

Longitudinal Relationship Between Metabolic Syndrome and Periodontal Disease Among Japanese Adults Aged ≥ 70 Years: The Niigata Study

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Background: There has been little evaluation in longitudinal epidemiologic studies of the effect of metabolic syndrome (MetS) on periodontal status. The specific aim of this longitudinal study is to investigate whether MetS in the Japanese population could be a risk factor for periodontal disease.

Methods: A total of 125 older adults from Japan for whom data were available for the years 2003 to 2006 were selected for the current study. Full-mouth periodontal status, measured as clinical attachment level (CAL), was recorded at baseline and in follow-up examinations. Development of periodontal disease was considered to be ≥ 2 teeth demonstrating a longitudinal loss of proximal attachment of ≥ 3 mm at the follow-up dental examination. A multivariable Poisson regression model with robust error variance was used to evaluate the association of MetS defined by the modified National Cholesterol Education Program Adult Treatment Panel III criteria with development of periodontal disease. Adjustments for sex, income, education, smoking status, number of teeth at baseline, mean CAL at baseline, pattern of visits to a dentist, and brushing frequency were considered.

Results: The prevalence of MetS was 21.6% (27/125). Study participants with MetS were approximately 2.6 times more likely to develop periodontal disease (adjusted relative risk 2.58, 95% confidence interval 1.17 to 5.67) after simultaneous adjustment for other covariates.

Conclusions: These findings support the hypothesis that MetS may be a risk factor for periodontal disease in older Japanese individuals. Additional studies with larger, more diverse populations and more complete information are needed to substantiate the findings. *J Periodontol* 2015;86:491-498.

KEY WORDS

Aged; epidemiology; longitudinal studies; metabolic syndrome X; oral medicine; periodontal diseases.

Metabolic syndrome (MetS) is characterized as a clustering of metabolic disorders: abdominal obesity, elevated blood pressure (BP), dyslipidemia, and hyperglycemia.¹ MetS contributes to chronic inflammation and to an increased risk of systemic diseases such as cardiovascular disease and type 2 diabetes.² MetS is becoming increasingly prevalent among many different populations worldwide, including Japanese. The estimated number of people aged ≥ 40 years with MetS is 10.7 million (18.4%) in Japan.³

Periodontal disease affects $>40\%$ of adults aged ≥ 45 years in Japan⁴ and is characterized by chronic inflammation of tooth-supporting tissues. It has been suggested that MetS and periodontal disease may share a pathogenic background through oxidative stress/mitochondrial dysfunction mechanisms.^{5,6} Individuals with MetS may have greater susceptibility to periodontal disease. In fact, previous epidemiologic studies have demonstrated that patients with MetS had a higher risk of poor periodontal status.⁷⁻¹⁵ However, most of the studies^{7-13,15} conducted to date had a cross-sectional design, which prevents the establishment of an unambiguous cause-effect relationship.

Further investigation of the relationship between MetS and periodontal disease

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in a longitudinal study is important to gain insight into MetS as a potential risk factor for periodontal disease. The hypothesis of the present study is that MetS was associated with an increased risk of periodontal disease. This study aims to determine if there were longitudinal associations of MetS with periodontal disease in community-dwelling older Japanese individuals.

MATERIALS AND METHODS

Study Design, Setting, and Participants

The current investigation is a subset study of the Niigata Study¹⁶ over the period 2003 to 2006. Briefly, the Niigata Study is a prospective community-based study initiated in 1998 to evaluate relationships between the systemic health status and history of dental diseases. In April 1998, all 4,542 Niigata citizens aged 70 years (2,099 men and 2,443 women) were sent a written request to participate in the survey. The invitation was mailed again to non-respondents 3 weeks later; 81.4% (3,695/4,542) responded positively to participate in the survey. Considering the availability of resources, examination appointments could be arranged for 600 individuals. The final study sample was randomly recruited from several areas of Niigata to have an approximately equal number of men ($n = 306$) and women ($n = 294$) (Fig. 1).

At the baseline of the current study (2003), dental and medical examinations, an interview, and an anthropometric evaluation were conducted, and 163 individuals were selected according to the following criteria. Inclusion criteria: 1) original members of the Niigata study; 2) individuals who had at least 10 teeth;^{15,17} and 3) individuals without periodontal disease (presence of proximal attachment loss ≥ 5 mm in $\geq 30\%$ of teeth present was defined as positive¹⁸). Exclusion criteria: 1) individuals who were hospitalized; 2) individuals who were living in nursing homes; and 3) individuals who had missing data. Three years later, study entrants were invited to the follow-up examinations, including dental assessment, in which 38 were not available to participate. Data were therefore analyzed for the 125 participants completing the follow-up examination in 2006.

