A20, a modulator of smooth muscle cell proliferation and apoptosis, prevents and induces regression of neointimal hyperplasia

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ABSTRACT A20 is a NF-kB-dependent gene that has dual anti-inflammatory and antiapoptotic functions in endothelial cells (EC). The function of A20 in smooth muscle cells (SMC) is unknown. We demonstrate that A20 is induced in SMC in response to inflammatory stimuli and serves an anti-inflammatory function via blockade of NF-KB and NF-KB-dependent proteins ICAM-1 and MCP-1. A20 inhibits SMC proliferation via increased expression of cyclin-dependent kinase inhibitors p21^{waf1} and p27^{kip1}. Surprisingly, A20 sensitizes SMC to cytokine- and Fas-mediated apoptosis through a novel NO-dependent mechanism. In vivo, adenoviral delivery of A20 to medial rat carotid artery SMC after balloon angioplasty prevents neointimal hyperplasia by blocking SMC proliferation and accelerating re-endothelialization, without causing apoptosis. However, expression of A20 in established neointimal lesions leads to their regression through increased apoptosis. This is the first demonstration that A20 exerts two levels of control of vascular remodeling and healing. A20 prevents neointimal hyperplasia through combined antiinflammatory and antiproliferative functions in medial SMC. If SMC evade this first barrier and neointima is formed, A20 has a therapeutic potential by uniquely sensitizing neointimal SMC to apoptosis. A20-based therapies hold promise for the prevention and treatment of neointimal disease.-Patel, V. I., Daniel, S., Longo, C. R., Shrikhande, G. V., Scali, S. T., Czismadia, E., Groft, C. M., Shukri, T., Motley-Dore, C., Ramsey, H. E., Fisher, M. D., Grey, S. T., Arvelo, M. B., Ferran, C. A20, a modulator of smooth muscle cell proliferation and apoptosis, prevents and induces regression of neointimal hyperplasia. FASEB J. 20, 1418-1430 (2006)

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PROLIFERATION OF SMC, their acquisition of a synthetic, proinflammatory phenotype, as well as their recently recognized "high" resistance to apoptosis are central events in the pathogenesis of vascular lesions, including vein graft occlusion, postangioplasty restenosis and transplant arteriosclerosis (TA), an accelerated form of atherosclerosis (1–3). Restenosis occurs in 20% to 40% of patients within the first few months after successful angioplasty and is only delayed with stent implantation (4). Stent failure remains unacceptably high with restenosis incidence reaching $\sim 30\%$ (4).

Novel therapies aimed at blocking SMC proliferation such as rapamycin/sirolimus-coated stents demonstrate promising clinical potential (5). However, this benefit is still insufficient particularly in diabetic patients, prompting the exploration of additional therapeutic leads (6). With the recent appreciation that atherosclerosis and restenosis may reflect failure in apoptotic pathways mediating regression of neointima, several proapoptotic strategies have been successfully explored in experimental models of neointimal disease including down-regulation of the antiapoptotic *bcl* family member Bcl- x_L , inhibition of Survivin, a member of the inhibitors of apoptosis proteins family, overexpression of Fas ligand or increasing NO levels, a known apoptotic stimulus to SMC (7–11).

We hypothesize that a strategy combining anti-inflammatory/antiproliferative and proapoptotic effects in SMC could be optimal to prevent/treat neointimal vascular disease. We had previously shown that the zinc finger protein A20 is part of the endothelial cell (EC) response to injury. In EC, A20 serves a broad antiinflammatory function via inhibition of the transcription factor NF- κ B and an antiapoptotic function

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through inhibition of the initiator caspase 8, both beneficial to contain vascular disease (12-16). NF-KB is also a critical effector of the SMC response to injury and is required for SMC activation and proliferation *in* vitro and for progression of atherosclerotic plaques in vivo (17). We reasoned that if A20 inhibits NF-KB activation in SMC, as it does in EC, its overexpression could positively affect vascular remodeling after injury by inhibiting SMC activation and proliferation. However, if A20 was to maintain its antiapoptotic function in SMC, its expression could increase SMC resistance to apoptosis and contribute to neointima formation. Indirect evidence from rat renal kidney allografts demonstrating a strict inverse correlation between the expression of A20 in SMC and the incidence of TA rather favored the "atheroprotective" potential of A20 in SMC (18).

In this study, we explore the function(s) of A20 in SMC and evaluate its effect on NF- κ B activation, cell proliferation and apoptosis *in vitro* and its effect on development and progression/regression of neointimal disease, *in vivo*, using a rat model of neointimal hyperplasia after balloon angioplasty to carotid arteries (19).

MATERIALS AND METHODS

Reagents

Porcine aortic endothelial cells (PAEC) were cultured as described (13). We purchased human aortic (HASMC) and human coronary artery (HCSMC) SMC from Cambrex (Walkersville, MD, USA), 293 kidney embryonic cell line from American Type Culture Collection (Rockville, MD, USA), human recombinant TNF, IL-1 β and IFN γ from R&D Systems (Minneapolis, MN, USA), anti-Fas IgM antibody (Ab) (clone CH-11, α Fas) from Kamiya Biomedical Company (Seattle, WA, USA), the control mouse IgM from Accurate Chemical & Scientific Corporation (Westbury, NY, USA) and the NO synthase inhibitor L-NAME from Sigma (St. Louis, MO, USA).

Recombinant adenoviral vectors

We generated recombinant adenoviral vectors encoding A20 (rAd.A20), A1 (rAd.A1), $I\kappa B\alpha$ (rAd.I $\kappa B\alpha$), and A20 silencing RNA (rAd.SiRA20) using the siRNA sequence previously described (20) and expanded them in 293 cells (13). Control rAd. β -galactosidase was a kind gift from Dr. R. Gerard (University of Texas SW, Dallas). The A20 expression plasmid used to generate rAd.A20 was a kind gift from Dr. V. Dixit (Genentech Inc., S. San Francisco, CA, USA). We infected SMC with rAd.A20, rAd.I $\kappa B\alpha$, and rAd. β -gal at a multiplicity of infection (MOI) of 500, resulting in 95–100% of cells expressing the transgene without toxicity.

