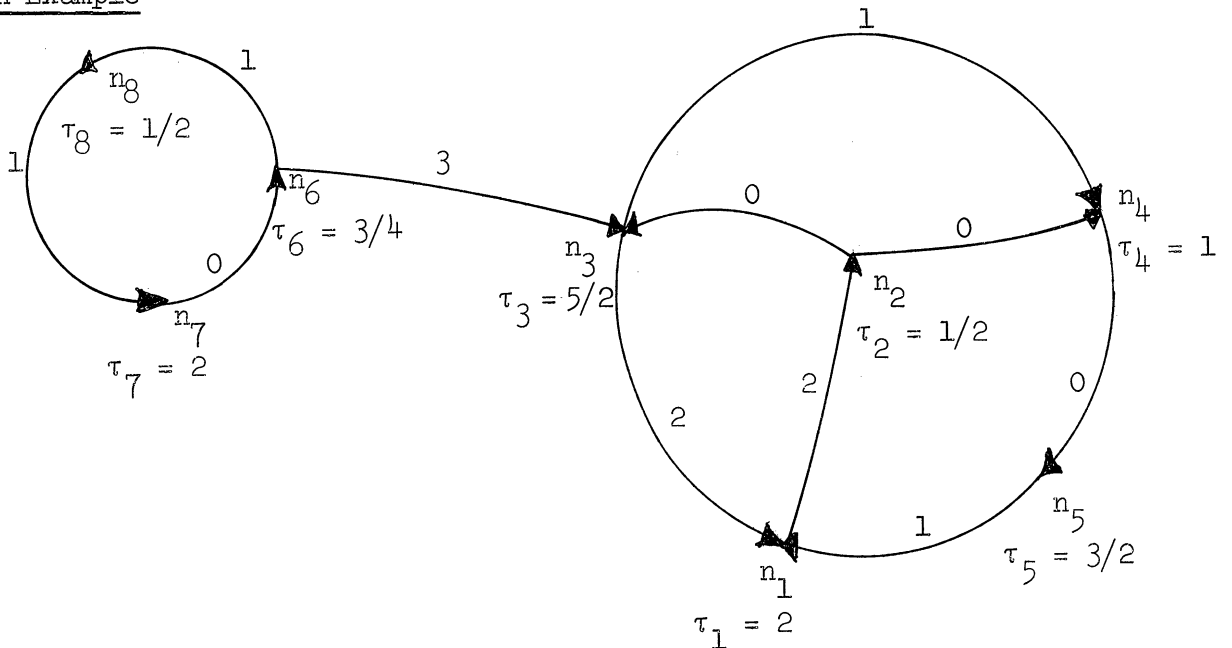
Therefore

$$0 \geq \sum_L \tau - \pi \sum_L A = 0$$

i.e. equality must hold for each of the above inequalities.

Observe that all of the results of this section have been proved under the assumption that a node  $n_j$  can initiate at any time that there is sufficient data on all of its input branches, i.e. regardless of whether or not  $n_j$  has terminated its previous initiation. If we wish a model in which no node can initiate unless it has terminated its previous execution, we can obtain it by simply adding a branch  $(n_j, n_j)$  with  $A_{j,j} = 1$  for all nodes  $n_j$ . We call such a branch a self-loop.

An Example



The initial numbers of data words is shown on the branches.  $\pi = 15/8$  corresponding to the loop

$$n_1 \rightarrow n_2 \rightarrow n_3 \rightarrow n_4 \rightarrow n_5 \rightarrow n_1.$$

Then we have immediately,

$$\begin{aligned} t_1 &= 0 \\ t_2 &= t_1 + \tau_1 - \pi A_{12} = -7/4 \\ t_3 &= t_2 + \tau_2 - \pi A_{23} = -5/4 \\ t_4 &= t_3 + \tau_3 - \pi A_{34} = -5/8 \\ t_5 &= t_4 + \tau_4 - \pi A_{45} = 3/8 \end{aligned}$$

Since  $(n_6, n_3)$  is the only path from  $n_6$  to  $n_3$ , we have

$$t_6 = \pi A_{63} - \tau_6 + t_3 = 29/8.$$

Similarly,

$$\begin{aligned} t_7 &= \pi A_{76} - \tau_7 + t_6 = 13/8 \\ t_8 &= \pi A_{87} - \tau_8 + t_7 = 3. \end{aligned}$$

We note that  $\pi < \tau_1, \tau_3, \tau_7$  so that  $n_1, n_3$  and  $n_7$  initiate at times when they have not yet terminated their previous initiation. If this is undesirable, we can place self-loops on  $n_1, n_3$  and  $n_7$ . It then follows that for this new graph,

$$\pi = \max(\tau_1, \tau_3, \tau_7) = 5/2.$$

The corresponding  $t_i$  are:  $t_1 = 0$        $t_2 = -1/2$        $t_3 = 0$        $t_4 = 0$

$t_5 = 1$        $t_6 = 27/4$        $t_7 = 19/4$        $t_8 = 27/4.$

## B. Extension to Weakly Connected Directed Graphs

A directed graph  $G$  is said to be weakly connected if the undirected graph obtained from  $G$  by removing the arrows on the branches of  $G$  is connected. We shall show how the above periodic schedule for in-point-connected computation graphs can be extended to weakly connected directed graphs. A subgraph  $G'$  of  $G$  is a maximal in-point connected subgraph if there is no subgraph properly containing  $G'$  which is in-point connected. If  $H$  is a subgraph of  $G$ , by  $G-H$  we mean that subgraph of  $G$  generated by those nodes of  $G$  which are not nodes of  $H$ . If  $H'$  is another subgraph of  $G$ ,  $H+H'$  is that subgraph of  $G$  generated by the nodes of  $H$  and  $H'$ .

Let  $G$  be a weakly connected computation graph and  $G'$  a maximal in-point connected subgraph of  $G$ . If  $G' = G$ , the results of Section 2A are sufficient. Otherwise let  $G''$  be a maximal in-point connected subgraph of  $G-G'$ . It follows that in  $G$ , there is no path from a node of  $G''$  to a node of  $G'$ . Define a set of ordered node pairs

$$N' = \{(n'_k, n''_\ell) \mid n'_k \text{ and } n''_\ell \text{ are nodes of } G' \text{ and } G'' \text{ respectively, and } n'_k \rightarrow n''_\ell\}.$$

Assign real numbers  $t_i$  to the nodes  $n'_i$  of  $G'$  by (1) of Section 2A, treating  $G'$  as a computation graph by itself. Similarly, assign real numbers  $t''_j$  to the nodes  $n''_j$  of  $G''$ . Then, by Lemma 1, for all nodes  $n'_i, n'_j$  of  $G'$  such that  $n'_i \rightarrow n'_j$

$$t_i + \tau_i \leq t_j + \gamma A_{ij}$$

Similarly, for all nodes  $n''_k, n''_\ell$  of  $G''$  such that  $n''_k \rightarrow n''_\ell$

$$t''_k + \tau_k \leq t''_\ell + \gamma A_{k\ell}$$

Define a real number  $\alpha''$  as follows:

If  $N' = \emptyset$ ,  $\alpha'' = 0$ .

Otherwise  $\alpha'' = \max_{(n_k', n_\ell'') \in N'} \{t_k + \tau_k - t_\ell'' - \gamma A_{k\ell}\}$

For all  $n_\ell''$  of  $G''$  define

$$t_\ell = t_\ell'' + \alpha''.$$

Then, clearly, for all nodes  $n_i, n_j$  of  $G' + G''$

$$t_i + \tau_i \leq t_j + \gamma A_{ij}.$$

In general, suppose  $\mathcal{G} = G' + G'' + \dots + G^{(r)}$  where  $G^{(i)}$  is a maximal in-point connected subgraph of  $G - (G' + G'' + \dots + G^{(i-1)})$ ,  $i=1,2,\dots,r$ , and that for all nodes  $n_i, n_j$  of  $\mathcal{G}$  such that  $n_i \rightarrow n_j$  there exist real numbers  $t_i, t_j$  for which

$$t_i + \tau_i \leq t_j + \gamma A_{ij}.$$

If  $\mathcal{B} = G$ , then we are through. Otherwise let  $G^{(r+1)}$  be a maximal in-point connected subgraph of  $G - \mathcal{G}$ . Then in  $G$  there is no path from a node of  $G^{(r+1)}$  to a node of  $\mathcal{G}$ . Define

$$N^{(r)} = \{(n_k, n_\ell^{(r+1)}) \mid n_k \text{ and } n_\ell^{(r+1)} \text{ are nodes of } \mathcal{G} \text{ and } G^{(r+1)} \text{ respectively, and } n_k \rightarrow n_\ell^{(r+1)}\}.$$

Assign real numbers  $t_i^{(r+1)}$  to the nodes  $n_i^{(r+1)}$  of  $G^{(r+1)}$  by (1) of Section 2A. Define a real number  $\alpha^{(r+1)}$  as follows:

If  $N^{(r)} = \emptyset$ ,  $\alpha^{(r+1)} = 0$

Otherwise

$$\alpha^{(r+1)} = \max_{(n_k, n_\ell^{(r+1)}) \in N^{(r)}} \{t_k + \tau_k - t_\ell^{(r+1)} - \gamma A_{k\ell}\}$$

Finally, for all  $n_\ell^{(r+1)}$  of  $G^{(r+1)}$  define



$$t_\ell = t_\ell^{(r+1)} + \alpha^{(r+1)}$$

Then by the same reasoning as above, for all nodes  $n_i, n_j$  of  $\mathcal{G} + G^{(r+1)}$  such that  $n_i \rightarrow n_j$ ,

$$t_i + \tau_i \leq t_j + \gamma A_{ij}$$

Since  $G$  has finitely many nodes, this process must terminate with  $G = G' + \dots + G^{(k)}$  for some  $k$ . We then have

$$t_i + \tau_i \leq t_j + \gamma A_{ij}$$

for all  $n_i, n_j$  of  $G$  such that  $n_i \rightarrow n_j$ . By Theorem 2,  $G$  then has a sequence of initiation times  $t_i + r\gamma$ ,  $r=0,1,\dots$ , for each node  $n_i$  of  $G$ .