This study was conducted according to the guidelines laid down in the Declaration of Helsinki, and all procedures involving human individuals were approved by the Ethics Committee of the Faculty of Dentistry, Niigata University. Written informed consent was obtained from all study participants.

Dental Examination

The dental examination was conducted at baseline and follow-up under sufficient illumination using artificial light by four trained dentists (Hiroshi Ogawa, Takayuki Yamaga, Toshinobu Hiroto, and Naoko

Takano, all of Niigata University Graduate School of Medical and Dental Sciences, Niigata, Japan) who assessed clinical attachment level (CAL) and number of teeth (including third molars).¹⁹ For CAL, a pressure-sensitive probe^{||} was used to measure to the nearest millimeter the distance from the cemento-enamel junction (CEJ) to the base of the pocket, at six sites of all remaining natural teeth. At sites where the CEJ was unclear because it was concealed by the margin of a crown restoration, the position of the CEJ was estimated using adjacent landmarks and dental anatomy. When the CEJ could not be estimated, the site was excluded from the examination. Before the examination, calibrations were done at the Niigata University Medical & Dental Hospital. Intra- and interexaminer reliability of CAL within 1 mm was confirmed using both percent agreement (86.6% to 95.9%) and κ statistic (0.79 to 0.93).

Medical Examination, Anthropometric Evaluation, and Interview

Fasting blood samples were drawn for the measurement of triglycerides, high-density lipoprotein cholesterol (HDL-C), and hemoglobin A1c (HbA1c). BP recordings were obtained from the right arm of the participants in a sitting position after 5 minutes of rest. Anthropometric evaluation included measurements of height and weight to calculate body mass index (BMI). A standardized questionnaire was completed, covering treatment for hypertension or diabetes, smoking status (with those reporting any smoking history classified as smokers), socio-economic status (household income and years of school attendance), and oral health-related behavior (brushing frequency [< 2 or ≥ 2 times/day] and pattern of visits to a dentist [regularly or episodically]). Lower income was defined as annual household income $< 2,000,000$ Japanese yen (US \$16,667; US \$1 = 120 Japanese yen; average annual household income = 5,890,000 Japanese yen in 2003). Lower education was defined as school attendance ≤ 8 years.

Description of Outcome Variables

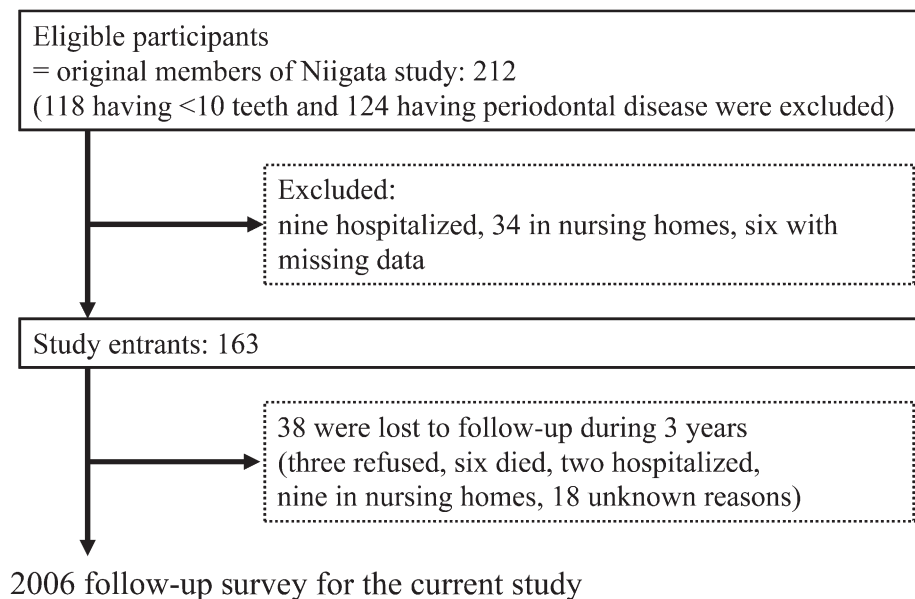
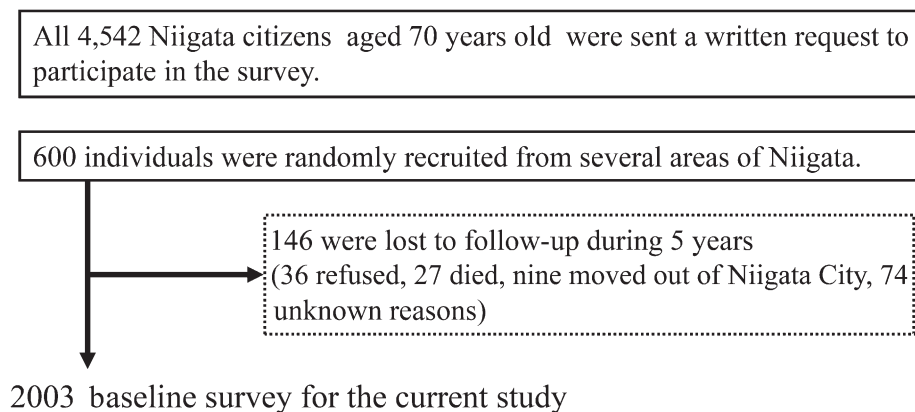
The primary outcome for the analyses included the development of periodontal disease. Development of periodontal disease was defined by using criteria proposed in the European Workshop in Periodontology.¹⁸ Study participants who had ≥ 2 teeth demonstrating a longitudinal loss of proximal attachment ≥ 3 mm at the follow-up dental examination were considered to have developed periodontal disease.