Western blot analysis (WB)

We used the following antibodies (Abs): rabbit anti-I κ B α IgG (Santa Cruz Biotechnology, Santa Cruz, CA, USA), mouse anti-CDKI p21^{waf1} IgG₁, mouse anti-CDKI p27^{kip1} IgG₁, mouse antip53 IgG2b, mouse anti-Rb IgG₁ (PharMingen, San Diego, CA, USA), rat anti-hemagglutinin IgG₁ monoclonal antibody (Roche Molecular Biochemicals, Indianapolis, IN,

USA), mouse anti- β -tubulin IgG2b (Chemicon International, Inc., Temecula, CA, USA), and rabbit anti-A20 polyserum generated in our lab. Secondary antibodies included peroxy-dase-conjugated donkey anti-rabbit IgG (H+L), goat antimouse IgG and goat antirat IgG (Pierce, Rockford, IL, USA).

Electrophoretic mobility shift assay (EMSA)

We extracted nuclear proteins and analyzed them by EMSA as described (13).

Flow cytometric analysis of ICAM-1 expression

We analyzed for ICAM-1 surface expression by flow cytometry (FACScan, Becton Dickinson, Immunocytometry systems, San Jose, CA, USA) using a mouse anti-human ICAM-1 IgG (R&D Systems, Minneapolis, MN, USA). An isotype IgG_1 was used as a control (13).

Northern blot analysis

We extracted RNA using Trizol reagent (Gibco BRL, Grand Island, NY, USA). Human A20, MCP-1, and GAPDH cDNA were previously described (13).

Flow cytometric analysis of apoptosis

SMC apoptosis was induced by treatment with TNF, IFN γ , and interleukin (IL)-1 β for 48 h, then DNA content was analyzed on a FACScan using CELLQuest software (Becton Dickson Immunocytometric Systems, San Jose, CA, USA) as described (14).

Cell cycle analysis

Cell cycle was synchronized by culturing SMC in 0.1% FBS SmBM[®] for 48 h and gene transduction was conducted using rAd.A20, rAd.IkB α , and rAd. β -gal. We challenged SMC 24 h later with 10% FBS SmBM[®] and analyzed cell cycle by FACS analysis of DNA content. DNA ploidy allows discrimination of cells in G₀/G₁, S, and G₂/M phases of the cell cycle. In certain experiments, 5 mM of L-NAME (Sigma) was added 6 h prior to challenging SMC with 10% FBS.

Balloon angioplasty

We performed balloon injury on adult male SD rats (300-400 g) from Harlan (Indianapolis, IN, USA) as described (19). Using a 2F embolectomy catheter (Baxter Edwards Healthcare, Irvine, CA, USA), we injured 1 to 1.5 cm of the left common carotid artery by intraluminal balloon inflation and passage 3 times. In the prevention studies, the injured vessel segment was filled with 30 µl of vehicle or 5.108 MOI of rAd.A20 or rAd.β-gal for 20 min. We sacrificed the animals 14 and 28 days later and injured carotids were recovered, fixed in 10% formalin and embedded in paraffin. Additional injured carotids were recovered at 3 and 7 days and frozen for immunohistochemistry analysis. In the regression model, the injury site was re-explored 28 days later and proximal and distal control were obtained with clamps and the vessel filled with 30 µl of vehicle or rAd. using a 30G needle. Following a 20 min dwell, we flushed the lumen and closed the needle hole before allowing recirculation. We sacrificed the rats at days 28 + 1, 28 + 3, 28 + 5, 28 + 7, and 28 + 14 and vessels were recovered for morphometry and immunohistochemistry analysis. Animals were housed in the BIDMC animal care facility. All procedures and protocols were approved and

performed in accordance with the BIDMC institutional animal care committee and published NIH guidelines.

Histology and immunohistochemistry

For morphometric analysis, we sectioned and stained paraffin embedded tissues with hematoxylin/eosin (H&E) and measured intima /media (I/M) ratio using the NIH Image 1.62 software in a blinded fashion. Six to eight rats were used per time point and per group and six to ten serial sections, 50 µm apart, were measured for each vessel. For immunohistochemistry analysis, frozen sections were stained with mouse antihuman Ki-67 IgG₁ (PharMingen), mouse antirat CD31 IgG₁, rabbit antimouse and rat inducible nitric oxide synthase (iNOS) (iNOS; M-19, Santa Cruz Biotech., Santa Cruz, CA, USA) followed by biotinylated horse antimouse-IgG or goat anti-rabbit secondary antibodies (Vector, Burlingame, CA, USA). We detected apoptosis using a tissue-specific TUNEL kit: VASOTACS (Trevigen Inc., Gaithersburg, MD, USA). Sections were scored for percentage of luminal staining for CD31 and for the number of KI67 or TUNEL positive cells per section while a semiquantitative scoring for iNOS expression in SMC was done: 0 absence of staining, 1 faint staining, 2 intense staining. Blinded observers evaluated two or more sections from 3 to 4 individual animals per time point and per group. Beta-galactosidase expression in injured vessels was detected using X-gal (Sigma, St. Louis, MO, USA).

RT-polymerase chain reaction and real-time-polymerase chain reaction

We extracted RNA from vessels or Kits using RNeasy Mini Kits (Qiagen, Valencia, CA, USA) and synthesized cDNA using Superscript First-Strand Synthesis (Invitrogen, Carlsbad, CA, USA). polymerase chain reaction (PCR) reactions were performed with the following primers: rat α -actin: sense, 5'-TCATGAAGTGTGACGTTĞACATCCGT-3', and antisense, 5'- CTTAGAAGCATTTGCGGTGCACGATG-3'; human A20: sense, 5'TTTGAGCAATATGCGGAAAGC-3', and antisense, 5'-AGTTGTCCCATTCGTCATTCC-3'; human iNOS: sense: 5'-GGCCTCGCTCTGGAAAGA-3', and antisense 5'-TCCATG-CAGACAACCTT-3'. RNA was also extracted from HASMC to check for human A1 mRNA levels using previously described primers (21). PCR reactions were optimized for each primer pair. We performed PCR over a range of cycles (15-40) to ensure amplification in the linear range and used equal starting amounts from each sample. In addition, real-time (quantitative) PCR reactions were performed using primers from Applied Biosystems assays-on-demand to quantify human iNOS mRNA. Amplification of ribosomal 18s was used to correct for loading.

Statistical analysis

Quantitative data was expressed as mean ±se. If SD were equal within the populations, an unpaired 2-tailed Student's *t* test was used to compare treatment groups. If sD values differed significantly, a nonparametric Mann-Whitney test was used. A value of P < 0.05 was considered statistically significant.