### C. A Dual Assignment

Let  $G$  be a computation graph with the property that there exists a node  $n_1$  such that for every node  $n_i \neq n_1$ , there is a path  $\pi(n_i)$  from  $n_1$  to  $n_i$ .  $G$  is said to be out-point connected, and  $n_1$  is called an out-point. Let  $\pi(n_i)$  be  $n_{i_1} \rightarrow n_{i_2} \rightarrow \dots \rightarrow n_{i_{k-1}} \rightarrow n_{i_k}$  where  $n_{i_1} = n_1$  and  $n_{i_k} = n_i$ .

Write 
$$\sum_{r=1}^{k-1} A_{i_r, i_{r+1}} \text{ as } \sum_{\pi(n_i)} A$$

and 
$$\sum_{r=i_1}^{i_{k-1}} \tau_r \text{ as } \sum_{\pi(n_i)} \tau$$

For  $\gamma \geq \pi$ , consider the following assignment of real numbers  $T_i$  to the nodes  $n_i$  of  $G$ :

$$T_1 = 0$$

$$T_i = \max_{\pi(n_i)} \left[ \sum_{\pi(n_i)} \tau - \gamma \sum_{\pi(n_i)} A \right] \text{ for } n_i \neq n_1$$

Then it can be proved, in a manner similar to that of Section 2A, that an execution exists for  $G$  such that for all nodes  $n_j$  of  $G$ ,  $n_j$  initiates only at times  $T_j + r\gamma$ ,  $r=0,1,\dots$ .

An extension of this result to weakly connected directed graphs is possible in essentially the same fashion as in B above except that  $N^{(r)}$  is taken to be

$$N^{(r)} = \{ (n_\ell^{(r+1)}, n_k) \mid n_k \text{ and } n_\ell^{(r+1)} \text{ are nodes of } \mathcal{K} \text{ and } G^{(r+1)} \text{ respectively, and } n_\ell^{(r+1)} \rightarrow n_k \}.$$

Suppose  $G$  is strongly connected. Then we may choose a node  $n_1$  to be the in-point of the assignment (1) and the out-point of the above dual assignment. It then follows that for all nodes  $n_i$  of  $G$  we have  $T_i \leq t_i$ . Moreover, if  $\{\theta_i\}$  is a set of numbers which satisfy

$$\theta_i + \tau_i \leq \theta_j + \gamma A_{ij}, \quad \theta_1 = 0$$

for all nodes  $n_i, n_j$  of  $G$  such that  $n_i \rightarrow n_j$ , i.e. such that  $n_k$  initiates only at times  $\theta_k + r\gamma$ ,  $r=0,1,\dots$ , then

$$T_k \leq \theta_k \leq t_k$$

for all nodes  $n_k$  of  $G$ .

#### D. Computational Algorithms

For computation graphs  $G$  with a large number of nodes the calculation of  $\pi$  by inspection of all the loops of  $G$ , and of  $t_i$  by inspection of all of the paths from  $n_i$  to  $n_i$  is a prohibitive task. Accordingly, the following more efficient algorithms are given.

##### (i) Calculating $\pi$

This algorithm is due to Lawler [4]. Define a recursion variable  $u_{ij}^{(n)}(m)$  as follows, where the indices  $i, j$  correspond to nodes  $n_i, n_j$  of  $G$ , and  $m, n$  are recursion indices.

For  $m=0, 1, \dots,$

$$\begin{aligned} u_{ij}^{(0)}(m) &= \tau_i \text{ if } n_i \rightarrow n_j \text{ and } m = A_{ij} \\ &= -\infty \text{ otherwise.} \end{aligned}$$

For  $m=0, 1, \dots,$   $n=1, 2, \dots,$

$$u_{ij}^{(n)}(m) = \tau_i + \max\left\{ \max_k \{u_{kj}^{(n-1)}(m) \mid A_{ik} = 0\}, \max_k \{u_{kj}^{(m-A_{ik})} \mid A_{ik} > 0\} \right\}.$$

Here  $u_{kj}^{(m)} = u_{kj}^{(N)}(m)$  where  $N$  is such that  $u_{kj}^{(N-1)}(m) = u_{kj}^{(N)}(m)$ .

Let  $P$  be an upper bound on the number of consecutive branches  $b_{ij}$  of  $G$  for which  $A_{ij} = 0$ . Then  $N \leq P$ .

For all  $i, j$ , compute the quantities  $u_{ij}^{(0)}, u_{ij}^{(1)}, \dots, u_{ij}^{(M)}$  where  $M$  is an upper bound on the sum of the  $A$ 's around any loop.

Then  $\pi = \max_i \{u_{ii}^{(1)}, u_{ii}^{(2)}/2, u_{ii}^{(3)}/3, \dots, u_{ii}^{(M)}/M\}$ . The computation in this case grows as  $MPN^2$  where  $N$  is the number of nodes of  $G$ .

(ii) Calculating  $\{t_i\}$  and  $\{T_i\}$

If  $n_i \rightarrow n_j$ , define  $a_{ij} = \gamma A_{ij} - \tau_i$ . Then

$$t_i = \min_{\pi(n_i)} \{ \sum_{\pi(n_i)} a \}$$

where 
$$\sum_{\pi(n_i)} a = \gamma \sum_{\pi(n_i)} A - \sum_{\pi(n_i)} \tau.$$

Moreover, for any loop  $L$ , 
$$\sum_L a \geq 0 \quad \text{since}$$

$$\gamma \sum_L A - \sum_L \tau \geq \pi \sum_L A - \sum_L \tau \geq 0.$$

This condition guarantees the convergence of the following algorithm which is a slight modification of one given in [2].

1) Start by assigning all nodes  $n_i$  labels of the form  $[-, \theta(n_i)]$  where  $\theta(n_1) = 0$  ( $n_1$  is the in-point of  $G$ ),  $\theta(n_i) = \infty$ ,  $n_i \neq n_1$ .

2) Search for a branch  $(n_i, n_j)$  such that  $\theta(n_j) + a_{ij} < \theta(n_i)$ . Here  $\infty + a = \infty$ ). If such a branch is found, change the label on node  $n_i$  to  $[n_j, \theta(n_j) + a_{ij}]$  and repeat. (That is, the new  $\theta(n_i)$  is  $\theta(n_j) + a_{ij}$ .) If no such branch is found, terminate.

The value of  $\theta(n_k)$  upon termination is  $t_k$  and the shortest path from  $n_k$  to  $n_1$  is obtained by tracing the sequence of first co-ordinate nodes from  $n_k$  to  $n_1$ .

Suppose that  $G$  is not in-point connected and let  $n_1$  be a node of  $G$ . Then this algorithm has the virtue of yielding minimal paths from all nodes  $n_i$  of  $G$  to  $n_1$  whenever they exist. Thus the set of all nodes  $n_k$  for which upon termination  $\theta(n_k) < \infty$  represents a maximal in-point connected subgraph of  $G$ . We can combine this observation with the results

of Section 2B to yield the following procedure for computing  $\{t_i\}$  for an arbitrary graph  $G$ .

1) Choose a node  $n_1$  of  $G$  and apply the above algorithm to yield  $G'$ , a maximal in-point connected subgraph of  $G$ , and a set  $\{t_i \mid n_1 \text{ is a node of } G'\}$ .

2) Determine  $G - G'$  and repeat (1) applied to  $G - G'$ , yielding a maximal in-point connected subgraph  $G''$  of  $G - G'$ , and a set  $\{t_i'' \mid n_1'' \text{ is a node of } G''\}$ .

3) Determine the set  $\{t_i \mid n_1'' \text{ is a node of } G''\}$  by the method of Section 2B.

4) Continue in the obvious fashion until all of the nodes of  $G$  are exhausted.

The dual computation for the set  $\{T_i\}$  is as follows. If  $n_i \rightarrow n_j$ , define  $a_{ij} = \gamma A_{ij} - \tau_j$ . Then for any loop  $L$ ,  $\sum_L a \geq 0$ .

1) Start by assigning all nodes  $n_i$  labels of the form  $[-, \theta(n_i)]$  where  $\theta(n_1) = 0$  ( $n_1$  is the out-point of  $G$ ),  $\theta(n_i) = \infty$ ,  $n_i \neq n_1$ .

2) Search for a branch  $(n_i, n_j)$  such that  $\theta(n_i) + a_{ij} < \theta(n_j)$ . (Here  $\infty + a = \infty$ ). If such a branch is found, change the label on node  $n_j$  to  $[n_i, \theta(n_i) + a_{ij}]$  and repeat. If no such branch is found, terminate.