Description of Principal Exposure Variable

The principal exposure variable was MetS. MetS was defined by using criteria recommended in the

|| Vivacare TPS probe, Vivadent, Schaan, Liechtenstein.

1998 Niigata study initiated



Study participants: 125
Participants/entrants = 76.7%

Figure 1.
Flow diagram of the study.

cations. HbA1c was used as a surrogate for fasting plasma glucose to define hyperglycemia.²¹ MetS was defined as the presence of three or more of these components.¹

Statistical Analyses

Before the principal analysis to address the main question of interest, differences in socioeconomic status, health behavior, and health status were assessed among participants and the individuals who were lost to follow-up.

The participants' variables were described using the frequency distribution for categorical variables and the mean \pm SD for continuous variables. The χ^2 test for categorical variables and Student *t* test for continuous variables were used to test differences in the percentages and the means of baseline characteristics between individuals with and without MetS.

Tests of the study's principal hypothesis that MetS is associated with an increased risk of developing periodontal disease included univariable and multivariable Poisson regression modeling with robust error variance. Multivariable models were adjusted for potential confounders: sex, income, education, smoking status, number of the teeth at baseline, mean CAL at baseline, pattern of visits to a dentist, and brushing frequency. The authors also tested interaction terms for each of the third variables with MetS. Interaction terms found not to be statistically significant were not included in the model.

Additionally, participants were divided into three groups according to the number of MetS components; zero to one component, two components, and ≥ 3 components. Tests for trend were performed by fitting the three-category MetS variable in its continuous form in the regression models.

An $\alpha = 0.05$ level was considered statistically significant for all analyses. All calculations and statistical analyses were performed using statistical software.[¶]

¶ STATA v.13, Stata Corp., College Station, TX.

National Cholesterol Education Program Adult Treatment Panel III guidelines, with modification.^{1,20} Abdominal obesity was defined as BMI ≥ 25 kg/m². BMI was used as a surrogate for waist circumference to define abdominal obesity.^{11,15} Elevated BP was defined as systolic/diastolic BP of $\geq 130/85$ mm Hg and/or the current use of antihypertensive medications. Hypertriglyceridemia was defined as serum triglycerides ≥ 150 mg/dL. Low HDL-C was defined as serum HDL-C levels < 40 mg/dL in men and < 50 mg/dL in women. Hyperglycemia was defined as HbA1c $\geq 6.0\%$ and/or the current use of antidiabetic medi-

RESULTS

There were no significant differences in selected characteristics between study participants and those lost to follow-up (data not shown).

Table 1 lists baseline characteristics of the study population. The prevalence of MetS was 21.6% (27/125). Participants with MetS had significantly higher mean values of BMI, BP, serum triglycerides, and HbA1c and lower mean values of serum HDL-C than those without MetS. The frequency of antidiabetic medication use was higher in individuals with MetS than in those without MetS.