RESULTS

A20 inhibits NF- κ B activation in SMC upstream of I κ B α degradation

We induced A20 mRNA (Northern blot) and protein (WB) expression in SMC 1 h and 6 h after stimulation

with 100U/ml of tumor necrosis factor α (TNF), respectively (**Fig.** 1*A*). This result demonstrates that A20 is part of the physiological response of SMC to inflammation. We next tested whether A20 manifests its NF- κ B inhibitory function in SMC. We stimulated SMC with 100 U/ml of TNF and nuclear and cytoplasmic extracts were recovered from nontransduced (NT), (rAd.) rAd.A20, and rAd. β -gal -transduced SMC at 0, 15, and 120 min. Overexpression of A20 in SMC inhibited I κ B α degradation (WB) after TNF stimulation (Fig. 1*B*). SMC transduced with rAd.SiRA20 demonstrated lasting I κ B α degradation (120 min) indicating, as in knock-out



Figure 1. Northern blot analysis and Western blot analysis of A20 mRNA and protein after treatment with TNF (*A*). Overexpression of A20 in SMC inhibits IκBα degradation after TNF stimulation as shown by Western blot analysis, whereas knockdown of A20 SiRNA leads to prolonged IκBα degradation (*B*). Similarly, A20 expression in SMC inhibits NF-κB nuclear translocation 2 h after TNF (TNF=T). Specific competitor (SC); nonspecific competitor (NSC); control (*C*) are nuclear extracts recovered prior to addition of TNF (*C*). A20 expression in SMC inhibits TNF-mediated ICAM-1 upregulation (FACS analysis; empty histogram). Nontreated SMC control (filled histogram) (*D*) and TNF-mediated MCP-1 up-regulation (Northern blot analysis) (*E*). Results shown are representative of 3 independent experiments.

A20 mice, prolonged NF-κB activation (22) (Fig. 1*B*). We also showed by EMSA that there was no TNF inducible binding for the NF-κB consensus oligonucleotides in A20-expressing SMC as opposed to high binding in NT or rAd.β-gal-transduced SMC (Fig. 1*C*). As a corollary, A20 expression in SMC inhibited TNFmediated up-regulation of the proinflammatory/ proatherogenic NF-κB-dependent genes ICAM-1 and MCP-1 as demonstrated by FACS and Northern blot analysis, respectively (Fig. 1*D*, *E*).

Overexpression of A20 inhibits SMC proliferation through blockade of Rb hyperphosphorylation and an increased expression of CDKI p27^{kip1}, p21^{waf1}, and p53

Having established that A20 inhibits NF-KB activation, we questioned whether such inhibition would affect SMC proliferation. We synchronized the cell cycle of SMC by culturing them in medium containing 0.1%FBS, induced proliferation by adding 10% FBS, and analyzed DNA content 24, 48, and 72 h later. We showed no progression through the cell cycle in A20expressing SMC. The percentage of SMC in the $S+G_{2}/M$ phases of the cell cycle before and after addition of FBS was comparable reaching $12 \pm 1\%$ and $16 \pm 2\%$, respectively (*n*=4; Fig. 2A). Overexpression of IκBα, used as a positive control for NF-κB inhibition, equally prevented cell cycle progression (Fig. 2A), suggesting that A20-mediated inhibition of SMC proliferation could be related to its inhibition of NF-κB. A20and IkBa-mediated inhibition of cell cycle progression was maintained up to 72 h (data not shown), confirming that this effect was not merely a delay to entry. In contrast, NT and rAd.β-gal transduced SMC progressed through the cell cycle after supplementation with FBS. The percentage of NT and rAd.β-gal transduced SMC in the $S+G_9/M$ phases of the cell cycle increased from $12 \pm 1\%$ to $43 \pm 4\%$ and $16 \pm 2\%$ to $34 \pm 2\%$, respectively, after 10% FBS (n=4; Fig. 2A). SMC transduced with rAd.β-gal showed a delayed entry into cell cycle (48 h) compared to NT SMC (24 h), which may be attributable to adenoviral toxicity (Fig. 2A). To dissect the molecular basis of A20-mediated inhibition of cell cycling in SMC, we tested whether A20 expression modifies the phosphorylation of retinoblastoma (Rb). Hyperphosphorylated Rb (ppRB) undergoes a conformational change and releases E2F, a key transcription factor involved in driving the cell cycle. We showed that most of the Rb protein was in its hypophosphorylated state at the end of the starvation period (Fig. 2B). Hyperphosphorylated Rb was detected 36 h after adding 10% FBS in NT and rAd.β-gal transduced SMC (Fig. 2B). Overexpression of either A20 or IkBa in SMC inhibited Rb phosphorylation. Sequential activation of cyclins D and E and their cyclin-dependent kinases (CDK) drives phosphorylation of Rb during the G_1 phase of the cell cycle. These cyclin/CDK complexes are under the control of CDK inhibitors (CDKI) (23). We evaluated whether A20



Figure 2. Cell cycle after addition of 10% FBS (FACS). Overexpression of A20 and IκBα inhibits SMC progression through the cell cycle. Histogram areas labeled A, B represent cells in the G_0/G_1 and S + G_2/M of the cell cycle (A). Western blot of Rb demonstrates phosphorylation of RB (ppRb) in NT (N) and rAd.β-gal (B) transduced SMC but not in rAd.A20 (A) and rAd.Iκbα (I) transduced SMC after addition of 10% FBS (B). Expression of p27^{kip1}, p21^{waf1}, and p53 is increased in A20- and IκBα-expressing SMC before and 18 h after addition of 10% FBS (Western blot analysis). βtubulin serves as a control for equal loading. Relative protein expression is determined by densitometry and corrected by the intensity of βtubulin bands (*C*). Results shown correspond to one representative experiment of 3 performed.