Then if  $n_k$  has value  $\theta(n_k)$  upon termination,  $T_k = -\theta(n_k)$ . Again, if  $G$  is not out-point connected, this algorithm yields maximal paths from  $n_1$  to all nodes  $n_i$  of  $G$  whenever they exist.  $\{T_i\}$  for an arbitrary graph  $G$  can be determined in a fashion parallel to that given above.

### 3. Synchronous Computation Graphs

Suppose that the node initiation times of a computation graph  $G$  are to be controlled by a clock signal. Then node initiation times are constrained to be integer multiples of the clock period. But if  $\pi$  is not an integer the maximal rate periodic schedule of Section 2 is not suitable. Indeed, no maximal rate periodic execution of  $G$ , with integer initiation times, is possible in the case that  $\pi$  is not an integer. This remark follows from the proof of Theorem 4 where it is shown that if  $p$  is the period of a proper execution of  $G$ , then  $p \geq \pi$ , so that if  $G$  must have integer initiation times, we must have  $p \geq \lceil \pi \rceil$  (the greatest integer containing  $\pi$ ). Then, clearly, if  $\pi$  is not an integer,  $1/p < 1/\pi$ , the maximal computation rate.

In view of this contingency, we consider computation graphs for which the node execution times  $\{\tau_i\}$  are all positive integers, and in which a node  $n_j$  is permitted to initiate only at integer times. We call such a computation graph a synchronous computation graph.

The principal result of this section is the determination of an execution (the free running execution), for a class of synchronous computation graphs, under which  $G$  computes at the maximal rate  $1/\pi$ .

Theorem 5 considers the most general form of a computation graph, as defined in [3].

Theorem 5

Let  $G$  be a synchronous computation graph. Let  $\mathcal{E}$  be an execution under which, for all  $j$ , node  $n_j$  initiates at time  $t$  if and only if for all branches  $b_{ij}$  into  $n_j$ , the number of data words on  $b_{ij}$  is greater than or equal to  $T_{ij}$ .

Let  $\mathcal{E}'$  be any other execution.

Then  $x(n_j, t) \geq x'(n_j, t)$ .

PROOF: The proof is by induction on  $t$ . For  $t=0$  the result is immediate.

Assume, for  $t \leq n$ , that for all nodes  $n_j$  of  $G$ ,  $x(n_j, t) \geq x'(n_j, t)$ . Let  $t = n+1$  and consider node  $n_j$ .

- (1) Suppose  $n_j$  initiates at  $t=n$  under  $\mathcal{E}$ . Then
 
$$x(n_j, n+1) = 1 + x(n_j, n) \geq 1 + x'(n_j, n) \geq x'(n_j, n+1)$$
 and the result holds.
- (2) Suppose  $n_j$  does not initiate at  $t=n$  under both  $\mathcal{E}$  and  $\mathcal{E}'$ . Then  $x(n_j, n+1) = x(n_j, n) \geq x'(n_j, n) = x'(n_j, n+1)$  and again the theorem holds.
- (3) Suppose  $n_j$  does not initiate at  $t=n$  under  $\mathcal{E}$  but  $n_j$  does initiate at  $t=n$  under  $\mathcal{E}'$ . Then there are two possibilities:
  - (a)  $x(n_j, n) > x'(n_j, n)$  in which case
 
$$x(n_j, n+1) = x(n_j, n) \geq x'(n_j, n) + 1 = x'(n_j, n+1).$$
  - (b)  $x(n_j, n) = x'(n_j, n)$ . Since  $n_j$  does not initiate at  $t=n$  under  $\mathcal{E}$  but does initiate at  $t=n$  under  $\mathcal{E}'$ , there is a branch  $b_{ij} = (n_i, n_j)$  such that  $b_{ij}(n+1) < T_{ij}$  and  $b'_{ij}(n+1) \geq T_{ij}$  where the prime indicates queue length under  $\mathcal{E}'$ . Then, in particular,  $b_{ij}(n+1) < b'_{ij}(n+1)$ ,

i.e.

$$A_{ij} + U_{ij} x(\bar{n}_i, n+1) - W_{ij} x(n_j, n) < A_{ij} + U_{ij} x'(n_j, n+1) - W_{ij} x'(n_j, n)$$

where we write  $x(\bar{n}_i, n+1)$  as the number of terminations of node  $n_i$  in time  $n+1$  under  $\mathcal{E}$ , and primes correspond to  $\mathcal{E}'$ . Hence  $x(\bar{n}_i, n+1) - x'(n_j, n+1)$  which contradicts the induction hypothesis  $x(n_i, t) \geq x'(n_i, t)$  for  $t=0, 1, \dots, n$ .

We call the execution  $\mathcal{E}$  in the statement of Theorem 5 the free running execution. Theorem 5 then establishes that a synchronous computation graph executes at the fastest possible rate under the free running execution.

Note that Theorem 5 has been proved under the assumption that a node  $n_j$  can initiate at any time that there is sufficient data on all of its input branches; i.e. regardless of whether or not  $n_j$  has terminated its previous initiation. If we wish a model in which no node can initiate unless it has terminated its previous execution, we can obtain it by simply adding a self-loop to  $n_j$  for all  $n_j$  of  $G$ .

### 3.1 Synchronous Graphs with $\tau=1, U=W=T=1$

We consider synchronous computation graphs with  $\tau_i = 1$  for all nodes  $n_i$ , and for all branches  $b_{ij} = (n_i, n_j)$  we have  $U_{ij} = W_{ij} = T_{ij} = 1$ . Later we shall see how the results obtained with  $\tau_i=1$  may be applied to a graph in which  $n_i$  has associated with it an arbitrary positive integer execution time.



Let  $n$  be a node of  $G$  and for  $k \geq 1$ ,  $S_k(n)$  a sequence of (not necessarily distinct) nodes,  $\{n_0, n_1, \dots, n_{p+1}\}$ , such that

$$(1) \quad n_{p+1} \rightarrow n_p \rightarrow \dots \rightarrow n_1 \rightarrow n_0 \quad \text{with } n_0 = n.$$

$$(2) \quad \sum_{j=1}^{p+1} A_{j,j-1} \geq k.$$

$$(3) \quad \sum_{j=1}^p A_{j,j-1} < k.$$

We call such a sequence  $S_k(n)$  a  $k$  sequence.

Put  $A_0 = A_{10}$

$$A_1 = A_{21}$$

. . . . .

$$A_{p-1} = A_{p,p-1}$$

$$A_p = k - \sum_{i=0}^{p-1} A_i.$$

Define  $A'_0 = A_0$  and for  $1 \leq j \leq p$  let

$$A'_j = A_j + A'_{j-1} + K_j$$

where  $K_j = -1$  if  $A'_{j-1} \geq 1$

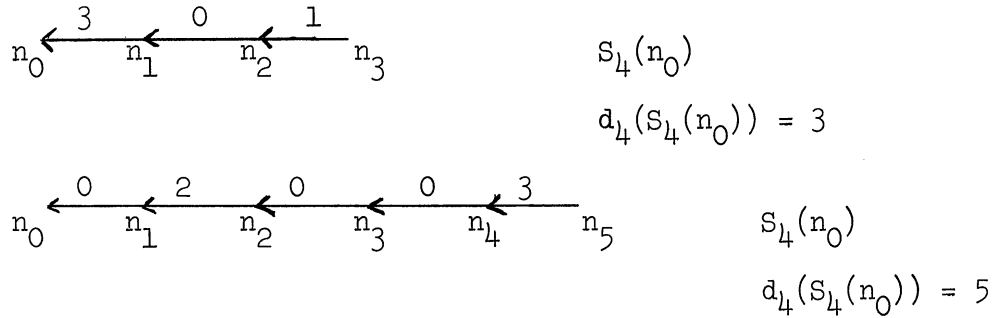
$$= 0 \quad \text{if } A'_{j-1} = 0.$$

Define  $d_k(S_k(n)) = p + A'_p - 1$ .

Intuitively, the significance of  $d_k(S_k(n))$  is as follows: Let  $w_k$  be the  $k$ -th data word "backed up" from node  $n$  along the node sequence

$S_k(n)$ . Then  $d_k(S_k(n))$  is a measure of "how far away"  $w_k$  is from the node  $n$ .

Example



Define  $T_k(n) = \max_{S_k(n)} \{d_k(S_k(n))\}$ .

We shall be interested in tracing the progress of the  $k$ -th data word through time along a  $k$  sequence  $S_k(n)$ . To that end we make the following definitions:

Let  $A_0, A_1, \dots, A_p$  be a sequence of nonnegative integers.

Let  $\epsilon_0, \epsilon_1, \dots, \epsilon_p$  and  $\delta_0, \delta_1, \dots, \delta_p$  be sequences such that

$$(1) \quad \epsilon_i = 0 \text{ or } 1 \quad i=0,1,\dots,p-1, \quad \epsilon_p = 0$$

$$(2) \quad \delta_i = 0 \text{ or } 1 \quad i=0,1,\dots,p$$

$$(3) \quad \epsilon_i = 1 \iff \delta_{i+1} = -1 \quad i=0,1,\dots,p-1.$$

A sequence  $A_0(1), A_1(1), \dots, A_p(1)$  is said to be 1-admissible if

$$A_i(1) = A_i + \epsilon_i + \delta_i \quad i=0,1,\dots,p.$$

In general, if  $A_0(t-1), A_1(t-1), \dots, A_p(t-1)$  is  $(t-1)$ -admissible, then the sequence  $A_0(t), A_1(t), \dots, A_p(t)$  is said to be  $t$ -admissible if  $A_i(t) = A_i(t-1) + \epsilon_i + \delta_i$  where  $\epsilon_i$  and  $\delta_i$  satisfy the conditions (1) - (3) above.