Table 2 shows the results from the Poisson regression analyses of developing periodontal disease. MetS was associated significantly with an increased risk of developing periodontal disease. The crude relative risk (RR) of developing periodontal disease for participants with MetS was 2.23 (95% confidence interval [CI], 1.03 to 4.84) compared with those without MetS. MetS remained significantly associated with a higher risk of developing periodontal disease after simultaneously adjusting for other covariates. In the multivariable model, the adjusted RR for participants with MetS was 2.58 (95% CI, 1.17 to 5.67). In addition, there was a trend toward higher risk of developing periodontal disease with a greater number of MetS components (P for trend in the multivariable model = 0.04).

When testing the independent associations of each individual MetS component with the development of periodontal disease (Table 2), no significant and consistent associations were found among each MetS component and the development of periodontal disease. In the crude model, participants with abdominal obesity were significantly associated with a higher risk of developing periodontal disease compared with those without abdominal obesity (crude RR, 2.18; 95% CI, 1.01 to 4.70); however, this association disappeared after multivariable adjustment.

DISCUSSION

The authors observed a significant association between MetS and development of periodontal disease after controlling for other important baseline health characteristics in multivariable Poisson regression analysis. Individuals with MetS were more likely to develop periodontal disease than individuals without MetS. By including a homogeneous group of older Japanese restricted to the same age at baseline in the survey, the influences of variations in age and race on the results were eliminated. The findings of the present study concur with recent cross-sectional studies that demonstrated a significant association between MetS and poor periodontal health.^{7,9-13,15}

When the components of MetS were examined separately, there were no statistically significant

associations of each MetS component with the risk of developing periodontal disease. This was partially explained by the fact that each individual component includes a variety of conditions, ranging from mild to severe, resulting in underestimation of the association between MetS components and periodontal disease. A combination of multiple risk factors (abdominal obesity, elevated BP, dyslipidemia, and hyperglycemia) can lead to the development of periodontal disease.

Evidence suggests that MetS and periodontal disease may share many causative factors.^{5,22} MetS is a proinflammatory state derived from excessive caloric intake, overnutrition, and other chronic inflammatory conditions.²³ Abnormal proinflammatory cytokines and adipocytokine production have been observed in patients with MetS.^{5,24,25} It is generally accepted that an inflammatory state exists and is associated with periodontal disease as well,²⁶ and proinflammatory cytokines can lead to progressive breakdown of the periodontal tissue.²⁷ Adipokines are important in glucose homeostasis, which is known to play a role in obesity and insulin resistance. Both of these have been postulated to be primary contributors to the pathogenesis of MetS. Obesity and insulin resistance are significant risk factors for periodontal disease as well.^{28,29} These findings suggest that individuals with MetS may tend to be more susceptible to periodontal disease in the presence of periodontal pathogens.

Furthermore, it is increasingly recognized that oxidative stress plays an important role in inflammation-based chronic diseases.²² Oxidative stress is a consequence of persistent imbalance between the production of reactive oxygen species (ROS) and antioxidant capacity. The main source of cellular ROS is mitochondria. Mitochondrial ROS production is a tightly controlled process that plays a role in the maintenance of cellular oxidative homeostasis and propagation of cellular signaling pathways.³⁰ This mechanism is affected by external stimulation with inflammation. The proinflammatory state can lead to an increase in oxidative stress. Altered ROS production, a compromised antioxidant system, and mitochondrial dysfunction are observed in MetS.³¹ Oxidative stress has been demonstrated to alter the structure or function of cells and organisms,³² which could contribute to periodontal tissue breakdown as well.^{22,32,33} Elevated oxidative stress marker levels, lower antioxidant capacity,³⁴ and increased levels of DNA damage markers³⁵ were observed in periodontal disease. Recently, lipopolysaccharides of *Porphyromonas gingivalis* were reported to be associated with high mitochondrial ROS, low coenzyme Q10 levels, and mitochondrial dysfunction.³⁶ Therefore, lipopolysaccharide-mediated mitochondrial dysfunction could