alters the expression of the CDKI p21^{waf1} and p27^{kip1}. Expression of p27^{kip1} was high at the end of SMC starvation with a tendency to higher levels in rAd.β-gal transduced SMC. CDKI p27^{kip} levels decreased substantially 18 h after addition of FBS in NT and rAd.β-gal

transduced SMC. In contrast, p27kip1 protein levels increased by 2- to 3-fold in rAd.IkBa and rAd.A20 transduced SMC (Fig. 2C). Protein levels of p21^{waf1} were low in NT SMC at the end of the starvation period and increased very moderately 18 h after addition of 10% FBS. In contrast, high levels of p21^{waf1} were detected in all rAd. transduced SMC at the end of starvation, albeit these levels were twice as high in rAd.IkBa and rAd.A20 transduced SMC compared to those transduced with rAd.β-gal. CDKIp21^{waf1} levels further increased in A20- and IkBa-expressing SMC 18 h after addition of FBS, whereas they significantly decreased in rAd. β -gal transduced SMC (Fig. 2C). Expression of p21^{waf1} is regulated in part by the tumor suppressor gene p53 (24). We demonstrate that expression of p53 paralleled that of p21^{waf1} with sustained up-regulation of p53 expression noted in A20- and IκBα-expressing SMC before and after addition of 10% FBS (Fig. 2C). The limited and moderate increase of $p53/p21^{waf1}$ and $p27^{kip1}$ that was detected in rAd.β-gal transduced SMC prior to addition of FBS decreased thereafter and likely accounts for their delayed entry in the cell cycle. These data suggest that A20 blocks cell cycle progression by increasing p53 and its target gene $p21^{waf1}$ as well as $p27^{kip}$.

Overexpression of A20 sensitizes SMC to cytokine and Fas-mediated apoptosis

A20 is an antiapoptotic protein in most cells including EC but its effect on SMC apoptosis is not known. We recovered NT, rAd.A20, rAd.IkBa, and rAd.β-gal transduced HASMC 48 h after treatment with 400 U/ml of TNF, 400 U/ml of IFN γ and 100 U/ml of IL-1 β /ml and evaluated apoptosis by DNA content analysis (14). The percentage of apoptotic cells 48 h after cytokine treatment was negligible in NT and rAd.β-gal-transduced SMC (<6%; Fig. 3A) while it increased significantly in A20-expressing SMC from 4.0 \pm 0.5% to 36.6 \pm 4.2% 48 h after cytokine treatment (P < 0.0001; n=5). Despite equivalent NF-KB inhibition, SMC expressing IKBa demonstrated less apoptosis than A20-expressing cells. The percentage of apoptosis in IκBα-expressing SMC increased from $3.0 \pm 1.0\%$ to $13.0 \pm 3.0\%$ (*n*=5; P=0.001; Fig. 3A).

This unexpected proapoptotic effect of A20 in SMC extends to the cross-linking of the death receptor Fas. The percentage of apoptotic cells after treatment with 1 µg/ml of α Fas was negligible in NT and rAd. β -gal-transduced SMC (<2.2%; Fig. 3*B*) while a high rate of apoptosis was detected in A20-expressing SMC, increasing from 2.5 ± 0.5% to 26.0 ± 8.0% after addition of α Fas (*n*=3; *P*<0.0001; Fig. 3*B*). Apoptosis was not detected after treatment of SMC with the control IgM Ab. Similar results were obtained in aortic or coronary artery SMC. Both were used in later experiments and referred to as SMC. These results demonstrate for the first time a proapoptotic function for A20 in SMC. A20-mediated sensitization of SMC to apoptosis is consistently greater than that seen in IkB α -expressing



Α

в



Figure 3. SMC apoptosis was evaluated by DNA content analysis after cytokine and α Fas treatment. The hypodiploid region (<2N), designated A⁰, represents cells undergoing apoptosis. Overexpression of A20 in SMC sensitizes SMC to cytokine (*A*) and α Fas-mediated apoptosis. SMC stimulated with IgM are used as controls (*B*). Data shown are from one experiment that is representative of 5 (cytokines) and 3 (α Fas) independent experiments.

SMC, suggesting that this novel function of A20 is not likely to be solely related to inhibition of NF- κ B.

Cotransduction of SMC with the antiapoptotic, NF-κB-dependent, bcl gene A1 does not revert the proapoptotic effect of A20 while rescuing IκBαexpressing SMC from apoptosis

To determine the molecular basis of the proapoptotic effect of A20 in SMC, we questioned whether overexpression of A20 modified the ratio of antiapoptotic (A1, Bcl- x_L and IAP-1) and proapoptotic (Bcl- x_S and Bax) proteins in SMC. Messenger RNA levels for the antiapoptotic *bcl* family member A1 were determined by semiquantitative RT-PCR in NT and rAd.A20, rAd.I κ B α and rAd. β -gal transduced SMC after addition of cytokines. Low levels of A1 mRNA were detected prior to adding cytokines and were up-regulated by 1.5- to 1.8-fold 3 h after in NT and rAd. β -gal transduced SMC. Expression of A20 and I κ B α inhibited cytokine-mediated up-regulation of A1mRNA (**Fig. 4***A*), consistent with studies showing that A1 is dependent on NF- κ B for its induction (21). Comparable protein levels of Bcl- x_L , IAP-1, Bcl- x_S and Bax were detected (WB) in all groups before and after treatment with cytokines (data not shown).

To evaluate whether altered A1 induction was responsible for increased SMC apoptosis in A20- and IkBaexpressing SMC, NT SMC and SMC expressing A20, IkBa and β -gal were cotransduced with rAd.A1 prior to triggering apoptosis. SMC expressing A1 alone or in combination with β -gal demonstrated low basal rate of apoptosis, which was not modified by cytokine treatment (Fig. 4B). SMC coexpressing A20 and A1 demonstrated higher basal rate of apoptosis that was increased by cytokines, reaching similar percentages to those reached in SMC expressing A20 alone (34%, Fig. 4B, n=3). In contrast, coexpression of A1 and IkBa rescued SMC from cytokine-mediated apoptosis (<4% apoptotic SMC after treatment with cytokines; Fig. 4B). We confirmed coexpression of A1 and A20 or A1 and $I\kappa B\alpha$ by WB (Fig. 4B). These findings provide additional proof that the proapoptotic function of A20 in SMC is likely independent from its inhibitory effect on NF-кB and NF-кB-dependent antiapoptotic proteins such as A1.