Let  $S_k(n)$  be the  $k$  sequence  $n_{p+1} \rightarrow n_p \rightarrow \dots \rightarrow n_0$ ,  $n_0=n$ , and let

$$A_i = A_{i+1,i}, \quad i=0,1,\dots,p-1$$

$$A_p = k - \sum_{i=0}^{p-1} A_i.$$

Then the  $k$ -th data word  $w_k$  "backed up" from node  $n$  lies on branch  $b_{p+1,p}$ . Let  $A_0(t), A_1(t), \dots, A_p(t)$  be a  $t$ -admissible sequence derived from  $A_0, A_1, \dots, A_p$ , and let  $r$  be the maximum subscript such that  $A_r(t) \neq 0$ . Then at time  $t$ ,  $w_k$  lies on branch  $b_{r+1,r}$  and the sequence  $A_0(t), A_1(t), \dots, A_r(t)$  yields the branch distribution of data words "between" node  $n_0$  and  $w_k$ .

Define  $A'_0(t) = A_0(t)$  and for  $1 \leq j \leq p$ , let

$$A'_j(t) = A_j(t) + A'_{j-1}(t) + K_j(t)$$

where

$$K_j(t) = \begin{cases} -1 & \text{if } A'_{j-1}(t) \geq 1 \\ 0 & \text{if } A'_{j-1}(t) = 0. \end{cases}$$

Let  $r$  be the maximum subscript such that  $A_r(t) \neq 0$ . Define

$$d(S_k(n), t) = r + A'_r(t) - 1$$

$d(S_k(n), t)$  is the "distance" of  $w_k$  from node  $n$  at time  $t$ .

Define  $T_k(n, t) = \max_{S_k(n)} \{d(S_k(n), t)\}$ .

### Lemma 6

$$A'_r(1) = A'_r + v, \quad v \in \{-1, 0, 1\}, \quad r=0, 1, \dots, p$$

$$A'_r(1) = A'_r + 1 \implies \epsilon_r = 1$$

$$A'_r(1) = A'_r - 1 \implies \epsilon_r = 0$$

PROOF: The proof is by induction on  $r$ . Take  $r=0$ . Then

$$A'_0(1) = A_0(1) = A_0 + \epsilon_0 + \delta_0 = A'_0 + \epsilon_0 + \delta_0$$

and  $\epsilon_0 + \delta_0 \in \{-1, 0, 1\}$ . Clearly,

$$A'_0(1) = A'_0 + 1 \implies \epsilon_0 = 1$$

$$A'_0(1) = A'_0 - 1 \implies \epsilon_0 = 0.$$

Assume the result to hold for  $r=m-1$  and consider

$$\begin{aligned} A'_m(1) &= A_m(1) + A'_{m-1}(1) + K_m(1) \\ &= A_m + \epsilon_m + \delta_m + A'_{m-1}(1) + K_m(1) \\ &= A'_m + \epsilon_m + \delta_m + A'_{m-1}(1) - A'_{m-1} + K_m(1) - K_m. \end{aligned}$$

(i) Suppose  $A'_{m-1}(1) = A'_{m-1}$

Then  $K_m(1) = K_m$  and

$$A'_m(1) = A'_m + \epsilon_m + \delta_m$$

i.e.  $A'_m(1) = A'_m + v$ .

$$\text{Clearly } A'_m(1) = A'_m + 1 \implies \epsilon_m = 1$$

$$A'_m(1) = A'_m - 1 \implies \epsilon_m = 0.$$

(ii) Suppose  $A'_{m-1}(1) = A'_{m-1} + 1$ . Then  $\epsilon_{m-1} = 1$  by the induction hypothesis so that  $\delta_m = -1$ . Also,  $A'_{m-1}(1) > 1$  so  $K_m(1) = -1$ .

$$\begin{aligned} \text{Therefore } A'_m(1) &= A'_m + \epsilon_m - 1 + 1 - 1 - K_m \\ &= A'_m + \epsilon_m - 1 - K_m \\ &= A'_m + v. \end{aligned}$$

$$\text{Finally } \epsilon_m - 1 - K_m = 1 \implies \epsilon_m = 1$$

$$\epsilon_m - 1 - K_m = -1 \implies \epsilon_m = 0.$$

(iii) Suppose  $A'_{m-1}(1) = A'_{m-1} - 1$ . Then by the induction hypothesis  $\epsilon_{m-1} = 0$  so  $\delta_m = 0$ . Also  $A'_{m-1} \geq 1$  so that  $K_m = -1$ . Therefore

$$\begin{aligned} A'_m(1) &= A'_m + \epsilon_m - 1 + K_m(1) + 1 \\ &= A'_m + \epsilon_m + K_m(1) \end{aligned}$$



But since  $A'_{r-1} = 0$  we have

$$A'_p = A_p + \dots + A_r + K_p + \dots + K_{r+1}.$$

Hence  $A'_p(1) = A'_p + (K_p(1) - K_p) + \dots + (K_{r+1}(1) - K_{r+1}) + \epsilon_p + \delta_r.$

If  $r=0$  we obtain the same expression for  $A'_p(1)$ :

$$\begin{aligned} A'_p(1) &= A_p(1) + \dots + A_0(1) + K_p(1) + \dots + K_1(1) \\ &= A_p + \dots + A_0 + \epsilon_p + \delta_0 + K_p(1) + \dots + K_1(1) \\ &= A_p + \dots + A_0 + K_p + \dots + K_1 + \epsilon_p + \delta_0 + (K_p(1) - K_p) \\ &\quad + \dots + (K_1(1) - K_1) \\ &= A'_p + (K_p(1) - K_p) + \dots + (K_1(1) - K_1) + \epsilon_p + \delta_0 \end{aligned}$$

Now if  $A'_s > 1$ ,  $p-1 \geq s \geq r$  then by Lemma 6  $A'_s(1) \geq 1$  so that

$K_{s+1}(1) = K_{s+1} = -1$ . Suppose  $A'_s = 1$ . Then  $\epsilon_s = 1$  and by Lemma 6

$A'_s(1) \geq A'_s = 1$ , i.e.  $K_{s+1}(1) = K_{s+1} = -1$ .

Since  $r$  is the maximum subscript such that  $A'_{r-1} = 0$ , these are the only possibilities for  $A'_s$ . Hence

$$A'_p(1) = A'_p + \epsilon_p + \delta_r.$$

But  $\epsilon_p = 0$ ,  $\delta_r = -1$ , i.e.  $A'_p(1) = A'_p - 1$ .

### Lemma 8

Let  $G$  be a synchronous computation graph under the free running execution. Let  $S_k(n)$ ,  $n_{p+1} \rightarrow n_p \rightarrow \dots \rightarrow n_0$ ,  $n_0=n$ , be a  $k$ -sequence for which  $d_k(S_k(n)) = T_k(n)$ . Then for  $0 \leq t \leq T_k(n)$

$$(i) \quad d(S_k(n), t) = T_k(n) - t$$

$$(ii) \quad d(S_k(n), t) = T_k(n, t).$$

PROOF: We prove the result for  $t=1$ . Then by (ii), the conditions for the lemma to hold are valid at  $t=1$  and we have a basis for iterating the

argument which established the result for  $t=1$ .

If  $T_k(n) = 0$ , there is nothing to prove. Hence assume  $T_k(n) \geq 1$ .

(i) Consider the sequence  $A_0, A_1, \dots, A_p$  where  $A_i = A_{i+1, i}$ ,  $i=0, 1, \dots, p-1$ , and

$$A_p = k - \sum_{i=0}^{p-1} A_i.$$

Define, for  $i=0, 1, \dots, p$ ,  $\delta_i = -1$  if and only if node  $n_i$  initiates at  $t=0$ . Otherwise  $\delta_i = 0$ . For  $i=0, 1, \dots, p-1$ , put  $\epsilon_i = 1$  if and only if  $\delta_{i+1} = -1$ . Otherwise  $\epsilon_i = 0$ . Finally, let  $\epsilon_p = 0$ . We prove that the sequence  $A_0(1), A_1(1), \dots, A_p(1)$  defined by  $A_i(1) = A_i + \epsilon_i + \delta_i$ ,  $i=0, 1, \dots, p$  is a 1-admissible sequence freely derived from  $A_0, A_1, \dots, A_p$ .