Table 1.**Baseline Characteristics of the Study Participants With and Without MetS [mean ± SD or n (%)]**

| Variable | MetS | | P* |
|---|---------------------|---------------------|------------------|
| | No (n = 98) | Yes (n = 27) | |
| Dental conditions | | | |
| No. of teeth | 23.8 ± 5.1 | 22.0 ± 5.3 | 0.10 |
| Mean CAL (mm) | 2.7 ± 0.6 | 2.9 ± 0.8 | 0.12 |
| Demographic and socioeconomic status | | | |
| Age† | 75 | 75 | |
| Sex | | | |
| Men | 45 (45.9) | 10 (37.0) | 0.41 |
| Women | 53 (54.1) | 17 (63.0) | |
| Lower income | 13 (13.3) | 5 (18.5) | 0.49 |
| Lower education | 36 (36.7) | 13 (48.2) | 0.28 |
| Health status and health behavior | | | |
| BMI (kg/m ²) | 22.3 ± 2.6 | 25.6 ± 2.4 | <0.001 |
| Systolic BP (mm Hg) | 127.0 ± 14.8 | 133.6 ± 10.3 | 0.03 |
| Diastolic BP (mm Hg) | 68.2 ± 9.1 | 75.0 ± 8.8 | <0.001 |
| Current use of antihypertensive medications | 23 (23.5) | 11 (40.7) | 0.07 |
| Triglycerides (mg/dL) | 114.0 ± 57.8 | 203.1 ± 70.5 | <0.001 |
| HDL-C (mg/dL) | 64.1 ± 14.8 | 47.8 ± 11.0 | <0.001 |
| HbA1c (%) | 5.5 ± 0.7 | 6.1 ± 1.0 | <0.001 |
| Current use of antidiabetic medications | 7 (7.1) | 6 (22.2) | 0.02 |
| Smoking status | | | |
| Non-smoker | 60 (61.2) | 16 (59.3) | 0.85 |
| Previous/current smoker | 38 (38.8) | 11 (40.7) | |
| Toothbrushing ≥2 times/day | 75 (76.5) | 20 (74.1) | 0.79 |
| Visit dentist regularly | 61 (62.2) | 18 (66.7) | 0.67 |

Bold text highlights statistically significant findings.

* P value for the comparison of selected characteristics by the status of MetS.

† All participants were aged 75 years.

be the origin of oxidative stress in patients with periodontal disease. Moreover, it was recently suggested that autophagy is an important mechanism related to inflammation. Autophagy machinery plays a crucial role in cellular homeostasis. It acts to protect cells against potential damage in association with the inflammatory process.³⁷ It is postulated that selective autophagy of mitochondria, known as mitophagy, contributes to the maintenance of mitochondrial quality by eliminating dysfunctional or damaged mitochondria.³⁸ Oxidative stress has been shown to induce autophagy,³⁸ and a recent study demonstrated an enhancement of autophagy mediated by mitochondrial ROS in peripheral blood mononuclear cells from patients with periodontal disease.³⁹ This also supports the hypothesis that mitochondrial function is essential in inflammation-related disease, including periodontal disease. Above all, oxidative stress/mitochondrial dysfunction/autophagy mechanisms are related events in patients with chronic inflammatory diseases and are considered to be a potential link between MetS and periodontal disease.

As mentioned above, a pathogenic characteristic of MetS and periodontal disease is inflammation. Because nutrition is strongly linked to the level of inflammation,⁴⁰ diet may be a common and modifiable risk factor for both MetS and periodontal disease. Dietary modification such as caloric restriction and supplementation with anti-oxidant nutrients may have a beneficial role in MetS⁴¹ and periodontal disease.^{32,40} More studies are needed to evaluate the effectiveness of dietary modification regarding both the quality of ingested biomolecules and the quantity of calories in controlling periodontal disease.

The current study demonstrates that participants with MetS had a significantly increased risk of periodontal disease during follow-up. Earlier research showed that periodontal disease contributed to MetS development. Morita et al.⁴² conducted a 4-year longitudinal study and reported that the presence of periodontal pockets was associated with a positive conversion of ≥1 MetS components (odds ratio 1.6; 95% CI 1.1 to 2.2). Kobayashi et al.⁴³ conducted a