The proapoptotic effect of A20 relates to NOdependent DNA fragmentation

We confirmed that cell death in SMC overexpressing A20 was related to apoptosis and not necrosis by showing a significant number of TUNEL positive apoptotic nuclei in A20-expressing SMC after treatment with cytokines (Fig. 5A) whereas none were detected in rAd. β -gal transduced SMC (data not shown). Despite confirmation of apoptotic cell death, expression of A20 in SMC did not alter caspase 8 or 3 activity nor disrupted the mitochondrial transmembrane potential after cytokine treatment (data not shown), suggesting that the proapoptotic effect of A20 in SMC was the result of direct DNA damage. NO has been shown to cause direct damage to DNA in SMC (25). We probed for NO involvement in A20-mediated sensitization of SMC to apoptosis. Pretreatment of rAd.A20 transduced SMC with the NO synthase inhibitor L-NAME for 6 h prior to adding cytokines led to a dose-dependent reversal of the proapoptotic effect of A20 (Fig. 5B). The rate of apoptosis increased from $4.5 \pm 0.8\%$ to $16.0 \pm$ 1.3% (n=4; P<0.0001) after addition of cytokines. Preincubation of SMC with 2.5 mM and 5.0 mM of L-NAME prior to adding cytokines decreased SMC apoptosis to 9.4 \pm 0.9% (*n*=4; *P*<0.0003) and 5.2 \pm 0.2% (*n*=3; *P*<0.0001), respectively (Fig. 5*B*). These results demonstrate that the novel proapoptotic func-



Figure 4. Semiquantitative RT-PCR demonstrates increased A1 mRNA levels NT and rAd. β gal transduced SMC after treatment with cytokines whereas no induction is detected in A20- and IkB α -expressing SMC. Corrected densitometry allowed determination of relative A1 mRNA levels (*A*). Cotransduction of SMC with rAd.A1 reverts the proapoptotic effect of IkB α but not that of A20 as determined by FACS analysis of DNA content (*B*). Western blot analysis is done to check for coexpression of the transgenes. Results shown are representative of 3 independent experiments.

tion of A20 in SMC is NO dependent. Consequently, induction of iNOS mRNA after stimulation with cytokines was 2-fold higher in A20-expressing SMC compared to controls (Fig. 5*C*). There was no or very little iNOS mRNA detectable by real-time PCR prior to the addition of cytokines. A significant increase in iNOS mRNA was noted in A20-expressing SMC 18 h after treatment with cytokines reaching a 4.07 ± 0.8 (mRNA



Figure 5. TUNEL positive SMC are detected 48 h after cytokine treatment in A20-expressing SMC (*A*). Data shown are representative of 3 independent experiments. Preincubation with L-NAME protects A20-expressing SMC from cytokine-mediated apoptosis (FACS analysis of DNA content), Data shown is representative of 3 independent experiments (*B*). iNOS mRNA is significantly higher in A20-expressing SMC compared to controls, 18 h after cytokine treatment as determined by real-time PCR (*C*). Preincubation of SMC with L-NAME does not modify the inhibitory effect of A20 on IkB α degradation (*D*) or its antiproliferative effect (*E*). Similarly, preincubation with L-NAME does not affect the antiapoptotic effect of A20 in EC after CHX/TNF (*E*). Experiments shown are representative of 3 (SMC) and 4 (EC) independent experiments.

corrected with median computed tomography and 18s). Cytokine-mediated increase of iNOS mRNA was significantly lower in NT (1.47 \pm 0.41; *P*=0.02) and rAd.βgal transduced SMC (1.86 \pm 0.26; *P*=0.03; *n*=2 experiments performed in quadruplicate). High iNOS mRNA levels in A20-expressing SMC persisted up to 36 h after addition of cytokines (4 \pm 0.83). The molecular basis for increased iNOS transcription in A20-expressing SMC after treatment with cytokines is under investigation.

We then sought to clarify the potential implication of NO in the anti-inflammatory and antiproliferative functions of A20 in SMC. Pretreatment of A20-expressing SMC with L-NAME (5 mM) did not affect its inhibitory effect on IkB α degradation, as shown by WB (Fig. 5*D*, n=3). Similarly, pretreatment with L-NAME did not alter the antiproliferative effect of A20 in SMC. A20-expressing SMC did not significantly progress through the cell cycle 24 h after addition of 10%FBS, whether pretreated or not with L-NAME. A20-expressing SMC in the S+G₂/M phases of the cell cycle increased from 12–18% prior to addition of FBS to 21.7 ± 0.8% in untreated SMC and 19.7 ± 1% in L-NAME pretreated SMC (*P*=NS, n=3 experiments performed in duplicate, Fig. 5*E*). In contrast, the percentage of SMC in the

S+G₂/M phases of the cell cycle in NT and rAd.βgal transduced SMC doubled 24 h after addition of 10%FBS reaching $30.2 \pm 0.8\%$ and $27.8 \pm 0.7\%$ in untreated SMC and $28 \pm 0.6\%$ and $26 \pm 0.8\%$ in L-NAME pretreated SMC, respectively. There was even a tendency to less cell proliferation in L-NAME pretreated SMC. These data strongly argue that the antiproliferative effect of A20 in SMC is NO independent.

Similarly, the antiapoptotic effect of A20 in EC was not altered by NO blockade. The percentage of apoptotic EC reached 44.5 \pm 1.6% and 46.5 \pm 2.4% in NT and rAd. β -gal transduced EC whereas it was half that number in A20 expressing EC (28.1 \pm 2.7%) 9 h after treatment with CHX/TNF (n=4; P<0.01; Fig. 5*E*) (14). The beneficial effect of A20 was not abrogated when EC were pretreated with L-NAME for 4–6 h (the rate of apoptosis was even lower, reaching 21.0 \pm 3.4%).

A20 expression inhibits neointima formation by inhibiting SMC proliferation *in vivo* and supporting regeneration of the endothelium

To test how these newly described functions of A20 in SMC translate *in vivo*, we tested the effects of A20

expression on vascular remodeling after balloon angioplasty injury to rat carotid arteries. We injured left common carotid arteries of adult male Sprague Dawley rats and treated the vessels with normal saline, rAd.A20 or control rAd. β -gal as detailed in the methods. We found that 5×10^8 pfu achieved high levels of expression of the transgene in 30-40% of medial SMC at day 3 with a decrease by day 14, without any toxicity (Fig. **6***B***)**. We confirmed vascular A20 expression by RT-PCR that showed expression of the human A20 transgene in rAd.A20 transduced vessels while low levels of endogenous rat A20 were detected in NT and rAd.β-gal transduced carotids (Fig. 6B). Endogenous A20 was not detected in vessels overexpressing the human A20 transgene, consistent with the fact that A20 expression is NF-KB dependent, hence inhibited by the A20 transgene (26). We observed significant neointimal hyperplasia in NT and rAd β -gal transduced carotids with intima/media (I/M) ratios reaching 0.79 ± 0.15 (n=6; *n*=refers to the number of animals/group) and 0.84 \pm 0.13 (n=6), respectively. A20 expression significantly inhibited neointima formation with an I/M ratio of 0.30 ± 0.04 (n=7; P=0.022 vs. NT and P=0.001 vs. rAd. β -gal) (Fig. 6A). This protective effect was maintained up to 28 days with I/M ratios of 0.79 \pm 0.11 (n=6) and 0.70 ± 0.17 (n=6) in NT and rAd.\beta-gal transduced arteries while that of A20 expressing arteries remained at 0.31 ± 0.09 (*n*=8; *P*=0.004 *vs*. NT and P=0.044 vs. rAd. β -gal; Fig. 6A).