Let  $r$  be the maximum subscript such that  $A'_{r-1} = 0$ . If no such  $r$  exists take  $r=0$ . We show that  $n_r$  initiates at  $t=0$ . Clearly,  $A_r > 0$ . Suppose there exists a branch  $b_{r'+1, r}$  into  $n_r$  such that  $A_{r'+1, r} = 0$ . Let  $S'_k(n)$  be a  $k$ -sequence  $n_{q'+1} \rightarrow n_{q'} \rightarrow \dots \rightarrow n_{r'+1} \rightarrow n_{r'} \rightarrow n_0$  where  $r'=r$ . Then since  $A'_{r-1} = 0$ , or in the case  $r=0$ , we must have

$$\begin{aligned} d_k(S'_k(n)) &= q' + A'_{q'} - 1 \\ &= q' + A_{q'} + \dots + A_{r'} + K_{q'} + \dots + K_{r'+1} - 1. \end{aligned}$$

But since  $A'_{r'} = A_{r'} + A'_{r-1} + K_{r'}$ ,

$$= 0,$$

then  $K_{r'+1} = 0$ .

$$\begin{aligned} \text{Hence } d_k(S'_k(n)) &= q' + A_{q'} + \dots + A_{r'} + K_{q'} + \dots + K_{r'+2} - 1 \\ &= q' + A_p + \dots + A_r + K_{q'} + \dots + K_{r'+2} - 1 \\ &\geq q' + A_p + \dots + A_r - [q' + 1 - (r'+2)] - 1 \\ &= A_p + \dots + A_r + r \\ &= d_k(S_k(n)) + 1 \end{aligned}$$

a contradiction. Hence  $A_{r'+1,r} > 0$  for all branches  $b_{r'+1,r}$  into  $n_r$  and  $n_r$  initiates at  $t=0$ . Now let  $A'_s = 1$  for  $p-1 \geq s \geq r$ . Then by a similar argument to that just given we must have that  $n_{s+1}$  initiates at  $t=0$ .

It follows that  $A_0(1), A_1(1), \dots, A_p(1)$  is a 1-admissible sequence freely derived from  $A_0, A_1, \dots, A_p$ . By Lemma 7,  $A'_p(1) = A'_p - 1$ . Then if  $A'_p > 1$ ,

$$\begin{aligned} d(S_k(n), 1) &= d_k(S_k(n)) - 1 \\ &= T_k(n) - 1. \end{aligned}$$

Suppose  $A'_p = 1$ . Then since  $T_k(n) \geq 1$  we must have  $p \geq 1$ . Then

$A'_{p-1} \in \{0, 1\}$ . If  $A'_{p-1} = 0$  then since  $A_0(1), A_1(1), \dots, A_p(1)$ , is freely derived from  $A_0, A_1, \dots, A_p$ , we must have  $\epsilon_{p-1} = 1$ . Since  $A'_{p-1} = 0$  implies  $A_{p-1} = 0$  then  $\delta_{p-1} = 0$  and  $A_{p-1}(1) = 1$ . By Lemma 6,  $A'_{p-1}(1) = 1$  and

$$\begin{aligned} d(S_k(n), 1) &= p-1 + A'_{p-1}(1) - 1 \\ &= (p + A'_p - 1) - 1 \\ &= d_k(S_k(n)) - 1 \\ &= T_k(n) - 1. \end{aligned}$$

Finally, we consider the case  $A'_{p-1} = 1$ . Let  $r$  be the maximum subscript such that  $A'_r = 0$ . If no such  $r$  exists, take  $r=0$ . Then by the same argument as in the proof of Lemma 7, we obtain

$$A'_{p-1}(1) = A'_{p-1} + \epsilon_{p-1} + \delta_r.$$

Since  $A_0(1), A_1(1), \dots, A_p(1)$  is freely derived from  $A_0, A_1, \dots, A_p$ , we have

$$\begin{aligned} \epsilon_{p-1} = 1, \quad \delta_r = -1 \quad \text{and} \\ A'_{p-1}(1) = A'_{p-1} = A'_p \end{aligned}$$

so again

$$d(S_k(n), 1) = T_k(n) - 1.$$



(ii) Let  $S'_k(n)$  be a  $k$ -sequence and suppose

$$d(S_k(n), 1) < d(S'_k(n), 1). \quad (1)$$

Then  $d_k(S_k(n)) - 1 < d(S'_k(n), 1) \leq d_k(S'_k(n))$ ,

i.e.  $d_k(S_k(n)) \leq d_k(S'_k(n))$ .

But  $d_k(S_k(n)) = T_k(n)$

so that  $d_k(S'_k(n)) = T_k(n)$ .

By (i),  $d(S'_k(n), 1) = d_k(S'_k(n)) - 1$ .

By (1),  $d_k(S_k(n)) < d_k(S'_k(n))$

a contradiction.

### Theorem 9

Let  $G$  be a synchronous computation graph under the free running execution. Then node  $n$  initiates for the  $k$ -th time at  $t = T_k(n)$ .

PROOF: Let  $S_k(n)$  be a  $k$ -sequence for which  $d_k(S_k(n)) = T_k(n)$ . Then by Lemma 8,  $d(S_k(n), T_k(n)) = 0 = T_k(n, T_k(n))$ . Thus at  $t = T_k(n)$  every input branch to node  $n$  contains a data word so that  $n$  initiates at time  $T_k(n)$ . Finally, if  $w_k$  is the  $k$ -th data word "backed up" from node  $n$  along the sequence  $S_k(n)$  at  $t=0$ , then at  $t=T_k(n)$ ,  $w_k$  is the first data word "backed up" from node  $n$  along  $S_k(n)$ , i.e.  $x(n, T_k(n)) = k-1$  and  $n$  initiates for the  $k$ -th time at  $t = T_k(n)$ .

Let  $\vec{b}(t)$  be the vector with components  $b_{ij}(t)$  for all branches  $(n_i, n_j)$  of  $G$ .

Lemma 10

Let  $G$  be a strongly connected synchronous computation graph under the free running execution. Then there exists a non-negative integer  $t'$  and a positive integer  $\lambda$  such that for all  $t \geq t'$

$$\vec{b}(t+\lambda) = \vec{b}(t).$$

PROOF: Since  $G$  has  $\tau_i = 1$  for all nodes  $n_i$  of  $G$ ,  $\vec{b}(t+1)$  is uniquely determined by  $\vec{b}(t)$ , i.e.

$b_{ij}(t+1) = b_{ij}(t)$  if there is (not) a branch  $b_{ki}$  with  $b_{ki}(t) = 0$

and there is (not) a branch  $b_{rj}$  with  $b_{rj}(t) = 0$

$b_{ij}(t+1) = b_{ij}(t) + 1$  if for all branches  $b_{ki}$ ,  $b_{ki}(t) \geq 1$  and there is a branch  $b_{rj}$  such that  $b_{rj}(t) = 0$ .

$b_{ij}(t+1) = b_{ij}(t) - 1$  if for all branches  $b_{rj}$ ,  $b_{rj}(t) \geq 1$  and there is a branch  $b_{ki}$  such that  $b_{ki}(t) = 0$ .

Consider the sequence  $B = \vec{b}(0), \vec{b}(1), \dots$ . Since  $G$  is strongly connected, the queue lengths of  $G$  are uniformly bounded above (See [3] for a proof of this statement.) Hence there exist finitely many vectors  $\vec{b}(t)$  so that some  $\vec{b}(t)$  of  $B$  must repeat in the sequence. Therefore there exists a positive integer  $\lambda$  and a nonnegative integer  $t'$  such that  $\vec{b}(t'+\lambda) = \vec{b}(t')$ . But, by the above remarks, if  $\vec{b}(t'+\lambda) = \vec{b}(t')$ , then  $\vec{b}(t'+\lambda+1) = \vec{b}(t'+1)$ , etc. Hence the Lemma.

Lemma 11

Let  $G$  be strongly connected. Then for any pair of nodes  $n_i$  and  $n_j$  of  $G$ ,

$$\lim_{t \rightarrow \infty} \frac{x(n_i, t)}{x(n_j, t)} = 1.$$

PROOF: Let  $n_i \rightarrow n_k$ . Then

$$b_{ik}(t) = A_{i,k} + x[n_i, (t-\tau_i)^+] - x(n_k, t).$$

Since  $U_{ij} = W_{ij} = T_{ij} = 1$  for all branches  $b_{ij} = (n_i, n_k)$  of  $G$ , and since  $G$  is strongly connected, then  $b_{ik}(t)$  is uniformly bounded above and  $G$  is nonterminating. (For the proofs of these statements, see [3].) Hence

$$\lim_{t \rightarrow \infty} \frac{x[n_i, (t-\tau_i)^+]}{x(n_k, t)} = \lim_{t \rightarrow \infty} \frac{x(n_i, t)}{x(n_k, t)} = 1.$$

Since  $G$  is strongly connected, there is a path from  $n_i$  to  $n_j$  so we may iterate the above argument yielding the statement of the lemma.

#### Lemma 12

Let  $G$  be a strongly connected synchronous computation graph, under the free running execution. Then for all  $t \geq t'$ ,  $\vec{b}(t+\lambda) = \vec{b}(t)$  if and only if for all  $t \geq t'$ ,  $x(n_j, t+\lambda) - x(n_j, t) = \alpha$ , a constant independent of  $n_j$  and  $t$ .

PROOF:  $\implies$

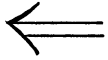
Let  $n_j$  initiate at time  $t$ . Since  $b_{ij}(t) = b_{ij}(t+\lambda)$  for all branches  $b_{ij}$ ,  $n_j$  must initiate at time  $t+\lambda$ . Suppose  $n_j$  initiates at times  $t_1, t_2, \dots, t_\alpha$  where  $t' \leq t_1 < t_2 < \dots < t_\alpha < t_1 + \lambda$ . Then by the above remark,  $n_j$  initiates at times  $t_1 + \lambda, t_2 + \lambda, t_\alpha + \lambda, t_1 + 2\lambda, \dots$ , i.e.  $x(n_j, t+\lambda) - x(n_j, t) = \alpha_j$ , independent of  $t$ .