Table 2.
Relationships of MetS and Its Components With Development of Periodontal Disease

| Component | Outcome (development of periodontal disease) | |
|-------------------------------------|--|----------------------------------|
| | RR _{crude} (95% CI) | RR _{adjusted} (95% CI)* |
| MetS | | |
| No | Reference group | Reference group |
| Yes | 2.23 (1.03 to 4.84) | 2.58 (1.17 to 5.67) |
| Number of MetS components | | |
| 0–1 | Reference group | Reference group |
| 2 | 1.49 (0.53 to 4.18) | 1.09 (0.38 to 3.11) |
| ≥3 | 2.56 (1.06 to 6.14) | 2.67 (1.10 to 6.48) |
| P for trend | 0.04 | 0.04 |
| MetS components | | |
| Abdominal obesity | | |
| No | Reference group | Reference group |
| Yes | 2.18 (1.01 to 4.70) | 1.90 (0.81 to 4.43) |
| Elevated BP and/or medication use | | |
| No | Reference group | Reference group |
| Yes | 1.36 (0.62 to 2.98) | 1.65 (0.69 to 3.94) |
| Hypertriglyceridemia | | |
| No | Reference group | Reference group |
| Yes | 1.03 (0.43 to 2.45) | 1.20 (0.52 to 2.77) |
| Low HDL-C | | |
| No | Reference group | Reference group |
| Yes | 1.87 (0.82 to 4.30) | 2.11 (0.96 to 4.64) |
| Hyperglycemia and/or medication use | | |
| No | Reference group | Reference group |
| Yes | 1.08 (0.50 to 2.37) | 0.86 (0.36 to 2.06) |

Bold text highlights statistically significant findings.

* Adjusted for sex, income, education, smoking status, number of teeth at baseline, mean CAL at baseline, pattern of visits to a dentist, and brushing frequency.

3-year longitudinal study and reported that individuals who brushed their teeth more frequently were less likely to develop MetS. The odds ratios (95% CI) of developing MetS in individuals who brushed 2 times/day and ≥3 times/day as compared with those who brushed ≤1 time/day were 0.80 (0.49 to 1.31) and 0.43 (0.19 to 0.97), respectively. From these findings, a bidirectional relationship between MetS and periodontal disease is plausible. Additional longitudinal epidemiologic studies are needed to further evaluate the relationship between these two diseases.

The authors recognize several limitations of the study. First, there is the potential risk that the final sample analyzed may not be representative of the originally sampled cohort. The present investigation was a subset study of the Niigata Study.¹⁶ Only 454 (75.7%) of 600 individuals originally invited to enroll in the study in 1998 underwent examinations in 2003. Over 5 years (1998 to 2003), 146 (24.3%) of 600 dropped out of the study. Selected characteristics in 1998 were compared between the two groups, and a significantly higher percentage of in-

dividuals with low education levels and individuals with a higher mean value of systolic BP were observed among those lost to follow-up. Although there were no significant differences in baseline (2003) characteristics between study participants and the individuals dropping out during the study period (2003 to 2006), it is possible that the present sample is healthier than the general population. However, the healthy cohort effect would probably bias the results toward the null hypothesis and not lead to overestimation of the observed longitudinal associations. Second, the authors analyzed only individuals with ≥10 teeth; thus, the relationship between MetS and periodontitis among individuals with few teeth, including edentulous individuals, was unclear. In previous studies investigating the association of periodontal disease with MetS or diabetes,^{12,16} researchers excluded the individuals with <10 teeth from the study because of the inherent difficulties in properly assessing periodontal health in these patients. The present authors followed their decisions. Third, there may have been misclassification of study

participants and/or incomplete control for confounding or effect modification. Information on participants' oral health status (e.g. dental plaque scores, subgingival biofilm, history of periodontal treatment), treatments for the specific lipid disorder, dietary habits,⁴⁴ and exercise habits⁴⁵ was not collected in this study; therefore, a number of other potentially important risk factors could not be included in the analyses. Although the most important confounders were assessed, over- or underestimation of the observed association caused by misclassification and/or residual confounding remains a risk. Finally, the study participants were restricted to the age of 75 at baseline, which could limit the generalizability of the current findings. However, increasing age is an important risk factor for both MetS and periodontal disease.⁴⁶ Since the present study population consisted of older Japanese adults, the results convey the important message that MetS is associated with oral health even among older individuals and thus highlight that preventing MetS is important across the entire lifespan.

CONCLUSIONS

The findings of the present study suggest that MetS may be a risk factor for periodontal disease in community-dwelling older Japanese. Future work with larger, more diverse populations and more complete information would be necessary to substantiate the findings.

ACKNOWLEDGMENTS

This work was supported by Grants-in-Aid from the Ministry of Health and Welfare of Japan (H10-Iryo-001, H13-Iryo-001, and H16-Iryo-020) and Grants-in-Aid for Young Scientists (B) (23792504 and 26861827). The authors report no conflicts of interest related to this study.

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- Submitted July 3, 2014; accepted for publication November 14, 2014.