Consistent with the antiproliferative function of A20 in SMC, in vitro, KI67 was significantly diminished in A20 expressing vessels. (Fig. 6C). The number of KI67 positive cells was 91.2 \pm 22.0 per section (n=3; "n" refers to the number of vessels; 2 or more sections were analyzed per vessel) in rAd.β-gal transduced vessels compared to 13.5 ± 7.2 (*n*=3; *P*=0.03) in A20 expressing vessels. KI67 immunoreactivity was detected in both medial and neointimal SMC in rAd.β-gal transduced carotids whereas its expression was limited to the few neointimal SMC present in A20 expressing carotids. No KI67 was detected in control noninjured vessels. We used CD31 immunoreactivity, a specific marker of EC, to probe for re-endothelialization of the injured carotids. Injured vessels did not express luminal CD31 3 days postinjury, confirming appropriate de-endothelialization of all vessels. At 7 days postinjury, we detected CD31 positive cells on $16.5 \pm 5.5\%$ (*n*=4) of the lumen in rAd. β -gal transduced vessels in contrast to 57.0 \pm 9.7% (n=3; P=0.0008) in rAd.A20 transduced vessels (Fig. 6C). Low levels of SMC apoptosis were detected by TUNEL 3 and 7 days following balloon angioplasty and were comparable in NT, rAd.A20, and rAd.βgal transduced vessels despite higher levels of iNOS mRNA in A20-expressing SMC, as seen in vitro (Fig. 6B, C). This later result indicates that the proapoptotic effect of A20 demonstrated in SMC cultures was either not relevant in vivo when the A20 transgene was expressed in medial SMC or that apoptotic SMC were rapidly cleared.



Figure 6. Evaluation of I/M ratio on H&E-stained rat carotid arteries sections 14 and 28 days after balloon angioplasty demonstrates significant neointimal lesions in NT and rAd.β-gal transduced vessels that are significantly inhibited in A20 expressing vessels. Arrows define the neointima (A). Transgene expression is confirmed by X-gal staining $(100\times; \text{ inset } 400\times)$ and semiquantitative RT-PCR of β -gal and A20 transduced vessels. INOS mRNA, evaluated by semiquantitative RT-PCR is also shown (B). Immunohistochemistry analysis of carotid arteries sections 7 days after balloon injury demonstrates less KI67 staining (dark purple spots) in A20 expressing vessels compared to rAd. β -gal transduced vessels (C). Absence of CD31 immunostaining 3 days postinjury confirms equal de-endothelialization in rAd.A20 and rAd.β-gal transduced vessel with recovery of CD31 luminal staining (rust color) 7 days after angioplasty in A20 as opposed to β -gal expressing vessels (C). No or little apoptosis is detected by TUNEL at days 3 and 7 following balloon injury. Few positive (blue) apoptotic cells are detected in the adventitia. Uninjured vessels were used as control (C). Representative sections are shown $(100 \times \text{ and } 400 \times)$.

The proapoptotic function of A20 appears restricted, *in vivo*, to neointimal SMC and accounts for regression of established neointimal hyperplasia

We next evaluated the impact of A20 expression in neointimal SMC on already established neointimal lesions. We injured the left common carotid artery and allowed neointimal hyperplasia to develop for 28 days. The I/M ratio at day 28 was at 0.91 \pm 0.1 (n=6). Injured vessels were then revisited and infused with either normal saline or 5×10^8 pfu of rAd.A20 or rAd.β-gal. Rats were killed 14 days later and injured carotids retrieved for morphometry and immunohistochemistry analysis. The I/M ratio at day 28 + 14 in saline and rAd.β-gal transduced carotids reached 1.06 ± 0.10 (*n*=8) and 1.04 ± 0.16 (*n*=6), respectively, and was not different from the I/M ratio measured prior to gene transfer. In sharp contrast, injured carotids expressing A20 showed a remarkable reduction of their I/M ratio to 0.49 ± 0.06 (n=7; P=0.0003 vs. NT and P=0.008 vs. rAd. β -gal; Fig. 7A). Expression of the transgene started rapidly, was optimal 3 to 5 days after gene transfer and was detected to a depth of 3-4 cells within the neointima as demonstrated by X-gal staining. Expression of A20 was confirmed by semiquantitative RT-PCR (Fig. 7B). We then evaluated by immunohistochemistry the rate of proliferation (KI67) and apoptosis (TUNEL) in vessels prior to gene transfer and at 28 + 1, 28 + 3, 28 + 5 and 28 + 7 days after gene transfer. Increased proliferation of neointimal SMC was detected in NT and rAd. β -gal transduced SMC at day 28 + 1, as depicted by increased KI67 immunostaining in the first layers of the neointima, indicating that mere re-exposure and saline infusion of neointimal lesions led to some SMC injury. In contrast, no KI67 immunoreactivity was detected in A20-expressing SMC confirming that the potent antiproliferative effect demonstrated in medial SMC equally applied, in vivo, to neointimal SMC (Fig. 7C, n=3). No significant apoptosis was detected at day 28 (Control) nor at day 28 + 1in any of the groups studied. Apoptosis was however drastically increased in neointimal SMC of rAd.A20 transduced vessels at days 28 + 3 and 28 + 5 reaching 55.7 ± 4.3 apoptotic cells/section (n=4). In contrast, the number of apoptotic cells/section was much lower reaching 11.2 ± 1.6 and 9.1 ± 3.5 , in NT and rAd. β -gal transduced carotids, respectively (P=0.0001 vs. NT and $P \le 0.0001$ A20 rAd. β -gal; n=3; Fig. 7C). Sequential analysis of A20 expressing carotids at days 28 + 1, 28 + 13, 28 + 5 and 28 + 7 showed that neointimal SMC apoptosis peaked at 28 + 3 and was no longer detected by 28 + 7, at which point most of the neointima had regressed (n=3-4, Fig. 7D). This data clearly demonstrates that the novel proapoptotic function of A20 does indeed translate in vivo, but appears restricted to neointimal SMC. SMC of the medial/contractile phenotype are not susceptible to A20-mediated apoptosis. Correlating with our *in vitro* data implicating NO and iNOS in A20-mediated SMC apoptosis; expression of iNOS was increased in A20 expressing carotids and