Now let  $n_k$  be another node of  $G$ . Then we obtain, similarly,  $x(n_k, t+\lambda) - x(n_k, t) = \alpha_k$  independent of  $t$ . By Lemma 11,

$$\lim_{r \rightarrow \infty} \frac{x(n_k, t+r\lambda)}{x(n_j, t+r\lambda)} = 1$$

Therefore

$$\alpha_k / \alpha_j = 1.$$



$$\begin{aligned} b_{ij}(t+\lambda) &= A_{i,j} + x(n_i, (t+\lambda-1)^+) - x(n_j, t+\lambda) \\ &= A_{i,j} + x(n_i, t+\lambda) - x(n_j, t+\lambda). \end{aligned}$$

But

$$x(n_i, t+\lambda) - x(n_i, t) = x(n_j, t+\lambda) - x(n_j, t).$$

Hence

$$\begin{aligned} b_{ij}(t+\lambda) &= A_{i,j} + x(n_i, t) - x(n_j, t) \\ &= b_{ij}(t). \end{aligned}$$

It follows from Lemma 12 that  $\vec{b}(t)$  has period  $\lambda$  if and only if for all nodes  $n_i$  of  $G$ ,  $n_i$  initiates at times

$$\begin{aligned} &t_i^0, t_i^1, \dots, t_i^{\alpha-1}, \\ &t_i^0 + \lambda, t_i^1 + \lambda, \dots, t_i^{\alpha-1} + \lambda, \\ &t_i^0 + 2\lambda, t_i^1 + 2\lambda, \dots, t_i^{\alpha-1} + 2\lambda, \\ &\dots \end{aligned}$$

where

$$t' \leq t_i^0 < t_i^1 < \dots < t_i^{\alpha-1} < t_i^0 + \lambda.$$

Lemma 13

Let  $G$  be a strongly connected synchronous computation graph under the free running execution. Then for any node  $n$

$$\lim_{k \rightarrow \infty} \frac{T_k(n)}{k} = \frac{\lambda}{\alpha}$$

PROOF: By Theorem 9, for any node  $n$  of  $G$

$$x(n, T_k(n)) = k-1.$$

Therefore

$$\frac{T_k(n)}{x(n, T_k(n))} = \frac{T_k(n)}{k-1}$$

and

$$\lim_{k \rightarrow \infty} \frac{T_k(n)}{x(n, T_k(n))} = \lim_{k \rightarrow \infty} \frac{T_k(n)}{k} \quad (1)$$

By Lemma 12, for all  $t \geq t'$

$$x(n, t+r\lambda) - x(n, t) = r\alpha$$

i.e.

$$\begin{aligned} \lim_{r \rightarrow \infty} \frac{x(n, t+r\lambda)}{t+r\lambda} &= \lim_{r \rightarrow \infty} \frac{r\alpha}{t+r\lambda} \\ &= \alpha/\lambda \end{aligned}$$

Hence by (1)

$$\lim_{k \rightarrow \infty} \frac{T_k(n)}{k} = \lambda/\alpha.$$

#### Theorem 14

Let  $G$  be a strongly connected synchronous computation graph. Then

$$\lambda/\alpha = \max(1, \pi).$$

PROOF: Let  $L$  be a loop, length  $\ell$ , of  $G$  for which  $\pi_L = \pi$  and let  $A$  be the total number of data words on the branches of  $L$ . Then, since a data word requires at least  $\ell$  units of time to pass once around  $L$ , we must have

$$x(n, t+\ell) - x(n, t) \leq \min(\ell, A),$$

for any node  $n$  of  $L$ . By Lemma 12,

$$x(n, t + \ell\lambda) - x(n, t) = \ell\alpha$$

for all  $t \geq t'$ . By the above remark

$$\ell\alpha \leq \lambda \min(\ell, A) \quad (1)$$

i.e.  $\lambda/\alpha \geq \max(1, \pi)$

(i) Suppose  $\pi > 1$ . Let  $n$  be a node of  $G$  and let  $S_k(n)$ ,

$n_{p+1} \rightarrow n_p \rightarrow \dots \rightarrow n_{r+1} \rightarrow n_r \rightarrow \dots \rightarrow n_0$ ,  $n_0 = n$ , be a  $k$ -sequence such that  $d_k(S_k(n)) = T_k(n)$ . Let  $r$  be the maximum node subscript such that if  $n_{r+1} \rightarrow n_r \rightarrow \dots \rightarrow n$  is an  $m$ -sequence  $S_m(n)$ , then

$$d_m(S_m(n)) = r$$

$r$  exists by the definition of  $d_1(S_1(n))$ . We shall prove that  $k-m$  is

uniformly bounded above. Consider the  $(k-m+1)$ -sequence,  $S_{k-m+1}(n_r)$ ,

$n_{p+1} \rightarrow n_p \rightarrow \dots \rightarrow n_{r+1} \rightarrow n_r$ . By the manner in which  $r$  was chosen, we must have

$$d_{k-m+1}(S_{k-m+1}(n_r)) = k - m \quad (2)$$

and also

$$T_k(n) = d_k(S_k(n)) = d_m(S_m(n)) + d_{k-m+1}(S_{k-m+1}(n_r)) \quad (3)$$

We prove that

$$d_{k-m+1}(S_{k-m+1}(n_r)) = T_{k-m+1}(n_r) \quad (4)$$

For let  $S'_{k-m+1}(n_r)$ ,  $n_q \rightarrow n_{q-1} \rightarrow \dots \rightarrow n_r$  be such that

$$d_{k-m+1}(S'_{k-m+1}(n_r)) > d_{k-m+1}(S_{k-m+1}(n_r)).$$

Then  $S''_k$ ,  $n_q \rightarrow n_{q-1} \rightarrow \dots \rightarrow n_r \rightarrow n_{r-1} \rightarrow \dots \rightarrow n$  is such that

$$\begin{aligned} d_k(S''_k(n)) &= d_m(S_m(n)) + d_{k-m+1}(S'_{k-m+1}(n_r)) \\ &> d_m(S_m(n)) + d_{k-m+1}(S_{k-m+1}(n_r)) \\ &= d_k(S_k(n)) \\ &= T_k(n) \quad \text{a contradiction.} \end{aligned}$$

Now suppose that  $k-m$  is not uniformly bounded above. Put  $s = k-m$ . Then there exists some subsequence  $\{s_i\}$  of  $\{s\}$  such that  $s_i \rightarrow \infty$ . But then

$$\begin{aligned} \lim_{s_i \rightarrow \infty} \frac{T_{s_i+1}(n_r)}{s_i+1} &= \lim_{s_i \rightarrow \infty} \frac{d_{s_i+1}(S_{s_i+1}(n_r))}{s_i+1} && \text{by (4)} \\ &= \lim_{s_i \rightarrow \infty} \frac{s_i}{s_i+1} && \text{by (2)} \\ &= 1. \end{aligned}$$

But

$$\lim_{s \rightarrow \infty} \frac{T_s(n_r)}{s} = \lambda/\alpha \geq \pi > 1 \quad \text{by Lemma 13 and (1)}$$

a contradiction. Hence there exists  $M$  such that  $k-m \leq M$  for all  $k$ .

Now let  $P$  be a path from  $n$  to  $n_{p+1}$  and let  $\ell$  be the total length of the circuit defined by the sequence  $n_{p+1} \rightarrow n_p \rightarrow \dots \rightarrow n$  and then back to  $n_{p+1}$  via  $P$ . Let  $L_1, L_2, \dots, L_t$  be all of the loops making up the above circuit, and for  $i=1,2,\dots,t$  let  $A_i$  be the number of data words on  $L_i$  and  $\ell_i$  the length of  $L_i$ . Then by the choice of  $r$ ,

$$\begin{aligned} d_m(S_m(n)) &= r < \ell \\ &= \ell_1 + \dots + \ell_t \\ &= A_1 \ell_1/A_1 + \dots + A_t \ell_t/A_t \\ &\leq \pi \sum_{i=1}^t A_i \end{aligned}$$

i.e.

$$\frac{d_m(S_m(n))}{t} < \pi$$

$$\sum_{i=1}^m A_i$$

i.e. by (3)

$$\frac{T_k(n) - d_{k-m+1}(S_{k-m+1}(n_r))}{k} \cdot \frac{k}{t} < \pi$$

$$\sum_{i=1}^{k-m+1} A_i$$

Now since  $k - m \leq M$ ,

$$\lim_{k \rightarrow \infty} \frac{d_{k-m+1}(S_{k-m+1}(n_r))}{k} = 0$$

Also, since  $k-m \leq M$  and since  $P$  is a path we have

$$\lim_{k \rightarrow \infty} \frac{k}{t} = 1.$$

$$\sum_{i=1}^{k-m+1} A_i$$

Hence

$$\lim_{k \rightarrow \infty} \frac{T_k(n)}{k} \leq \pi.$$

This coupled with (1) and Lemma 13 yields the desired result.

(ii) Suppose  $\pi \leq 1$ . If  $k-m$  is not uniformly bounded above, we have, as before



$$\lim_{s_i \rightarrow \infty} \frac{T_{s_i}^{(n_r)}}{s_i} = 1$$

which is what we wanted to prove.