Figure 7. Evaluation of I/M ratio on H&E-stained rat carotid arteries sections at 28 and 28 + 14 days after balloon angioplasty demonstrates regression of neointima in rAd.A20 transduced vessels compared to NT and rAd.β-gal transduced vessels. Arrows define the neointima. $(400 \times) A$ Expression of the transgenes within the neointima is confirmed at day 28 + 3 by X-gal staining (B-gal) and semiquantitative RT-PCR (A20). A20 infected vessels show also higher iNOS mRNA levels relative to NT and rAd.β-gal transduced vessels (semiquantitative RT-PCR) (B). Immunohistochemistry performed at day 28 + 1 shows increased KI67 positive cells (purple dots) in NT and rAd.β-gal transduced vessels while no KI67 immunostaining is detected in A20 expressing vessels (C). Moderate iNOS immunoreactivity is detected in the first layers of the neointima of NT and rAd.β-gal transduced vessels contrasting with significant iNOS immunostaining in rAd.A20 transduced vessels at day 28 + 1 (C). Endothelial cell integrity is maintained in NT and rAd.A20 transduced vessels as determined by CD31 contrasting with a significant loss of CD31 staining in rAd.β-gal transduced vessels at day 28 + 1 (C). Increased TUNEL positive cells are detected at day 28 + 3 within the neointima of A20 expressing vessels compared to NT and rAd. β -gal transduced vessels (C). Sequential analysis of neointimal SMC apoptosis in rAd.transduced vessels, using TUNEL staining, demonstrates no apoptosis at 28 + 1 days that drastically increases at day 28 + 3 and is no longer detected by day 28 + 7 (D). Sections of vessels retrieved 28 days after balloon angioplasty injury were used as controls. Representative sections are shown $(100 \times \text{ and } 400 \times)$.

correlated with increased iNOS mRNA as detected by semiquantitative RT-PCR (Fig. 7*B*, *C*). iNOS immunoreactivity scored at 1.8 ± 0.06 in the first few layers of the neointima of rAd.A20 expressing vessels at day 28 + 1, antedating the increase in apoptosis. In contrast, iNOS immunoreactivity was significantly lower in NT and rAd.β-gal transduced vessels with scores reaching 1.2 ± 0.1 in both groups (*P*=0.004; *n*=3; Fig. 7*C*).

NT vessels and vessels transduced with rAd.A20 displayed no significant EC loss at day 28 + 1 with CD31 positive luminal staining detected in 98.7 \pm 1.2% and 89.1 \pm 4.1%, respectively (*n*=4). In contrast, CD31 luminal staining was decreased to 23.2 \pm 0.7% in rAd. β -gal infected vessels, presumably as a consequence of adenoviral toxicity (*P*=0.0004 vs. NT and *P*=0.004 vs. rAd.A20; *n*=4; Fig. 7*C*).

DISCUSSION

Increased SMC proliferation and acquisition of a proinflammatory phenotype are central features associated with the development of neointimal lesions. We used the balloon injury model to study whether inhibition of multiple proinflammatory mediators by targeting a central component of the proatherogenic signaling cascades in SMC, namely NF-κB, might curb neointima formation. NF-κB is required for the activation and proliferation of SMC *in vitro* and for the formation of atherosclerotic plaques *in vivo* (17). We have previously demonstrated that A20 is a potent inhibitor of NF-κB including in EC (12, 13). In this study, we questioned whether A20 retains this function in SMC.

We demonstrate that expression of A20 in SMC inhibits NF- κ B activation upstream of I κ B α degradation. This results in subsequent inhibition of NF- κ B-dependent, proinflammatory, proatherogenic proteins such as MCP-1 and ICAM-1. The incidence and severity of vascular plaques in the atherosclerosis-prone, ApoE-deficient mice are decreased when MCP-1 is blocked and in ICAM-1 deficient mice (27, 28). Demonstration of this potent anti-inflammatory function of A20 in SMC qualifies its therapeutic potential for the prevention of neointima formation (29).

Moreover, overexpression of A20 in SMC inhibits their progression through the cell cycle by up-regulating the expression of the CDKI, p21^{waf1} as well as its transcription activator, p53 before addition of FBS as well as leading to a paradoxical increase of CDKI p27^{kip1} levels after addition of FBS, enhancing the "brakes" on cell cycle progression. The mechanism(s) by which A20 leads to increased p53/p21^{waf1} and p27^{kip1} expression remains to be determined. We have recently demonstrated that p27^{kip1} is a target gene for the Forkhead transcription factors belonging to the FoxO subfamily and can be modulated to inhibit SMC proliferation and neointimal hyperplasia (30). An effect of A20 on Forkhead transcription factors resulting in the up-regulation of p27kip1 is being investigated. Alternatively, p53 and CDKI p21^{waf1} and p27^{kip1} may be

the target of the ubiquitin-editing functions of A20, possibly leading to their decreased degradation in the proteasome (31). This would be consistent with the described regulation of p53 and p21^{waf1} protein levels by ubiquitination (32, 33). This hypothesis is being investigated. However, the fact that SMC overexpressing IkB α demonstrate an identical pattern of increased p27^{kip1} and p53/p21^{waf1} and a comparable decrease in FBS driven SMC proliferation, suggests that A20-mediated inhibition of SMC proliferation could also result from blockade of NF- κ B, considered an essential mediator of SMC proliferation (17).

From a therapeutic standpoint, these results indicate that by combining anti-inflammatory and antiproliferative functions, A20 fulfills two criteria required for the prevention of neointima formation. The A20-mediated benefit is remarkably sustained for more than 28 days despite limited expression of the transgene, indicating that the process of vascular remodeling is determined very early after acute injury.

Expression of A20 in medial SMC after balloon angioplasty led to accelerated re-endothelialization of the injured vessels occurring 1 wk prior to that achieved in rAd.β-gal treated vessels. This effect may reflect decreased inflammation in A20 expressing vessels facilitating healing processes including proliferation of neighboring EC or seeding and differentiation of circulating progenitor EC at the site of injury (34). It may also be the result of the well-described proangiogenic effect of NO, produced by A20 transduced SMC (35). Alternatively, quicker re-endothelialization in A20 expressing vessels may relate to a novel pro-proliferative function of A20 in EC that could have been transduced at the edge of the lesion. Regardless of mechanisms, limited EC loss and accelerated re-endothelialization adds to the beneficial effect of A20 on vascular healing (36). Indeed, seeding of injured vessels with EC or fibroblasts after balloon angioplasty provides a "dressing" that limits entry of circulating monocytes and T cells hence decreasing inflammation and subsequent neointimal hyperplasia (37).

In addition to increased SMC proliferation, deregulated SMC apoptosis is a key contributor to neointimal lesions (3, 38, 39). Restenosis following balloon angioplasty in humans is associated with decreased apoptosis of neointimal SMC reflecting failure of apoptotic pathways to mediate neointimal regression (40, 41). Promoting apoptosis of neointimal SMC is a logical therapeutic avenue to achieve regression of neointima. Our data demonstrates that overexpression of A20, an antiapoptotic protein in EC and other cell types, unexpectedly sensitizes SMC, in vitro, to apoptosis triggered by two key proinflammatory mediators associated with a proatherogenic environment: cytokines and Fas/FasL cognate interactions (42, 43). The proapoptotic function of A20 in SMC is twice higher than that achieved in IκBα-transduced SMC. In addition, coexpression of the NF-kB-dependent, antiapoptotic bcl protein A1 (whose expression is equally blunted by IkBa and A20 overexpression) rescues IkBa but not A20-expressing SMC



Figure 8. A20 affords two levels of atheroprotective control. First, expression of A20 in medial SMC prevents neointima formation by shutting down inflammatory and proliferative responses of SMC. Second, SMC that evade this first control and localize to the neointima are sensitized by A20 to apoptosis via a NO-dependent mechanism, hence leading to regression of neointima.

from apoptosis (21). Together, these data argue that this novel proapoptotic effect of A20 is independent from NF- κ B inhibition.

Rather, A20 promotes SMC apoptosis through a NO-dependent mechanism. Blockade of NO production reverts the sensitizing effect of A20 on cytokinemediated apoptosis. A20-mediated enhancement of NO production has not been previously described and did not manifest in the antiproliferative functions of A20 in SMC nor in its antiapoptotic function in EC, pointing to refined cell type specificity of A20 functions. NO may promote SMC apoptosis through several mechanisms including up-regulation of the death receptor Fas, activation of matrix metalloproteinases, inhibition of NF-KB and its dependent prosurvival genes, peroxynitrite anion-mediated disruption of mitochondrial integrity and direct damaging effect on DNA (41, 44–46). Our data argues that direct DNA damage is likely to be the dominant molecular target for A20/NO-mediated apoptosis in our system.

In vivo, the proapoptotic function of A20 in SMC does not manifest when A20 is expressed in medial SMC immediately after balloon angioplasty. In contrast, A20 expression in the first few SMC layers of established neointimal lesions translates into a dramatic increase of neointimal SMC apoptosis 3–5 days after gene transfer leading to regression of neointimal hyperplasia. Antedating apoptosis, iNOS immunoreactivity and mRNA levels increase in A20 expressing neointimal SMC consistent with *in vitro* evidence demonstrating the involvement of NO in causing SMC apoptosis. iNOS is part of the SMC response to injury and is likely a major contributor to increased NO generation in A20-expressing SMC (47). We demonstrate a 2-fold increase in iNOS mRNA levels in cytokine-stimulated A20-expressi ing SMC. Induction of iNOS at sites of inflammatory coronary lesions or after injury to rat carotids or pig iliac arteries inhibits neointimal hyperplasia (48). Conversely, blockade of iNOS by the NOS inhibitor Lnitroarginine (L-NA) increases neointimal thickening in young rats subjected to balloon angioplasty (49). To clarify the contribution of iNOS in the atheroprotective effects of A20, experiments are planned to treat L-NA rats with A20 engineered carotids, subjected to balloon angioplasty. The molecular mechanisms governing A20-mediated up-regulation of iNOS mRNA are unknown and quite unexpected. Indeed, inhibition of NF- κ B in other cell types such as β -cells blocks the transcription of iNOS after stimulation with cytokines (50). This could relate to increased iNOS mRNA stability and/or transcription by A20. The impact of A20 on the numerous transcription factors as well as regulatory processes, such as hypermethylation, that are involved in regulating iNOS promoter activity will be analyzed in a follow-up study (51, 52). The proapoptotic function of A20 appears restricted in vivo to neointimal SMC, which argues for an additional concentration of refined cell type-specific function(s) for A20. These findings are consistent with studies using antisense Bcl-x_L oligos whereby medial vascular SMC absorb antisense Bcl-x_L oligos with a similar efficiency as neointimal SMC, yet do not undergo apoptosis (7). Collectively, these data stress the greater resistance to apoptosis of medial SMC compared to neointimal SMC, possibly an ultimate defense mechanism to maintain vascular integrity.

In summary, we demonstrate that A20 is part of the protective response of SMC to injury and significantly contributes to vascular remodeling. It is expressed in SMC response to inflammatory stimuli to control inflammatory and proliferative responses and sensitize neointimal SMC to apoptosis. This later and unexpected function of A20 adds a therapeutic dimension to its preventive effect against neointimal hyperplasia. Our working model comprises two levels of A20 based control of neointimal lesions. First, expression of A20 in medial SMC prevents neointima formation by shutting down inflammatory and proliferative responses of SMC via inhibition of NF-KB and increased expression of the CDKI p21^{waf1} and p27^{kip1}. Second, SMC that evade this first control and form a neointima are sensitized by A20 to apoptosis via a NO-dependent mechanism, which promotes regression of established neointimal lesions (Fig. 8). We propose that A20 based therapies hold strong promise for the prevention and cure of vascular neointimal disease including in-stent restenosis, atherosclerosis and transplant arteriosclerosis. FJ

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