Otherwise, we obtain, as before

$$\lim_{k \rightarrow \infty} \frac{T_k^{(n)}}{k} \leq \pi. \quad (5)$$

If  $\pi < 1$ , this contradicts (1) so that  $k-m$  is not uniformly bounded above. If  $\pi = 1$  then (1) and (5) together with Lemma 13 yield the desired result.

#### Corollary 15

If  $\pi \leq 1$ , then  $\lambda = \alpha = 1$ .

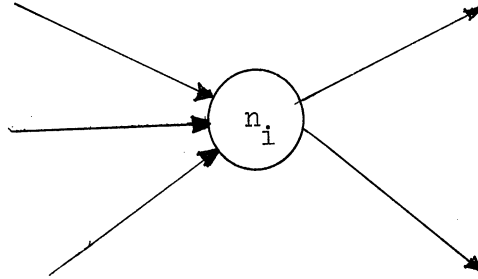
PROOF: By Lemma 12, for all  $t \geq t'$  and for any node  $n$  of  $G$

$$\begin{aligned} x(n, t+\lambda) - x(n, t) &= \alpha \\ &= \lambda \quad \text{by Theorem 14.} \end{aligned}$$

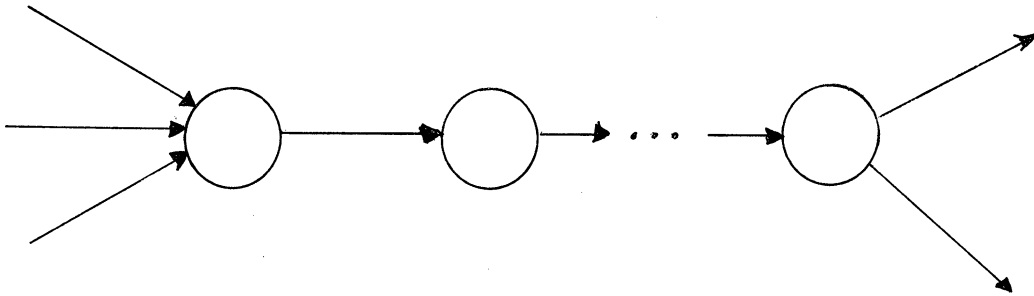
But  $x(n, t+\lambda) - x(n, t) = \lambda$  if and only if node  $n$  initiates at times  $t, t+1, \dots, t+\lambda-1$ , i.e. node  $n$  initiates at times  $t', t'+1, t'+2, \dots$ .

### 3.2 Computation Graphs with $\tau_i \geq 2$

These may be reduced to the case  $\tau_i=1$  for all  $n_i$  as follows. Suppose  $n_i$  has  $\tau_i \geq 2$ .

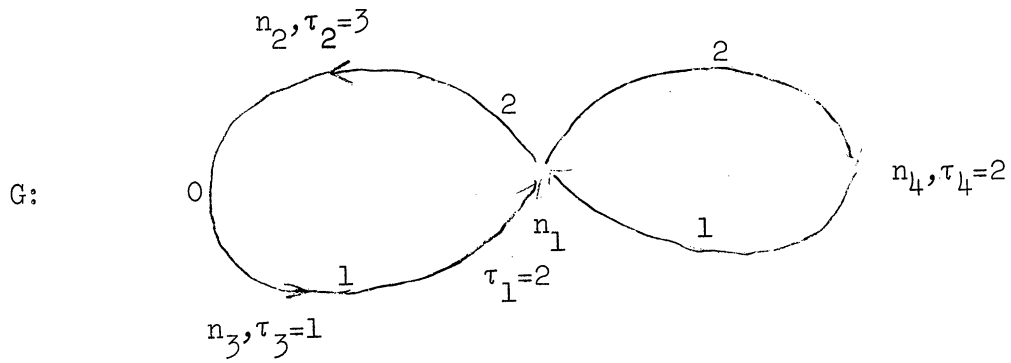


Then replace  $n_i$  by the following sequence of  $\tau_i$  nodes each with the execution time 1.



It should be clear that all of the above results concerning free running executions of synchronous computation graphs can now be translated into similar results for the case  $\tau_i \geq 1$ , except that  $n_i$  can initiate at unit time intervals, so that if  $\tau_i \geq 2$ ,  $n_i$  can initiate before its previous execution has terminated. If this situation is undesirable, we may place a self-loop on  $n_i$ .

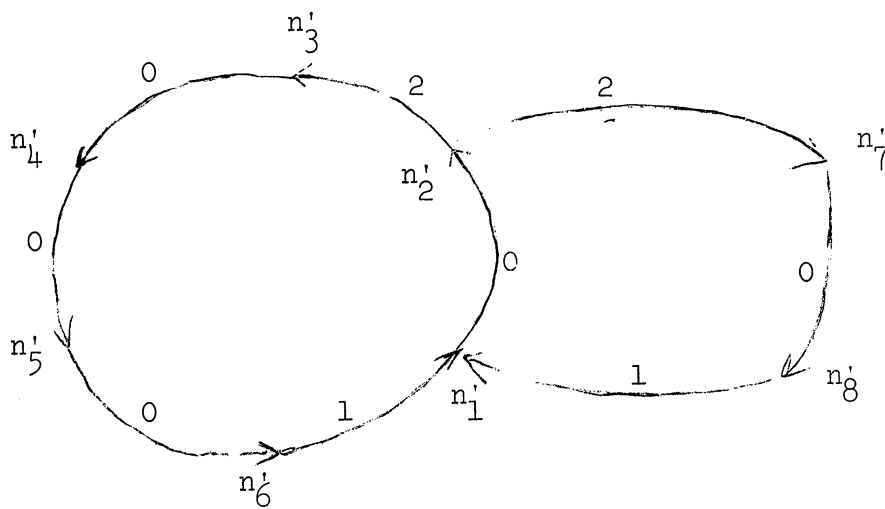
An Example



$$\pi = \frac{\tau_1 + \tau_2 + \tau_3}{3} = 2.$$

We can transform G into an equivalent graph G' with unit node execution times.

G':



By simulating  $G'$  we obtain the following sequence of branch vectors:

$t$	$b_{12}$	$b_{23}$	$b_{34}$	$b_{45}$	$b_{56}$	$b_{61}$	$b_{27}$	$b_{78}$	$b_{81}$
0	0	2	0	0	0	1	2	0	1
1	1	1	1	0	0	0	1	1	0
2	0	1	1	1	0	0	1	1	1
3	0	0	1	1	1	0	0	1	2
4	0	0	0	1	1	1	0	0	3
5	1	0	0	0	1	1	0	0	2
6	1	1	0	0	0	1	1	0	1
7	1	1	1	0	0	0	1	1	0

Then  $\vec{b}(7) = \vec{b}(1)$ . Hence  $\lambda = 6$ ,  $\alpha = 3$ . From the above table, we can determine the initiation times of  $n_1, n_2, n_3, n_4$  of  $G$ , which are the initiation times of  $n'_1, n'_3, n'_6, n'_7$ , respectively. We obtain

$$n_1: 0, 4, 5, 6, 10, 11, 12, 16, 17, 18, \dots,$$

$$n_2: 0, 1, 2, 6, 7, 8, 12, 13, 14, 18, \dots,$$

$$n_3: 3, 4, 5, 9, 10, 11, 15, 16, 17, 21, \dots,$$

$$n_4: 0, 1, 2, 6, 7, 8, 12, 13, 14, 18, \dots,$$

4. A Maximal Rate Periodic Schedule for Synchronous Computation Graphs

In Section 3 we noted that if  $\pi$  is not an integer, then no maximal rate periodic execution is possible for a synchronous computation graph. The remark following Lemma 12 suggests the following definition:

Let  $\lambda$  and  $\alpha$  be positive integers. A synchronous computation graph  $G$  is said to have a  $(\lambda, \alpha)$  periodic execution if for each node  $n_i$  of  $G$ , there exists integers  $t_i^0 < t_i^1 < \dots < t_i^{\alpha-1} < t_i^0 + \lambda$  such that  $n_i$  initiates only at times

$$\begin{aligned} & t_i^0, t_i^1, \dots, t_i^{\alpha-1}, \\ & t_i^0 + \lambda, t_i^1 + \lambda, \dots, t_i^{\alpha-1} + \lambda, \\ & t_i^0 + 2\lambda, t_i^1 + 2\lambda, \dots, t_i^{\alpha-1} + 2\lambda, \\ & \dots \end{aligned}$$

Note that if  $\pi$  is an integer, then the results of Section 2 yield that a  $(\pi, 1)$  periodic schedule exists for synchronous computation graphs. Theorem 14 suggests the following question: Let  $\pi = \lambda/\alpha$  where  $\lambda$  and  $\alpha$  are integers. Does a synchronous computation graph  $G$  have a  $(\lambda, \alpha)$  periodic execution? Clearly, if the answer is in the affirmative, then under this execution  $G$  computes at the maximal rate  $1/\pi$ . The principal result of this section is to provide just such an execution for a wide class of graphs. We shall treat the cases  $\pi < 1$ ,  $\pi \geq 1$  separately.

A.  $\pi < 1$

Then we cannot hope to achieve a computation rate of  $1/\pi$  since  $1/\pi > 1$  whereas a node can initiate at most once per time unit. However, this maximal rate of one initiation per unit time for a synchronous computation

graph can be achieved with  $\gamma = 1$  under the assignment of Section 2B.

B.  $\pi \geq 1$

For any real number  $x$  we write  $\lceil x \rceil$  to be the smallest integer containing  $x$ , and  $\lfloor x \rfloor$  to be the largest integer contained in  $x$ .

Let  $G$  be a synchronous computation graph. Consider the following assignment of integers  $t_i^r$  to the nodes  $n_i$  of  $G$ :

$$t_i^r = \lceil \pi r + t_i \rceil \quad r=0,1,\dots,$$

where  $t_i$  is obtained as in Section 2B.

Lemma 16

- i)  $t_i^{r+1} > t_i^r$
- ii)  $t_i^{k\alpha+\beta} = k\lambda + t_i^\beta$   $k=0,1,\dots,$
- $\beta=0,1,\dots,$

PROOF:

$$(i) \text{ Write } \pi = \lambda/\alpha = \lfloor \pi \rfloor + R/\alpha \quad 0 \leq R \leq \alpha-1$$

$$\begin{aligned} \text{Then } \lceil \pi r + t_i \rceil &= \lceil \lfloor \pi \rfloor r + Rr/\alpha + t_i \rceil \\ &= \lfloor \pi \rfloor r + \lceil Rr/\alpha + t_i \rceil \end{aligned}$$

Therefore

$$\begin{aligned} t_i^{r+1} - t_i^r &= \lfloor \pi \rfloor + \lceil (r+1)R/\alpha + t_i \rceil - \lceil rR/\alpha + t_i \rceil \\ &\geq \lfloor \pi \rfloor \\ &\geq 1 \text{ since } \pi \geq 1. \end{aligned}$$

$$\begin{aligned} (ii) \ t_i^{k\alpha+\beta} &= \lceil (k\alpha+\beta)\lambda/\alpha + t_i \rceil \\ &= \lceil k\lambda + \beta \lambda/\alpha + t_i \rceil \\ &= k\lambda + \lceil \beta \lambda/\alpha + t_i \rceil \\ &= k\lambda + t_i^\beta \end{aligned}$$

Theorem 17

Let  $G$  be synchronous computation graph with  $\pi = \lambda/\alpha$ ,  $\lambda$  and  $\alpha$  positive integers. Then  $G$  has a  $(\lambda, \alpha)$  periodic execution.

PROOF: Suppose  $n_i \rightarrow n_j$ . Then for  $r=0,1,\dots$ , we have

$$\begin{aligned} t_i^r + \tau_i &= \lceil \pi r + t_i \rceil + \tau_i \\ &= \lceil \pi r + t_i + \tau_i \rceil \end{aligned}$$

since  $\tau_i$  is an integer

$$\begin{aligned} &\leq \lceil \pi r + t_j + \pi A_{ij} \rceil && \text{by Lemma 1} \\ &= \lceil \pi(r + A_{ij}) + t_j \rceil \\ &= t_j^{r+A_{ij}} \end{aligned}$$

Then by Theorem 2 there exists an execution of  $G$  such that node  $n_k$  initiates only at times  $t_k^0, t_k^1, \dots, t_k^r, \dots$ . The result now follows by Lemma 16.

It is clear that for a synchronous computation graph  $\rho_G = \alpha/\lambda = 1/\pi$  so that  $G$  computes at the maximal rate.

The following computation aid may be found useful: If  $n_i \rightarrow n_j$ , and  $n_i, n_j$  both are on the same loop  $L$  for which  $\pi_L = \pi$ , then

$$t_i^r = t_j^{r+A_{ij}} - \tau_i \quad r=0,1,\dots,$$

PROOF: Let  $L$  be  $n_i \rightarrow n_j \rightarrow n_k \rightarrow \dots \rightarrow n_p \rightarrow n_m \rightarrow n_i$ . Then by the proof of Theorem 17,

$$\begin{aligned}
t_i^r &\leq t_j^{r+A_{ij}} \\
t_j^{r+A_{ij}} &\leq t^{r+A_{ij}+A_{jk}} - \tau_j \\
&\dots \\
t_m^{r+A_{ij}+\dots+A_{pm}} &\leq t^{r+A_{ij}+\dots+A_{pm}+A_{mi}} - \tau_m
\end{aligned}$$

Therefore  $t_i^r \leq t_i^{\frac{r+\sum A}{L}} - \sum \tau$  by adding the above inequalities

$$\begin{aligned}
&= \left\lceil \frac{\pi(r+\sum A)}{L} + t_i \right\rceil - \sum \tau \\
&= \left\lceil \pi r + t_i + \frac{\sum \tau}{L} \right\rceil - \sum \tau \\
&= \left\lceil \pi r + t_i \right\rceil \quad \text{since } \frac{\sum \tau}{L} \text{ is an integer} \\
&= t_i^r
\end{aligned}$$

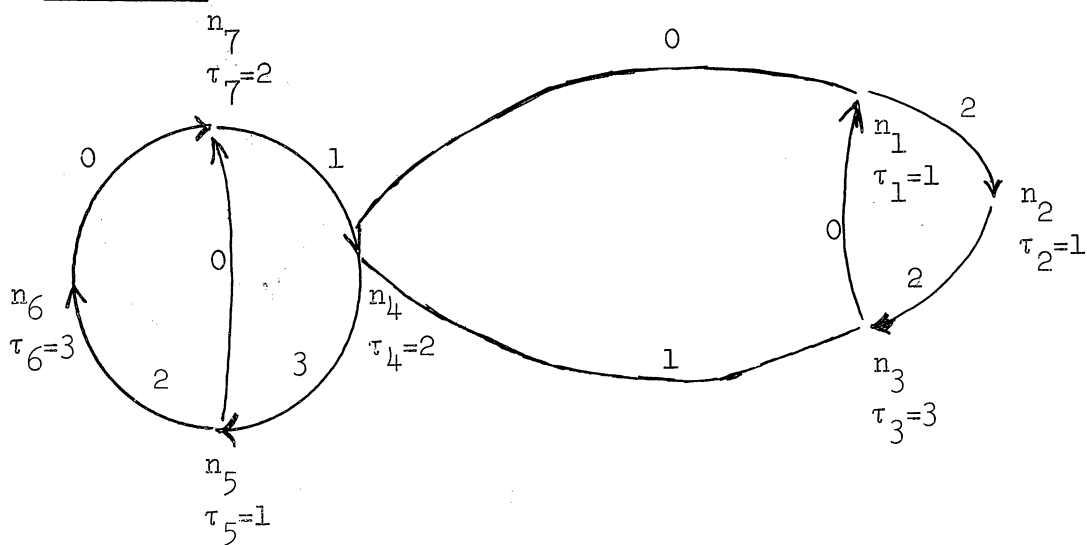
i.e. equality must hold for each of the above inequalities and in particular, for the first.

The obvious dual results hold for synchronous computation graphs under the substitution of  $T_i$  for  $t_i$ , i.e.

$$T_i^r = \left\lceil \pi r + T_i \right\rceil \quad r=0,1,\dots,$$

defines a  $(\lambda, \alpha)$  periodic execution.



An Example

$\pi = 8/6 = 4/3$  corresponding to the loop  $n_4 \rightarrow n_5 \rightarrow n_6 \rightarrow n_7 \rightarrow n_4$ .

Take  $\lambda = 4, \alpha = 3$ .

By the methods of Section 2 we obtain:

$$t_1 = 0 \quad t_2 = -4/3 \quad t_3 = -3 \quad t_4 = -11/3 \quad t_5 = -17/3$$

$$t_6 = -22/3 \quad t_7 = -13/3.$$

Hence

$t_1^0 = 0$	$t_1^1 = 2$	$t_1^2 = 3$
$t_2^0 = -1$	$t_2^1 = 0$	$t_2^2 = 2$
$t_3^0 = -3$	$t_3^1 = -1$	$t_3^2 = 0$
$t_4^0 = -3$	$t_4^1 = -2$	$t_4^2 = -1$
$t_5^0 = -5$	$t_5^1 = -4$	$t_5^2 = -3$
$t_6^0 = -7$	$t_6^1 = -6$	$t_6^2 = -4$
$t_7^0 = -4$	$t_7^1 = -3$	$t_7^2 = -1$

## 5. Conclusion

A maximal computation rate periodic schedule of node initiation times has been presented for computation graphs  $G$  with  $U = W = T = 1$  for all branches of  $G$ . This schedule is of two forms, according as  $G$  computes asynchronously or synchronously. Furthermore, an analysis has been given of the so-called free running execution of  $G$  and this is found to yield the maximum computation rate of  $G$ .

An open problem is a similar analysis for non-terminating computation graphs  $G$  in which  $U$ ,  $W$ , and  $T$  are not restricted to be 1.

Another area for future research is as follows:

Suppose all nodes of  $G$  are capable of performing the same functions e.g. each node is a computer. Then under the various periodic schedules of this paper, what is the minimum number  $v$  of "computers" necessary to perform the computation without decreasing the computation rate of  $G$ ?

A more general problem is the following: Suppose the node set of  $G$  to be partitioned into sets  $N_1, N_2, \dots, N_m$  where all of the nodes  $n$  in  $N_i$  are capable of performing the same functions. Again, what is the minimum number of "computers" necessary to perform the computation under the various periodic schedules without decreasing the computation rate of  $G$ ? A related problem is the following: Suppose  $k < v$  "computers" are available. How can we choose the minimum value of  $\gamma$  such that the computation may be effected with period  $\gamma$  and such that the  $k$  "computers" are utilized.

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